REVIEW

Cardiopulmonary assessment of patients with systemic sclerosis for hematopoietic stem cell transplantation: recommendations from the European Society for Blood and Marrow Transplantation Autoimmune Diseases Working Party and collaborating partners

D Farge^{1,15}, RK Burt^{2,15}, M-C Oliveira³, E Mousseaux⁴, M Rovira⁵, Z Marjanovic⁶, J de Vries-Bouwstra⁷, N Del Papa⁸, R Saccardi^{9,16}, SJ Shah¹⁰, DC Lee¹⁰, C Denton¹¹, T Alexander¹², DG Kiely^{13,18} and JA Snowden^{14,16,18} for the EBMT ADWP Scleroderma Working Group and on behalf of the Joint Accreditation Committee of the International Society for Cellular Therapy (JACIE) & European Society for Blood and Marrow Transplantation (EBMT)¹⁷

Systemic sclerosis (SSc) is a rare disabling autoimmune disease with a similar mortality to many cancers. Two randomized controlled trials of autologous hematopoietic stem cell transplantation (AHSCT) for SSc have shown significant improvement in organ function, quality of life and long-term survival compared to standard therapy. However, transplant-related mortality (TRM) ranged from 3–10% in patients undergoing HSCT. In SSc, the main cause of non-transplant and TRM is cardiac related. We therefore updated the previously published guidelines for cardiac evaluation, which should be performed in dedicated centers with expertise in HSCT for SSc. The current recommendations are based on pre-transplant cardiopulmonary evaluations combining pulmonary function tests, echocardiography, cardiac magnetic resonance imaging and invasive hemodynamic testing, initiated at Northwestern University (Chicago) and subsequently discussed and endorsed within the EBMT ADWP in 2016.

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INTRODUCTION

Systemic sclerosis (SSc) is a rare and heterogeneous autoimmune disease of unknown origin, with an incidence of 1 in 100 000.¹ It is characterized by skin and visceral (lung, gastrointestinal, cardiovascular and renal) fibrosis secondary to excessive collagen deposition with a limited cutaneous (lc) and a diffuse cutaneous (dc) form.² Rapidly progressive dc-SSc within the first 4 years of disease onset, observed in 10–20% of cases, is a life-threatening condition, with a 3–5 year survival between 50 and 70%,^{3,4} the main cause of death being cardiac related.^{5,6} Numerous other factors have been reported to adversely reflect survival including extensive skin thickening (measured by the modified Rodnan skin score),⁷ altered functional status (measured using the Self Health

Assessment Questionnaire), altered renal function (presence of either hypertension, proteinuria, urinary casts having excluded non-SSc specific causes) or lung involvement (reflected in abnormal lung function or CT appearances) and older age at onset.^{8–11} Recently, more sensitive cardiac evaluations indicate that some of these adverse prognostic factors may be associated with markers of cardiac involvement. Pulmonary arterial hypertension (PAH) and pulmonary fibrosis^{12,13} are also common causes of death. While hypoxemic respiratory failure may occur, the penultimate cause of death from PAH or interstitial lung disease (ILD) is often not suffocation *per se*, that is, inability to oxygenate blood, but rather cardiac failure in many patients.

Standard therapy with daily oral or monthly intravenous cyclophosphamide regimens in SSc has demonstrated only

¹Department of Internal Medicine, Unité Clinique de Médecine Interne, Maladies Auto-immunes et Pathologie Vasculaire, UF 04, Hôpital Saint-Louis, AP-HP Assistance Publique des Hôpitaux de Paris, INSERM UMR5 1160, Paris Denis Diderot University, Paris, France; ²Department of Medicine, Division of Immunotherapy, Northwestern University, Chicago, IL, USA; ³Departamento de Clínica Médica, Center for Cell-based Therapy, Regional Blood Center of Ribeirão Preto, Ribeirão Preto Medical School, University of São Paulo, Ribeirão Preto, Brazil; ⁴Hôpital Européen Georges Pompidou, AP-HP Assistance Publique des Hôpitaux de Paris, INSERM UMR 970, Université Paris Descartes, Paris, France; ⁵Department of Hematology, HSCT Unit, Hospital Clinic, Barcelona, Spain; ⁶Department of Hematology, Saint-Antoine Hospital Paris, Assistance Publique des Hôpitaux de Paris, APHP, Paris, France; ⁷Leiden University Medical Center, Leiden, The Netherlands; ⁸Department of Rheumatology, Scleroderma Clinic, Osp. G. Pini, Milan, Italy; ⁹Department of Hematology, Cord Blood Bank, Careggi University Hospital, Florence, Italy; ¹⁰Division of Cardiology, Northwestern University Feinberg School of Medicine, Chicago, IL, USA; ¹¹UCL Division of Medicine Royal Free Campus, London, UK; ¹²Department of Rheumatology and Clinical Immunology, Charité University Medicine Berlin, Berlin, Germany; ¹³Sheffield Pulmonary Vascular Disease Unit, M-floor, Royal Hallamshire Hospital, Sheffield, UK and ¹⁴Department of Haematology, Sheffield Teaching Hospitals NHS Foundation Trust, University of Sheffield, Sheffield, UK. Correspondence: Professor D Farge, Unité Clinique de Médecine Interne, Maladies Auto-immunes et Pathologie Vasculaire, UF 04, Hôpital Saint-Louis, AP-HP Assistance Publique des Hôpitaux de Paris, INSERM UMR5 1160, Paris Denis Diderot University, Paris 75010, France. E-mail: dominique.farge-bancel@aphp.fr

¹⁵These authors contributed equally to this work.

¹⁶Affiliated to JACIE and EBMT.

¹⁷The members of EBMT ADWP Scleroderma Working Group members and EULAR contributors has been listed before references.

¹⁸These authors contributed equally to this work as senior authors.

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limited benefit.^{14,15} In contrast, early phase II trials^{16,17} and the randomized controlled ASSIST¹⁸ followed by the large European ASTIS phase III trials in SSc¹⁹ have shown that AHSCT using a high-dose cyclophosphamide regimen significantly improved forced vital capacity, decreased diseased-lung volume, and that SSc ILD might be partially reversed with continued improvement in lung function for at least 2 years after transplantation.^{18–20} The presence of extensive lung disease or of specific cardiac involvement in patients undergoing AHSCT is associated with an increased transplant-related mortality (TRM), reflecting concerns that cardiac impairment or impaired respiratory function may directly or indirectly compromise cardiac function²¹ and translate into high TRM due to inability to cope with complications. Due to direct cardiotoxicity of drugs, transplant-related fever, neutropenic infection and to saline overload during AHSCT, an extensive cardiac evaluation to exclude PAH and primary or secondary SSc-related cardiac involvement and constrictive pericarditis is essential to reduce the risk of TRM²² and to provide an acceptable risk-benefit ratio of AHSCT.²¹

The aim of these guidelines is to summarize the current evidence-based practices and to make recommendations as to how TRM from AHSCT may be minimized through multi-professional collaboration, careful patient selection, optimized treatment regimens and audit of outcomes. This cardiopulmonary guidelines process was initiated to update previous consensus²³ after 10 years of additional international experience, as initiated at Northwestern University (Chicago)²¹ and subsequently discussed and endorsed within the European Society for Blood and Marrow Transplantation (EBMT)-Autoimmune Disease Working Party (ADWP) in 2016.

CARDIOPULMONARY INVOLVEMENT IN SYSTEMIC SCLEROSIS

Cardiac complications

In early stages of disease, cardiac impairment is clinically underappreciated and often overlooked by standard echocardiographic reporting of gross wall motion abnormalities and left ventricular ejection fraction (LVEF).²⁴ Relying on LVEF alone can be misleading, since SSc hearts may have a restrictive or constrictive physiology with relatively intact LVEF, but significant diastolic dysfunction.^{22,25,26} As the disease may be clinically silent for a long time, clinical studies only report a 10–61% prevalence of cardiac involvement by using transthoracic echocardiography (TTE) as a screening tool,^{5,27} while it ranges between 50 and 81% in autopsy-based studies of SSc.^{28,29} In the EUSTAR database concerning 7073 patients,²⁶ LVEF was estimated by TTE using Simpson's method and the prevalence of LVEF < 55% was 5.4%. In contrast, a high prevalence of reduced left ventricle (LV) and/or right ventricle (RV) contractility in SSc patients despite a normal LVEF was detected when more sophisticated tools were used, such as tissue Doppler or cardiac magnetic resonance (CMR) imaging.

Ferri *et al.*⁸ reported 36% of SSc deaths related to cardiac disease alone, and a recent literature search,⁶ comprising a total of 12 829 SSc patients from 18 cohort studies, found that causes of death were 19.7% cardiac and 13.1% pulmonary hypertension, and the risk of death was increased in patients with cardiac involvement (hazard ratio (HR) 3.15) and with PAH (HR 3.50). In daily clinical practice, cardiac evaluation of SSc patients is based on the results from clinical examination, electrocardiography (ECG) and TTE, and in the presence of palpitations or abnormalities on ECG, on 24 h Holter monitoring. B-type natriuretic peptide (BNP) or N-terminal pro-BNP can be elevated as a marker of myocardial stress usually reflecting the presence of right heart failure or PAH.³⁰

Pulmonary artery hypertension

Pulmonary hypertension (PH) is defined at right heart catheterization (RHC) as a mean pulmonary artery pressure (mPAP) ≥ 25 mmHg. The current system of classification identifies five major groups, which define treatment and prognosis.^{31,32} PAH is a rare form of pulmonary hypertension due to a vasculopathy. PAH complicating SSc has a poor prognosis significantly worse than idiopathic PAH^{32,33} and requires specific vascular endothelium-targeted therapy. However, given the high prevalence of co-existing cardiac and lung disease, PH is also commonly seen in SSc in the presence of pulmonary hypertension-left heart disease (PH-LHD) and interstitial lung disease (PH-lung), where treatment of pulmonary hypertension should be directed at the underlying SSc-related heart or lung disease. Distinguishing these forms of pulmonary hypertension can be challenging in SSc, however, it is important not only in defining treatment but also survival. Indeed, patients with SSc and PH-lung have a worse prognosis than other PAH patients^{33,34} while SSc patients with PH-LD appear to have a significantly better prognosis.³² A large meta-analysis³⁵ from a number of registries identified a 1-year survival of 81% and 3-year survival of 52% in patients with SSc and PAH. Depending on the method used to diagnose PAH, its prevalence varies widely. However, using RHC as the gold standard, a meta-analysis has calculated a prevalence of PAH in SSc of 9%.³⁶

Screening for PAH in SSc with echocardiography is advocated by the European Society of Cardiology and European Respiratory Societies (ESC/ERS), who use tricuspid regurgitation velocity jet to decide on the need for further investigation.³⁷ However, a single threshold at echocardiography cannot be used to confidently exclude PAH, because tricuspid regurgitation velocity is not always present and/or can be eccentric leading to lower tricuspid regurgitation velocity, and in some studies comparing RHC to Doppler, tricuspid regurgitation velocity did not accurately reflect invasive pressures.³⁸ We and others have described the inaccuracies of echocardiographic systolic arterial pressure estimation.^{39,40} Furthermore, in the absence of lung disease, a significantly reduced free diffusion of carbon monoxide (DLCO) had high specificity, but no threshold could be used to confidently exclude PAH.⁴¹ Consequently, a complex scoring system, DETECT has been advocated as a screening tool for PAH in SSc³⁸ and this study reports a much higher sensitivity than echocardiography alone. The DETECT algorithm missed 4% of PAH patients (false negatives), but recommended RHC in 62% of patients while the strategy following 2009 ESC/ERS guidelines³⁷ missed 29% of diagnoses, and required a RHC referral rate of 40%. A limitation of the DETECT study is that this algorithm was only validated for patients with a SSc diagnosis of > 3 years and a DLCO < 60%. Therefore, it is likely that the value of the proposed algorithm is less accurate for those SSc patients with early rapidly progressive SSc within < 4 years after onset of diagnosis, who are the best candidates for AHSCT.

There is also increasing interest in the outcome of patients with SSc who do not by strict criteria have pulmonary hypertension, but have mPAP measured at basal RHC in the range (21–24 mmHg). Two studies identified that between 31 and 55% of these patients went on to develop resting PAH with a significant proportion doing so within 1 year of follow-up.^{42,43} These borderline patients will require careful assessment to exclude left heart disease. If transplanted, careful follow-up in prospective registries is important to ensure that they do not have a high medium-term mortality risk related to the early development of PAH. Given these uncertainties, there should be a low threshold for performing RHC in SSc patients considered for AHSCT. Performance of RHC appears a sensible mandatory requirement to exclude or confirm PAH in SSc patients considered for AHSCT, since when using the current cyclophosphamide intense transplant regimens, PAH is a contraindication to AHSCT.^{21,44}

Table 1. Transthoracic echocardiography

Two-dimensional and M-mode echocardiography	<p>LV or RV hypertrophy</p> <p>RV or LV wall motion abnormalities</p> <p>Significant pericardial effusion</p> <p>RV systolic dysfunction</p>	<p>LV mass index $>95 \text{ g/m}^2$ in women or $>115 \text{ g/m}^2$ in men, or RV free wall thickness in end-diastole $>5 \text{ mm}$ in the subcostal view.</p> <p>Diastolic interventricular septal bounce (sign of ventricular interdependence) or systolic or diastolic septal flattening of the interventricular septum (indicative of RV pressure or volume overload, respectively).</p> <p>Diameter $>1 \text{ cm}$ at ventricular end-diastole.</p> <p>RV fractional area change (apical 4-chamber view (RV end-diastolic area–RV end-systolic area)/RV end-diastolic area) $<35\%$ or TAPSE $<16 \text{ mm}$.</p> <p>LV ejection fraction $<55\%$.</p> <p>Defined as greater than moderate per guideline definitions.^{56,59}</p>
Doppler echocardiography	<p>LV systolic dysfunction</p> <p>Significant stenotic or regurgitant valvular lesions</p> <p>Elevated pulmonary artery systolic pressure</p>	<p>$>40 \text{ mmHg}$</p>
Pulse wave TDI	<p>TDI evaluation of the RV (tricuspid annulus)</p> <p>TDI evaluation of the LV</p>	<p>RV TDI s' velocity $<10 \text{ cm/s}$ is indicative of RV systolic dysfunction.⁶²</p> <p>On the LV side, a lateral s' velocity $<8 \text{ cm/s}$ is indicative of LV systolic dysfunction. For evidence of LV diastolic dysfunction on TDI, it is helpful to use age-specific cut-offs of lateral e' velocity⁶³ which have been used in prior clinical trials: $<10 \text{ cm/s}$ if aged 45–54 years, $<9 \text{ cm/s}$ if aged 55–65 years or $<8 \text{ cm/s}$ if aged >65 years.^{65–68}</p>

Abbreviations: LV = left ventricle; RV = right ventricle; TAPSE = tricuspid annular plane systolic excursion; TDI = tissue Doppler imaging.

Interstitial lung disease

ILD is common in SSc patients and almost a uniform finding at post mortem.⁴⁵ It ranges from a relatively limited and slowly progressive condition seen most frequently in limited cutaneous-SSc to a more florid, rapidly progressive form seen more commonly dc-SSc. High resolution (HR) computed tomography has revolutionized the identification and clinical assessment of ILD and is more sensitive than chest radiograph.⁴⁶ A number of high-resolution computed tomography patterns correlate with histological changes: including: (i) non-specific interstitial pneumonia, which is the most commonly observed and characterized by a mixture of ground glass change and fine reticular abnormalities often extending sub-pleural to the medulla, (ii) usual interstitial pneumonitis, characterized by sub-pleural reticular changes with irregular linear opacities and cyst formation/honeycombing with no or minimal ground glass change (iii) organizing pneumonia, usually bilateral and consisting of areas of consolidation/nodular change. Assessing the severity of lung disease to define clinical course and help in decisions regarding the risk-benefit ratio of AHSCT can be challenging. Detailed assessment using volume CT to evaluate the extent of lung disease plus lung function testing is required to exclude patients at higher risk of TRM, but also importantly to gain prospective data on potential treatment benefits.

HOW TO ASSESS CARDIOPULMONARY INVOLVEMENT IN SSC PATIENTS BEFORE REFERRAL FOR TRANSPLANT

Transthoracic echocardiography

Although TTE, as routinely performed in SSc patient follow-up is not without limitations, it provides important information (Table 1).

Two-dimensional and M-mode echocardiographic findings that should be investigated include (1) significant LV or RV hypertrophy, (2) overt RV or LV wall motion abnormalities (including diastolic interventricular septal bounce), (3) significant pericardial effusion, (4) RV systolic dysfunction and (5) LV systolic dysfunction. Important Doppler findings on TTE that must be evaluated further in SSc prior to AHSCT include the following: (1) any hemodynamically significant stenotic or regurgitant valvular lesions, (2) elevated systolic arterial pressure, (3) notching in the RV outflow tract pulse Doppler tracing, a sign of pulmonary

hypertension⁴⁷ or (4) moderate or greater LV diastolic dysfunction for the diagnosis and grading of LV diastolic dysfunction.⁴⁸

Pulse wave tissue Doppler imaging of the lateral (anterior) tricuspid annulus and septal and lateral mitral annulus should be performed on all SSc patients undergoing evaluation for HSCT. Tissue Doppler imaging evaluation of the RV (tricuspid annulus) and LV (lateral mitral annulus) can provide important clues to the diagnosis of RV and LV dysfunction, respectively.

Speckle-tracking echocardiography, a method for quantitating myocardial mechanics that can detect subtle abnormalities in myocyte calcium cycling and myocardial fibrosis,⁴⁹ is also an important tool for analyzing subclinical myocardial dysfunction in SSc.⁵⁰ It remains to be seen whether speckle-tracking indices such as global longitudinal strain and early diastolic strain rate of both the LV and RV will be helpful beyond the other, more routine testing (TTE, CMR and invasive hemodynamic testing with fluid challenge) that we currently recommend for pre-AHSCT evaluation in the SSc patient.

Cardiac magnetic resonance imaging

CMR has emerged as the gold standard to assess right and left ventricular systolic function (LVSF), myocardial fibrosis and pericardial disease. It provides important complementary information not necessarily detected by TTE.⁵¹ In a consecutive series of SSc patients, TTE detected abnormalities in only 45% when CMR observed abnormalities in 75% of cases.⁵¹ In fact, in patients with SSc, ECG, CMR and RHC without and with a fluid challenge provide independent assessments of cardiac response to stress and are all required to adequately assess pre-AHSCT risk.

Ventricular morphology and function. Cine CMR is considered the gold standard technique for quantifying ventricular mass, volumes and function (Figures 1a and b). Without the need for contrast, the high blood-myocardial signal difference improves endocardial border definition resulting in superior inter-study reproducibility for left ventricular volumes, function and mass when compared to ECG.⁵² In 50 SSc patients free of cardiac symptoms, CMR detected lower RV ejection fraction compared with controls, and lower RV EF in dc-SSc compared with lc-SSc suggesting a role for CMR in identifying subclinical RV dysfunction in SSc patients.⁵³ Cine CMR is the best method to detect diastolic flattening of the interventricular septum 'D-sign', an indicator of either right-

Figure 1. (a, b) Cine CMR: A four chamber view (left) with positions of each slice of a short axis stack (right). Imaging is performed in the 2-chamber, 3-chamber and 4-chamber long axis views and in a stack of short axis slices, typically acquired every 10 mm from the mitral valve plane to the apex. Mass and volume are quantified from the short axis stack according to Simpson's Rule by slice summation, which avoids making any geometric assumptions about the shape of the ventricle. The high blood-myocardial contrast and ability to quantify volumes without geometric assumptions is especially useful in the RV, because of its complex shape, abundant trabeculations and thin wall. Because gadolinium contrast agents are confined to the extracellular space, the change in T1 relaxation time is inversely related to the contrast agent volume of distribution. Even without the administration of contrast, native T1 mapping detects prolongation of T1 in SSc. Active inflammation can be assessed by T2-weighted images, which demonstrate increased signal in areas of myocardial edema. Abnormal myocardial T2 signal has been described in patients with SSc.⁵⁰ Endocardial (blue) and epicardial (yellow) borders are drawn, enabling calculation of left ventricular mass and chamber volume according to Simpson's rule.⁵⁵ (c) Diastolic flattening of interventricular septum, 'D-sign', visualized by cine CMR. Adapted from Burt *et al.*²² <http://www.jrheum.org/content/39/2/206.long>, reproduced with permission from *Journal of Rheumatology* and Richard Burt. (d) Focal fibrosis in a SSc patient involving the mid and epicardial myocardium, sparing the subendocardium, as visualized by LGE-CMR. Because gadolinium contrast agents are confined to the extracellular space, the change in T1 relaxation time is inversely related to the contrast agent volume of distribution. CMR measurement of the relative gadolinium concentration in the blood and myocardium, and the direct measurement of the volume of distribution of gadolinium in the blood (1-hematocrit), enables calculation of extracellular volume fraction (ECV), an index of the volume of distribution of contrast agent in the myocardium. Adapted from Burt *et al.*²² <http://www.jrheum.org/content/39/2/206.long>, reproduced with permission from *Journal of Rheumatology* and Richard Burt. (e, f) Pericardial effusion seen as bright white on Cine CMR (left) and dark black on phase sensitive inversion recovery LGE-CMR (right). Pericardial thickening and enhancement are also seen on LGE-CMR. (g) Global subendocardial hypoperfusion in a SSc patient seen on adenosine stress CMR perfusion imaging.

Table 2. RHC with fluid challenge

RA pressure tracing	Kussmaul's sign: abnormal rise in RA pressure during inspiration, reflective of an inability of the RV to handle increased blood volume, which can be due to a non-compliant RV, a non-compliant pericardium (that is, constrictive pericarditis) or significant (typically severe) tricuspid regurgitation. On the RV pressure tracing, a dip-and-plateau sign is indicative of either a non-compliant RV or constrictive pericarditis. As is the case with the RA pressure tracing, a tall A wave at end-diastole in the RV pressure tracing is a sign of a non-compliant RV.
PA pressure waveform	A wide PA pulse pressure (PA systolic pressure–PA diastolic pressure) is a sign of increased PA stiffness. PH is defined as a mean PA pressure ≥ 25 mmHg, while PAH is defined as a mean PA pressure ≥ 25 mmHg and pulmonary arterial wedge pressure (PAWP) < 15 mmHg (along with elevated pulmonary vascular resistance [PVR] > 3.0 Wood units). In patients with pulmonary venous hypertension (i.e., PH with PAWP ≥ 15 mmHg), an elevated diastolic pulmonary gradient (PA diastolic pressure – PCWP) > 7 mmHg is a sign of combined post-capillary and pre-capillary.
Fluid challenge during RHC	If RA pressure is ≤ 12 mmHg and PAWP ≤ 20 mmHg at rest, we recommend intravenous fluid challenge with 10 cc/kg warmed normal saline, infused over a 10-min period. The RA, PA and PAWP pressure should be measured, along with the cardiac output, immediately after the fluid challenge. Signs that demonstrate inability of the SSc patient to handle a volume load include: <ol style="list-style-type: none"> (1) RA pressure post $>$ PCWP post or ΔRA pressure $>$ ΔPCWP, which are signs of RV dysfunction. (2) Increased mean PA pressure post > 35 mmHg, increased PVR post > 3 Wood units or increased DPG post > 7 mmHg, which are indicative of stiff pulmonary vasculature. (3) Increased PAWP post > 25 mmHg, which is indicative of LV diastolic dysfunction. (4) Unchanged or decreased cardiac output post, which is indicative of RV or LV dysfunction. (5) Exaggerated dip-and-plateau pattern in the RV pressure tracing, which is a sign of restrictive or constrictive physiology.

Abbreviations: DPG = diastolic pulmonary vascular pressure gradient; LV = left ventricle; PA = pulmonary artery; PAWP = pulmonary arterial wedge pressure; PCWP = pulmonary capillary wedge pressure; PVR = pulmonary vascular resistance; RA = right atrial; RHC = right heart catheterization; RV = right ventricle; SSc = systemic sclerosis.

sided volume or pressure overload and elevated pulmonary vascular resistance or in the absence of PH and of RV systolic dysfunction with a constrictive and/or restrictive physiology (Figure 1c).

Myocardial tissue characterization. Late gadolinium-enhanced CMR (LGE-CMR) can accurately identify the presence, location, size and morphology of irreversibly damaged myocardium. Regions of focal myocardial fibrosis become enhanced relative to healthy myocardium several minutes after administration of a gadolinium-based contrast agent (Figure 1d). In the chronic setting, fibrotic tissue has a larger interstitial space than normal myocardium leading to a higher regional concentration of extracellular contrast agent, more T1 shortening and brighter signal. In SSc patients, focal myocardial fibrosis may result from recurrent vasospasm, ischemia–reperfusion injury and inflammation. Focal myocardial fibrosis is frequently seen in SSc patients by LGE-CMR (14% to 66%).^{51,56–58} In SSc autopsy studies, the majority of myocardial fibrosis was evident only on microscopic examination.⁵⁹ This type of diffuse fibrosis may be underestimated or even missed by LGE-CMR, which relies on differential contrast uptake between diseased and normal myocardium. Newer techniques employing T1 mapping now enable quantification of diffuse myocardial fibrosis by calculating the myocardial extracellular volume fraction.⁶⁰

Pericardial disease is common in SSc and can include pericardial effusion, pericarditis, cardiac tamponade and constrictive pericarditis. Pericardial effusions are well seen both on cine and LGE imaging (Figures 1e and f). Increased ventricular interdependence is manifested as a ‘septal bounce’—early diastolic flattening or inversion of the interventricular septum seen during inspiration followed by a right-sided septal shift during exhalation seen on free breathing cine CMR.

Myocardial perfusion. SSc is often complicated by microvascular coronary artery disease, which manifests as diffuse limitation in vasodilator reserve. CMR first-pass perfusion imaging has a higher spatial resolution, and can detect subendocardial perfusion defects that are below the resolution afforded by single-photon

Figure 2. Flowchart for baseline evaluations and SSc patient selection criteria prior to HSCT.

Table 3. Baselines evaluation and SSc patient selection prior to HSCT according to ADWP consensus

<i>Suggested baseline evaluations</i>	
History	Complete history and examination including evaluation of quality of life by SHAQ-VAS, and/ or SF-36 questionnaires and of the extent of skin thickening by the mRSS.
Standard hematological, biological and urinary analyses and viral serologies	Blood cell count, ESR. Chemistry: electrolytes, renal and liver function tests, proteins, CK, BNP or NT-proBNP, troponin T or troponin I, CRP. Urine: protein/creatinine ratio; urine analysis, casts. Viral serologies: CMV, HSV, VZV, EBV, VDRL, HIV, (HTLV-1,2), Hepatitis B and C and autoantibody titers.
Immunology according to EBMT/EULAR Consensus Core Set	ANA, anti-Scl-70, anti-centromere and anti-polymerase RNA III antibodies (indicates high risk for renal crisis).
Cardiopulmonary	Immune-phenotyping by FACS of peripheral blood mononuclear cells = CD3 ⁺ , CD4 ⁺ , CD8 ⁺ , CD4 ⁺ CD45RA, CD4 ⁺ CD45RO, CD31, CD3 ⁻ CD56 ⁺ CD16 ⁺ , CD19 ⁺ , CD14 ⁺ ; IgG, IgA, IgM). Biobanking: storage of plasma, serum, RNA, DNA. Chest X-ray, and exercise test (6MWT) is recommended. ECG with rhythm strip, 24 h Holter monitoring in clinically symptomatic patients (for example, palpitations, dizziness) or in those with rhythm abnormality on 12-lead ECG or rhythm strip. Comprehensive TTE with Doppler and tissue Doppler imaging. CMR with contrast and deep breathing cine images to evaluate for interventricular septal flattening or bounce. Invasive RHC including a fluid challenge. Contiguous and High resolution thoracic CT (or multi slice CT-scan with 6 HR section slices). Pulmonary function studies (including TLC, VC, DLCO, DLCO/VA, RV).
Gastrointestinal	For patients with history of GAVE or anemia or iron deficiency, endoscopy should be performed and vascular ectasia, even if not actively bleeding, should undergo cauterization repeated on two or three occasions several weeks later to prevent massive bleeding during transplant induced thrombocytopenia.
<i>Suggested exclusion criteria for AHSCT in SSc using a cyclophosphamide-based regimen.</i>	
Age	> 65 years.
Pregnancy	Pregnancy or unwillingness to use adequate contraception throughout investigation.
Psychiatric	Psychiatric disease including alcohol or drug abuse.
Consent	Inability to provide informed consent for treatment.
Liver function	Twofold increase in liver transaminases or bilirubin. Failure of synthetic function or evidence of cirrhosis.
Neoplasms	Neoplasms myelodysplasia or serious hematological disorders contraindicating AHSCT.
Infection	Active acute or chronic viral infection with HIV, HTLV-1,2, hepatitis B or C.
Heart	LVEF < 45%. mPAP > 25 mmHg or PASP > 40 mmHg without fluid challenge. mPAP > 30 mmHg or PASP > 45 mmHg with 1000 cc NS infused over 10 min. Diastolic septal flattening (D-sign). Septal bounce. Constrictive pericarditis. Cardiac tamponade. Significant atherosclerotic disease.
Pulmonary	Arrhythmias that cannot be pharmacologically controlled, cardioverted or ablated. FVC < 65%. DLCO-SB < 40%. Note: if pre-HSCT echocardiogram, CMR, and cardiac catheterization with and without fluid challenge demonstrate no contraindication, patients with lower DLCO and FVC may be considered candidates.
Renal	Patients should be strongly encouraged to stop smoking. SSc renal crisis in the previous 6 months. Glomerular filtration rate < 40 mL/min/1.73 m ² . Note: patients with renal crises or renal failure may be considered candidates if blood pressure is well controlled and dialysis is performed the morning following each cyclophosphamide infusion, respectively.

Abbreviations: 6MWT = 6 minutes walking test; ADWP = Autoimmune Disease Working Party; AHSCT = autologous hematopoietic stem cell transplantation; BNP = B-type natriuretic peptide; CMR = cardiac magnetic resonance; CT = computerized tomography; DLCO = diffusion of CO; DLCO/VA = KCO carbon monoxide transfer coefficient; ECG = electrocardiography; ESR = erythrocyte sedimentation rate; FVC = forced vital capacity; HR = high resolution; HTLV = human T-lymphotropic virus; LVEF = left ventricular ejection fraction; mPAP = mean pulmonary artery pressure; mRSS = modified Rodnan skin score; NT-proBNP = N-terminal pro-BNP; RV = residual volume; SSc = systemic sclerosis; TLC = total lung capacity; VA = alveolar volume; VC = vital capacity; VDRL = venereal disease research laboratory test.

emission CT (SPECT; Figure 1g). In SSc patients treated with nifedipine, the upslope ratio of CMR perfusion time–intensity curves in the myocardium and blood (a semi-quantitative index of myocardial perfusion) increased from baseline after 14 days of treatment. Because the diffuse microvascular coronary artery disease seen in SSc results in global reduction in myocardial perfusion, quantification of perfusion⁶¹ may provide advantages over visual assessment and analysis techniques that rely on relative perfusion differences between adjacent segments.

Diastolic dysfunction. Advancements in CMR velocity encoding techniques now allow accurate measurement of blood and myocardial velocities. Emerging techniques enabling quantification of myocardial perfusion, myocardial diffuse fibrosis and diastolic dysfunction are likely to further enhance the utility of CMR in the evaluation of SSc patients for AHSCT.

Right heart catheterization with fluid challenge

RHC is considered the gold standard test for the diagnosis of PAH,⁶² and is an essential part of the diagnostic work-up for both

Table 4. AHSCT procedures according to EBMT and international consensus

Mobilization and Leukapheresis	PBSCs may be mobilized using either cyclophosphamide 2g/m ² infused in one session or 4g/m ² divided equally over 2 consecutive days followed by G-CSF 5–10 µg/kg/day and leukapheresis according to institutional protocols. A minimum of 3.0 × 10 ⁶ /kg CD34 ⁺ at harvest and freeze resulting in minimum 2.0 × 10 ⁶ /kg viable CD34 ⁺ cells is required for transplant.
Conditioning and peripheral stem cell infusion	Conditioning should not be initiated until at least 3–4 weeks of mobilization cyclophosphamide dose to minimize accumulated acute cyclophosphamide myocardial injury. Prior to conditioning a central venous catheter will be placed. The current published conditioning regimen consists of cyclophosphamide 50 mg/kg/day intravenously for 4 consecutive days (total 200 mg/kg) and rbATG (thymoglobulin) at total doses of 6.5–7.5 mg/kg, delivered according to institutional protocols. Given the potential of ATG-induced fever to destabilize patients, intravenous methylprednisolone >3–10 mg/kg will be given to improve tolerability of the rbATG and decrease fever. ATG fever is also minimized by limiting the first dose of ATG to not more than 0.5 mg/kg. The interval between the last dose of cyclophosphamide and infusion of the graft should be at least 48 h. On day 0 stem cells are thawed and infused according to local standard operating procedures.
Supportive care	The minimum number of CD34 ⁺ cells reinfused should be 2 × 10 ⁶ /kg, whether the graft is unselected or CD34 ⁺ selected. Supportive care measures, including prophylactic or therapeutic antibiotics, anti-viral, anti-pneumocystis and anti-fungal agents, will be taken according to local standard operating procedures for such patients. Adherence to specific guidelines is recommended (according to EBMT standards). Transfusions will be given in accordance with local standard operating procedures for such patients. Based on current transplantation-experiences in scleroderma patients, particular attention should be paid to thrombocytopenia, bleeding tendency, hypo and hypertension, and respiratory insufficiency. For both mobilization and conditioning, cyclophosphamide should be given with appropriate hydration and mesna (to prevent hemorrhagic cystitis), but extreme care must be taken in fluid balance management as patients are sensitive to overload.

Abbreviations: AHSCT = autologous hematopoietic stem cell transplantation; EBMT = European Society for Blood and Marrow Transplantation; rbATG = rabbit antithymocyte globulin.

PAH and constrictive pericarditis.⁶³ Given the inability to reliably exclude PAH using non-invasive techniques, all SSc patients should undergo RHC during evaluation for AHSCT (Table 2), and the test should be performed and interpreted by operators experienced in hemodynamic testing. It is important to ensure proper zeroing of the pressure transducer, and all pressures should be measured at end-expiration (at which time intrathoracic pressure is closest to zero).

On the right atrial (RA) pressure tracing, evidence of increased RV stiffness includes a prominent A wave (reflecting increased resistance to atrial contraction due to a non-compliant RV) and increased RA pressure during inspiration (Kussmaul's sign). Prominent V waves on the RA pressure tracing indicate significant tricuspid regurgitation. If any of these signs is present on the RA pressure tracing, the pre-transplant SSc patient should be further evaluated to determine whether there is significant RV fibrosis, primary RV failure, constrictive pericarditis or tricuspid regurgitation.

It is also helpful to analyze the PA pressure waveform on invasive hemodynamic testing (Table 2). Evidence of PAH or combined post- and pre-capillary PAH on RHC testing are absolute contraindications for AHSCT in SSc. Patients with mild isolated pulmonary venous hypertension can undergo diuresis with re-evaluation, although these patients will be susceptible to adverse effects from fluid overload during AHSCT and should therefore be carefully evaluated to exclude significant LV systolic or diastolic dysfunction.

Fluid challenge during RHC is an important part of the pre-AHSCT evaluation in SSc as a method for understanding the cardiopulmonary response to increased volume. Although RHC is considered to be a gold standard for hemodynamic assessment, it only provides a snapshot of the patient at rest, and typically occurs after fasting for >8 h. Since AHSCT involves the administration of a considerable volume load, fluid challenge can be very helpful to examine the response of the cardiopulmonary system to increased volume in patients with SSc, and should be performed in all patients in whom resting RA pressure <12 mmHg and pulmonary capillary wedge pressure (PCWP) <20 mmHg. An exaggerated rise in RA pressure, mean PA pressure or PCWP, the emergence of a dip-and-plateau sign on RV pressure tracing, and/or lack of augmentation of cardiac output are all potential signs of a compromised SSc heart or pulmonary

vasculature in need of further assessment and could signify the need to exclude patients from AHSCT.^{20,21}

SSC PATIENT SELECTION CRITERIA AND PROCEDURES FOR AUTOLOGOUS HEMATOPOIETIC STEM CELL TRANSPLANTATION

Referral criteria

Generally, patients should be considered for AHSCT if their age is under 65 and onset of SSc within 5 years, although patients with disease of up to 10 years duration have been transplanted without increased risk, provided pre-cardiac assessment was normal. Best candidates for AHSCT are patients within the first 4 years of rapidly progressive dc-SSc onset and a life-threatening condition with a 3–5 year survival, as well established by recent meta-analysis³ and large EUSTAR prospective⁴ studies. Until more cardiac-safe non-cyclophosphamide intensive regimens are developed, only patients without evidence for PAH and sufficient cardiopulmonary function based on defined selection criteria (Figure 2, Table 3) are eligible for AHSCT.

Procedures of AHSCT

In October 2016, more than 2200 patients with autoimmune diseases treated by AHSCT have been reported to the EBMT database, including 440 patients with SSc, while 136 patients with SSc have been transplanted in Chicago. AHSCT procedures (mobilization, leukapheresis, conditioning, peripheral stem cell infusion, supportive and post-transplant care) are detailed in Table 4. Each center will follow its own local protocol for AHSCT with regard to the risk/benefit balance after careful standard clinical and biological patient pre-transplant evaluation, whereas the local treatment regimen and follow-up evaluation will be recorded and analyzed according to ADPW guidelines.^{44,64}

CONCLUSIONS

Recently published evidence in randomized controlled trials has shown significant improvement in organ function, quality of life, disability and long-term survival. However, because of vital organ

damage caused by SSc, the risks of transplant-related complications and treatment-related mortality risks have been relatively high compared with other diseases. There is a need for more thorough screening and evaluation of patients, as described herein, to decrease the risk of transplant toxicity within specialized centers of SSc-related transplant expertise.

CONFLICT OF INTEREST

The authors declare no conflict of interest.

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AUTHOR CONTRIBUTIONS

DF and RKB contributed equally to this article as first authors and DGK and JS contributed equally as senior authors. Concept and design: DF, RS, TA and JAS. Drafting of the manuscript: DF, RKB, DGK, EM and JAS. Critical revision of the manuscript for important intellectual content: DF, RKB, MCO, EM, MR, ZM, JdVB, RS, SJS, DCL, CD, TA, DGK and JAS. The current recommendations are based on pre-transplant cardiac evaluations first initiated at Northwestern University (RKB, SJS and DCL), Chicago and subsequently discussed at the EBMT ADWP Meetings held in Sheffield (November 2013) Paris (November 2014) and Istanbul (March 2015).

EBMT ADWP SCLERODERMA WORKING GROUP MEMBERS AND EULAR CONTRIBUTORS

Renate Arnold, Manuela Badoglio (ADWP data manager), Thomas Daikeler, Jörg Henes, Thierry Martin, John Moore, Montserrat Rovira, Hans Ulrich Scherer, Belinda Simoes.

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