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Hickman catheter and implantable port devices for the delivery of chemotherapy: a phase II randomised controlled trial and economic evaluation

Olivia Wu^{*,1}, Kathleen Boyd¹, Jim Paul², Elaine McCartney², Moira Ritchie^{1,3}, D Mellon⁴, Linda Kelly⁵, Judith Dixon-Hughes² and Jon Moss³

¹Health Economics and Health Technology Assessment, Institute of Health and Wellbeing, University of Glasgow, 1 Lilybank Gardens, Glasgow G12 8RZ, UK; ²Cancer Research UK Clinical Trials Unit, Institute of Cancer Sciences, University of Glasgow, 1053 Great Western Road, Glasgow G12 0YN, UK; ³Interventional Radiology Unit, Gartnavel General Hospital, 1053 Great Western Road, Glasgow G12 0YN, UK; ⁴Beatson West of Scotland Cancer Centre, 1053 Great Western Road, Glasgow G12 0YN, UK and ⁵School of Health Nursing and Midwifery, University of West of Scotland, Caird Building, Hamilton ML3 0JB, UK

Background: In the United Kingdom, totally implantable venous access systems (TIVAS) are not routinely used. Compared with Hickman catheters, these devices are more expensive and complex to insert. However, it is unclear whether the higher costs may be offset by perceived greater health benefits. This pilot trial aimed to generate relevant data to inform the design of a larger definitive randomised controlled trial.

Methods: This was a phase II prospective, randomised, open trial from two UK oncology centres. The primary end point was overall complication rate. Secondary end points included individual complication rates, time to first complication and quality of life. Analysis was by intention to treat. An economic evaluation was also carried out.

Results: A total of 100 patients were randomised in a 3:1 ratio to receive a Hickman or a TIVAS. Overall, 54% of patients in the Hickman arm suffered one or more complications compared with 38% in the TIVAS arm (one-sided P = 0.068). In the Hickman arm, 28% of the devices were removed prematurely due to a complication compared with 4% in the TIVAS arm. Quality of life based on the device-specific questionnaire was greater in the TIVAS arm for 13 of the 16 questions. The economic evaluation showed that Hickman arm was associated with greater mean cost per patient £1803 (95% CI 462, 3215), but similar quality-adjusted life years -0.01 (95% CI -0.15, 0.15) than the TIVAS arm. However, there is much uncertainty associated with the results.

Conclusions: Compared with Hickman catheters, TIVAS may be the cost-effective option. A larger multicentre trial is needed to confirm these preliminary findings.

When intravenous chemotherapy is needed it can either be given through a peripheral cannula (typically in a forearm vein) or through a central venous access device where the catheter tip is placed in a large central vein (typically the superior vena cava). Peripheral administration of chemotherapy frequently causes local

vein irritation and thrombosis. This results in rapid exhaustion of the forearm veins, interruption to treatment, patient discomfort and a genuine fear of cannulation (Cheung *et al*, 2009). When the catheter tip lies centrally in a large vein, the damage is mitigated due to rapid blood flow and large vessel diameter.

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^{*}Correspondence: Professor O Wu; E-mail: olivia.wu@glasgow.ac.uk

These advantages make central devices the obvious choice for longer drug regimes.

There are three main types of central device: (i) tunnelled central catheter commonly referred to as a Hickman; (ii) peripherally inserted central catheter (PICC); and (iii) totally implanted venous access system (TIVAS) commonly referred to as a port (Bishop et al, 2007). A recent informal survey (personal communications) of nine large UK cancer units indicated Hickman (58%) to be the most common followed by PICC (33%), with TIVAS only used in 9%. The TIVASs are more expensive, more complex and invasive to insert, and many healthcare staffs are unfamiliar with their aftercare. However, there is some evidence that TIVAS may have the lower complication rate and lead to greater patient satisfaction with less interruption to treatment regimens (Kulkarni et al, 2014). The evidence is weak and the studies are heterogeneous, in terms of patient populations, methodological approach and definition of outcomes. Therefore, the magnitude of this reduced risk is still unclear.

There is a need to evaluate the value of these devices to the UK NHS by looking at clinical and cost-effectiveness. It is unclear whether the higher purchasing costs of TIVAS may be offset by the perceived clinical benefits of lower complication rates and greater patient satisfaction. This phase II pilot trial aimed to inform the design of a larger definitive randomised controlled trial (RCT) by generating information about potential recruitment rates, incidence and distribution of outcome events, and the potential cost-effectiveness of the devices.

MATERIALS AND METHODS

Study design and participants. This study was a phase II prospective, randomised, open trial conducted at two regional oncology centres in Scotland. All oncology patients with solid tumours, aged 18 years and over, who required a central venous access device for the delivery of chemotherapy, were eligible to participate in the study. Those who had evidence of any medical or psychiatric disorders that would be a contraindication to study participation and those with life expectancy of <3 months were excluded. This trial was reviewed and approved by the Multicentre Research Ethics Committee (11/AL/0083).

Randomisation and masking. All eligible patients were centrally randomised using minimisation, with respect to body mass index (BMI; < 20, 20 to < 30, 30 to < 40, $\geqslant 40$), with a random element. A 3:1 (Hickman: TIVAS) randomisation ratio was used because of the limited availability and the cost of TIVAS. It was not feasible to mask participants and nurses to the allocated treatment.

Procedures. All devices were placed at one site under local anaesthesia with the patient option of conscious sedation. Hickman catheters were either single or double lumen; TIVASs were single lumen devices. The majority of the devices were placed by senior interventional radiologists, with a small number of Hickman catheters placed by a nurse-led venous access team. All devices were placed using jugular veins for access with ultrasound guidance. The positioning of the Hickman catheters was confirmed by fluoroscopy or chest X-ray; fluoroscopy was routinely used to position the TIVAS. A standardised approach to catheter care was adopted, which included weekly heparin flush and dressing change for the Hickman catheters, and monthly heparin flush for TIVAS. Unlike the Hickman catheters, TIVASs were not in routine use at either of the two centres before the study. Therefore, chemotherapy nursing staff received training before the start and during the study to minimise the potential impact of the 'learning curve'.

Outcomes. The primary end point was overall complication rate. Complications included infection (blood stream infection, wound

or exit site infection) and mechanical complications (line occlusion, migration, accidental withdrawal, flipping, central venous thrombosis, wound haematoma and skin breakdown or ulceration). Secondary end points included incidences of individual complications, time to first complication, health-related quality of life and resource use. Time to first complication was defined as the time from study registration until confirmed complication. Patients who did not experience a complication were censored at the date of device removal, date of last chemotherapy if the device had not been removed, the date of withdrawal if the patient withdrew from the study before experiencing complications or date of death. Health-related quality of life was assessed using a specifically designed 16-question device-specific questionnaire (Supplementary Appendix I) and the EuroQoL 5D (EQ-5D). The EQ-5D was recorded at baseline and monthly thereafter until device removal, death or end of follow-up. Resource use was recorded as consultations with healthcare professionals (inpatient stay, outpatient visits and general practitioner consultations). Patients were recruited between August 2011 and July 2013; the 12-month follow-up was completed in July 2014.

Statistical analysis. The sample size calculation was based on a randomised phase II screening approach to provide initial evidence of the effect of TIVAS in lowering the complication rate relative to Hickman catheters (Rubinstein et al, 2005). Only one UK study had previously compared Hickman and TIVAS-associated complications in patients undergoing chemotherapy (Ng et al, 2007), and reported a complication rate of $\sim 60\%$ with Hickman catheters. The current phase II trial was designed to have 82% power to produce a statistically significant result at the 20% onesided level of statistical significance if the true complication rate with TIVAS is 40%. This corresponds to an odds ratio (OR) of 2.25, which is at the low end of the estimates obtained from the wider literature (Carde et al, 1989; Kappers-Klunne et al, 1989; Mueller et al, 1992; Dillon et al, 2004; Johansson et al, 2004). The intention was to randomise 75 patients to Hickman catheters and 25 patients to TIVAS.

All analyses were performed on the intention-to-treat principle. Logistic regression was used for the primary analysis to compare the proportion of patients on each arm experiencing one or more complication; the model included the stratification variable used in the randomisation (BMI). Time to first complication was analysed as a secondary end point using a Cox regression, also including BMI in the model. Quality of life analysis was based on the device-specific questionnaire. Overall, 16 questions were graded on a scale of 1 (not at all) to 4 (very much). The worst score reported during the study was established for each question and these were compared across study arms via Mann–Whitney *U*-tests. The *P*-values for the individual questions were adjusted for multiple comparisons using the false-discovery rate approach (calculated using the p.adjust function of the stats library in R (http://www.r-project.org).

Pre-trial economic modelling. A probabilistic decision analytical model was used to evaluate the potential cost-effectiveness of Hickman catheters and TIVAS from the perspective of the UK NHS over the trial period (12 months). A simple decision tree structure was adopted to identify patients who may and may not experience complications. Data relating to complication rates, resource use, costs and health utilities were based on the results of the current phase II trial. The cost of Hickman catheters and TIVAS were costed at £80 and £300, respectively. The costs associated with the devices were calculated by applying unit costs to healthcare resource use. Health utilities and quality-adjusted life years (QALYs) were calculated from the EQ-5D data. Multiple imputation was used to impute missing values of the EQ-5D five dimensions (Rubin and Schenker, 1986), and mean QALYs were estimated using the area-under-curve approach (Dolan, 1997). Where appropriate, cost-effectiveness was expressed as incremental

cost per complication averted and incremental cost per QALY gained. Probabilistic (via a 1000 iteration Monte Carlo simulation) and univariate sensitivity analyses were undertaken to assess uncertainty.

To examine whether conducting a larger RCT of Hickman lines versus TIVAS may be worthwhile, an expected value of perfect information (EVPI) analysis was carried out (Drummond et al, 2007). The analysis combined the probability and the cost of making the wrong decision, in terms of forgone health benefit and wasted resources based on uncertainty in the existing data. For the model, it was assumed that the life of technology is 5 years and the number of eligible patients per annum has been estimated at 425 000 per annum in the United Kingdom (HES data 2009-2010). A sample size calculation for a future trial was also undertaken based on the results of the economic evaluation using the net monetary benefit (NMB) approach (Supplementary Appendix II; Briggs, 2000). The estimates for both the cost and the effects were combined to determine the sample size for a cost-effectiveness outcome, using the traditional statistical methods for mean effectiveness, but based on the expected change in NMB (i.e., the change in monetarised effect minus the change in cost between the two alternatives; Briggs, 2000; Armitage et al, 2002).

RESULTS

Seventy-four patients were randomised to Hickman catheters and 26 to TIVAS (Figure 1). One patient randomised to the TIVAS arm received a Hickman catheter due to administrative error. Three patients withdrew from the study before device insertion (two Hickman arm and one TIVAS arm). Devices were all successfully placed in 97 patients. The majority (Hickman 93% and TIVAS 84%) were inserted on the day of randomisation, and the remainder within 6 days. No immediate complications occurred during device placement. The two arms were well balanced for demographic and clinical baseline characteristics (Table 1). Colorectal, breast and pancreatic cancers made up the majority of the tumour types.

Complications. Forty (54%) Hickman patients reported one or more complication compared with 10 (38%) TIVAS patients (Table 2). On the basis of logistic regression model, taking into account BMI stratification, Hickman catheters were associated with a statistically significant increased risk (the threshold for statistical significance was based on the pre-defined statistical plan of this

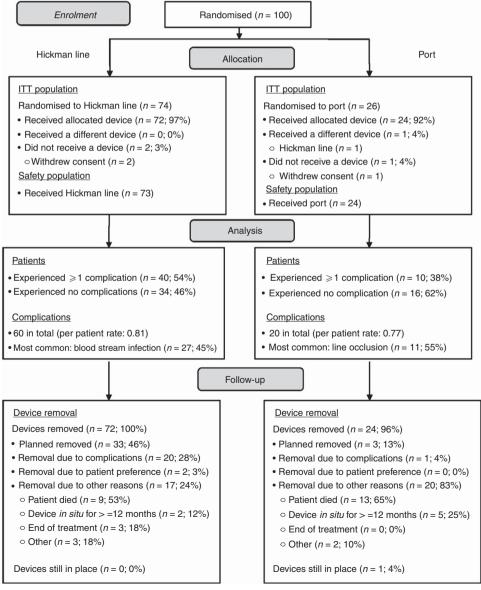


Figure 1. Trial profile.

phase II study) of one or more complications compared with TIVAS devices (OR 2.07; 80% CI 1.11, 3.88; exact one-sided P = 0.068).

There were 28 blood stream infections in total, 27 in 20 Hickman patients and in 1 TIVAS patient. Blood stream infection was the commonest complication in the Hickman arm, accounting for 45% of the complications. Fifteen patients, all in the Hickman arm required device removal due to blood stream infection. There were 30 line occlusions, 19 in 15 Hickman patients and 11 in 6

Table 1. Demographic and clinical characteristics of the intention-to-treat population Hickman (n = 74)TIVAS (n = 26) Demographic characteristics Men 24 (32%) 12 (46%) Mean age (years) 58 (s.d. 11) 57 (s.d. 12) 74 (100%) 22 (85%) White ethnic origin Clinical characteristics ВМІ < 20 10 (14%) 4 (15%) 20 to <30 40 (54%) 13 (50%) 30 to < 4020 (27%) 7 (27%) ≥40 4 (5%) 2 (8%) Cancer type 23 (31%) 9 (35%) Colorectal 25 (34%) 7 (27%) Breast Pancreas 4 (5%) 3 (12%) 41 (55%) Metastatic disease 15 (58%) Abbreviation: TIVAS = totally implanted venous access system.

TIVAS patients. Line occlusion was the commonest complication in the TIVAS arm accounting for 55% of the complications. These were primarily resolved through simple catheter flushes and none required device removal in the TIVAS arm. In contrast, two patients in the Hickman arm required device removal due to occlusion. One patient in each arm had a confirmed central venous thrombosis; there were no reported pulmonary embolic events and no devices removed due to venous thrombosis. Overall, 21 devices were removed due to complications-20 from the Hickman arm and 1 from the TIVAS arm. In the Hickman arm, these were for infection (15), line occlusion (2), device malfunction (1), wound/ exit site infection (1) and other (1); in the TIVAS arm, one single device was removed due to device malfunction. The median time to first complication for the Hickman arm was 30 weeks (80% CI 19, not estimable). The median time to first complication was not calculable for the TIVAS arm, as < 50% of the patients experienced a complication.

Chemotherapy was interrupted due to complications in 12 patients in the Hickman arm and two in the TIVAS arm. In the Hickman arm, the duration of chemotherapy interruption ranged from 4 to 41 days, and in the TIVAS arm both interruptions were for 1 day only.

Quality of life. Overall, quality of life based on the device-specific questionnaire was better in TIVAS patients than Hickman patients. The adjusted one-sided *P*-values indicated that there were statistically significant differences at the 20% level in favour of TIVAS for all but three of the questions relating to 'getting in and out of a car', 'using public transport' and 'going out shopping' (Table 3).

	Hickman catheters		TIVAS		
	No. of patients	No. of complications	No. of patients	No. of complications	
Any complications					
No complications	34 (46%)		16 (62%)		
1 complication	25 (34%)		4 (15%)		
2 complications	12 (16%)		3 (12%)		
3 complications	1 (1%)		2 (8%)		
4 complications	2 (3%)		1 (4%)		
Total number of patients	74 (100%)		26 (100%)		
Complication type					
Blood stream infection	20 (27%)	27 (45%)	1 (4%)	1 (5%)	
Wound and exit site infection	4 (5%)	4 (7%)	_	_	
Line occlusion	15 (20%)	19 (32%)	6 (23%)	11 (55%)	
Device malfunction	2 (3%)	2 (3%)	3 (12%)	3 (15%)	
Venous thrombosis	1 (1%)	1 (2%)	1 (4%)	2 (10%)	
Other ^a	6 (8%)	7 (12%)	3 (12%)	3 (15%)	
Total number of complications		60 (100%)		20 (100%)	
Complication led to device remova	al				
Blood stream infection		15/27		0/1	
Wound and exit site infection		1/4		_	
Line occlusion		2/19		0/11	
Device malfunction		1/2		1/3	
Venous thrombosis		0/1		0/2	
Other ^a		1/7		0/3	
Device removal	(N = 72)		(N = 24)		
Planned removal	33 (46%)		3 (13%)		
Removal due to complications	20 (28%)		1 (4%)		
Removal due to patient preference	2 (3%)		_		
Removal due to other reasons ^b	17 (24%)		20 (83%)		

Abbreviation: TIVAS = totally implanted venous access system

^aOther complications include suspected infection (3), minor bleeding at exit site (1) and a broken suture (1) in the Hickman group and discomfort at insertion site (1), training issue (1) and transfer to another hospital (1) in the TIVAS group.

Bemoval due to other reasons: device in situ \$12 months (2 Hickman and 5 TIVAS), end of treatment (3 Hickman), patient died (9 Hickman and 13 TIVAS), other (3 Hickman and 2 TIVAS).

Cost-effectiveness. In consequence to the higher complications rate, patients in the Hickman arm incurred significantly greater healthcare resource use than the TIVAS arm (Supplementary Appendix III). The health utilities fluctuated over the 12-month period in both the arms. In base-case analysis, Hickman catheters were associated with substantially greater mean cost (£2515 vs £712), fewer complications averted (62 vs 46, based on a cohort of 100 patients) and lower mean QALYs than TIVAS over a 1-year period (Table 4). However, the observed difference in QALYs between the devices is extremely small (0.64 vs 0.65). Overall, the

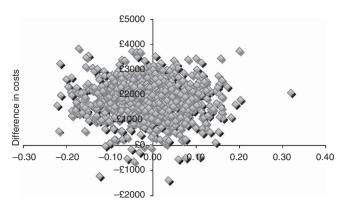
Table 3. Quality of life impact based on device-specific questionnaire Unadjusted **Adjusted** 0.074 Driving a car 0.046 0.265 0.303 Getting in or out of a car 0.483 0.483 Using public transport Going out shopping 0.426 0.454 Eating 0.111 0.148 Hygiene < 0.001 < 0.001 0.057 0.083 Sleeping 0.154 0 190 Mobility or movement Normal work activity 0.009 0.021 < 0.001 < 0.001 Exercise Hobbies 0.023 0.041 Self-consciousness 0.002 0.005 Socialising 0.022 0.041 At risk of infection < 0.001 < 0.001 At risk of damaging device < 0.001 < 0.001 Negative impact on quality of life 0.001 0.003

Hickman arm was associated with greater costs and lower health benefits, suggesting that TIVAS is the dominant strategy.

Univariate sensitivity analysis was undertaken to examine the impact of complication rates by adopting the data from the wider literature. The probabilities of complications were estimated from pooling the results from the current phase II trial with two existing RCTs using on a random effects model (Carde et al, 1989; Kappers-Klunne et al, 1989). The estimated pooled OR for any complications was 3.05 (95% CI 1.08, 8.64), this was used in the analysis. The difference in cost between Hickman catheters and TIVAS increased, but the impact on the QALYs was remained extremely small (Table 4). The healthcare resource use among patients in the TIVAS arm was extremely low in the current phase II trial, this was also tested in the sensitivity analysis. The mean cost of patient with complications was assumed to be the same in both arms, this has little impact on the overall results. However, the model is most sensitive to the health utility estimates. When the QALY estimates for the Hickman arm was increased by 20%, and when all health utilities estimates were adjusted for censoring, TIVAS was no longer the dominant strategy. The results of the probabilistic sensitivity analysis following 1000 replications of the model are presented on the cost-effectiveness plane (Figure 2). The majority of the point estimates suggest that Hickman catheters were associated with greater costs than TIVAS, but there is substantial uncertainty in the difference in QALYs between the two devices. The value of information analysis suggested that, given current decision uncertainty, and at a willingness to pay threshold of £20 000, additional research is potentially worthwhile if future research costs less than £42 million.

On the basis of the base case, a sample of 507 per arm will be sufficient to show a positive NMB in favour of TIVAS, given the likely improvement in QALYs, rate of complications and potential cost savings compared with Hickman. However, when taking into account the additional evidence from existing literature using the pooled OR for any complications, the estimated NMA becomes

	Mean costs	No. of complications averted ^a	Mean QALYs
Base-case analysis		-	
Hickman lines TIVAS Difference (Hickman minus TIVAS)	£2515 £712 £1803 (95% CI 462, 3215)	46 62 – 16 (95% CI – 36, 5)	0.64 0.65 - 0.01 (95% CI - 0.15, 0.15)
Sensitivity analysis—risk of comp	lications estimated from meta-ana	lysis	
Hickman lines FIVAS Difference (Hickman minus TIVAS)	£2507 £708 £1800 (95% CI 585, 3185)	46 60 – 16 (95% CI – 38, 8)	0.63 0.64 - 0.01 (95% C - 0.15, 0.14)
Sensitivity analysis—mean cost o	f patient with complications in TIV	AS = Hickman	
Hickman lines TIVAS Difference (Hickman minus TIVAS)	£2522 £1965 £557 (95% CI – 1058, 2233)	46 62 – 16 (95% CI – 36, 5)	0.63 0.65 - 0.01 (95% CI - 0.15, 0.14)
Sensitivity analysis—health utilitie	es in Hickman arm +20%		
Hickman lines TIVAS Difference (Hickman minus TIVAS)	£2509 £720 £1789 (95% CI 417, 3296)		0.76 0.65 0.11 (95% CI - 0.03, 0.25)
Sensitivity analysis—health utilitie	es in TIVAS arm +20%		
Hickman lines TIVAS Difference (Hickman minus TIVAS)	£2522 £715 £1807 (95% CI 469, 3248)		0.63 0.78 - 0.14 (95% CI - 0.28, 0.01)
Sensitivity analysis—health utilitie	es adjusted for censoring (Kaplan–	Meier sample estimator) (Gray et a	l, 2011)
Hickman lines TIVAS Difference (Hickman minus TIVAS)	£2537 £716 £1821 (95% CI 510, 3251)		0.62 0.55 0.07 (95% CI = 0.07, 0.21)



Difference in quality-adjusted life years

Figure 2. Probabilistic analysis based on 1000 simulations (Hickman versus TIVAS).

greater in favour of TIVAS, the resultant required sample per arm was lower (323 per arm).

DISCUSSION

This pilot study found that Hickman catheters were associated with significantly greater risk of complications than ports (OR 2.07; 80% CI 1.11, 3.88). These findings are in line with the existing evidence (Kulkarni et al, 2014; Coady et al, 2015). The most commonly reported complication in the Hickman arm was blood stream infection. This is likely related to the external component of the device plus the need for more regular flushing (weekly). In contrast with a totally implanted device, only one case of infection was observed. In the TIVAS arm, the most commonly reported complication was line occlusion (defined as inability to aspirate blood). The decision analytical model showed that, despite the lower device costs, taking into account complications, Hickman catheters were associated with greater costs, fewer complications averted, but similar QALYs compared with TIVAS. The TIVAS is the dominant strategy and is the cost-effective option. However, the estimates were associated with substantial uncertainty, and the findings were highly sensitive to health utility estimates.

The expected costs of uncertainty can be interpreted as the EVPI, based on the assumption that perfect information can eliminate the possibility of adopting the wrong decision. This also represents the maximum that the healthcare system should be willing to pay for additional evidence to inform this decision in the future through further research. At a cost-effectiveness threshold of £20 000 per QALY, based on the assumption that 425 000 patients may be eligible for venous catheters in the United Kingdom per year and a conservative expected lifetime of 1 year for the catheter, the EPVI for the effective population is approximately £42 million. This represents the maximum that the healthcare system should be willing to pay for additional evidence to inform this decision in the future.

This pilot trial was designed to generate information about potential recruitment rates, incidence of distribution of outcome events and the potential cost-effectiveness, to inform the design of a larger definitive RCT by. In terms of recruitment, the recruitment rate was poor at the initial 12 months. However, this was resolved by introducing dedicated staff to act as 'trial champion'. The champion interacted with the patient pathway at all the important stages and successfully engaged with both healthcare staff and patients. In term of assessing complication rates, the definitions of complications were clear, but further refinements to the definitions of mechanical complications and line occlusions would ensure more accurate classification and coding. For instance, line occlusion was the most frequently observed complications among

patients with TIVAS. Further investigations found that on several occasions when nursing staff was not able to aspirate blood return, this was resolved by the medical staff successfully re-sitting the needle into the TIVAS. It is likely that several of these were misclassified as apparent 'line occlusions'. Training is important with both these devices to minimise complications. At the start of this trial, a TIVAS user-training programme was instituted as these devices were not in regular use. Training and nurse confidence improved over the study period. This could be a potential confounder in future trials.

There were also limitations to the economic evaluation. Healthcare resource use recorded in the TIVAS arm was surprisingly low, especially when compared with the Hickman arm. This may reflect potential performance bias; the two senior radiologists who were responsible for insertion of the TIVAS were often involved in resolving TIVAS complications. As a result, the costs associated with TIVAS may have been underestimated. On the other hand, the EuroQol 5D was used to estimate health utilities associated with using the two devices, and showed very small differences between the two arms. This may be explained by the results being dominated by the toxicity of the chemotherapy and disease status. The device-specific quality of life questionnaire in contrast appeared sensitive to differences between the two devices with 13 of the 16 questions showing statistically significant differences. The QALYs associated with TIVAS may have been underestimated. Due to the small sample size, correlation between the two questionnaires was not explored. The uncertainty associated with the QALY estimates was an important driver to the EVPI results. There is a clear need for more accurate estimates of QALYs, which supports the conclusion that further research to reduce overall uncertainty is worthwhile.

This study suggests that the most expensive and least used device (TIVAS) may in fact be the most cost-effective. If confirmed with a larger trial, TIVAS could become the dominant strategy. This will require a programme of both training and education across the United Kingdom where currently TIVAS are only used in a highly selective manner and almost exclusively placed by medical staff.

A much larger multicentre trial is needed that should also include PICC to establish clinical and cost-effectiveness. The NIHR (HTA) has recently funded a large RCT comparing Hickman lines, TIVAS and PICC (HTA 11/67/01). This trial (CAVA) of up to 2000 subjects, based on the sample size calculation that took into account the data from this phase II study and the wider literature, is currently underway.

CONCLUSIONS

Cancer is a leading cause of death and many patients are treated with chemotherapy. Intravenous chemotherapy often necessitates a long-term venous access device. This pilot study provided preliminary evidence of a lower complication rate with TIVAS compared with Hickman catheters in patients receiving chemotherapy. This difference resulted in the Hickman arm being associated with greater costs and lower health benefits than the TIVAS arm and hence being less cost-effective. These preliminary findings need confirmation from a larger multicentre phase III trial that should also include PICCs, which are currently the most common device used for chemotherapy delivery in the United Kingdom.

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CONFLICT OF INTEREST

The authors declare no conflict of interest.

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