

**Keywords:** arsenic trioxide; all-trans retinoic acid; acute promyelocytic leukaemia; Nrf2; HO-1; GSH

# Retinoic acid synergizes ATO-mediated cytotoxicity by precluding Nrf2 activity in AML cells

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**Background:** Standard therapy for acute promyelocytic leukaemia (APL) includes retinoic acid (all-trans retinoic acid (ATRA)), which promotes differentiation of promyelocytic blasts. Although co-administration of arsenic trioxide (ATO) with ATRA has emerged as an effective option to treat APL, the molecular basis of this effect remains unclear.

**Methods:** Four leukaemia cancer human models (HL60, THP-1, NBR4 and NBR4-R2 cells) were treated either with ATO alone or ATO plus ATRA. Cancer cell survival was monitored by trypan blue exclusion and DEVDase activity assays. Gene and protein expression changes were assessed by RT-PCR and western blot.

**Results:** ATO induced an antioxidant response characterised by Nrf2 nuclear translocation and enhanced transcription of downstream target genes (that is, HO-1, NQO1, GCLM, ferritin). In cells exposed to ATO plus ATRA, the Nrf2 nuclear translocation was prevented and cytotoxicity was enhanced. HO-1 overexpression reversed partially the cytotoxicity by ATRA-ATO in HL60 cells. The inhibitory effects of ATRA on ATO-mediated responses were not observed in either the ATRA-resistant NB4-R2 cells or in NB4 cells pre-incubated with the RAR $\alpha$  antagonist Ro-41-52-53.

**Conclusions:** The augmented cytotoxicity observed in leukaemia cells following combined ATO-ATRA treatment is likely due to inhibition of Nrf2 activity, thus explaining the efficacy of combined ATO-ATRA treatment in the APL therapy.

Acute promyelocytic leukaemia (APL) is a subtype of acute myeloid leukaemia (AML), characterised by a reciprocal chromosomal translocation t(15;17)(q22;q21) that generates the genetic fusion between the tumour suppressor – promyelocytic leukaemia (PML) – and the retinoic acid receptor- $\alpha$  (RAR $\alpha$ ) genes (Vardiman *et al*, 2009; Saeed *et al*, 2011). The thereby resulting PML-RAR $\alpha$  fusion protein blocks transcription of genes involved in the differentiation programme of myeloid progenitors (Vardiman *et al*, 2009; Kamimura *et al*, 2011; Saeed *et al*, 2011). Currently, standard APL therapy includes retinoic acid,

which promotes cell growth arrest, granulocytic differentiation and apoptosis of myeloid blasts (Huang *et al*, 1998) that are ascribed in part to augmented degradation of PML-RAR $\alpha$  (Ablain *et al*, 2013) and increased RAR $\alpha$  expression (Kamimura *et al*, 2011). Hence, standard chemotherapeutic regimens to treat APL involve the co-administration of all-trans retinoic acid (ATRA) together with anthracyclins (daunorubicin or idarubicin) or in combination with cytosine  $\beta$ -D-arabinofuranoside (Ara-C; Tallman and Altman, 2009; Kamimura *et al*, 2011). Despite the high rates of remission, undesirable outcomes are often observed

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after prolonged exposition to ATRA and chemotherapeutic drugs, such as the retinoic acid syndrome (Patatanian and Thompson, 2008), elevated mortality rates and emerging drug resistance (Kamimura *et al*, 2011). To avoid the development of such side effects, improved strategies are required during induction/consolidation therapies.

In addition to conventional therapies, administration of arsenic trioxide (ATO) alone (Chendamara *et al*, 2012) or in combination with either retinoic acid or chemotherapeutic drugs (Iland *et al*, 2012; Park, 2012) has emerged as an effective option to treat newly diagnosed and relapsed APL patients (Shen *et al*, 1997; Chendamara *et al*, 2012; Iland *et al*, 2012), which results in lower early mortality rates and higher percentages of complete remission and disease-free survival (Tallman and Altman, 2009; Kamimura *et al*, 2011). Furthermore, ATO treatment has been shown to be effective against other AML subtypes (Wetzler *et al*, 2011), myelodysplastic syndrome (Sekeres *et al*, 2011) and multiple myeloma (Sharma *et al*, 2012). ATO effectiveness in APL patients may be explained not only by its general cytotoxic effect, but also by its ability to promote PML-RAR $\alpha$  degradation (Zhang *et al*, 2010; Lang *et al*, 2012). Despite these promising results, acquired resistance is observed in patients treated with ATO-ATRA (Goto *et al*, 2011). Retinoic acid together with ATO is known to enhance PML-RAR degradation. However, whether ATRA alters cellular responses triggered by ATO and inhibits survival pathways required for the development of ATO resistance remains to be determined.

Survival of leukaemia cells following treatment with chemotherapeutic drugs is associated with activation of the Nrf2 pathway (Rushworth and MacEwan, 2011). Under normal conditions, cytosolic Nrf2 levels are maintained low by the physical interaction with Keap1, which promotes Nrf2 ubiquitination and subsequent proteasome-mediated degradation (Ma, 2012). In response to electrophilic or oxidative stress, S-alkylation or oxidation of cysteine residues in Keap1 releases Nrf2 from this complex and permits translocation to the nucleus where Nrf2 recognises the enhancer antioxidant/electrophilic response elements present in the promoter of cytoprotective and detoxifying genes. Examples of such genes include the phase-I drug oxidation enzyme NAD(P)H:quinone oxidoreductase 1 (NQO1), the cytoprotective haem-oxygenase-1 (HO-1) and the antioxidant enzymes glutamyl cysteine ligase modulator (GCLM) and -catalytic subunits, involved in GSH synthesis, ferritin among others (Rushworth and MacEwan, 2011). Notably, an additional mechanism regulating Nrf2 activity has been identified in breast cancer cells, where Nrf2 transcriptional activity is inhibited by the physical interaction with RAR $\alpha$  in the presence of ATRA (Wang *et al*, 2007, 2013). At present, it is not known whether a similar inhibitory mechanism operates in AML cells and, particularly, in the APL subtype.

In this study, we provide evidence showing that inhibition by ATRA of the Nrf2-dependent antioxidant response triggered by ATO correlates with enhanced cytotoxicity in NB4 APL cells. This effect was dependent on RAR $\alpha$  function because Nrf2 activity was not affected by ATRA in the ATRA-resistant NB4-R2 cells and RAR $\alpha$  antagonist Ro-41-5253 precluded ATRA-mediated inhibition in NB4 parental cells.

## MATERIALS AND METHODS

**Drugs and chemicals.** Zinc (II) protoporphyrin IX (ZnPP) and Ro-41-5253 were purchased from Enzo Life Sciences (Plymouth Meeting, PA, USA); copper (II) protoporphyrin IX (CuPP) was from Santa Cruz Biotechnology (Santa Cruz, CA, USA); ATRA, Ara-C, daunorubicin, methotrexate, *tert*-butylhydroquinone (*t*-BHQ), L-buthionine-sulfoximine (BSO) and ATO were from Sigma-Aldrich (St Louis, MO, USA).

**Cell lines and culture conditions.** The AML-derived cell lines HL60 and THP-1 were purchased from the European Cell Culture Collection (Salisbury, UK). The APL-derived NB4 and NB4-R2 cells, a subline derived from NB4 cells that bears a missense mutation in the PML fragment of PML-RAR $\alpha$ , which inhibits RAR $\alpha$  transcriptional function (Duprez *et al*, 2000), were kindly gifted by Mario P Tshan (University of Bern, Bern, Switzerland). Cells were cultured in RPMI 1640 medium (Gibco, Paisley, UK) supplemented with 2 mM glutamine, 10% fetal bovine serum (Hyclone, Logan, UT, USA) and antibiotics (100 U ml<sup>-1</sup> penicillin, 100  $\mu$ g ml<sup>-1</sup> streptomycin) in a humidified atmosphere with 5% CO<sub>2</sub> at 37 °C.

**Western blot analysis.** Total-cell extracts were prepared in lysis buffer (Triton 1%, leupeptin 12.5  $\mu$ g ml<sup>-1</sup>, antipain 10  $\mu$ g ml<sup>-1</sup>, benzamide 100  $\mu$ g ml<sup>-1</sup>, phenylmethanesulphonylfluoride 1 mmol l<sup>-1</sup> and sodium orthovanadate 1 mmol l<sup>-1</sup>) and protein concentrations were determined using the Bio-Rad Protein Assay reagent following the manufacturer's instructions (Bio-Rad, Hertfordshire, UK). Total-protein extracts (40  $\mu$ g per lane) were separated by SDS-PAGE in 10% mini-gels (Bio-Rad) and transferred to nitrocellulose as described (Valenzuela *et al*, 2010). Blots were blocked with 5% milk in TBS-Tween-20 and then probed with different antibodies. Mouse monoclonal anti- $\beta$ -actin (using a dilution of 1:30 000) and anti-HO-1 (1:1000) were purchased from Abcam (Cambridge, UK), whereas anti-NQO1 (1:1000), rabbit polyclonal anti-Nrf2 (1:1000), anti-TBP1 (1:1000) and anti-HSP90 (1:3000) were obtained from Santa Cruz Biotechnology. Bound first antibodies were detected with horseradish peroxidase-conjugated anti-mouse (1:2500, Dako, Glostrup, Denmark) or anti-rabbit (1:2500, Millipore, Billerica, MA, USA) secondary antibodies followed by incubation with the Super Signal West Pico Chemiluminescent Substrate (Thermo Scientific, St Leon-Rot, Germany) and exposure to BioMax MR film (Kodak, Brussels, Belgium).

**Analysis of mRNA levels.** Total RNA was isolated using the Purezol RNA isolation reagent following instructions provided by the manufacturer (Bio-Rad). RNA samples were employed as templates to generate total complementary DNA (cDNA) using the M-MLV reverse transcriptase (Promega, Madison, WI, USA) and the oligo (dT) 15 primers (Promega).

cDNAs were amplified by PCR with the following specific primers:

HO-1 (sense primer 5'-CAAAGTGCAAGATTCTGCC-3'; antisense primer 5'-CACATGGCATAAAGCCCTAC-3'),

NQO1 (sense primer 5'-CAAATCCTGGAAGGATGGAA-3'; antisense primer 5'-AAGTGATGGCCACAGAAAG-3'),

GCLM (sense primer 5'-AGCGAGGAGCTTCATGATTG-3'; antisense primer 5'-GAACAGGCCATGTCAACTGC-3'),

ferritin heavy chain (sense primer 5'-CTGGAGCTCTACGCCTCCTA-3'; antisense primer 5'-TGGTTCGACGTTTCATCAG-3') and

GADPH (sense primer 5'-TCAACGACCACTTTGTCAAGC-3'; antisense primer 5'-CCAGGGGTCTTACTCCTTGG-3').

All amplicons were obtained after 30 amplification cycles, each of which involved consecutive 1-min steps at 94, 58 and 72 °C and visualised by electrophoresis in agarose gels (2%).

**Trypan blue exclusion assay.** Cells were plated at a density of 3.5  $\times$  10<sup>5</sup> per well and incubated for 48 h in the presence of drugs. Cell viability was evaluated by the trypan blue exclusion assay with the automated cell counter TC10 (Bio-Rad). The loss of membrane integrity can be measured by using exclusion dyes that cannot enter living healthy cells but that are taken up by dying cells with permeabilized plasma membranes. Although this assay does not discriminate between different forms of cell death, the loss of plasma membrane integrity is considered a point of no return,

while the occurrence of other biochemical events does not necessarily mean that cell death will ensue (Kroemer *et al*, 2009).

**DEVDase activity.** Caspase activity in cell lysates was determined by quantifying the release of the fluorescent dye 7-amino-4-trifluoromethylcoumarin (AFC) from the caspase-3 substrate Asp-Glu-Val-Asp-AFC (Enzo Life Sciences) in the multilabel plate reader Victor X2 (Perkin Elmer, Waltham, MA, USA). A unit of enzymatic activity was defined as 1  $\mu\text{mol}$  of substrate transformed  $\text{min}^{-1}$  per mg protein extract (Nicholson *et al*, 1995). The general caspase inhibitor Q-VD-OPH (R&D Systems, Oxfordshire, UK) was added at 10  $\mu\text{M}$  in the reaction as a control.

**GSH content.** Total GSH content was evaluated following formation of the yellow derivative 5'-thio-2-nitrobenzoic acid (TNB) produced during the reaction of GSH with the sulphhydryl reagent 5,5'-dithio-bis(2-nitrobenzoic acid) (DTNB) at 412 nm. GSSG was determined in a similar manner, after removal of GSH with 2-vinylpyridine as described (Rahman *et al*, 2006). GSH depletion (90%) was achieved by treating cells for 24 h with BSO (10  $\mu\text{M}$ ).

**Nuclear/cytoplasmic protein extraction.** Cells were collected, washed in PBS pH 7.2 and re-suspended in a hypotonic buffer (Hepes-KOH 10 mM,  $\text{MgCl}_2$  2 mM, EDTA 0.1 mM, KCl 10 mM, NP40 0.5%, DTT 1 mM, PMSF 0.5 mM, pH 7.9) for 10 min on ice. Lysates were centrifuged at 13 000 g for 1 min. Supernatants (cytoplasmic fractions) were recovered and pellets were re-suspended in a hypertonic buffer (Hepes-KOH 50 mM,  $\text{MgCl}_2$  2 mM, EDTA 0.1 mM, NaCl 400 mM, KCl 50 mM, glycerol 10%, DTT 1 mM, PMSF 0.5 mM, pH 7.9). Nuclei were allowed to swell on ice for 30 min. After centrifugation (13 000 g for 15 min), the supernatants were recovered and analysed by immunoblotting.

**Constructs.** The plasmid pSFFV-HO-1 was constructed by cloning the human HO-1 cDNA obtained by PCR using the sense primer 5'-CCGGAATTCGGGATGGAGCGTCCGCAACCC-3' and antisense 5'-CCGGAATTCTCACATGGCATAAAGCCCTA-3' primers in the EcoRI restriction site of the pSFFV-Neo plasmid (plasmid 8769; Addgene, Cambridge, MA, USA). The short hairpin RNA (shRNA) expression vector was obtained by cloning a shRNA cassette directed against human Nrf2 mRNA (shRNA duplex sense 5'-GATCCGTAAGAAGCCAGATGTTAAGTCAAGAGCTTAACA TCTGGCTTCTTACTTTTTTTGGAA-3' and antisense 5'-AGC TTTCCAAAAAAGTAAGAAGCCAGATGTTAAGCTCTTGA CTTAACATCTGGCTTCTTACG-3') in the BamHI/HindIII cloning sites of the pRS vector (TR2003, Origene, Rockville, MA, USA). A plasmid containing a non-effective 29-mer scrambled shRNA cassette (TR30012, Origene) was used as control. Molecular cloning was performed with material purchased from Fermentas (St Leon-Rot, Germany) and Favorgene (Vienna, Austria) (DNA purification kits).

**Transfection/electroporation.** HL60 cells were electroporated using the 4D-Amaza Nucleofector kit (Lonza, Verviers, Belgium) following instructions provided by the manufacturer. For stable transfection, cells were serially diluted and clones were selected in the presence of G418 (1000  $\mu\text{g ml}^{-1}$ ) for 10 days.

**Differentiation assay.** Differentiation of cells was assessed by the nitroblue tetrazolium (NTB) reduction assay following 3 days of ATRA treatment (Gupta *et al*, 2011). Briefly, myelocytic blasts (that is, HL60, THP-1, NB4) respond to ATRA undergoing a terminal granulocytic maturation and losing their ability to proliferate. These differentiated promyelocytes also acquire the ability to trigger a respiratory burst. Thus, when they are pretreated with 100  $\text{ng ml}^{-1}$  phorbol myristate acetate, the superoxide anion generated during the respiratory burst reacts with NTB to produce a blue-purple precipitate.

**Statistical analysis.** All data are expressed as the mean  $\pm$  s.e.m. of at least three independent experiments. Data were processed using INSTAT v. 3.05 (GraphPad Software, San Diego, CA, USA, www.graphpad.com). Statistical significance of differences ( $P < 0.05$ ) was determined using the one-way analysis of variance test and the Dunnett or Tukey comparison post tests.

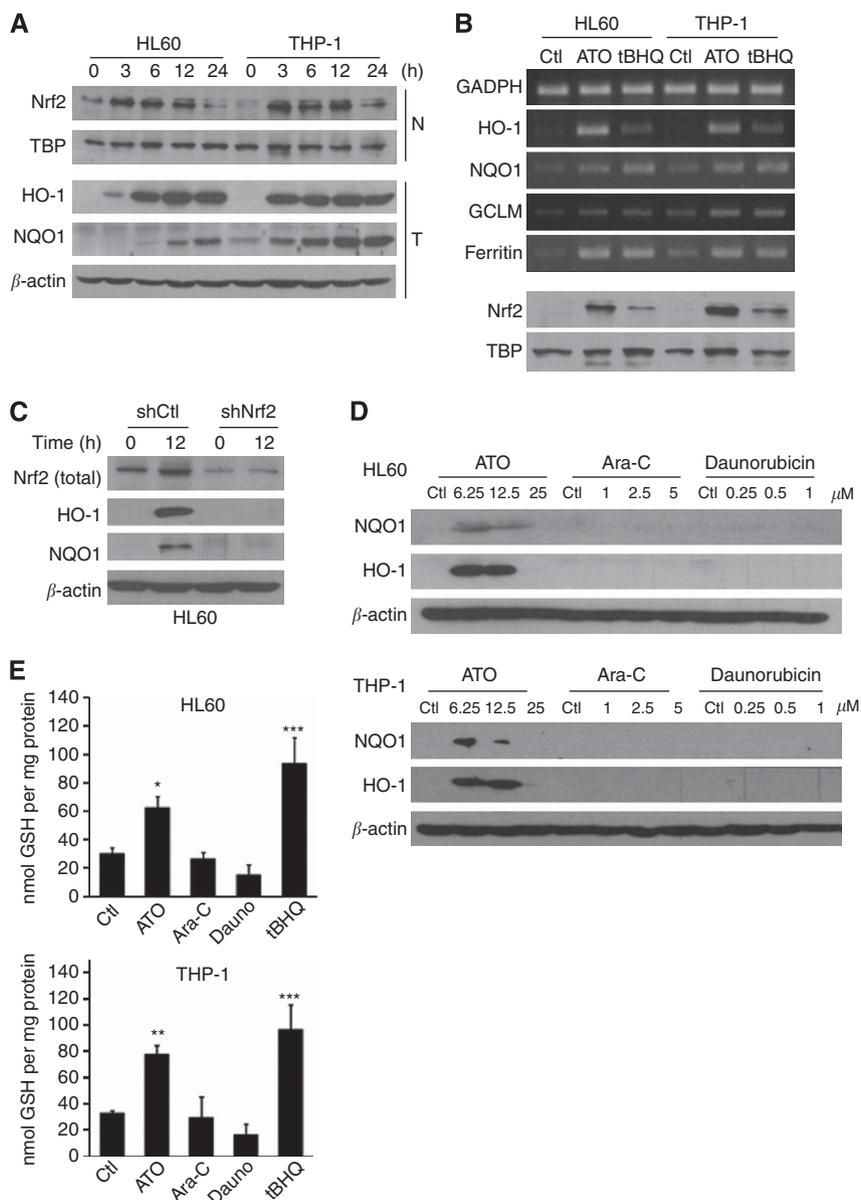
## RESULTS

**Characterisation of ATO-mediated Nrf2 activation in AML cells.** In both HL60 and THP-1 cells, a rapid Nrf2 nuclear accumulation was observed, which remained stable from 3 to 12 h and it decreased after 24 h incubation in the presence of 6.25  $\mu\text{M}$  ATO (Figure 1A). The concomitant increase in the expression of two downstream Nrf2 targets (NQO1 and HO-1) remained fairly stable up to 24 h incubation with ATO (6.25  $\mu\text{M}$ ). No changes in Nrf2 expression were observed in untreated cells (basal conditions) during the 24 h incubation time (data not shown). This enhanced protein expression was associated with transcriptional activation of Nrf2 targets by ATO and by *t*-BHQ, a well-known electrophilic Nrf2 activator, as shown by RT-PCR analysis of HO-1, NQO1, GCLM and ferritin mRNA levels (Figure 1B, upper panels). Interestingly, nuclear accumulation of Nrf2 was more pronounced when cells were treated with ATO as compared with *t*-BHQ (Figure 1B, lower panels). To confirm that the observed induction of downstream targets was really dependent on Nrf2 activation, its expression was silenced by using shRNA (shNrf2 cells). In shNrf2 cells, induction of both HO-1 and NQO1 by ATO was reduced (Figure 1C).

To investigate whether the presence of chemotherapeutic drugs currently used in AML therapy was sufficient to modulate Nrf2 activation, both HL60 and THP-1 cells were exposed for 24 h to ATO (6.25–12.5  $\mu\text{M}$ ), Ara-C (1–5  $\mu\text{M}$ ) or daunorubicin (0.25–1  $\mu\text{M}$ ). Western blot analysis revealed that exposure of cells to ATO induced NQO1 and HO-1 expression, but these proteins were not detected when cells were treated with Ara-C or daunorubicin (Figure 1D). Notably, the highest concentration of ATO (25  $\mu\text{M}$ ) did not induce the expression of either NQO1 or HO-1, likely due to an inhibitory effect on transcription as a consequence of DNA damage, as recently reported (Nakamura *et al*, 2013). Regarding GSH levels, an elevated intracellular content was observed only when cells were exposed for 24 h to both ATO (6.25  $\mu\text{M}$ ) and *t*-BHQ (25  $\mu\text{M}$ ), whereas in the presence of either Ara-C (5  $\mu\text{M}$ ) or daunorubicin (0.5  $\mu\text{M}$ ) no GSH increase was observed (Figure 1E). These data indicate that ATO triggers in AML cells an antioxidant response characterised by Nrf2 activation and induction of its downstream targets.

The effects of ATO on both Nrf2 nuclear translocation and target induction were inhibited by *N*-acetyl-cysteine (NAC) but not by other antioxidant molecules like mannitol or tiron (Supplementary Figure 1A and B). In this context, the effect by NAC is in agreement with a previous report showing the use of thiol compounds as antidote against ATO overdoses (Isbister *et al*, 2004). Furthermore, no ROS formation was detected at low concentration of ATO in AML cells loaded with the fluorescent dye DCFH-DA (Supplementary Figure 1C). These results suggest that ROS is not the main mechanism triggering Nrf2 activation in cells treated with low ATO concentrations.

**Pharmacologic inhibition of HO-1 or GSH depletion sensitises AML and APL cells to ATO.** Antioxidant elements induced during the activation of Nrf2 pathway (that is, HO-1 and GSH) may have a critical role in a putative resistance against ATO-mediated cytotoxicity in both AML and APL cells. To explore this likelihood, HL60, THP-1 and the NB4 (PML-RAR $\alpha$ /RAR $\alpha$ ) cells were first incubated with different concentrations of ATO in the

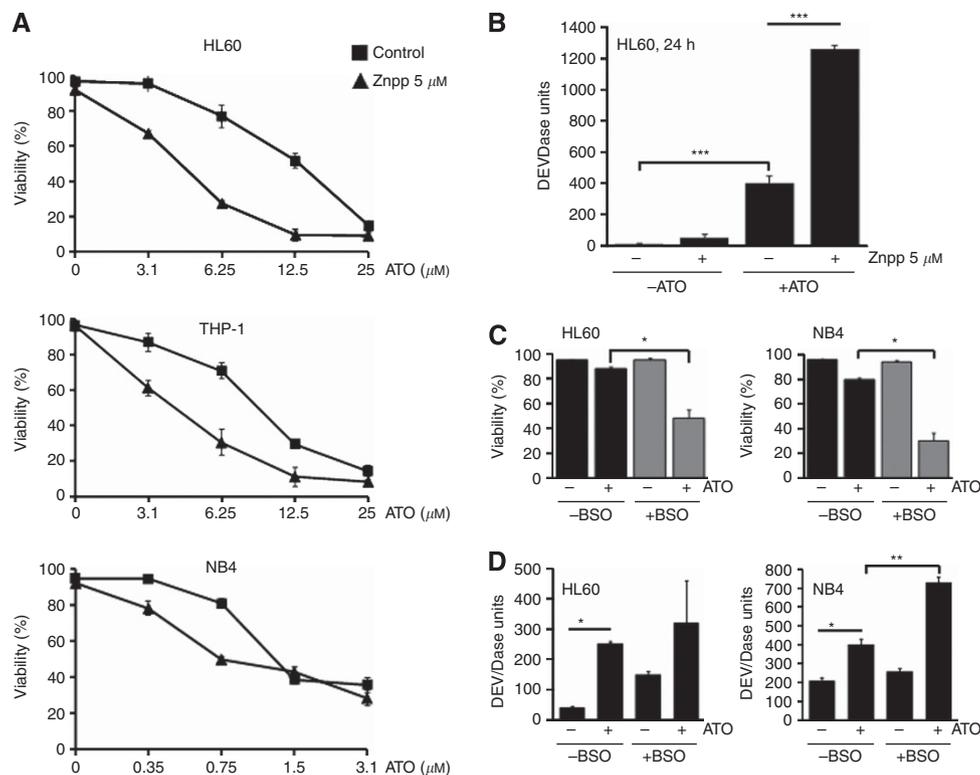


**Figure 1.** ATO induced Nrf2 targets in AML cells. **(A)** HL60 and THP-1 nuclear (N) or total (T) protein levels of Nrf2, TBP1, HO-1, NQO1 and  $\beta$ -actin. Cells were incubated for 24 h with  $6.25 \mu\text{M}$  ATO. **(B)** Reverse-transcription PCR (upper panels) analysis of GAPDH, HO-1, NQO1, GCLM and ferritin targets mRNA levels and nuclear Nrf2 translocation (bottom panels) in HL60 and THP-1 cells following ATO ( $6.25 \mu\text{M}$ ) or *t*-BHQ ( $25 \mu\text{M}$ ) treatments for 24 h. **(C)** HL60 cells were electroporated either with the pRS-control or pRS-shNrf2 plasmids and treated with ATO ( $6.25 \mu\text{M}$ ) for 12 h. Total protein extracts were analysed for the presence of Nrf2, NQO1, HO-1 and  $\beta$ -actin by immunoblotting. **(D)** HL60 and THP-1 cells were treated with different concentrations of ATO, Ara-C or daunorubicin for 24 h, as indicated. Following treatment, total protein extracts were prepared and NQO1, HO-1 and  $\beta$ -actin protein levels were evaluated by immunoblotting. A typical western blot out of three experiments is shown. **(E)** GSH content was determined in HL60 and THP-1 cells treated with ATO ( $6.25 \mu\text{M}$ ), Ara-C ( $5 \mu\text{M}$ ), daunorubicin ( $0.5 \mu\text{M}$ ) or *t*-BHQ ( $25 \mu\text{M}$ ) for 24 h. Statistically significant differences with respect to the control condition are indicated (means  $\pm$  s.e.m.;  $n = 3$ ;  $*P \leq 0.05$ ,  $**P \leq 0.01$ ,  $***P \leq 0.001$ ). Ctl, control.

presence or absence of either the active HO-1 inhibitor ZnPP or CuPP, its inactive form. As shown in Figure 2A, ZnPP ( $5 \mu\text{M}$ ) augmented cell cytotoxicity in HL60 and THP-1 exposed to ATO for 48 h, as determined by the trypan blue exclusion assay. This effect was not observed when CuPP ( $5 \mu\text{M}$ ) was used as a control (data not shown). Interestingly, NB4 cells were sensitive to lower ATO concentrations and the effect of ZnPP was limited to concentrations varying between  $0.35$  and  $0.75 \mu\text{M}$  ATO. To discard unspecific effects of ZnPP, K562 cells (which do not induce HO-1 in response to either ATO or *t*-BHQ) were subjected to similar conditions. ATO-mediated cytotoxicity was not affected by the presence of ZnPP in these cells

(Supplementary Figure 2). Regarding the apoptosis-like induced cell death, the DEVDase assay indicated that caspase activity was increased by three-fold when HL60 cells were treated with  $6.25 \mu\text{M}$  ATO in the presence of  $5 \mu\text{M}$  ZnPP as compared with ATO alone (Figure 2B). Moreover, the incubation of HL60 cells in the presence of  $5 \mu\text{M}$  ZnPP did not modify the caspase activation induced by methotrexate, daunorubicin or Ara-C (Supplementary Figure 3).

In a second step, the GSH content in HL60 and NB4 cells was modulated by pre-incubating with  $10 \mu\text{M}$  BSO, an inhibitor of GSH synthesis, which depletes its content by  $\sim 90\%$  (data not shown). In this context, the ATO-mediated cell death – when used at



**Figure 2.** Pharmacologic inhibition of Nrf2 targets (HO-1 and GSH) sensitises AML and APL cells to ATO. **(A)** Cell viability was determined in HL60, THP-1 and NB4 cells exposed for 48 h to different concentrations of ATO (0–25  $\mu\text{M}$ ) in the presence (triangles) or absence (squares) of ZnPP (5  $\mu\text{M}$ ) using the trypan blue exclusion assay. **(B)** HL60 cells treated with ATO (6.25  $\mu\text{M}$ ) in the presence of ZnPP (5  $\mu\text{M}$ ) for 24 h. Cell extracts were prepared and DEVDase activity was assessed as described in the Materials and Methods section. The pan-caspase inhibitor 10  $\mu\text{M}$  Q-VD-OPh (QVD) was included as a negative control (data not shown). **(C–D)** HL60 and NB4 cells were pre-incubated in the presence or the absence of BSO (10  $\mu\text{M}$ ) for 24 h (+BSO) and then treated with ATO (6.25 and 0.75  $\mu\text{M}$ , respectively) for an additional 12 h. Cytotoxicity was determined either by **(C)** trypan blue exclusion assay or **(D)** DEVDase activity. Statistically significant differences with respect to the control condition are indicated (means  $\pm$  s.e.m.;  $n = 3$ ; \* $P \leq 0.05$ , \*\* $P \leq 0.01$ , \*\*\* $P \leq 0.001$ ).

6.25  $\mu\text{M}$  in HL60 cells and at 0.75  $\mu\text{M}$  in NB4 cells, respectively – was notably augmented, as determined by trypan blue exclusion assay (Figure 2C) and DEVDase activity (Figure 2D) after 12 h treatment.

These results indicated that interference with Nrf2-induced targets, namely, HO-1 expression and GSH levels, led to an increased ATO-mediated cytotoxicity. Consequently, these Nrf2-induced targets should have a protective role during ATO challenge in both AML and APL cells.

**The association of ATRA and ATO inhibited Nrf2 nuclear translocation and leads to an enhanced cell death in both AML and APL cells.** As ATRA inhibited the Nrf2 transcriptional activity in breast cancer cells (Wang *et al*, 2007), we explored whether retinoic acid may modulate the characterised Nrf2 activation in response to ATO in both AML and APL cells. Rather strikingly, when HL60 and NB4 cells were exposed to ATO in the presence of 1  $\mu\text{M}$  ATRA, Nrf2 nuclear translocation, induction of HO-1 and NQO1 expression (Figure 3A), as well as the increase in GSH content (Figure 3B) were reduced.

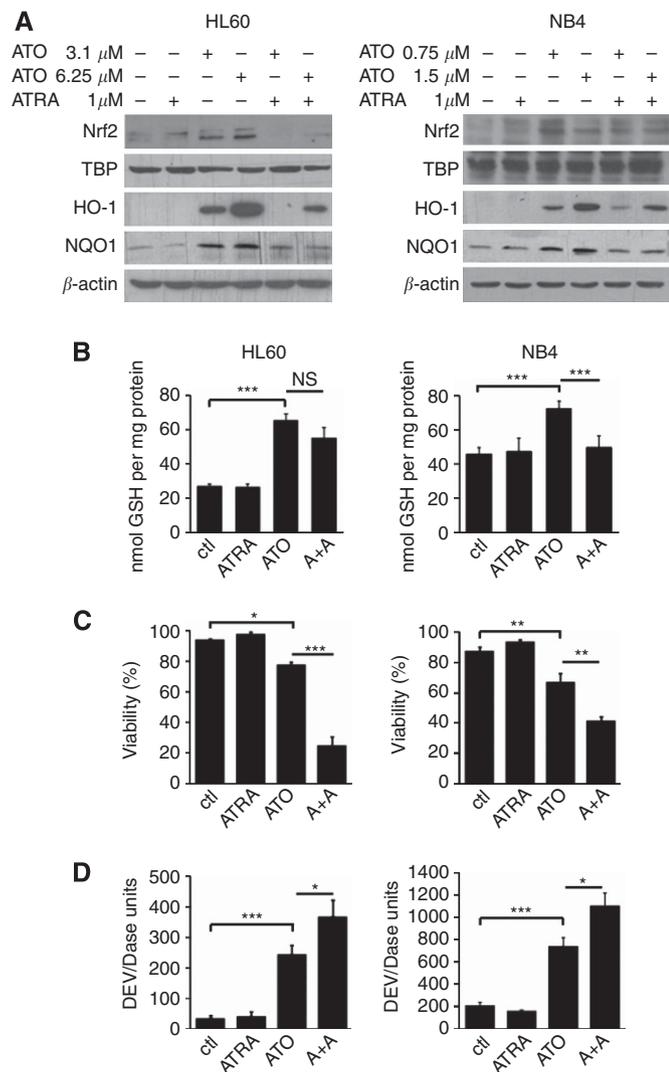
Interestingly, the ATRA-mediated inhibition of ATO-induced Nrf2 activity was accompanied with an enhanced cytotoxicity. Cancer cell viability, as determined by the trypan blue exclusion assay (Figure 3C), was 80 and 65% in HL60 and NB4 cells, respectively, when exposed to ATO alone. However, in cells pretreated for 2 h with ATRA (1  $\mu\text{M}$ ) and then further incubated for 24 h with ATO, the cell viability was decreased to 20 and 40% in HL60 and NB4 cells, respectively. Similarly, the caspase activation as measured by using the DEVDase assay (Figure 3D) was

enhanced from five-fold (ATO alone) to seven-fold when ATO was associated with ATRA in HL60 cells. In NB4 cells, the activation of caspase was enhanced from 3.5-fold (ATO alone) to 5.5-fold (ATO + ATRA).

**Ectopic expression of HO-1 in HL60 cells precluded ATRA/ATO-promoted cytotoxicity.** Previous results show that HO-1 expression – via Nrf2 activation – would have a major protective role in the survival of cells exposed to both ATRA and ATO. To explore this, HL60 cells were stably transfected with a plasmid expressing the human HO-1 gene (pSFFV-HO-1). As shown in Figure 4A, the SFFV promoter was activated in these cells and ectopic HO-1 expression was maintained even in the presence of ATRA-ATO combination. Such forced HO-1 expression was sufficient to reduce ATO-mediated cytotoxicity, but partially reverted (not significant) the enhanced cytotoxicity triggered by ATRA during ATO challenge, as determined by the trypan blue exclusion (Figure 4B) and DEVDase activity (Figure 4C) assays.

Taken together, these results confirm that ATRA synergizes with ATO to enhance cytotoxicity by inhibiting the Nrf2 pathway in AML cells.

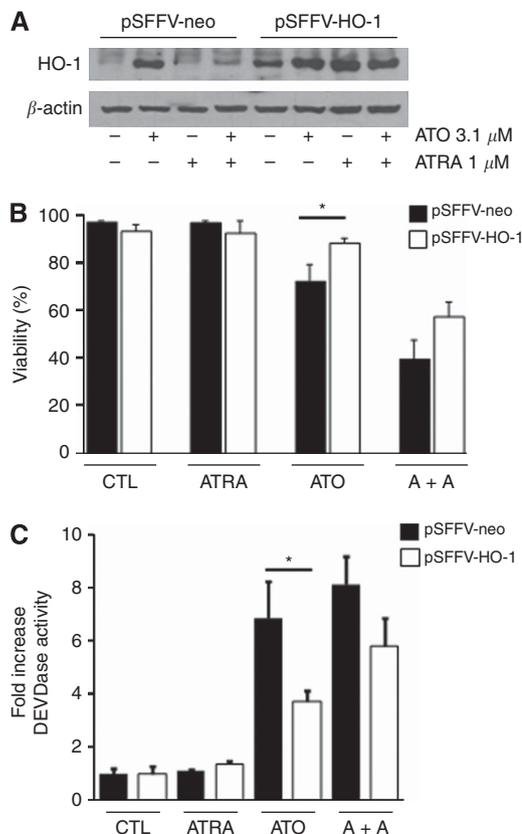
**Involvement of RAR $\alpha$  in ATRA-mediated Nrf2 inhibition.** Previous results showed that ATRA enhances ATO-mediated cytotoxicity by inhibiting the Nrf2 pathway in AML cells. This led us to explore the potential role of RAR $\alpha$  in the ATRA-mediated effects on Nrf2 pathway in response to ATO. To this end, in addition to NB4 cells, we included in our study the ATRA-resistant NB4-R2 cells, a cellular subline bearing a missense mutation in the PML fragment of PML-RAR $\alpha$  that inhibits RAR $\alpha$  transcriptional



**Figure 3.** The association of ATRA and ATO inhibited Nrf2 nuclear translocation promoting cell death in both AML and APL cells. HL60 and NB4 cells were pretreated with ATRA (1 μM) for 2 h and then exposed to ATO (3.1–6.25 μM; 12 h and 0.75–1.5 μM; 24 h), respectively. (A) After treatment, total and nuclear protein extracts were prepared and HO-1, NQO1, Nrf2 and β-actin protein levels were assessed by immunoblotting. Illustrations of typical western blots are shown. (B) GSH content in both HL60 and NB4 cells, under the same conditions described above, was determined as described in the Materials and Methods section. Cytotoxicity was assessed by using (C) trypan exclusion assay and (D) DEVDase activity. HL60 and NB4 cells were exposed to ATO (6.25 and 0.75 μM) for 24 or 48 h, respectively. Statistically significant differences with respect to the control condition are indicated (means ± s.e.m.; n = 3; \*P ≤ 0.05, \*\*P ≤ 0.01, \*\*\*P ≤ 0.001). Ctl, control.

function (Duprez *et al*, 2000). These cells do not differentiate even following 3 days of exposure to 1 μM ATRA (Figure 5A). Conversely, HL60 and NB4 were able to differentiate under such conditions. Subsequently, NB4-R2 and NB4 cells were incubated with ATO-ATRA. Western blot analysis revealed that HO-1 induction was not reduced in NB4-R2 cells treated with ATO in the presence of ATRA, as was the case for NB4 parental cells (Figure 5B). Similar results were observed when GSH content was determined (Figure 5C).

The critical role of RARα and ATRA interaction in Nrf2 responses to ATO was further demonstrated by recording the

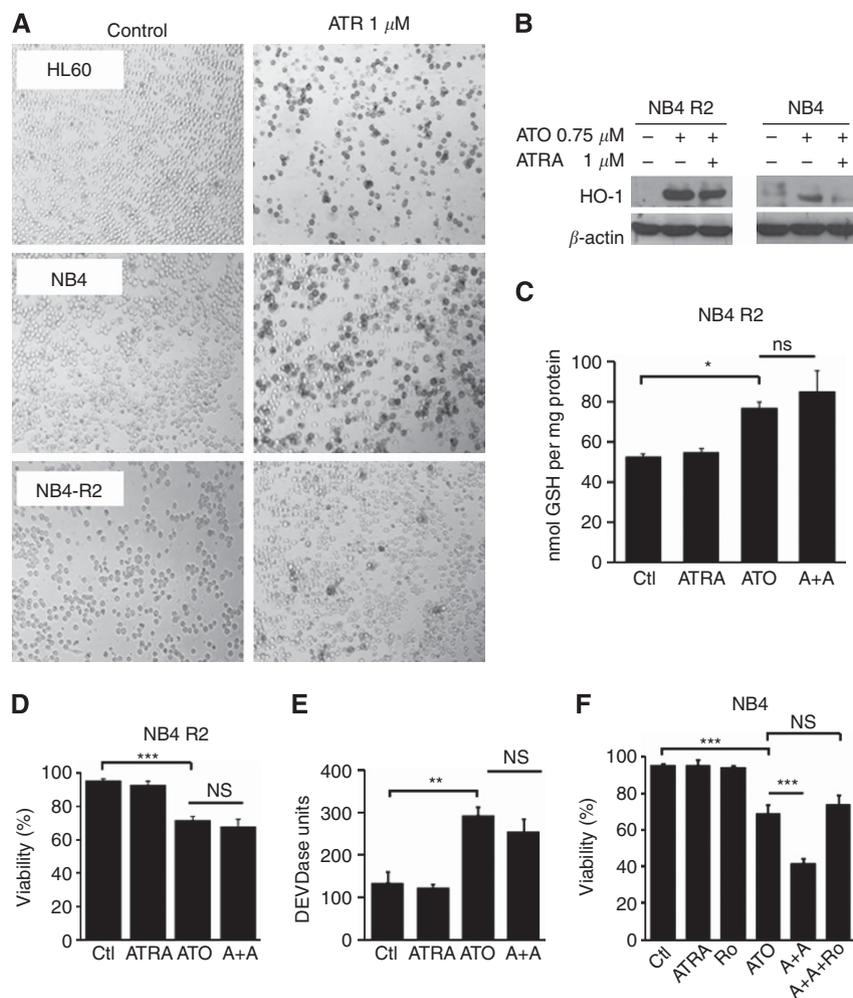


**Figure 4.** Ectopic expression of HO-1 in HL60 cells precluded ATRA-promoted cell death. (A) HL60 cells, stably transfected with either pSFFV-neo or pSFFV-HO-1, were incubated for 12 h with ATO (6.25 μM) with or without ATRA (1 μM). Total protein extracts were prepared and HO-1 protein levels were evaluated by immunoblotting. Illustration of a typical western blot is shown. Cytotoxicity following ATO and/or ATRA treatment was assessed by either (B) trypan blue exclusion or (C) DEVDase activity assays after 24 or 48 h of treatment, respectively. Statistically significant differences with respect to the control condition are indicated (means ± s.e.m.; n = 3; \*P ≤ 0.05).

cytotoxicity by ATO in both cell lines. As previously shown in NB4 cells (Figure 3C and D), the cytotoxicity by ATO alone was strongly enhanced by associating ATRA plus ATO. However, cytotoxicity was not augmented in NB4-R2 cells when treated with ATO in the presence of ATRA as determined by the trypan blue exclusion (Figure 5D) and DEVDase (Figure 5E) assays. To evaluate whether RARα activation by ATRA was indeed necessary to augment cytotoxicity, NB4 cells were pretreated with the RARα antagonist Ro-41-5253 (Apfel *et al*, 1992) and then incubated with ATO-ATRA for an additional 24 h. Interestingly, Ro-41-5253 eventually abolished the ATRA-mediated increase in cytotoxicity (Figure 5F).

## DISCUSSION

The present study was undertaken to contribute to the elucidation of the molecular mechanisms underlying the promising anti-cancer effects shown by ATRA-ATO, particularly in APL therapy. We characterised the Nrf2 activation in AML cells by analysing the induction of known Nrf2 targets in response to different drugs used currently in APL therapy, that is, Ara-C, daunorubicin and ATO. Results obtained showed that ATO was the only drug able to induce Nrf2 targets (HO-1, NQO1, GSH content). Although ATO-mediated cytotoxicity has been associated with ROS generation



**Figure 5.** Loss of ATRA-mediated Nrf2 inhibitory mechanism in the NB4-R2 retinoic acid-resistant cell line. **(A)** HL60, NB4 and NB4-R2 cells were treated for 3 days with ATRA 1  $\mu\text{M}$ . Differentiation was determined by the NTB reduction assay as indicated in Materials and Methods. **(B)** NB4-R2 and NB4 cells were treated with ATO (0.75  $\mu\text{M}$ ) in the presence of ATRA (1  $\mu\text{M}$ ) for 24 h. After treatment, total protein extracts were prepared and HO-1 and  $\beta$ -actin protein levels were assessed by immunoblotting. Illustration of a typical western blot is shown. **(C)** GSH content was measured in NB4-R2 cells treated with ATO (0.75  $\mu\text{M}$ ) in the presence of ATRA (1  $\mu\text{M}$ ) for 24 h. Cell survival was assessed in NB4-R2 cells treated with ATO (0.75  $\mu\text{M}$ ) in the presence of ATRA (1  $\mu\text{M}$ ) for 24 h using **(D)** DEVdase activity or **(E)** the trypan blue exclusion assays. **(F)** NB4 cells were incubated with Ro-41-5351 (0.5  $\mu\text{M}$ ) for 24 h and then treated with ATRA (1  $\mu\text{M}$ ) and ATO (0.75  $\mu\text{M}$ ) for additional 24 h. Cell extracts were collected and cell survival was assessed by using the trypan blue exclusion assay. Statistically significant differences with respect to the control condition are indicated (means  $\pm$  s.e.m.;  $n = 3$ ; \* $P \leq 0.05$ , \*\* $P \leq 0.01$ , \*\*\* $P \leq 0.001$ ). Ctl, control.

(Alarifi *et al*, 2013), Nrf2 activation by ATO in AML cells appeared to be independent of ROS formation. Indeed, in agreement with a previous study (Morales *et al*, 2009), antioxidants such as mannitol and tiron (a metal chelator and scavenger of both superoxide and hydroxyl radical species) were unable to preclude Nrf2 activation (Supplementary Figure 1A and B). In addition, a rapid activation of Nrf2 by ATO (1  $\mu\text{M}$ ) via a noncanonical pathway, independent of ROS formation, has been recently reported (Lau *et al*, 2013). The authors reported that arsenic blocks autophagic flux, resulting in accumulation of p62 and sequestration of Keap1 into autophagosomes that leads to a prolonged Nrf2 activation. The mechanism by which ATO induces the formation of ROS has not been totally elucidated, but upregulation of NADPH oxidase and subsequent ROS production appear as one of the main intracellular target induced in NB4 cells treated for 10 days with low doses of ATO (Chou *et al*, 2004). Under our experimental conditions, the formation of ROS by ATO appears to be highly dependent on utilised doses. For instance, by using the fluorescein probe (DCFH-DA), the detection of ROS was only observed at ATO doses higher than 25  $\mu\text{M}$ , whereas at concentrations lower than 12  $\mu\text{M}$  no ROS

formation was detected (Supplementary Figure 1C). These results are consistent with previous reports showing that concentrations of ATO higher than 12  $\mu\text{M}$  (Alarifi *et al*, 2013) or doses of sodium arsenite higher than 80  $\mu\text{M}$  (Chen *et al*, 1998) lead to the formation of ROS. In this context, the activation of Nrf2 pathway and further protection of cells against ATO-mediated cytotoxicity by lipoic acid (Wang *et al*, 2011) or NAC may be explained by covalent binding of ATO to sulphhydryl residues in proteins or other thiol-rich molecules (Zhang *et al*, 2010). Accordingly, NAC inhibited Nrf2 nuclear translocation mediated by ATO (Supplementary Figure 1A).

HO-1, catalysing the catabolism of pro-oxidant haem to carbon monoxide, biliverdin and free iron (Gozzelino *et al*, 2010), has been shown to protect AML cells against standard chemotherapeutic drugs, proteasome inhibitors and TNF- $\alpha$ -induced apoptosis (Rushworth *et al*, 2010). Despite the fact that HO-1 induction is a biological marker for ATO exposition (Miller *et al*, 2002), there is controversy as to whether HO-1 protects cells against ATO-induced cytotoxicity (Morales *et al*, 2009; Wang *et al*, 2011). Our results underline the major role of HO-1 to resist

the ATO-mediated cytotoxicity in AML cells. Although a previous study showed that HO-1 induction protected AML cells against daunorubicin and Ara-C (Heasman *et al*, 2011), our data demonstrated that it was not the case even when HO-1 was inhibited with ZnPP in HL60 cells (Supplementary Figure 3). In addition, GSH content is considered relevant in the resistance to ATO-mediated cytotoxicity and high GSH content had been related with a less sensitivity to ATO (Davison *et al*, 2003). Indeed, when GSH synthesis was inhibited with BSO, we observed an augmented DEVDase activity with a concomitant loss of cell viability. Taken together, these results support the involvement of both HO-1 and GSH in cell sensitivity to ATO.

ATRA-ATO co-treatment has shown to be an effective option in the APL therapy (Chendamarai *et al*, 2012); therefore, we investigated whether the characterised Nrf2 protective activity may be modified by ATRA during ATO challenge. As shown here, ATRA inhibited Nrf2 activity during ATO challenge and as expected, it was associated with enhanced cytotoxicity (Figure 3C and D). Notably, this inhibitory effect was not observed in the NB4-derived ATRA-resistant NB4-R2 cells (PML-RAR $\alpha$ <sup>+</sup>, RAR $\alpha$ <sup>+</sup>). These cells were previously characterised as containing a mutation in the RAR $\alpha$  E-domain of PML-RAR $\alpha$  that substitutes Gln903 for a stop codon, generating a truncated protein that displays a dominant negative effect on RAR $\alpha$  transcriptional activity (Duprez *et al*, 2000). Results obtained in NB4-R2 cells (Figure 5) are similar to those in MCF-7 cells, where RAR $\alpha$  expression was silenced by shRNA, resulting in the abolishment of ATRA-mediated inhibition of Nrf2 activity (Wang *et al*, 2007). To confirm a major role played by RAR $\alpha$ , NB4 cells were pre-incubated with the RAR $\alpha$  antagonist Ro-41-5153. As expected, a potentiating effect by ATRA on cytotoxicity was also precluded (Figure 5E). This led us to hypothesise that a physical interaction between RAR $\alpha$  and Nrf2 may occur during ATO-ATRA treatment in AML cells.

In a clinical study conducted by Goto *et al* (2011), a relapsed APL patient following chemotherapy with insufficient response to ATRA was later treated with a combination protocol of ATO plus ATRA, and promyelocytes resistant to ATO were isolated during the terminal stage of its clinical course. Interestingly, a clonal expansion of two subpopulations of blasts was detected. A main population presented missense mutations in both the B2 and LBD domains of PML-RAR $\alpha$  and a minor emergent population with a missense mutation in the LBD domain. To note, mutations in the retinoic acid-binding domain in PML-RAR $\alpha$  are related with ATRA resistance (Marasca *et al*, 1999; Zhou *et al*, 2002). On the basis of these results, we would like to hypothesise that the presence of these mutations generating ATRA resistance may be linked to ATO resistance via the Nrf2 pathway. In this context, when the ATRA-resistant NB4-R2 and NB4 cells were treated with ATO, a stronger induction of HO-1 protein was observed in the former cells (Figure 5B). A link between ATRA and ATO resistance via the Nrf2 pathway may thus be suggested.

Total elimination of promyelocytic blasts during chemotherapy seems to be crucial in APL. Indeed, recent studies indicated that even being differentiated with retinoids, blasts harbouring the genetic fusion PML-RAR $\alpha$  still conserved the capacity to reboot APL in an animal model (Ablain *et al*, 2013). Thus, molecular bases of resistance to ATO are necessary to be elucidated to develop effective chemotherapies eliminating blasts and improving clinical outcome.

In summary, our current results provide evidence implicating ATRA as an inhibitor of Nrf2 transcriptional activity in AML cells during ATO challenge that correlates with enhanced cytotoxicity via a mechanism involving RAR $\alpha$  activation. Future research will explore the molecular mechanism involving Nrf2 inhibition by ATRA in response to ATO, as well as the role of mutations present in the LBD domain of RAR $\alpha$  and/or PML-RAR $\alpha$ , to predict resistance during ATO-ATRA therapy.

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## CONFLICT OF INTEREST

The authors declare no conflict of interest.

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