

# Ethnicity in relation to incidence of oesophageal and gastric cancer in England

VH Coupland<sup>\*,1</sup>, J Lagergren<sup>2,3</sup>, J Konfortion<sup>1</sup>, W Allum<sup>4</sup>, MA Mendall<sup>5</sup>, RH Hardwick<sup>6</sup>, KM Linklater<sup>1</sup>, H Møller<sup>1</sup> and RH Jack<sup>1</sup>

<sup>1</sup>King's College London, Thames Cancer Registry, 1st Floor Capital House, 42, Weston Street, London SE1 3QD, UK; <sup>2</sup>Division of Cancer Studies, King's College London, London, UK; <sup>3</sup>Karolinska Institutet, Unit of Upper Gastrointestinal Research, Norra Stationsgatan 67, Level 2, Stockholm 17176, Sweden; <sup>4</sup>Department of Surgery, Royal Marsden NHS Foundation Trust, London SW3 6JJ, UK; <sup>5</sup>Department of Gastroenterology, Mayday University Hospital, Croydon, UK; <sup>6</sup>Cambridge Oesophago-Gastric Centre, Addenbrookes Hospital, PO Box 201, Hills Road, Cambridge CB2 2QQ, UK

**BACKGROUND:** This study investigated the variation in incidence of all, and six subgroups of, oesophageal and gastric cancer between ethnic groups.

**METHODS:** Data on all oesophageal and gastric cancer patients diagnosed between 2001 and 2007 in England were analysed. Self-assigned ethnicity from the Hospital Episode Statistics dataset was used. Male and female age-standardised incidence rate ratios (IRRs) were calculated for each ethnic group, using White groups as the references.

**RESULTS:** Ethnicity information was available for 83% of patients (76 130/92 205). White men had a higher incidence of oesophageal cancer, with IRR for the other ethnic groups ranging from 0.17 95% confidence interval (CI) (0.15–0.20) (Pakistani men) to 0.58 95% CI (0.50–0.67) (Black Caribbean men). Compared with White women, Bangladeshi women (IRR 2.02 (1.24–3.29)) had a higher incidence of oesophageal cancer. For gastric cancer, Black Caribbean men (1.39 (1.22–1.60)) and women (1.57 (1.28–1.92)) had a higher incidence compared with their White counterparts. In the subgroup analysis, White men had a higher incidence of lower oesophageal and gastric cardia cancer compared with the other ethnic groups studied. Bangladeshi women (3.10 (1.60–6.00)) had a higher incidence of upper and middle oesophageal cancer compared with White women.

**CONCLUSION:** Substantial ethnic differences in the incidence of oesophageal and gastric cancer were found. Further research into differences in exposures to risk factors between ethnic groups could elucidate why the observed variation in incidence exists.

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Internationally there is wide variation in the incidence of oesophageal and gastric cancers (Curado *et al*, 2007). Variation in the incidence of these cancers has also been reported between ethnic groups within countries (Curado *et al*, 2007; Goggins and Wong, 2009; National Cancer Intelligence Network, 2009; Ali *et al*, 2010). A large English study found a lower incidence of oesophageal cancer in South Asian (including Indian, Pakistani, and Bangladeshi groups) and Black men and women compared with White men and women (National Cancer Intelligence Network, 2009). The same report found South Asian men and women had a lower incidence of gastric cancer, and Black men and women a higher incidence compared with their White counterparts (National Cancer Intelligence Network, 2009).

Oesophageal and gastric cancer subgroups display different epidemiological patterns and are associated with different risk factors (Blot *et al*, 2006; Crew and Neugut, 2006; Coupland *et al*, 2012). Several studies in the United States and one in the United Kingdom found that the incidence of oesophageal squamous cell carcinoma was higher in Black men compared with White men,

whereas oesophageal adenocarcinoma was found to be higher in White men (Yang and Davis, 1988b; Corley and Buffler, 2001; El-Serag *et al*, 2002; Vizcaino *et al*, 2002; Kubo and Corley, 2004; Wu *et al*, 2006; Curado *et al*, 2007; Cook *et al*, 2009; Cooper *et al*, 2009; Hongo *et al*, 2009). Although the incidence of gastric cardia cancer is higher in White men (Yang and Davis, 1988a; Corley and Buffler, 2001; El-Serag *et al*, 2002; Kubo and Corley, 2004; Wu *et al*, 2006, 2009), the incidence of gastric non-cardia cancer has been found to be higher in Black men and women compared with their White counterparts (Yang and Davis, 1988a; Wu *et al*, 2006, 2009).

A recent national English study investigated differences in the incidence of oesophageal and gastric cancer using broad ethnic groups (National Cancer Intelligence Network, 2009). To date, the majority of studies investigating the variation in incidence between ethnic groups for the more specific subgroups of these cancers have occurred in the United States. This study aimed to assess the variation in incidence in more specific ethnic groups (White, Indian, Pakistani, Bangladeshi, Black Caribbean, Black African, and Chinese) and for six subgroups (upper and middle oesophagus, lower oesophagus, oesophagus not otherwise specified, gastric cardia, gastric non-cardia, and gastric not otherwise specified) of oesophageal and gastric cancer in England.

\*Correspondence: VH Coupland; E-mail: victoria.coupland@kcl.ac.uk  
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## MATERIALS AND METHODS

### Study population

Data on 44 307 patients diagnosed with oesophageal cancer (ICD10 C15) and 47 898 patients diagnosed with gastric cancer (ICD10 C16) in England between 2001 and 2007 were extracted from the National Cancer Data Repository (NCDR). The NCDR contains information collected by the eight English cancer registries on all cancer patients diagnosed in their catchment areas. These data are quality assured in each registry before being combined into the English dataset (National Cancer Intelligence Network, 2011).

Ethnicity was classified using the most recent valid self-assigned ethnicity code from the Hospital Episode Statistics (HES) dataset. Ethnic groups were analysed for seven categories: White, Indian, Pakistani, Bangladeshi, Black Caribbean, Black African, and Chinese. The corresponding population data for each age and ethnic group were obtained from the Office for National Statistics with 2001 populations taken from the census year and combined with the mid-year population estimates between 2002 and 2007 (Office for National Statistics, 2011).

Analysis was carried out on all oesophageal and gastric cancer cases and for six subgroups of these cancers: (1) upper and middle oesophagus, (2) lower oesophagus, (3) oesophagus with an unspecified anatomical site (oesophageal not otherwise specified (NOS)), (4) gastric cardia, (5) gastric non-cardia, and (6) gastric with an unspecified anatomical site (gastric NOS). These groups were defined primarily on the basis of ICD10 codes (Table 1). However, to ensure maximum use of the available coding, we reassigned patients in the oesophageal NOS group who had a histological diagnosis of adenocarcinoma into the lower oesophageal group and those with oesophageal NOS cancer and squamous cell carcinoma into the upper and middle oesophageal group. This was because the vast majority of oesophageal adenocarcinomas occur in the lower third of the oesophagus (Department of Health, 2001; Pohl and Welch, 2005), whereas squamous cell carcinoma is more evenly distributed throughout the entire length of the oesophagus (Department of Health, 2001). A sensitivity analysis was also carried out defining the oesophageal subgroups based on only their histological diagnosis. Three groups were analysed: squamous cell carcinoma, adenocarcinoma, and 'other and unspecified'.

### Statistical analysis

Age-standardised incidence rates per 100 000 European standard population were calculated for all males and females diagnosed with oesophageal and gastric cancer and for the six cancer subgroups. This was then repeated for each ethnic group. As not all patients had an ethnic group recorded, any age-standardised

incidence rates calculated would be too low, as there was no corresponding population data for these patients. Therefore, male and female age-standardised incidence rate ratios (IRRs) were calculated for each ethnic group, using White males and White females as the references. 95% confidence intervals (CIs) were calculated using the method described in Boyle and Parkin, 1991.

## RESULTS

### Patients

There were 92 205 oesophageal and gastric cancer patients diagnosed in England between 2001 and 2007. Ethnicity information was available for 76 130 (82.6%) of the 92 205 patients. The White, Indian, Pakistani, Bangladeshi, Black Caribbean, Black African, and Chinese groups made up 98.8% of those with a recorded ethnicity (75 180 of 76 130). Table 2 shows the number and proportion of patients in each ethnic group for each cancer type and subgroup. The incidence of oesophageal and gastric cancer was higher in males (14.0 and 14.7, respectively) compared with females (5.6 and 5.9, respectively). This was particularly the case in lower oesophageal and gastric cardia cancer with males having incidence rates around four times higher than females.

### Ethnicity and risk of oesophageal cancer

Compared with White men, Indian (IRR 0.42, 95% CI 0.37–0.46), Pakistani (IRR 0.17, 95% CI 0.15–0.20), Bangladeshi (IRR 0.39, 95% CI 0.29–0.53), Black Caribbean (IRR 0.58, 95% CI 0.50–0.67), Black African (IRR 0.39, 95% CI 0.31–0.50), and Chinese (IRR 0.36, 95% CI 0.27–0.47) men had a lower incidence of oesophageal cancer (Figure 1). Compared with White women, Bangladeshi women (IRR 2.02, 95% CI 1.24–3.29) had a higher incidence of oesophageal cancer and Black African women (IRR 0.86, 95% CI 0.57–1.30) had a similar incidence, whereas Indian (IRR 0.68, 95% CI 0.57–0.81), Pakistani (IRR 0.26, 95% CI 0.20–0.33), Black Caribbean (IRR 0.56, 95% CI 0.46–0.69), and Chinese (IRR 0.42, 95% CI 0.28–0.62) women had a lower incidence (Figure 1).

### Ethnicity and risk of gastric cancer

Indian (IRR 0.41, 95% CI 0.37–0.45), Pakistani (IRR 0.47, 95% CI 0.40–0.55), and Bangladeshi (IRR 0.62, 95% CI 0.47–0.82) men had a lower incidence of gastric cancer compared with White men. Black Caribbean men had a higher incidence (IRR 1.39, 95% CI 1.22–1.60) and Black African (IRR 1.04, 95% CI 0.80–1.35) and Chinese (IRR 0.99, 95% CI 0.75–1.31) men had a similar incidence to White men (Figure 2). Compared with White women, Indian (IRR 0.57, 95% CI 0.48–0.67) and Pakistani (IRR 0.71, 95% CI 0.54–0.93) women had a lower incidence of gastric cancer, Black

**Table 1** Oesophageal and gastric cancer group definitions

Oesophageal and gastric cancer groups	International classification of diseases version 10 (ICD10) and international classification of diseases for oncology version 2 (ICDO2) codes
Oesophagus	C15
Upper and middle oesophagus	C15.0–C15.1, C15.3–C15.4 including C15.8–C15.9 with a morphology code 8050-8083 (squamous cell carcinomas)
Lower oesophagus	C15.2, C15.5 including C15.8–C15.9 with a morphology code 8140-8576 (adenocarcinomas)
Oesophagus not otherwise specified	C15.8–C15.9 excluding C15.8–C15.9 with a morphology code 8050-8083 (squamous cell carcinomas) or 8140-8576 (adenocarcinomas)
Gastric	C16
Gastric cardia	C16.0
Gastric non-cardia	C16.1–C16.6
Gastric not otherwise specified	C16.8–C16.9

**Table 2** Number and percentage of males and females in each ethnic group for patients diagnosed with oesophageal cancer or gastric cancer in England between 2001 and 2007, by sex and subgroup

Total Ethnic group	Oesophagus 44 307		Upper and middle oesophagus 12 872		Lower oesophagus 26 299		Oesophagus NOS 5136		Gastric 47 898		Gastric cardia 12 672		Gastric non-cardia 10 373		Gastric NOS 24 853		Oesophageal and gastric cancer 92 205	
	N	%	N	%	N	%	N	%	N	%	N	%	N	%	N	%	N	%
<i>Males</i>																		
White	23 603	83.1	4875	82.8	16 797	85.5	1931	67.1	24 509	79.4	8145	85.6	5294	82.2	11 070	74.2	48 112	81.1
Indian	138	0.5	64	1.1	57	0.3	17	0.6	141	0.5	21	0.2	32	0.5	88	0.6	279	0.5
Pakistani	25	0.1	8	0.1	16	0.1	1	<0.1	81	0.3	13	0.1	26	0.4	42	0.3	106	0.2
Bangladeshi	22	0.1	12	0.2	7	<0.1	3	0.1	37	0.1	3	<0.1	9	0.1	25	0.2	59	0.1
Black Caribbean	132	0.5	71	1.2	42	0.2	19	0.7	332	1.1	40	0.4	94	1.5	198	1.3	464	0.8
Black African	33	0.1	11	0.2	18	0.1	4	0.1	76	0.2	10	0.1	18	0.3	48	0.3	109	0.2
Chinese	22	0.1	14	0.2	4	<0.1	4	0.1	60	0.2	3	<0.1	17	0.3	40	0.3	82	0.1
Mixed	37	0.1	10	0.2	25	0.1	2	0.1	73	0.2	14	0.1	14	0.2	45	0.3	110	0.2
Other	193	0.7	60	1.0	110	0.6	23	0.8	313	1.0	55	0.6	71	1.1	187	1.3	506	0.9
Not known	4214	14.8	765	13.0	2576	13.1	873	30.3	5257	17.0	1214	12.8	862	13.4	3181	21.3	9471	16.0
All	28 419		5890		19 652		2877		30 879		9518		6437		14 924		59 298	
<i>Females</i>																		
White	12 683	79.8	5729	82.1	5535	83.3	1419	62.8	12 687	74.5	2617	83.0	3147	80.0	6923	69.7	25 370	77.1
Indian	91	0.6	71	1.0	13	0.2	7	0.3	81	0.5	6	0.2	19	0.5	56	0.6	172	0.5
Pakistani	15	0.1	12	0.2	3	<0.1	0	0.0	43	0.3	5	0.2	10	0.3	28	0.3	58	0.2
Bangladeshi	34	0.2	26	0.4	6	0.1	2	0.1	21	0.1	2	0.1	5	0.1	14	0.1	55	0.2
Black Caribbean	56	0.4	36	0.5	15	0.2	5	0.2	153	0.9	15	0.5	27	0.7	111	1.1	209	0.6
Black African	22	0.1	15	0.2	4	0.1	3	0.1	44	0.3	5	0.2	8	0.2	31	0.3	66	0.2
Chinese	10	0.1	4	0.1	4	0.1	2	0.1	29	0.2	5	0.2	9	0.2	15	0.2	39	0.1
Mixed	17	0.1	10	0.1	5	0.1	2	0.1	35	0.2	7	0.2	10	0.3	18	0.2	52	0.2
Other	115	0.7	57	0.8	42	0.6	16	0.7	167	1.0	19	0.6	40	1.0	108	1.1	282	0.9
Not known	2845	17.9	1022	14.6	1020	15.3	803	35.5	3759	22.1	473	15.0	661	16.8	2625	26.4	6604	20.1
All	15 888		6982		6647		2259		17 019		3154		3936		9929		32 907	

Caribbean (IRR 1.57, 95% CI 1.28–1.92) women had a higher incidence and Bangladeshi, Black African, and Chinese women had a similar incidence (Figure 2).

### Ethnicity and risk of oesophageal cancer by subgroup

Bangladeshi women had a higher incidence of upper and middle oesophageal cancer (IRR 3.10, 95% CI 1.60–6.00), whereas Pakistani men (IRR 0.22, 95% CI 0.16–0.31) and Chinese women (IRR 0.34, 95% CI 0.19–0.59) had a lower incidence compared with their White counterparts. White men had a higher incidence of lower oesophageal cancer compared with all other ethnic groups studied (Figure 3). Compared with White women, the incidence of lower oesophageal cancer was lower in all ethnic groups except Bangladeshi women who had a similar incidence (IRR 0.89, 95% CI 0.40–2.00). There were a small number of cases of oesophageal NOS cancer in most ethnic groups studied (Table 2).

A sensitivity analysis found that the lower oesophageal and adenocarcinoma subgroups, the upper and middle oesophageal and squamous cell carcinoma subgroups, and the oesophageal NOS and 'other and unspecified' subgroups had similar patterns in incidence between ethnic groups.

### Ethnicity and risk of gastric cancer by subgroup

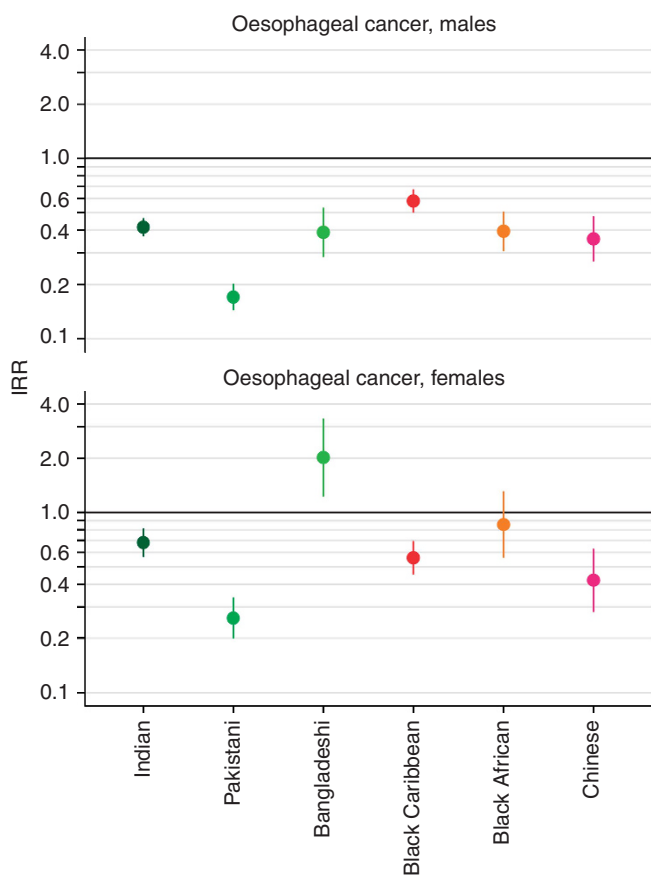
White men had a higher incidence of gastric cardia cancer than other ethnic groups studied (Figure 3). Indian (IRR 0.20, 95% CI 0.14–0.27), Pakistani (IRR 0.46, 95% CI 0.26–0.83), and Bangladeshi (IRR 0.25, 95% CI 0.12–0.51) women had a lower incidence of this cancer compared with White women, while Black Caribbean, Black African, and Chinese women had a similar incidence. Compared with White men and women, Indian men (IRR 0.43, 95% CI 0.34–0.54) and women (IRR 0.53, 95% CI 0.38–0.74) and Pakistani men (IRR 0.66, 95% CI 0.48–0.92) had a lower incidence

of gastric non-cardia cancer and of gastric NOS cancer (IRR 0.59, 95% CI 0.50–0.69, IRR 0.74, 95% CI 0.58–0.93, and IRR 0.56, 95% CI 0.44–0.70, respectively). Compared with their White counterparts, Black Caribbean men (IRR 1.81, 95% CI 1.36–2.42) had a higher incidence of gastric non-cardia cancer. Black Caribbean men (IRR 1.94, 95% CI 1.58–2.38) and women (IRR 2.11, 95% CI 1.60–2.78), and Black African men (IRR 1.66, 95% CI 1.10–2.49) and Chinese men (IRR 1.53, 95% CI 1.01–2.31) had a higher incidence of gastric NOS cancer. All other groups had a similar incidence compared with their White counterparts.

## DISCUSSION

A previous large English study found a lower incidence of oesophageal cancer in South Asian and Black men and women compared with White men and women (National Cancer Intelligence Network, 2009). In general our study supports these findings, but also demonstrates some variations between the more specific ethnic groups. For example, whereas Indian and Pakistani women had a lower incidence of oesophageal cancer, Bangladeshi women had a higher incidence compared with White women. The same report found that South Asian men and women had a lower incidence of gastric cancer, and Black men and women had a higher incidence compared with their White counterparts (National Cancer Intelligence Network, 2009). Again, our study largely supports the finding of lower incidence in the South Asian ethnic groups, except for Bangladeshi women who were found to have a similar incidence to White women. However, the higher incidence of gastric cancer found in Black individuals is driven by the larger Black Caribbean group.

Variation in incidence between ethnic groups in subgroups of oesophageal and gastric cancers has previously been reported. Studies in the United States have found the incidence of

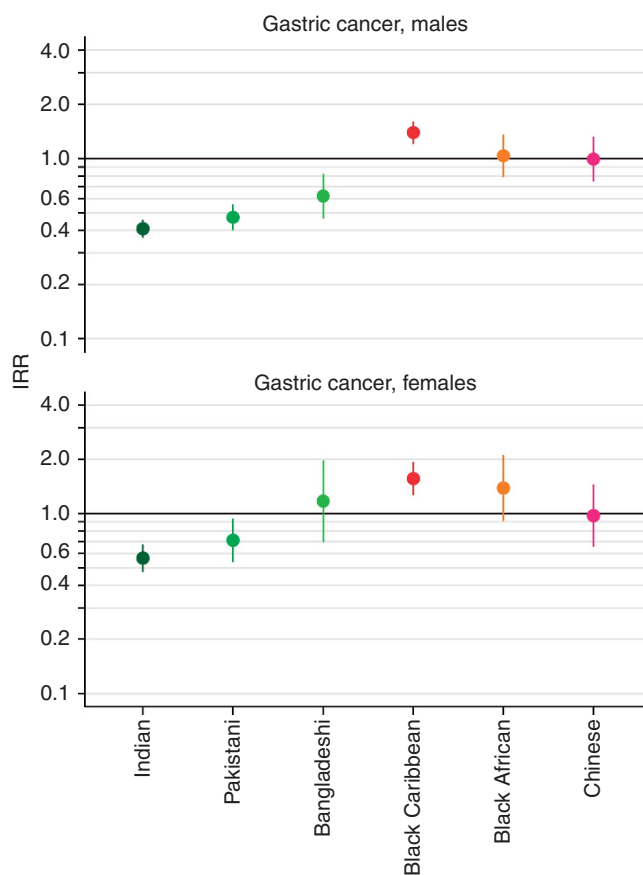


**Figure 1** Age-standardised IRRs for men and women diagnosed with oesophageal cancer in England between 2001 and 2007 by ethnic group. White men and women used as references.

oesophageal squamous cell carcinoma to be up to six times higher in Black men compared with White men (Yang and Davis, 1988b; Corley and Buffler, 2001; Vizcaino *et al*, 2002; Kubo and Corley, 2004; Wu *et al*, 2006; Cook *et al*, 2009). Our study found a higher incidence of upper and middle oesophageal cancer in Black Caribbean men, but a lower incidence in Black African men compared with White men. Our study also found that White men had a higher incidence of lower oesophageal and gastric cardia cancers, which supports findings of previous studies in the United States and in the United Kingdom (Yang and Davis, 1988a, b; Corley and Buffler, 2001; El-Serag *et al*, 2002; Vizcaino *et al*, 2002; Kubo and Corley, 2004; Cook *et al*, 2009; Cooper *et al*, 2009; Hongo *et al*, 2009; Wu *et al*, 2006, 2009).

This national study included a large number of patients over a 7-year period. Therefore, it was possible to investigate the variation in incidence between more specific ethnic groups and different subgroups of these cancers than analysed in previous national studies. This study also benefits from extracting self-assigned ethnicity for the majority of patient records (83%). A recent study found that the completeness of case ascertainment in English cancer registries is high, using a method that identifies potentially missed cancer registrations from the HES dataset (Moller *et al*, 2011). Using this method, the completeness of oesophageal and gastric cancer registrations was estimated to be over 99% in 2008 (data not shown).

One limitation was that it was not possible to adjust for known risk factors, including socio-economic deprivation. However, as White individuals are less likely to live in the most deprived areas compared with the other ethnic groups studied (Tinsley and



**Figure 2** Age-standardised IRRs for men and women diagnosed with gastric cancer in England between 2001 and 2007 by ethnic group. White men and women used as references.

Jacobs, 2006) and because the incidence of both oesophageal and gastric cancer is higher in more deprived areas (National Cancer Intelligence Network, 2008), the effect of adjusting for deprivation would reduce the IRRs. This would strengthen some of the differences, in particular, the predominance of lower oesophageal and gastric cardia cancer in White males, but could lead to findings such as the higher incidence of upper and middle oesophageal cancer among Bangladeshi women being attenuated, resulting in a similar or lower incidence when compared with White women.

Around 17% of patients had no known ethnicity so age-standardised rates could not be presented. A sensitivity analysis, using an extreme assumption that all patients with an unknown ethnicity were actually White, found that our results were slightly attenuated, but that there was no material difference in our overall findings (data not shown). However, this extreme assumption will have misclassified some patients from other ethnic groups.

Over half (51.9%) of gastric cancer patients were NOS, which meant they could not be assigned to either the cardia or non-cardia subgroup. Also, even after reassigning patients in the oesophageal NOS group to either the upper and middle or lower oesophageal groups based on their morphology, 11.6% of cases were still classified as NOS. However, these oesophageal subgroups made better use of all available coding, with a sensitivity analysis showing that defining subgroups based on morphology alone left a higher proportion (16.0%) of cases classified as 'other and unspecified'. The sensitivity analysis found that the lower oesophageal and adenocarcinoma subgroups, the upper and middle oesophageal and squamous cell carcinoma subgroups,



**Figure 3** Age-standardised IRRs for men and women diagnosed with oesophageal or gastric cancer in England between 2001 and 2007 by ethnic group and subgroup. White men and women used as references.

and the oesophageal NOS and 'other and unspecified' subgroups had very similar patterns in incidence between ethnic groups.

There was no information on country of birth, age at migration, or length of time in England, which may be important in terms of exposure to some early environmental risk factors. In the absence of information on patients' migration history, age could be used as a proxy, but because of the small number of cases in some specific age and ethnic groups, it was not possible to investigate variation in incidence by age. However, there was variation in the age distribution of individuals in ethnic groups. The highest median age was in the White group at 73 years. For the other ethnic groups studied the median age ranged from 63 years for the Chinese group to 71 years for the Black Caribbean group.

Oesophageal and gastric cancer subgroups are associated with different risk factors, although our understanding of the aetiology of some of these subgroups is uncertain (Blot *et al*, 2006). Tobacco smoking and high alcohol consumption are the main risk factors for squamous cell carcinoma of the oesophagus (Blot *et al*, 2006;

Shibata and Parsonnet, 2006; Lagergren and Lagergren, 2010). One possible explanation for the lower incidence of upper and middle oesophageal cancer in some ethnic groups could be a lower prevalence of smoking or alcohol consumption compared with the general population. However, this does not explain why some groups smoke and drink less than the general population (Sproston and Mindell, 2006), but have a similar incidence of this cancer compared with the White group.

Chewing areca nut (also known as betel quid when it is chewed with a betel leaf) both with and without chewing tobacco has been associated with an increased risk of developing oesophageal squamous cell carcinoma (Wu *et al*, 2001b; Akhtar *et al*, 2012). In 2004, around 16% of Bangladeshi women in England reported that they chewed tobacco including betel quid, a higher proportion than Indian (1%) and Pakistani women (1%) in the survey (Sproston and Mindell, 2006). This may possibly explain the higher incidence of upper and middle oesophageal cancer in Bangladeshi women.

Barrett's oesophagus, chronic gastro-oesophageal reflux disease, and increasing body mass index are associated with an increased risk of developing oesophageal adenocarcinoma (Lagergren *et al*, 1999a, b, 2000; Wu *et al*, 2001a; Hampel *et al*, 2005; Crew and Neugut, 2006; Merry *et al*, 2007; El-Serag, 2008; Wood and Yang, 2008; Lagergren and Lagergren, 2010). A UK study found that White individuals had a higher risk of developing Barrett's oesophagus compared with South Asians and Afro-Caribbeans (Ford *et al*, 2005). Also, in 2004 the male general population were typically more likely to be overweight or obese compared with Indian, Pakistani, Bangladeshi, and Chinese men, whereas Black African and Black Caribbean men had a similar level of obesity (Sproston and Mindell, 2006).

Although infection with the most common strain (CagA+) of *Helicobacter pylori* has been established as a risk factor for non-cardia gastric cancer (International Agency for Research on Cancer, 1994), meta-analyses have found that such infection may be associated with a reduced risk of oesophageal adenocarcinoma and possibly gastric cardia cancer (Blot *et al*, 2006; Kamangar *et al*, 2006; Rokkas *et al*, 2007; Islami and Kamangar, 2008). Studies in the United States have found *H. pylori* infection to be lower in White compared with Black individuals (Taylor and Blaser, 1991; Everhart *et al*, 2000), which was partly explained by socio-economic factors such as lower income (Everhart *et al*, 2000). No population-wide studies investigating differences in the prevalence of *H. pylori* infection between ethnic groups have been reported in England. However, it is plausible that differences in *H. pylori* infection and socio-economic factors between ethnic groups could partly explain some of the observed variation in the incidence of these cancers.

Our study highlights the importance of investigating variation in incidence between more specific ethnic groups in subgroups of oesophageal and gastric cancer. There were differences in the

incidence of these cancers between specific ethnic groups in England. Different patterns were also seen in the cancer subgroups. Differences in exposures to risk factors between ethnic groups might contribute to this variation. However, there are relatively few studies that investigate these factors in ethnic groups in England, which could help to elucidate why the observed variation in incidence exists.

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## Conflict of interest

The authors declare no conflict of interest.

## Disclaimer

The views expressed are those of the author(s) and not necessarily those of the NHS, the NIHR or the Department of Health.

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