Comparison of the prognostic value of inflammation-based prognostic scores in patients with hepatocellular carcinoma

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BACKGROUND: Inflammation-based prognostic scores including the Glasgow Prognostic Score (GPS), neutrophil to lymphocyte ratio (NLR), and Prognostic Nutritional Index (PNI) are associated with survival in patients with hepatocellular carcinoma (HCC). The aim of this study was to investigate the prognostic value of these inflammation-based prognostic scores in patients with HCC.

METHODS: In total, 150 patients with newly diagnosed HCC were prospectively evaluated. Patients were divided according to the GPS, modified GPS, NLR, platelet to lymphocyte ratio (PLR), Prognostic Index (PI), and PNI. The area under the receiver operating characteristics curve (AUC) was calculated to compare the predictive ability of each of the scoring systems. A univariate and multivariate analysis were performed to identify the clinicopathological variables associated with overall survival.

RESULTS: The GPS consistently had a higher AUC value at 6 months (0.768), 12 months (0.787), and 24 months (0.758) in comparison with other inflammation-based prognostic scores. A multivariate analysis showed that the GPS was independently associated with overall survival.

CONCLUSION: This study demonstrates that the GPS, an inflammation-based prognostic score, is an independent marker of poor prognosis in patients with HCC and is superior to the other inflammation-based prognostic scores in terms of prognostic ability.

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Hepatocellular carcinoma (HCC) is the fifth most frequently diagnosed cancer worldwide, and the third leading cause of cancer-related deaths. An estimated 748 300 new liver cancer cases and 695 900 liver cancer-related deaths occurred in 2008, reflecting the poor prognosis of this disease (Jemal *et al*, 2011). In contrast to other cancers, the prognosis and treatment options for patients with HCC depend not only on the tumour progression but also on the extent of liver dysfunction (Huitzil-Melendez *et al*, 2010).

A number of staging systems have been proposed for HCC from independent groups, including the Barcelona Clinic Liver Cancer (Llovet *et al*, 1999), Cancer Liver Italian Programme (CLIP; CLIP investigators, 1998), and Japanese Integrated Staging (JIS) Score systems (Kudo *et al*, 2004). However, there is no worldwide consensus on which is the best system in staging and predicting the prognosis of patients with HCC.

In contrast, there is increasing evidence that the presence of a systemic inflammation response as evidenced by an elevated C-reactive protein (CRP) concentration, is associated with poor survival in patients with various malignancy, including HCC (Hashimoto *et al*, 2005; Kinoshita *et al*, 2012). Moreover, several studies have shown that inflammation-based prognostic scores including a combination of serum CRP and albumin as the Glasgow Prognostic Score (GPS), a combination of neutrophil and lymphocyte counts as the neutrophil to lymphocyte ratio (NLR), and a combination of albumin and lymphocyte counts as the

Prognostic Nutritional Index (PNI) are associated with survival in patients with HCC (Gomez *et al*, 2008; Ishizuka *et al*, 2012; Pinato *et al*, 2012). Moreover, Smith *et al* (2009) have demonstrated that the platelet to lymphocyte ratio (PLR) is a significant prognostic marker in patients with pancreatic cancer, and Kasymjanova *et al* (2010) have shown that the Prognostic Index (PI) as evidenced by a combination of serum the CRP and white cell count is a significant prognostic marker in patients with lung cancer. Recently, in a Glasgow Inflammation Outcome Study, Proctor *et al* (2011a) compared the prognostic value of these inflammation-based prognostic scores (the modified GPS, NLR, PLR, PI, and PNI) in patients with a variety of cancers including 'hepatopancreaticobiliary cancer' and shown that modified GPS and PI have prognostic value in cancer independent of the tumour site. However, hepatopancreaticobiliary cancer includes pancreatic cancer and biliary tract cancer besides HCC in their study. Consequently, which inflammation-based prognostic scores is more suitable for predicting outcome in patients with HCC has not been fully elucidated.

Therefore, this study compared the prognostic value of these inflammation-based prognostic scores (the GPS, mGPS, NLR, PLR, PI, and PNI) in patients with HCC in various stages of disease and different liver functional status.

MATERIALS AND METHODS

Patients

In total, 208 patients with newly diagnosed HCC that had been treated at the Department of Gastroenterology and Hepatology,

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The Jikei University Daisan Hospital, between January 2005 and October 2011 were prospectively enrolled. All medical records were reviewed retrospectively. Twenty-three patients were lost to follow-up. Thirty-five patients whose entire set of laboratory data were not available were excluded from this study. Patients who showed clinical evidence of infection or other inflammatory conditions were excluded. In total, 150 patients with HCC were finally included and evaluated. All patients were included in a previous study (Kinoshita *et al*, 2012).

The diagnosis of HCC was confirmed pathologically or based on imaging techniques obtained by 4-phase multidetector computed tomography (CT), or dynamic contrast-enhanced magnetic resonance imaging. Diagnosis should be based on the typical hallmark of HCC (hypervascular in the arterial phase with washout in the portal venous or delayed phases; European Association For The Study Of The Liver and European Organisation For Research And Treatment Of Cancer, 2012). Tumour-related variables such as maximal tumour diameter, tumour number, vascular invasion, and extra hepatic metastases were evaluated by these imaging techniques. The clinical stage (TNM classification) was determined according to the Liver Cancer Study Group of Japan (Minagawa *et al*, 2007).

This study complied with the standards of the Helsinki Declaration and current ethical guideline and was approved by the Institutional Ethical Board.

Inflammation-based prognostic scores and other variables

Blood samples were obtained before initial treatment for measurement of CRP, albumin, aspartate aminotransferase (AST), alanine aminotransferase (ALT), total bilirubin, white blood cell count, neutrophil, lymphocyte, platelet (Plt) count, prothrombin time, and α -fetoprotein level (AFP). Cancer Liver Italian Programme was calculated based on these variables and imaging techniques.

The GPS, mGPS, NLR, PLR, PI, and PNI were constructed as described in Table 1.

Table 1 Inflammation-based prognostic scores

Scoring systems	Score
<i>The GPS</i>	
CRP ($\leq 10 \text{ mg l}^{-1}$) and albumin ($\geq 35 \text{ g l}^{-1}$)	0
CRP ($\leq 10 \text{ mg l}^{-1}$) and albumin ($< 35 \text{ g l}^{-1}$)	1
CRP ($> 10 \text{ mg l}^{-1}$) and albumin ($\geq 35 \text{ g l}^{-1}$)	1
CRP ($> 10 \text{ mg l}^{-1}$) and albumin ($< 35 \text{ g l}^{-1}$)	2
<i>The modified GPS</i>	
CRP ($\leq 10 \text{ mg l}^{-1}$) and albumin ($\geq 35 \text{ g l}^{-1}$)	0
CRP ($\leq 10 \text{ mg l}^{-1}$) and albumin ($< 35 \text{ g l}^{-1}$)	0
CRP ($> 10 \text{ mg l}^{-1}$)	1
CRP ($> 10 \text{ mg l}^{-1}$) and albumin ($< 35 \text{ g l}^{-1}$)	2
<i>Neutrophil lymphocyte ratio</i>	
Neutrophil count:lymphocyte count $< 5:1$	0
Neutrophil count:lymphocyte count $\geq 5:1$	1
<i>Plt lymphocyte ratio</i>	
Plt count:lymphocyte count $< 150:1$	0
Plt count:lymphocyte count $\geq 150:1$	1
Plt count:lymphocyte count $> 300:1$	2
<i>Prognostic index</i>	
CRP ($\leq 10 \text{ mg l}^{-1}$) and white cell count ($\leq 11 \times 10^9 \text{ l}^{-1}$)	0
CRP ($\leq 10 \text{ mg l}^{-1}$) and white cell count ($> 11 \times 10^9 \text{ l}^{-1}$)	1
CRP ($> 10 \text{ mg l}^{-1}$) and white cell count ($\leq 11 \times 10^9 \text{ l}^{-1}$)	1
CRP ($> 10 \text{ mg l}^{-1}$) and white cell count ($> 11 \times 10^9 \text{ l}^{-1}$)	2
<i>PNI</i>	
Albumin (g l^{-1}) + 5 $\times$ total lymphocyte count $\times 10^9 \text{ l}^{-1} \geq 45$	0
Albumin (g l^{-1}) + 5 $\times$ total lymphocyte count $\times 10^9 \text{ l}^{-1} < 45$	1

Abbreviations: CRP = C-reactive protein; GPS = Glasgow Prognostic Score.

Treatment and patient's follow-up

The indications for surgical resection were patients with solitary lesion, Child-Pugh grade A, no main portal vein trunk involvement, or distant metastasis. Radiofrequency ablation (RFA) or percutaneous ethanol injection was performed for patients with lesions $< 3 \text{ cm}$ in size and < 3 in number. Transcatheter arterial chemoembolisation (TACE) or lipiodol-transcatheter arterial infusion (TAI) was performed for patients with > 4 multiple lesions or those $> 3 \text{ cm}$ in size. Systemic chemotherapy or targeted therapy including sorafenib was performed for patients with distant metastasis and preserved liver function. Only the best supportive care (BSC) was given for patients with Child-Pugh grade C or distant metastasis.

Patients were followed carefully after the initial treatment. The serum AFP was measured once every month. US and dynamic CT were performed every 3 months. A selective hepatic arterial angiography or a percutaneous biopsy was performed in patients with suspected tumour recurrence. The start date of follow-up was the date of initial diagnosis of HCC. The end of follow-up was the time of last follow-up (October 2011) or death.

Statistical analysis

Continuous variables are presented as the median and range. Categorical variables are presented as the number and percentages. The overall survival rates were calculated using the Kaplan–Meier method, and differences in the survival rates between the groups were compared by the log-rank test. A receiver operating characteristics (ROC) curve was also generated and the area under the curve (AUC) was calculated to evaluate the discriminatory ability

Table 2 Clinicopathological characteristics of the patients

Variable	
Age (years)	72 (43–91)
Sex (male/female)	106/44
HBsAg positive (%)	20 (13.3)
HCVAb positive (%)	84 (56)
AST (IU l^{-1})	55.5 (13–384)
ALT (IU l^{-1})	41.5 (8–202)
Total serum bilirubin (mg dl^{-1})	0.8 (0.3–8.3)
Albumin (g l^{-1})	36 (21–50)
CRP (mg l^{-1})	2 (1–188)
WBC ($\times 10^9 \text{ l}^{-1}$)	5.3 (2.5–14.8)
Neutrophil count ($\times 10^9 \text{ l}^{-1}$)	2.9 (0.7–13.1)
Lymphocyte count ($\times 10^9 \text{ l}^{-1}$)	1.4 (0.4–3.9)
Plt count ($\times 10^4 \text{ mm}^{-3}$)	13.9 (1.8–44.3)
PT (%)	82 (38–100)
AFP (ng ml^{-1})	25.3 (1.7–280600)
Child-Pugh grade (A/B/C)	107/37/6
CLIP score (0/1/2/3/4/5/6)	44/50/28/12/9/5/2
Tumour stage (I, II, III, and IV)	22/60/48/20
Maximal tumour diameter (mm)	33 (7–200)
Tumour number (solitary/multiple)	77/73
Vascular invasion (absent/present)	135/15
Extrahepatic metastasis (absent/present)	144/6
GPS (0/1/2)	81/49/20
Modified GPS (0/1/2)	119/11/20
NLR (0/1)	135/15
PLR (0/1/2)	118/28/4
PI (0/1/2)	117/32/1
PNI (0/1)	72/78

Abbreviations: AFP = α -fetoprotein level; ALT = alanine aminotransferase; AST = aspartate aminotransferase; CLIP = the cancer of the liver Italian programme; CRP = C-reactive protein; GPS = glasgow prognostic score; HBsAg = hepatitis B surface antigen; HCVAb = hepatitis C antibody; NLR = neutrophil lymphocyte ratio; PI = prognostic index; PLR = platelet (Plt) lymphocyte ratio; PNI = prognostic nutritional index; PT = prothrombin time; WBC = white blood cell count.

of each scoring systems. A univariate and multivariate analysis was performed for the prognostic factors using the Cox proportional hazard model. Variables that proved to be significant in the univariate analysis were tested subsequently with the multivariate Cox proportional hazard model. The forward selection method was used for multivariate Cox proportional analysis. A P -value <0.05 was considered to be significant. All statistical analysis was performed using the IBM SPSS Statistics software package v.19.0 (IBM SPSS Inc., Chicago, IL, USA).

RESULTS

Patient characteristics

The baseline characteristics of the patients are shown in Table 2. The median age of the patients was 72 (range 43–91) years. One hundred and six (70.7%) patients were males and 44 (29.3%) patients were females. Eighty-four (56%) patients were positive for antibodies to hepatitis C virus (anti-HCV), 20 (13.3%) patients were positive for hepatitis B surface antigen. One hundred and seven patients (71.3%) had preserved liver function (Child-Pugh A grade), and 78 patients (52%) were classified as stage I or II. Surgical resection was performed in 9 (6%) patients, TACE or RFA were administered in 134 (89.3%) patients. The remaining 7 (4.7%) patients received BSC.

Thirty-one (20.7%) patients had an elevated CRP level ($>10 \text{ g l}^{-1}$) and 58 (38.7%) patients had hypoalbuminemia ($<35 \text{ g l}^{-1}$). Twenty (13.3%) patients had both elevated CRP level and hypoalbuminemia.

Eighty-one (54%) patients were allocated to GPS 0, 49 (32.7%) patients were allocated to GPS 1, and 20 (13.3%) patients were allocated to GPS 2, respectively. In contrast, 119 (79.3%) patients were allocated to mGPS 0, 11 (7.3%) patients were allocated to mGPS 1, and 20 (13.3%) patients were allocated to mGPS 2, respectively. Three (0.02%) patients had an elevated white cell count ($>11 \times 10^9 \text{ l}^{-1}$), 5 (3.3%) patients an elevated neutrophil count ($>7.5 \times 10^9 \text{ l}^{-1}$), 29 (19.3%) patients a lowered lymphocyte count ($<1.0 \times 10^9 \text{ l}^{-1}$), and 2 (1.3%) patients an elevated Plt count ($>400 \times 10^3 \text{ l}^{-1}$). Fifteen patients (10%) had NLR >5 , 32 patients (21.3%) had PLR >150 , and 78 patients (52%) had PNI <45 . Thirty-three patients (22%) were allocated to PI1 or 2.

Survival

The median duration of follow-up was 18 (range 1–80) months. Seventy-seven (51.3%) patients were alive at the end of the follow-up period, and 73 (48.7%) patients had died. The 1-year, 3-year, and 5-year overall survival rates were 74.1%, 53.3%, and 28.4%, respectively.

The relationship between the inflammation-based prognostic scores and overall survival is shown in Figures 1A–F. An elevated GPS, mGPS, NLR, PLR, PI, and PNI were associated with a reduced overall survival (all <0.05).

Receiver operating characteristic curves were constructed for survival status at 6-month, 12-month, and 24-month follow-up, and the area under the ROC curve (AUC) was compared (Table 3, Figures 2A–C) to assess the discrimination ability of each scoring

Figure 1 The relationship between the inflammation-based prognostic scores and overall survival in patients with HCC. (A) GPS, (B) modified GPS, (C) NLR, (D) PLR, (E) PI, and (F) PNI.

system. The GPS consistently had a higher AUC value at 6 month (0.768), 12 month (0.787), and 24 month (0.758) in comparison with other inflammation-based prognostic scores.

Prognostic factors

The univariate analysis showed that AST ($P=0.001$), total serum bilirubin ($P<0.0001$), albumin ($P<0.0001$), pretreatment serum CRP level ($P<0.0001$), AFP ($P<0.0001$), Child-Pugh grade, CLIP ($P<0.0001$), TNM ($P<0.0001$), maximal tumour diameter ($P<0.0001$), multiple nodules ($P<0.0001$), vascular invasion ($P<0.0001$), extrahepatic metastasis ($P=0.001$), GPS ($P<0.0001$), mGPS ($P<0.0001$), NLR ($P=0.01$), PLR ($P=0.001$), PI ($P<0.0001$), and PNI ($P<0.0001$) were associated with overall survival (Table 4).

Table 3 Comparison of the AUC between inflammation-based prognostic scores

Period	AUC	95% CI	P-value
6-Month			
GPS	0.768	0.655–0.882	<0.0001
Modified GPS	0.734	0.604–0.864	<0.0001
NLR	0.628	0.487–0.769	0.056
PLR	0.697	0.565–0.830	0.003
PI	0.747	0.622–0.873	<0.0001
PNI	0.675	0.562–0.787	0.009
12-Month			
GPS	0.787	0.699–0.876	<0.0001
Modified GPS	0.752	0.650–0.855	<0.0001
NLR	0.592	0.480–0.703	0.092
PLR	0.694	0.588–0.801	<0.0001
PI	0.759	0.659–0.860	<0.0001
PNI	0.663	0.566–0.760	0.003
24-Month			
GPS	0.758	0.667–0.848	<0.0001
Modified GPS	0.695	0.595–0.795	<0.0001
NLR	0.552	0.445–0.659	0.344
PLR	0.63	0.526–0.735	0.018
PI	0.695	0.595–0.795	<0.0001
PNI	0.699	0.601–0.798	<0.0001

Abbreviations: AUC = area under the curve; CI = confidence interval; GPS = glasgow prognostic score; NLR = neutrophil lymphocyte ratio; PI = prognostic index; PLR = platelet (Plt) lymphocyte ratio; PNI = prognostic nutritional index.

A multivariate analysis of these significant variables showed that only the GPS (HR 1.777, 95% CI 1.242–2.545, $P=0.002$) and CLIP (HR 2.246, 95% CI 1.786–2.824, $P<0.0001$) were independently associated with overall survival (Table 4).

Table 4 Prognostic factors for overall survival in patients with HCC. Univariate and multivariate analyses

Variable	Univariate analysis P-value	Multivariate analysis	
		Hazard ratio (95% CI)	P-value
Age	0.776		
Sex (male/female)	0.352		
AST	0.001		
ALT	0.053		
Total serum bilirubin	<0.0001		
Albumin	<0.0001		
CRP	<0.0001		
WBC	0.112		
Plt count	0.12		
PT	0.089		
AFP	<0.0001		
Child-Pugh grade (A/B/C)	<0.0001		
CLIP score (0/1/2/3/4/5/6)	<0.0001	2.246 (1.786–2.824)	<0.0001
Tumour stage (I/II/III/IV)	<0.0001		
Maximal tumour diameter (mm)	<0.0001		
Tumour number (solitary/multiple)	<0.0001		
Vascular invasion (absent/present)	<0.0001		
Extrahepatic metastasis (absent/present)	<0.0001		
GPS (0/1/2)	<0.0001	1.777 (1.242–2.545)	0.002
Modified GPS (0/1/2)	<0.0001		
NLR (0/1)	0.01		
PLR (0/1/2)	0.001		
PI (0/1/2)	<0.0001		
PNI (0/1)	<0.0001		

Abbreviations: AFP = α -fetoprotein level; ALT = alanine aminotransferase; AST = aspartate aminotransferase; CLIP = the Cancer of the Liver Italian Programme; CRP = C-reactive protein; GPS = glasgow prognostic score; HCC = hepatocellular carcinoma; NLR = neutrophil lymphocyte ratio; PI = prognostic index; PLR = platelet (Plt) lymphocyte ratio; PNI = prognostic nutritional index; PT = prothrombin time; WBC = white blood cell count.

Figure 2 Comparison of the area under the ROC for outcome prediction between the inflammation-based prognostic scores at (A) 6 months, (B) 12 months, and (C) 24 months in patients with HCC.

DISCUSSION

This study has demonstrated that the GPS, an inflammation-based prognostic score, is an independent marker of poor prognosis in patients with HCC and is superior to the mGPS, NLR, PLR, PI, and PNI in terms of prognostic ability.

The host inflammatory response has an important role in the development and progression of cancer (Mantovani *et al*, 2008). Inflammation promotes tumour angiogenesis, invasion, and metastasis through recruitment of regulatory T lymphocytes and chemokines, activation of interleukin-6 and tumour necrosis factor alpha, secretion of CRP, induction of neutrophilia, subversion of adaptive immune response, and aberration of response to hormones and chemotherapeutic agents (Heikkilä *et al*, 2007; Mantovani *et al*, 2008; Wang *et al*, 2012).

Furthermore, the presence of an inflammatory response is proposed to be pathogenic in the development of cancer-associated malnutrition, resulting in poor performance status and increased mortality in patients with cancer (Argiles *et al*, 2003). This is of particular concern in patients with HCC, given the concomitant underlying illness and possible impaired nutritional status secondary to cirrhosis (Meng *et al*, 2010; Pinato *et al*, 2012).

These theoretical backgrounds have led to the proposal of several inflammation-based prognostic scores in patients with cancer over the last 10 years.

Several studies have shown that an elevated NLR is associated with poor prognosis in patients with HCC undergoing surgical resection (Gomez *et al*, 2008), transplantation (Halazun *et al*, 2009), transarterial chemoembolisation (Huang *et al*, 2011), and RFA (Chen *et al*, 2012). However, the cutoff points of NLR in these studies were different (2.4, 3.3, and 5) and non-optimal cutoff point has been determined. This study evaluated cutoff levels of NLR at 2.4, 3.3, and 5 and revealed that the NLR was not independently associated with survival at any of the cutoff levels (data not shown). Moreover, these studies did not compare the NLR to the GPS, mGPS, PNI, PLR, and PI.

Pinato *et al* (2012) demonstrated that the PNI is an independent predictor of poor overall survival in patients with HCC in various stages of the diseases and different liver functional status. However, their study did not compare the PNI with the GPS, mGPS, NLR, PLR, and PI.

The univariate analysis in this study demonstrated that the GPS, mGPS, NLR, PLR, PNI, and the PI were significantly associated with overall survival. However, the multivariate analysis showed that only the GPS was independently associated with overall survival. Moreover, the AUC analysis has shown that the GPS was superior to other inflammation-based prognostic scores in terms of predictive accuracy. These results confirm Ishizuka's study

demonstrating the predictive usefulness of the GPS on survival in patients with HCC after surgical resection (Ishizuka *et al*, 2012). In addition to their study, this study showed the superior prognostic ability of the GPS over the mGPS, NLR, PLR, PI, and PNI. This study is the first to show the GPS to be superior to other inflammation-based prognostic scores for the prediction of prognosis in patients with HCC.

A Glasgow Inflammation Outcome Study conducted by Proctor *et al* (2011a) showed that mGPS has prognostic value in cancer independent of the tumour site and was superior to other inflammation-based prognostic scores in terms of differentiating good from poor prognostic groups. Proctor *et al* (2011b) also indicated that mGPS is superior to the original GPS and has greater consistency and is of more use. Their observations were based on the results that a low albumin concentration alone was uncommon (<10% of all patients) and was not significantly associated with cancer-specific survival in many cancers including hepatopancreaticobiliary cancer ($P = 0.209$). In contrast, this study included 38 (25.3%) patients with low albumin concentration alone and the serum albumin level is one of the components of the Child-Pugh classification. In fact, hypoalbuminemia is reported to as an independent poor prognostic factor in patients with HCC (Cho *et al*, 2008). Moreover, 'hepatopancreaticobiliary cancer' includes pancreatic cancer and biliary tract cancer besides HCC in a Glasgow Inflammation Outcome Study. Therefore, the GPS may be more suitable than mGPS for patients with HCC.

Impaired nutritional status and elevated levels of acute-phase plasma proteins have been associated with increased toxicity from chemotherapy. There is evidence from preclinical and clinical studies in cancer and other inflammatory diseases that disease-associated cytokines responsible for the hepatic acute-phase response may also reduce the expression and protein levels of a number of drug-metabolising enzymes and transporters, especially cytochrome P450 3A4. This results in increased toxicity during chemotherapy (Kasymjanova *et al*, 2010; Clarke *et al*, 2011). Accordingly, the GPS reflecting both the presence of the systemic inflammatory response and the progressive nutritional decline might provide substantial opportunities for clinicians to predict and reduce toxicities in HCC patients undergoing transarterial chemoembolisation or sorafenib treatment (Clarke *et al*, 2011). Further evaluation is required to confirm this hypothesis.

A potential limitation of this study is that is a retrospective, single-centre study. Therefore, a large-scale prospective validation study is needed to confirm the results.

In conclusion, our study has demonstrated that the GPS, an inflammation-based prognostic score, is an independent marker of poor prognosis in patients with HCC and is superior to the other inflammation-based prognostic scores in terms of prognostic ability.

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