

## Evolution of plant microRNA gene families

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MicroRNAs (miRNAs) are important post-transcriptional regulators of their target genes in plants and animals. miRNAs are usually 20-24 nucleotides long. Despite their unusually small sizes, the evolutionary history of miRNA gene families seems to be similar to their protein-coding counterparts. In contrast to the small but abundant miRNA families in the animal genomes, plants have fewer but larger miRNA gene families. Members of plant miRNA gene families are often highly similar, suggesting recent expansion via tandem gene duplication and segmental duplication events. Although many miRNA genes are conserved across plant species, the same gene family varies significantly in size and genomic organization in different species, which may cause dosage effects and spatial and temporal differences in target gene regulations. In this review, we summarize the current progress in understanding the evolution of plant miRNA gene families.

**Keywords:** microRNAs, post-transcriptional regulation, tandem duplication, segmental duplication

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### Introduction

The commencing of the 21st century witnessed the explosion of a new class of regulatory genes that significantly expanded our knowledge about gene regulation: the discovery of small RNAs. Small RNAs, microRNAs (miRNAs), and small interfering RNAs (siRNAs) are 20-24 nucleotides long. They play important roles in post-transcriptional regulation of protein-coding genes via the RNA interference pathway [1]. In animals, the appearance of miRNA genes coincided with the three major developmental innovations pivotal in animal evolution: the advent of the bilaterians, the vertebrates, and the placental mammals [2]. In plants, miRNAs and their target genes have been conserved since the last common ancestor of bryophytes and seed plants more than 400 million years ago [3]. Functionally, plant miRNAs are involved in many fundamental biological processes [4], such as leaf polarity [5, 6], floral identity [5, 6],

stress responses [7], and auxin responses [8]. Although the phenomena related to miRNA and siRNA functions were initially observed in plants [9], the first miRNA ever cloned was from an animal, *Caenorhabditis elegans* [10-12]. Similarly, the evolutionary history of miRNA genes was characterized earlier in animals, such as the formation of the *miR-17* cluster [13, 14] and the exceptionally imprinted *miR-134* gene cluster at human locus 14q32 [15, 16].

Since the discovery of the first miRNA gene *lin-4* in *C. elegans* [10], more than 500 different miRNAs have been identified in animals and plants. The number of miRNA genes is expected to increase to 500-1000 per species, which would amount to ~2-3% of protein-coding genes [17]. The Release 8.1 of the miRNA Registry (February, 2006) stores more than 3 963 entries including 114 *C. elegans*, 78 fruit fly, 372 zebra fish, 144 chicken, 462 human, 118 *Arabidopsis*, 178 rice, and 213 poplar miRNA genes [18] (<http://www.sanger.ac.uk/Software/Rfam/mirna/>). miRNAs are produced from larger precursors, which usually form a stem-loop structure, whereas siRNAs are generally derived from double-stranded RNAs. In plants, a ribonuclease III-like protein in the nucleus,

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DICER-LIKE 1 (DCL1), is responsible for processing primary miRNA gene transcripts, also known as pri-miRNAs [19]. The subsequent products, the pre-miRNAs, are eventually processed into mature miRNA:miRNA\* duplex [17]. The mature miRNA duplex is then transported into the cytoplasm, where it is unwound and incorporated into the RNA-induced silencing complex [17]. miRNAs and siRNAs represent a negative gene regulatory system. In animals, nearly all miRNAs suppress target gene functions by interfering with their translation. In plants, several possible mechanisms are applied by the miRNA genes. In some cases, the pairing of an miRNA with its target gene transcript causes the cleavage of the transcript [5, 20, 21]. Plant miRNAs can also interfere with the ability of target transcripts in translation in a manner similar to animal miRNAs [6, 22]. They can also initiate RNA-dependent RNA polymerase-mediated second-strand synthesis and trans-acting siRNA production [23-26].

In both animal and plant genomes, multiple precursors are found to produce similar mature miRNA products [13, 27-29], i.e. miRNA genes also form gene families. The questions then arise: how did the miRNA genes evolve into large families? Was the evolutionary history of these genes with tiny final products similar to their protein-coding counterparts? Since the evolution of animal miRNA gene families has been a subject of many papers [14, 30, 31], in this review, we attempt to summarize the recent progress in the evolutionary study of miRNA gene families in plant genomes.

### The miRNA gene families

Based on the number of their target sites, animal miRNAs are estimated to directly regulate more than a third

of all protein-coding genes [4, 32], whereas in plants the numbers of known miRNAs and their targets are much smaller [33]. Correspondingly, animal genomes have a large number of small miRNA gene families, whereas plant genomes have fewer, but larger miRNA gene families. As shown in Table 1, the average size of plant miRNA gene families is about 2.5 genes, while most miRNA gene families from animal species have an average size of less than two members. The zebra fish (*Danio rerio*), however, is an exception. It has a huge miRNA family, the *miR-430* gene family, which is comprised of nearly 100 members [34, 35]. *MiR-430* genes are identical at nucleotides 2-8, which seems to be the most important segment for target recognition [32]. They also have strong homology in their 3' region, but differ in their central and terminal nucleotides. If this family were subtracted, the average size of zebra fish miRNA gene families would still stand around 2.72, similar to that in *Arabidopsis*.

Unlike animal miRNA genes where divergence has occurred even on the mature miRNA sequences, plant miRNAs derived from the same gene family are often highly similar. The similarities not only lie at the mature miRNA regions but also throughout the genes, indicating that the expansion of plant miRNA gene families has a recent origin and may be still ongoing. The model plant *A. thaliana* contains at least 22 miRNA gene families, most of which are conserved between monocots and eudicots [7, 18]. The rice genome is more than three times larger than that of *Arabidopsis* and it has a third more miRNA genes too. Nevertheless, the MicroRNA Registry 8.1 records 47 and 46 miRNA gene families from the *Arabidopsis* and rice genomes, respectively (Table 1), suggesting a comparable number of unique miRNA gene pools in both monocots and eudicots.

**Table 1** Numbers of miRNA families from various organisms in the miRNA Registry (Release 8.1)

Organism	Total miRNA genes	MiRNA gene family	Average precursor/family
Animals			
<i>Homo sapiens</i> (Human)	462	338	1.37
<i>Mus Musculus</i> (Mouse)	340	251	1.35
<i>Danio rerio</i> (Zebra fish)	372	101	3.68
<i>Gallus gallus</i> (Chicken)	144	82	1.76
<i>Drosophila melanogaster</i> (Fruit fly)	78	65	1.2
Plants			
<i>Arabidopsis thaliana</i>	118	47	2.51
<i>Oryza sativa</i> (Rice)	178	46	3.87
<i>Populus trichocarpa</i> (Poplar)	213	33	6.45

Most miRNAs have shown to be conserved among related species and homologs were even found among those distantly related. For example, at least a third of *C. elegans* miRNAs are also present in the human genome [36, 37], suggesting their functional conservation among various animal lineages. Similar observation was also reported in plant genomes. Recent work by Zhang *et al.* [38] has shown, from more than 6 million plant EST sequences, a total of 481 miRNAs that belong to 37 miRNA families from 71 different plant species. Plant miRNAs have been demonstrated to be conserved across gymnosperms, fern, moss, and liverwort [3]. Members of some miRNA gene families are physically clustered in plant genomes [27-29, 39]. Such clusters in animal genomes often contain non-homologous miRNA genes [27-29, 39]. Therefore, miRNA gene families in different species are characterized by different genome organizations.

### The origin of plant miRNA genes

To date, only limited evidence is available about the origination of miRNA genes in plant genomes. The special stem-loop structure and the functional mode by which miRNAs pair with their target genes support the hypothesis that the *de novo* generation of miRNA genes was related to their target genes [40]. In spite of this, cases of similarities between miRNAs and their target genes remain rare and have only been observed in the non-conserved miRNA genes, such as the *Arabidopsis miR161* and *miR163*. In contrast to conserved miRNA genes that often have multiple copies, these miRNA genes are usually a single copy. *MiR161* and *miR163* target pentatricopeptide repeat proteins and *S*-adenosylmethionine-dependent methyltransferases, respectively. Significant similarity to their target genes was found at the regions outside the mature miRNA and its pairing sequence (miRNA\*) of these two genes. A putative mechanism was put forward for the arising and evolution of miRNA genes with unique target specificities [40]. The hypothesis proposed that head-to-head or tail-to-tail gene configuration was generated by inverted gene duplication events from one founder gene with or without the founder gene's promoter. Sequence divergence at the inverted duplication locus occurred under constraints to maintain both the fold-back structure and the recognition by DCL1. Sequence degeneration continued until the point that only the miRNA or miRNA-complementary sequences were maintained for matching the founder gene sequence [40].

Such a model, however, may only apply to non-conserved plant miRNA genes since no such similarity has been found between conserved miRNA genes and their targets, nor would the model be able to explain animal miRNA origination because their precursors are too short to provide

the information on their founder genes. Animal miRNA regulatory mechanisms are considered to be acquired by so-called "gain-of-interaction" events between miRNAs and their target genes. Most animal miRNAs regulate protein-coding genes by interfering with their translation via binding to the 3'-non-translated regions, during which more mismatches are allowed in the pairing process [31]. This is in contrast to the observation that plant miRNAs are nearly identical to their target gene regions and such a pairing may cause cleavage of the target gene transcripts in many cases. The different functional modes in plant and animal genomes therefore imply a divergence in origination mechanisms for miRNA genes in the two kingdoms.

### miRNA gene clusters – the formation of miRNA gene families

Clusters of miRNA genes have been found in both animal and plant genomes. Some clusters are so compact that multiple miRNA genes on the cluster can be transcribed as a single polycistron [29, 39]. The history of miRNA gene clusters may represent general evolutionary experiences during the formation of most miRNA gene families. The animal *miR-17* gene cluster, e.g., consists of *miR-17*, *miR-18*, *miR-19a*, *miR-19b*, *miR-20*, *miR-25*, *miR-92*, *miR-93*, *miR-106a*, and *miR-106b*. Some of these genes are not homologous, although evolutionarily related. *MiR-17* genes confer important functions including negative regulation of expression of the *E2F1* gene, which is involved in human cell cycle progression [41]. Phylogenetic reconstruction indicated that the history of this cluster was governed by an initial phase of local (tandem) duplications, a series of duplications of entire clusters and subsequent loss of individual miRNA genes from the resulting paralogous clusters. The complicated history of the *miR-17* gene family appears to be closely linked to the early evolution of the vertebrate lineage [13, 14, 27]. The clusters are conserved across vertebrates: from teleost fish to human [13]. The fact that they have been fixed in many modern animal genomes implies a selection advantage on such miRNA gene family organizations.

The observation in plant genomes is different. Although plant miRNA gene families are much larger, few of their members have been found to be clustered in a range of several kilobases [28, 29]. miRNA genes of the same family are often scattered throughout the genome, indicating that plant genomes have experienced significant shuffling since the amplification of these families. One miRNA gene family, the *miR395* gene family, is distinguished. Members of the *miR395* gene family are clustered in several plant genomes with various cluster sizes and intergenic distances. Unlike animal miRNA gene clusters, plant miRNA gene

clusters are comprised of homologous members [7, 29, 38]. To date, there has been no report of clusters containing non-homologous, but evolutionarily related, miRNA gene members in plant genomes. Therefore, in contrast to the animal miRNA gene clusters, where the co-transcription of non-homologous miRNA genes could regulate multiple functionally related genes simultaneously, the consequence of the co-transcription of similar or identical miRNA genes on a plant gene cluster would be a dosage effect.

### Additional expansion of miRNA gene families via segmental duplications

Many plants are considered to be ancient polyploids, including those with their whole-genome sequence available: *Arabidopsis*, rice, and poplar. If miRNA gene clusters existed before polyploidization, genome duplication events would produce duplicated miRNA clusters, a situation analogous to the protein-coding gene blocks [42]. Segmental duplication may also occur during chromosomal recombination and shuffling. In the model plant *Arabidopsis*, a plethora of evidence demonstrate that protein-coding gene families arose by gene duplication and diversification [43–45]. Similarly, by investigating contiguous miRNA distribution on the same or neighboring intergenic regions, Maher *et al.* [28] found 23 tandemly duplicated miRNA gene regions in the *Arabidopsis* genome. About two-thirds of these miRNAs were on the same strand with an average distance of ~2 kb between tandem duplicates. Their study also showed that protein-coding genes flanking the miRNA genes were more conserved than those randomly chosen suggesting that the regions where the miRNA genes reside were indeed duplicated blocks. Two duplications, *miR159a/miR159b* and *miR166a/miR166b*, have at least four or more conserved flanking protein-coding genes, which fell in the large-scale duplications reported previously [43]. Therefore, the seemingly random distributions of miRNA genes in current plant genomes reflect dynamic evolutionary histories, where the occurrence of various genome duplications was followed by chromosomal rearrangements and loss of duplicated genes. It has to be noted that miRNA genes have to co-evolve with their target genes. The target genes, many of which also form gene families, have experienced similar genetic changes. Thus, the unique regulation between miRNAs and their target genes was established in a “try-and-error” manner during dynamic genomic rearrangements. Such processes may have repeated multiple times and a coordinated regulatory network was generated that was advantageous over the old one, and hence was fixed by natural selection. The evolution of the *miR395* gene families and their clusters in various plants provide a clear picture of such evolutionary

scenarios in plant genomes.

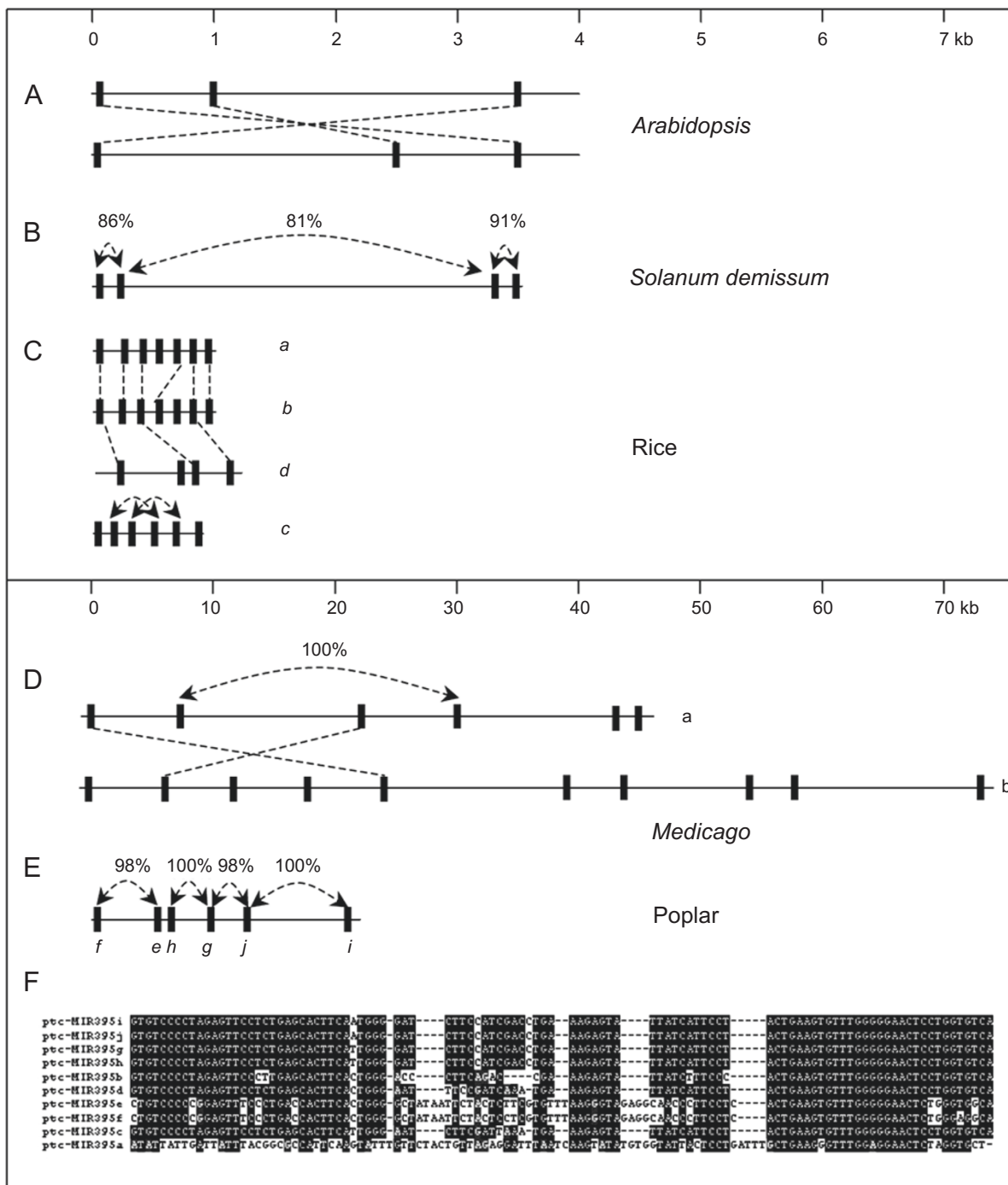
### The *miR395* gene family, a case study

Among plant miRNA gene families, the *miR395* gene family is interesting because the members of this family form clusters of various sizes in different species, from as compact as < 1 kb in rice to as large as 70 kb in *Medicago truncatula*. *MiR395* is predicted to target mRNAs for ATP sulfurylases that catalyze the first step of inorganic sulfate assimilation [7]. *MiR395* transcripts are not detectable in *Arabidopsis* under normal growing conditions, but are inducible under low-sulfate stress. A database search showed that the *miR395* matches sulfurylase mRNAs from several other plants, including rice, maize, *Brassica juncea* (brown mustard), and *Allium cepa* (garden onion), indicating that *miR395*/sulfurylase pairing is conserved in many plant genomes (data not shown).

In *Arabidopsis*, six *miR395* genes are broken into two groups of tandem duplications (Figure 1A), as suggested by their high sequence similarity within the loop regions [7, 28]. For each group of miRNA genes, two are on the same strand while the third is on the opposite strand, suggesting an intrachromosomal duplication event that probably occurred after the tandem gene duplications [28]. Two genes, *miR395b* and *miR395c*, are highly conserved with only two base pair differences in their loop sequences. The rest also showed a high similarity with each other, suggesting that they are recent tandem duplicates.

This gene family is particularly interesting in rice for three reasons: first, it is significantly expanded when compared with *Arabidopsis* (24 vs 6); second, up to seven genes are compacted in a range of ~1 kb; and third, they exhibit clear tandem and segmental duplication histories [29]. The 24 rice *miR395* genes are organized into four compact clusters that each could be transcribed as a single transcript (Figure 1B). The sequence similarity and the distribution of miRNA genes on these clusters indicate that three clusters, *a*, *b*, and *d*, were derived from segmental duplications, whereas the fourth cluster *c* may have originated separately [29]. Clusters *a* and *b* are located about 815 kb apart on chromosome 4, whereas clusters *c* and *d* are on chromosomes 8 and 9, respectively. These clusters are distinguished by their sizes and the similarity of the *miR395* gene members residing on them. The *a*, *b*, and *d* clusters bare *miR395* precursors of ~66 and ~120 bp, whereas cluster *c* is comprised of *miR395* genes of ~79 and ~92 bp. Some members on the clusters display high sequence similarity, while a gradual decrease in sequence similarity among other gene members suggests that duplication events occurred at various time points. Tandem duplication events involving one gene as well as two genes





**Figure 1** Clusters of *miR395* gene families from five different plant genomes. Thin black lines represent DNA fragments. Vertical bars denote the locations of *miR395* genes on each cluster. The gene locations are roughly proportional to their real physical distances. Straight broken lines indicate two *miR395* genes on different linkage groups with high sequence similarity. Curved broken lines with arrows at both ends indicate two *miR395* genes on the same linkage group with high sequence similarity. Note that the unit on the top scale bar represents 1 kb, whereas for the bottom scale bar it represents 10 kb. **(A)** Two clusters of six *miR395* genes on the *Arabidopsis* chromosome 1. **(B)** A cluster of four *Solanum demissum* *miR395* genes. **(C)** Four compact clusters of *miR395* genes in the rice genome. Clusters a, b, and d are segmental duplicates, whereas cluster c may have a different history of origination [29]. **(D)** Two *Medicago* *miR395* gene clusters. Note the large intergenic distances of *Medicago* *miR395* genes and the high sequence similarity between the two clusters. The chromosome locations of these two fragments are unknown. **(E)** A cluster of poplar *miR395* genes. Identical *miR395* genes are indicated. **(F)** A multiple sequence alignment showing high sequence similarity between poplar *miR395* genes.

were observed on these duplicated clusters, demonstrating that duplication events occur at various scales. Compared with the *Arabidopsis miR395* gene clusters that span ~4 kb, rice *miR395* gene clusters are more compact, each containing up to seven *miR395* genes in a range of around 1 kb. Therefore, it is not surprising that these miRNA genes are transcribed in one polycistronic transcript, as supported by a cDNA sequence from the rice EST database [7].

In contrast, 16 *miR395* genes in *M. truncatula* are distributed on two segments of larger than 45 kb with distances between neighboring genes up to 15 kb (Figure 1D) [29]. A few corresponding genes on the two clusters are highly similar, suggesting that, in spite of their large sizes, these two DNA fragments were highly possible to be derived from segmental duplications. The sizes of *miR395* genes, as measured from miRNA to miRNA\*, are heterogeneous in the *Medicago* genome. Similar genomic organization of the *miR395* gene family was also found in *Populus trichocarpa* (poplar) whose whole genome sequence became available recently. Genomic mapping of 10 recently cloned *miR395* genes [46] showed that six *miR395* genes were located on one segment of ~22 kb (Figure 1E), whereas the rest were located elsewhere in the genome. The lengths of the poplar *miR395* genes were either 85 bp (Figure 1E: genes *g*, *h*, *i*, and *j*) or 98 bp (Figure 1E: genes *e* and *f*). The distances between neighboring poplar *miR395* genes were large, similar to some of those in *Medicago*. Despite the large intergenic distances, poplar *miR395* genes are highly similar or even identical (Figure 1F), clearly suggesting a very recent origin of these miRNA genes.

In addition to the plants described above, a cluster of *miR395* genes has also been observed on a BAC from the wild potato, *Solanum demissum*. In *S. demissum*, four *miR395* genes were clustered in a region less than 4 kb, similar to that in *Arabidopsis*. Sequence similarity among the genes suggested that they were derived from a series of gene duplications, and at different time points in evolution (Figure 1B). A better picture of the *miR395* gene family in *S. demissum* awaits the availability of more genomic sequences.

### Genomic evolution and functional diversification of *miRNA* genes

The fact that miRNA sequences have to complement with their target gene transcripts for carrying out their functions suggests that miRNA genes have to co-evolve with their target genes [40]. Once generated, miRNA genes would amplify through duplication events similar to those that drive the evolution of protein-coding genes: tandem gene duplications, segmental duplications, and chromosomal duplications or polyploidization [28, 29]. Genes

derived from tandem duplication events would diverge in sequences outside the mature miRNA and its pairing sequences. On the other hand, the extended intergenic sequences provide locations for novel promoters. This would allow precursors that produce the same miRNA to take on novel spatial and temporal features. Like their protein-coding counterparts, the acquisition of new promoters and the accumulation of mutations in the genes provide miRNA genes with opportunities for subfunctionalization or even neofunctionalization [44, 47]. Therefore, it is reasonable to suggest that the variation of genomic organizations of *miR395* gene families, and maybe other miRNA gene families too, will generate different regulatory patterns in each plant. In addition, the increase or the decrease in miRNA gene copy numbers will cause a dosage effect. Therefore, future studies should assess such effects on the target gene regulations caused by the genomic divergence of miRNA gene families. However, understanding the evolution of plant miRNA gene families will help us to better understand the complexities of the ancient gene regulatory mechanisms underlined by these small RNA molecules.

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