

# Frequency and fitness cost of resistance to *Bacillus thuringiensis* in *Chrysomela tremulae* (Coleoptera: Chrysomelidae)

A-L Wenes<sup>1</sup>, D Bourguet<sup>2</sup>, DA Andow<sup>3,4</sup>, C Courtin<sup>1</sup>, G Carré<sup>1</sup>, P Lorme<sup>1</sup>, L Sanchez<sup>5</sup> and S Augustin<sup>1</sup>

<sup>1</sup>Institut National de la Recherche Agronomique, Centre de Recherches d'Orléans, Unité de Zoologie Forestière, Ardon, Olivet 45166, France; <sup>2</sup>Institut National de la Recherche Agronomique, UMR Centre de Biologie et de Gestion des Populations (CBGP), Campus International de Baillarguet, Montferrier-sur-Lez 34988, France; <sup>3</sup>Department of Entomology, University of Minnesota, St Paul, MN 55108, USA; <sup>4</sup>Minnesota Center for Community Genetics, University of Minnesota, St Paul, MN 55108, USA; <sup>5</sup>Institut National de la Recherche Agronomique, Centre de Recherches d'Orléans, Unité Amélioration, Génétique et Physiologie Forestière, Ardon, Olivet 45166, France

The 'high dose–refuge' (HDR) strategy is commonly recommended and currently used for delaying or preventing pest adaptation to transgenic plants producing *Bacillus thuringiensis* (*Bt*) toxins. The efficiency of this strategy depends, among other factors, on the initial frequency of *Bt* resistance alleles and on the fitness costs associated with these alleles. Two years ago, an allele conferring resistance to *Bt* poplar was detected in a French population of the poplar pest *Chrysomela tremulae* F. Although this pest had never been subjected to *Bt* selection pressure due to human activities, the frequency of this allele was estimated at 0.0037, with a 95% credible (CI) interval of 0.00045–0.0080. We investigated the frequency of this allele in a second sample of *C. tremulae* collected more than 500 km from the site of the initial population. The estimated frequency in this sample

was 0.0113 (95% CI 0.0031–0.0247), reinforcing the conclusion that resistance to *Bt* plants may be present at detectable frequencies in pest populations before selection resulting from pest management by humans. The frequency of the *Bt* resistance allele over the two samples was 0.0049 (95% CI 0.0020–0.0091). We also followed five laboratory lines in which the frequency of this allele was initially fixed at 0.500. After five generations maintained on non-*Bt* poplar leaves, the frequency of this allele decreased in all lines, whereas allelic frequencies at a neutral locus were unaffected. Thus, the *Bt* resistance allele detected in French populations of *C. tremulae* is probably associated with a fitness cost.

*Heredity* (2006) **97**, 127–134. doi:10.1038/sj.hdy.6800845; published online 17 May 2006

**Keywords:** transgenic poplar; *Bacillus thuringiensis*; *Chrysomela tremulae*; resistance allele frequency; fitness cost; resistance management

## Introduction

Transgenic plants producing *Bacillus thuringiensis* (*Bt*) toxins to control their key pests provide an attractive alternative to conventional insecticide sprays (Shelton *et al.*, 2002; Carrière *et al.*, 2003). One of the main risks associated with the widespread use of these plants is rapid adaptation of the pest targeted by the toxins (Gould, 1998). Field resistance to *Bt* cotton has been reported for very few moth species feeding on this crop, but field resistance to *Bt* toxin sprays has been detected in several populations of *Plutella xylostella* and many targeted pests have been selected for *Bt* resistance in the laboratory (reviews in Ferré and Van Rie, 2002; Tabashnik *et al.*, 2003; Griffiths and Aroian, 2005). The marketing of *Bt* plants has therefore generated concern about the evolution of resistance in field populations, leading to theoretical and empirical studies of effective resistance management strategies.

The most commonly used system for delaying or preventing pest adaptation to *Bt* crops is the 'high dose–refuge' (HDR) strategy, originally proposed by Georgiou and Taylor (1977) for managing the evolution of resistance to conventional insecticides. It involves the planting of non-*Bt* host plant refuges close to fields of *Bt* crop, to promote the survival of susceptible pests (Alstad and Andow, 1995). In the HDR strategy, as in all resistance management strategies, the time to control failure – defined as the number of generations or years before the frequency of resistance reaches 50% – strongly depends on factors such as the initial frequency of *Bt* resistance alleles, the degree of dominance of the resistance conferred by these alleles, and the gene flow within and between populations. Among these factors, the frequency is certainly one of the most important: the lower the frequency, the more sustainable the strategy. The initial frequency of resistance has been estimated in only a limited number of cases, mostly in lepidopteran pests, due to practical limitations: *Helicoverpa armigera* (Wu *et al.*, 2002), *Heliothis virescens* (Gould *et al.*, 1997) and *H. zea* (Burd *et al.*, 2003) on cotton, *Ostrinia nubilalis* on maize (Andow *et al.*, 1998, 2000; Bourguet *et al.*, 2003), *Scirpophaga incertulas* (Bentur *et al.*, 2000) on rice, and

Correspondence: S Augustin, Institut National de la Recherche Agronomique, Centre de Recherches d'Orléans, Unité de Zoologie Forestière, Ardon, Olivet 45166, France. E-mail: augustin@orleans.inra.fr  
Received 8 November 2005; accepted 11 April 2006; published online 17 May 2006

*Pectinophora gossypiella* (Tabashnik *et al*, 2000) on cotton. These studies yielded contrasting results, with the frequency of *Bt* resistance alleles ranging from  $10^{-4}$  to  $10^{-1}$ .

Another factor influencing the evolution of resistance is the fitness cost – the decrease in fitness associated with the resistance gene in the absence of selective pressure – associated with some pesticide resistance alleles (Coustau *et al*, 2000). Indeed, if resistance entails a fitness cost, the spread of a recessive allele could be prevented by an appropriate resistance management strategy (Lenormand and Raymond, 1998; Carrière and Tabashnik, 2001; Carrière *et al*, 2004; Bates *et al*, 2005). Although fitness costs associated with *Bt* resistance were not always detected (eg Gould and Anderson, 1991; Tang *et al* 1997), they have been reported in many pests (Groeters *et al*, 1994; Trisyono and Whalon, 1997; Alyokhin and Ferro, 1999; Oppert *et al*, 2000; Carrière *et al*, 2001a,b; Akhurst *et al*, 2003; Janmaat and Myers, 2003; Higginson *et al*, 2005). Bird and Akhurst (2004, 2005) showed that, in *H. armigera*, most fitness costs associated with *Bt* resistance (eg a lower percentage of survival or a longer mean time to pupation) are recessive.

*Chrysomela tremulae* Fabricius (Coleoptera, Chrysomelidae) is a pest of native and introduced hybrid poplars in France (Augustin and Lévieux, 1993). In a previous investigation, we showed that an allele conferring resistance to *Bt* poplar in a field population of *C. tremulae* of the Centre region of France was segregating at a frequency of 0.0037 (Génissel *et al*, 2003a). We subsequently demonstrated that this resistance was completely recessive and determined by a single allele at one autosomal locus (Augustin *et al*, 2004).

The principal aim of this study was to determine whether the estimated frequency of resistance in the Centre region of France was a general feature of natural populations of *C. tremulae*. We sampled feral beetles from a poplar plantation located more than 500 km from the site from which the sample collected by Génissel *et al* (2003a) was taken. Individual beetles were crossed with adults homozygous for the *Bt* resistance allele detected by Génissel *et al* (2003a). The secondary aim of this study was to determine whether this *Bt* resistance allele was associated with a fitness cost. We compared changes in the frequency of the *Bt* resistance allele and neutral alleles in five lines seeded with heterozygous *RS* resulting from a mass-crossing between a susceptible strain and a resistant strain.

## Materials and methods

### Susceptible and resistant strains of *C. tremulae*

We screened for *Bt* resistance alleles and carried out fitness cost experiments with three – one susceptible and two resistant – strains of *C. tremulae*. The susceptible (S#125) strain originated from the offspring of an isofemale line displaying no resistance to the Cry3Aa toxin (Génissel *et al*, 2003a). The two resistant strains, R#60 and R#116, were established from two different resistant isofemale lines selected on the foliage of a transgenic *Bt* poplar line producing the Cry3Aa *Bt* toxin (Génissel *et al*, 2003a). Both the R#60 and R#116 strains are fixed for a recessive allele conferring resistance to the Cry3Aa toxin (Augustin *et al*, 2004; Augustin, unpublished data).

Hence, all beetles from these strains had resistant homozygous *RR* genotypes whereas those from the S#125 strain had susceptible homozygous *SS* genotypes. These three strains were maintained in standard rearing conditions, in a growth chamber at 20°C with a photoperiod of 16:8 (L:D). Larvae and adults of the susceptible strain were fed on fresh mature leaves of non-*Bt* poplars. Neonate larvae of the two resistant strains were fed on fresh mature leaves of the *Bt* poplar line described below and were then transferred onto non-transgenic foliage.

### Screening for *Bt* resistance

We screened for resistance by feeding F1 neonates on leaf discs cut from the fresh mature leaves of a *Bt* poplar line placed on moist filter paper. This *Bt* poplar line is a hybrid clone (*Populus tremula* × *P. tremuloides*, Institut de la Recherche Agronomique No. 353-38) expressing a synthetic *cry3Aa* gene from *Bacillus thuringiensis* spp *tenebrionis* (Génissel *et al*, 2003b). Its leaves contained enough Cry3Aa toxin to kill susceptible homozygous and heterozygous *C. tremulae* in less than 3 days (Augustin *et al*, 2004). Thus, all larvae that had fed actively on *Bt* poplar and survived for 4 days or more were classified as resistant.

### Frequency of *Bt* resistance in a natural population

**Insect sampling:** Feral insects were sampled at a single site at Bar-le-Duc in the Lorraine region of France. At this site, two groups of adults were collected on young leaves and twigs of *P. trichocarpa* poplars in June and July 2003. The probability of sampling sibling individuals was minimised by sampling adults uniformly over the entire area (ca 7 ha) of the field. The sex of each adult was determined and each female was caged alone to check her mating status: mated or virgin. All males and virgin females were screened for resistance to *Bt* poplar.

**Screening procedure:** Feral males and virgin females were mated individually with virgin females and males of the R#60 strain. Eggs masses were collected daily and F1 neonates emerging from these masses were screened for *Bt* resistance as described above. As the parents of the R#60 strain insects were *RR*, we expected 50% of the F1 individuals to be *RR* if the feral parent was *RS*. Neonates of each line were also tested on non-*Bt* foliage to estimate  $\mu$ , the probability that the individual died for reasons other than susceptibility to the toxin.

**Data analysis:** Bayesian methods were used to calculate the expected frequency of resistance and the probability of not detecting a resistance allele in the experiment, extending the methods described by Andow and Alstad (1998) and Stodola and Andow (2004). Frequentist statistics can be used on these data (Gould *et al*, 1997), but Bayesian methods have the advantage of explicitly taking into account sample size. Bayesian statistics have several additional advantages over frequentist statistics for resistance. Bayesian methods use all available information, as we shall show in this paper, while frequentist measures are specific to the particular experiment. Bayesian methods depend only on probabilities of results that actually did occur, while frequentist measures incorporate probabilities of 'data' that were possible, but did not actually occur. Most importantly,

Bayesian measures are tailored to decision analysis, while frequentist measures generally are not (Brunk, 1975).

Let  $S$  be the number of lines testing positive for resistance,  $N$  the total number of lines tested,  $p$  the frequency of resistant lines,  $\hat{p}$  the estimated frequency of resistant lines,  $q$  the frequency of resistance alleles,  $\hat{q}$  the estimated frequency of resistance alleles,  $u$  and  $v$  are the parameters of the prior beta distribution of  $p$  ( $u=v=1$  for the uninformative uniform prior distribution),  $J$  the number of  $F_1$  larvae screened in each line,  $\mu$  the mortality of  $F_1$  larvae unrelated to toxin susceptibility, and  $N_R$  the number of  $F_1$  larvae testing positive in each line.

As  $S$  is binomially distributed ( $N, p$ ) and the prior distribution of  $p$  is  $\text{beta}(u, v)$ , the posterior distribution of  $p$  is given by  $g(p|S) = \text{beta}(S+u, N-S+v)$ , which is identical to equation (14) from Andow and Alstad (1999). The expected value of  $p$  is

$$\hat{p} = E[p] = \frac{S+u}{N+u+v} \quad (1)$$

The 95% confidence intervals for  $\hat{p}$  were calculated according to equation (15) from Andow and Alstad (1999), using Mathematica (Wolfram Research Inc. 1999).

As this resistance is recessive and determined by a single allele at one autosomal locus (Augustin *et al*, 2004),  $\hat{q}$  and its 95% credible interval were estimated as follows. Assuming that the sample was from a panmictic population, the frequency of  $RR$  should be  $q^2$  and the frequency of  $RS$  should be  $2q(1-q)$ . This implies that  $p = q^2 + 2q(1-q)$ , and thus, by rearrangement, application of the quadratic formula, simplification and selection of the only feasible root:

$$\hat{q} = 1 - (1 - \hat{p})^{1/2} \quad (2)$$

The 95% credibility intervals of  $\hat{q}$  can also be calculated using equation (2), from the 95% credible intervals for  $\hat{p}$ . Allele frequency estimates can be compared from different samples. Let  $u_1, v_1$  and  $q_1$  be from the first sample and  $u_2, v_2$  and  $q_2$  be from the second sample. Assuming that the samples are independent, we use the joint likelihood ratio statistic,  $W(q_1, q_2)$ , which is based on the posterior distributions of  $q_1$  and  $q_2$ , and calculate the joint 95% credible region around  $\hat{q}_1$  and  $\hat{q}_2$ , using standard methods. If the credible region overlaps the line  $q_1 = q_2$ , then the sample estimates are not significantly different. If the credible region does not overlap the line  $q_1 = q_2$ , then the sample estimates are different. We compared the allele frequency estimate from Génissel *et al* (2003a) with the estimate observed here. The joint log-likelihood function for this comparison is

$$l(q_1, q_2) = u_1 \ln(1 - (1 - q_1)^4) + v_1 \ln((1 - q_1)^4) + u_2 \ln(1 - (1 - q_2)^2) + v_2 \ln((1 - q_2)^2) \quad (3)$$

where the subscript 1 is for the data from Génissel *et al* (2003a) and the subscript 2 is for the data from this paper. According to standard methods, the joint likelihood ratio statistic is

$$W(q_1, q_2) = 2(l(\hat{q}_1, \hat{q}_2) - l(q_1, q_2)) \quad (4)$$

Data for the number of  $F_1$  individuals screened for each line was used to estimate the probability that resistance was missed ( $P_{No}$ ). For each line,  $P_{No}$  is equal to the probability that no  $RR F_1$  individuals were successfully identified by screening, given that  $J$  individuals were screened and  $\mu$  is the probability that the individual died for reasons other than susceptibility to the toxin. The probability of an  $F_1$  individual having an  $RR$  genotype is  $1/2$ , so

ability that no  $RR F_1$  individuals were successfully identified by screening, given that  $J$  individuals were screened and  $\mu$  is the probability that the individual died for reasons other than susceptibility to the toxin. The probability of an  $F_1$  individual having an  $RR$  genotype is  $1/2$ , so

$$\begin{aligned} \mu = 0 \quad P_{No} &= \binom{J}{0} (1/2)^J \\ \mu \neq 0 \quad P_{No} &= \sum_{k=0}^J \mu^k \binom{J}{k} (1/2)^J \end{aligned} \quad (5)$$

$\mu$  was estimated from the lines testing positive for resistance. Although  $\mu$  is defined as the probability that an  $F_1$  individual died for reasons other than that tested in the screen (ie susceptibility to the toxin), the only individuals of importance are the  $RR F_1$  homozygotes, so  $\mu$  equates to be the probability that an  $RR F_1$  larvae died for reasons other than susceptibility to the toxin. Larvae may die for many reasons, including handling, fitness costs of resistance and poor adaptation to the test conditions. Let  $k$  be the number of  $RR F_1$  larvae among the  $J$  larvae screened, and  $P_{k|J} = \text{Prob}(k \text{ RR larvae} | J \text{ F}_1 \text{ larvae screened})$ . For each line testing positive,  $N_R$   $F_1$  larvae survived the screen ( $N_R > 0$ ). Let  $P_{k|J, N_R} = \text{Prob}(k \text{ RR larvae} | J \text{ F}_1 \text{ larvae screened and } N_R \text{ F}_1 \text{ larvae survived the screen})$  and  $N_R$  the number of  $F_1$  larvae testing positive. For  $P_{k|J, N_R}$ , it is clear that  $k \geq N_R$  (there must be at least as many  $RR$  larvae tested,  $k$ , as the number of  $RR$  larvae testing positive,  $N_R$ ). From the laws of total probability and conditional probability

$$\begin{aligned} E[\mu | J, N_R] &= \sum_{k=N_R}^J E(\mu | k, N_R) P_{k|J, N_R} \\ &= \sum_{k=N_R}^J E(\mu | k, N_R) \frac{P_{k|J}}{\sum_{k=N_R}^J P_{k|J}} \end{aligned} \quad (6)$$

where

$$P_{k|J} = \binom{J}{k} (1/2)^J$$

and the expected value of  $\mu$  given  $k$  and  $R$  follows from equation (1) and is  $E(\mu | k, N_R) = 1 - (N_R + 1)/(k + 2)$ .

$P_{No}$  was calculated from the estimated  $\mu$  and equation (5) for each of the lines in which no resistance was detected. The experiment-wise probability of a single additional false negative line is the mean  $P_{No}$  calculated for each line.

#### Fitness cost

**Experimental setting:** Heterozygous  $RS$  individuals – referred to as the  $F_0$  individuals – were produced by mass-crossing the susceptible ( $S\#125$ ) and resistant ( $R\#60$ ) strains and used to set up five independent lines. Each line was founded by 15 females and 10 males providing about 250–700  $F_1 RS$  offspring. For each line, we established 20 mating pairs of  $F_1$  adults in individual boxes. A male and a virgin female were randomly selected from the  $F_2$  offspring of each mating pair and randomly mated, to give 20 mating pairs of  $F_2$  adults. The same procedure was applied to obtain the  $F_3, F_4$  and  $F_5$  generations.

**Allelic frequency at the resistance locus:** For each line, the frequency of the *Bt* resistance allele was estimated at

generations F3 and F5. For this purpose, virgin adults were randomly selected from the offspring of the F2 and F4 mating pairs, respectively. Each of these adults was individually mated with a virgin beetle of the R#116 strain. Egg masses were collected from each mating pair daily and F1 neonates emerging from these masses were screened for *Bt* resistance, as described above. After correcting for mortality rates on non-*Bt* foliage, we expected 0, 50 and 100% mortality when the tested F3 or F5 parents were *SS*, *RS* and *RR*, respectively.

**Allelic frequency at the *Ck* loci:** For each line, F0 individuals and the F3 and F5 adults used to estimate *Bt* resistance allele frequency were also genotyped at the creatine kinase (*Ck*) locus, by starch gel electrophoresis. The abdomen of each individual was crushed in 100  $\mu$ l of 0.4% NADP Tris-citrate pH 6.7 buffer. The homogenates were subjected to horizontal starch gel electrophoresis in the Tris-citrate pH 6.7 buffer system (Pasteur *et al*, 1987), with CK (EC 2.7.3.2) detection as described by Génissel *et al* (2000).

**Data analysis:** For each line, the allelic frequencies – and their 95% CI – at the *resistance* and *Ck* loci were calculated, using the Bayesian methods described above. Tests for deviations from Hardy–Weinberg equilibrium at each locus and for genotypic linkage disequilibrium between the *resistance* and *Ck* loci were carried out for each individual line and for all the lines considered together, with GENEPOP 3.4. (Raymond and Rousset, 1995).

## Results

### Frequency of *Bt* resistance alleles in a field population

We carried out a total of 286 crosses between individuals collected at Bar-le-Duc and individuals of the resistant R#60 strain: 192 of these crosses were feral male  $\times$  R#60 female crosses and 94 were feral female  $\times$  R#60 males crosses. We found that 102 of the lines were sterile and eight lines produced too few offspring (ie < 5 larvae) for analysis. Three of the remaining 176 lines screened for *Bt* resistance had resistant F1 larvae. The estimated frequency of resistance was 0.0113, with a 95% credible interval of 0.0031–0.0247.

The proportions of resistant larvae in the three positive lines were 14.3 ( $N = 28$ ), 40.8 ( $N = 184$ ) and 8.9% ( $N = 56$ ). From these data, we calculated a weighed average,  $\mu = 0.3599$ , corresponding to the experiment-wise probability of a false negative among the lines testing negative. The probability of a false negative was  $P_{No} = 5 \times 10^{-3}$ .

### Fitness cost

Two alleles, *Ck80* and *Ck100*, were detected at the *Ck* locus. Exact tests for genotypic linkage disequilibrium between this locus and the *resistance* locus were not significant for any of the lines tested individually ( $P > 0.12$  for all lines) or for all lines considered together ( $P = 0.845$ ). Changes in allelic frequencies at the *resistance* and *Ck* loci over generations may therefore be considered independent.

The estimated frequencies of the *R* and *Ck80* alleles at generations F0, F3 and F5 are given in Table 1. The frequency of the *R* allele decreased over generations, in

**Table 1** Estimated frequencies of the *R* (*qR*) and *Ck80* (*qCk80*) alleles and their 95% CI within each line and for all lines considered together at generations F0, F3 and F5

	Locus							
	<i>Resistance</i>				<i>Ck</i>			
	N <sup>a</sup>	#R <sup>b</sup>	qR	95% CI	N <sup>a</sup>	#Ck80 <sup>c</sup>	qCk80	95% CI
<i>Generation F0</i>								
Line #1	—	—	0.500	—	19	3	0.100	[0.027, 0.209]
Line #2	—	—	0.500	—	23	4	0.104	[0.035, 0.204]
Line #3	—	—	0.500	—	19	5	0.150	[0.059, 0.274]
Line #4	—	—	0.500	—	19	2	0.075	[0.016, 0.173]
Line #5	—	—	0.500	—	16	2	0.088	[0.019, 0.202]
All lines	—	—	0.500	—	96	16	0.087	[0.052, 0.131]
<i>Generation F3</i>								
Line #1	13	8	0.321	[0.165, 0.502]	17	13	0.389	[0.239, 0.551]
Line #2	13	7	0.286	[0.138, 0.463]	22	3	0.087	[0.025, 0.183]
Line #3	9	5	0.300	[0.126, 0.512]	17	0	0.028	[0.000, 0.082]
Line #4	8	1	0.111	[0.015, 0.287]	29	3	0.067	[0.019, 0.141]
Line #5	12	3	0.154	[0.045, 0.312]	25	5	0.115	[0.044, 0.214]
All lines	55	24	0.223	[0.151, 0.304]	110	24	0.113	[0.075, 0.157]
<i>Generation F5</i>								
Line #1	16	8	0.265	[0.133, 0.425]	24	8	0.180	[0.088, 0.297]
Line #2	12	3	0.154	[0.045, 0.312]	25	10	0.212	[0.113, 0.331]
Line #3	10	7	0.364	[0.181, 0.570]	11	1	0.083	[0.011, 0.219]
Line #4	22	4	0.109	[0.037, 0.212]	24	7	0.160	[0.073, 0.272]
Line #5	17	5	0.167	[0.066, 0.303]	22	9	0.217	[0.112, 0.346]
All lines	77	27	0.179	[0.124, 0.243]	106	35	0.168	[0.112, 0.346]

<sup>a</sup>Number of individuals genotyped.

<sup>b</sup>Number of *R* alleles among the individuals tested.

<sup>c</sup>Number of *Ck80* alleles among the individuals tested.

all lines. At generations F3 and F5, this frequency was significantly lower than the initial frequency in all lines except line #3 (Table 1). We observed no further changes in *R* allele frequency within lines between the F3 and F5 generations. None of the lines displayed a genetic structure significantly different from what was expected under Hardy–Weinberg equilibrium, but we found an excess of *RS* individuals in all lines as  $\hat{f}_i$ , the  $F_{is}$  estimator of Weir and Cockerham (1984), was negative ( $-0.500 < \hat{f}_i \leq 0.077$ ) at both the F3 and F5 generations (Table 2). These excesses were due to the proportion of *RR* individuals being lower than that predicted under Hardy–Weinberg equilibrium. In fact, all the carriers of the resistance allele detected during the course of the experiment were *RS*; we recovered no *RR* individuals.

Despite the small number of individuals in each of the lines, and the eventuality of genetic drift, the frequency of the *R* allele did not differ significantly between lines (Table 1). This absence of genetic differentiation made it possible to pool the data of the five lines for each of these two generations. Hence, for all lines considered together, the frequency of the *R* allele was 0.223 for the F3 generation and 0.179 for the F5 generation (Table 1). The 95% CI of these frequencies did not include the value of 0.500 corresponding to the initial frequency in the F0 generation (Table 1). The slight decrease in *R* allele frequency between generations F3 and F5 was not significant (Table 1). Finally, after pooling the data, the estimator  $\hat{f}$  was  $-0.271$  for the F3 generation and  $-0.206$  two generations later (Table 2), with a deviation from Hardy–Weinberg equilibrium of borderline significance for the F3 generation ( $P = 0.051$ , see Table 2).

During the course of the experiment, the relative frequencies of the two *Ck* alleles – *Ck80* and *Ck100* – remained almost identical. Within lines, significant changes were observed only in line #1. In this line, the frequency of the *Ck80* allele was significantly higher in the F3 generation than in the F0 and F5 generations (Table 1). For all lines considered together, the relative frequency of *Ck80* did not differ significantly between the F0 (8.7%) and F3 (11.3%) and F5 (16.8%) generations (Table 1).

**Table 2** Proportion of the three different genotypes at the *resistance* locus within each line and for all lines considered together at generations F3 and F5

	N <sup>a</sup>	Genotype			$\hat{f}^b$	P-value <sup>c</sup>
		SS	RS	RR		
<b>Generation F3</b>						
Line #1	13	0.384	0.616	0.000	-0.412	0.244
Line #2	13	0.462	0.538	0.000	-0.333	0.499
Line #3	9	0.444	0.556	0.000	-0.333	1.000
Line #4	8	0.876	0.124	0.000	—	—
Line #5	12	0.750	0.250	0.000	-0.100	1.000
All lines	55	0.564	0.436	0.000	-0.271	0.051
<b>Generation F5</b>						
Line #1	16	0.500	0.500	0.000	-0.304	0.513
Line #2	12	0.750	0.250	0.000	-0.100	1.000
Line #3	10	0.300	0.700	0.000	-0.500	0.220
Line #4	22	0.818	0.182	0.000	-0.077	1.000
Line #5	17	0.706	0.294	0.000	-0.143	1.000
All lines	77	0.350	0.650	0.000	-0.206	0.108

<sup>a</sup>N = number of individuals genotyped.

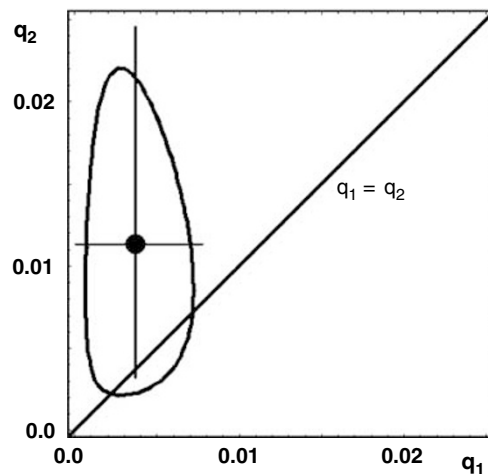
<sup>b</sup> $F_{is}$  estimator of Weir and Cockerham (1984).

<sup>c</sup>Probability of deviation from Hardy–Weinberg equilibrium.

## Discussion

Our results confirm that alleles conferring resistance to *Bt* poplars producing the Cry3Aa toxin were not rare in beetle populations in French poplar stands before the widespread use of *Bt* sprays or *Bt* poplar in the fields. Three parents of the 176 feral individuals screened for *Bt* resistance were heterozygous for the Cry3Aa resistance allele carried by the R#60 strain. We estimated the frequency of the resistance allele in the Bar-le-Duc population at 0.0113, with a 95% credible interval of 0.0031–0.0247. This frequency is slightly, but not significantly higher than our estimate for another French natural population – the Vatan population – collected from a site more than 500 km away. Indeed, in an F<sub>2</sub> screen, the frequency of the resistance allele in the Vatan population was estimated at 0.0037, with a 95% credible interval of 0.00045–0.0080 (Génissel *et al*, 2003a). The joint 95% credible region for the two estimated allele frequencies shows that the present estimate is not statistically different from that from Génissel *et al* (2003a) Figure 1.

Frequencies of *Bt* resistance alleles as high as those reported here in *C. tremulae* have been reported in lepidopteran pest species such as *H. virescens* (Gould *et al*, 1997), *P. xylostella* (Tabashnik *et al*, 1997) and *P. gossypiella* (Tabashnik *et al*, 2000). The frequencies in these insects may have been high because of previous selection for resistance. For example, Hawaiian populations of *P. xylostella* were treated with *Bt* and *Bt* cotton fields were planted in Arizona some years before collection of the *P. gossypiella* populations in which *Bt* resistance were detected. Similarly, the high level of *Bt* resistance discovered by Janmaat and Myers (2003) in greenhouse populations of cabbage loopers, *Trichoplusia ni*, probably resulted from the massive treatment of this pest with *Bt* toxins. Conversely, populations of *C. tremulae* have never been exposed to any particular



**Figure 1** Joint 95% credibility region for resistance allele frequency estimated by Génissel *et al* (2003a),  $q_1$ , and that estimated here,  $q_2$ . The diagonal line is the hypothesis  $q_1 = q_2$ . The line intersects the 95% credibility region. The point is the joint maximum likelihood estimate,  $(\hat{q}_1, \hat{q}_2)$ , and the lines going through the point are the 95% credibility intervals for each independent estimate of the allele frequency.

selection pressure for *Bt* resistance due to human activities. *Bt* poplars have been planted only in a strictly protected insect-proof greenhouse and French poplar plantations, like other poplar plantations throughout Europe, have never been treated with *Bt* sprays. The results obtained in this study therefore support the conclusion of Génissel *et al* (2003a) that alleles conferring resistance to *Bt* plants may be present at detectable frequencies in pest populations before selection resulting from pest management by humans.

This conclusion is not restricted to *C. tremulae*. The frequency of an allele conferring a similar level of resistance (>5000-fold) to the Cry3Aa toxin in the natural population from which Bauer (1995) selected her resistant strain of *Chrysomela scripta* was estimated at 0.0045 (Augustin *et al*, 2004). As in *C. tremulae*, this high frequency cannot have resulted from the application of *Bt* toxin on the host plant by humans. It would therefore be interesting to perform F<sub>2</sub> screens on other coleopteran pests, such as *Leptinotarsa decemlineata*, *Diabrotica virgifera virgifera*, *Phyllodecta vulgatissima* and *Phratora vitellinae*, to determine whether a high level of Cry3Aa resistance is a general feature of the Chrysomelidae.

In *C. tremulae*, the high frequency of the *Bt* resistance allele over a large geographical area is consistent with the high rates of gene flow estimated by Génissel *et al* (2000). They showed, using allozyme markers, that there were no obvious limitations to gene flow between populations of *C. tremulae* over several hundreds of kilometres. They found no genetic differentiation ( $F_{st} = -0.001$ ) between the Vatan population and the Contrexéville population, located at a site about 50 km south of Bar-le-Duc (Génissel *et al*, 2000). This intensive gene flow between populations may account for the similarity in the frequency of the *Bt* resistance allele at Vatan and Bar-le-Duc. We therefore pooled the Vatan and Bar-le-Duc estimates and calculate an expected *R* allele frequency of 0.0049, with a 95% credible interval of 0.0020–0.0091, as described by Stodola *et al* (2006). This allele would be expected to segregate at mutation-selection balance rather than fluctuating at various frequencies following temporary and variable selection within poplar stands. The expected frequency at mutation-selection balance is about  $u/hs$ , with  $u$  the mutation rate,  $s$  the fitness cost and  $h$  the dominance of this cost (Hartl and Clark, 1997).

Our study shows that  $s$  is >0. Indeed, in the absence of selection on *Bt* poplar leaves, the frequency of the resistance allele decreased in all lines whereas allelic frequencies at the *Ck* allozyme locus – a neutral locus unlinked to the resistance locus – were unaffected. After three generations, for all lines considered together, the frequency of the resistance allele decreased from 0.500 to 0.223, corresponding to a significant decrease of about 55%. This frequency further decreased between the third and fifth generations, reaching 0.179. The change in frequency was –0.044 (about a 20% decrease), but was not significant, due to the small number of beetles tested. Our results also suggest that  $h < 1$ . None of the resistant individuals detected during the experiment was *RR*. There was therefore an excess of *RS* individuals in all lines, this excess being marginally significant for all lines considered together. An incompletely dominant fitness cost would also account for the smaller decrease in allele frequency between the

F3 and F5 generations than between the F0 and F3 generations.

This study provides further evidence that *Bt* resistance may entail a fitness cost in the absence of *Bt* selection. A decrease in resistance to *Bt* in *Bt*-free environments has already been reported in laboratory strains of several pests (McGaughey and Beeman, 1988; Tabashnik *et al*, 1991; Janmaat and Myers, 2003). Unfortunately, the genetic basis of the resistance selected in these strains was generally unknown, as resistance ratio variation is generally investigated by assessing changes in LC<sub>50</sub>, and little attention was paid to the possible effects of genetic drift. Moreover, the initial resistance ratios of these strains were too low for these studies to be relevant for *Bt* crop management. Indeed, most of these resistant individuals were still susceptible to the doses produced by *Bt* crops. We show here that an allele conferring a sufficiently high level of resistance (resistance ratio >5000) for survival on *Bt* plants decreased in frequency in lines fed on non-transgenic plants. This decrease in frequency is probably due to fitness costs because genetic drift alone would be highly unlikely to give such results. This study provides no information about the life history traits potentially altered by the presence of this allele. Based on previous studies, the traits affected may be as different as overwintering success (Carrière *et al*, 2001b), fecundity and mating success (Groeters *et al*, 1994), larval survival (Carrière *et al*, 2005), maternal effects (Carrière *et al*, 2001a) and first-male paternity (Higginson *et al*, 2005).

Génissel *et al* (2003a) pointed out that *Bt* resistance allele frequencies exceeding  $10^{-3}$  may result from a combination of a high mutation rate (eg  $u = 10^{-5}$ ) and a small (eg  $s = 0.01$ ) and/or recessive (eg  $h = 0.1$ ) fitness cost. Fitness cost cannot be determined directly from our data, but  $s$  is most likely to be >0.1. If the frequency of the *R* allele in the natural populations of *C. tremulae* were at mutation-selection balance, then the mutation rate would be at high but realistic values – between  $10^{-4}$  and  $10^{-7}$  – even with  $0.1 < s < 0.5$  and  $0.001 < h < 0.1$  (Table 3).

Our findings therefore provide both good and bad news for *Bt* resistance management. The bad news is that resistance allele frequency at the start of *Bt* plant cultivation may clearly be higher than originally thought, suggesting that resistance may be rapidly selected in target pests in the absence of refuges. The good news is that, like many other studies, this study shows that *Bt* resistance is counter-selected in *Bt*-free environments, making it possible to delay or to prevent the evolution of resistance if enough refuges are planted.

**Table 3** Mutation rate ( $u$ ) estimated from the pooled frequency of the resistance allele ( $pR$ ), using various combinations of fitness costs ( $s$ ) and the level of dominance ( $h$ ) of these costs

$pR$	$s$	$h$	$u$
0.0049	0.1	0.100	$4.90 \times 10^{-5}$
0.0049	0.1	0.050	$2.45 \times 10^{-5}$
0.0049	0.1	0.010	$4.90 \times 10^{-6}$
0.0049	0.1	0.005	$2.45 \times 10^{-6}$
0.0049	0.1	0.001	$4.90 \times 10^{-7}$
0.0049	0.5	0.100	$2.45 \times 10^{-4}$
0.0049	0.5	0.050	$1.22 \times 10^{-4}$
0.0049	0.5	0.010	$2.45 \times 10^{-5}$
0.0049	0.5	0.005	$1.22 \times 10^{-5}$
0.0049	0.5	0.001	$2.45 \times 10^{-6}$

## Acknowledgements

We would like to thank L Leniaud, S Ponsard and C Vauvarin for help with electrophoresis and R Therene, N Millet for the multiplication of *Bt* poplars. This work was supported by the AO of the *Ministère de la Recherche 'Impact des Organismes Génétiquement Modifiés'*.

## References

- Akhurst RJ, James W, Bird LJ, Beard C (2003). Resistance to the Cry1Ac  $\delta$ -endotoxin of *Bacillus thuringiensis* in the cotton bollworm, *Helicoverpa armigera* (Lepidoptera: Noctuidae). *J Econ Entomol* **96**: 1290–1299.
- Alstad DN, Andow DA (1995). Managing the evolution of insect resistance to transgenic plants. *Science* **268**: 1894–1896.
- Alyokhin A, Ferro DN (1999). Relative fitness of Colorado potato beetle (Coleoptera: Chrysomelidae) resistant and susceptible to the *Bacillus thuringiensis* Cry3A toxin. *J Econ Entomol* **92**: 510–515.
- Andow DA, Alstad DN (1998). F2 screen for rare resistance alleles. *J Econ Entomol* **91**: 572–578.
- Andow DA, Alstad DN (1999). Credibility interval for rare resistance allele frequencies. *J Econ Entomol* **92**: 755–758.
- Andow DA, Alstad DN, Pang Y-H, Bolin PC, Hutchinson WD (1998). Using an F2 screen to search for resistance alleles to *Bacillus thuringiensis* toxin in European corn borer (Lepidoptera: Crambidae). *J Econ Entomol* **91**: 579–584.
- Andow DA, Olson DM, Hellmich RL, Alstad DN, Hutchison WD (2000). Frequency of resistance to *Bacillus thuringiensis* toxin CryIAb in an Iowa population of European corn borer (Lepidoptera: Crambidae). *J Econ Entomol* **93**: 26–30.
- Augustin S, Courtin C, Réjasse A, Lorme P, Génissel A, Bourguet D (2004). Genetics of resistance to transgenic *Bacillus thuringiensis* Poplars in *Chrysomela tremulae* (Coleoptera: Chrysomelidae). *J Ecol Entomol* **97**: 1058–1064.
- Augustin S, Léveux J (1993). Life history of the poplar beetle *Chrysomela tremulae* in the central region of France. *Can Entomol* **125**: 399–401.
- Bates SL, Zhao JZ, Roush RT, Shelton AM (2005). Insect resistance management in GM crops: past, present and future. *Nat Biotechnol* **23**: 57–62.
- Bauer LS (1995). Resistance: a threat to the insecticidal crystal proteins of *Bacillus thuringiensis*. *Florida Entomol* **78**: 414–443.
- Bentur JS, Andow DA, Cohen MB, Romena AM, Gould F (2000). Frequency of alleles conferring resistance to a *Bacillus thuringiensis* toxin in a Philippine population of *Scirpophaga incertulas* (Lepidoptera: Pyralidae). *J Econ Entomol* **93**: 1515–1521.
- Bird LJ, Akhurst RJ (2004). Relative fitness of Cry1A-resistant and -susceptible *Helicoverpa armigera* (Lepidoptera: Noctuidae) on conventional and transgenic cotton. *J Econ Entomol* **97**: 1699–1709.
- Bird LJ, Akhurst RJ (2005). Fitness of Cry1A-resistant and -susceptible *Helicoverpa armigera* (Lepidoptera: Noctuidae) on transgenic cotton with reduced levels of Cry1Ac. *J Econ Entomol* **98**: 1311–1319.
- Bourguet D, Chaufaux J, Séguin M, Buisson C, Hinton JL, Stodola TJ et al (2003). Frequency of alleles conferring resistance to Bt maize in French and US corn belt populations of the European corn borer, *Ostrinia nubilalis*. *Theor Appl Genet* **106**: 1225–1233.
- Brunk HD (1975). *An Introduction to Mathematical Statistics*. Xerox College Publishing: Lexington, KY.
- Burd AD, Gould F, Bradly JR, Van Duyn JW, Moar WJ (2003). Estimated frequency of nonrecessive Bt resistance genes in bollworm, *Helicoverpa zea* (Boddie) (Lepidoptera: Noctuidae) in eastern North Carolina. *J Econ Entomol* **96**: 137–142.
- Carrière Y, Ellers-Kirk C, Biggs R, Degain B, Holley D, Yafuso C et al (2005). Effects of cotton cultivar on fitness costs associated with resistance of pink bollworm (Lepidoptera: Gelechiidae) to Bt cotton. *J Econ Entomol* **98**: 947–954.
- Carrière Y, Ellers-Kirk C, Liu Y-B, Sims MA, Patin AL, Dennehy TJ et al (2001a). Fitness costs and maternal effects associated with resistance to transgenic cotton in the pink bollworm. *J Econ Entomol* **94**: 1571–1576.
- Carrière Y, Ellers-Kirk C, Patin AL, Sims MA, Meyer S, Liu Y-B et al (2001b). Overwintering cost associated with resistance to transgenic cotton in the pink bollworm (Lepidoptera: Gelechiidae). *J Econ Entomol* **94**: 935–941.
- Carrière Y, Ellers-Kirk C, Sisterson M, Antilla L, Whitlow M, Dennehy TJ et al (2003). Long term regional suppression of pink bollworm by *Bacillus thuringiensis* cotton. *Proc Natl Acad Sci USA* **100**: 1519–1523.
- Carrière Y, Sisterson M, Tabashnik BE (2004). Resistance management for sustainable use of *Bacillus thuringiensis* crops in integrated pest management. In: Horowitz AR, Ishaaya I (eds) *Insect Pest Management*. Springer-Verlag: Berlin Heidelberg. pp 65–95.
- Carrière Y, Tabashnik BE (2001). Reversing insect adaptation to transgenic insecticidal plants. *Proc Roy Soc London B* **268**: 1475–1480.
- Coustau C, Chevillon C, ffrench-Constant R (2000). Resistance to xenobiotics and parasites: can we count the cost? *Trends Ecol Evol* **15**: 378–382.
- Ferré J, Van Rie J (2002). Biochemistry and genetics of insect resistance to *Bacillus thuringiensis*. *Annu Rev Entomol* **47**: 501–533.
- Génissel A, Augustin S, Courtin C, Pilate G, Lorme P, Bourguet D (2003a). Initial frequency of alleles conferring resistance to *Bacillus thuringiensis* poplar in a field population of *Chrysomela tremulae*. *Proc Roy Soc London B* **270**: 791–797.
- Génissel A, Leplé J-C, Millet N, Augustin S, Jouanin L, Pilate G (2003b). High tolerance against *Chrysomela tremulae* of transgenic poplar plants expressing a synthetic cry3aA gene from *Bacillus thuringiensis* ssp tenebrionis. *Mol Breeding* **11**: 103–110.
- Génissel A, Viard F, Bourguet D (2000). Population genetics of *Chrysomela tremulae*: a first step towards management of transgenic *Bacillus thuringiensis* poplars *Populus tremulae*  $\times$  *P. tremuloides*. *Hereditas* **133**: 85–93.
- Georghiou GP, Taylor CE (1977). Operational influences in the evolution of insecticide resistance. *J Econ Entomol* **70**: 653–658.
- Gould F (1998). Sustainability of transgenic insecticidal cultivars: integrating pest genetics and ecology. *Annu Rev Entomol* **43**: 701–726.
- Gould F, Anderson A (1991). Effects of *Bacillus thuringiensis* and HD-73 Delta-endotoxin on growth, behavior, and fitness of susceptible and toxin-adapted strains of *Heliothis virescens* (Lepidoptera: Noctuidae). *Environ Entomol* **20**: 30–38.
- Gould F, Anderson A, Jones A, Sumerford D, Heckel DG, Lopez J et al (1997). Initial frequency of alleles for resistance to *Bacillus thuringiensis* toxins in field populations of *Heliothis virescens*. *Proc Natl Acad Sci USA* **94**: 3519–3523.
- Griffits JS, Aroian RV (2005). Many roads to resistance: how invertebrates adapt to Bt toxins. *BioEssays* **27**: 614–624.
- Groeters FR, Tabashnik BE, Finson N, Marshall WJ (1994). Fitness costs of resistance to *Bacillus thuringiensis* in the Diamondback moth (*Plutella xylostella*). *Evolution* **48**: 197–201.
- Hartl DL, Clark AG (1997). *Principles of Population Genetics*, 3rd edn. Sinauer: Sunderland, MA.
- Higginson DM, Morin S, Nyboer ME, Biggs RW, Tabashnik BE, Carrière Y (2005). Evolutionary trade-offs of insect resistance to *Bacillus thuringiensis* crops: fitness cost affecting paternity. *Evolution* **59**: 915–920.
- Janmaat AF, Myers J (2003). Rapid evolution and the cost of resistance to *Bacillus thuringiensis* in greenhouse populations of cabbage loopers, *Trichoplusia ni*. *Proc Roy Soc London B* **270**: 2263–2270.
- Lenormand T, Raymond M (1998). Resistance management: the stable zone strategy. *Proc Roy Soc Lond B* **265**: 1985–1990.

- McGaughey WH, Beeman RW (1988). Resistance to *Bacillus thuringiensis* in colonies of indianmeal moth and almond moth (Lepidoptera: Pyralidae). *J Econ Entomol* **81**: 28–33.
- Oppert B, Hammel R, Throne JE, Kramer KJ (2000). Fitness costs of resistance to *Bacillus thuringiensis* in the Indianmeal moth, *Plodia interpunctella*. *Entomol Exp Appl* **96**: 281–287.
- Pasteur N, Pasteur G, Bonhomme F, Catalan J, Britton-Davidian J (1987). *Manuel technique de génétique par électrophorèse des protéines*. Lavoisier: Paris.
- Raymond M, Rousset F (1995). GENEPOP (version 1.2): population genetics software for exact tests and eucumenicism. *J Hered* **86**: 248–249.
- Shelton AM, Zhao J-Z, Roush RT (2002). Economic, ecological, food safety, and social consequences of the deployment of Bt transgenic plants. *Annu Rev Entomol* **47**: 845–881.
- Stodola TJ, Andow DA (2004). F2 screen variations and associated statistics. *J Econ Entomol* **97**: 1756–1764.
- Stodola TJ, Andow DA, Hyden AR, Hinton JL, Roark JJ, Buschman LL *et al* (2006). Frequency of resistance to *Bacillus thuringiensis* toxin Cry1Ab in southern US corn belt population of European corn borer (Lepidoptera: Crambidae). *J Econ Entomol* **99**: 502–507.
- Tabashnik BE, Carrière Y, Dennehy TJ, Morin S, Sisterson M, Roush RT *et al* (2003). Insect resistance to transgenic Bt crops: lessons from the laboratory and the field. *J Econ Entomol* **96**: 1031–1038.
- Tabashnik BE, Finson N, Johnson M (1991). Managing resistance to *Bacillus thuringiensis*: lessons from the diamondback moth (Lepidoptera: Plutellidae). *J Econ Entomol* **84**: 49–55.
- Tabashnik BE, Liu YB, Finson N, Masson L, Heckel DG (1997). One gene in diamondback moth confers resistance to four *Bacillus thuringiensis* toxins. *Proc Natl Acad Sci USA* **94**: 1640–1644.
- Tabashnik BE, Patin AL, Dennehy TJ, Liu YB, Carrière Y, Sims MA *et al* (2000). Frequency of resistance to *Bacillus thuringiensis* in field populations of pink bollworm. *Proc Natl Acad Sci USA* **97**: 12980–12984.
- Tang JD, Gilboa S, Roush RT, Shelton AM (1997). Inheritance, stability, and lack-of-fitness costs of field-selected resistance to *Bacillus thuringiensis* in diamondback moth (Lepidoptera: Plutellidae) from Florida. *J Econ Entomol* **90**: 732–741.
- Trisyono A, Whalon ME (1997). Fitness cost of resistance to *Bacillus thuringiensis* in Colorado potato beetle (Coleoptera: Chrysomelidae). *J Econ Entomol* **90**: 267–271.
- Weir BS, Cockerham CC (1984). Estimating *F*-statistics for the analysis of population structure. *Evolution* **38**: 1358–1370.
- Wolfram Research Inc. (1999). *Mathematica 4.0.0.0*. Champaign, IL.
- Wu K, Guo Y, Lv N, Greenplate JT, Deaton R (2002). Resistance monitoring of *Helicoverpa armigera* (Lepidoptera: Noctuidae) to *Bacillus thuringiensis* insecticidal protein in China. *J Econ Entomol* **95**: 826–831.