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***De novo* t(12;17)(p13.3;q21.3) translocation with a breakpoint near the 5' end of the *HOXB* gene cluster in a patient with developmental delay and skeletal malformations**

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A boy with severe mental retardation, funnel chest, bell-shaped thorax, and hexadactyly of both feet was found to have a balanced *de novo* t(12;17)(p13.3;q21.3) translocation. FISH with BAC clones and long-range PCR products assessed in the human genome sequence localized the breakpoint on chromosome 17q21.3 to a 21-kb segment that lies <30 kb upstream of the *HOXB* gene cluster and immediately adjacent to the 3' end of the *TTLL6* gene. The breakpoint on chromosome 12 occurred within telomeric hexamer repeats and, therefore, is not likely to affect gene function directly. We propose that juxtaposition of the *HOXB* cluster to a repetitive DNA domain and/or separation from required *cis*-regulatory elements gave rise to a position effect.

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Introduction

Expression of some genes, in particular of developmental control genes, is influenced by regulatory elements at some distance from the transcription and promoter regions.¹ Because many of these genes are transcription factors with tissue- and developmental stage-specific expression patterns, such position effects are difficult to study in humans. The best evidence for position effects causing human genetic disease comes from chromosomal rearrangements

with breakpoints and/or microdeletions well outside the relevant genes. In a number of human developmental anomalies, including X-linked deafness,² holoprosencephaly,³ campomelic dysplasia,⁴ and aniridia,⁵ it has been shown that the distance of the disease-causing chromosome breakpoint to the 3' or 5' end of the misregulated gene may be more than 1 Mb.

De novo balanced chromosomal rearrangements occur in approximately one in 2500 newborns. Because the rate of abnormal phenotypes in *de novo* translocation or inversion carriers is approximately twice as high as in random newborn populations,⁶ it is plausible to assume that in about half of these cases the observed phenotype is caused by inactivation of a specific gene(s) in the breakpoint region(s). The cytogenetic and molecular characterization

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of disease-associated balanced chromosome rearrangements has already led to the identification of numerous (>100) disease genes, showing the general usefulness of this approach.^{7,8} In most published cases the pathological state was caused by disruption or deletion of a gene(s) in the breakpoint region(s). Relatively few cases exhibiting position effects have been described so far.

Here, we present a *de novo* t(12;17) translocation in a developmentally delayed boy with skeletal malformations. The breakpoint on chromosome 17q21.3 is of particular interest, because this region contains the *HOXB* gene cluster. The homeobox (*HOX*) genes belong to a family of transcription factors that play an established role in skeletal development. Specific mutations in different *HOX* genes (ie, *HOXA11*, *HOXA13*, *HOXD10*, and *HOXD13*) cause various limb malformations.^{9,10} Limb and/or skeletal defects may also result from balanced translocations affecting regulatory elements around the *HOXD* gene cluster.^{11,12}

Materials and methods

Classical and molecular cytogenetic techniques

Metaphase chromosome spreads of the patient and his parents were prepared from peripheral blood lymphocytes and analyzed by classical GTG banding. An EBV-transformed lymphoblastoid cell line of the patient was established for chromosome, DNA, and RNA preparations. BAC and PAC clones (Table 1) were selected from the Wellcome Trust Sanger Institute Ensembl contigs and obtained from the Resource Center Primary Database of the German Human Genome Project and ResGen (Invitrogen). Amplification of larger (10–15 kb) BAC subfragments

Table 1 FISH mapping results of BAC/PACs from chromosome 17q21.3

BAC/PAC probe	Chromosomal location(Mb)	Relative to the 17q21.3 breakpoint
RP11-63A1	42.4	Proximal
RP11-580I16	43.0	Proximal
RP11- 456D7	43.6	Proximal
RP11- 357H4	44.0	Proximal
CTD-2377D24	44.1	Proximal
RP11-463M16	44.2	Breakpoint spanning
RP11-501C14	44.4	Distal

Table 2 Long-range PCR fragments of the breakpoint-spanning BAC RP11-463M16

Fragment	Forward primer (5'–3')	Reverse primer (5'–3')	Position in clone (bp)
463M16A	ggt cac atg att cag gct gc	gcg aag gtc tca tgg aat ga	1571–13 640
463M16G	gag aca cca aga agc ttc ag	gat atc cga gct act cac ca	51 021–64 260
463M16C	act cat gga tag ctg aca gg	tgg tga gct aag gag aca ca	80 861–92 280
463M16F	tag gag ctg aat gag cat gc	ttg ctg gac aag gct gta ca	169 811–181 200

was carried out with a series of primer pairs (Table 2) chosen from the genomic sequence of BAC RP11-463M16, as described previously.^{13,14} Genomic BAC DNAs and their long-range PCR products were labeled with biotin-16-dUTP or digoxigenin-11-dUTP (Roche) by standard nick translation and FISH mapped on metaphase chromosomes.¹⁵

Expression analyses

Cytoplasmic RNAs of lymphoblastoid cell lines from the patient and 10 normal individuals were isolated using Trizol reagent (Invitrogen) and reverse transcribed with Superscript III reverse transcriptase (Invitrogen) and random primers. *HOXB3*, *HOXB4*, *HOXB8*, *HOXB9*, *HOXB13*, *TLL6*, *CALCOCO2*, and *SNF8* transcripts were amplified from 40 and 200 ng cDNA each, using PCR conditions and gene-specific primer pairs, as described.¹⁶ To test biallelic *versus* monoallelic expression SNP-containing RT-PCR products were sequenced, using a Beckman CEQ 8000 Genetic Analysis System. Real-time quantitative RT-PCR analysis of *HOXB3*, *HOXB9*, *HOXB13*, *CALCOCO2*, and *SNF8* transcripts was performed with predesigned and optimized TaqMan Gene Expression Assays (Applied Biosystems) on an Applied Biosystems 7500 Real-Time PCR System according to the manufacturer's instructions. Relative quantification was carried out with the delta-delta-CT method, using *GAPDH* as endogenous control.

Results

Clinical presentation

The boy was born in the 37th gestational week with a weight of 2520 g, a length of 51 cm, and microcephaly. He had low-set and posteriorly rotated ears, macrostomy, coarse facial features, postaxial hexadactyly of the feet, clinodactyly of the fingers, and bilateral inguinal hernia. He also had a funnel chest and a bell-shaped thorax (Figure 1). Owing to an intracerebral hemorrhage he developed a posthemorrhagic hydrocephalus that required insertion of a ventriculoperitoneal shunt. At 5 years of age his gross and fine motor skills, his mental development, and his language skills were all markedly delayed.

Breakpoint mapping

G-banding analysis (at a 500 band level) of the patient revealed an apparently balanced reciprocal translocation between the short-arm tip of chromosome 12 and the

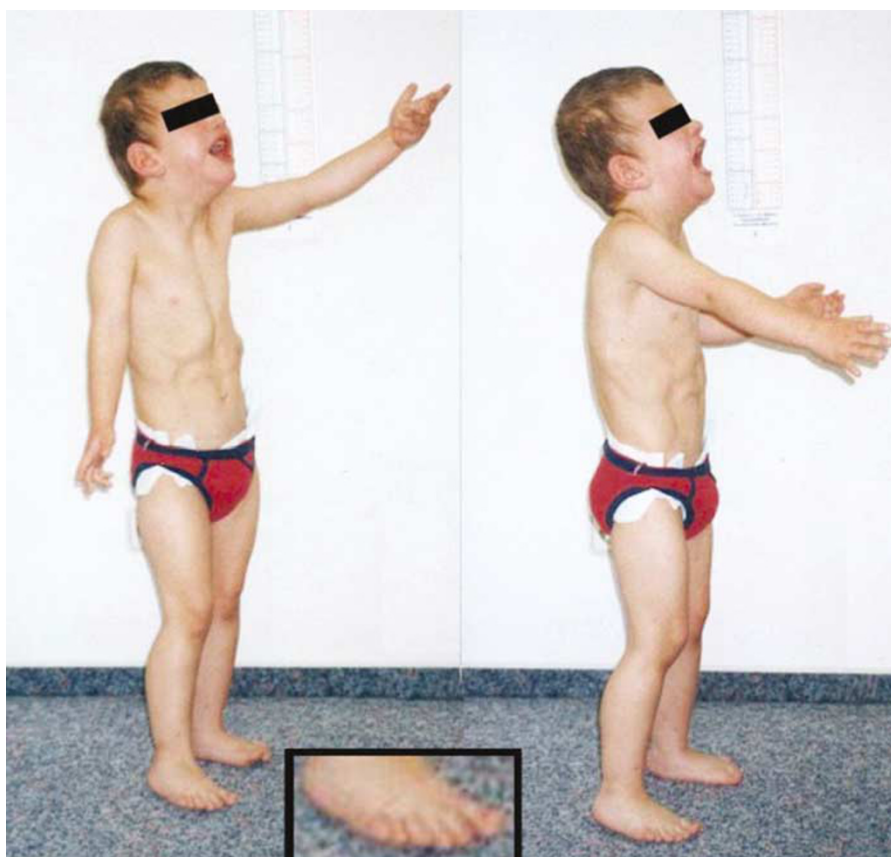


Figure 1 Patient with *de novo* t(12;17)(p13.3;q21.3) at the age of 5 years. Note the funnel chest and hexadactyly (inset) of both feet.

proximal long arm of chromosome 17 (Figure 2a), 46,XY,t(12;17)(p13.3;q21.3). The parental karyotypes were normal.

A 12p subtelomeric probe (8M16/SP6, Vysis) hybridized to the normal and derivative chromosome 12 of the patient (Figure 2b), whereas a 17q subtelomeric probe (D17S928, Vysis) produced signals on the der(12) and the normal 17 (data not shown). A telomeric hexamer repeat probe (Q-Biogene) hybridized to both ends and an interstitial site corresponding to the 12p13.3 breakpoint on the der(12) and to both ends of the der(17), as well as to other chromosome ends (Figure 2c). This implies that the 12p breakpoint occurred within the TTAGGG repetitive region and only telomeric repeats were translocated onto the der(17). On the other hand, it is possible that the distal long arm of chromosome 17 was added to an intact chromosome 12 and the chromosome 17 breakpoint was repaired by the addition of telomeric hexamer repeats. Telomeric sequences can be inserted at chromosomal breaks either directly by the telomerase enzyme or by non-homologous end-joining of a blunt-ended double-stranded telomeric DNA fragment.^{17,18} However, regardless of whether the der(12) is the result of a true translocation exchange or capture of telomeric sequences, gene content

and order of the 12p13.3 region remained undisturbed. Thus, we focussed our positional cloning efforts on the der(17), looking for loss, disruption, or otherwise inactivation of a dosage-sensitive gene(s) in the breakpoint region.

To narrow down the critical region, BAC and PAC clones from chromosome 17q21.3 were cohybridized with chromosome 12qter and 17pter identification probes to the patient's metaphase spreads and mapped relative to the breakpoint (Table 1). A breakpoint-containing BAC contig (Figure 2g) was assembled from the database. PAC CTD-2377D24 (Figure 2g, blue bar) hybridized to both the normal 17 and the der(17) (Figure 2d, red probes indicated by arrows) and, thus, appears to lie proximal to the breakpoint. BAC RP11-463M16 (Figure 2g, red bar), which partially (50 kb) overlaps CTD-2377D24, produced FISH signals on the normal 17 and on both derivative chromosomes (Figure 2e, red probes indicated by arrows), indicative of a breakpoint-spanning clone.

Four long-range PCR products of BAC RP11-463M16 were generated for higher-resolution mapping (Figure 2g, orange bars; Table 2). As expected, fragment 463M16A, which is also contained in PAC CTD-2377D24, hybridized to the normal 17 and the der(17), proximal to the breakpoint. Fragments 463M16G (Figure 2f, red probes

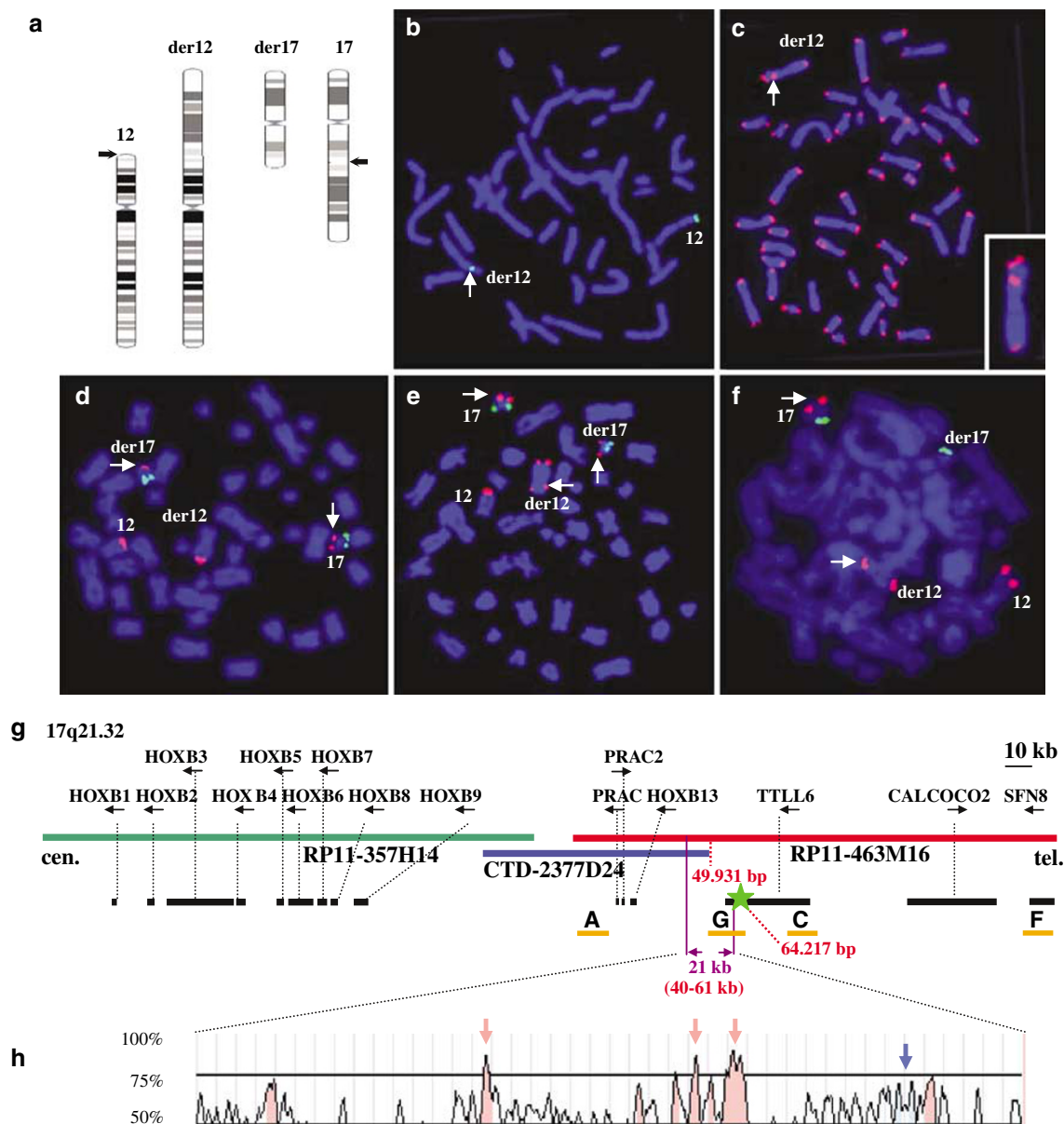


Figure 2 Cytogenetic and molecular characterization of the t(12;17)(p13.3;q21.3) translocation. (a) Ideograms of the patient's normal and derivative chromosomes 12 and 17. The breakpoints predicted by G-band analysis are indicated by arrows. (b) Hybridization of a 12p subtelomeric probe (8M16/SP6, Vysis) to the normal 12 and the der(12) (arrow). (c) Hybridization with telomeric hexamer repeats revealed an interstitial telomere (arrow) on the der(12) (inset). (d–f) High-resolution FISH mapping of BAC/PACs and BAC subfragments to patient's metaphase spreads. PACs CTC-221K18 (labeled by Cy3 in red) and 202117 (labeled by FITC in green) from the 12q and 17p subtelomeres were used for chromosome identification. PAC CTD-2377D24 (d, red signals indicated by arrows) produced signals on the normal 17 and the der(17), proximal to the breakpoint. BAC RP11-463M16 (e, red signals indicated by arrows) hybridized to the normal 17 and both derivative chromosomes and, thus, contains the 17q21.3 breakpoint. PCR fragment 463M16G (f, red signals indicated by arrows) hybridized to the normal 17 and the der(12), distal to the breakpoint. (g) Chromosome 17q21.32 breakpoint contig of clones RP11-357H14 (green bar), CTD-2377D24 (blue bar), and RP11-463M16 (red bar). The distal end of the breakpoint-flanking (by FISH) PAC CTD-2377D24 corresponds to basepair 49 931 of the breakpoint-spanning BAC RP11-463M16 (vertical red dotted line). Smaller black bars below the BAC contig indicate the location of genes in the breakpoint region. Arrows below the corresponding gene names (above the BAC contig) indicate the direction of transcription. Green star indicates the *TTLL6* stop codon outside the breakpoint region. Small orange bars below the BAC contig indicate the location of long-range PCR products used for FISH mapping. The breakpoint-containing interval (corresponding to the region from 40 to 61 kb of BAC RP11-463M16) is indicated by horizontal purple arrows. (h) VISTA conservation plot of the enlarged breakpoint interval (window size 50 bp, homology threshold 75%). Conserved non-genic sequences between humans and mouse are represented as pink peaks; conserved genic sequences are light blue. The distal blue peak (indicated by a blue arrow) corresponds to the 3' UTR of *TTLL6*.

indicated by arrows), C, and F all hybridized to the normal 17 and the der(12), which would be consistent with a location distal to the breakpoint. Because PAC CTD-2377D24 and BAC fragment 463M16G did not produce split hybridization signals, but hybridized to different derivative chromosomes, the 17q21.3 breakpoint must be contained in the very distal part (<10kb) of PAC CTD-2377D24, the proximal part (<10kb) of BAC fragment 463M16G, or the small interval (1090bp) between these two DNA segments. In our experience, 10kb of genomic DNA sequence is more than sufficient to generate a detectable FISH signal at the target region. Collectively, our FISH mapping results narrowed the breakpoint down to a 21-kb interval from 40 to 61 kb of the breakpoint-spanning BAC RP11-463M16 (Figure 2g, indicated by horizontal purple arrows).

Genes near the 17q21.3 breakpoint region

The breakpoint-spanning clone RP11-463M16 contains six validated genes (Figure 2g; Table 3). The coding sequence of tubulin tyrosine ligase-like family, member 6 (*TTL6*), a gene of unknown function, lies distal to the breakpoint region. The stop codon of *TTL6* (Figure 2g, green star) corresponds to basepair 64 217 of RP11-463M16, implying that only the 3' UTR lies within the distal breakpoint region (Figure 2h, indicated by vertical blue arrow). Interestingly, the transcription factor *HOXB13* lies in close proximity (<30kb) at the proximal side of the breakpoint on the der(17). The *HOXB* gene cluster on chromosome 17q21.3 contains *HOXB1* to *HOXB9* and *HOXB13*, which is approximately 100 kb upstream of *HOXB9* (Figure 2g). All 10 *HOXB* genes are transcribed in the same direction with the 5' end toward *HOXB13* and the 3' end toward *HOXB1*.

Because the patient's phenotype, including hexadactyly and other skeletal abnormalities, is consistent with misregulation of a *HOXB* gene(s), we conclude that the 17q21.3 rearrangement separated the *HOXB* gene cluster from control elements and/or changed its local chromatin environment. Comparison of human and mouse genomic sequences with the GenomeVISTA program revealed three conserved non-genic segments of >50bp with >75% sequence similarity in the 21-kb breakpoint interval (from 40 to 61 kb of BAC RP11-49931) at the 5' region of the

HOXB cluster (Figure 2h, indicated by vertical pink arrows). These evolutionarily highly conserved segments may be good candidates for *cis*-regulatory elements. Considering that the 17q21.3 breakpoint acquired telomeric hexamer repeats, the 5' region of the *HOXB* gene cluster on the der(17) was fused to a repetitive DNA domain, which may have changed its higher-order chromatin structure and/or nuclear localization. Mammalian telomeres are located in specific cell-cycle-dependent areas of the nucleus.¹⁹ In yeast it was shown that the transcriptional states of telomeric genes depend on spatial positioning in transcription-competent *versus* transcription-incompetent nuclear compartments.²⁰

To provide additional evidence for a possible position effect, the expression of *HOXB3*, *HOXB4*, *HOXB8*, *HOXB9*, *HOXB13*, *TTL6*, *CALCOCO2*, and *SNF8* was analyzed by standard RT-PCR (using 40 ng cDNA) in lymphoblastoid cell lines of the patient and 10 normal control individuals. Unfortunately, *HOXB8*, which is likely to play a role in limb development,²¹ as well as the breakpoint-flanking genes *HOXB13* and *TTL6*, were not or only very lowly expressed in lymphoblasts. When using increased amounts (200 ng) of cDNA in the PCR, low *HOXB8*, *HOXB13*, and *TTL6* transcript levels were detected in some of the tested cell lines. However, repeated experiments using the same cDNA samples under identical conditions as well as experiments with different cDNA preparations from the same cell lines suggested that RT-PCR amplification occurred in a more or less stochastic manner. Therefore, these genes were excluded from further expression analyses. In contrast, *HOXB3* and *HOXB4*, which are more 3' in the *HOXB* cluster, and *HOXB9*, which is relatively 5', as well as *CALCOCO2* and *SNF8* from the distal breakpoint region, were expressed at quantifiable levels in lymphoblastoid cell lines. Transcripts were easily amplified by standard RT-PCR from lymphoblasts of the patient and all 10 control individuals. However, quantitative real-time PCR demonstrated that expression of all five tested genes varied considerably (by a factor of 5–10) between cell lines (data not shown). We therefore conclude that EBV transformation and/or prolonged cell culture caused aberrant/ectopic expression of these genes and that RT-PCR data from

Table 3 Genes in the breakpoint region

Name	Gene description	Expression and/or function
PRAC	Prostate/rectum and colon protein	Expressed in prostate, rectum, and distal colon; regulatory role in nucleus
PRAC2	Prostate/rectum and colon protein 2	Possible function in prostate growth and development
HOXB13	Homeobox B13	Transcription factor belonging to homeobox gene family
TTL6	Tubulin tyrosine ligase-like family, member 6	Tubulin-tyrosine ligase activity
CALCOCO2	Calcium binding and coil-coil domain 2	Subunit of nuclear domain 10 (ND10) bodies; role in viral life cycle
SNF8	ESCRT-II complex subunit, homolog (<i>Saccharomyces cerevisiae</i>)	Protein sorting, multivesicular body vesicle formation

lymphoblastoid cells do not allow any conclusion as to their quantitative expression in affected tissues. For *HOXB9*, *CALCOCO2*, and *SNF8*, we identified transcribed SNPs in our patient. Sequencing of RT-PCR products revealed biallelic expression of these genes in the patient's lymphoblasts.

Discussion

HOX genes are crucial for positioning organs along the anterior–posterior axis of the developing vertebrate embryo.^{22,23} In the human genome, 39 *HOX* genes belonging to 13 paralogous groups are arranged in four clusters on chromosomes 7p15.3 (*HOXA*), 17q21.3 (*HOXB*), 12q13.3 (*HOXC*) and 2q31 (*HOXD*).²⁴ Gene expression within each cluster follows the so-called 'colinearity rule'. This means that *HOX* genes in the 3' region of a cluster are activated first and in anterior areas of the embryo, whereas genes in the 5' region are transcribed later and in more caudal areas.²² In certain tissues, *HOX* genes also display 'quantitative colinearity'. The gene at the 5' end shows the highest expression level and the more 3' located genes are expressed at progressively lower levels.²⁵ Real-time PCR analysis of the 39 human *HOX* genes in normal adult organs revealed that 5' located *HOX* genes are expressed preferentially in organs of the caudal body parts. In general, the expression patterns of neighboring *HOX* genes in the same cluster are more similar to each other than to their paralogs in other clusters.¹⁶

Natural mutants of mammalian *Hox* genes are rare. Hypodactyly mice result from a deletion within the *Hoxa13* locus.²⁶ The different functions of individual members of the *Hoxb* gene cluster have been extensively studied in knockout mice. *Hoxb1*, *Hoxb2*, and *Hoxb3* are involved in hindbrain specification.^{27–29} *Hoxb2* and *Hoxb4* are important for sternum development.^{28,30} *Hoxb3* and *Hoxb4* play roles in hematopoietic stem cell regeneration and proliferative response.³¹ *Hoxb5* is necessary for differentiation of angioblasts and mature endothelial cells from their mesoderm-derived precursors.³² *Hoxb6* controls generation, proliferation, and/or survival of erythroid progenitor cells.³³ *Hoxb7* knockout mice have fused ribs in the upper thoracic region.³⁴ *Hoxb8* knockouts show abnormal grooming behavior and central nervous system abnormalities,³⁵ whereas ectopic expression of *Hoxb8* results in a mirror-image duplication in the forelimb.²¹ *Hoxb9* together with its paralogs *Hoxa9* and *Hoxd9* controls development of the mammary gland in pregnancy.³⁶ *Hoxb13* knockouts display an overgrowth of all major structures derived from the tail bud, including the secondary neural tube, the caudal spinal ganglia, and vertebrae, implying that *Hoxb13* may function as an inhibitor of neuronal cell proliferation, an activator of apoptotic pathways in the neural tube, and a general repressor of growth in the caudal vertebrae.³⁷ *Hoxb13* is

also required for normal differentiation and secretory function of the ventral prostate.³⁸ Targeted deletion within the mouse *Hoxb* cluster from *Hoxb1* to *Hoxb9* resulted in a series of single segment anterior homeotic transformations along the cervical and thoracic vertebral column and defects in sternum morphogenesis.³⁹ Interestingly, *Hoxb13* expression was not affected by *Hox1–Hox9* deletion, suggesting that its regulatory element(s) is located 5' to *Hoxb9*. However, so far there is no evidence from animal models implicating a *HOXB* gene(s) in postaxial hexadactyly, which is the most specific symptom of our patient.

In humans, several genetic disorders of the skeletal system are due to mutations in different *HOX* genes. Single basepair deletions in *HOXA11* cause amegakaryocytic thrombocytopenia and radioulnar synostosis.⁴⁰ Heterozygous mutations in *HOXA13* are associated with hand–foot–genital syndrome and the closely related Guttacher syndrome.^{41,42} A missense mutation in *HOXD10* segregates in a family with rocker-bottom feet and Charcot-Marie-Tooth disease.⁴³ Polyalanine coding repeat expansions in exon 1 of *HOXD13* cause synpolydactyly.^{44,45} Intragenic frameshift deletions,⁴⁶ missense mutations in exon 2^{47,48} and an acceptor splice site mutation⁴⁹ have been associated with novel hand and/or foot malformations. It is important to note that breakpoints in chromosome 2q31 near the *HOXD* cluster were found in four independent patients with balanced chromosome rearrangements and various limb and skeletal malformations.^{11,12} Similar to our case, these disease-associated chromosome rearrangements did not disrupt a gene(s) but most likely exerted position effects by disturbing normal *HOXD* gene expression. Interactions between regulatory elements at the 5' border of the *Hoxd* cluster and the digit enhancer that lies at least 100 kb 5' to the *Hoxd* gene cluster appear to be crucial for establishing quantitative colinearity in the mouse *Hoxd* cluster.⁵⁰

To our knowledge, the patient described here represents the first example of a disease-associated translocation breakpoint near the *HOXB* cluster. Circumstantial evidence suggests that misregulation of a *HOXB* gene(s) by position effect is responsible for the patient's phenotype. Mouse *Hoxb* genes are known to play important functions in skeletal and central nervous system development. The *HOXB* cluster lies within 30 kb of the breakpoint on the patient's chromosome 17q21.3 and was moved from a euchromatic chromatin environment in close proximity of a repetitive chromatin domain (telomeric hexamer repeats). The breakpoint region at the 5' end of the *HOXB* cluster contains evolutionarily conserved non-genic sequences that may act as cis-acting DNA elements. Unfortunately, expression analyses are limited with human patients. In our patient with mental retardation and limb and rib malformations, it was not possible to study gene expression in the relevant cell types/tissues. It is well known that *HOX* and other developmental control genes

show tissue-specific expression patterns.^{51,52} The observed differences between established lymphoblastoid cell lines of the patient and normal control individuals appear to be secondary to the genetic condition of the donors. Nevertheless, it is plausible to assume that the translocation breakpoint near the 5' end of the *HOXB* cluster interferes with the colinear expression pattern of *HOXB* genes in organs that are affected in our patient. Separation of mouse *Hox* genes from regulatory sequences located at a distance outside the cluster is known to cause misexpression.^{53,54} Because both *HOXB13*^{55,56} and the *PRAC* genes^{56,57} in the breakpoint region have been implicated in human prostate cancer, the patient will be followed for this. The described t(12;17) translocation patient provides a promising starting point for the identification and characterization of regulatory elements of the *HOXB* cluster. Indeed, alterations of *HOX* genes may be an underestimated cause for human genetic disease.

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Electronic-Database Information

GenomeVISTA program (<http://www-gsd.lbl.gov/vista/>)
PubMed (<http://www.ncbi.nlm.nih.gov/entrez/>)
Wellcome Trust Sanger Institute Ensembl contigs (<http://www.ensembl.org>).

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