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SHORT REPORT

Segregation ratio in cranio-cerebello-cardiac syndrome

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According to several authors cranio-cerebello-cardiac (3C) syndrome is an autosomal recessive disorder. This opinion was based on pedigree inspection without formal segregation analysis. Recently, the assumption of autosomal recessive inheritance was challenged by the observation of overlapping features with 6p deletions. We therefore performed segregation analysis by means of methods described by Li and Mantel, Davie and Lange on 27 pedigrees selected from literature. The results of all three methods are consistent with autosomal recessive inheritance but their broad confidence intervals leave room for other explanations as well. Reporting of 3C cases without evaluation of 6p copy number should be discouraged from now on.

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Introduction

The cranio-cerebello-cardiac (3C) syndrome or Ritscher– Schinzel syndrome was first described by D Ritscher and A Schinzel from Switzerland in 1987¹ in two sisters with craniofacial, cerebellar and cardiac abnormalities. In 2001 Leonardi *et al*² reported four new cases and reviewed previously published cases.^{3–15} According to Leonardi *et al*² the following criteria define the syndrome:

- 1. congenital heart malformation(s) other than patent ductus arteriosus alone
- 2. Dandy–Walker malformation, cerebellar vermis hypoplasia, or enlarged cisterna magna
- 3. cleft palate, ocular coloboma, or four of the following: prominent occiput, prominent forehead, hypertelorism, micrognathia, downslanting palpebral fissures, and depressed nasal bridge.

It is generally assumed that 3C syndrome is an autosomal recessive disorder. This assumption is based on pedigree inspection and the existence of parental consanguinity in two families. The responsible gene has not been identified so far. Recently, DeScipio *et al*¹⁶ called attention to the phenotypic overlap with 6p25 deletions, but could not find such deletions nor mutations in three forkhead genes in or near this region in seven unrelated 3C syndrome patients. In their discussion they question autosomal recessive inheritance of the syndrome. Innes¹⁷ stressed the importance of ruling out a terminal 6p deletion in suspected cases of 3C syndrome.

In this article, the hypothesis of recessive inheritance will be tested by means of formal segregation analysis in families published in the medical literature. Three estimation methods will be used. These are, in order of publication: the method according to Li and Mantel,¹⁸ the method according to Davie¹⁹ and the method according to Lange.²⁰ The method of Li and Mantel assumes complete ascertainment; the method according to Davie assumes single selection and the method according to Lange uses neither assumption, but estimates the segregation fraction through subsequent steps of Expectation and Maximisation (EM algorithm).

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We selected all families reported and reviewed by Leonardi et al^2 and added four more families fulfilling the criteria set forth by them. We accepted the patient described by Wheeler et al²¹ in 1999 as a case of 3C syndrome, as did Zankl et al.²² We also included three more recently described families. One by Hordijk *et al*,²³ one by Garavelli *et al*,²⁴ and one by Papadopoulou *et al*.²⁶ In their presentation Hordijk et al²³ mentioned that the mother was pregnant of a third child. Later inquiry showed this child to be a girl with the 3C syndrome (R Hordijk, personal communication). In total, we included in our analysis 27 families with 34 patients. The pedigrees are shown in Supplementary Figure 1, ordered by publication date. Consanguinity of the parents was reported in two families,^{9,15} one Aboriginal Canadian and one Pakistani. Table 1 summarises the data by family size and number of affected sibs.

Statistical analysis

As in an autosomal recessive disorder in general both sexes have an equal probability of being affected, we checked first the distribution of sexes by χ^2 analysis with Yates correction and one degree of freedom. We then moved on to segregation analysis by means of the three methods mentioned before:

The method of Li-Mantel is based on the assumption of complete ascertainment. This means that all affected children are ascertained independently from their affected siblings. This assumption leads to the following estimation formula:

$$p_{\rm LM} = \frac{(R-J_1)}{(T-J_1)}$$

Here, p_{LM} stands for the segregation ratio, *R* stands for the total number of affected children, J_1 stands for the total number of families with only one affected child and *T* stands for the total number of affected and unaffected offspring. Variance for this estimation is calculated using weighting tables created by Li and Mantel. The tables contain weighted terms representing families of different

 Table 1
 Distribution of families by size and number of affected children

		Number of families by number of sibs				
Affected sibs per family (n)	Families (n)	1	2	3	4	5
1 2 3	22 3 2	8 0 0	9 0 0	1 1 1	2 2 0	2 0 1
Total	27	8	9	3	4	3

sizes. The variance is the reciprocal of the sum of these terms. Standard error was then calculated by taking the square root of the variance.

The method of Davie is based on the assumption of single selection. This means that there is a low probability of ascertainment of affected children so that affected families are ascertained once at most. This leads to this formula:

$$p_{\rm D} = \frac{(R-J)}{(T-J)}$$

Here, p_D stands for the segregation ratio, R again stands for the total number of affected children, J stands for the total number of families and T again stands for the total number of offspring. The variance is calculated using the following formula:

$$\operatorname{var} p_{\mathrm{D}} = \frac{(R - J)(T - R)}{(T - J)^3}$$

Standard error is calculated by taking the square root of this variance.

The method of Lange uses an EM algorithm to estimate the segregation proportion. EM algorithms use the observed data to estimate the missing data. In the case of segregation analysis the observed data consists of the families found in the publications and the missing data being both the segregation ratio ($p_{\rm EM}$) and the ascertainment probability ($\pi_{\rm EM}$). Lange adjusted the EM algorithm to the use for segregation analysis. Yielding the following formulae:

$$p_{m+1} = \frac{\sum \left[r_k + \frac{s_k p_m (1 - \pi_m) (1 - p_m \pi_m)^{s_k - 1}}{1 - (1 - p_m \pi_m)^{s_k}} \right]}{\sum s_k \left[1 + \frac{(1 - p_m \pi_m)^{s_k}}{1 - (1 - p_m \pi_m)^{s_k}} \right]}$$
$$\pi_{m+1} = \frac{\sum a_k}{\sum \left[r_k + \frac{s_k p_m (1 - \pi_m) (1 - p_m \pi_m)^{s_k - 1}}{1 - (1 - p_m \pi_m)^{s_k}} \right]}$$

In these formulae:

- *a_k* stands for the number of ascertained children in family *k*,
- p_m stands for the estimated segregation ratio after going through the algorithm *m* times,
- π_m stands for the estimated ascertainment probability after going through the algorithm *m* times,
- *r_k* stands for the number of affected children in family *k*,
- s_k stands for the number of children in children in family *k*.

The starting values for p and π can be any number between one and zero. After a number of steps p no longer changes significantly. After an infinite steps π will become 0 since the algorithm continually increases the test population and therefore the number of affected children. 1056

With only a limited number of children found and infinite number of children affected the chance of finding an affected child goes to zero.

Bootstrapping was used to obtain the 95% probability interval for the Davie estimate. This method of getting a probability interval was described by Efron and Tibshirani.²⁵

Bootstrapping implies that from the original test group new test groups (typically 1000) are created, all of which have the same number of families as the original group but the families are taken randomly from the original test group. For each new group the segregation ratio is calculated. From these results the 95% probability interval is calculated.

Results

The male to female ratio of the affected patients in the pedigrees was 11:23. This is not significantly different from a 1:1 ratio ($\chi^2 = 3.56$; *P* > 0.05).

The results of the segregation analysis were as follows:

The estimated segregation proportion with (between brackets) the lower and upper boundaries of the estimates were: for the method of Li and Mantel: 0.27 (0.11-0.44); for the method of Davie 0.18 (0.06-0.30) and for the method of Lange 0.18 (0.05-0.32).

Discussion

The three methods of segregation analysis are unanimous in the inclusion of 25%, meaning that the data are compatible with the hypothesis of autosomal recessive inheritance. The three methods also agree on rather broad confidence intervals. No doubt this is a reflection of the limited number of families that could be studied. It means, however, that the argument in favour of autosomal recessive inheritance, based on our segregation analysis, should not be overemphasised, as long as other mechanisms such as a 6p deletion have not been excluded. This was, of course, not possible as our study was restricted to published families. In the future reporting of 3C syndrome cases without assessment of 6p copy number should be discouraged. Repetition of segregation analysis when more families are reported in which 6p deletions are excluded, is indicated, until molecular proof of its aetiology for all cases of 3C syndrome can be found.

A third agreement of all three methods should be mentioned for the sake of completeness. All exclude a segregation ratio of 50%, meaning that the data are not compatible with autosomal dominant inheritance with high penetrance. This is not surprising since only affected siblings, and no other affected family members have been reported. The presence of consanguinity in two families also supports the assumption of recessive inheritance. Consanguinity, however, is no exception in the communities to which these families belonged,^{27,28} decreasing the strength of this argument.

In comparing the estimates and confidence intervals of the different methods it seems that the results of applying the method of Davie and the method of Lange agree very well, and that the result of applying the method of Li and Mantel is different. This is not unexpected since the method of Li and Mantel assumes complete ascertainment, which is a very unlikely assumption in a study based on families reported in literature.

Our data do not point to substantial genetic heterogeneity so far, but cannot exclude this either. We also cannot be sure that the families reported in the literature are representative for all other families with the 3C syndrome. It is even not unlikely that there is a reporting bias towards familial cases. On the other hand, many of the reported families have only one child and it is not known whether the parents had more children after publication of the family data.

In conclusion, the hypothesis of autosomal recessive inheritance of 3C syndrome cannot be rejected on the basis of segregation analysis at this moment. In the future reporting of 3C cases without assessment of 6p copy number should be discouraged.

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