

## Review

# Receptors that mediate cellular dependence

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## Abstract

Cells depend for their survival on stimulation by trophic factors and other prosurvival signals, the withdrawal of which induces apoptosis, both via the loss of antiapoptotic signaling and the activation of proapoptotic signaling via specific receptors. These receptors, dubbed dependence receptors, activate apoptotic pathways following the withdrawal of trophic factors and other supportive stimuli. Such receptors may feature in developmental cell death, carcinogenesis (including metastasis), neurodegeneration, and possibly subapoptotic events such as neurite retraction and somal atrophy. Mechanistic studies of dependence receptors suggest that these receptors form ligand-dependent complexes that include specific caspases. Complex formation in the absence of ligand leads to caspase activation by a mechanism that is typically dependent on caspase cleavage of the receptor itself, releasing proapoptotic peptides. Cellular dependence receptors, considered in the aggregate, may thus form a system of molecular integration, analogous to the electrical integration system provided by dendritic arbors in the nervous system.

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**Keywords:** programmed cell death; anoikis; caspase; neurotrophin; negative signal transduction

**Abbreviations:** DCC, deleted in colorectal cancer; LOH, loss of heterozygosity; NGF, nerve growth factor; PCD, programmed cell death; RTK, receptor tyrosine kinase; TrkA, tyrosine receptor kinase A; Unc5, uncoordinated gene 5

## Introduction

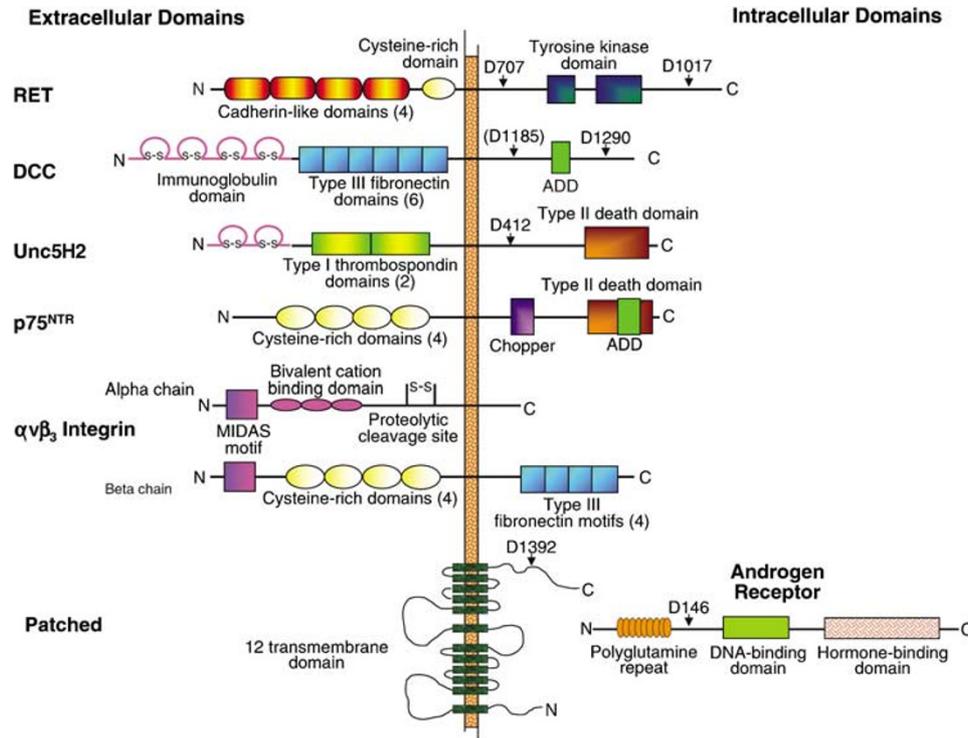
Cells depend for their survival on stimulation mediated by various receptors and sensors. For example, some cells respond to the loss of extracellular matrix adhesion by undergoing anoikis ('homelessness'),<sup>1</sup> that is, programmed

cell death (PCD) initiated by a loss of adhesion. Other cells may undergo PCD following the withdrawal of trophic factors (e.g., the neurotrophins), cytokines, hormonal support, electrical activity, or other stimuli.<sup>2</sup>

Depending on the cell type and its state of differentiation, cells require different supportive stimuli for survival. For example, prostate epithelial cells may require testosterone for survival, and for such cells the withdrawal of testosterone leads to apoptosis. Therefore, prostate neoplasms are often treated by withdrawing testosterone because this induces apoptosis, and thus tumor shrinkage; unfortunately, the few remaining cells that are androgen independent typically repopulate the tumors, and therefore alternative therapy is required.

For any given required stimulus, withdrawal leads to PCD; that is, the loss of trophic support somehow triggers an active process of cell suicide. It is of both theoretical and practical importance to understand how cells 'recognize' a lack of supportive stimuli and activate PCD in response to the withdrawal of such stimuli. For years it was generally assumed that cells dying as a result of the withdrawal of required stimuli do so because of the loss of a positive survival signal. For example, nerve growth factor (NGF) is a trophic factor for certain sympathetic neurons and sensory neurons (as well as some other populations of neurons). Its trophic effect is mediated by dimerization of the receptor tyrosine kinase (RTK) TrkA, autophosphorylation, and downstream signaling, including PI3 kinase and Akt phosphorylation.<sup>3</sup> Although such positive survival signals are clearly extremely important, data obtained over the past 10 years argue for a complementary and novel form of signal transduction that is proapoptotic, and is activated or propagated by stimulus withdrawal.<sup>4–16</sup> Moreover, whereas positive survival signals – such as those mediated by RTKs that bind trophic factors – involve classical signal transduction (i.e., ligand–receptor interaction initiates the signal), negative survival signals (i.e., ligand withdrawal initiates the signal), such as those mediated by the netrin-1 receptors, deleted in colorectal cancer (DCC) and uncoordinated gene 5 (Unc5)H1–3 (see below for a more detailed description), involve nonclassical signal transduction, in which typically the unbound receptor (or possibly the receptor bound by a hypothetical 'antitrophin') is activated to induce cell death by proteolytic processing, generating proapoptotic fragments (Figure 1). In this latter case, ligand binding blocks the proapoptotic effect of the fragments, at least in some cases by inhibiting the proteolytic processing of the receptor.<sup>2,10</sup> This form of signal transduction has been dubbed *negative signal transduction*.<sup>2</sup>

Cellular dependence on trophic influences for their antiapoptotic effect is mediated, at least in part, by specific 'dependence receptors' or 'addiction receptors.' These receptors induce apoptosis in the absence of the required stimulus (when unoccupied by a trophic ligand, or possibly when bound by a competing 'antitrophin'), but block apoptosis following binding of their respective ligands.<sup>2,4,5</sup> Expression of these dependence receptors creates cellular states of dependence



**Figure 1** Schematic representation of some dependence receptors. Modified from Bredeesen *et al.*<sup>15</sup>

on the associated trophic ligands. These states are not absolute, since they can be blocked downstream in some cases by the expression of antiapoptotic genes such as *bcl-2* or *p35*,<sup>2,13,17</sup> however, they result in a shift of the apoptat<sup>18,19</sup> – that is, the probability that a given cell will undergo apoptosis – toward an increased likelihood of undergoing apoptosis.

It should be pointed out that the terms ‘dependence receptor’ and ‘addiction receptor,’ although used interchangeably here (since it is not yet clear whether these receptors serve both functions, or whether specific ones mediate cellular dependent states and others mediate cellular addictive states), are not identical: by definition, addiction requires previous exposure, whereas dependence does not. *In vivo*, it is likely that at least some of these receptors are expressed following initial cellular exposure to their associated ligands, which would make them addiction receptors; however, in cell culture experiments, the expression of these receptors in cells not previously exposed to the ligands in question may lead to apoptosis induction, and therefore create cellular states of dependence.<sup>2,4,9,10</sup> Functionally, therefore, these receptors may be dependence receptors, but physiologically they may be either addiction receptors or dependence receptors or both; hence, here the terms are used interchangeably.

## Discussion

### DCC: Initiating a third class of tumor-associated genes?

Vogelstein *et al.* have shown that the development of colorectal carcinoma from normal colorectal epithelium is

associated with the mutation of a specific set of genes.<sup>20</sup> Over 70% of primary colorectal neoplasms display allelic deletions, resulting in a loss of heterozygosity (LOH) on chromosome 18q.<sup>20</sup> This observation prompted a search for a tumor suppressor gene located in this region, which resulted in the cloning of a putative cell-surface receptor, DCC.<sup>20</sup> DCC expression is absent or markedly reduced in over 50% of colorectal tumors, and in multiple other tumor types, such as gastric carcinoma, pancreatic carcinoma, esophageal carcinoma, prostatic carcinoma, carcinoma of the bladder, carcinoma of the breast, male germ cell tumors, neuroblastomas, gliomas, and some leukemias.<sup>21,22</sup> Since the initial description, however, DCC has been an ‘odd’ tumor suppressor candidate: although *in vitro* studies have supported DCC’s potential role as a tumor suppressor gene,<sup>23–27</sup> point mutations have rarely been identified in DCC coding sequences,<sup>28</sup> mice heterozygous for DCC-inactivating mutations<sup>29</sup> do not display the expected tumor predisposition phenotype; and other known and candidate tumor suppressor genes<sup>30</sup> have been identified in the same region of chromosome 18q as DCC. Reconciliation of these apparently paradoxical data has been suggested by recent work from Mazelin *et al.*,<sup>31</sup> who found that a netrin receptor (or receptors) functions not as a classical tumor suppressor but rather as a conditional tumor suppressor. In other words, unlike a classical tumor suppressor such as Rb, the suppressive effect of the netrin receptor is reversed by the presence of high concentrations of netrin.

The DCC gene encodes a 1447 amino-acid type I transmembrane protein with a relative molecular mass of approximately 200 kDa. It displays homology in its extra-

cellular domain with cell adhesion molecules,<sup>21</sup> suggesting a role in cell–cell or cell–matrix interactions.<sup>32</sup> However, DCC-mediated cell aggregation has not been clearly proven.<sup>33</sup>

In addition to its putative role in neoplasia, DCC also mediates axon guidance and cell survival during neural development. As the nervous system develops, migrating axons and cells receive guidance cues that originate from several sources, such as members of the netrin, semaphorin, ephrin, and slit protein families.<sup>34,35</sup> Tessier-Lavigne and his collaborators have demonstrated that DCC functions as a component of a receptor complex that mediates the effects of the axonal guidance molecule netrin-1.<sup>36–38</sup> The role of DCC in mediating growth cone extension has been supported by the analysis of DCC-null mice, which display abnormal development of the central nervous system.<sup>29</sup>

The relationship between the initially proposed role of DCC as a tumor suppressor and the ability of DCC to bind netrin-1 and mediate axon guidance was initially unclear. This dual role in neural development and neoplasia has turned out to be a common feature of dependence receptors (see below). Indeed, DCC was shown to act as a dependence receptor, that is, its expression creates a state of cellular dependence on its trophic ligand, netrin-1: its expression was shown to induce apoptosis in the absence of netrin-1,<sup>36–38</sup> but to manifest an antiapoptotic effect when bound by netrin-1.<sup>9,39</sup> Site-directed mutagenesis identified residues 1243–1264 in the intracytoplasmic region of DCC as required for apoptosis induction. Expression of this dependence domain was sufficient for apoptosis induction. It is noteworthy that the dependence domain of DCC displays no similarity to the dependence domains of other dependence receptors at the level of primary structure or predicted secondary structure.

During studies of the proapoptotic effect of DCC, it was noted that DCC is a caspase substrate, with cleavage occurring primarily at Asp1290, just distal to the dependence domain.<sup>9</sup> Point mutation of this caspase site completely suppressed the proapoptotic effect of DCC, resulting in a modestly antiapoptotic effect of the mutant.<sup>9,13</sup>

Functionally, therefore, DCC may amplify cellular caspase activity in the absence of ligand, via exposure of a proapoptotic domain lying in the amino-terminal region of the intracellular domain, proximal to Asp1290. Whether DCC may also initiate apoptosis – as opposed to functioning simply as an amplifier – is a subject of current studies. DCC was shown to induce apoptosis by a mechanism independent of Apaf-1 and cytochrome *c*, yet dependent on caspase-9 (and not on caspase-8), suggesting that DCC may induce or amplify an apoptotic signal via a novel pathway.<sup>13</sup> The requirements for caspase activation by DCC and other dependence receptors are being defined (see below), but temporally involve caspase recruitment followed by activation, followed by receptor cleavage.

A search for interactors of DCC revealed caspase-3 and -9, as well as DCC-interacting protein 13 $\alpha$  (DIP13 $\alpha$ ), to interact (directly or indirectly) with DCC.<sup>39</sup> The caspase interactions suggested a conformational dependence, in that caspase-9 interacted with DCC (or a DCC-containing complex) in the absence of ligand, whereas no such interaction was detected in the presence of ligand, or when DCC was mutated at Asp1290. In a complementary fashion, caspase-3 was found

to interact with DCC in the presence of ligand (and also with the Asp1290→Asn mutant), but not in the absence of ligand.<sup>13</sup> These results suggest that DCC may assume more than one conformation, depending on the presence of ligand binding. The interaction of DCC with both caspase-3 and -9 (albeit under different conditions) is reminiscent of the apoptosome complex that catalyzes caspase-3 activation.<sup>40</sup> *In vitro* studies supported the hypothesis that DCC also initiates a caspase-activating complex; however, the precise mechanism involved, and the identification of the molecular components of the complex, are as yet undefined.

In addition to caspase-3 and -9, DCC was also found to interact with a protein dubbed DIP13 $\alpha$ .<sup>39</sup> Based on several observations, DIP13 $\alpha$  appears to be an excellent candidate as a mediator of DCC-induced apoptosis. First, DIP13 $\alpha$  was shown to interact with the dependence domain of DCC, that is, the domain required for apoptosis induction following ligand withdrawal (residues 1243–1264). Second, DCC–DIP13 $\alpha$  co-expression was found to increase apoptosis induction over that observed with DCC alone. Third, small interfering RNA (siRNA) directed against DIP13 $\alpha$  inhibited DCC-induced apoptosis.<sup>39</sup> The mechanism by which DIP13 $\alpha$  mediates the DCC-induced proapoptotic effect is unknown.

The A2b purinoceptor, which is a G-protein-coupled receptor,<sup>11</sup> has been found to serve as a co-receptor for netrin-1 with DCC. These co-receptors mediate neurite outgrowth and cAMP production induced by netrin-1.<sup>41,42</sup> DCC also interacts with other guidance-related receptors such as Unc5H (see below)<sup>43</sup> and Robo,<sup>38</sup> but whether or not these interactions mediate or modulate any of the proapoptotic effects of DCC is not yet known.

DCC thus functions as a dependence receptor – inducing apoptosis following ligand withdrawal, but suppressing this effect (and actually supporting survival) in the presence of appropriate concentrations of its ligand, netrin-1. As has been noted for other dependence receptors, DCC exerts effects on both neoplasia and neural development. With respect to neural development, DCC may mediate developmental neuronal apoptosis and neurite outgrowth. Results to date suggest that netrin-1 not only mediates chemoattractive and chemorepulsive axonal effects, but also functions as a survival factor during the process of neuronal guidance. Supporting this notion, DCC-expressing olivary neurons that normally migrate through a netrin-1-dependent mechanism undergo apoptosis in the netrin-1 null mice at the time when migration would otherwise be initiated.<sup>12</sup> Similarly, developing commissural neurons require netrin-1 for their survival in *ex vivo* experiments.<sup>13</sup>

On the other hand, with respect to neoplasia, DCC may potentially function as a ‘metastasis suppressor’ by inducing apoptosis when ligand is limited by metastasis or growth beyond local ligand availability. However, *in vivo* experiments will be required to validate this hypothesis.

Finally, a receptor closely related to DCC is neogenin, which demonstrates 50% amino-acid identity with DCC. Perhaps somewhat surprisingly, given this similarity, neogenin appears to prefer a different ligand than DCC – rather than netrin-1, neogenin binds repulsive guidance molecule (RGM) with high affinity.<sup>44</sup> The RGM–neogenin pair mediates axonal retraction, but RGM–neogenin also appear to function in

cellular dependence. In the developing neural tube, downregulation of RGM or overexpression of neogenin led to apoptosis.<sup>44</sup> Furthermore, just as for DCC, neogenin displays a caspase cleavage site in its intracytoplasmic domain. However, unlike for DCC, LOH in the neogenin genomic region (15q22) does not appear to be a frequent phenomenon in malignancies.<sup>45</sup>

### Unc5H2: Conditioning the response to the guardian, p53

Netrin-1 not only binds DCC but also binds another family of receptors – the Unc5 family. These family members include the *Caenorhabditis elegans* protein Unc5 and four mammalian orthologues, Unc5H1–4 (Unc5 A–D in humans). Based on genetic screens, Unc6 (the worm ortholog for netrin-1) was linked to both Unc-40 – the ortholog of DCC – and Unc5. It was therefore proposed that the mammalian Unc5H1–3 may function as netrin-1 receptors.<sup>46,47</sup> Subsequent studies suggested that DCC/Unc-40 mediates the chemoattractive effect of netrin-1, whereas Unc5H is involved with the chemorepulsive effect of netrin-1, as an interactor of DCC within the intracytoplasmic region.<sup>43</sup> This notion of attraction/repulsion, however, did not explain settings in which netrin-1 appears to serve as a chemoattractant for axons that do not express DCC, or in which neurons that express both DCC and Unc5H nonetheless undergo attraction to netrin-1 rather than repulsion by it.

Unc5H1–3 have also been shown to function as dependence receptors.<sup>12</sup> Just as for DCC, these proteins display a caspase cleavage site in their intracytoplasmic domains, and mutation of this site prevents apoptosis induction. Furthermore, also as for DCC, netrin-1 null mice display an increase in developmental neural apoptosis in cells expressing Unc5H.<sup>12</sup> Unlike DCC, however, Unc5H proteins display a classical death domain in the carboxyterminal region. Also contrasting with DCC is the caspase site, which is typical in Unc5H (DXXD), but atypical in DCC (LSVD, with R in the P1' position, which is also atypical).

The Unc5H death domain is somewhat similar to the p75<sup>NTR</sup> death domain, and, although not clearly classified as a type I or type II death domain, it is more similar to the type II death domain of p75<sup>NTR</sup> than to the type I death domains of Fas and TNFR I.<sup>12</sup> The Unc5H death domain is required for apoptosis induction – thus it functions as a dependence domain – and this effect requires membrane targeting. The caspase cleavage requirement for the proapoptotic effect of Unc5H suggests the possibility of a conformational change following cleavage.

The mediation of cell death by Unc5H1–3 appears to involve multiple mechanisms, potentially varying among family members. Unc5H1 interacts, through its ZU5 domain, with the NRAGE protein, and mediates apoptosis via NRAGE.<sup>48</sup> This finding is reminiscent of what was observed for the common neurotrophin receptor, p75<sup>NTR</sup>.<sup>49</sup> On the other hand, Unc5H2 and 3 do not interact with NRAGE, and appear to require their death domains to induce apoptosis.

The findings that DCC and Unc5H1–3 all induce apoptosis that is suppressed by netrin-1 lends further support to the

notion that netrin-1 serves, at least in part, as a survival factor during neural development. Beyond neural development, however, since both netrin-1 and Unc5H are also expressed in the adult, it was hypothesized that, like DCC, Unc5H1–3 may also play roles as conditional tumor suppressors that would induce apoptosis in locations of limiting netrin-1 concentration, such as may occur with metastasis or growth beyond local ligand support. Compatible with this hypothesis, it was observed that, just as for DCC, the expression of Unc5A–C is decreased in multiple neoplasms, including colorectal tumors and those of the breast, ovary, uterus, stomach, lung, and kidney. In colorectal tumors, this downregulation is associated with LOH occurring within *Unc5H* genes, but may also be partially related to epigenetic processes such as methylation.<sup>50</sup> Such a decrease in expression of Unc5H may be one mechanism for neoplastic growth or metastasis, since the expression of Unc5H1, 2, or 3 inhibits tumor cell anchorage-independent growth and invasion *in vitro*. Furthermore, the abrogation of these hallmarks of malignant transformation by Unc5H1–3 can be reversed by netrin-1 addition or by apoptosis inhibition, providing further support for the notion that Unc5H1–3 may function as dependence receptors and conditional tumor suppressors.<sup>50</sup>

An interesting extension of the notion of conditional tumor suppression was provided by the finding that p53-induced apoptosis is also dependent on extracellular signaling, specifically on netrin-1.<sup>34</sup> In that study, Tanikawa *et al.*<sup>51</sup> reported that Unc5B is a direct transcriptional target of p53. Surprisingly, netrin-1 was indeed found to block p53-induced apoptosis; moreover, the inhibition of Unc5B blocked p53-induced apoptosis. Thus, Unc5B expression was required for induction of apoptosis by p53.<sup>51</sup> This finding suggests that p53 is, itself, a conditional tumor suppressor. The interplay between p53, Unc5B, and netrin-1 suggests that the output signal of p53 activity (e.g., cell death induction or cell cycle inhibition) may depend on the presence or absence of the netrin-1–Unc5B interaction. Thus, p53 expression may create a cellular state of dependence on netrin-1 (and, by extrapolation, perhaps on other trophic factors or supportive stimuli): expressing cells would be forced into a choice, in which trophic support would spare the cells PCD, but a lack of trophic support (which may be tolerated in cells with minimal or no dependence receptor expression (here, Unc5B, but potentially also other such receptors)) would prove lethal following p53-induced upregulation of dependence receptors such as Unc5B.

### Rearranged during transfection (RET): one receptor, two diseases

The RET proto-oncogene is a type I transmembrane protein that displays an extracellular ligand-binding domain, a transmembrane domain, and an intracytoplasmic tyrosine kinase domain similar to other RTKs.<sup>52</sup> In addition, RET displays a cadherin-like domain extracellularly, suggesting that it may function in cell–cell interaction.<sup>53</sup>

Just as for the other dependence receptors, RET has been shown to play a role in both neural development and neoplasia: RET forms part of the receptor complex for

glial-derived neurotrophic factor (GDNF) and its related trophic factors neurturin, artemin, and persephin.<sup>54</sup> These four trophic factors are similar to members of the transforming growth factor- $\beta$  (TGF- $\beta$ ) family. The receptor complexes include RET and various glycosylphosphatidylinositol (GPI)-anchored proteins that are required for RET dimerization; these GPI-anchored co-receptors include GFR $\alpha$ -1, 2, 3, and 4.<sup>55</sup> RET and GFR $\alpha$ -1 transduce a GDNF signal that plays a role in the development of the enteric nervous system and the kidney; thus, null mutations in GDNF, RET, and GFR $\alpha$ -1 display similar phenotypes.<sup>56–58</sup>

Ligand binding to the RET complex triggers RET autophosphorylation, followed by interaction with effectors that include phospholipase C $\gamma$ , Shc, Enigma, Grb2, Grb7/Grb10, Src kinase, and Ras-GAP, and resultant downstream signaling.<sup>59–61</sup>

Mutations in the RET proto-oncogene may be associated with neoplastic disease or with a neural developmental disease. Multiple endocrine neoplasia type 2 (MEN-2) occurs in association with one set of RET mutations,<sup>62</sup> whereas Hirschsprung syndrome occurs in association with a different set of RET mutations.<sup>63</sup> MEN-2 is a neoplastic syndrome that includes the development of tumors associated with endocrine organs: tumors of the parathyroid (parathyroid adenomas), adrenal gland (pheochromocytomas), and medullary thyroid carcinomas. Hirschsprung syndrome is a relatively common (one in 5000 live births) neural developmental syndrome in which neural crest-derived parasympathetic neurons of the hindgut are congenitally absent, resulting in a loss of peristalsis and associated intestinal dilation.

This profile – a combination of neoplastic and developmental neural diseases in association with RET mutations – led Bordeaux *et al.*<sup>11</sup> to evaluate the possibility that RET may function as a dependence receptor. They reported that the expression of RET in the absence of GDNF induced apoptosis, whereas GDNF blocked this effect. Furthermore, RET was found to be a caspase substrate, with caspase cleavage sites at residues 708 and 1017. Cleavage at both sites was found to be required for apoptosis induction by RET, and the dependence domain was shown to lie between these two sites. This domain did not, however, display similarity to the dependence domains of other dependence receptors.

Interestingly, the disease-associated RET mutants could be divided into two clearly distinct groups: those associated with neoplasia displayed an antiapoptotic effect irrespective of ligand presence, whereas those associated with Hirschsprung syndrome demonstrated constitutive proapoptotic activity, again irrespective of ligand.<sup>11</sup> Thus, both the proliferative and the developmental syndromes appear to result from mutations that result in a loss of ligand response to the receptor signaling: the ligand-dependent on–off apoptosis ‘switch’ of this dependence receptor is ‘stuck’ in the ‘on’ position for the Hirschsprung-associated mutants, and in the ‘off’ position for the MEN-2-associated mutants. Such findings suggest that the cell culture phenotypes may turn out to be predictive of those *in vivo* by indicating what apoptosis-related effects the receptors will have on their expressing cells, and what modifying effects (if any) the ligands will exert. The results suggest further that Hirschsprung syndrome may result, at least in part, from apoptosis induction during

development, resulting in the observed lack of ganglionic neurons in the hindgut.

### Patched: Hedging bets on neural tube development

During development, the neural tube is a site of neuronal proliferation, differentiation, and migration, as well as neuronal cell death. These events are regulated in part by ventral structures, the floor plate, and notochord. Among the cues that determine the fates of cells within the developing neural tube is the classic morphogen, sonic hedgehog (SHH), a diffusible molecule whose secretion sets up a ventral-to-dorsal concentration gradient. Recently, Thibert *et al.* proposed that the 12-transmembrane receptor for SHH, Patched (Ptc), functions as a dependence receptor.<sup>64</sup> This hypothesis is compatible with earlier observations made by LeDouarin and colleagues, in which the experimental withdrawal of SHH in chick embryos led to massive cell death of the neuroepithelial cells in the developing neural tube, suggesting that SHH is indeed a survival factor.<sup>65,66</sup> Thibert *et al.* found that, both *in vitro* and *in ovo*, expression of Ptc in settings of SHH absence induces apoptosis. Moreover, as for DCC, RET, UNC5H and the androgen receptor (see below), Ptc is cleaved at a caspase site – for Ptc, this site is Asp1392 – and, just as for these other dependence receptors, such cleavage is required for cell death induction. In the case of Ptc, however, there is no clear evidence yet of the nature of the dependence domain, which appears to lie proximal to the caspase cleavage site.<sup>64</sup>

### The common neurotrophin receptor p75<sup>NTR</sup>: death receptor and dependence receptor

Although p75<sup>NTR</sup> was the first dependence receptor to be described,<sup>6,67</sup> it has remained the most enigmatic, at least in part because it appears to function both as a death receptor and a dependence receptor.<sup>15</sup> Classic experiments by Levi-Montalcini<sup>68</sup> and Hamburger demonstrated the phenomenon of trophic factor dependence: developing neurons pass through a critical phase, at which time typically approximately half of the neurons from various subsets fail to survive. Death of developing neurons may occur in three different morphological patterns: the majority undergoes apoptosis (which has, in the past, also been referred to as type I or nuclear PCD). However, other developing neurons die by one of two alternative morphological patterns: type II, also referred to as autophagic, cell death; or type III, also referred to as cytoplasmic PCD. Most developmental neuronal cell death is apoptotic,<sup>69</sup> and is critically dependent on the availability of neurotrophic factors: supraphysiological concentrations of neurotrophic factors block developmental neuronal apoptosis, whereas neurotrophin withdrawal leads to enhanced apoptosis.

NGF, the first trophic factor identified, binds to two distinct receptors, p75<sup>NTR</sup><sup>70,71</sup> and TrkA.<sup>72–75</sup> TrkA was initially shown to be capable of mediating the described responses to NGF, such as neurite outgrowth and neuronal survival,<sup>73</sup> leaving the role of p75<sup>NTR</sup> unexplained. Subsequent studies

demonstrated that p75<sup>NTR</sup> and TrkA collaborate to produce high-affinity sites for NGF binding, and that p75<sup>NTR</sup> expression enhances the selectivity of neurotrophin binding for specific Trks (TrkA, B, and C;<sup>76</sup>).

However, p75<sup>NTR</sup> also displays Trk-independent effects. p75<sup>NTR</sup> is a member of the tumor necrosis factor receptor superfamily.<sup>77</sup> The relationship between 'death factor' (proapoptotic factor) receptors such as Fas, and p75<sup>NTR</sup>, which binds a trophic factor (which by definition displays antiapoptotic, rather than proapoptotic, effects), was unclear until 1993, when reports began to appear implicating p75<sup>NTR</sup> in neural cell death.<sup>4–6,78–80</sup> Consistent with the notion that p75<sup>NTR</sup> binds a trophic factor rather than a death factor, these reports suggested a novel phenomenon: that the expression of p75<sup>NTR</sup> induced apoptosis when p75<sup>NTR</sup> was unoccupied by NGF, whereas binding of NGF blocked apoptosis.<sup>4–6</sup> This is the reverse of the effect of binding of death factors to death receptors, such as Fas ligand binding to the Fas receptor.<sup>81</sup> One report suggested that a similar phenomenon may occur with another member of the TNFR superfamily, CD40.<sup>82</sup>

These findings suggested that p75<sup>NTR</sup> expression creates a state of cellular dependence on NGF and other neurotrophins (such as brain-derived neurotrophic factor (BDNF), neurotrophin-3 (NT-3) and neurotrophin-4/5 (NT-4/5)). Follow-up studies provided further evidence for this notion: for example, p75-deficient mice were observed to have an increase in cholinergic neurons in the medial septal and diagonal band regions, and hyperinnervation in some areas of the hippocampus.<sup>80,83</sup> NGF hemizygous mice, with reductions in cholinergic cell number and size within the medial septal region, were rescued by crossing with p75<sup>NTR</sup> null mice,<sup>56</sup> restoring somal dimensions and cell number to supranormal (indistinguishable from p75-deficient controls). Thus in the presence of a reduced concentration of NGF, p75<sup>NTR</sup> mediates a reduction in cholinergic neuronal number and size.

The effect of p75<sup>NTR</sup> on cellular neurotrophin dependence has potential implications for both neural and extraneural cellular behaviors: for example, prostate epithelial cells express p75<sup>NTR</sup>, which may tie them to a source of neurotrophin,<sup>84</sup> supplied by the stromal cells. Pflug *et al.*<sup>85</sup> documented a progressive decrease in p75<sup>NTR</sup> expression associated with the development of prostate neoplasia. The re-expression of p75<sup>NTR</sup> in PC3 prostate carcinoma cells restored a state of neurotrophin dependence, resulting in apoptosis if NGF was not supplied.<sup>67</sup> Thus, p75<sup>NTR</sup> may mediate neurotrophin dependence in both neural and extraneural cellular paradigms.

It is not yet clear whether the proapoptotic form of the dependence receptors requires monomerization following trophic factor withdrawal, or if in fact there are competing nontrophic ligands ('antitrophins'). However, studies utilizing FK binding protein chimeras<sup>86</sup> support the notion that receptor monomerization is indeed associated with the proapoptotic state, with dimerization and higher-order multimerization completely suppressing this effect.<sup>67,87</sup> Moreover, Longo *et al.*<sup>88</sup> found that cyclic dimeric peptides that bound p75<sup>NTR</sup> inhibited apoptosis, whereas monomers were completely ineffective. These studies demonstrated that p75<sup>NTR</sup> homomultimerization does not induce apoptosis (at least under the conditions of the reported studies), but did not exclude the

possibility that monomeric p75<sup>NTR</sup> leads to apoptosis by a mechanism requiring heteromultimerization with another receptor, or, alternatively, that monomeric p75<sup>NTR</sup> may undergo an activating modification (e.g., cleavage, phosphorylation, etc.) that leads to multimerization and cell death induction.

Two regions appear to be crucial for the proapoptotic effect of p75<sup>NTR</sup>: the juxtamembrane intracytoplasmic region, dubbed *chopper*,<sup>89</sup> and a region that lies in the fourth and fifth helices of the six-helical death domain. The latter, a 30-amino-acid region, was dubbed a dependence domain because of its requirement for apoptosis induction following the withdrawal of serum or trophic factors.<sup>67</sup> Reversal of the death-inducing effect of p75<sup>NTR</sup> by ligand binding, on the other hand, was shown to require a region at the carboxyterminus, dubbed the neurotrophin response domain.<sup>90,91</sup>

Following the descriptions of apoptosis induction by p75<sup>NTR</sup> in the absence of NGF, studies appeared showing that p75<sup>NTR</sup> may also induce apoptosis following NGF binding, that is, p75<sup>NTR</sup> may also function as a death receptor.<sup>75,78,79</sup> However, despite this apparent functional similarity to Fas and TNFR I, and the similarities in structure of these three proteins, Fas-p75NTR chimeras consisting of the extracellular domain of Fas and the intracellular domain of p75NTR failed to induce apoptosis.<sup>92</sup> Thus, apoptosis induction following NGF binding to p75<sup>NTR</sup> is somehow different from following the binding of death factors to Fas and TNFR I. This may be a Trk-dependent phenomenon, since it has been described almost exclusively in systems in which mismatched Trk members (e.g., Trk B with NGF or Trk A with BDNF) have been expressed.<sup>2,8</sup> It is also possible that the decision between ligand-induced apoptosis and ligand-inhibited apoptosis mediated by p75<sup>NTR</sup> may depend on specific downstream mediators: for example, the interaction of p75<sup>NTR</sup> with NADE induces apoptosis following ligand binding,<sup>93</sup> in contrast, TRAF2 has been shown to interact preferentially with monomeric p75<sup>NTR</sup> and to induce apoptosis in the absence of NGF.<sup>94</sup> Other potential death-mediating p75<sup>NTR</sup> interactors include NRAGE<sup>49</sup> and NRIF.<sup>95</sup> Another proapoptotic transmembrane protein has been identified that has a death domain very similar to that of p75<sup>NTR</sup>, and this protein interacts with p75<sup>NTR</sup>.<sup>96</sup> The protein, dubbed p75<sup>NTR</sup>-like apoptosis-inducing death domain protein (PLAIDD) and also referred to as neurotrophin receptor homologue 2 (NRH2),<sup>97</sup> displays a type II death domain (which is structurally slightly different from the type I death domains displayed by death receptors such as Fas and TNFR I) that is closely related to the type II death domain of p75<sup>NTR</sup>, with 42% identity.<sup>96</sup>

Thus, p75<sup>NTR</sup> appears to function both as a dependence receptor and a death receptor, apparently depending on associated Trk expression, ligand presentation, expression of p75<sup>NTR</sup>-interacting molecules, patent downstream signaling pathways, and probably additional factors. One potential implication of this dual role in cell death is that p75<sup>NTR</sup> may mediate the cellular response to neurotrophin withdrawal through its function as a dependence receptor, whereas it may mediate the cellular response to a mismatched neurotrophin (e.g., exposure of a neuron-expressing TrkB and p75<sup>NTR</sup> to NGF, which binds TrkA and p75<sup>NTR</sup>) via an alternative mechanism, through its function as a death receptor.<sup>2</sup>

Since p75<sup>NTR</sup> may mediate PCD both in response to ligand withdrawal and in response to ligand binding, a model has been suggested in which p75<sup>NTR</sup> serves a 'quality control' function,<sup>15</sup> mediating apoptosis when cells experience a decline in trophic support, the inappropriate trophic support (mismatched for the expressed Trk), unprocessed trophic factor (pro-NGF, which binds p75<sup>NTR</sup> and induces apoptosis via sortilin and p75<sup>NTR</sup>),<sup>98</sup> or the binding of neurotrophin to an inappropriately immature cell.<sup>99</sup> As noted above, p75<sup>NTR</sup> also mediates neurotrophin binding specificity by reducing the promiscuity of neurotrophin–Trk interactions, which is also compatible with the 'quality control' hypothesis.

Subapoptotic events such as neurite retraction and somal atrophy may also be mediated by p75<sup>NTR</sup>, based on transgenic results.<sup>80,84</sup> p75<sup>NTR</sup> has also been shown to be the transducing receptor for Nogo, which binds to a GPI-anchored receptor, the Nogo receptor.<sup>100</sup> In this case, p75<sup>NTR</sup> may be a mediator of neurite retraction. Although the effect of neurotrophin binding to p75 on Nogo signal transduction is not yet clear, the involvement of p75 in neurite retraction suggests the possibility that at least part of the neurotrophic effect on process outgrowth occurs via a block of Nogo-mediated neurite retraction.

### The androgen receptor as a dependence receptor

The androgen receptor is a nuclear/cytosolic steroid receptor that includes an aminoterminal-region polyglutamine stretch, a DNA-binding domain, and a carboxyterminal-region ligand-binding domain. Binding of androgens such as testosterone by the androgen receptor leads to nuclear translocation and transcriptional activity. Gene regulation by the androgen receptor affects widespread processes such as male gonadal development, prostate cellular survival, motor neuron survival, and muscular development, among other effects.

As was noted for RET, mutations in the androgen receptor are associated both with neoplasia and neural cell loss. However, in contrast to the RET-associated developmental loss of neurons in Hirschsprung syndrome, some androgen receptor mutations are associated with a neurodegenerative syndrome, that is, the neurons apparently undergo normal development but degenerate at some point following differentiation (typically during adulthood). This clinical syndrome is referred to as Kennedy's disease, or spinobulbar muscular atrophy (SBMA), and involves the degeneration of motor neurons in the brainstem and spinal cord, resulting in weakness and muscular atrophy. The associated mutations are not point mutants of the androgen receptor, but rather polyglutamine expansion mutations similar to those associated with Huntington's disease, dentatorubropallidoluysian atrophy (DRPLA), and other diseases such as spinocerebellar degenerations. Disease-associated polyglutamine tracts are typically longer than 30 glutamines, whereas those with fewer than 30 glutamines in the polyglutamine tract of the androgen receptor do not develop Kennedy's disease.

The androgen receptor displays a profile similar to that of other dependence receptors: expression induces apoptosis in the absence of ligand, whereas the addition of ligand inhibits the receptor-induced cell death.<sup>10</sup> The androgen receptor is also a caspase substrate, with a cleavage site at Asp146, and,

as for other dependence receptors, mutation of this site results in a marked reduction in the proapoptotic effect of the receptor.<sup>10</sup>

The dependence domain of the androgen receptor spans the polyglutamine region.<sup>10</sup> An expanded polyglutamine domain is associated with an increase in cell death, whereas a polyglutamine-deleted androgen receptor is associated with a decrease in cell death, as compared to the wild-type androgen receptor. However, deletion of the polyglutamine tract did not completely suppress the proapoptotic activity of the androgen receptor, arguing that the proapoptotic dependence domain extends beyond the polyglutamine tract.

These findings suggest that the length of the polyglutamine tract in the androgen receptor correlates with its proapoptotic effect, with short polyglutamine tracts having a lesser proapoptotic effect than the wild type androgen receptor. This may turn out to have important clinical implications: epidemiological studies have shown that men with short polyglutamine stretches ( $\leq 15$  glutamines) in their androgen receptors have a statistically significantly higher likelihood of developing prostate cancer, especially metastatic prostatic cancer.<sup>86,101</sup> Although clearly not by an identical mechanism, this association of neoplasia with a reduction in the proapoptotic effect of a dependence receptor is reminiscent of the finding noted above for RET.

In contrast, an increase in the polyglutamine stretch is associated with the neurodegeneration of Kennedy's disease. Again, analogous to the situation for RET, a neoplastic syndrome is associated with a decrease in dependence, and a syndrome of neuronal loss is associated with an increase in dependence. One dissimilarity between the observations of patients with Hirschsprung syndrome due to RET mutations, and those with Kennedy's disease due to polyglutamine expansion of the androgen receptor: whereas the former is associated with a developmental neuronal loss, the latter is associated with post-developmental neurodegeneration; similarly, there is a distinction in the results of the mutations on the dependence receptor effects. Specifically, Hirschsprung-associated mutants fail to respond to ligand inhibition but have a proapoptotic effect similar to that of the wild type; in contrast, Kennedy's-associated mutants do respond to ligand inhibition but demonstrate an increased overall proapoptotic effect.<sup>10</sup>

### Other dependence receptors

Giancotti and Ruoslahti<sup>102</sup> found that the overexpression of the  $\alpha_5\beta_1$  integrin led to apoptosis and reduced tumor growth *in vitro* and *in vivo*. More recently, Stupack *et al.*<sup>14</sup> proposed that specific integrins, such as the  $\alpha_v\beta_3$  integrin, function as dependence receptors. Expression of unligated integrins, the  $\beta$ -subunit cytoplasmic domain, or the juxtamembrane sequence KLLITIHDRKEF, led to apoptosis induction, associated with the recruitment of a proapoptotic complex that included caspase-8. However, unlike DCC, neogenin, Unc5H1–3, RET, Ptc, and the androgen receptor, no required caspase cleavage site has yet been identified in the intracytoplasmic domain.

The  $\beta$ -amyloid precursor protein (APP) may also function as a dependence receptor.<sup>103</sup> It is cleaved intracytoplasmically at Asp664, releasing a cytotoxic peptide, APP-C31. The

possibility that such an effect may play a role in the pathogenesis of Alzheimer's disease is discussed below.

### Dependence receptors and neurodegeneration: is Alzheimer's disease a state of altered dependence?

A number of proteins associated with neurodegeneration – such as huntingtin, the androgen receptor, atrophin-1, ataxin-3, and APP – have been shown to be caspase substrates. As noted above, the androgen receptor functions as a dependence receptor, with its proapoptotic activity being inhibited by androgen binding and by mutation of the caspase site, Asp146.<sup>10</sup> Furthermore, the proapoptotic effect requires a region that includes the polyglutamine region that is expanded in Kennedy's syndrome (SBMA), a neurodegenerative syndrome that involves motor neurons. These findings raise the question of whether some neurodegeneration-associated proteins demonstrate features of dependence receptors; in other words, are neurodegenerative syndromes states of altered dependence within the nervous system?

Some of the recent findings in Alzheimer's disease are indeed compatible with such a notion: APP is cleaved in its intracytoplasmic region by caspases at Asp664,<sup>104</sup> and this cleavage releases a cytotoxic carboxyterminal fragment, APP-C31.<sup>103</sup> If this caspase cleavage event plays an important role in the pathogenesis of Alzheimer's disease, then the prevention of this cleavage should mitigate the behavioral and pathological alterations associated with the disease. Galvan *et al.*<sup>105</sup> created transgenic mice carrying APP mutations characteristic of familial Alzheimer's disease, added a D664A mutation to prevent C31 formation, and then compared these mice to similar mice lacking the additional D664A mutation. No effect was observed on APP expression, amyloid A $\beta$  production, or the development of senile plaques characteristic of Alzheimer's disease. However, the hippocampal synaptic loss, dentate gyrus atrophy, electrophysiological abnormalities, and neural precursor dysregulation that characterize the Alzheimer's disease phenotype were all prevented by the D664A mutation. To carry the notion of Alzheimer's disease as a state of altered dependence, and APP as a dependence receptor, a step further, would require that the pathological process be inhibited not only by the D664A mutation of APP but also by supplying an APP ligand. APP has been shown to bind laminin,<sup>106</sup> as well as type IV collagen,<sup>107</sup> and it is possible that other ligands will also be identified. It is not yet known whether any APP ligand does indeed mimic the effect of the D664A mutation on Alzheimer's disease pathogenesis. However, one potentially interesting implication of this view of Alzheimer's disease is that it implies that the A $\beta$  peptide that binds to APP and activates cell death via C31 formation<sup>108</sup> functions as an 'antitrophin' – that is, it may potentially interfere with trophic effects of a ligand or ligands such as laminin. This view would be compatible with previous reports that A $\beta$  induces neurite retraction, and with the dramatic effect that Alzheimer's disease has on synapse loss in affected areas.<sup>109,110</sup> As a broad generalization, then, one might suggest that Alzheimer's disease may result from an imbalance reminiscent of that between oncogene function

and tumor suppressor gene function that is associated with neoplasia; in this case, however, it would be between the neurite-retracting (and antitrophic) effects of A $\beta$  and the neurite-extending (and trophic) effects of laminin or other trophic APP ligands.

### Is there a role for dependence receptors in tumor invasion and metastasis?

As the expression of proapoptotic receptors creates a state of cellular dependence on their respective ligands, it has been proposed<sup>9,84</sup> that such receptors tie cells to specific contexts in which the dependent ligands are available. This might conceivably represent a strategy used by the organism to prevent tumor growth beyond specific ligand fields, and thus block metastasis. Indeed, a number of observations support this notion.

For example, the dependence domain of the androgen receptor includes the polyglutamine tract that lies near the receptor's aminoterminal.<sup>10</sup> Longer stretches of glutamines led to a greater proapoptotic effect, and shorter stretches were less effective at apoptosis induction; however, in all cases, testosterone binding inhibited the proapoptotic effect. Deletion of the polyglutamine region destroyed most, but not all, of the proapoptotic effect. These findings suggest that the degree of dependence is a function of the polyglutamine length.

The length of this polyglutamine tract varies from individual to individual. As noted above, individuals with abnormally long polyglutamine tracts in the androgen receptor (typically, >30 glutamines) develop the motor neuron degenerative Kennedy's syndrome.<sup>111</sup> Conversely, individuals with short androgen receptor polyglutamine tracts ( $\leq 16$  glutamines) are at significantly increased risk for the development of metastatic prostate cancer.<sup>86,101</sup>

Similarly, p75<sup>NTR</sup> is expressed by normal prostate epithelial cells, with the ligand source being the prostate stromal cells. Pflug *et al.*<sup>85</sup> demonstrated a progressive decline in p75<sup>NTR</sup> expression accompanying prostate neoplasms, from benign prostatic hypertrophy to carcinoma *in situ*, with cell lines derived from metastatic prostatic carcinomas failing to express p75<sup>NTR</sup>. Re-expression of p75<sup>NTR</sup> in PC3 prostate carcinoma cells returned apoptosis induction in the absence of NGF.<sup>67</sup>

The loss of expression of DCC is associated with invasive and metastatic colorectal carcinomas.<sup>20,28</sup> Ectopic expression of DCC in a tumorigenic keratinocyte cell line lacking endogenous DCC expression was shown to suppress tumorigenic growth of the cells in nude mice.<sup>25</sup> Interestingly, in that study, tumorigenic reversion was associated with loss of DCC expression and loss or rearrangement of the transfected DCC expression vector.<sup>25</sup> Several more recent studies also indicate that restoration of DCC expression can suppress tumorigenic growth properties *in vitro* or in nude mice,<sup>26,27</sup> supporting a role for DCC in tumor suppression. Therefore, one of the roles of DCC may be to induce apoptosis in colorectal cancer cells that grow outside the ligand field.<sup>9</sup> This hypothesis is supported by the recent observation that the Unc5H family of netrin-1 dependence receptors, may also

show similar features to those of DCC – loss of *Unc5H* expression in cancer, LOH in *Unc5H* genes, and suppression of the hallmarks of cell transformation following re-expression of *Unc5H* in a colorectal cancer cell line.<sup>50</sup> Complementing these results is the recent finding that transgenic mice overexpressing netrin-1 show an increased propensity to tumor formation, and an increased likelihood of malignant progression when combined with a second ‘hit’ provided by a common APC mutation.<sup>31</sup>

These results suggest that dependence receptors may play a novel role in tumorigenesis, as conditional tumor suppressors; in this role, they may function to suppress or support neoplasia, depending on their local environment.

### A role for dependence receptors in nervous system development

As originally pointed out by Levi-Montalcini<sup>68</sup> for the first trophic factor described – NGF – trophic influences play major roles in the developing nervous system, and the inhibition of PCD is one of their many important effects. One mechanism by which trophic factors inhibit PCD in the developing nervous system is by binding to dependence receptors, blocking their induction of apoptosis. As described above, ligand–receptor pairs that play a role in neural development include SHH–Ptc, netrin–DCC, netrin–*Unc5H1–3*, GDNF–RET, NGF/BDNF/NT-3/NT4/5–p75<sup>NTR</sup>, and dependence receptors may potentially exist for many other trophic systems.

DCC, *Unc5H*, and netrin are involved in axon outgrowth and turning. Netrin and DCC-null mice both support a role for this pair in the development of numerous commissural projections.<sup>29,37</sup> However, in addition to pathfinding, netrin-1 mediates survival: pontine cells and olivary neurons in the netrin-1 knockout mutant mice were not simply incorrectly targeted, but were lacking,<sup>112,113</sup> probably due to premature developmental neuronal death.<sup>12</sup> Indeed, Llambi *et al.*<sup>12</sup> demonstrated increased cell death at the level of olivary neuron precursors that express DCC and *Unc5H*. These data support the view that netrin-1 acts both as a survival factor and a guidance factor.

One of the predictions that stems from the dependence receptor hypothesis is that, whereas transgenic mice null for a given trophic ligand should demonstrate an increase in PCD in cells expressing the associated dependence receptor, mice null for the receptor may display a decrease in cell death (if ligand is normally limiting), an increase in cell death (if ligand is abundant), or neither, depending on whether the dominant effect of the receptor during development is proapoptotic or antiapoptotic. On the other hand, when crossed with the ligand-hemizygous mice, which are more likely to express subphysiological concentrations of the ligand in question, deleting the dependence receptor should result in a restoration of at least some of the cell survival lost by the decrease in trophic factor. This appears to be the case for the netrin–DCC pair, in which the DCC nulls display a much less pronounced olivary neuronal cell death than the netrin nulls (E Bloch-Gallego, personal communication). Thus, the presence of netrin-1 along the pathway of migration may be important not only to attract or repel axons or neurons but also as a key to

support survival of these neurons. Beyond neuronal survival, netrin-1 availability may also contribute to physiological cell turnover, since in the intestine it is expressed in the crypts but not at the tips of the villi, where cells turn over following migration from the crypts.<sup>50</sup>

RET is expressed in the migratory neural crest cells that colonize the enteric nervous system, and RET plays a critical role in this migration, since the loss of function of RET or its ligand GDNF by gene inactivation in mice results in aganglionosis.<sup>114</sup> However, a similar pathological state in humans – Hirschsprung disease – is not simply due to the loss of a trophic function mediated by RET, since some RET mutations associated with Hirschsprung disease do not interfere with trophic function (at least with the trophic function mediated by tyrosine kinase-related signaling).<sup>115</sup> Therefore, it has been hypothesized that Hirschsprung disease is not simply due to an aberrant or reduced migration of neural crest cells but also due to their death.<sup>11</sup> This observation is supported by work from Bordeaux *et al.* (noted above), who observed that five Hirschsprung-associated mutations convert RET’s cell death-inducing profile from that of a typical dependence receptor to that of a constitutive proapoptotic molecule – that is, cell death is induced irrespective of ligand (here, GDNF).<sup>11</sup> Thus, neural crest cell migration appears to be dictated by both positive signaling and by an inhibition of cell death induction by RET, the latter of which may serve to restrict cell migration to the region of adequate ligand availability.

Ptc, and its ligand, SHH, are crucial for determining cell fate during development, and in particular during early development of the neural tube.<sup>116</sup> A putative ventro-dorsal gradient of SHH is produced by the floor plate and the notochord, allowing cell fate determination/differentiation of the ventral neurons of the neural tube. LeDouarin and colleagues have demonstrated that SHH specifies not only the differentiation of these cells, but also their survival, since the withdrawal of SHH leads to widespread PCD in the neural tube. Thibert *et al.* found that blocking Ptc-induced cell death during chick neural development inhibits the PCD occurring in a neural tube from which SHH has been withdrawn. Surprisingly, the introduction of a dominant-negative Ptc actually revealed the development of a neural tube-like structure despite the lack of SHH. Clearly, a more detailed study of the differentiation profile of the neuroepithelial cells in this rescued neural tube would be of interest; nonetheless, this initial result argues for a role of cell death not simply as a consequence of aberrant or inadequate differentiation but also as an active process that shapes the neural tube. It also supports a role for the dependence receptor Ptc in regulating cell fate during neural tube development. Interestingly, a recent study by Tessier-Lavigne and colleagues suggested that SHH – like DCC, *UNC5H*, and RET – may function as a guidance cue for specific (here, commissural) neurons via Ptc.<sup>117</sup>

Other dependence receptors may also turn out to be involved with neuronal migration or axonal guidance. Indeed, p75<sup>NTR</sup> was found to be a co-receptor for Nogo (along with the Nogo receptor), and as such p75<sup>NTR</sup> may be involved in the retraction of axons.<sup>100,118</sup> However, whether the proapoptotic activity of p75<sup>NTR</sup> is modulated by Nogo (or the Nogo receptor) is unknown. Similarly, integrins and their ligands (e.g.,

laminin) are likely to be involved in axonal guidance.<sup>119,120</sup> However, the proapoptotic role of the  $\beta$ -integrin in the absence of ligand has not been explored during neuronal migration or axonal guidance.

## Conclusions

### Potential mechanisms of environment-dependent apoptotic switching by dependence receptors

The receptors that create cellular dependence, when considered as a group, are relatively dissimilar at the level of amino-acid sequence, three-dimensional structure (in cases in which structure has been determined), and functional domains. Some, such as UNC5H1–3, display death domains, while most do not; most are type I transmembrane proteins, but Ptc and the androgen receptor are not. Most (and potentially all) display intracytoplasmic caspase cleavage sites, but this feature does not distinguish the group from many other proteins. One region of similarity between all of the dependence receptors is a region christened the DART domain (del Rio *et al.*, unpublished data), but the function of this stretch is as yet unknown. On the other hand, all seem to share the functional similarity of apoptosis induction that is blocked by ligand binding. How is this achieved by a group of such disparate proteins?

One proposed mode features (at least) two distinct pathways mediated by these receptors: a classical signal transduction pathway that leads to signals that include an antiapoptotic response, and a nonclassical signal transduction pathway that is initiated by ligand withdrawal and results in a proapoptotic signal. In the absence of ligand, most if not all dependence receptors undergo proteolytic processing, generating stable peptides.<sup>9,10</sup> In at least some cases (e.g., the androgen receptor), processing is blocked when the receptors are ligand bound.

In the model proposed, the presence or absence of ligand determines the signal transduction pathway that will be utilized, and feedback loops create two stable states – full apoptosis induction or complete suppression – rather than supporting intermediate states.

In the absence of ligand, it is proposed that caspase cleavage leads to the production of contingency peptides, at least one of which includes a dependence domain. It is possible that the cleavage event may also lead to a change in secondary structure that allows presentation of the dependence domain.<sup>13</sup>

The proapoptotic peptides that are produced then, by definition of their proapoptotic activity, lead directly or indirectly to the processing of caspase zymogens to active caspases. For DCC, caspase-3 and -9 were found to interact with the intracellular domain of DCC. Similarly, caspase-8 was found to interact with  $\beta$ -integrin. However, *in vitro*, the presence of DCC, caspase-9, and -3 was not sufficient to induce caspase activation unless a cell lysate was added. This would suggest that intermediates are probably necessary to allow caspase activation.<sup>13</sup> The pathway invoked by DCC required caspase-9 but not cytochrome *c*.<sup>13</sup> For APP, it has been proposed that cleavage at D664 reveals a Smac/DIABLO-like activity requiring the amino-terminus of the

APP-C31 peptide.<sup>121</sup> Whether or not this model will prove to extrapolate to other dependence receptors is yet unknown, but most do not display a Smac/DIABLO-like region at the aminotermini of the dependence peptides.

Thus, the activation of apoptosis by dependence receptors in the absence of ligand binding (or potentially in the presence of a nontrophic ligand such as A $\beta$  peptide) appears to involve caspase interaction, caspase cleavage of the receptor's intracytoplasmic domain, and potentially a Smac/DIABLO-like effect on iaps; however, none of these effects explains the initiation of the proapoptotic signal. If induced proximity is proposed to occur due to the caspase interactions, then it is unclear why multimerization, at least in some cases, inhibits the death induction.<sup>90</sup> Furthermore, any potential Smac/DIABLO-like effect requires an initial caspase cleavage, and therefore does not explain the initiating event. A recent report from Llambi *et al.*,<sup>122</sup> however, describes one potential initiating event: an interaction of UNC5H2 with DAP kinase via their death domains was observed. In the absence of netrin, DAP kinase autophosphorylation was reduced on Ser308 in the presence of UNC5H2, leading to an activation of the catalytic activity of DAP kinase and resultant cell death. The cell death was partially but not completely blocked by dominant-negative DAP kinase and in DAP kinase mutant cells. The addition of netrin restored DAP kinase autophosphorylation, reducing the catalytic activity of DAP kinase. Thus, for netrin-UNC5H2, netrin-1 withdrawal may initiate apoptotic signaling by mediating reduced DAP kinase autophosphorylation via UNC5H2. Nonetheless, this finding does not offer a mechanism by which other dependence receptors, especially those lacking death domains, may go beyond caspase amplification and function as apoptotic initiators.

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