

## Review

# Internal ribosome entry segment-mediated translation during apoptosis: the role of IRES-*trans*-acting factors

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Received 23.12.04; revised 16.2.05; accepted 11.3.05  
Edited by G Melino

## Abstract

During apoptosis, there is a reduction in translation initiation caused by caspase cleavage of several of the factors required for the cap-dependent scanning mechanism. Under these circumstances, many proteins that are required for apoptosis are instead translated by the alternative method of internal ribosome entry. This mechanism requires the formation of a complex RNA structural element and in the presence of internal ribosome entry segment (IRES)-*trans*-acting factors (ITAFs), the ribosome is recruited to the RNA. The interactions of several ITAFs with IRESs have been investigated in detail, and several mechanisms of action have been noted, including acting as chaperones, stabilising and remodelling the RNA structure. Structural remodelling by PTB in particular will be discussed, and how this protein is able to facilitate recruitment of the ribosome to several IRESs by causing previously occluded sites to become more accessible.

*Cell Death and Differentiation* (2005) 12, 585–591.  
doi:10.1038/sj.cdd.4401642

**Keywords:** internal ribosome entry; polypyrimidine tract-binding protein; translation; apoptosis; Apaf-1; BAG-1

**Abbreviations:** IRES, internal ribosome entry segment; UTR, untranslated region; PTB, polypyrimidine tract-binding protein; RRM, RNA recognition motif; Apaf-1, apoptotic protease activating factor 1; eIF, eukaryotic initiation factor; TNF, tumour necrosis factor; TRADD, TNFR-associated death domain; TRAIL, TNF-related apoptosis inducing ligand; unr, upstream of N-ras

## Introduction

The regulation of the balance between cell proliferation and cell death is essential for the development and maintenance of multicellular organisms. The process of programmed cell death or apoptosis is complex, highly regulated and involves many pro- and antiapoptotic factors and can be induced either

via death receptors (which are homotrimeric members of the tumour necrosis factor (TNF) receptor gene superfamily), or in a nonreceptor-mediated fashion.<sup>1</sup>

Death receptor-mediated apoptosis is initiated by the binding of a ligand, for example, the TNF-related apoptosis inducing ligand (TRAIL), to its receptor and this results in the intracellular 'death domains' associating and recruiting an adapter protein via its own death domain.<sup>1</sup> Some ligands are able to recruit associated death domains directly (e.g. Fas recruits FADD), whereas receptors such as DR3 (a TRAIL receptor) recruit the TNFR-associated death domain (TRADD) and use this as a platform for FADD binding.<sup>2</sup> FADD contains a death effector domain, through which it binds procaspase-8, which subsequently undergoes proteolysis such that active caspase-8 is released into the cytoplasm. In nonreceptor-mediated apoptosis, agents such as staurosporine and UV irradiation cause mitochondrial disruption resulting in the release of cytochrome *c*. Cytochrome *c* then associates with apoptotic protease-activating factor 1 (Apaf-1;<sup>3</sup>); Apaf-1 serves as an adapter protein since it contains a caspase recruitment domain (CARD) and a long carboxy-terminal domain rich in WD40 repeats.<sup>4,5</sup> Release of cytochrome *c* from the mitochondria following an apoptotic stimulus drives the oligomerisation of Apaf-1 monomers into an apoptosome.<sup>6,7</sup> In this conformation, the Apaf-1 oligomers are able to bind procaspase 9 enabling its autoactivation which leads to the cleavage of procaspase 3 and the triggering of the caspase cascade.<sup>3</sup> The targeted mutation of Apaf-1 clearly shows the importance of this protein in normal development since Apaf-1-deficient embryos (which die between e16 and postnatal day 0) exhibit malformation of the brain due to reduced apoptosis in this organ, and dramatic craniofacial and eye alterations.<sup>8,9</sup> The proteins that are required to execute apoptosis are controlled at all levels, and there is now increasing evidence to show that many of these proteins are highly regulated at the level of translation.

## During apoptosis there is a switch between cap-dependent and cap-independent translation

The cap-dependent scanning mechanism of initiating protein synthesis (which requires the binding of the trimeric complex eukaryotic initiation factor (eIF)4F, comprised of eIF4G, eIF4E and eIF4A, to the 7-methyl G cap structure and scanning to the first AUG codon that is in good context<sup>10</sup>) is inhibited during apoptosis.<sup>11</sup> This inhibition is brought about via the cleavage, by caspases, of components of the translation machinery, including eIF4G,<sup>12</sup> eIF4B<sup>13</sup> and 4E-BP1.<sup>14</sup> In addition, there are changes in the phosphorylation states of canonical initiation factors including eIF2 $\alpha$  and 4E-BP1.<sup>11</sup> All of these events are believed to be pivotal in shutting down

cap-dependent translation. However, a large amount of mRNA degradation takes place at the same time as the modification of the canonical initiation factors and this would also have a very significant effect on the rate of translation.<sup>15</sup>

Despite the reduction in the cap-dependent scanning mechanism of translation initiation, certain mRNAs, whose protein products are essential to the apoptotic process, are still associated with the polysomes during apoptosis and the corresponding proteins are synthesised (including *c-myc*,<sup>16</sup> XIAP<sup>17</sup> and DAP5<sup>18</sup>). One of the alternative mechanisms that are used for protein synthesis under these conditions is internal ribosome entry. This process requires the direct recruitment of ribosomes to a complex RNA structural element (an internal ribosome entry segment, IRES) that is generally formed in the 5' untranslated region (UTR) of the mRNA; IRES-mediated translation requires or is facilitated by IRES *trans*-acting factors (ITAFs). However, to ensure that a message contains an IRES, as opposed to containing a cryptic promoter or splice site, it is essential that appropriate controls are carried out.<sup>19</sup>

An IRES that has been studied in detail in an apoptotic context is found in the 5' UTR of *c-myc*.<sup>16</sup> The *c-Myc* protein (a transcription factor which in conjunction with its partner Max binds to E box sequences; these heterodimers are potent activators of transcription) plays a major role in apoptosis and it has been shown that in cells that constitutively express this protein, withdrawal of serum results in apoptosis, and the extent of apoptosis correlates with the levels of *c-Myc* protein.<sup>20</sup> Numerous studies have since confirmed that enforced *c-Myc* expression sensitises various cell types to a range of apoptotic stimuli.<sup>21</sup> Apoptosis induced by *c-Myc* is inhibited by survival factors such as insulin-like growth factor 1 (IGF-1), and by the Bcl-2 proteins.<sup>21</sup> The Fas signalling pathway is necessary for *c-Myc*-induced apoptosis in fibroblasts, and p53 has also been implicated in this process.<sup>22</sup> These observations have led to a model in which the *c-Myc* protein performs two distinct functions. One pathway results in both proliferation and sensitisation to apoptosis, whereas a second pathway is responsible for direct triggering of

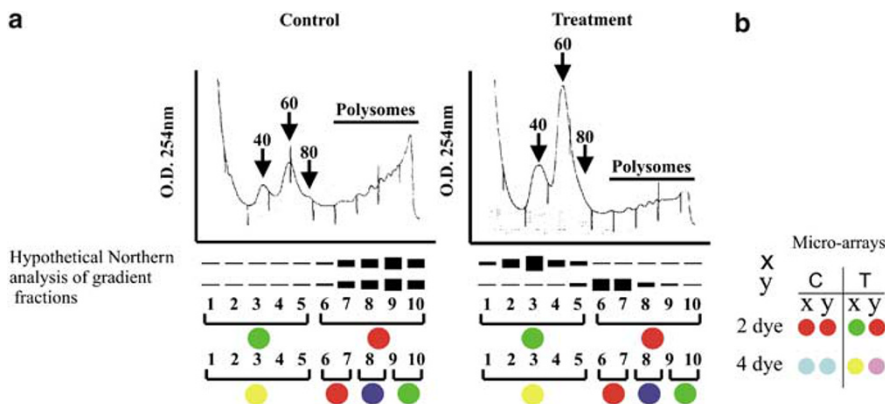
apoptosis. This second pathway is blocked by cell survival signals.<sup>23</sup> In a study to determine the mechanisms used to maintain *c-Myc* expression during apoptosis, HeLa cells were treated with TRAIL and this resulted in apoptosis of 90% of the cells. In this situation, *c-Myc* protein levels were maintained at the same levels as untreated cells and the data strongly suggest that under these conditions recruitment of the *c-myc* message to ribosome by the *c-myc* IRES allows the levels of *c-Myc* protein to be maintained.<sup>16,24</sup>

In contrast, even though Apaf-1 translation is solely initiated by internal ribosome entry,<sup>25</sup> the only situation where a small increase in Apaf-1 IRES function was observed was following genotoxic stress.<sup>26</sup> Given the importance of Apaf-1 during brain development, it is possible that the Apaf-1 IRES is required for the expression of this protein in the developing brain. In this regard, the FGF-2 IRES was shown to be active in adult brain, while in developing embryos both the FGF-2 and *c-myc* IRESes were active.<sup>27,28</sup> It is therefore possible that the Apaf-1 IRES only functions in developing systems and artificial cell culture models are not appropriate for studying this IRES.

While these studies have identified certain IRESs that are able to function during apoptosis, large scale screening is necessary to identify the spectrum of RNAs that are recruited to the polysomes during apoptosis. This can be achieved by using a technique termed polysome profiling (Figure 1), which is a very powerful tool for analysing genome-wide changes in mRNA translational efficiency.

### Analysis of mRNAs that remain polysomally associated during apoptosis

The efficiency of a specific mRNA to recruit ribosomes is reflected in the number of ribosomes translocating along the message at any one time. It is therefore possible to determine the relative efficiency of mRNAs to recruit ribosomes under different physiological conditions by using sucrose gradients to separate mRNAs present in preinitiation complexes from



**Figure 1** Diagram showing redistribution of two mRNAs *x* and *y* during treatment. The treatment leads to a global inhibition of protein synthesis as shown by the dissociation of polysomes and an increase in the material present in the subpolysome region. The translation of message *x* is turned off after the treatment (**a**) and this is clear in both the two-dye (**b**, gene *x* changes from a red in control (C) spot to a green spot after treatment (T)) and four-dye (**b**, gene *x* changes from cyan (C) to yellow after treatment (T)) analysis. However, even though gene *y* is also inhibited by the treatment, it does not show a shift by two-dye analysis (red spot (C) to red spot after treatment (T)) but does when four-dye analysis is used (from cyan (C) to magenta after treatment (T))

those mRNAs that are associated with multiple ribosomes. The cDNAs generated from the different fractions are labelled with either with Cy5 or Cy3 dyes and then applied to cDNA microarray chips.<sup>29</sup> The relative distribution of mRNAs between the translating or nontranslating regions of the gradient can be identified and hence the reprogramming of the translational apparatus with different mRNAs under certain physiological conditions can be determined (Figure 1). However, this approach does not give any information about the relative numbers of ribosomes associated with each message. To improve the resolution, it should be possible to fractionate the polysomally associated mRNAs into three separate pools (Figure 1). The resulting cDNAs could be then labelled with fluor dyes that are available with a range of different spectra. Using this approach it would be possible to examine the mRNA distribution between subpolysomes and light, medium and heavy polysomal regions of the gradient in one cDNA microarray experiment (Figure 1).

Polysome profiling has been carried out under a number of conditions when cap-dependent translation has been inhibited including following polioviral infection,<sup>30,31</sup> during mitosis,<sup>32</sup> hypoxia and apoptosis (our unpublished data). In each of these diverse conditions, it has been found that approximately 3% of the messages remain associated with the polysomes including *c-myc* mRNA.<sup>33</sup> However, while there is some overlap between the genes found associated, many of the mRNAs identified are distinct, suggesting that up to 10% of all cellular mRNAs can be translated by an alternative mechanism from global regulation. In addition, as not all mRNAs that are selected for translation during conditions of pathophysiological stress contain IRESs, it is likely that other hitherto unidentified mechanisms exist to recruit the ribosome. Finally, it is also possible that the regions tested for IRES activity (the 5'UTR) may not contain this element and it may be present instead within the coding region of the mRNA making it very difficult to identify. For example, mRNAs that encode Notch<sup>34</sup> and PITSLRE<sup>35</sup> have been shown to contain IRESs within internal coding sequences. The truncated proteins that result from IRES-mediated translation initiation are often functionally distinct from the full-length versions.

## IRES *trans*-acting factors are required for ribosome recruitment

One of the central goals of those working in the field of translation is to identify the sequence motif(s) and proteins that are required for internal ribosome entry; in particular, those ITAFs that allow coordinated regulation of mRNAs during conditions of cell stress. Cellular IRESs are relatively inactive in *in vitro* reconstituted systems, but can be stimulated by the addition of exogenous proteins.<sup>25,36</sup> In a similar way, IRESs can show marked differences in activity when transfected into different cell lines, and it seems likely that RNA structure alone is not sufficient to confer IRES activity to a cellular 5'UTR. A number of ITAFs have been identified (Table 1), which are required for maximal activity of specific IRESs, but to date none has been proposed as a general regulator of IRES function. Although many of the gene products produced by IRES-driven translation are involved in

**Table 1** Trans-acting factors and the IRES elements with which they are associated. ITAFs can act as chaperones, or to stabilise or remodel the RNA structures

ITAF	Target	Reference
PTB/nPTB	Apaf-1	Mitchell <i>et al.</i> <sup>44</sup>
	Bag-1	Pickering <i>et al.</i> <sup>46</sup>
	Mnt	Our unpublished data
	Myb	Our unpublished data
	MTG8a	Our unpublished data
	BiP	Kin <i>et al.</i> <sup>53</sup>
PCBP1/2	IGF1R	Giraud <i>et al.</i> <sup>52</sup>
	c-myc	Evans <i>et al.</i> <sup>61</sup>
hnRNPK	c-myc	Evans <i>et al.</i> <sup>61</sup>
	La	Kim <i>et al.</i> <sup>54</sup>
Unr	BiP	Holcik <i>et al.</i> <sup>73</sup>
	XIAP	Holcik <i>et al.</i> <sup>74</sup>
	Apaf-1	Mitchell <i>et al.</i> <sup>44</sup>
hnRNPC1/2	XIAP	Holcik <i>et al.</i> <sup>74</sup>
	DAP5	Warnakulasuriyarachchi <i>et al.</i> <sup>75</sup>
DAP5	XIAP	Henis-Korenblit <i>et al.</i> <sup>50</sup>
	Apaf-1	Henis-Korenblit <i>et al.</i> <sup>50</sup>
	c-myc	Henis-Korenblit <i>et al.</i> <sup>50</sup>
	eIF4GI fragment	Nevins <i>et al.</i> <sup>68</sup>
ELAV/Hu	DAP5	Nevins <i>et al.</i> <sup>68</sup>
	p27	Kullmann <i>et al.</i> <sup>76</sup>

apoptosis (e.g. Apaf-1,<sup>25</sup> Bag-1,<sup>36</sup> c-myc,<sup>16</sup> XIAP<sup>17</sup> and DAP5<sup>18</sup>), it has yet to be established how, or indeed whether, they are coordinately regulated by a subset of ITAFs. A number of these ITAFs are thought to function as chaperones, modifying or stabilising the RNA structure to allow ribosome entry. Of these, polypyrimidine tract-binding protein (PTB) appears to have the most widespread influence (Table 1). PTB (hnRNPI) was originally identified in the late 1980s as a protein that interacts with the polypyrimidine tracts of introns,<sup>37</sup> and was also independently described as p57, a protein that bound to viral IRESs.<sup>38</sup> There are three functional splicing variants of PTB: PTB-1 is comprised of 531 amino acids (57 kDa); PTB-2 and PTB-4 contain insertions of 19 and 26 residues, respectively, after amino acid 297. There is additionally a version of the protein termed nPTB that shows enhanced expression in neuronal tissue.<sup>39</sup> PTB contains four loosely conserved RNA recognition motifs (RRMs)<sup>40</sup> with the isoform-specific insertions being between RRRMs 2 and 3, near to a linker, or hinge, region. The RRRMs of PTB contain unexpected amino acids compared with the RRM family consensus, particularly in their lack of conserved aromatic residues, these being important in other RRRMs for nonspecific RNA contact; most of all at position 2 of the second part of the RRM (RNP-1) where a conserved glycine is absent in all four PTB RRRMs.<sup>41</sup> It has widely been thought that only RRRMs 3 and 4 are important for RNA binding, with RRRMs 1 and 2 being used for interacting with other proteins, and for homodimerisation.<sup>42</sup> However, recent data suggest that all four RRRMs contribute to the RNA binding of PTB, and that PTB binds to its target RNA as an 'extended monomer'.<sup>43</sup>

There are two well-characterised examples of PTB affecting IRES-mediated initiation of proteins that have roles in the apoptotic process; both the Apaf-1 and BAG-1 IRESs require PTB for function.<sup>44–47</sup>

In fact the Apaf-1 IRES requires two *trans*-acting factors for function. In addition to PTB, it also requires upstream of *N-ras* (unr; a single-stranded RNA-binding protein that contains five

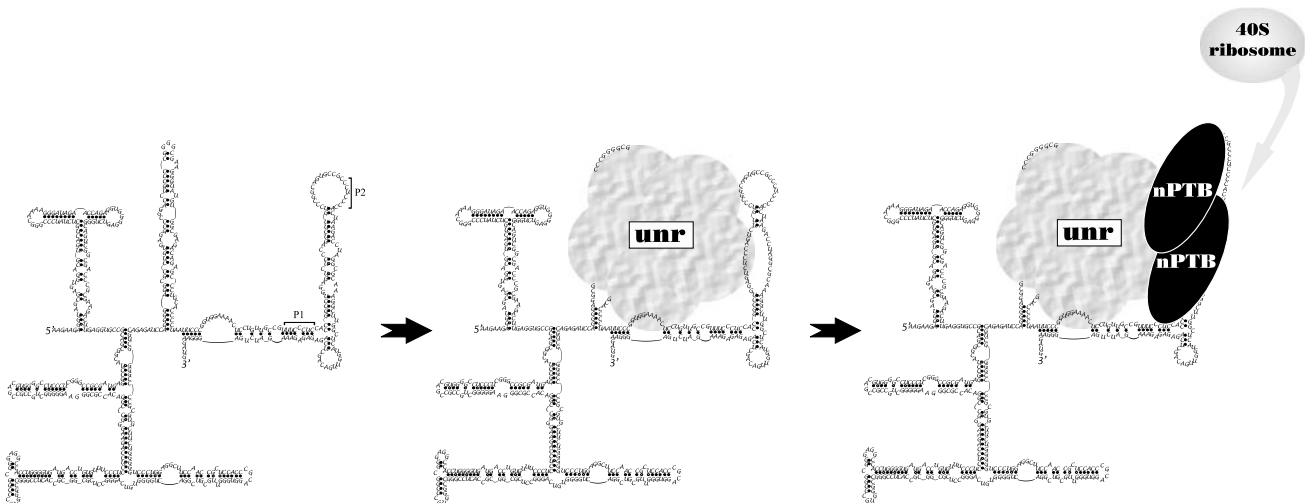
cold shock domains<sup>44,48,49</sup>). In the Apaf-1 IRES, two PTB-binding sites are required for IRES-mediated translation initiation, since deletion or mutation of either one of these sites reduces Apaf-1-IRES function.<sup>45</sup> However, only once *unr* is prebound will PTB bind to the Apaf-1 IRES RNA, which suggests that *unr* is required to attain the correct structural conformation of the Apaf-1 IRES.<sup>44</sup> Moreover, there is selectivity in the isoform of PTB that is required by the Apaf-1 IRES. The data suggest that Apaf-1 interacts preferentially with nPTB since the Apaf-1 IRES is most active in cell lines where this isoform of PTB is highly expressed, and *in vitro* Apaf-1 IRES RNA interacts most strongly with nPTB.<sup>45</sup> A structural model of the Apaf-1 IRES<sup>45</sup> with its *trans*-acting factors has been derived and the data suggest that *unr* and PTB act as RNA chaperones, and bind the Apaf-1 RNA to allow the ribosome landing site to become accessible (Figure 2). It is not possible to rule out that other proteins are also involved in this modification of the Apaf-1 IRES structure; indeed this is likely to be the case since the IRES is still seen to be more active *in vivo* than *in vitro*.<sup>45</sup> Other known ITAFs namely, La, ITAF<sub>45</sub>, poly rC-binding proteins 1 and 2 (PCBP) and DAP5 have been tested to determine whether these also stimulate the function of this IRES. However, none of these ITAFs when tested either singly or in combination stimulated the Apaf-1 IRES,<sup>45</sup> although in the case of DAP5 there is some conflicting evidence, possibly due to isoform variability, which needs to be further clarified.<sup>50</sup>

In the case of the BAG-1 IRES, two proteins PTB and PCBP1 (also known as hnrNPE1, a member of the K-homology (KH) domain family of RNA-binding proteins known to interact with viral IRES sequences,<sup>51</sup>) have been shown to act in concert to stimulate the activity of the IRES *in vitro* and *in vivo*.<sup>46</sup> For example, *in vitro* these proteins work in an additive manner; individually these proteins stimulate the activity of the Bag-1 IRES by only 1.5-fold yet together these proteins increase the activity three-fold. The data suggest that these proteins bind to overlapping sites. However, by mutational

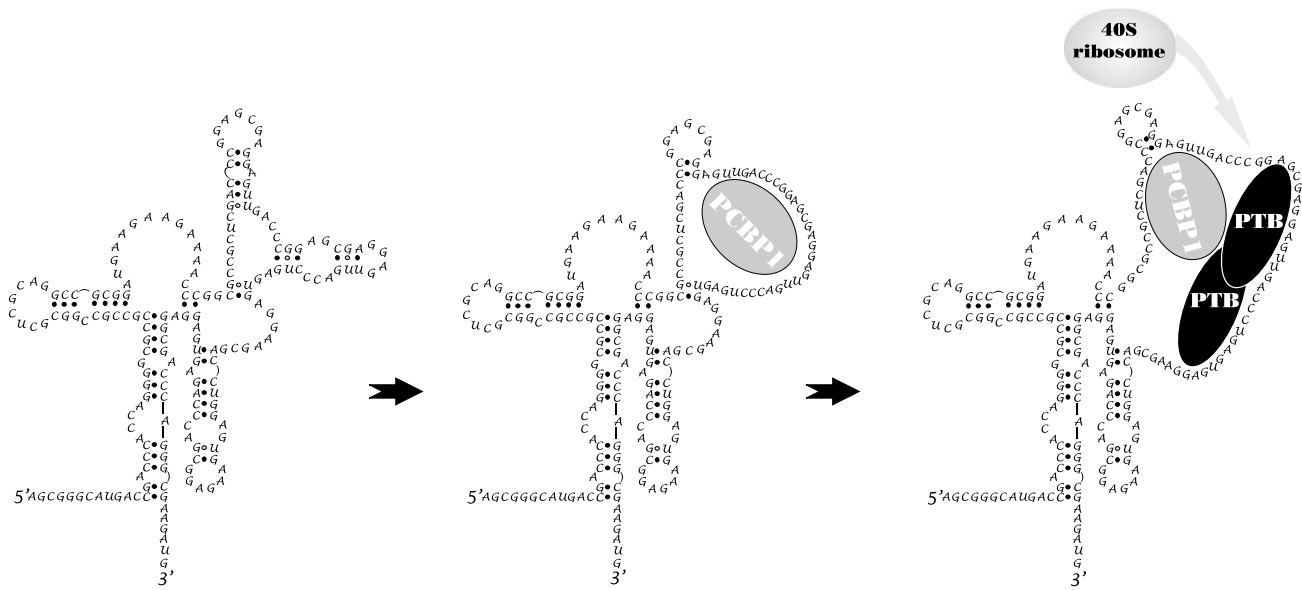
analysis, the functions of PTB-1 and PCBP1 were dissociated and the data suggested that the prime role of PCBP1 was to open the structure to facilitate the binding of PTB-1, which is essential for activity. Therefore, mutations that affect the regions to which both PTB-1 and PCBP1 bind while opening up the structure are inactive, but the mutant that opens up the structure and disrupts the binding of PCBP1 alone is more active in the presence of PTB-1. This would suggest that while these proteins open a single-stranded region for ribosome entry, PTB-1 is also required for ribosome recruitment (Figure 3,<sup>47</sup>).

Other cellular IRESs are also known to interact with PTB. For example, insulin-like growth factor-1 receptor(IGF-1R), which mediates the effects of IGF, has an mRNA with a long GC-rich 5' UTR containing an IRES. PTB and an unidentified 35 kDa protein bind to this IRES.<sup>52</sup> Bip is an immunoglobulin heavy-chain-binding protein that also binds temporarily to a range of secretory and *trans*-membrane proteins, and permanently to mis-folded proteins that accumulate in the ER, and is thought to have a role in protein folding and assembly. PTB binds to the central part of the Bip IRES (between nts 50 and 117 of 221). In reticulocyte lysate, PTB appears to inhibit IRES function as its concentration is increased. Similarly, when PTB is overexpressed in Cos-7 cells, Bip IRES function is inhibited.<sup>53</sup> Bip IRES function is, however, increased in the presence of the La autoantigen.<sup>54</sup>

The mechanism by which PTB regulates IRES recruitment is unknown; however, one model is that PTB binds to the cellular IRES and then directly interacts with the ribosome. Alternatively, PTB could indirectly recruit the translation machinery via contacts with other factors such as: TLS/FUS, which associates with the nuclear matrix, and also with PTB in the spliceosome;<sup>55</sup> *unr*, as mentioned above, which is required for PTB binding at the Apaf-1 IRES<sup>45</sup> and also, in conjunction with PTB, for maximal IRES activity in HRV;<sup>56</sup> Raver1, which is a hnRNP-like protein that forms complexes with microfilament attachment proteins vinculin and



**Figure 2** nPTB and *unr* act as RNA chaperones on the Apaf-1 IRES. Apaf-1 IRES RNA has a high degree of secondary structure, with the potential *unr*-binding site located within a purine-rich region in a stem-loop structure. In the presence of *unr*, this structure is altered such that some of the stem-loops are opened, and this allows nPTB to bind at two sites (P1 and P2). The interactions of these proteins thus allow the Apaf-1 IRES RNA to attain the correct structural conformation for ribosome recruitment by creating a single-stranded region, which allows the ribosome-landing site to become accessible



**Figure 3** PCBP1 acts as an RNA chaperone on the Bag-1 IRES, but PTB-1 is essential for function. PCBP1 and PTB-1 bind to overlapping sites on the Bag-1 IRES, and both are able to upregulate IRES function, but the functions of the two proteins have been dissociated by the examination of mutants. The data suggest that the prime role of PCBP1 is to open the structure to facilitate the binding of PTB-1. In addition to further modifying the structure, PTB-1 binding also appears to be essential for ribosome recruitment

metvinculin, and also interacts with PTB to regulate splicing;<sup>57,58</sup> hnRNP-L, a PTB homologue that was found to bind PTB in a yeast two-hybrid screen;<sup>59</sup> PSF (PTB-associated splicing factor) and p54<sup>nr1b</sup>/NonO are related multifunctional nuclear factors that contain both RNA-binding and DNA-binding functions.<sup>60</sup>

Other ITAFs that help to regulate IRES-mediated expression of proteins that function during apoptosis include PCBP2 and hnRNPK. In combination with PCBP1, these interact specifically with regions of the *c-myc* IRES, and increase internal translation initiation.<sup>61,62</sup> Since *c-myc* translation also occurs via cap-dependent initiation, the 5'UTR needs to be sufficiently flexible to allow ribosome scanning to the initiation AUG. The ITAFs in this case may be required to hold the RNA in the correct conformation for internal recruitment of the ribosome.<sup>62</sup>

Other factors that are known to affect cellular IRES function are summarised in Table 1.

### Regulation of ITAFs during apoptosis

It is likely that during apoptosis the activity of cellular IRESs will be regulated by changes in the intracellular levels and in subcellular localization of their *trans*-acting factors. Indeed, many cellular IRESs are almost completely inactive when present in dicistronic mRNAs introduced directly into the cytoplasm (by RNA transfection), suggesting that a 'nuclear experience' is an essential prerequisite for internal initiation.<sup>63</sup> Certain ITAFs, including PTB, PCBP1, unr and hnRNPK, are known to be able to shuttle between the nucleus and the cytoplasm<sup>64–66</sup> and it is therefore possible that complexes between IRESs and ITAFs are formed in the nucleus. Cell signalling pathways that are activated in apoptosis are

probably involved in the regulation of IRES-mediated protein synthesis via modulation of the activity or localization of the *trans*-acting factors. For example, it has been shown recently that nucleo-cytoplasmic shuttling of PTB-1 is regulated by the 3'–5' cyclic AMP-dependent protein kinase PKA.<sup>67</sup> Moreover, during apoptosis in certain cell types, PTB-1 has been shown to be cleaved by caspase 3 between RRM 1 and 2 resulting in relocation of this section of the protein to the cytoplasm, although the effect that this has on cellular IRES function is at present unknown.<sup>68</sup> PCBP1 is induced under cell stress conditions, mediated via signalling through the MAP kinase pathway,<sup>69</sup> a pathway which is known to be induced during apoptosis initiated by either anti-CD95, or TNF $\alpha$ .<sup>70,71</sup> It has been shown for the *c-Myc* IRES that proteins that mediate IRES-initiated expression are downstream of p38MAPK, and the p38 inhibitor SB203580 blocks both the function of the *c-myc* IRES and the expression of *c-Myc* during apoptosis.<sup>33</sup> However, it is likely that multiple signalling events are required for ITAF activation and it has been shown recently that the *c-myc*-IRES-ITAF, hnRNPK, interacts with c-Src kinase, leading to c-Src activation and tyrosine phosphorylation of hnRNPK *in vivo* and *in vitro*.<sup>72</sup> This raises the possibility that regulation of hnRNPK through changes in phosphorylation could affect *c-myc* IRES function.

### Summary

The major challenge for this field is to address how the ribosome is recruited to cellular IRESs. It is clear that at least 10% of messages remain polysomally associated during pathophysiological conditions that mimic stress. The mechanisms through which this occurs are yet to be fully understood. In this review, we have discussed the role of ITAFs in this

context, and the data suggest that these proteins have at least two roles: those which act as chaperons to modify the RNA structure and those which provide a bridge between the IRES RNA and the ribosome.

## Acknowledgements

AEW and KAS were supported by grants from the BBSRC. MB was supported by the BBSRC and the Wellcome Trust (063233/B/00/Z). SAM was supported by a grant from the Wellcome Trust (065502/Z/01/Z).

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