

On the evolution of programmed cell death: apoptosis of the unicellular eukaryote *Leishmania major* involves cysteine proteinase activation and mitochondrion permeabilization

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Abstract

Leishmania major is a protozoan parasite from one of the most ancient phylogenetic branches of unicellular eukaryotes, and containing only one giant mitochondrion. Here we report that staurosporine, that induces apoptosis in all mammalian nucleated cells, also induces in *L. major* a death process with several cytoplasmic and nuclear features of apoptosis, including cell shrinkage, phosphatidyl serine exposure, maintenance of plasma membrane integrity, mitochondrial transmembrane potential ($\Delta\Psi_m$) loss and cytochrome *c* release, nuclear chromatin condensation and fragmentation, and DNA degradation. Nuclear apoptosis-like features were prevented by cysteine proteinase inhibitors, and cell free assays using dying *L. major* cytoplasmic extracts indicated that the cysteine proteinases involved (i) also induced nuclear apoptosis-like features in isolated mammalian nuclei, and (ii) shared at least two nuclear substrates, but no cleavage site preference, with human effector caspases. Finally, isolated *L. major* mitochondria released cytochrome *c* and cysteine proteinases with nuclear pro-apoptotic activity when incubated with human recombinant Bax, even (although much less efficiently) when Bax was deleted of its transmembrane domain required for insertion in mitochondrial outer membranes, implying that *L. major* mitochondrion may express proteins able to interact with Bax. The recruitment of cysteine proteinases and mitochondria to the cell death machinery may be of very ancient evolutionary origin. Alternately, host/parasite interactions may have exerted selective pressures on the cell death phenotype of kinetoplastid parasites, resulting in the more recent emergence of an apoptotic machinery through a process of convergent evolution.

Keywords: *Leishmania major*; cell death; apoptosis; mitochondria; DNA fragmentation; cysteine proteinase; (cytochrome *c*; Bax; evolution; unicellular eukaryote)

Abbreviations: BAF, Boc-Asp(OMe)-CH₂F; E64, trans-Epoxy succinyl-L-Leucylamido-(4-Guanido)Butane; AIF, Apoptosis Inducing Factor; CAD, Caspase Activated Dnase; $\Delta\Psi_m$, mitochondrial transmembrane potential; ICAD, Inhibitor of Caspase Activated Dnase; PARP, Poly(ADP-ribose) polymerase

Introduction

Programmed cell death (PCD) or apoptosis is a genetically regulated physiological process of cell suicide that is central to the development and homeostasis of multicellular organisms.^{1–4} Crucial features of PCD appear to be conserved in nematodes (*Caenorhabditis elegans*), insects (*Drosophila melanogaster*) and vertebrates (mammals) in terms of both the genes encoding the basic cell death machinery, and the morphological and biochemical features of apoptosis, the most frequent phenotype of PCD.^{1–5}

In mammalian cells, PCD depends on two major executionary pathways that usually operate together and amplify each other. One involves the proteolytic activation of a family of aspartate-directed cysteine proteinases, the caspases;^{4,6,7} the other one involves mitochondrial outer membrane permeabilization, regulated by members of the Bcl-2/Bax protein family,^{3,8–10} and leading to the release into the cytosol of mitochondrial intermembrane space proteins that either induce activation of the caspase pathway, such as cytochrome *c* and Smac/Diablo, or favor the induction of caspase-independent executionary pathways, such as AIF.

In mammalian cells, while caspase activity is essential for the induction of the typical nuclear features of apoptosis, such as chromatin fragmentation and internucleosomal DNA fragmentation, it is dispensable, in several instances, for the induction and execution of PCD.^{11–16} In contrast, the requirement for caspase activation appears crucial for the execution of PCD in the invertebrates *C. elegans* and *D. melanogaster*,^{3–5} suggesting that the recruitment of caspases and mitochondrial effectors to the cell suicide machinery may have been subjected to phylogenetic variation during metazoan evolution, and that the caspase cysteine proteinases may represent the initial ancestral effectors involved in PCD. The evolutionary origin of PCD, however, predates that of the metazoan.¹⁷

Although it was initially assumed that PCD arose with multicellularity and would have been counterselected in unicellular organisms,^{18–22} several findings have indicated that a similar process of PCD operates in single-celled eukaryotes.^{17,23} Various forms of regulated PCD have now been described in six species of unicellular eukaryotes, belonging to four different branches whose phylogenetic divergence ranges from around 1 to 2 billion years ago: the slime mold *Dictyostelium discoideum*,²⁴ the kinetoplastid protozoans *Trypanosoma cruzi*,²⁵ *Trypanosoma brucei rhodesiense*,²⁶ and *Leishmania amazonensis*,²⁷ the ciliate *Tetrahymena thermophila*²⁸ and the dinoflagellate *Peridinium gatunense*.²⁹ The cell death phenotype in unicellular eukaryotes has several features of apoptosis, including DNA fragmentation in Kinetoplastids, *Tetrahymena thermophila* and *Peridinium gatunense*, but not in *Dictyostelium discoideum*.

While caspase inhibitors have been reported to interfere with *Dictyostelium discoideum* development, they do not inhibit the developmentally regulated PCD,³⁰ consistent with the lack of chromatin and DNA fragmentation during PCD in this organism. In the dinoflagellate *Peridinium gatunense*, however, the cysteine proteinase inhibitor E64 has been reported to prevent PCD and to favor differentiation into cysts,²⁹ suggesting that cysteine proteinase may be involved in the induction or execution of cell death. Nothing else is known about the effector pathways involved in the execution of PCD in single-celled eukaryotes.

Kinetoplastids are single-celled eukaryotes that belong to one of the most ancient diverging branches of the eukaryote phylogenetic tree,^{31,32} are amongst the first mitochondrial eukaryotes, and contain only one giant mitochondrion, the kinetoplast. We have previously reported that the kinetoplastid protozoan parasite *Trypanosoma cruzi* undergoes, as part of its complex life cycle, a differentiation-related process of PCD that is regulated by extracellular signals.²⁵ *Trypanosoma cruzi* PCD is associated with features of apoptosis²⁵ that have been shown to depend on caspase activity in mammalian cells, such as nuclear chromatin fragmentation and internucleosome-like DNA fragmentation.^{4,6,7,33} These nuclear features of apoptosis have been reported to also be associated to PCD in two other kinetoplastid parasites, *Trypanosoma brucei rhodesiense*²⁶ and *Leishmania amazonensis*.²⁷ Therefore, kinetoplastids provide an interesting model to investigate whether the induction of similar nuclear features of apoptosis in unicellular eukaryotes and in mammalian cells may result from shared ancestry or from evolutionary convergence of the effectors of the apoptotic machinery. They also provide an interesting model to explore to what extent this nuclear apoptotic phenotype in a unicellular eukaryote may be associated with other cytoplasmic features characteristic of mammalian cell apoptosis, such as phosphatidyl serine exposure on the outer leaflet of the plasma membrane,³⁴ mitochondrial permeabilization and loss in transmembrane potential ($\Delta\Psi_m$).^{8–10}

We have addressed these questions in the kinetoplastid protozoan parasite *Leishmania major*, using axenic cultures of proliferating promastigotes, the extracellular stage of the parasite. Here we report that the protein kinase inhibitory drug staurosporine, that induces apoptosis in all mammalian

nucleated cells studied so far,³⁵ also induces in *L. major* a death process that has most nuclear and cytoplasmic features of mammalian cells apoptosis. Our findings suggest that part at least of the apoptotic machinery operating in *L. major* involves both cysteine proteinases and the mitochondrion, and therefore shares similarities with the apoptotic machinery operating in mammalian cells.

Results

Staurosporine-induced *L. major* cell death is associated with several nuclear and cytoplasmic features of apoptosis

L. major promastigotes, when suspended in fresh conditioned medium at a temperature of 27°C (the temperature of their insect vector), proliferate rapidly in axenic cultures, leading, in a few days, to an exponential increase in promastigote numbers. As shown in Figure 1, the broad protein kinase inhibitor staurosporine (4 μ M), that induces apoptosis in all nucleated mammalian cells,³⁵ induced in *L. major* promastigotes a rapid cell death process that led, within 5 days, to the disappearance of almost all the promastigotes from the culture. Moreover, when the few remaining cells were washed and resuspended in fresh medium, no culture of proliferating promastigotes could be recovered (data not shown). Using flow cytometry analysis, we then investigated the phenotype of this cell death process. Culture for 24 h with staurosporine induced promastigote cell shrinkage (Figure 2a), phosphatidyl serine (PS) exposure at the outer cell surface (Figure 2b), mitochondrial transmembrane potential ($\Delta\Psi_m$) disruption (Figure 2c) and nuclear DNA degradation (Figure 2d). Two of the major characteristic features of mammalian cell apoptosis, that distinguishes it from the passive and chaotic destruction process of necrosis, are cell shrinkage and maintenance of plasma membrane impermeability.^{1,4,34,36} For example, propidium iodide (PI), when used in the absence of cell permeant, does not stain apoptotic cells, but enters and stains necrotic cells, whose plasma membrane is damaged (see for example Ref.¹⁵). Promastigotes incubated

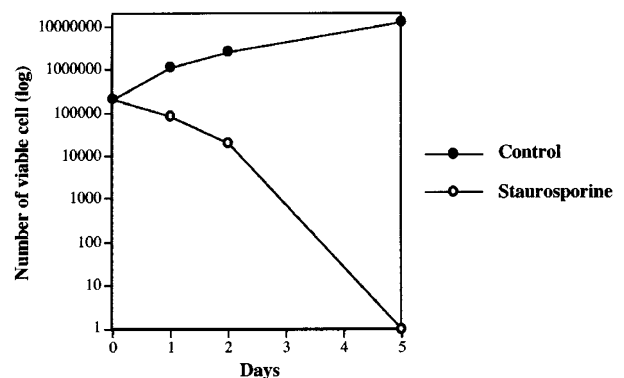


Figure 1 Staurosporine induces *L. major* promastigote cell death. *L. major* promastigotes (10^5 /ml) were incubated in the absence (Control) or presence (staurosporine) of staurosporine (4 μ M) for 4 days and absolute numbers of living cells (longiform and mobile cells) were counted during 5 days. Round and shrunk cells were considered as dead or dying cells. The results shown are representative of two independent experiments

for 24 h with staurosporine were not labeled by PI, while treatment with saponin (that induces plasma membrane permeability and necrotic death) led to their PI labeling (Figure 2e,f). Transmission electron microscopy analysis confirmed that staurosporine induced a time-dependent cell shrinkage, the filiform flagellated promastigotes becoming round with an increase in vacuoles and lipid bodies (Figure 3a). Staurosporine also caused nuclear damage. Nuclei from control promastigotes displayed a prominent central or slightly excentric nucleolus, while chromatin was usually distributed peripherally beneath the nuclear membrane (Figure 3b, $t=0$). Staurosporine induced (i) convolution of the nuclear outline with a chromatin condensation to the protruding nuclear lobes and a pronounced indentation and breakdown of the nuclear membrane (Figure 3b, $t=12$ h), and (ii) nuclear fragmentation with scattered clusters of condensed chromatin (Figure 3b, $t=24$ h). There is still a debate about the morphological changes of mitochondria during apoptosis in mammalian cells.⁹ In control *L. major* promastigotes, the cristae of the giant mitochondrion were visible (Figure 3c, $t=0$) and during cell death, a dilatation of the mitochondrial matrix occurred, leading to the destruction of the internal structure of the mitochondrion (Figure 3c $t=12$ and 24 h). Finally, previous studies have reported that PCD is associated with DNA fragmentation in multiples of oligonucleosomal-like fragments in the kinetoplasts *T. cruzi*,²⁵ *T. brucei rhodesiense*²⁶ and *L. amazonensis*.²⁷ However, while staurosporine induced DNA degradation in *L. major*, we did not observe a typical oligonucleosomal fragmentation (Figure 4b, lane 2). In addition to staurosporine, other drugs or conditions that trigger apoptosis in mammalian cells, such as actinomycin D, geneticine, UV treatment, heat shock and serum deprivation, also induced in *L. major* a death process with the same apoptosis-like features (data not shown). In contrast, etoposide, a drug that triggers apoptosis in most mammalian cell types, did not cause *L. major* cell death (data not shown). Together, our findings indicated that *L. major* promastigotes express a cell death machinery able to execute several features of apoptosis that have been described in mammalian cells.

A broad caspase inhibitor and a broad calpain/cysteine proteinase inhibitor prevent DNA degradation but not cell death in *L. major*

Caspases are major effectors of the apoptotic phenotype of PCD in the mammalian cells,^{4,6,7,33} although other cysteine proteinases, such as calpain, have also been reported to be involved.³⁷ While dispensable for the execution of cell death in several instances,^{11–16} caspase activity is crucial for the induction of the typical features of nuclear apoptosis.^{4,6,7,33} To investigate whether cysteine proteinases may also be involved in the induction of the apoptosis-like phenotype caused by staurosporine in *L. major*, we used the broad mammalian caspase inhibitor BAF and the broad calpain/cysteine proteinase inhibitor E64. Flow cytometry analysis (Figure 4a) and standard DNA agarose gel electrophoresis (Figure 4b) of promastigotes incubated for 24 h with staurosporine in the absence or presence of either BAF or E64 showed that each inhibitor led to a significant reduction in DNA degradation. We did not increase the protection against *L. major* DNA degradation by adding both E64 and BAF (data not shown),

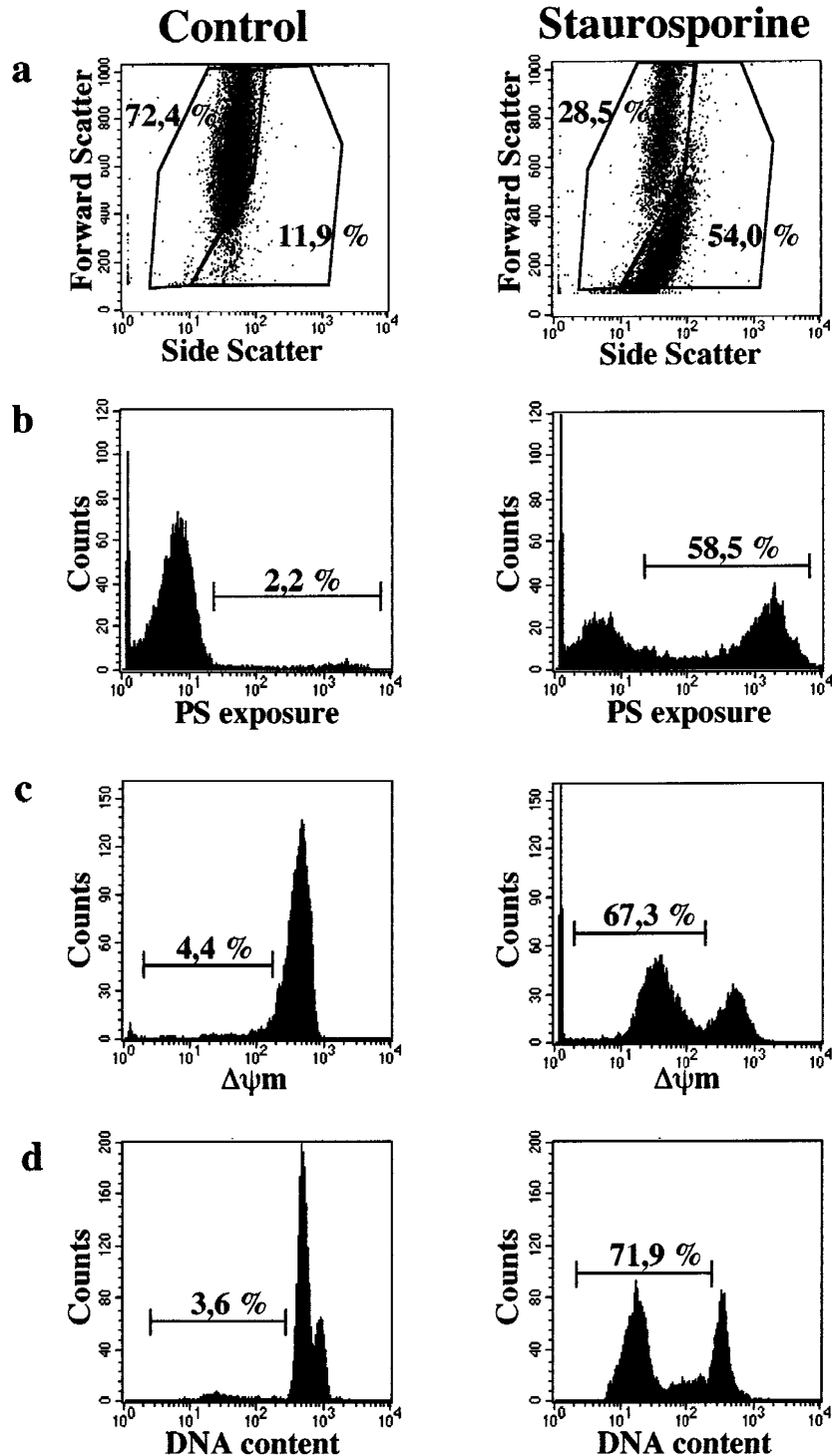
suggesting that the two inhibitors act on the same target(s) or on targets that are downstream to each other. Inhibitors that target other proteinase, such as the serine-proteinase inhibitor tosyl-lysylchloromethane, the cathepsin inhibitor z-FA-fmk or the proteasome inhibitor lactacystin did not prevent the DNA degradation induced by staurosporine in *L. major* (data not shown). Finally, while the cysteine proteinase inhibitors reduced DNA degradation, they neither prevented the cytoplasmic features of apoptosis induced by staurosporine, such as cell shrinkage, $\Delta\Psi_m$ disruption and PS exposure, nor protected *L. major* against cell death (data not shown). Interestingly, this is similar to our observation concerning the effect of BAF on staurosporine-induced apoptosis in human HEK293T and HeLa cells (data not shown).

The cysteine proteinase(s) involved in nuclear apoptosis-like features during *L. major* cell death also induce chromatin condensation and fragmentation and DNA degradation in isolated human nuclei

To further investigate the nuclear targets of cysteine proteinase(s) involved in DNA degradation during *L. major* cell death, we used a cell free system assay.³⁸ Isolated human nuclei (purified from CEM cells) were used as substrates for cytoplasmic extracts from untreated or staurosporine-treated *L. major* promastigotes. Cytoplasmic extracts from staurosporine-treated promastigotes induced shrinkage of human nuclei, with chromatin condensation and fragmentation, as shown by fluorescence microscopy using Hoechst staining, as well as DNA degradation (hypodiploidy), as shown by flow cytometry analysis (Figure 5a). These nuclear changes were similar to those induced by cytoplasmic extracts from apoptotic human Jurkat cells that had been incubated with an agonistic anti-CD95 antibody (Figure 5c). In contrast, cytoplasmic extracts from control promastigotes (Figure 5a), as those from control Jurkat cells (Figure 5c), caused neither nuclear chromatin change nor DNA degradation. As a control for a potential effect of the residual amounts of staurosporine that may have remained (despite the extensive promastigote washings) in the promastigote cytoplasmic extracts, we incubated the human nuclei with various concentrations of staurosporine alone (0.04; 0.4; 4; 40 and 400 nM), the latter concentration corresponding to the total amount of staurosporine that had been initially added to the entire culture of promastigotes whose cytoplasmic extracts were subsequently incubated with the human nuclei. No nuclear chromatin condensation or fragmentation was induced, and flow cytometry analysis of DNA using PI staining showed no induction of DNA degradation (hypodiploidy) induced by these concentrations of staurosporine alone (between 14.6% and 16.9%) when compared to incubation in medium alone (18.3%) (data not shown). When cytoplasmic extracts from staurosporine-treated *L. major* promastigotes were incubated with isolated human nuclei in the presence of either BAF or E64 (100 μ M), a significant inhibition of nuclear damage was observed (Figure 5a). In contrast, only BAF, but not E64, inhibited the induction of nuclear apoptosis by cytoplasmic extracts from apoptotic Jurkat cells (Figure 5c), confirming that caspases are the crucial effector proteinases

of nuclear apoptosis in human cells.^{4,6,33} Finally, standard DNA agarose gel electrophoresis indicated that cytoplasmic extracts from staurosporine-treated promastigotes induced in isolated human nuclei a DNA degradation pattern (Figure 5b) resembling that occurring in the nuclei of the staurosporine-treated promastigotes (Figure 4b), and differing from the typical oligonucleosomal DNA fragmentation induced in the

same isolated human nuclei by the cytoplasmic extracts from apoptotic human Jurkat cells (Figure 5d). Both BAF and E64 inhibited DNA degradation induced by cytoplasmic extracts from promastigotes treated with staurosporine (Figure 5b), while only BAF, but not E64, prevented DNA fragmentation induced by cytoplasmic extracts from CD95 antibody treated Jurkat cells (Figure 5d).



The cysteine proteinase(s) involved in *L. major* cell death induce the cleavage of human nuclear PARP and ICAD

We investigated whether some of the nuclear proteins cleaved by activated effector caspases during mammalian PCD^{4,6,33} may also be cleaved by cytoplasmic extracts from dying *L. major* in a cell free system. We chose two well characterized nuclear substrates of human effector caspases: PARP,³⁹ involved in DNA repair, and ICAD, the inhibitor of the CAD endonuclease, responsible for oligonucleosomal DNA fragmentation.^{33,40} We incubated isolated human nuclei with cytoplasmic extracts from control or staurosporine-treated *L. major* promastigotes, and subjected them to Western blotting using specific antibodies to human PARP and ICAD. Cytoplasmic extracts from staurosporine-treated promasti-

gotes induced the cleavage of PARP (115 kD), and of the two isoforms of ICAD (45 and 40 kD),⁴¹ and both PARP and ICAD cleavage were inhibited by BAF and E64 (Figure 6a). However, when compared to the cleavage induced by apoptotic Jurkat cytoplasmic extracts, PARP was cleaved into a 40 kD fragment, and not into the typical 85 kD fragments processed by caspases³⁹ and the 45 kD ICAD isoform was less extensively processed and showed a slight molecular weight loss (Figure 6a). Together, these data suggested that cytoplasmic extracts from apoptotic *L. major* promastigotes contain one or more BAF/E64-inhibitable cysteine proteinase(s) that can induce the cleavage of at least two human caspase nuclear substrates, while processing these substrates in a different manner than human caspases. To assess whether the cysteine proteinase(s) involved in *L. major* cell death were directly responsible for the

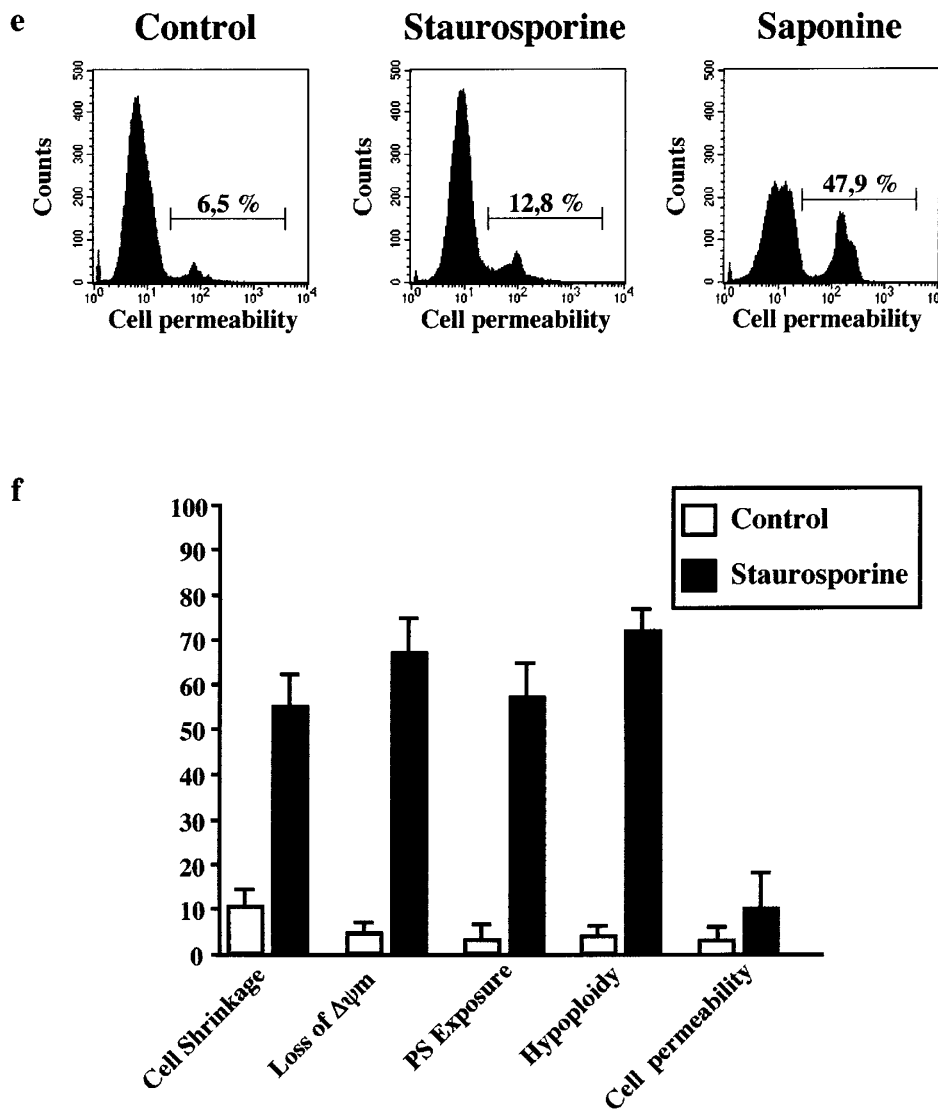


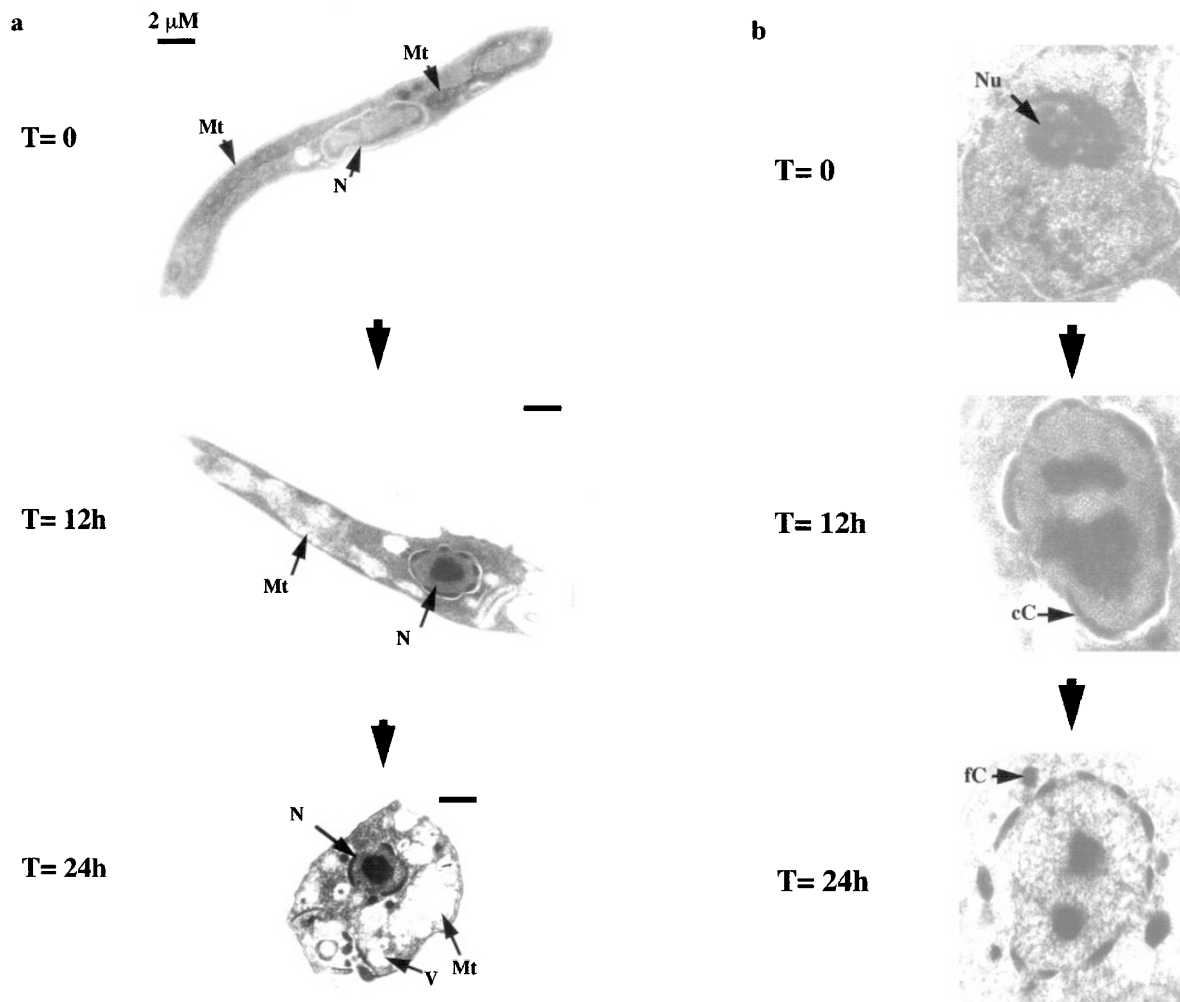
Figure 2 Flow cytometry analysis of the apoptotic features of *L. major* cell death. *L. major* were incubated in the absence (Control) or presence (staurosporine) of staurosporine (4 μ M) for 24 h and (a) light scattering properties, (b) phosphatidyl serine exposure (PS), (c) mitochondrial membrane potential ($\Delta\psi_m$), (d) DNA degradation and (e) plasma cell membrane integrity were analyzed by flow cytometry, as indicated in Materials and Methods. Plasma membrane permeabilization (e) of *L. major* by saponin (0.5%) was used to induce necrosis. Histograms in (f) are representative of three independent experiments

cleavage of PARP, we incubated human recombinant PARP with cytoplasmic extract from *L. major* promastigotes that had been incubated for 24 h in the absence or presence of staurosporine. The recombinant PARP, produced in *E. coli* was present in the 115 kD form and in a 85 kD form (Figure 6b). Cytoplasmic extracts from dying *L. major* promastigotes also induced the cleavage of recombinant PARP into a 40 kD fragment, and the cleavage was prevented by treatment with either BAF or E64 (Figure 6b).

***L. major* cell death is associated with the release of cytochrome *c* from the mitochondrion**

In mammalian cells, PCD involves mitochondrial outer membrane permeabilization, leading to the intracytosolic release of pro-apoptotic proteins, including cytochrome *c*.^{3,8-10} Cytochrome *c* is highly conserved across evolution, and searches in *L. major* DNA databases indicated that an homolog is present in this organism (data not shown). Antibodies specific for mammalian cytochrome *c* did not cross-react with any *L. major* protein, but a rabbit polyclonal

antibody raised against *Saccharomyces cerevisiae* cytochrome *c*⁴² recognized a protein with the expected molecular weight (14 kD) in total cell extracts of promastigotes and in extracts of purified mitochondria (data not shown). Cell fractionation showed that, while a small amount of cytochrome *c* was present in the cytoplasmic fraction of control promastigotes, cytochrome *c* was abundant in the cytoplasmic fraction of staurosporine treated promastigotes (Figure 7a). Because the mitochondrion has a very important size in the cell, some mitochondria were probably broken, accounting for both the small amount of cytochrome *c* present in the cytosolic extract fraction of control promastigotes, and for the small amount of Hsp60 (a protein of the mitochondrial matrix) present in the cytosolic extract fraction of both control and dying promastigotes. The mitochondrial release of cytochrome *c* induced by staurosporine was confirmed by immunofluorescence microscopy analysis using the same antibody to yeast cytochrome *c* (Figure 7b). Cytochrome *c* (in red) was co-localized with the mitochondrion stained in green with MitoTracker in control promastigotes, while being



diffuse in the cytosol of apoptotic promastigotes, indicating that it was released from the mitochondrion. AIF is another mitochondrial protein, conserved across evolution,⁴³ that is released into the cytosol during mammalian cell PCD, and participates to the induction of caspase-independent cell death pathways. We found an AIF homolog in the *L. major* DNA database (data not shown). We were intrigued by our observation that when cytoplasmic extracts of dying promastigotes that had been treated with BAF or E64 were incubated with isolated human nuclei, chromatin fragmentation and DNA degradation were prevented, as previously mentioned (Figure 5a), but the nuclei were showing small changes, i.e. peripheral nuclear chromatin condensation, that have been defined as stage I of nuclear apoptosis in human cells and reported to be induced by AIF.⁴³ We could however not investigate whether an AIF homolog may also be released by the mitochondrion during *L. major* apoptosis, because neither an antibody specific for mammalian AIF⁴³ nor an antibody that we raised against a *Dictyostelium discoideum* AIF homolog⁴⁴ allowed the detection in *L. major* of a protein with a molecular weight similar to that expected for an AIF homolog (around 55 kD).

Bax induces the release of cytochrome *c* and of a cysteine proteinase activity from *L. major* purified mitochondria

In mammalian cells, mitochondrial permeabilization induced by pro-apoptotic stimuli results from the binding to the mitochondrial outer membrane of members of the Bax/Bak protein family.^{3,8–10} Human recombinant Bax binds *in vitro* to the outer membrane of isolated human and murine mitochondria through its carboxy terminal transmembrane domain, and induces mitochondrial release of cytochrome *c*.^{3,8–10} The evolutionary conservation of Bax target(s) on the mitochondrial outer membrane is suggested by reports indicating that Bax expression in yeasts induces mitochondrial dysregulation and yeast cell death.^{45–47} Using recombinant human Bax protein and purified *L. major* promastigotes mitochondria, we explored whether Bax may induce mitochondrion permeabilization. The full length recombinant Bax (400 nM) induced a rapid (15 min) release of cytochrome *c* in the supernatant (Figure 8a). In contrast, mitochondria incubated in the absence of Bax did not release cytochrome *c* during 1 h. Supernatants of Bax-treated *L. major* mitochondria, when

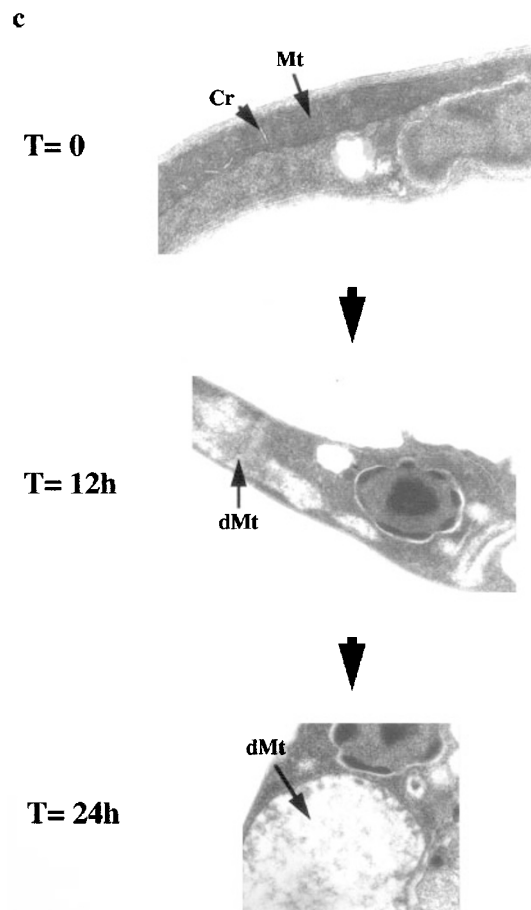


Figure 3 Electron microscopy analysis of the apoptotic features of *L. major* cell death. *L. major* were incubated in the absence ($t=0$) or presence ($t=12$ h and $t=24$ h) of staurosporine ($4 \mu\text{M}$) and cell morphology was monitored by electron microscopy. (a) Morphology of the whole cell during staurosporine mediated cell death. Magnification $13\,000\times$. Mt=Mitochondrion; N=Nucleus; V=Vacuoles. Bar= $2 \mu\text{M}$. (b) Nuclear morphology during staurosporine mediated cell death. Magnification $38\,500\times$. Nu=Nucleolus, cC=condensed Chromatin, fC=fragmented Chromatin. (c) Mitochondrion morphology during staurosporine-mediated cell death. Magnification $28\,000\times$. Cr=Cristae; Mt=Matrix; dMt=dilated Matrix

incubated with isolated human nuclei, induced chromatin condensation and fragmentation and DNA degradation (hypodiploidy) (Figure 8b), that were similar to those induced by cytoplasmic extracts of staurosporine treated *L. major* promastigotes (Figure 5a,b), and were also prevented by the

addition of the cysteine proteinase inhibitors BAF or E64 (Figure 8b). In contrast, supernatants of *L. major* mitochondria incubated in the absence of Bax induced neither chromatin damage nor DNA degradation in isolated human nuclei (Figure 8b). These findings indicated (i) that the capacity of

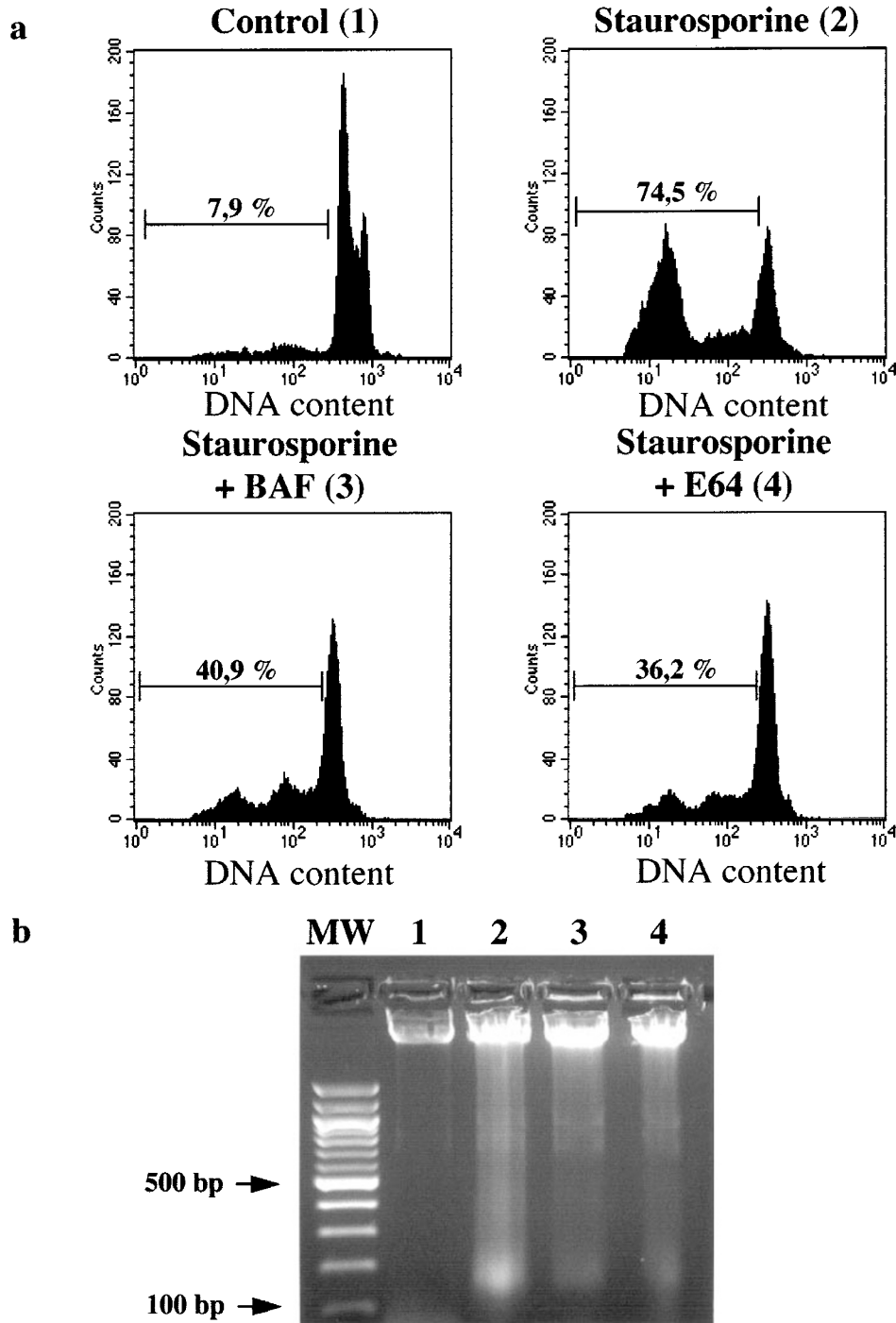


Figure 4 Cysteine proteinase inhibitors prevent the induction of nuclear apoptosis-like features in *L. major*. *L. major* were incubated for 24 h in medium alone (1) or with staurosporine ($4 \mu\text{M}$) in the absence (2) or presence of BAF (3) or E64 (4), added 3 times (at $100 \mu\text{M}$ each), and the *L. major* DNA degradation (hypodiploidy) was assessed by flow cytometry (a) and standard agarose gel electrophoresis (b). Per cent in (a) indicate percentages of hypodiploid cells. The data shown are representative of three independent experiments

human Bax to induce the release of pro-apoptotic factors from mitochondria is conserved across major phylogenetic divergence, and (ii) that the *L. major* mitochondrion contain and release, together with cytochrome *c*, part at least of the BAF and E64 inhibitable cysteine proteinases that we identified in the cytosol of apoptotic promastigotes, and that appear responsible for the induction of the nuclear apoptosis-like features. Finally, we investigated the effect on the *L. major* mitochondrion of human recombinant Bax protein deleted in its transmembrane domain (Δ TM Bax), required for Bax insertion into the mitochondria outer membrane. In isolated mammalian mitochondria, Δ TM Bax has been reported to induce low levels of cytochrome *c* release,⁴⁸ presumably through its capacity to oligomerize with members of the pro-apoptotic Bcl-2 protein family already present, in a monomeric form, on the mitochondria outer membrane. As shown in Figure 8a, incubation for 15 min of isolated *L. major* mitochondria with Δ TM Bax (400 nM) induced a small level of cytochrome *c* release, that was greatly increased after 1 h incubation, although still at a lower level than that induced by full length Bax. The absence of Hsp60 in the mitochondria supernatant indicated the absence of mitochondria rupture and spilling in the supernatant (Figure 8a). Thus, Bax was able to induce, to some extent, *L. major* mitochondrion permeabilization in the absence of its transmembrane insertion domain. This suggests the possibility that functional homologs of the pro-apoptotic Bcl-2 family, able to interact with human Bax, are expressed in *L. major* and present on the mitochondrion membrane.

Discussion

Here we report that a cell death machinery able to induce several nuclear and cytoplasmic features of apoptosis is present and operational in one of the most ancestral unicellular eukaryote, the kinetoplastid protozoan parasite *Leishmania major*, whose phylogenetic divergence predates by several hundred million years the emergence of the eukaryote terminal crown that includes animals, plants and fungi.^{31,32}

Our findings indicate that this apoptotic cell death process is triggered in *L. major*, as in mammalian cells, by the protein kinase inhibitor staurosporine. Our findings also provide the first evidence, to our knowledge that the cytoplasmic effectors of nuclear apoptosis-like features in a unicellular eukaryote have the capacity to induce similar features in isolated human nuclei, and strongly suggest that these effectors are cysteine proteinases.

Treatment of *L. major* with two cysteine proteinase inhibitors, either the broad caspase inhibitor BAF, or the calpain/cysteine proteinase inhibitor E64 prevented the induction of the nuclear features of apoptosis, but had no preventive effect on cell death, suggesting that cysteine proteinase activity in *L. major*, as effector caspase activity in mammalian cells,^{11–16} is important for the execution of nuclear apoptosis but dispensable for the execution of cell death. The BAF/E64 inhibitable cysteine proteinase activity present in the cytoplasmic extracts of dying *L. major* also induced apoptosis-like features in isolated human nuclei, and cleaved two well characterized human nuclear substrates of effector caspase,^{4,6,33,39} PARP, involved in

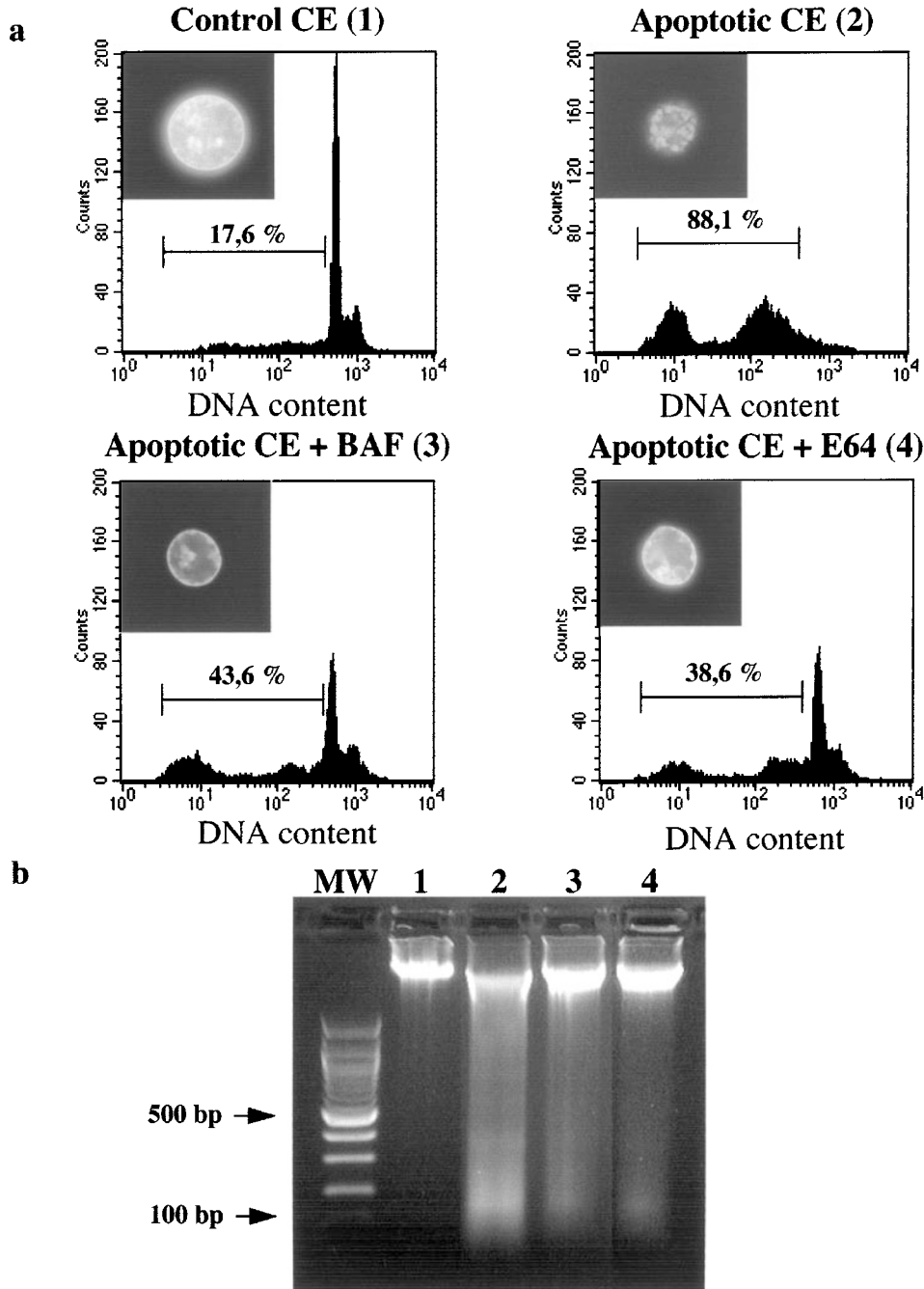
DNA repair, and ICAD, the inhibitor of the CAD endonuclease responsible for the internucleosomal cleavage of DNA. The pattern of DNA degradation in *L. major* differed however from the typical internucleosomal DNA fragmentation that is induced by CAD.³³ While in *L. major* nuclei this pattern could be due to differences in histone/DNA organization or to a lack of CAD homolog, the fact that cytoplasmic extracts from dying *L. major* induced the same type of DNA degradation in isolated human nuclei indicated that this pattern was due to the activity of the BAF/E64 inhibitable *L. major* cysteine proteinases, and not to particular features of the *L. major* nucleus. Thus, despite processing ICAD in the human nuclei, the *L. major* cysteine proteinases failed to induce the typical CAD-mediated internucleosomal DNA cleavage. One possible explanation, that remains to be explored, is that among the BAF/E64 inhibitable *L. major* cysteine proteinases, some may also induce histone degradation, leading to a mixture of both inter- and intranucleosomal DNA cleavage.

It is unlikely that these *L. major* cysteine proteinases belong to the caspase family. Firstly, no caspase homolog has been yet identified in any unicellular eukaryotes.⁴⁹ While genes encoding metacaspases, belonging to an ancestral metacaspase/paracaspase/caspase superfamily, have been identified in plants, fungi and several unicellular eukaryotes, including protozoans,⁴⁹ nothing is known about their potential involvement in cell death. Secondly, the BAF/E64 inhibitable *L. major* cysteine proteinases processed human nuclear PARP, as well as recombinant PARP, into a 40 kD fragment, and not into the 85 kD fragment that is processed by human effector caspases.³⁹ Interestingly, it has been recently reported that a human cysteine proteinase, calpain, whose involvement in apoptosis has been suggested,³⁷ processes PARP into a 40 kD fragment, and that this calpain activity is prevented by a broad caspase inhibitor.⁵⁰ We identified a calpain-like sequence in the kinetoplastid database (not shown) and it is therefore possible that the BAF/E64 inhibitable *L. major* cysteine proteinases are calpain-like proteinases. The kinetoplastid protozoan parasites, including *L. major*, contain several different cysteine proteinases, the most abundant and best characterized being the cathepsin B- and L-like proteinases encoded by the cp *a*, *b* and *c* cluster gene families, that play an important role in the proliferation and differentiation of these parasites.⁵¹ Recently, it was reported that selective inhibitors of the cathepsin B- and L-like cysteine proteinases inhibit proliferation and induce death in both the extracellular promastigote and the intracellular amastigote stage of *L. major*.⁵² In contrast, we observed no effect of either BAF or E64 on the proliferation and survival of *L. major* promastigotes. Thus, it is possible that among *L. major* cysteine proteinases, some, related to the cathepsin family, may participate in promastigote differentiation, proliferation and survival, while others, possibly related to the calpain family, may participate in the induction of nuclear apoptosis-like features during promastigote death.

In mammalian cells, most pro-apoptotic stimuli induce the activation of the effector caspase cysteine proteinases through a process of mitochondrial outer membrane permeabilization that involves members of the Bax/Bak

protein family and results in cytochrome *c* release.^{3,8-10} The evolutionary conservation of mitochondrial Bax target(s) is suggested by findings indicating that Bax expression in yeast induces mitochondrial dysfunction and cell death (cytochrome *c* release in such instances remaining, however, controversial).⁴⁵⁻⁴⁷ Our finding that human recombinant Bax, when incubated with isolated *L. major* mitochondria, induces release of cytochrome *c*, extends these previous observations by indicating that the capacity of human Bax to induce mitochondria permeabi-

lization is conserved across major phylogenetic divergence. Furthermore, our finding that Bax also induces the mitochondrial release of the BAF/E64 inhibitable cysteine proteinases with nuclear pro-apoptotic activity suggests a link between the mitochondrion permeabilization that occurs during *L. major* death and the release and activation of these proteinases, a feature reminiscent of the intramitochondrial localization of procaspase-2, -3 and -9 in mammalian cells, and of their release during apoptosis induction.⁸⁻¹⁰



While the capacity of human full length Bax to permeabilize the *L. major* mitochondria may be simply related to evolutionary conservation of mitochondrial targets of Bax, our

observation that human recombinant Δ TM Bax also induces (although much less efficiently) *L. major* mitochondrial release of cytochrome *c* is more surprising. Indeed, Δ TM

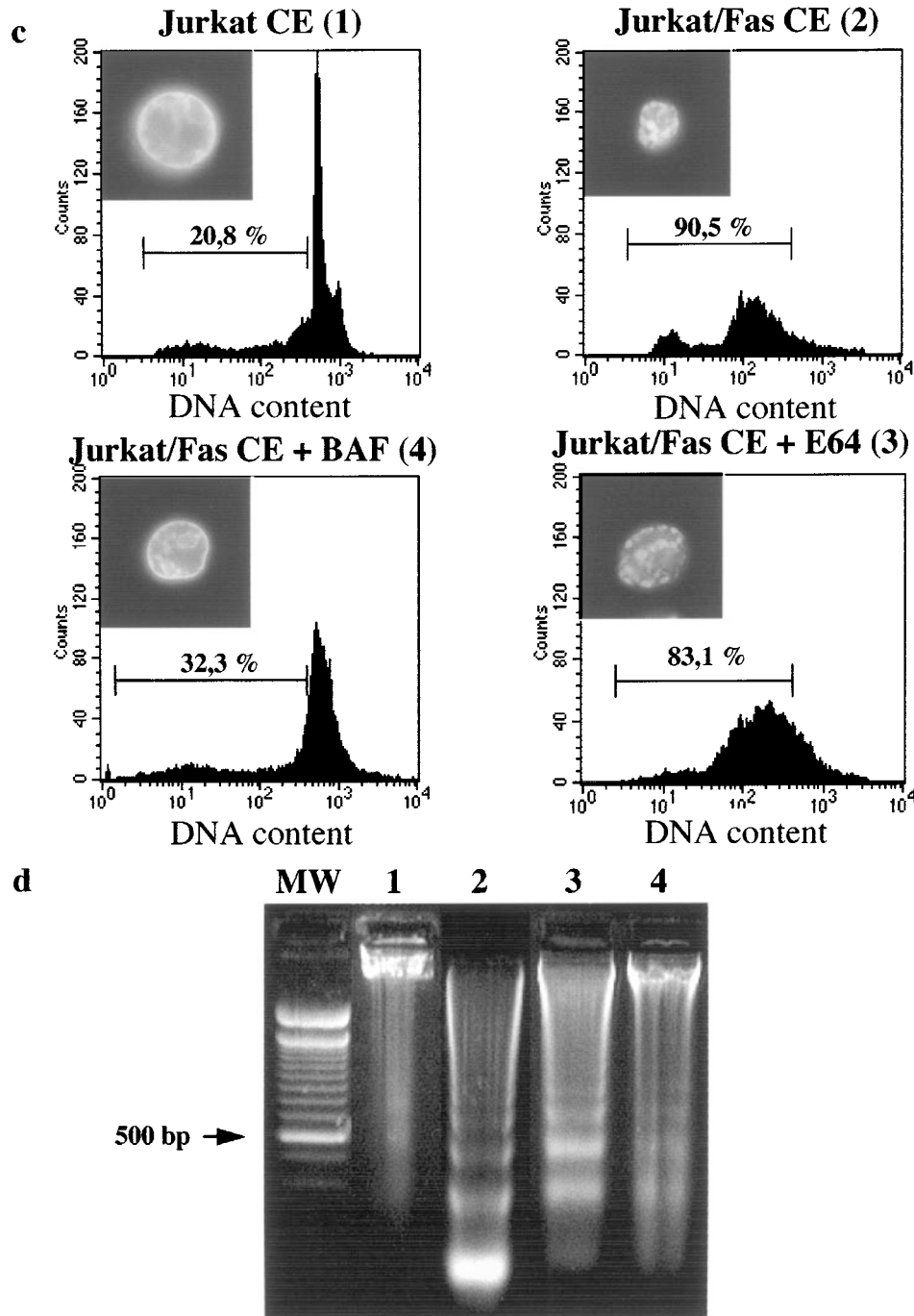


Figure 5 A cysteine proteinase activity in the cytoplasmic extracts from apoptotic *L. major* induces nuclear apoptosis-like features in isolated human nuclei. Isolated human nuclei were incubated 2 h with cytoplasmic extracts (CE) from untreated *L. major* promastigotes (1) or from *L. major* promastigotes treated for 24 h with staurosporine (4 μ M) (2); BAF (100 μ M) (3) or E64 (100 μ M) (4) were added to the cytoplasmic extracts. Nuclear DNA degradation (hypodiploidy) was assessed by flow cytometry (a) or by standard agarose gel electrophoresis (b). As a control, isolated human nuclei were incubated with cytoplasmic extracts from Jurkat cells cultured for 6 h in the absence (1) or presence of an agonistic anti-CD95 antibody (2); BAF (100 μ M) (3) or E64 (100 μ M) (4) were added to the cytoplasmic extracts. Nuclear DNA degradation was assessed by flow cytometry (c) or by standard agarose gel electrophoresis (d). Nuclear morphology in (a) and (c) was observed under UV fluorescence microscopy after Hoechst staining (magnification 1000 \times). Per cent in (a) and (c) indicate percentages of hypodiploid nuclei. The data shown are representative of three independent experiments

Bax lacks the carboxy terminal hydrophobic transmembrane domain required for insertion into the mitochondrial outer membrane. In isolated mammalian mitochondria, the Δ TM Bax protein has been shown to induce cytochrome *c* release (at levels around 10 times lower than full length Bax), and this effect has been attributed to Δ TM Bax oligomerization with the Bak pro-apoptotic protein that is constitutively anchored to the mitochondrial outer membrane, and requires (as full length Bax) oligomerization to induce mitochondrial outer membrane permeabilization.⁴⁸ Accordingly, mammalian cells lacking both Bax and Bak are resistant to cell death induction by 'BH3 domain-only' proteins that lack the transmembrane insertion domain.⁵³ Thus, our finding that Δ TM Bax is able to induce permeabilization of isolated *L. major* mitochondria suggests the interesting possibility that proteins able to functionally interact with human Δ TM Bax may be present on the *L. major* mitochondrion surface. Since the absence of Bax and Bak is sufficient to render mammalian cells resistant to staurosporine-induced cell death,⁵³ it is tempting to speculate that such putative Bax/Bak functional homologs may participate in *L. major* to staurosporine-induced cell death. However, since no gene

sequence homology with any domain of the Bcl-2/Bax protein family has yet been identified in unicellular eukaryotes,⁴⁹ the potential nature of such functional homologs remains puzzling, and further investigations are required to assess whether they are indeed present in *L. major*.

Finally, independently of the molecular nature of the effectors involved, our finding that *L. major* has the capacity to achieve cytoplasmic features of apoptosis, including phosphatidyl serine exposure with maintenance of plasma membrane integrity, may have implications for the pathogenesis of this parasite, that causes severe diseases in humans. Indeed, a series of recent findings suggest that the ingestion of apoptotic mammalian cells by macrophages or dendritic cells induces a downregulation of the macrophage capacity to secrete pro-inflammatory cytokines and of the dendritic cell capacity to activate an immune response to foreign antigens, while ingestion of necrotic, membrane-damaged cells does not have these effects.^{34,54} Ingestion of apoptotic mammalian cells has also been reported to induce in macrophages a change in metabolism that favors the growth, inside the macrophage, of another kinetoplastid parasite, *Trypanosoma cruzi*.⁵⁵ Therefore, it is possible that the ability of *L. major* to undergo a cell death process with an apoptosis-like phenotype may play a role in favoring both parasite evasion from the host immune response, and parasite growth in the infected macrophages.

In conclusion, our findings indicate that an apoptotic cell death machinery involving cysteine proteinases and the mitochondrion is present in a unicellular eukaryote of very ancient phylogenetic divergence. While this apoptotic machinery may therefore be of very ancient origin, it is also possible that its emergence is a more recent consequence of the evolution of kinetoplastids into parasites of both invertebrate and vertebrate hosts, and may have resulted either, as mentioned above, from strong selective pressures exerted on their cell death phenotype, or from horizontal transfers of host genes. Kinetoplastids should provide a valuable model to elucidate to what extent shared ancestry, horizontal gene transfer, or evolutionary convergence may have been instrumental in shaping the evolution of PCD, and to what extent the capacity to undergo apoptotic cell death may have played a role in the capacity of single celled eukaryote parasites to invade their metazoan hosts.

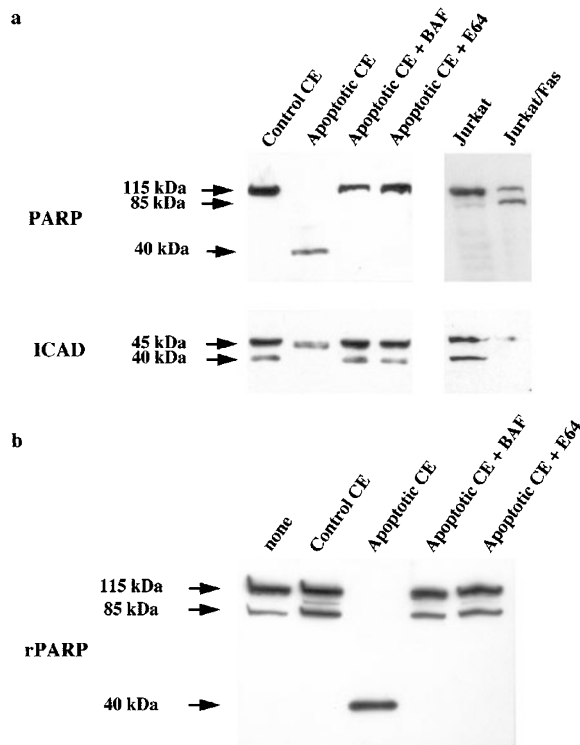


Figure 6 Cytoplasmic extracts from apoptotic *L. major* induce the cleavage of human nuclear PARP and ICAD in a cell free system. (a) Isolated human nuclei were incubated with the same *L. major* cytoplasmic extracts as described in Figure 5. The cleavage of mammalian nuclear PARP and ICAD was then assessed by Western blotting. (b) Recombinant PARP (500 ng) was incubated with the same *L. major* cytoplasmic extracts described in Figure 5 and its cleavage was assessed by Western blotting. The data shown are representative of three independent experiments

Materials and Methods

Cells and culture conditions

L. major (WHO strain WHOM/IR/-/173), kindly provided by Dr. YJF Garin (CHU Saint Louis, Paris), were cultured as promastigotes in M199 medium (Gibco/BRL, Gaithersburg, MD, USA) with 20% fetal calf serum, L-glutamine (2 mM), 100 U/ml penicillin (Gibco) and 100 mg/ml streptomycin (Gibco) at a temperature of 27°C. To induce cell death, *L. major* cells were incubated in presence of staurosporine (4 μ M) (Sigma, Saint Louis, MI, USA). DNA degradation was inhibited by addition of 100 μ M of BAF (Calbiochem, San Diego, CA, USA) or E64 (Sigma), 30 min before the addition of staurosporine and 6 and

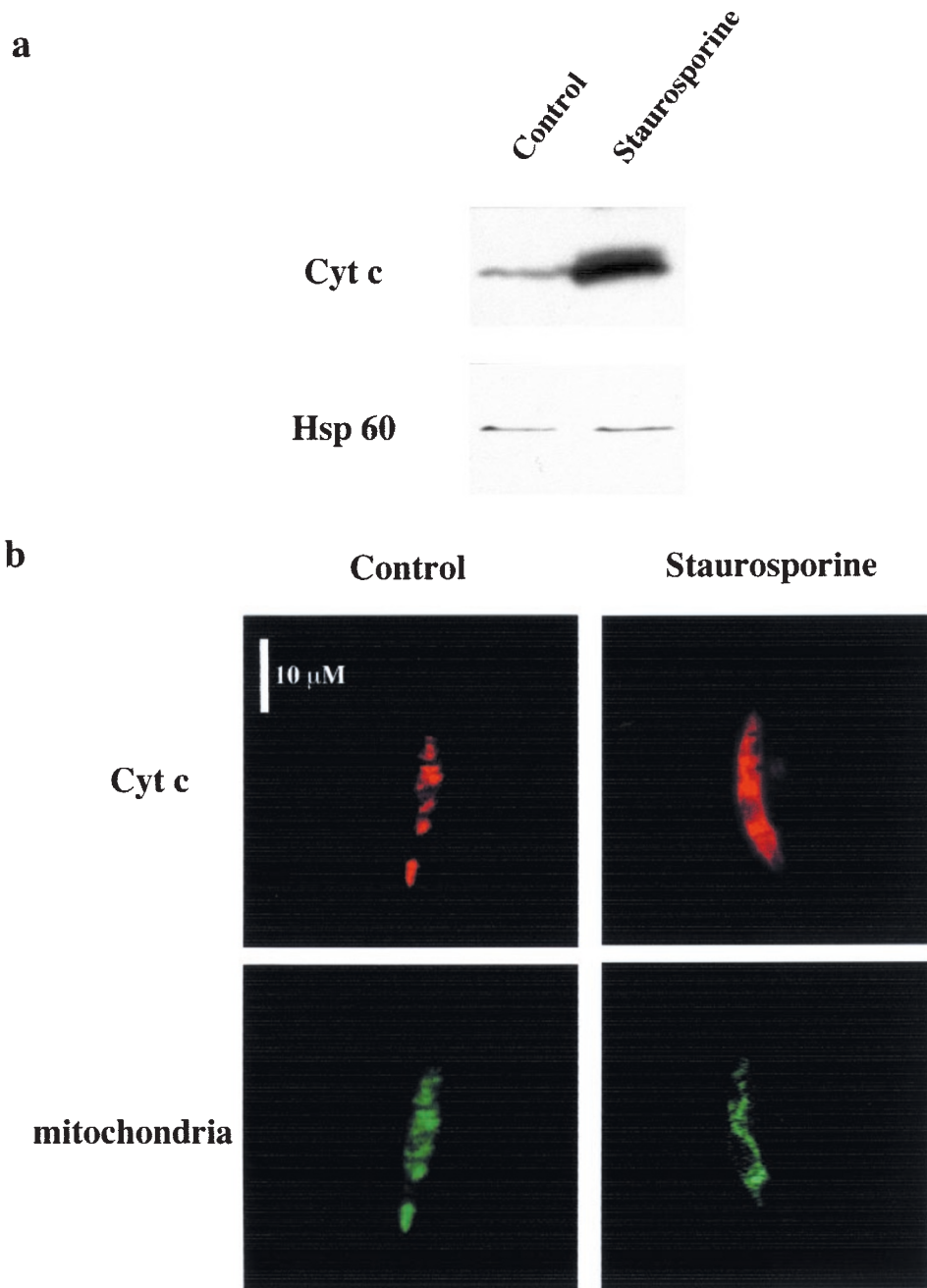


Figure 7 *L. major* cell death is associated with the release of cytochrome *c* from the mitochondrion. (a) Cytosolic fractions from untreated (Control) or Staurosporine (4 μ M)-treated *L. major* promastigotes were analyzed for the presence of cytochrome *c* and Hsp60 by Western blotting. (b) Cytochrome *c* localization in untreated (Control) or Staurosporine (4 μ M)-treated *L. major* was also studied by immunofluorescence. Magnification 1500 \times . The data shown are representative of three independent experiments

12 h after. Human Jurkat T-cells were cultured at 37°C in RPMI 1640 (Gibco) supplemented with 10% heat-inactivated fetal bovine serum (Summit Biotechnology, Greeley, CO, USA), 2 mM L-glutamine, 1 mM sodium pyruvate (Gibco), and 100 U/ml penicillin (Gibco) and 100 mg/ml streptomycin (Gibco). In order to induce apoptosis, Jurkat cells (10^6 /ml) were incubated for 6 h in the absence or presence of the agonistic CD95 IgM mAb (CH11) (1 μ g/ml) (Coulter Corporation, Fullerton, CA, USA).

Flow cytometric analysis

The mitochondrial transmembrane potential ($\Delta\Psi_m$) was measured by incubating cells with 3,3'-dihexyloxacarbicyanine iodide -DiOC₆(3)- (Molecular Probes, Eugene, OR, USA) at a concentration of 2.5 nM. Plasma membrane integrity was assessed by using propidium iodide (Sigma) in the absence of cell permeant. Phosphatidylserine (PS) exposure on the outer plasma membrane was measured by staining cells with 1 μ g/ml of annexin V-FITC (Coulter Corporation). Relative

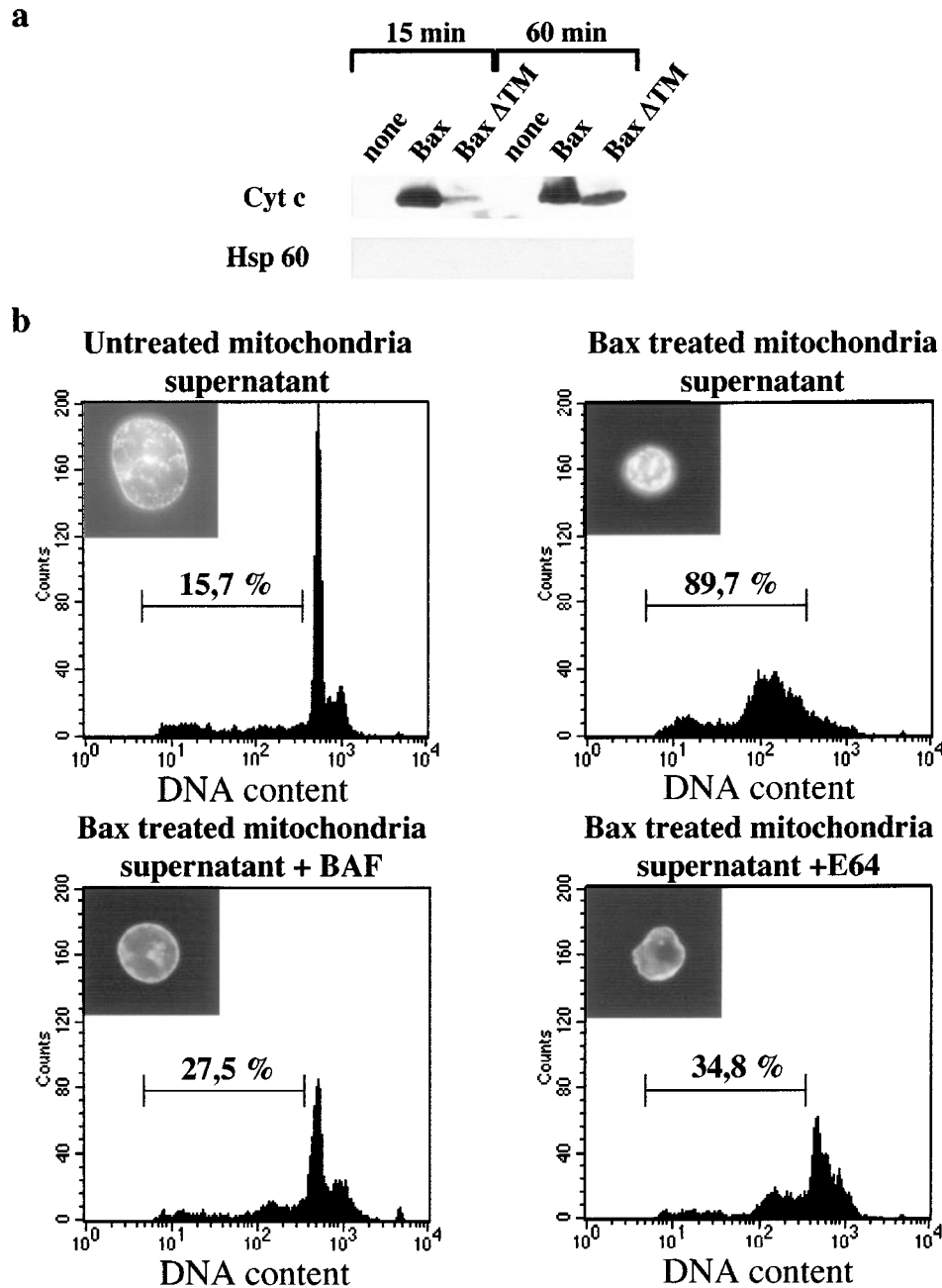


Figure 8 Bax induces the release of cytochrome *c* and of cysteine proteinase(s) with nuclear pro-apoptotic activity from *L. major* purified mitochondria. (a) Purified *L. major* mitochondria were incubated in medium alone (none) or with 400 nM of either full length (Bax) or Δ TM (Bax Δ TM) recombinant human Bax for 15 or 60 min. The presence of cytochrome *c* in the mitochondrial supernatant was then analyzed by Western blotting. Detection of Hsp60 was used to control for the absence of mitochondrial contamination in the supernatant. (b) Isolated human nuclei were incubated for 4 h with supernatants of mitochondria that had been preincubated for 1 h in medium alone (1) or with human full length recombinant Bax (400 nM) (2); BAF (100 μ M) (3) or E64 (100 μ M) (4) were added to the supernatants of the mitochondria that had been preincubated for 1 h with human full length recombinant Bax. DNA degradation (hypodiploidy) was assessed by flow cytometry. Nuclear morphology in (b) was observed under UV fluorescence microscopy after Hoechst staining (magnification 1000 \times). Per cent in (b) indicate the percentages of hypodiploid nuclei. The data shown are representative of three independent experiments

DNA content (hypodiploidy) was assessed using propidium iodide after cell permeabilization with 2% saponin (Sigma) in PBS. Cells were analyzed using a FACScan (Becton Dickinson, San Diego, CA, USA).

Transmission electron microscopy

L. major cells were fixed in 1.25% glutaraldehyde buffered with 0.1 M sodium phosphate (pH 7.4) for 24 h at 4°C, dehydrated with ethanol at 4°C and immersed in a 1/1 mixture of propylene oxide and Epon, and

were embedded in Epon by polymerization at 60°C for 48 h. Ultrastructural analysis was performed on a Philips EM 410 electron microscope.

Cell free extract assays

Cytoplasmic extracts of Jurkat cells, nuclei from CEM cells and the reconstitution of cell-free extracts, were prepared as previously described.³⁸ Cytoplasmic extracts from control or staurosporine-treated *L. major* were prepared as follows: cells were washed twice in PBS and incubated on ice for 20 min with Cell Extract Buffer (50 mM PIPES, pH 7.4, 50 mM KCl, 5 mM EGTA, 2 mM MgCl₂, 1 mM DTT, 10 μM cytochalasin B and 1 mM PMSF). The suspension was homogenized with a type B pestle. Cells were lysed by forceful passage of the homogenate through a 26-gauge hypodermic needle. Lysis was monitored under a phase contrast microscope. Cell lysate was then centrifuged at 4°C for 15 min at 17 000 × *g*. The clear cytosol was carefully removed and stored at -80°C. Cell-free reactions (25 μl) comprised 20 μl of cytoplasmic extract (20–30 mg/ml protein), 1 μl (2.10⁵) of nuclei and 4 μl of Extract dilution Buffer (EDB: 10 mM HEPES, 50 mM NaCl, 2 mM MgCl₂, 5 mM EGTA, 1 mM DTT, 2 mM ATP, 10 mM phosphocreatine and 50 μg/ml creatine kinase). The nuclei were stained with Hoechst 33342 (10 μM, Sigma) and examined by UV fluorescence microscopy (Leika DMRB) (magnification 1000 ×) and nuclear apoptosis was also quantified by staining with the DNA-intercalating dye propidium iodide and the DNA content analyzed by flow cytometry. Cleavage of nuclear PARP and ICAD⁴⁰ was also assessed in the cell-free system as described above. After incubation with cytoplasmic extract for 60 min, nuclei were lysed in SDS lysis buffer (125 mM Tris-HCl pH 6.8, SDS 4%, Glycerol 10%) and loaded on acrylamid gel for Western blots using a mouse monoclonal anti-PARP (PharMingen, San Diego, CA, USA) and a rabbit polyclonal anti-ICAD (PharMingen). Recombinant PARP was purchased from R&D System (Mineapolis, MN, USA).

Immunofluorescence

L. major cells were stained with the mitochondrion-selective dye MitoTracker green FMTM (3 μM, Molecular Probes). Cells were then fixed in 3% (w/v) paraformaldehyde in PBS for 10 min. The fixed cells were washed three times in PBS for 10 min each followed by permeabilization in 0.15% (v/v) Triton X-100 in PBS for 15 min. The cells were then incubated for 60 min in blocking buffer (2% bovine serum albumin in PBS) followed by incubation overnight at 4°C with rabbit polyclonal antibody raised against yeast cytochrome *c*⁴² (kindly provided by Dr. R Kluck, La Jolla Institute for Allergy and Immunology, San Diego, USA) diluted 1/100 in blocking buffer. The cells were then washed three times at 10 min each in blocking buffer followed by 4 h incubation with TRITC-labeled goat anti-rabbit antibody (1/200). The cells were then washed three times at 10 min each and examined under a Leika DMRB fluorescence microscope (magnification 1500 ×).

Isolation of *L. major* mitochondria

Mitochondria vesicles were isolated by hypotonic lysis and Percoll.⁵⁶ All procedures were carried out at 4°C. *L. major* were harvested, washed with PBS containing 20 mM glucose, and suspended in hypotonic lysis buffer (1 mM Tris-HCl, pH 8.0, 1 mM EDTA) at 2.10⁹ cells/ml. The suspension was homogenized with five strokes of a type B pestle in an all-glass Kontes homogenizer. Cells were lysed by forceful passage of the homogenate through a 26-gauge hypodermic needle. Lysis was monitored microscopically. Sucrose (2 M stock) was

immediately added to the lysate to 0.25 M, and the lysate centrifuged at 11 500 r.p.m. in a Sorvall SS34 rotor. The pellet was suspended in one-fifth the original lysate volume of STM buffer (0.25 M sucrose, 20 mM Tris-HCl, pH 8.0, 2 mM MgCl₂, 0.1 mM PMSF). DNase I (Amersham) was added to 40–50 units/ml and the suspension incubated on ice for 30–45 min. The lysate was diluted fivefold with STE-A buffer (0.25 M sucrose, 20 mM Tris-HCl, pH 8.0, 2 mM EDTA, 0.1 mM PMSF) and centrifuged. The pellet of crude mitochondria was resuspended in one-fifth of STE-A, layered on a 20–35% Percoll gradient, and centrifuged at 24 000 r.p.m. in a Beckman SW28 rotor for 45 min. The turbid band of purified mitochondria near the bottom of the tube was withdrawn, washed four times with STE-B (0.25 M sucrose, 10 mM Tris-HCl, pH 7.5, 1 mM EDTA, 0.1 mM PMSF). The preparations consisted of mitochondrial vesicles of uniform size, essentially free of flagella and other cell debris.

Cytochrome *c* release assay

Full length and ΔTM recombinant Bax (kindly provided by Dr. B Antonsson (Serono International SA, Geneva, Switzerland) and Dr. JC Martinou (Universite de Genève, Geneva, Switzerland) and were produced as previously described.⁵⁷ One hundred μg of mitochondria were resuspended in 200 μl of KCl buffer (125 mM KCl, 0.5 mM EGTA, 5 mM succinate, 10 mM HEPES-KOH, pH 7.4, 4 mM MgCl₂, 5 mM Na₂HPO₄, 5 μM Rotenon) and incubated at 30°C with 400 nM of recombinant bax for 15 or 60 min. The reaction mixtures were centrifuged at 13 000 × *g* for 10 min at 4°C. The supernatant fraction corresponding to 5 μg of mitochondria was then analyzed by Western blot for the presence of cytochrome *c* or incubated with isolated mammalian nuclei to study its pro-apoptotic function.

Western blots

Protein determination was done using the DC Protein Assay (Bio Rad Laboratories, Hercules, CA, USA). Equal amounts of proteins from *L. major* cytoplasmic extract (25 μg of proteins) were boiled for 5 min in 2 × Laemmli sample buffer with 2-βME and run on a 4/20% polyacrylamide gel (Bio Rad). Proteins were then transferred to PVDF membrane (Amersham, Orsay, France), and immunoblotted with the rabbit polyclonal antibody raised against *Saccaromyces cerevisiae* cytochrome *c*⁴² or the mouse monoclonal anti-Hsp60 antibody (Stressgen, Victoria, Canada) and then visualized using horse-radish-peroxidase-conjugated secondary antibodies (Amersham), followed by enhanced chemiluminescence (Amersham).

Standard DNA agarose gel electrophoresis

DNA was extracted as previously described³⁸ and standard agarose gel electrophoresis was performed as described previously.^{25,26,38}

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