



Formation of the Apaf-1/cytochrome *c* complex precedes activation of caspase-9 during seizure-induced neuronal death

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Abstract

In this study we examine the *in vivo* formation of the Apaf-1/cytochrome *c* complex and activation of caspase-9 following limbic seizures in the rat. Seizures were elicited by unilateral intraamygdala microinjection of kainic acid to induce death of CA3 neurons within the hippocampus of the rat. Apaf-1 was found to interact with cytochrome *c* within the injured hippocampus 0–24 h following seizures by co-immunoprecipitation analysis and immunohistochemistry demonstrated Apaf-1/cytochrome *c* co-localization. Cleavage of caspase-9 was detected ~4 h following seizure cessation within ipsilateral hippocampus and was accompanied by increased cleavage of the substrate Leu-Glu-His-Asp-*p*-nitroanilide (LEHDpNA) and subsequent strong caspase-9 immunoreactivity within neurons exhibiting DNA fragmentation. Finally, intracerebral infusion of z-LEHD-fluoromethyl ketone increased numbers of surviving CA3 neurons. These data suggest seizures induce formation of the Apaf-1/cytochrome *c* complex prior to caspase-9 activation and caspase-9 may be a potential therapeutic target in the treatment of brain injury associated with seizures. *Cell Death and Differentiation* (2001) 8, 1169–1181.

Keywords: bcl-2; apoptosis; programmed cell death; necrosis; mitochondria; brain; epilepsy; CED-4; kainic acid

Abbreviations: Apaf-1, apoptosis protease activating factor-1; CARD, caspase recruitment domain; LEHDpNA, N-acetyl-Leu-Glu-His-Asp-*p*-nitroanilide; z-LEHD-fmk, z-Leu-Glu(OMe)-His-Asp(OMe)-fluoromethyl ketone; z-DEVDF-fmk, Z-Asp-Glu(OMe)-Val-Asp(OMe)-fluoromethyl ketone; VDVA, Val-Asp-Val-Ala-Asp; Ca²⁺, calcium; KA, kainic acid; EEG, electroencephalogram; FITC, fluorescein isothiocyanate; TUNEL, terminal deoxynucleotidyl transferase (TdT)-mediated dUTP nick end labeling; TNF, tumor necrosis factor

Introduction

Cell death in neurological diseases may be under the control of the caspase family of cell death proteases^{1–3} and while the initiating factor(s) remain to be clarified, surface-expressed, death receptor-linked caspase-8 or mitochondrion/cytochrome *c*-linked caspase-9 are likely candidates. Caspase-9 (Mch6/ICE-LAP6/Apaf-3) is an initiator of the intrinsic apoptotic cell death pathway and is an important activator of downstream effector proteases such as caspase-3.^{4,5} Caspase-9 is localized within the cytoplasm of many cell types although is most likely tethered to the mitochondrion in neurons.^{6,7} Following apoptotic stimuli, caspase-9 is released from the mitochondrion and is subsequently activated by oligomerization with apoptosis protease activating factor-1 (Apaf-1), the mammalian homolog of the *C. elegans* gene *ced-4*,⁸ released cytochrome *c* and dATP/ATP.^{9–11} The Apaf-1/cytochrome *c* complex interacts with procaspase-9 via opposing homophilic CARDs (caspase recruitment domains) leading to autocatalytic processing and activation of caspase-9.^{8,10,12–14} Caspase-9 or Apaf-1 gene deletion in mice leads to death of the embryo *in utero* with animals exhibiting brain hyperplasia and other phenotypes arising due to defects in apoptosis.^{5,15} Interestingly, the phenotype of Apaf-1-deficient mice is more abnormal supporting the involvement of Apaf-1 in additional non-caspase-9 pathways.^{5,15–17}

The mechanism by which seizures induce neuronal death remains incompletely understood and experimental studies have supported the involvement of both necrosis and apoptosis.^{18–21} Nevertheless, recent experimental and now human studies have implicated activation of caspases within vulnerable brain regions.^{22–27} While the events initiating the caspase cascade in these studies remain unknown caspase-9 involvement is favored by long-standing observations on seizure-induced disruption of calcium (Ca²⁺) homeostasis in mitochondria^{28,29} and the rapid release of cytochrome *c* following seizures.²⁵ We therefore examined seizure-induced formation of the Apaf-1/cytochrome *c* complex *in vivo* and expression and processing of caspase-9 following seizures. Presently, we demonstrate assembly of the Apaf-1/cytochrome *c* complex occurs *in vivo* following seizures and this precedes caspase-9 activation suggesting caspase-9 is a candidate initiator of neuronal death following seizures.

Results

Seizure model characteristics

To examine the role of caspase-9 in seizure-induced brain injury we elicited limbic seizures by intraamygdala microinjection of kainic acid (KA) as previously reported.^{25,30}

Seizures were monitored throughout by three intracranial electrodes and were terminated after 40 min by intravenous diazepam resulting in unilateral death of >50% hippocampal CA3 neurons with features of apoptosis.^{25,30} Neuronal death outside this region was minimal and confined to the injected amygdala and occasionally cortical neurons. While our EEG recordings suggest the seizures elicited by unilateral KA spread to the contralateral hemisphere as originally determined in this model,³¹ we did not detect significant DNA damage or neuronal death in this brain region in the present study despite previous reports of mild contralateral CA3 neuronal death in this model.^{30,32}

Apaf-1 localization in multiple cell compartments is unaffected by seizures

Previous studies using our model demonstrated that seizures elicited by intraamygdala KA activate caspase-3 within the ipsilateral CA3 subfield of the hippocampus and that this cell death is partly inhibited by z-DEVD-fmk, a caspase-3 inhibitor.²⁵ Since seizures induce significant loss of intracellular calcium homeostasis³³ and trigger cytochrome *c* release²⁵ we sought to examine the potential contribution of caspase-9 as the initiator caspase in this system and its activating factor Apaf-1.

Constitutive Apaf-1 expression was detected in control rat brain (Figure 1A) and total protein levels were unaffected by seizures ($n=3$ per group). To examine the subcellular distribution of Apaf-1 in rat brain we subjected seizure brain to subcellular fractionation to obtain cytosolic, nuclear and mitochondrial fractions. Figure 1B shows purity of each subcellular fraction. Subcellular fractionation ($n=4$ per group) determined that Apaf-1 was constitutively present in nuclear, mitochondrial and cytosolic compartments examined (Figure 1C) but seizures had no demonstrable effect on the subcellular expression levels of Apaf-1.

Apaf-1 is expressed in neurons and co-localizes with cytochrome *c* following seizures

Apaf-1 activates caspase-9 following binding of cytochrome *c*, which is released from the mitochondrion in response to certain cell death/apoptotic stimuli.^{8,9} We therefore used multi-label fluorescence immunohistochemistry to examine Apaf-1 expression and its localization with cytochrome *c* following seizures. Constitutive Apaf-1 immunoreactivity was detected throughout the brain in control sections, mostly in cells that double-labeled for the neuronal marker NeuN (Figure 2A–H). Apaf-1 also labeled cerebral microvessels throughout the cortex (Figure 2C) but did not label cells within white matter tracts (not shown). High power microscopic examination determined Apaf-1 appeared most often as a diffuse but strong immunostaining pattern throughout cells (Figure 2I). However, in some cells Apaf-1 also exhibited punctate cytoplasmic labeling suggesting localization within intracellular compartments. Apaf-1 immunolabeling did not overlap with cytochrome *c* to a significant extent in control neurons (Figure 2K). Twenty-four hours following seizures, Apaf-1 immunolabeling within many injured CA3 pyramidal

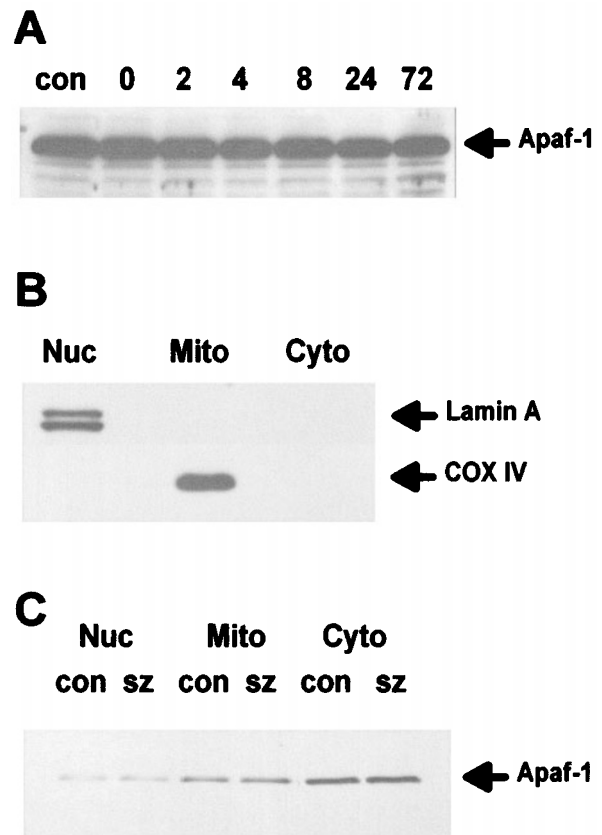


Figure 1 Apaf-1 expression following seizures. (A) Representative Western blot ($n=1$ per lane) demonstrating strong constitutive expression of the ~130 kDa form of Apaf-1 in control (con) and seizure rat brain. Expression of Apaf-1 was unaffected by seizures. (B) Subcellular fraction purity is shown for the nucleus (nuc), mitochondria (mito) and cytoplasm (cyto). (C) Apaf-1 subcellular distribution in control brain samples and 24 h following seizures (sz) within nuclear, mitochondrial and cytosolic fractions. No difference in Apaf-1 distribution was detected following seizures. Time is shown in hours following seizure termination. COX IV, cytochrome IV oxidase

neurons became strongly punctate within the cytoplasm and overlapped with cytochrome *c* (Figure 2L–N). Quantification of this localization within the CA3 (from $n=4$ fields) determined that punctate cytosolic Apaf-1 immunolabeling markedly overlapped with cytochrome *c* in more than 70% of neurons examined. Co-localization of Apaf-1 and cytochrome *c* also became prominent in many cells of the piriform cortex and the amygdaloid nucleus, brain regions that often exhibit injury following seizures (not shown). These data support the largely neuronal expression of Apaf-1 and suggest Apaf-1 may associate with cytochrome *c* during the cell death process initiated by seizures.

Apaf-1 binds cytochrome *c* *in vivo* following seizures

To further establish the association of Apaf-1 with cytochrome *c* following seizures we examined their *in vivo* interaction by immunoprecipitation using an antibody against cytochrome *c* to extract associated proteins from ipsilateral (injured) hippocampal extracts ($n=4$ per group). To confirm the

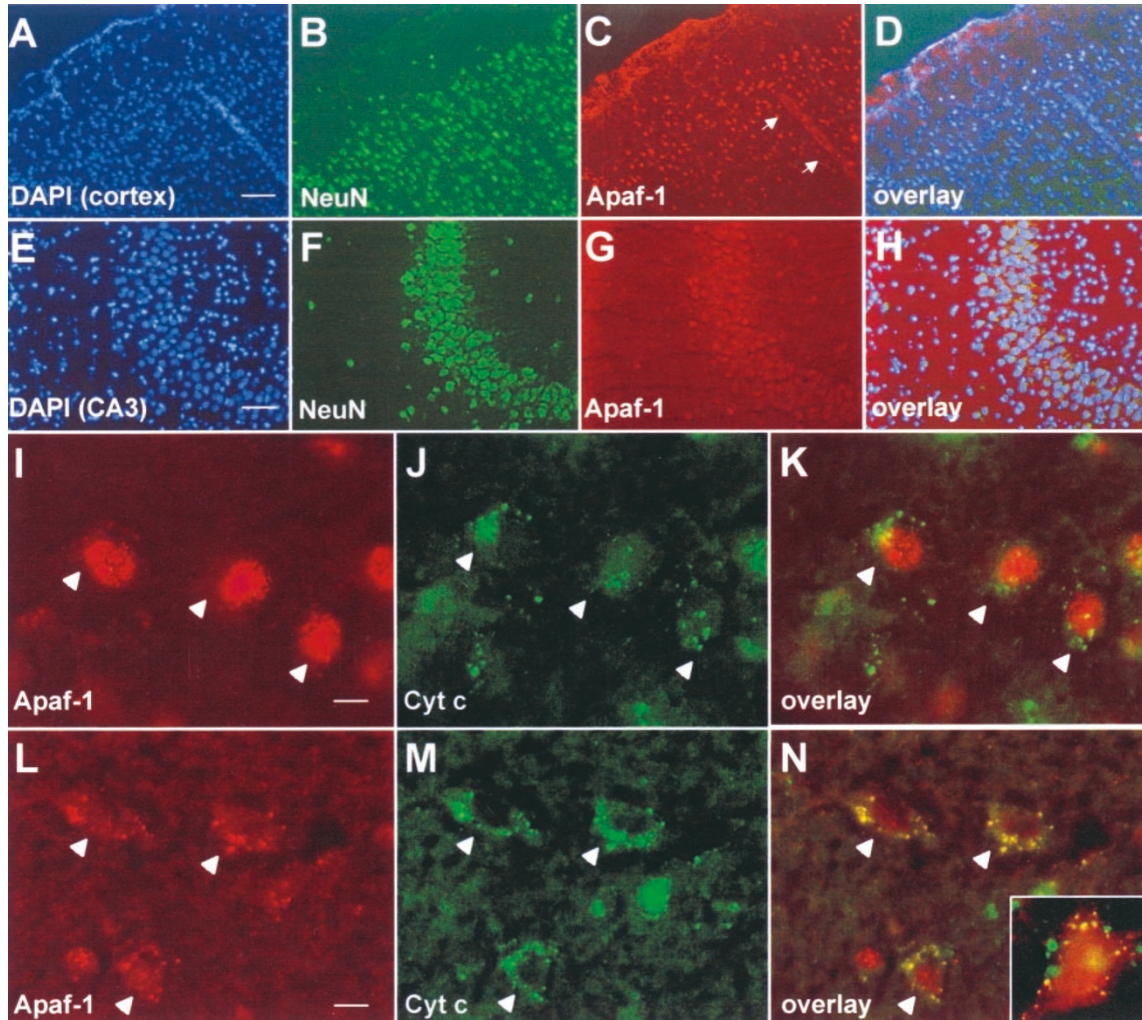


Figure 2 Apaf-1 immunohistochemistry and co-localization with cytochrome *c* following seizures. (A–D) Immunohistochemistry determined that Apaf-1 (red) expression was mostly detected within NeuN-labeled (green) neurons in control rat brain cerebral cortex as confirmed by triple image overlay with the nuclear marker DAPI (blue). Apaf-1 was also expressed within cerebral microvessels (arrows in C). Apaf-1 was expressed within hippocampal CA3 neurons (G) as revealed by co-immunostaining with NeuN (F) and image overlay (H). High power microscopic analysis determined that Apaf-1 staining (I) had a diffuse cytoplasmic appearance. Cytochrome *c* (green) labeling (J) had a punctate, cytoplasmic appearance in control brain, and Apaf-1 and cytochrome *c* did not co-localize (K). Arrowheads mark particular cells of interest. Twenty-four hours following seizures, Apaf-1 immunoreactivity (L) exhibited a more punctate pattern of labeling within injured CA3 neurons which co-localized with cytochrome *c* (M) as confirmed by yellow/gold coloring in image overlay (N). Insert in (N) shows a 100X magnification of a single neuron within seizure-injured CA3. Yellow/gold puncta indicates extensive Apaf-1 (red) co-localization with cytochrome *c* (green) following seizures. Scale bar: A–D=300 μ m, 5 \times magnification. E–H=150 μ m, 10 \times magnification. I–N=15 μ m, 40 \times magnification

specificity of the immunoprecipitation, whole brain lysate was probed with the Apaf-1 antibody (Figure 3A, lane 1), while a negative control (lane 2) shows the absence of any bands when the cytochrome *c* antibody was omitted from the immunoprecipitation. Apaf-1 was not detected in control, non-seizure brain subject to immunoprecipitation with anti-cytochrome *c* (Figure 3A, lane 3). In contrast, Apaf-1 was immunoprecipitated with cytochrome *c* from samples extracted 0–24 h following seizures (Figure 3A, lanes 4–6). Cytochrome *c* immunoprecipitation was confirmed by immunoblotting the same PVDF membranes with anti-cytochrome *c* (Figure 3B). Positive (lane 1; whole cell lysate) and negative (lane 2; no immunoprecipitation antibody) controls confirm specificity. This result demonstrates that seizures

trigger binding of constitutively expressed Apaf-1 to cytochrome *c* providing *in vivo* evidence for the established model for Apaf-1/cytochrome *c* complex formation during seizure-induced neuronal death in brain.

Caspase-9 is activated following seizures

Caspase-9 expression within neurons has previously been established and caspase-9 is activated following a number of neurological insults.^{6,34} However, its role in seizure-induced brain injury remains to be demonstrated. We detected constitutive expression of the ~50 kDa form of procaspase-9 in rat brain within ipsilateral hippocampus (Figure 4A) and levels were relatively unaffected by

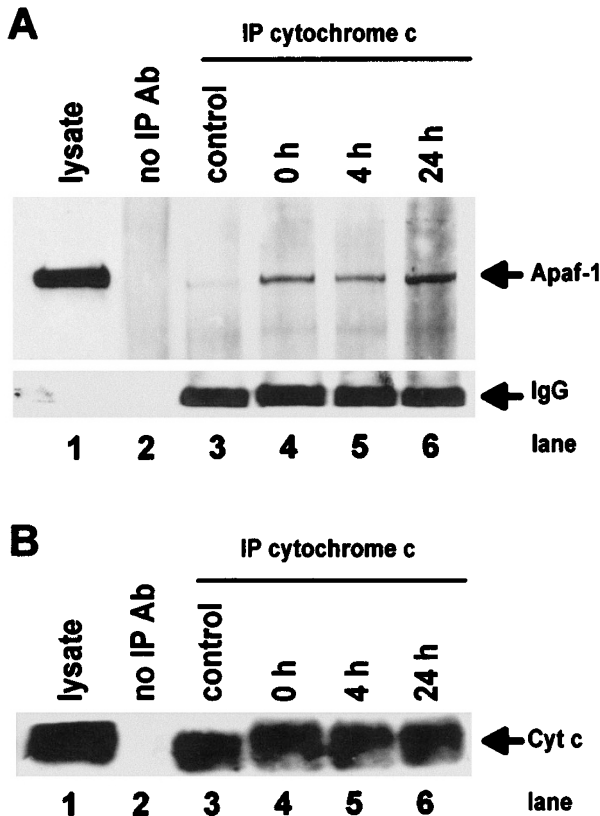


Figure 3 Immunoprecipitation of Apaf-1 with cytochrome *c* following seizures. (A) Representative Western blot ($n=2$ pooled samples per lane) showing total cell lysate detection of Apaf-1 (lane 1) as an ~ 130 kDa band. Lane 2, no cytochrome *c* (IP) antibody (negative control). Lanes 3–6 show immunoprecipitation of control and seizure hippocampus using anti-cytochrome *c* followed by probing with the Apaf-1 antibody. Lane 3, control (non-seizure) brain. Apaf-1 co-immunoprecipitated with cytochrome *c*, 0 h (lane 4), 4 h (lane 5) and 24 h (lane 6) following seizures. Confirmation of immunoprecipitated cytochrome *c* from total cell lysate is shown in (B) for the same treatments. IP, immunoprecipitation; Ab, antibody; Cyt *c*, cytochrome *c*

seizures ($n=3$ per group). Within ipsilateral hippocampus, a protein band of ~ 17 kDa corresponding to the large cleaved fragment of caspase-9 was detected ~ 4 h following seizure termination, and very faintly at 0 and 2 h, and this fragment persisted in samples until 72 h following seizures. In contrast, cleaved caspase-9 was not detected within contralateral hippocampus (Figure 4B) or contralateral cortex but was detected in some samples within ipsilateral cortex (not shown). Additionally, in samples from seizure animals ($n=2$) that received KA but did not undergo subsequent cell death-inducing polyspike paroxysmal discharges, also termed type IV seizures,^{27,30} the 17 kDa band was either barely detectable or absent (not shown), supporting previous findings³⁰ on the importance of this EEG pattern as the trigger for cell death.

To further establish caspase-9 activation following seizures we assayed caspase-9-like protease activity based on the preferred cleavage substrate of caspase-9.³⁵ We detected significantly increased LEHDase activity within ipsilateral hippocampus at 4 and 8 h following

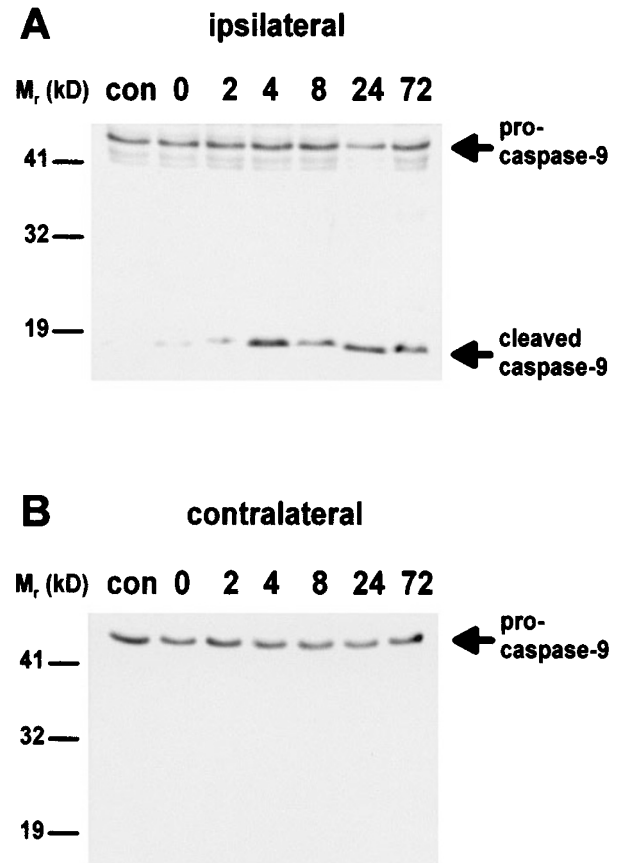


Figure 4 Caspase-9 expression and processing following seizures. (A) Representative Western blot showing constitutive expression of the ~ 50 kDa form of procaspase-9 in control (con) and seizure rat brain ($n=1$ per lane). Caspase-9 cleavage as evidenced by the appearance of the cleaved fragment was detected ~ 4 h following seizures and at all times thereafter. (B) Representative Western blot showing caspase-9 expression within contralateral hippocampus in which cleavage was not detected. Lane times are in hours following diazepam termination of seizures. Molecular weight (M_r) standards are depicted to the left

seizures (Figure 5A) after which activity declined ($n=4$ per group). Caspase-9 activity also increased somewhat within ipsilateral cortex 8 h following seizures although this did not reach statistical significance (Figure 5B). No significant changes were detected within either contralateral brain region. These data therefore support previous studies examining caspase-9 expression in brain and demonstrate that seizures activate caspase-9.

Caspase-9 immunoreactivity is increased in TUNEL-labeled neurons following seizures

To characterize the phenotype of caspase-9 expressing cells and the association of caspase-9 with the cell death mechanism we examined expression of caspase-9 by fluorescence immunohistochemistry and its response during seizure-induced neuronal death. Caspase-9 immunoreactivity was constitutively present within rat brain, mainly in cells with the morphological appearance of neurons (Figure 6A–D). Immunolabeling was predominantly cytoplasmic and had a

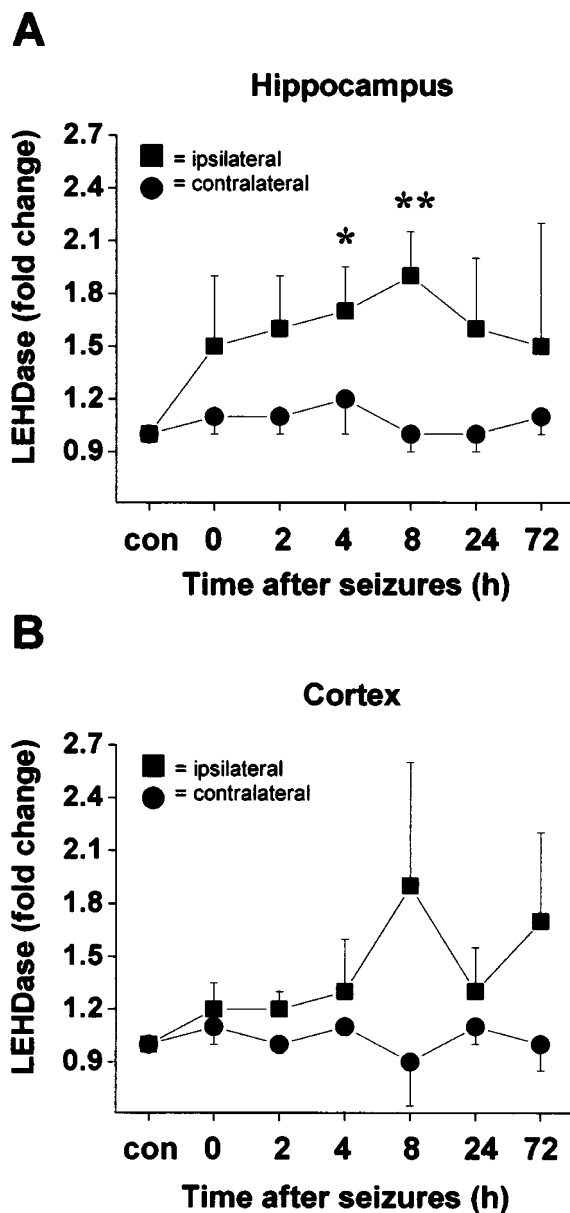


Figure 5 Increased caspase-9-like protease activity following seizures. Assay of caspase-9-like protease activity was performed based on the preferred LEHD amino acid substrate motif. **(A)** Seizures induced a significant increase in LEHDase activity within ipsilateral hippocampus 4 h and 8 h following diazepam compared to control (con). No significant changes in activity were detected in contralateral hippocampus. **(B)** Increases in LEHDase activity were also detected within ipsilateral cortex although this did not reach statistical significance. No changes in LEHDase activity were detected in contralateral cortex. Lane times are in hours (h) following diazepam termination of seizures. Data are shown as mean \pm S.E.M. * $P < 0.05$. ** $P < 0.01$

somewhat punctate appearance in many cells. Caspase-9 was also detected in some cerebral microvessels at low level (Figure 6C) although this expression was not affected by seizures and caspase-9 immunoreactivity was not detected within white matter tracts (not shown). Twenty-four hours following seizures, caspase-9 immunoreactivity was markedly

increased within CA3 pyramidal neurons that co-labeled for the DNA fragmentation marker TUNEL (terminal deoxynucleotidyl transferase-mediated dUTP nick end labeling) (Figure 6E–H). Further, caspase-9 immunoreactivity appeared strongly nuclear in dying/TUNEL-positive CA3 neurons in addition to an extra-nuclear, punctate cytoplasmic distribution (Figure 6G and insert in 6H). Thus caspase-9 is expressed mostly within neurons in brain and is strongly immunoreactive within the selectively vulnerable neurons that become TUNEL-positive following seizures providing additional circumstantial evidence for its role in mediating seizure-induced neuronal death.

Intracerebral z-LEHD-fmk infusion reduces seizure-induced LEHDase activity *in vivo*

To further examine the significance of caspase-9 activation in seizure-induced neuronal death additional animals received intracerebroventricular (i.c.v.) infusions of the putatively selective caspase-9 inhibitor z-Leu-Glu(OMe)-His-Asp-(OMe)-fluoromethyl ketone (z-LEHD-fmk) before seizures. Infusion of z-LEHD-fmk had no significant effect on the onset or offset time of seizures. *Ex vivo* assay of caspase-9-like protease activity ($n=4$ per group) confirmed that seizures induced a significant increase in LEHDase activity 4 h following seizure termination in animals that received i.c.v. vehicle (Figure 7A). Administration of z-LEHD-fmk significantly reduced ($84 \pm 11\%$) LEHDase activity compared to vehicle-treated animals that underwent seizures (Figure 7A). Further, LEHDase activity in seizure animals infused with z-LEHD-fmk was not significantly different to that found in non-seizure control animals supporting the effectiveness of z-LEHD-fmk infusion using this dosing regime. Seizures also increased DEVDase activity ($n=4$ per group), a putative measure of caspase-3/7 activity (Figure 7B) but while z-LEHD-fmk reduced DEVDase activity by $19 \pm 45\%$ ($n=4$ per group) this change did not reach statistical significance. Seizures also induced a small ($31 \pm 3\%$; $n=4$ per group) but significant ($P < 0.0001$) increase in VDADase activity (Figure 7C), a putative measure of caspase-2-like activity. Interestingly, VDADase activity was higher ($84 \pm 53\%$ compared to non-seizure control group) in seizure animals that received z-LEHD-fmk, although this increase did not reach statistical significance.

Intracerebral z-LEHD-fmk infusion reduces seizure-induced DNA fragmentation and neuronal death

Next we examined the *in vivo* effect of z-LEHD-fmk on TUNEL labeling and neuronal loss following seizures. Quantification of the EEG in these studies confirmed that the duration of all seizure types experienced by animals was equivalent between vehicle and z-LEHD-fmk-infused animals.

Seizures induced significant TUNEL labeling within cells of the CA3 subfield of the ipsilateral hippocampus when examined 72 h following diazepam administration (Figure 8A). Infusion of z-LEHD-fmk significantly reduced numbers of TUNEL labeled cells compared to seizure animals that received vehicle treatment ($n=6-7$ per group). No

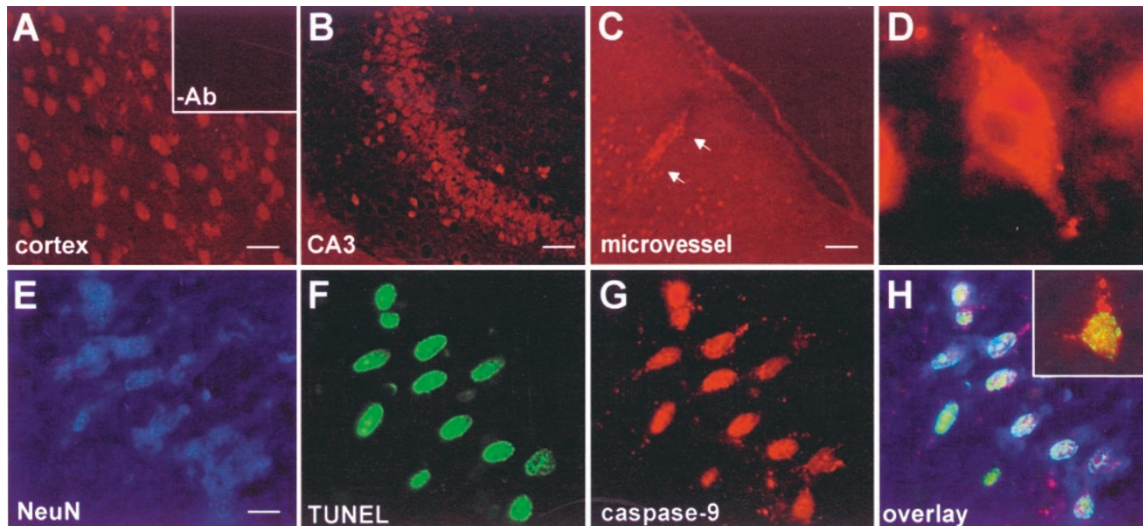


Figure 6 Caspase-9 immunohistochemistry and detection of TUNEL-positive cells following seizures. Caspase-9 immunolabeling (red) was constitutively present mostly in cells with the appearance of neurons within cortex (A) and hippocampus (B). Insert in A shows immunostaining of the cortex at 20 \times magnification from a section in which the caspase-9 antibody was omitted (-Ab). (C) Low power field showing caspase-9 immunolabeling of cells that include those of a cerebral microvessel (arrows). (D) A 100 \times magnification of a control caspase-9-labeled neuron. Note the largely cytosolic distribution with some red puncta suggestive of co-localization to intracellular structures. Twenty-four hours following seizures, (E) NeuN-labeled (blue) CA3 neurons that were TUNEL-positive (green) (F) exhibited increased caspase-9 immunoreactivity (G) as revealed by image overlay (H). Note strong nuclear appearance of caspase-9 immunoreactivity. Insert in (H) shows a 100 \times magnification of a single dying CA3 neuron 24 h following seizures. Caspase-9 shows both nuclear overlap (yellow/gold center of cell) with TUNEL as well as an extra-nuclear (red puncta) localization, suggesting caspase-9 is found in both compartments during the cell death process. Scale bar; A=50 μ m, 20 \times magnification. B=150 μ m, 10 \times magnification. C=300 μ m, 5 \times magnification. E-H=30 μ m, 40 \times magnification

significant TUNEL labeling was detected within the contralateral hippocampus (Figure 8B). Quantification of seizure-induced loss of pyramidal neurons from the ipsilateral CA3 subfield by light microscope examination of cresyl violet stained sections demonstrated that in seizure animals which received i.c.v. vehicle there was a 51.9% (range 33–65%) reduction in pyramidal neurons compared to numbers in non-seizure controls (Figure 8C). In contrast, numbers of pyramidal neurons were significantly higher (~35%) in seizure animals that received z-LEHD-fmk.

Representative photomicrographs showing histological outcome following seizure-induced injury to the CA3 subfield with or without z-LEHD-fmk treatment are shown in Figures 9A–F. In addition, representative TUNEL labeling within the CA3 is shown for animals treated with or without z-LEHD-fmk (Figure 9G–I). These data suggest that z-LEHD-fmk confers significant protection on neurons within cell populations vulnerable to seizures.

Discussion

While the contribution of necrosis and apoptosis to seizure-induced neuronal death remains controversial^{20,21} there are experimental and now human data supporting the involvement of the caspase family of cell death regulatory proteases in this process.^{25–27} However, the molecular events responsible for initiation of the caspase cascade following seizures remain unknown. In this article we have demonstrated the constitutive neuronal expression of caspase-9 and its activation co-factor Apaf-1 within rat brain. We found that

Apaf-1 and cytochrome *c* interacted *in vivo* following seizures as determined by co-immunoprecipitation and immunohistochemistry and this preceded the appearance of cleaved and active caspase-9. Finally, we showed that a putatively selective caspase-9 inhibitor, z-LEHD-fmk, reduced seizure-induced cell death *in vivo*. These data demonstrate seizure-induced assembly of the Apaf-1/cytochrome *c* complex occurs prior to caspase-9 activation *in vivo* and may be an important initiator of neuronal death following seizures.

The caspase family of cell death proteases may control neuronal death and are potential treatment targets for a variety of neurological diseases including epilepsy.^{1,3,26} Initiation of cell death likely occurs either following activation of surface death receptors of the tumor necrosis factor (TNF) superfamily such as Fas^{36,37} or following release of apoptosis activating factors from the mitochondrion.³⁸ Caspase-9 is the principal caspase of the latter, intrinsic pathway that is activated following its oligomerization with Apaf-1 and released cytochrome *c*^{9,10} and activation of caspase-9 has now been demonstrated following neuronal injury in ischemia⁶ and Alzheimer's disease.³⁴ However, little is known of the temporal sequence of this activation or the role of the principal activators of caspase-9 following *in vivo* brain injury. The initial event in formation of the caspase-9 activating complex is mitochondrial release of cytochrome *c*,⁹ an event reported following neurological injury in ischemia^{39,40} and after seizures.²⁵ The precise mechanism responsible for release of cytochrome *c* is much debated and likely model-specific,³⁸ although stimuli include permeability transition pore formation, mitochondrial membrane insertion

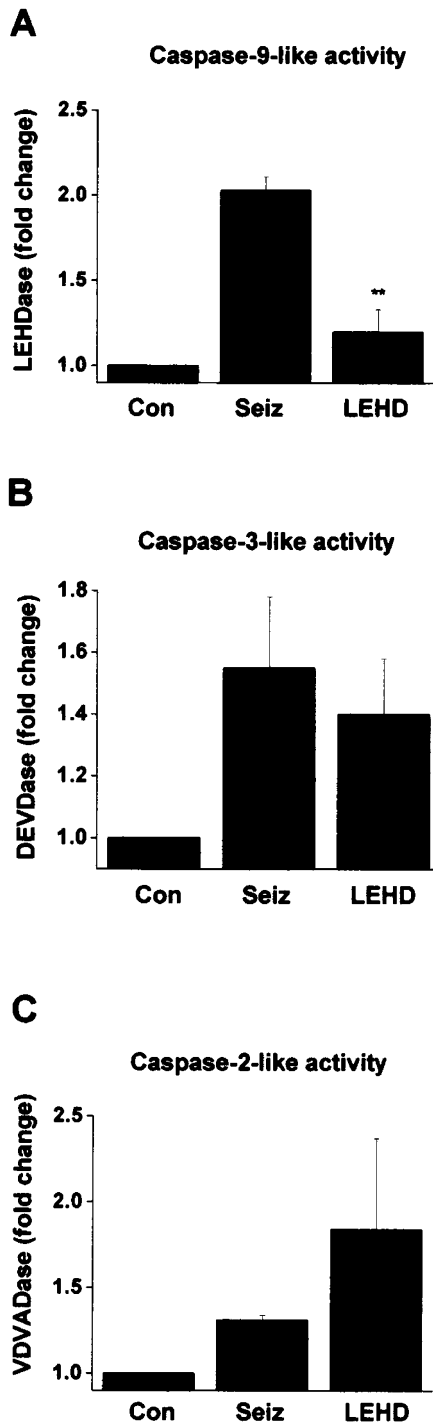


Figure 7 Effects of z-LEHD-fmk on caspase activity following seizures. (A) Measurement of caspase-9-like activity following seizures. z-LEHD-fmk significantly reduced LEHDase activity. (B) Measurement of caspase-3/7-like activity following seizures with or without z-LEHD-fmk. (C) Measurement of caspase-2-like activity following seizures with or without z-LEHD-fmk. Con, non-seizure control; Seiz, seizure control which received i.c.v. vehicle; LEHD, seizures+z-LEHD-fmk. ** $P < 0.01$

chrome *c* release has yet to be addressed, raised intracellular Ca^{2+} is a likely contributor since increased mitochondrial Ca^{2+} levels have long been established following seizures,³³ probably as a result of prolonged and/or repeated glutamate receptor activation.⁴⁴

Confirming previous studies,^{6,34,40} we detected constitutive neuronal expression of caspase-9 and Apaf-1 in brain (Figures 1, 2, 4 and 6). Control neuronal distribution of caspase-9 had a somewhat punctate cytosolic appearance suggestive of co-localization to intracellular structures as previously reported.⁶ Following seizures, dying/TUNEL-positive neurons exhibited strong nuclear expression of caspase-9 an observation reported following neuronal apoptosis after ischemia⁶ and suggestive of caspase-9 translocation to the nucleus during cell death, an event reported for caspase-3.⁴⁵ Also in agreement with previous studies⁴⁶ we found that Apaf-1 had a largely diffuse somal distribution that did not suggest substantial sequestration to intracellular structures. Subcellular fractionation demonstrated that Apaf-1 resides largely within the cytosol but is also detected in the mitochondrial and nuclear compartments of the cell (Figure 1C) and seizures did not lead to re-compartmentalization of Apaf-1 consistent with reports by Zhivotovsky *et al.*⁷ and Hausmann *et al.*⁴⁶ However, immunohistochemistry demonstrated that cellular distribution of Apaf-1 within seizure-damaged CA3 neurons did change, with Apaf-1 assuming a punctate appearance within cells and Apaf-1 strongly co-localized with cytochrome *c* (Figure 2N). This localization event is consistent with current models in which Apaf-1 forms large complexes with cytochrome *c* and caspase-9.^{11,47} However, this finding is in contrast to the report by Hausmann *et al.*,⁴⁶ in which apoptosis did not lead to demonstrable changes in the diffuse cytoplasmic distribution of Apaf-1. The explanation for the differences between these studies is unclear although may reside with differences between the cell death/apoptotic stimuli; *in vitro* UV radiation- and etoposide-induced apoptosis in considerable contrast to *in vivo* seizure-induced neuronal injury events in rat brain in the present report. However, our data complement to some extent findings for CED-4, the *C. elegans* gene product with which Apaf-1 shares homology, whereby CED-4 localized to intracellular (including nuclear) membranes during programmed cell death.⁴⁸

To extend the immunohistochemical data on Apaf-1/cytochrome *c* association, their interaction *in vivo* was examined by immunoprecipitating cytochrome *c* following seizures and immunoblotting with the antibody to Apaf-1. Formation of the Apaf-1/cytochrome *c* complex has yet to be shown following brain injury *in vivo*. Here, we demonstrated that Apaf-1 rapidly forms a complex with cytochrome *c* in the selectively vulnerable hippocampus following seizures (Figure 3A). This finding confirms and extends the immunohistochemical data and is contiguous with the established model of Apaf-1/cytochrome *c* complex formation prior to caspase-9 activation *in vivo*. The immediacy of this response also suggests Apaf-1 may be amongst the first signaling elements to respond to seizure-induced cell injury, placing mitochondrial events at the apex of the death cascade following seizures.

of cleaved Bid and/or Bax and raised intracellular Ca^{2+} .^{41–43} While the trigger for seizure-induced cyto-

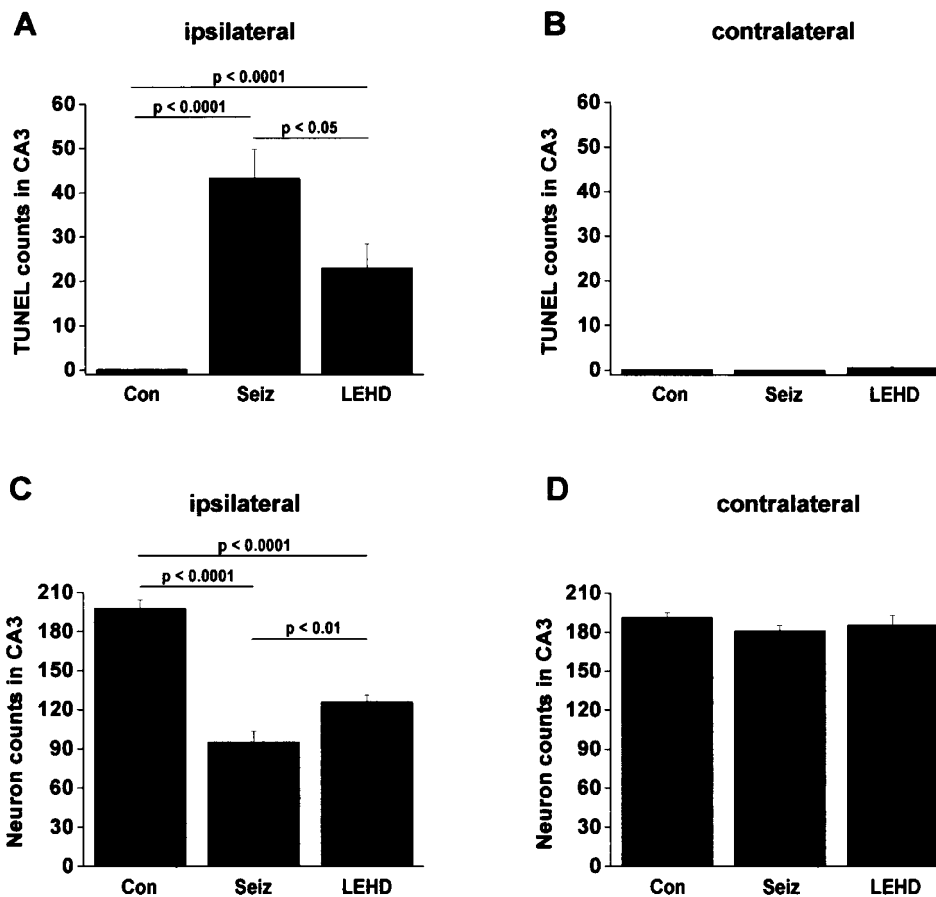


Figure 8 Effects of z-LEHD-fmk on seizure-induced DNA fragmentation and neuronal death 72 h following seizures for non-seizure controls, seizure controls and seizure animals which received z-LEHD-fmk. (A) TUNEL-positive cell counts within ipsilateral (injured) hippocampus showing z-LEHD-fmk significantly reduced TUNEL-positive counts within CA3 compared to seizure controls. (B) TUNEL cell counts within contralateral hippocampus. (C) Cell counts of surviving neurons from cresyl violet stained sections 72 h following seizures showing z-LEHD-fmk significantly increased the number of surviving cells within the ipsilateral CA3 subfield of the hippocampus compared to seizure controls. No changes in numbers of neurons were detected in the contralateral CA3 subfield (D). Con, non-seizure control; Seiz, seizure controls which received i.c.v. vehicle; LEHD, seizure animals that received z-LEHD-fmk

Activation of caspase-9 has been demonstrated in a number of neurological diseases including ischemia and Alzheimer's.^{6,34} We detected caspase-9 processing ~4 h following seizures by Western blotting and increased LEHDase activity, the preferred substrate of caspase-9.³⁵ This temporal profile of activation is therefore consistent with a requirement for the upstream release of cytochrome *c* and formation of the Apaf-1/cytochrome *c* complex preceding caspase-9 activation. Since caspase-9 cleavage was detected ~4 h following seizures and very faintly from 0–2 h (Figure 4A), this profile is also contiguous with an upstream role for caspase-9 in the processing of caspase-3, the principal caspase target substrate of caspase-9, which is cleaved 4 h following seizures.²⁵ Further, caspase-9 cleavage largely precedes the morphological appearance of cell death in this model³⁰ circumstantial support for its involvement as an initiator of cell death following seizures.

In further support of the involvement of caspase-9 in seizure-induced neuronal death we found that z-LEHD-fmk, a putatively selective caspase-9 inhibitor reduced seizure-induced DNA fragmentation and cell death within the

vulnerable CA3 subfield. This is the first study to demonstrate a neuroprotective effect of z-LEHD-fmk in the setting of seizure-induced neuronal injury. Further, if z-LEHD-fmk were blocking exclusively at the level of caspase-9 this may suggest intervention in the cell death process can be commenced after the point of cytochrome *c* release but before induction of the final executioner phase of cell death involving caspases such as caspase-3. The question of whether the 'point-of-no-return' lies before or after cytochrome *c* release remains undetermined⁴⁹ and while these data fall well short of a satisfactory answer they nevertheless add to an emerging view that cells might recover following cytochrome *c* release if caspases are blocked. An additional finding of interest was that z-LEHD-fmk seemed to increase VDADase activity perhaps reflecting previous observations of a function of caspase-2 as a compensatory caspase following loss of caspase-9 *in vivo*.⁵⁰ However, since the contribution of caspase-2 activation to seizure-induced neuronal death is questionable,²⁷ any such compensatory response may have limited significance.

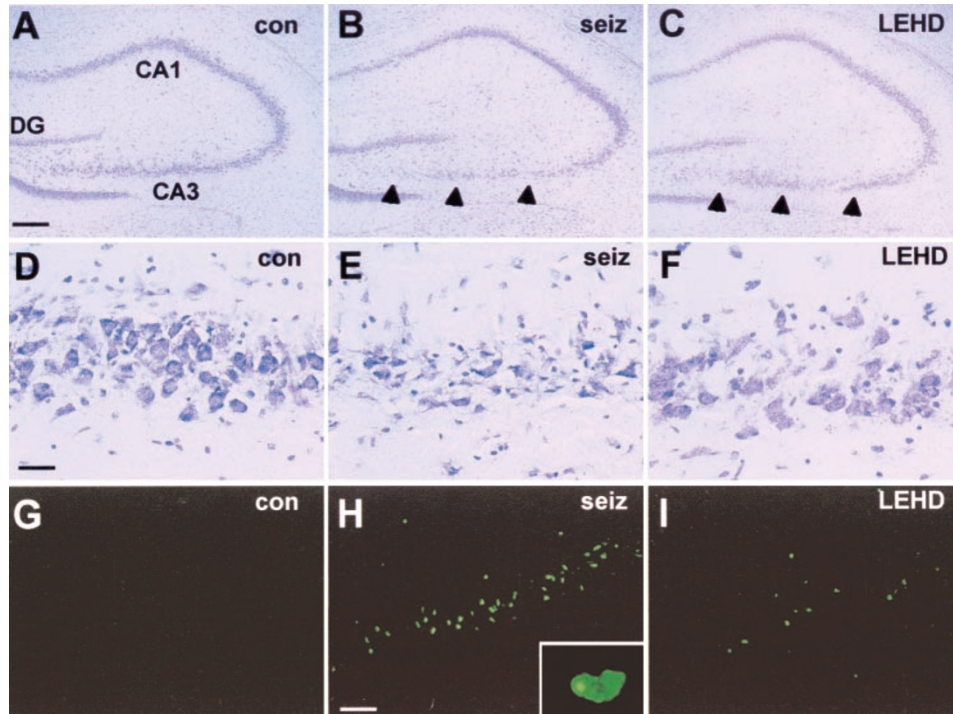


Figure 9 Histopathological micrographs illustrating effects of z-LEHD-fmk on neuronal injury following seizures. (A) Low power ($2.5\times$) photomicrograph showing cresyl violet staining of the entire hippocampus of a non-seizure control animal. (B) Photomicrograph from a seizure animal illustrating $\sim 50\%$ loss of CA3 neurons 72 h following seizure termination. (C) Low power view of hippocampus from an animal subject to seizures that received z-LEHD-fmk. Note the partial sparing of neurons within CA3. Arrowheads denote region of interest. (D) High power ($20\times$) photomicrograph showing CA3 pyramidal neurons from a non-seizure control animal. (E) Same power field from a representative seizure animal demonstrating death of many CA3 neurons. (F) View of the CA3 field from a representative animal that underwent seizures but received z-LEHD-fmk. (G) Low power ($10\times$) field of TUNEL-positive labeling (green) within the hippocampus of a non-seizure control animal in which TUNEL-positive cells were not detected. (H) TUNEL labeling within the CA3 from a seizure-animal demonstrating extensive labeling of many cells. Insert in (H) shows high power magnification of a representative TUNEL positive cell. Note the lobular shape suggestive of chromatin condensation in the nucleus. (I) TUNEL-labeling of cells within CA3 from a representative animal that received z-LEHD-fmk during seizures. Con, non-seizure control; Seiz, seizure controls which received i.c.v. vehicle; LEHD, seizures+z-LEHD-fmk. Scale bar; A–C= $350\mu\text{m}$. D–F= $40\mu\text{m}$. G–I is $100\mu\text{m}$. DG=dentate gyrus; CA1/3=hippocampal subfields

In the present studies z-LEHD-fmk did not confer greater protection on the brain than was previously demonstrated with z-DEVD-fmk in this model.²⁵ This is surprising since blockade of the caspase cascade at a more proximal site might be predicted to exert a more pronounced protective effect. The explanation for this remains unknown but may be due to a ceiling effect in this model, particularly if a substantial aspect of seizure-induced cell death occurs independently of caspases. The application of more selective caspase inhibitors in the future combined with additional studies to determine the temporal and sequential ordering of other caspases may better define the likely contribution of those caspases involved.

A number of limitations must be considered when interpreting the findings of the present study. First, Apaf-1/cytochrome *c* complex formation may not be the exclusive activation mechanism for caspase-9 as other co-factors and/or pathways have been proposed.^{51,52} Second, the LEHD cleavage motif is not ideally selective for caspase-9 since this substrate preference is shared with caspases 4 and 5 and may be a suitable substrate for caspases 6 and 8.³⁵ Finally, other caspases must also be considered as candidate initiators of the caspase cascade

including caspase-8²⁷ which can also process caspase-3 and is activated following stimulation of death receptors in a number of models of apoptosis^{36,37} and both caspase-8 and death receptors of the TNF superfamily are expressed in brain.^{53,54} Further studies are required to fully elucidate the sequence of events in the initiation of the caspase cascade following seizures.

This study demonstrates seizure-induced caspase-9 activation. However, questions remain as to the significance of this event in the control and regulation of the cell death process following seizures. Despite evidence for neuronal apoptosis following seizures,^{18,25,55} many cells within affected populations also exhibit features consistent with necrosis.^{19–21} While many researchers favor caspase activation as an exclusively apoptotic process,^{56,57} the ultrastructural features of necrosis in some seizure-damaged neurons suggest activation of the caspase pathway may occur concurrently with non-apoptotic cell death. Seizure-induced neuronal death may therefore be analogous with recent classifications of caspase-dependent cell death with necrotic features.^{52,58} Additional studies may help to clarify the nature of seizure-induced neuronal death and the extent to which caspases control this process.

The question of whether the present data translate into the clinical setting is unknown. There remains no clear consensus as to whether seizures, other than those during status epilepticus,⁵⁹ trigger neuronal death^{60,61} or whether the relatively brief seizures in these studies would trigger comparable changes in humans. Neuroimaging studies support seizure-induced death of neurons within the hippocampus^{62,63} and our previous studies have shown increased expression and cleavage of caspase-3 in brain samples from patients that underwent temporal lobe resection for recurrent seizures.²⁶ In that study, activation of caspase-3 was detectable in brain from patients experiencing as few as 1–2 seizures per day (unpublished data) and the majority of these patients were experiencing seizures originating from the temporal lobe,²⁶ to which our model may be analogous, suggesting human correlates may well exist. The clinical data also confirmed correlates existed between seizure frequency and expression of Bcl-x_L,²⁶ a gene that (negatively) regulates the cell death pathway, further support that involvement of apoptosis-regulatory proteins inferred by experimental observations do reflect clinically relevant phenomena. Undoubtedly further studies are required to substantiate links between findings in experiment seizure models and brain injury in human epilepsy patients, however these data do suggest incorporation of neuroprotective strategies targeting the caspase pathway may have clinical applications.

In summary, we have demonstrated that caspase-9 and the molecular machinery for its activation are present in brain. Further, Apaf-1 and cytochrome *c* form a complex temporally upstream of caspase-9 activation following seizures. These data support a role for caspase-9 in seizure-induced neuronal death and suggest caspase-9 and/or components of its activation system may be potential targets to mitigate brain injury in epilepsy.

Materials and Methods

Seizure model

All animal procedures were performed in a facility accredited by the Association for Assessment and Accreditation of Laboratory Animal Care (AAALAC) International in accordance with protocols approved by the Legacy Institutional Animal Care and Use Committee and the principles outlined in the National Institute of Health *Guide for the Care and Use of Laboratory Animals*. Adult male Sprague–Dawley rats (280–350 g) underwent seizures induced by unilateral stereotaxic microinjection of kainic acid (KA) into the basolateral amygdala nucleus as previously described.³⁰ Briefly, animals were anesthetized, intubated, ventilated and fitted with a venous catheter for drug administration. Animals were then placed in a stereotaxic frame and implanted with three recording electrodes (Plastics One, Inc, Roanoke, VA, USA) and an injection cannula. Anesthesia was discontinued, EEG recordings were commenced and then a 31 gauge internal cannula (Plastics One Inc.) was inserted into the lumen of the guide to inject KA (0.1 µg in 0.5 µl saline vehicle) into the amygdala. Non-seizure control animals underwent the same surgical procedure but received intraamygdala vehicle injection. The EEG was monitored until

diazepam (30 mg/kg; intravenous) was administered to terminate seizures after 40 min. The EEG was further monitored for up to 1 h to ensure seizure cessation. Quantification of the EEG into four (type I–IV) principal patterns was performed blind to treatment as previously described³⁰ and no significant differences were detected between groups in the duration of each principal pattern of seizure activity (not shown).

Western blotting

Animals were euthanized 0, 2, 4, 8, 24 or 72 h following administration of diazepam in seizure animals or after 4 or 24 h in non-seizure controls and ipsilateral and contralateral hippocampi and piriform cortex were obtained. Brain samples were homogenized and lysed in buffer containing the protease inhibitors phenylmethylsulfonylfluoride (PMSF) 100 µg/ml, leupeptin (1 µg/ml), pepstatin (1 µg/ml) and aprotinin (1 µg/ml). Protein concentration was determined using Bradford reagent spectrophotometrically at A⁵⁹⁵ nm and 50 µg samples were denatured in gel-loading buffer at 100°C for 6 min and then separated on 12% SDS-polyacrylamide gels. Proteins were transferred to PVDF membranes (BioRad, Hercules, PA, USA) and then incubated with a rabbit polyclonal antibody against caspase-9 (1:500 dilution), which recognizes the cleaved, large fragment (Cell Signaling Technology, Beverly, MA, USA) or a 1:1000 dilution of a rabbit polyclonal Apaf-1 antibody (Stressgen Biotechnologies, Victoria, British Columbia, Canada). Membranes were then incubated with a secondary antibody (1:2000 dilution) followed by chemiluminescence detection (NEN Life Science Products, Boston, MA, USA), and then exposed to Fuji RX film (Fuji, Tokyo, Japan). Images were collected with a Dage 72 camera and gel-scanning integrated optical density software (Bioquant, Nashville, TN, USA).

Subcellular fractionation

Ipsilateral hippocampus extracted 24 h following diazepam in control or seizure animals was processed to obtain cytosolic, mitochondrial and nuclear fractions according to previously described techniques^{7,25} with modifications. Briefly, tissue was homogenized in buffer containing 100 mM sucrose, 1 mM EGTA, 20 mM MOPS, pH 7.4 with 5% Ficoll, 0.01% digitonin, 1 µg/ml aprotinin, 1 µg/ml pepstatin, 2 µg/ml leupeptin, and 100 µg/ml PMSF and incubated for 15 min on ice. Samples were then centrifuged at 2500 × *g* for 10 min to precipitate the nuclei and cellular debris. The supernatant was then centrifuged at 15 000 × *g* for 15 min to pellet mitochondria. The supernatant was subsequently centrifuged at 100 000 × *g* for 60 min to obtain the cytosol (supernatant). The pellet containing the nuclei was resuspended in 10 mM Tris, 2.5 mM KCl, and 2.5 mM MgCl₂ and centrifuged through 2.1 M sucrose at 90 000 × *g* to recover the nuclear fraction. Fractional purity was confirmed by immunoblotting with lamin A for nucleus (Cell Signaling Technology) and cytochrome IV oxidase for mitochondria (Molecular Probes Inc, Eugene, OR, USA).

Co-immunoprecipitation

Animals were euthanized 0, 4 or 24 h following administration of diazepam in seizure animals or after 4 h in non-seizure controls. Brain samples were homogenized and lysed in buffer containing 1% NP-40 and the same protease inhibitor cocktail used for Western blotting and protein concentration was determined as described previously. Protein samples (1 mg) were incubated with 5 µg cytochrome *c* antibody (Pharmingen, San Diego, CA, USA) overnight at 4°C and then incubated with protein A/G agarose beads (Santa Cruz Biotechnology,

Santa Cruz, CA, USA) for 2 h at 4°C. The protein-bead complex was then washed, collected by centrifugation and samples were boiled in loading buffer and run on 12% SDS-PAGE gels, probed with anti-cytochrome *c* (Pharmingen) and anti-Apaf-1 (Stressgen Biotechnologies) and processed as described for Western blotting. Controls included running 50 µg whole cell lysate samples concurrently with the immunoprecipitation samples to confirm antibody specificity and omitting the immunoprecipitating (cytochrome *c*) antibody.

Caspase enzyme assay

Assay of caspase-9-like protease activity was performed according to previously described techniques.²⁵ Briefly, animals were perfused with 50 ml ice-cold PBS to remove contaminating intravascular blood components. Whole protein was extracted from each brain region of interest in lysis buffer (R & D Systems, Minneapolis, MN, USA) and 100 µg samples were incubated with reaction buffer and 5 µl of colorimetric caspase-9 substrate (N-acetyl-Leu-Glu-His-Asp-*p*-nitroanilide [Ac-LEHD-*p*NA]) in 105 µl total volume for 90 min at 37°C according to manufacturer's recommendations (R & D Systems). Enzyme catalyzed release of *p*-NA was measured at 405 nm using a microtitre plate reader (Molecular Devices, Palo Alto, CA, USA). Activity was expressed as fold(s) change over control once corrected for baseline (protein and buffer without colorimetric substrate).

Immunohistochemistry and DNA fragmentation

Fresh frozen cryostat sections (12 µm) from animals euthanized 0–72 h following seizures ($n=3$ per group) were pre-blocked in 2% goat serum and then incubated overnight at 4°C in a 1:200 dilution of the caspase-9 antibody, a 1:1000 dilution of the Apaf-1 antibody or a 1:2000 dilution of the cytochrome *c* antibody. Sections were then washed three times in PBS and incubated for 1 h at room temperature in a 1:1000 dilution of goat anti-rabbit Cy3 or goat anti-mouse FITC (fluorescein isothiocyanate) immunoconjugate (Jackson ImmunoResearch, West Grove, PA, USA). Sections were then washed and mounted in medium containing 4',6 diamidino-2-phenylindole (DAPI - Vector Laboratories, Burlingame, CA, USA) to assess nuclear morphology. Cell phenotype was determined by staining with mouse monoclonal anti-NeuN (Chemicon, Temecula, CA, USA) and FITC- or AMCA-conjugated goat anti-mouse secondary antibody (Jackson ImmunoResearch). In additional sections the primary antibody was omitted to assess non-specific staining. Immunolabeling was studied using a Leica microscope equipped for epifluorescent illumination under excitation/emission wavelengths of 340/425 nm (blue), 500/550 nm (green) and 580/630 nm (red). Images were collected using an Optronics DEI-750 3-chip camera equipped with a BQ 8000 sVGA frame grabber and analyzed using an image analysis system (Bioquant, Nashville, TN, USA).

Analysis of cells exhibiting DNA fragmentation was performed using a fluorescein-based terminal deoxynucleotidyl transferase (TdT)-mediated dUTP nick end labeling (TUNEL) technique (Roche Molecular Biochemicals, Indianapolis, IN, USA) to label double-stranded DNA breaks suggestive of apoptosis. Following fixing and permeabilization, sections were incubated with the TUNEL reaction mixture (Roche Molecular Biochemicals) and then processed as described for immunohistochemistry.

Intracerebroventricular administration of z-LEHD-fmk

Studies were performed as previously described with modifications.^{25,27} Animals were implanted with a cannula (coordinates from

Bregma; AP=−0.8 mm; L=−1.4 mm) to allow i.c.v. infusion (Harvard pump) of 0.2 µg z-LEHD-fmk (Enzyme Systems Products, Livermore, CA, USA) in 2 µl volume at a rate of 1 µl/min. Drug vehicle was 0.5% dimethylsulfoxide (DMSO) in artificial cerebrospinal fluid (Harvard Apparatus). Infusions were performed 30 min and 5 min before KA administration and 1 h after KA for enzyme assays. Caspase-9-like (LEHDase), caspase-3/7-like (DEVDase) and caspase-2-like (VDVA-Dase) activity was measured according to the methods described above and previously.^{25,27} Animals euthanized for histology received a fourth z-LEHD-fmk infusion (cumulative dose 0.8 µg) 24 h after KA to ensure maintenance of z-LEHD-fmk levels according to previously established protocols by our group and our collaborators.^{25,27} Controls included animals that received intraamygdala saline and i.c.v. vehicle (non-seizure controls) and intraamygdala KA and i.c.v. vehicle (seizure controls). Animals were euthanized 4 h after diazepam for enzyme assay or after 72 h for TUNEL positive cell counts and histopathology (surviving cell counts on cresyl violet-stained sections). Cell counts along the entire hippocampal CA3 pyramidal layer were performed in duplicate on adjacent sections at the level of Bregma −2.5 mm according to anatomical locations defined in a rat brain atlas.⁶⁴ Cell counts in cresyl violet-stained sections were performed blinded to treatment. Surviving neurons exhibiting normal morphology within the anatomically defined field of interest were included in counts while shrunken and grossly irregular shaped neurons were omitted. TUNEL-positive cells were counted using scanning software (Bioquant).

Data analysis

Data are presented as mean ± standard error of the mean (S.E.M.). Data were analyzed using one-way analysis of variance (ANOVA) with appropriate *post hoc* tests (StatView software, SAS Institute, Inc., Cary, NC, USA). Significance was accepted at $P<0.05$.

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