



# Butyrate mediates Caco-2 cell apoptosis via up-regulation of pro-apoptotic BAK and inducing caspase-3 mediated cleavage of poly-(ADP-ribose) polymerase (PARP)

Frank M. Ruemmele<sup>1</sup>, Serge Dionne<sup>1</sup>, Ijaz Qureshi<sup>1</sup>,  
Dittakavi SR Sarma<sup>3</sup>, Emile Levy<sup>2</sup> and Ernest G. Seidman\*<sup>1</sup>

<sup>1</sup> Department of Pediatrics, Intestinal Immunology Laboratory, Ste. Justine Hospital, University of Montreal, Quebec, Canada

<sup>2</sup> Department of Nutrition, Ste. Justine Hospital, University of Montreal, Quebec, Canada

<sup>3</sup> Department of Pathobiology and Laboratory Medicine, University of Toronto, Canada

\* corresponding author: E.G. Seidman, Chief, Division of Gastroenterology-Nutrition, Ste. Justine Hospital, 3175 Cote Ste.-Catherine Rd., Montreal Quebec, H3T 1C5, Canada  
tel: (1) 514 345 4626; fax: (1) 514 345 4999;  
e-mail: seidmanernest@UMontreal.ca

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## Abstract

Butyrate exerts potent anti-tumor effects by inhibiting cancer cell growth and inducing apoptosis. However, the molecular mechanisms mediating these effects remain largely unknown. Using the Caco-2 cell line, a well established model of colon cancer cells, our data show that butyrate induced apoptosis (maximum 79%) is mediated via activation of the caspase-cascade. A key event was the proteolytic activation of caspase-3, triggering degradation of poly-(ADP-ribose) polymerase (PARP). Inactivation of caspase-3 with the tetrapeptide zDEVD-FMK completely inhibited the apoptotic response to butyrate. In parallel, butyrate potently up-regulated the expression of the pro-apoptotic protein bak, without changing Caco-2 cell bcl-2 expression. Butyrate-induced Caco-2 cell apoptosis was completely blocked by the addition of cycloheximide, indicating the necessity of protein synthesis. However, when this inhibitor was added at a time point where bak expression was already enhanced (12–16 h after butyrate stimulation), it failed to protect Caco-2 cells against apoptosis. Taken together, these data provide evidence that the molecular events involved in butyrate induced colon cancer cell apoptosis include the caspase-cascade and the mitochondrial bcl-pathway.

**Keywords:** colon cancer; apoptosis; Caco-2 cells; butyrate; short chain fatty acid; caspase; bcl-2; bak

## Introduction

Short chain fatty acids, particularly butyrate, are thought to play an important role in preventing the development of colon cancer.<sup>1–3</sup> An inverse relationship between colon tumor mass and fecal butyrate levels was observed in rats, supporting this

hypothesis.<sup>4</sup> Various mechanisms have been proposed to explain how this particular short chain fatty acid inhibits tumorigenesis. Butyrate has been shown to inhibit cancer cell growth, leading to differentiation.<sup>5–7</sup> Furthermore, butyrate is a potent inducer of apoptosis in cancer cells *in vitro*.<sup>8,9</sup> Recently, Dolara *et al*<sup>10</sup> provided evidence that oral administration of butyrate in form of an enteric-resistant slow-release pellet significantly increased colonocyte apoptosis rate in a rat model of colon cancer, indicating a potential anti-cancer effect *in vivo*.

Over the past few years, major advances have been made in our understanding of the molecular mechanisms that trigger apoptosis. The regulation of apoptotic cell death is often altered in transformed cells. The most common mutations in colon cancers cells are not surprisingly found among genes implicated in the regulation of apoptosis, including the p53 gene.<sup>11</sup> An important pathway leading to apoptotic cell death is via the activation of the intracellular cascade of cysteine proteases, now referred to as caspases.<sup>12</sup> The recruitment of cytoplasmic death domains to activated membrane receptors, such as the p55 TNF-receptor or FAS, results in the activation of these caspases, which are synthesized as zymogens. This cascade is thought to represent a major regulatory step in the apoptotic pathway. One key endpoint in this cascade is activation of caspase-3, which cleaves several substrates such as the DNA-repair enzyme poly (ADP-ribose) polymerase (PARP) or DNA-fragmentation factor (DFF 45), leading to the typical 180 bp-DNA strand breaks observed in the course of apoptosis. Recently, Medina *et al*<sup>13</sup> put forward evidence that butyrate induced apoptosis in cancer cells is triggered via activation of the caspase cascade.

In this study, the mechanisms of butyrate-induced apoptosis were studied using the Caco-2 colon cancer cell line. Butyrate induced apoptosis required the activation of caspase-3 and was completely abolished by specific caspase-3 inhibition. Rapid cleavage of PARP by caspase-3 was observed in apoptotic Caco-2 cells in response to butyrate. Inhibition of protein synthesis by cycloheximide blocked the apoptotic effect of butyrate, indicating the necessity of protein-neosynthesis to execute apoptosis. Cycloheximide also inhibited the activation of caspase-3. Furthermore, butyrate induced upregulation of the pro-apoptotic protein bak in apoptotic Caco-2 cells was also suppressed by cycloheximide.

## Results

### Effect of butyrate on Caco-2 cell proliferation

Butyrate inhibited Caco-2 cell proliferation in a dose-dependent manner, as shown in Figure 1. This effect was

observed at concentrations as low as 0.1 mM ( $89 \pm 3\%$  versus control,  $P < 0.01$ ). Maximal inhibition by butyrate was noted at a dose of 10 mM ( $62 \pm 8\%$  versus control,  $P < 0.01$ ).

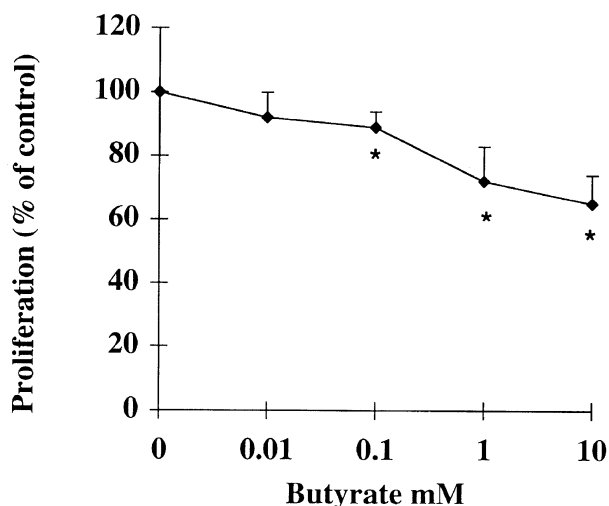
### Effect of butyrate on Caco-2 cell apoptosis

Under control conditions, near-confluent Caco-2 cell monolayers showed a spontaneous apoptosis rate of  $9 \pm 3\%$  after 24 h, which gradually increased to  $18 \pm 6\%$  at 72 h. Incubation with butyrate strongly induced Caco-2 cell apoptosis in a time- and dose-dependent manner, with an initial lag-phase at 14–16 h. A maximal apoptotic response of  $79 \pm 12\%$  was observed with 100 mM butyrate after 24 h incubation (Figure 2a). Almost the same effect ( $76 \pm 8\%$ ) was seen with tenfold lower butyrate-concentrations when the incubation period was prolonged to 48 h.

Butyrate-treated cells showed the typical morphological characteristics of apoptosis when analyzed by immunofluorescence. After staining with the DNA-dye HOECHST 3342, nuclear condensation and fragmentation were observed, as were the formation of apoptotic bodies (Figure 2b). Incubation of butyrate-treated Caco-2 cells with FITC-labeled annexin V revealed the presence of phosphatidyl serine on the external leaf of the plasma membrane (Figure 2c), an event characteristic of early apoptosis.

### Role of protein-synthesis in butyrate induced apoptosis

Butyrate induced Caco-2 cell apoptosis was observed to be dependent on protein synthesis. Simultaneous incubation of Caco-2 cells with butyrate and the protein synthesis inhibitor cycloheximide (100  $\mu\text{g/ml}$ ) markedly suppressed the pro-apoptotic effect of the short chain fatty acid (Figure 3a). To



**Figure 1** Effect of butyrate on Caco-2 cell proliferation. Caco-2 cells were cultured in the absence (control) or presence of butyrate at 0.01–10 mM for 20 h. Proliferation of the cells was measured by the incorporation of  $^3\text{H}$ -labeled thymidine, added 2 h prior to termination of the experiment. Basal proliferation without butyrate addition was set at 100%. Results are expressed as mean  $\pm$  S.D. versus control for five experiments (in triplicate). \* $P < 0.01$

further investigate the role of protein neosynthesis on butyrate induced Caco-2 cell apoptosis, cycloheximide was added at various time points after stimulation with butyrate. As shown in Figure 3b, butyrate-induced apoptosis was completely blocked if cycloheximide was added within 8 h of butyrate stimulation. Thereafter, the protective effect of cycloheximide was progressively less, with no significant protective effect if added 14 h or later after butyrate-stimulation.

### Activation of caspase-3 and subsequent degradation of PARP during butyrate-induced Caco-2 cell apoptosis

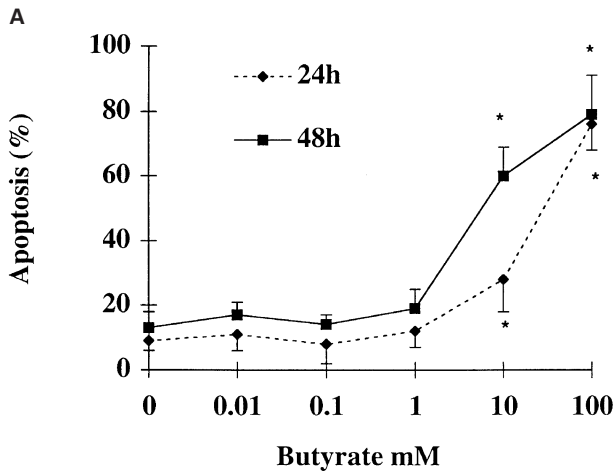
Western blot analysis of butyrate treated Caco-2 cells revealed cleavage of the 32 kDa pro-enzyme caspase-3 to its active 17 kDa form (Figure 4a). Butyrate (5–100 mM) strongly activated this caspase, whereas lower doses failed to do so, consistent with the observation of a lack of apoptotic response at lower butyrate concentrations. In the presence of cycloheximide, no activation of caspase-3 was observed at all butyrate-doses tested (Figure 4b), indicating that protein synthesis was required upstream to activate the caspase-cascade. In a second step, we assessed the degradation of the DNA-repair enzyme PARP, the known substrate of caspase-3. As shown in Figure 4c, minimal PARP-degradation was observed in unstimulated Caco-2 cells, indicating slight spontaneous apoptotic activity. Incubation with increasing doses of butyrate resulted in marked degradation of PARP, seen as a typical 89 kDa band, which was almost complete at butyrate-concentrations of 100  $\mu\text{M}$ .

### Inhibition of butyrate-induced Caco-2 cell apoptosis by zDEVD-FMK

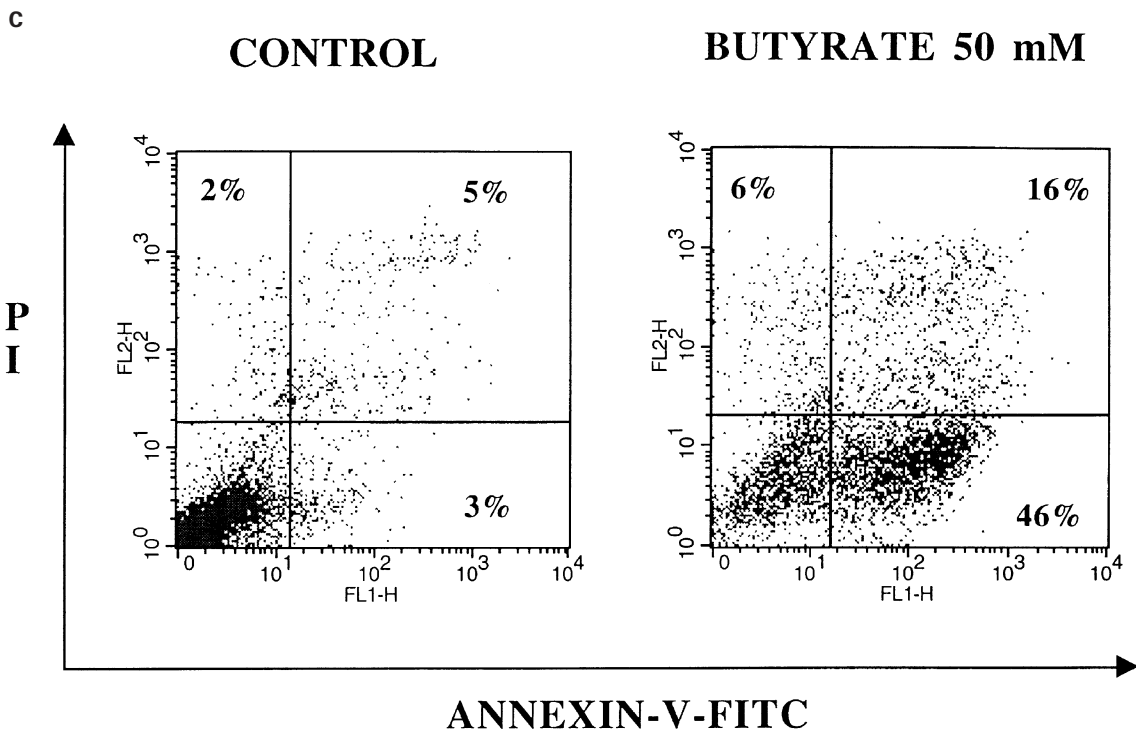
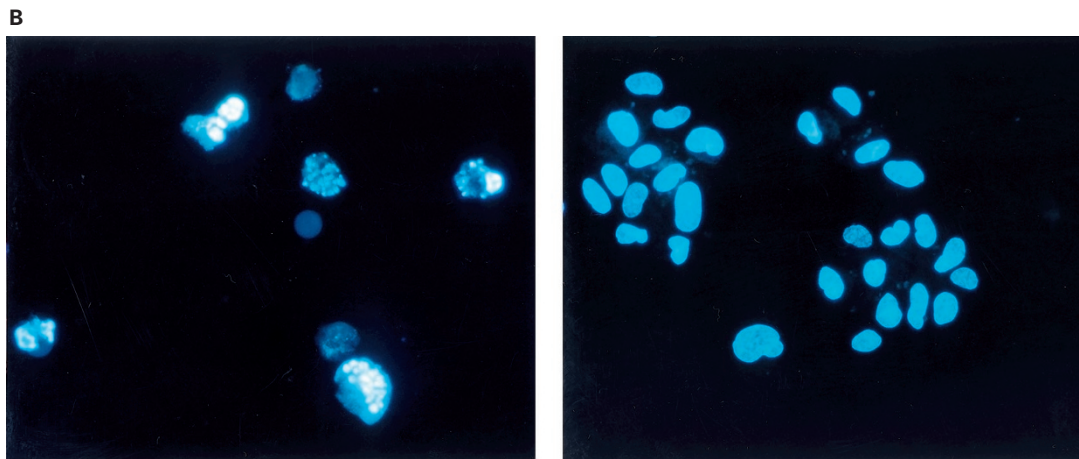
The role of PARP degradation by caspase-3 in butyrate-induced Caco-2 cell apoptosis was further examined using the caspase-3 inhibitor zDEVD-FMK. This tetrapeptide corresponds to the sequence at the cleavage site of PARP. During its proteolysis, zDEVD-FMK binds irreversibly to caspase-3, thereby inactivating the enzyme. As shown in Figure 5, increasing doses of zDEVD-FMK suppressed the apoptotic effect of butyrate. Complete inhibition of butyrate (10 mM)-induced apoptosis was observed with zDEVD-FMK concentrations of 100  $\mu\text{M}$  and higher. However, when Caco-2 cells were treated with high butyrate doses (50–100 mM) this inhibition of apoptosis was only partial ( $69 \pm 6\%$ ). The caspase-inhibitor zVAD-FMK primarily inhibits caspases of the ICE-group, and at higher concentrations, also blocked caspases-3 and -6. zVAD-FMK also significantly reduced butyrate-induced Caco-2 cell apoptosis (Figure 5). No shift towards necrotic cell death in response to butyrate was observed when the caspase-cascade was completely blocked by high doses of zVAD-FMK (100  $\mu\text{M}$  and higher).

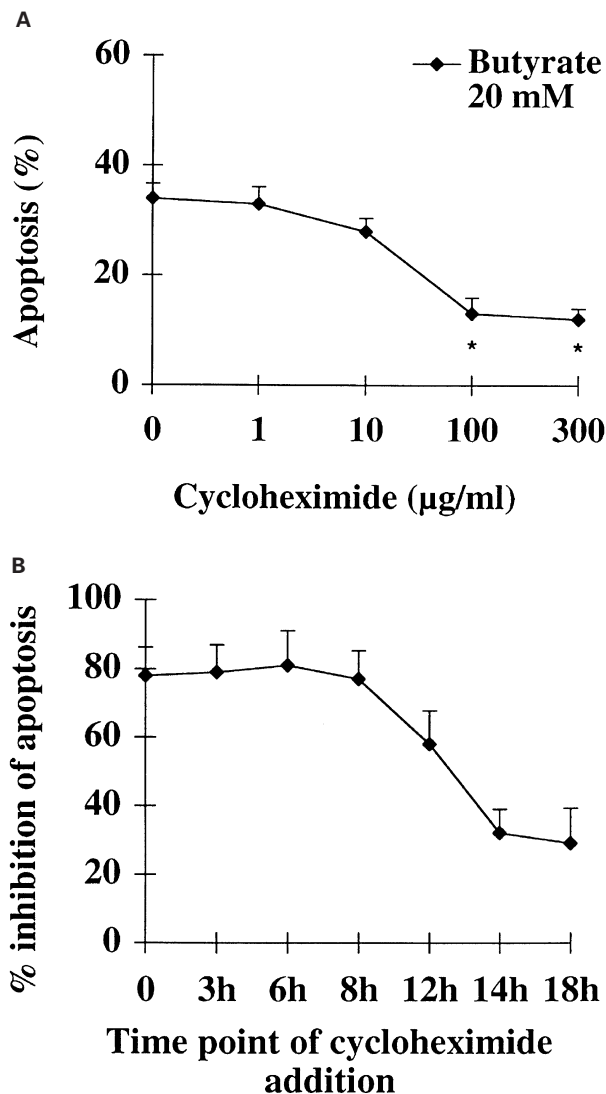
### Butyrate-induced upregulation of bak expression during Caco-2 cell apoptosis

Basal expression of the pro-apoptotic protein bak was low in Caco-2 cells. Expression of this protein was strongly



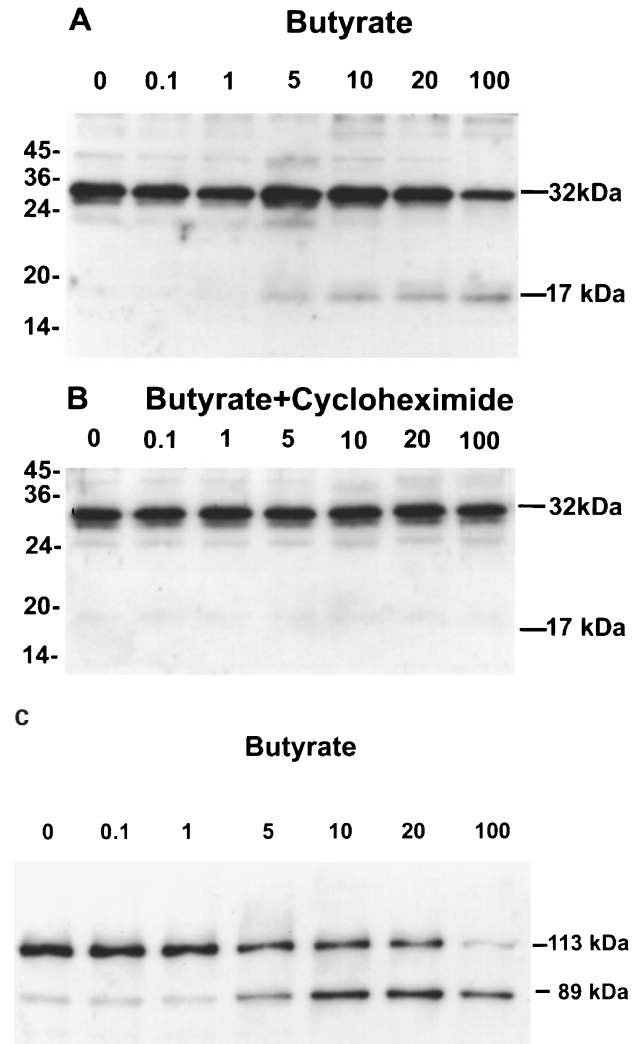
**Figure 2** Effect of butyrate on Caco-2 cell apoptosis. (a) Stimulation with butyrate 0.01–100 mM induced Caco-2 cell apoptosis in a dose-dependent manner as quantified by flow cytometry. \* $P < 0.01$ . (b) Immunofluorescence microscopy after staining with the HOECHST 33342 DNA dye revealed the typical features of apoptotic cells, with nuclear shrinkage, condensation and fragmentation in butyrate-treated Caco-2 cells. The nuclei of untreated cells have uniformly regular, oval shape. Original magnification  $\times 800$ . (c) Detection of the expression of phosphatidyl serine on the outer leaflet of the plasma membrane on apoptotic Caco-2 cells. During early apoptosis, butyrate-treated Caco-2 cells expressed phosphatidyl serine which binds FITC-labeled Annexin V, allowing quantification by flow cytometry. One representative of five similar experiences is shown





**Figure 3** Effect of protein synthesis inhibition on butyrate-induced Caco-2 cell apoptosis. (a) Simultaneous stimulation of Caco-cells with butyrate (20 mM) and the protein synthesis inhibitor cycloheximide (1–100 µg/ml), resulted in a clear suppression of butyrate induced apoptosis. (b) Time course analysis after the addition of cycloheximide to butyrate-stimulated Caco-2 cells revealed that complete inhibition was observed within 8 h, with progressively less protective effect thereafter. No significant protection was seen when cycloheximide was added 14 h or later after butyrate-stimulation. \* $P < 0.01$

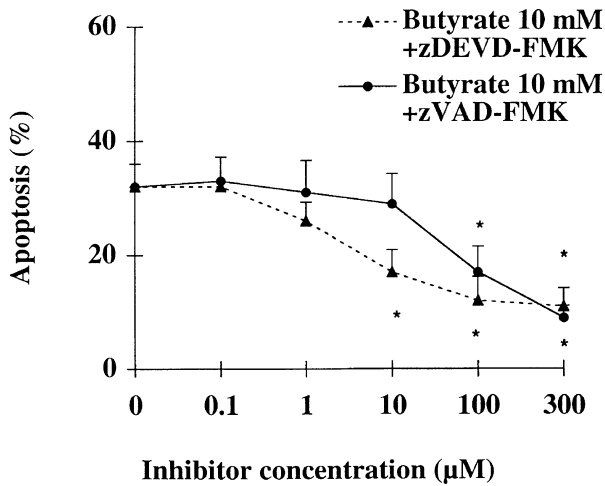
upregulated by butyrate, in a dose-dependent manner (Figure 6a). Increased expression of bak in response to butyrate (50 mM) was detectable after 12–16 h of butyrate treatment and progressively increased thereafter. Once again, in the presence of cycloheximide (100–µg/ml), butyrate induced bak-upregulation was completely blocked (Figure 6b). Caco-2 cell expression of the anti-apoptotic protein bcl-2 was not changed by butyrate, under all experimental conditions tested. Taken together, the data show that butyrate induced a strong shift in the ration of anti- to pro-apoptotic bcl-family proteins, in favor of an apoptotic response.



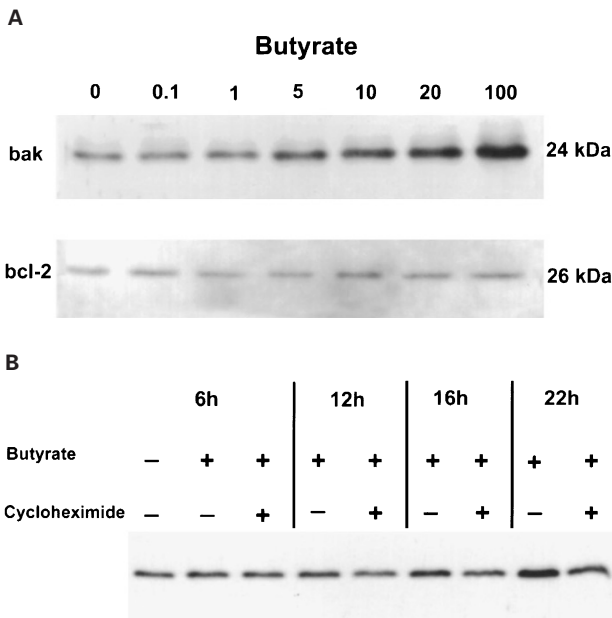
**Figure 4** Western blot analysis of the effect of butyrate on the caspase-cascade. Immunoblotting was performed as described in Material and Methods. (a) Butyrate (0.1–100 mM, 24 h) induced the cleavage of caspase-3 (32 kDa) into its active form (17 kDa). (b) The activation of caspase-3 by butyrate (0.1–100 mM) was completely inhibited in the presence of the protein synthesis inhibitor cycloheximide (100 µg/ml). (c) The caspase-2 mediated degradation of PARP (113 kDa) into a 89 kDa fragment was clearly butyrate dose-dependent

## Discussion

This study provides new insight into the molecular mechanisms by which butyrate induces colon cancer cell apoptosis. Using the Caco-2 cell model, the data presented demonstrate that butyrate directly activates the intracellular caspase cascade. This classical apoptosis pathway transduces the apoptotic signal of a great variety of stimuli, including TNF $\alpha$ , Fas ligand, and others.<sup>14,15</sup> One endpoint of this cascade is the activation of caspase-3, which rapidly cleaves several key substrates implicated in the execution of apoptotic cell death, including PARP, DFF-45, lamin A, etc.<sup>15</sup> The inactivation of PARP leads to a breakdown of the DNA-repair system, allowing its degradation.<sup>16</sup> The importance of this step in butyrate-induced apoptosis was further underscored in the



**Figure 5** Inhibition of butyrate-induced Caco-2 cell apoptosis by zDEVD-FMK. Butyrate-induced Caco-2 cell apoptosis was quantified by flow cytometry using the Apo-Alert-Annexin V assay. The addition of zDEVD-FMK (0.1–300 µM), a caspase-3 inhibitor, potentially blocked butyrate-induced apoptosis, in a dose-dependent manner. Similarly, the ICE-inhibitor zVAD-FMK, a broad-range caspase inhibitor, blocked butyrate induced Caco-2 cell apoptosis in a dose-dependent manner, without shifting the apoptotic response to a necrotic form of cell death. \* $P < 0.01$



**Figure 6** Effect of butyrate on Caco-2 cell bcl-2/bak protein expression. (a) Western blot analysis revealed that butyrate did not change Caco-cell bcl-2 expression, whereas bak was strongly induced by butyrate (0.1–100 mM, 24 h). (b) Time-course analysis revealed an increased bak expression after 12–16 h in response to butyrate (50 mM). This upregulation was completely suppressed in the presence of cycloheximide (100 µg/ml)

present study. Inactivation of caspase-3 with zDEVD-FMK, which irreversibly binds with a very high affinity to the catalytic site of this particular caspase, completely inhibited butyrate-induced Caco-2 cell apoptosis.

The use of the broad-range caspase-inhibitor zVAD-FMK, which blocks ICE-like caspases (caspase-1, -4, -5), at low concentrations and CPP-32-like caspases (caspase-3) at higher concentrations, confirmed the necessity of the caspase-cascade in the execution of butyrate induced apoptosis. Recent reports indicated that complete caspase inhibition with this inhibitor may shift the apoptotic response to a necrotic form of cell death. This was demonstrated for the FAS ligand in murine L929 fibrosarcoma cells<sup>17</sup> and for TNF $\alpha$  in intestinal epithelial cells.<sup>18</sup> In contrast to these observations, no necrotic cell death was observed in Caco-2 cells in response to butyrate, despite complete caspase inhibition with high zVAD-FMK doses. The data indicate that distinct signaling mechanisms exist for butyrate, clearly different from those employed by the death receptors FAS or the p55 TNF-R. Taken together, our results demonstrate that butyrate-induced Caco-2 cell apoptosis is caspase-3 dependent, in keeping with a recent report in other cell lines.<sup>13</sup>

Butyrate is known to affect the expression of several genes potentially involved into the regulation of cell death.<sup>19</sup> It is well established that butyrate exerts many effects via the inhibition of histone deacetylase, which leads to chromatin relaxation, altering gene expression.<sup>20</sup> Our data led us to speculate that one of these newly transcribed gene products is responsible for the activation of the caspase cascade. The most likely candidate in the Caco-2 cell model might be one member of the bcl-2 gene family. These proteins are important regulators and modulators of apoptotic signaling, primarily acting at the mitochondrial level.<sup>21</sup> Bcl-2 and bcl-xl are potent inhibitors of the caspase-cascade, whereas other proteins of this family, such as bax or bak, possess potent pro-apoptotic activity.<sup>22</sup> Clem *et al*<sup>23</sup> recently showed that bcl-2 directly interacts with specific caspases. Upon the induction of apoptosis, this protein is cleaved by caspases-1 and -3, thereby allowing further propagation of the initial apoptotic signal. Our data indicate that the butyrate-induced pathway is closely involved with the mitochondrial bcl-pathway, known to be critical to apoptosis in other models.<sup>24,25</sup> In this study, butyrate markedly upregulated the expression of pro-apoptotic bak, without altering Caco-2 cell bcl-2 expression. Time-course analysis of this upregulation revealed that the increased bak-expression was detectable just prior or concomitant to the occurrence of Caco-2 cell apoptosis, after 12–16 h of butyrate stimulation. Previous reports<sup>26</sup> clearly delineated that bak expression correlated positively with apoptosis in the normal intestinal epithelium. Furthermore, colon cancer cells, significantly more resistant to apoptosis than non-transformed colonocytes, display reduced levels of bak, whereas the expression of other pro-apoptotic bcl-2 family members, such as bax, is unaltered.<sup>27,28</sup> The ratio of pro- to anti-apoptotic bcl-2 proteins is an important regulator of a cell's susceptibility to undergo apoptosis upon a specific pro-apoptotic stimulus. Therefore, our observation of an increased bak expression in butyrate-stimulated Caco-2 cells points out to an additional, important effect of this particular short chain fatty acid by which it can enhance a colon cancer cell's susceptibility to apoptotic stimuli.

The relatively long lag-interval (14–16 h) between butyrate-stimulation and the induction of apoptosis is very suggestive of additional intermediate steps requiring protein synthesis. Indeed, inhibition of protein-synthesis with cycloheximide completely abolished butyrate induced Caco-2 cell apoptosis, in keeping with reports in other cells.<sup>13</sup> Furthermore, no butyrate induced activation of caspase-3 was observed in the presence of cycloheximide, suggesting that protein synthesis was required upstream of the caspase-cascade. To test the hypothesis that butyrate-induced bak upregulation as a critical step requires protein synthesis, the expression of this pro-apoptotic bcl-2 family member was analyzed at various time points in the presence or absence of cycloheximide. No butyrate induced upregulation of bak was observed when protein synthesis was completely blocked by cycloheximide, even after prolonged stimulation (36 h). Inhibition of protein synthesis at various time intervals after the onset of stimulation with butyrate revealed that cycloheximide completely suppressed butyrate-induced apoptosis when added during the first 8 h of butyrate stimulation, with progressively less effect when added later on. Addition of cycloheximide after 14 h of butyrate-stimulation, a time point where bak is already upregulated, failed to reduce Caco-2 cell apoptosis rate, indicating that inhibition of bak expression might play an important role in butyrate-induced Caco-2 cell apoptosis. However, we cannot completely exclude the possibility that additional events, upstream of bak, which also require protein synthesis, are implicated.

The major sources of intestinal butyrate are dietary, as an important endproduct of the colonic bacterial fermentation of fiber or milk fat. Butyrate concentrations effective in inducing cancer cell apoptosis are easily achieved under physiological conditions in the colon. Normal, non-transformed colonocytes use these short chain fatty acids as a major energy source. However, as clearly demonstrated in this and other studies, colon cancer cells are rapidly induced to undergo apoptosis when incubated with butyrate.<sup>1,4</sup> In summary, in this report we have uncovered two major pathways which mediate the apoptotic response to butyrate in colon cancer cells: the caspase-cascade and via upregulation of the pro-apoptotic protein bak at the mitochondrial level. Additional studies are needed to further elucidate the mechanisms upstream of caspase-3.

## Material and Methods

### Cell culture and materials

Caco-2 cells between passages 30–45 (American Type Culture Collection, Rockville, MD, USA), were cultured at 37°C with 5% CO<sub>2</sub> in Minimal Essential Medium (MEM, Gibco BRL, Grand Island, NY, USA), containing 1% penicillin/streptomycin, 1% MEM non-essential amino acids (Gibco BRL) and 5% fetal calf serum (FCS, Gibco BRL). The agents used in this study were: Na-butyrate (Sigma, St. Louis, MO, USA), the protein inhibitor cycloheximide (Sigma), the caspase-3 substrate and inhibitor zDEVD-FMK (Kamiya, Thousand Oaks, CA, USA), the ICE-inhibitor zVAD-FMK (Kamiya), anti-caspase-3 antibody

(Pharmingen, Mississauga, Ont., Canada) which recognizes the native (32 kDa) and the proteolytically active form (17 kDa), anti-PARP-antibody (Stratagene), anti-mouse-horseradish peroxidase (Promega, Madison, WI, USA) anti-bcl-2-FITC (DAKO, Mississauga, Ont., Canada), anti-bcl-2 antibody (Oncogene, Cambridge, MA, USA), anti-bak-antibody (Pharmingen), propidium iodide (PI, Sigma), and HOECHST 33342-DNA stain (Boehringer, Mannheim, Germany).

### Proliferation assay

These experiments were performed in 24-multi-well plates (Falcon Plastics, Oxnard, CA, USA) at a density of  $5 \times 10^4$  cells/ml. After a 24 h stabilization period in FCS-free medium, Caco-2 cells were cultured in the absence (control) or presence of butyrate (0.01–10 mM). Proliferation was quantified by monitoring changes in DNA synthesis, as measured by [<sup>3</sup>H]thymidine uptake (2  $\mu$ Ci/ml) added during the last 2 h of culture, as previously described.<sup>29</sup> Results were expressed as percentage of [<sup>3</sup>H]incorporation relative to control wells without butyrate.

### Apoptosis assays

Caco-2 cells were cultured in 24-multi-well plates to subconfluency and stimulated with butyrate (0.1–100 mM) and/or zDEVD-FMK (0.1–200  $\mu$ M), or zVAD-FMK (1–300  $\mu$ M) for up to 72 h. In parallel, experiments were performed in the presence of cycloheximide (100  $\mu$ g/ml) added simultaneously or after various time intervals (3–24 h) after butyrate-stimulation. Apoptotic cells were identified using the Apo-Alert Annexin V kit (Clontech, Palo Alto, CA, USA), according to the manufacturer's instructions. This allows the detection of phosphatidyl-serine on the external cell membrane early in apoptotic cell death. Increased permeability for the DNA-dye PI is noted during late phase apoptosis. Butyrate-induced apoptosis was thereafter quantified by flow cytometry (FACScan, Becton Dickinson, Mississauga, Ont., Canada). In parallel, the typical morphological criteria of apoptosis were confirmed by immunofluorescence after staining with the DNA-dye HOECHST 33342 (1  $\mu$ g/ml).

### Immunoblotting

The activation of caspase-3 and subsequent degradation of poly-(ADP-ribose) polymerase (PARP) were determined by Western blotting. In addition, changes in the expression of bcl-2 or bak were monitored by immunoblotting. Butyrate (0.1–100 mM) or butyrate+cycloheximide (100 mg/ml)-treated and control Caco-2 cell lysates were prepared using an ice-cold lysis buffer (50 mM Tris, 150 mM NaCl, 10 mM EDTA, 1% Triton) and a mixture of protease inhibitors (Boehringer). After determination of protein concentrations, equivalent samples were resolved on 8–14% SDS-polyacrylamide gels and transferred to nitrocellulose membranes (Bio-Rad). For immunodetection, the membranes were incubated overnight with anti-caspase-3- (1:1000), anti-PARP- (1:3000), anti-bcl-2 (1:100) or anti-bak-antibody (1:800) in Tris-buffered saline/Tween-20-1% milk powder, followed by incubation with the corresponding alkaline phosphatase-conjugated antibody (anti-mouse-IgG 1:2000, anti-rabbit-IgG 1:2500). The bands were read by enhanced chemiluminescence (ECL-kit, Amersham).

### Experimental design and statistical analysis

All experiments were performed in duplicate and were repeated at least four times. Representative experiments or mean values  $\pm$  S.D.

are shown. Statistical significance was determined by the Mann-Whitney U-test. Differences with *P* values <0.05 were considered significant.

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