



## Review

# Apoptosis inducing factor (AIF): a phylogenetically old, caspase-independent effector of cell death

Hans Kristian Lorenzo<sup>1</sup>, Santos A. Susin<sup>2</sup>, Josef Penninger<sup>3</sup> and Guido Kroemer<sup>\*2</sup>

<sup>1</sup> Massachusetts General Hospital, Harvard Medical School, Boston MA 02114, USA

<sup>2</sup> Centre National de la Recherche Scientifique, Unité Propre de Recherche 420, 19 rue Guy Môquet, F-94801 Villejuif, France

<sup>3</sup> The Amgen Institute and Ontario Cancer Institute, Department of Medical Biophysics and Immunology, University of Toronto, 620 University Avenue, Suite 706, Toronto, Ontario M5G 2C1, Canada

\* corresponding author: Dr. Guido Kroemer, 19 rue Guy Môquet, B.P. 8, F-94801, Villejuif, France. tel: 33-1-49 58 35 13; fax: 33-1-49 58 35 09; e-mail: [kroemer@infobiogen.fr](mailto:kroemer@infobiogen.fr)

Received 25.02.99; accepted 25.03.99

Edited by M. Piacentini

## Abstract

Although much emphasis has been laid on the role of caspase in cell death, recent data indicate that, in many instances, mammalian cell death is caspase-independent. Thus, in many examples of mammalian cell death the 'decision' between death and life is upstream or independent of caspase activation. Similarly, it is unclear whether PCD of plants and fungi involves the activation of caspase-like enzymes, and no caspase-like gene has thus far been cloned in these phyla. Apoptosis inducing factor (AIF) is a new mammalian, caspase-independent death effector which, upon apoptosis induction, translocates from its normal localization, the mitochondrial intermembrane space, to the nucleus. Once in the nucleus, AIF causes chromatin condensation and large scale DNA fragmentation to fragments of ~50 kbp. The AIF cDNA from mouse and man codes for a protein which possesses three domains (i) an amino-terminal presequence which is removed upon import into the intermembrane space of mitochondria; (ii) a spacer sequence of approximately 27 amino acids; and (iii) a carboxyterminal 484 amino acid oxidoreductase domain with strong homology to oxidoreductases from other vertebrates (*X. laevis*), non-vertebrate animals (*C.elegans*, *D. melanogaster*), plants, fungi, eubacteria, and archaeobacteria. Functionally important amino acids involved in the interaction with the prosthetic groups flavin adenine nucleotide and nicotinamide adenine nucleotide are strongly conserved between AIF and bacterial oxidoreductase. Several eukaryotes possess a similar domain organisation in their AIF homologs, making them candidates to be mitochondrial oxidoreductases as well as caspase-independent death effectors. The phylogenetic implications of these findings are discussed.

**Keywords:** mitochondria; cytochrome c

**Abbreviations:** AIF, apoptosis inducing factor; ANT, adenine nucleotide translocator; FAD, flavin adenine dinucleotide; NAD, nicotinamide adenine nucleotide

## Phylogeny of apoptosis in the three metazoan kingdoms

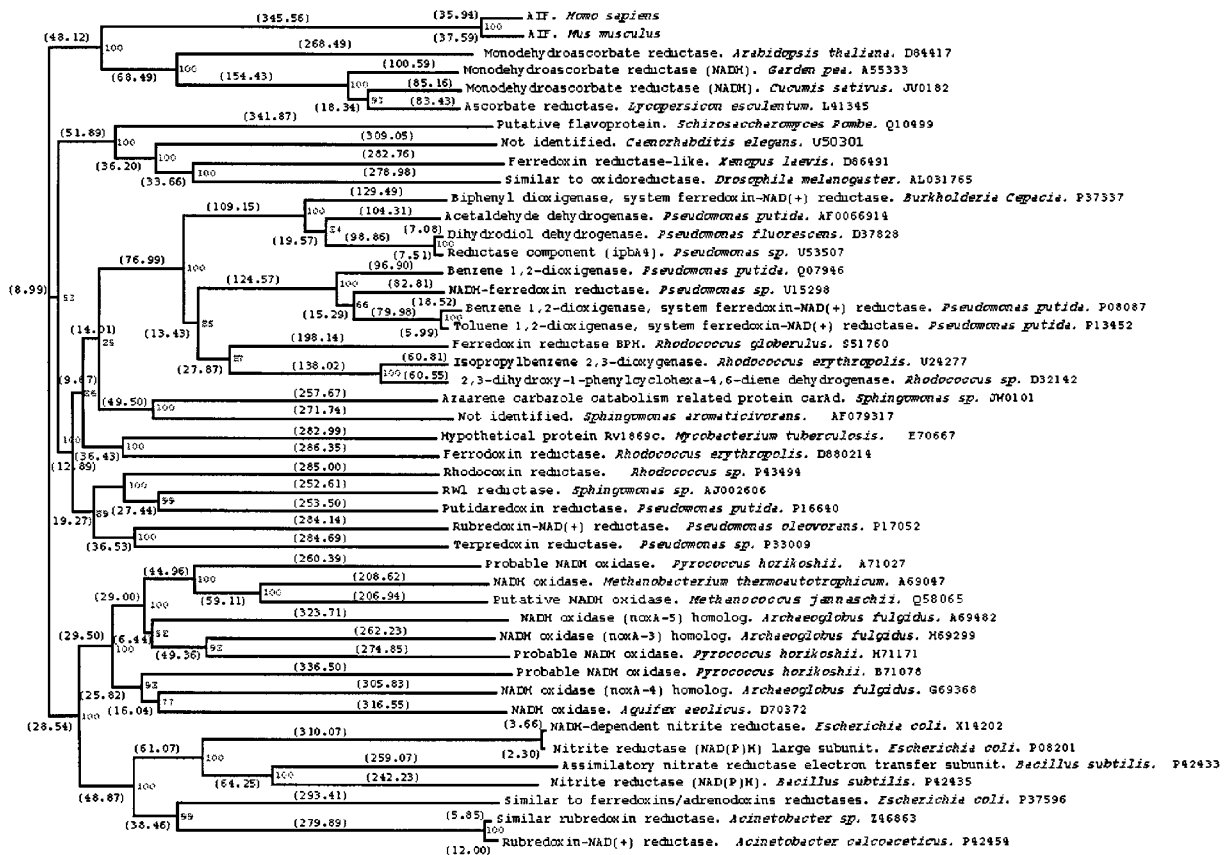
Programmed cell death (PCD) is known to play a major role in the development and/or stress responses of all three metazoan kingdoms (Plantae, Animalia, and Fungi). Two opposite scenarios may account for the evolution of PCD. As a first possibility, PCD would have evolved during the metazoan radiation in each major evolutionary branch independently. In this case, shared characteristics of PCD across phyla such as partial chromatin fragmentation and condensation, increased generation of reactive oxygen species, or loss of plasma membrane asymmetry<sup>1</sup> would be understood as *post mortem* manifestations of entropic processes. As a second possibility, PCD would have evolved in a primitive, unicellular eukaryotic ancestor, before the separation of the three metazoan kingdoms. We prefer this latter hypothesis, because some unicellular eukaryotes (*Trypanosoma cruzi*, *Trypanosoma brucei rhodesiense*, *Leishmania amazonensis*, *Tetrahymena thermophyla*, *Euglena gracilis*, *Schizosaccharomyces pombe*) can undergo cell death with some features of PCD/apoptosis such as chromatin condensation and large scale DNA fragmentation.<sup>2,3</sup> Moreover some domains of apoptosis-regulatory proteins (e.g. the 'apoptotic ATPase' domain of CED4/Apaf-1) first identified in animals can be found in proteins from plants, eubacteria (*Actinomyces* and *Bacillus subtilis*.) and the archaeon *Pyrococcus horikoshi*.<sup>4</sup> We and others have speculated that the primitive mechanisms of apoptosis could have been established as a by-product of the host-endosymbiont micro-ecosystem generated by incorporation of the primitive mitochondrion into the proto-eukaryotic host cell.<sup>5–8</sup> If PCD has arisen in the primitive eukaryote, then some basic features of cell death such as a regulated permeabilization of mitochondrial membranes should be the same in all metazoan branches of the evolutionary tree. In contrast, the mechanisms connecting the basic apoptotic 'program' to signals elicited by development or stress, could have evolved independently in different phyla (and perhaps within different classes).

Our present knowledge on death-regulatory mechanisms and death effectors in plants, fungi, and unicellular

eukaryotes is scarce, and no convincing evidence for universal PCD regulators/ effectors is available. Some key effectors of cell death are known to modulate PCD in cross-philum experiments. Thus, human Bax or *Caenorhabditis elegans* CED4 are known to kill *S. pombe* cells upon transfection-enforced overexpression.<sup>9,10</sup> In contrast, the cytoprotective role of Bcl-2 in *Saccharomyces cerevisiae* is a matter of debate,<sup>11</sup> and transgenic Bcl-2X<sub>L</sub> does not inhibit PCD in plants.<sup>12</sup> Importantly, mammalian PCD (apoptosis) is mostly coupled to the activation of caspases, which are indispensable for the acquisition of several hallmarks of advanced apoptosis (oligonucleosomal DNA fragmentation, formation of nuclear bodies, marked shrinkage).<sup>13</sup> However, there is limiting evidence for the existence of caspases in plants,<sup>14</sup> and end-stage differentiation-associated death of *Dictyostelium discoideum* cells has been demonstrated to occur in the presence of caspase inhibitors.<sup>15</sup> Moreover, oligonucleosomal DNA fragmentation, one of the caspase-dependent hallmarks of mammalian apoptotic cell death,<sup>16</sup> is not a central feature of plant or fungus cell death. Thus, whatever is the natural history of cell death, caspase activation is not a central feature of cell death across the metazoan kingdoms.

### The CED3/4/9 ‘apoptosome’: equally important in worms and mammals?

Our current view of the phylogeny of apoptosis has been profoundly influenced by pioneering work performed on the nematode *C. elegans*.<sup>17</sup> Three *C. elegans* death (CED) genes (*CED3*, *CED4*, *CED9*) have a major role in developmental cell death control, and current biochemical studies suggest that the death-inhibitory protein CED9 (a homolog of mammalian Bcl-2) interacts with the death-inducing proteins CED4 (a homolog of mammalian Apaf-1) and CED3 (a homolog of mammalian caspase-9), thereby preventing CED4 from activating the caspase CED3.<sup>18</sup> This molecular complex has been baptized as ‘apoptosome’.<sup>19</sup> The basic platform of the apoptosome is also found in mammals, where it contains additional molecules such as cytochrome *c*, which interacts with the mammalian CED4 homolog Apaf-1.<sup>20,21</sup> Cytochrome *c* does not interact with CED4.<sup>22</sup> This difference in apoptosome structure between worms and mammals is important, because it implies that accidental, stress-induced permeabilization of the outer mitochondrial membrane, which would induce cytochrome *c* release, will facilitate activation of the apoptosome in mammals, but not in *C. elegans*. This may explain, at least in part, why *C. elegans* cells do not undergo



**Figure 1** Phylogenetic organization of AIF homologs. Branch lengths are indicated in parentheses. Bootstrap resampling values from 100 replicates are presented at nodes. The GenBank accession codes of each AIF homolog are enumerated. The general topology of the tree remained unaltered, irrespective of the method chosen for its calculation (maximum parsimony or maximum likelihood). Moreover, high bootstrap resampling values support the non-randomness of internal branching order

apoptosis in response to environmental stress such as nutrient starvation or  $\gamma$ -irradiation. Indeed, stress-induced cell death is unwarranted in *C. elegans* because such cell death would compromise the survival of the entire worm.

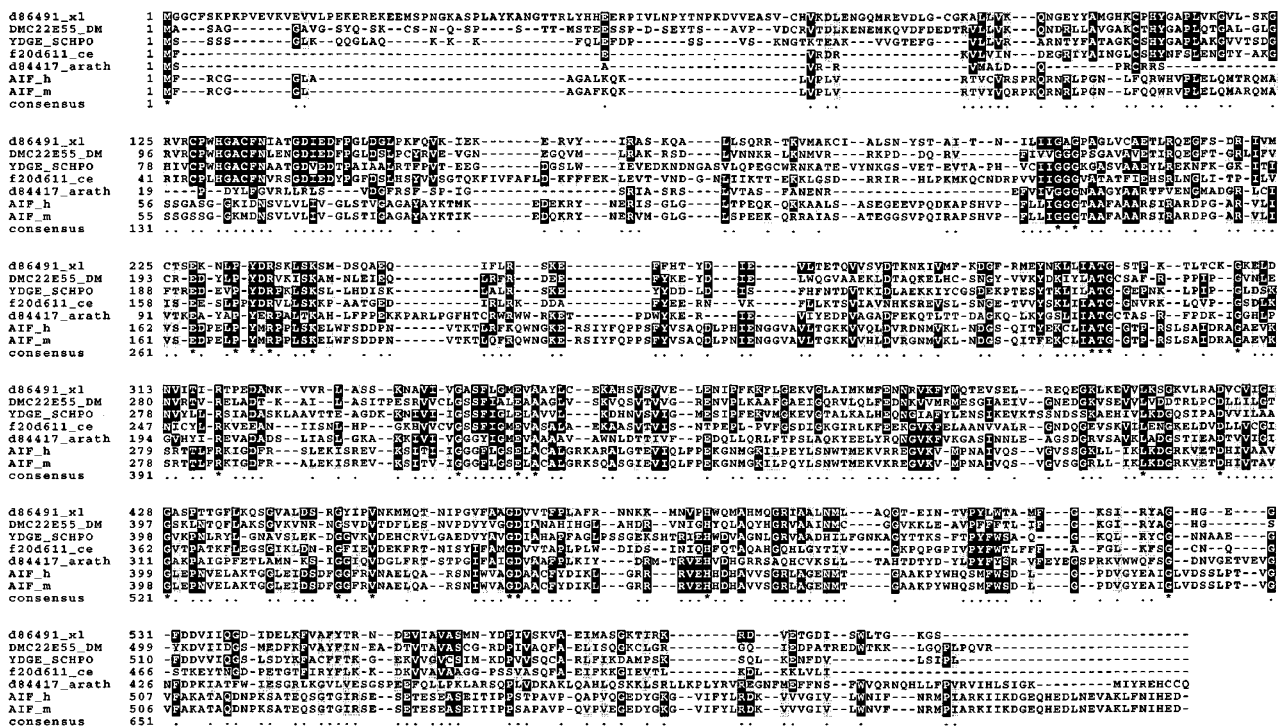
Gain-of-function mutations of CED9 or loss-of-function mutations of CED4 or CED3 have a major effect on the death of the 131 cells which are normally dismissed during the development of *C. elegans*. In contrast, the knock-out of Apaf-1, caspase-9, or Bcl-2 (or Bcl-XL) entails a surprisingly weak phenotype in the mouse.<sup>23–27</sup> Although these alterations cause death during the embryonic or early postnatal stage and cause major perturbations in the central nervous system,<sup>23–27</sup> most organs of the mouse develop near-to-normally, implying that cell death has not been deranged during development of, for instance, the cardiovascular system (vessel lumina), limb buds (interdigital spaces), or the lymphoid system.

Two possible explanations can be forwarded to explain the apparent discrepancy between genetic data obtained in the worm and in mammals. First, this difference may be attributed to the increased genetic redundancy of mammals leading to the compensation of defective death control by homologous genes of the same gene family. Second, it may be speculated that mammals possess additional, apoptosome-independent pathways involved in developmental cell death. Arguments in favor of this hypothesis, in particular the existence of caspase-independent death pathways, will be enumerated below. As a possibility, *C. elegans* may have lost most of the developmental cell death pathways during evolution, with the exception of the (presumably stress-

independent) apoptosome pathway. Alternatively, it may be argued that mammals have developed several apoptosome-independent pathways by adding additional death control modules (e.g. CD95/FADD/caspase-8) to a primitive, apoptosome-based death program.

### Caspase-independent death in mammals

In contrast to previous belief, inhibition of caspases does not prevent cell death in most mammalian models of apoptosis induction. Thus, when cell death is induced by Bax,<sup>28–30</sup> Bak,<sup>31</sup> c-Myc,<sup>31</sup> ligation of glucocorticoid receptors,<sup>32,33</sup> tumor necrosis factor,<sup>34</sup> interferon- $\gamma$ ,<sup>35</sup> crosslinking of CD2,<sup>36</sup> staurosporin,<sup>36,37</sup> ganglioside GD3,<sup>38</sup> DNA damage,<sup>32,39</sup> or infection with HIV-1,<sup>40</sup> cells normally die from full-blown apoptosis and manifest caspase activation. However, pan-caspase inhibitors, do not prevent cytolysis, nor do they prevent mitochondrial membrane permeabilization, although they usually abolish oligonucleosomal DNA fragmentation. Even Fas/Apo-1/CD95-induced cell death, a paradigm of receptor-mediated primary caspase activation,<sup>41</sup> is only retarded but not prevented by caspase inhibition, at least in L929 cells.<sup>42</sup> When caspase activation is inhibited indirectly, for instance, by culturing cells in conditions in which both glycolytic and respiratory ATP generation are prevented, cells also die without oligonucleosomal DNA fragmentation and without cellular shrinkage,<sup>43–45</sup> yet manifest nuclear condensation and DNA cleavage into large 50–150 kbp fragments undistinguishable from that seen in the early stage of apoptosis.<sup>46</sup>



**Figure 2** Alignment of amino acid sequences AIF (human and murine) against other AIF-like proteins from various sources: *Xenopus laevis* (D86491), *Drosophila melanogaster* (DMC22E5\_5), *Schizosaccharomyces pombe* (Q10499), *Caenorhabditis elegans* (accession number F20d6.11), and *Arabidopsis thaliana* (D86491). Residues identical to at least 50% of the aligned sequences are shaded in black and less conserved residues in gray

A similar pattern of death with mitochondrial alterations and phosphatidylserine exposure on the cell surface, yet absent caspase activation and advanced DNA breakdown, is found when cell death is induced by cross-linking CD4,<sup>47</sup> CD45,<sup>48</sup> CD99<sup>49</sup> or CXRC4,<sup>47</sup> overexpression of PMN,<sup>35</sup> adenovirus E4orf4,<sup>50</sup> or FADD.<sup>51</sup> Again, in these models of cell death induction, caspase inhibition has no cytoprotective effect whatsoever. Thus, specific interventions on cells, including cross-linking of surface receptors may cause a type of cell death that does not involve the activation of caspases.

Altogether, these observations underline the probable role of caspase-independent death mechanisms in the mammalian system. Caspases are required for the complete manifestation of apoptotic morphology, yet are dispensable for cell death to occur in many systems. In the presence of caspase inhibitors, only some features of apoptosis such as initial chromatin condensation is found. Of note, the morphology of cells dying in the presence of caspase inhibitors resembles that of unicellular eukaryotes (which lack caspases) induced to undergo PCD-like death.

### Apoptosis inducing factor (AIF) – an evolutionary conserved, caspase-independent death effector

During apoptosis, soluble mitochondrial intermembrane proteins are released through the outer mitochondrial membrane.<sup>52–57</sup> The mitochondrial intermembrane protein fraction contains an activity which suffices to force isolated HeLa nuclei to adopt an apoptotic morphology and to lose at least part of their DNA content.<sup>52,54</sup> We have baptized this activity ‘apoptosis inducing factor’ (AIF). Based on a cytofluorometric assay allowing measurement of the frequency of subdiploid nuclei exposed to mitochondrial proteins,<sup>58</sup> we have purified a protein which maintains its bioactivity in the presence of the caspase inhibitor Z-VAD.fmk.<sup>56</sup> This protein was found to be an ubiquitous FAD-binding flavoprotein.<sup>57</sup> Cloning of the full-length cDNAs corresponding to mouse AIF (612 amino acids) and human AIF (613 aa)<sup>57</sup> revealed that AIF is strongly conserved between the two mammalian species (92% aa identity in the whole protein) and bears a highly significant homology with oxidoreductases from all eukaryotic and prokaryotic kingdoms in its C-terminal portion (aa 128–612 for mAIF; 95% aa identity between mouse and human) (Figures 1 and 2).

We have investigated the evolutionary origins of AIF using database searches and phylogram calculations. AIF possesses significant homology with NADH ferredoxin reductases from both eubacteria and archaeobacteria. Among eukaryotes, strongest homology is seen with several plant ascorbate oxidoreductases, in particular with dehydroascorbate reductase from *Arabidopsis thaliana*, monodehydroascorbate reductase from *Cucumis sativus* (cucumber), and the ascorbate free radical reductase from *Lycopersicon esculentum* (tomato). Several among these plant genes are induced by stress such as heat, cold, superoxide anion, wounding, or fungal pathogens.<sup>59–64</sup> Further phylogenetic analysis

reveals that AIF also has a highly significant homology with four putative oxidoreductases from vertebrate (*Xenopus laevis*) and invertebrate (*C. elegans*, *Drosophila melanogaster*) animals, as well as *S. pombe*. In contrast no homolog has been found in *S. cerevisiae* whose entire genome is sequenced (Figures 1 and 2). The N-terminal portion of AIF has no homology to oxidoreductases. It bears a mitochondrial localization sequence (aa 1–101 for mAIF; 84% aa identity between mouse and human), as well as a ‘spacer’ region (aa 102–127 for mAIF; 60% aa identity between mouse and human). The mitochondrial presequence is removed after import of AIF into the intermembrane space.<sup>57</sup> It appears that the animal and *S. pombe* AIF homologs have a similar overall architecture, with a mitochondrial presequence (Figure 2), suggesting that they may have the same subcellular distribution and perhaps the same function as mammalian AIF. Similarly, the monodehydroascorbate dehydrogenase reductase from *A. thaliana* bears, in addition to its C-terminal oxidoreductase domain, an N-terminal mitochondrial presequence with a canonical arginine (R) residue at position 10 (relative to N-terminal residue of the mature protein) at residue 46 of the precursor sequence (Figure 2). Future studies will have to determine whether these proteins have an apoptogenic function. Intriguingly, it has been reported that dehydroascorbate reductase activity redistributes from mitochondria to the cytosol in the dark-induced senescence of *Pisum sativum* (pea) leaves.<sup>65</sup> Drought also increases the dehydroascorbate reductase activity in the cytosol (but not in chloroplasts) of *Sorghum bicolor* and *Helianthus annuus* (sunflower).<sup>66</sup> These data suggest that the putative plant AIF homolog undergoes a stress-induced subcellular redistribution, as this has been shown for mammalian AIF.

Subcellular fractionation, immunofluorescence analysis, and immunoelectron microscopy have established that AIF is normally confined to mitochondria, yet subject to mitochondrio-nuclear translocation upon induction of apoptosis by diverse agents such as ceramide, staurosporin, or glucocorticoids.<sup>57</sup> Thus, in contrast to cytochrome *c* (which stays cytosolic), AIF moves to the nucleus, concomitant to the initial phase of chromatin condensation. This nuclear relocalization of AIF is compatible with the presence of several putative nuclear localization signals within the oxidoreductase-like domain of AIF.<sup>57</sup> Importantly, the mitochondrio-nuclear translocation of AIF is caspase-independent (unpublished observation). When added to purified nuclei from HeLa cells, recombinant AIF protein induces DNA loss, peripheral chromatin condensation, and digestion of chromatin into ~50 kbp fragments but no oligonucleosomal fragmentation,<sup>57</sup> probably by activating a sessile nuclear DNase. In addition to its nuclear effects, recombinant AIF acts on mitochondria. In the presence of a thermolabile cytosolic co-factor, AIF causes purified mitochondria to dissipate their  $\Delta\Psi_m$  and to release cytochrome *c* and caspase-9. Microinjection of recombinant AIF into the cytoplasm of live cells

induces several hallmarks of apoptosis: nuclear chromatin condensation and DNA loss, dissipation of the  $\Delta\Psi_m$ , and exposure of phosphatidylserine on the outer leaflet of the plasma membrane.<sup>57</sup> None of these AIF effects, either on isolated organelles or on intact cells, is prevented by the broad spectrum caspase inhibitor Z-VAD.fmk, indicating that they are caspase-independent.<sup>57</sup> Thus AIF is a logical candidate for a caspase-independent cell death effector causing some features of nuclear apoptosis. That this is the case is suggested by experiments in which an anti-AIF antiserum micro-injected into the cytoplasm of life cells prevents early chromatin condensation induced by some apoptosis-inducing agents such as staurosporin.<sup>57</sup>

A recombinant protein corresponding to the mAIF precursor does not bind FAD, whereas a shorter protein lacking the mitochondrial targeting sequence and part of the 'spacer' region ( $\Delta 1-120$ ) does bind FAD.<sup>57</sup> Similarly, mature AIF purified from mitochondria ( $\Delta 1-101$ ) is a flavoprotein. These data suggest that the FAD prosthetic group is attached to the AIF protein within the mitochondrion, after removal of the targeting sequence, as this has

been described for other mitochondrial flavoproteins.<sup>67,68</sup> Most if not all amino acids supposed to interact with the prosthetic groups FAD and NAD are strongly conserved between AIF and two reductases whose three-dimensional structure has been elucidated, namely dihydrolipoamide dehydrogenase from *Pseudomonas putida* and human glutathione reductase (Figure 3). The core consensus of the typical motif GXGXXG/A of the Rossmann fold<sup>69</sup> is found at two distinct regions of the sequence (aa 138-143 and 307-312 in human AIF): the more N-terminal motif seems to be involved in the NAD(P)H binding, whereas the more C-terminal one probably binds FAD. In contrast, AIF does not belong to the subfamily of disulfide reductases because it lacks two cysteines essential to form the redox-active disulfide bond in the catalytic site (Figure 3a). Thus, AIF does not belong to the superfamily of flavoprotein disulfide oxidoreductases (which includes glutathione reductase, dihydrolipoamide reductase, mercuric reductase, alkylhydroperoxide reductase and thioredoxin reductase). However, the strong conservation of NAD/FAD binding motifs strongly suggests that AIF possesses an oxidoreductase activity, in addition to its apoptogenic

a

beda_psep	4	HVAIIIGNGVA	GFTTAQALRA	EGYEGRISLI	GEEQHLPYDR	----P	SLSKA	VLDGSF----
rodo_rhs	1	SIVIIGSGQA	GFEAAVSLRS	HGFSGTITLV	GDEPGVPIYQR	----P	PLSKA	YLHSDP----
AIFh_Δ132	133	PFLIIGGTA	AFAAARSIRA	RDPGARVLIV	SEDELPYMR	----P	PLSKE	LWFSDDPNVT
gshr_hum	22	DYLVVIGGGSG	GLASAR--RA	AELGARAAVY	-ESHKLGGT	VNVG	VYPKK	MWNTAVHSEF
dld1_psep	8	TLLIIGGGPG	GYVAA--IRA	GQLGIPTVLV	-EGQALGGT	LNIG	CIPSKA	LIHVAEQFHQ
interactions		nff	f		ff	fff	fn	f
beda_psep	94	-----DGS	TISADAVIA	TGSRARMLSL	---PGSQLPG	VVTL-RTYGD	VQLLRDSWTF	
rodo_rhs	92	-----DAT	AIEYDHLILA	TGARNRLLPV	---PGANLPG	VHYL-RTAGE	AESLTSMAH	
AIFh_Δ132	247	-----DGS	QITYEKCLIA	TGGTPRSLSA	IDRAGAEVKS	RTTLFRKIGD	FRSLEKISRE	
gshr_hum	136	PKPTIEVSGK	KYTAPHILIA	TGGMPSTPHE	SQIPGASLGI	TSDFGFQLEE	LP-----	
dld1_psep	125	--KQVEVDGQ	RIQCEHLLA	TGSSSVELPM	--LPLGGPVI	SSTEALAPKA	LP-----	
interactions			f	ff				
beda_psep	143	NTRLLIVGGG	LIGCEVATTA	RKGLSVTIL	EAGDELL---	-VRVLGRRIG	AWLRGLLTEQ	
rodo_rhs	141	CSSLVIVIGAG	FIGLEVAAAA	RKKGLDVTYV	EAMDRPM---	-ARALSSVMS	GYFSTAHEH	
AIFh_Δ132	300	VKSITIIGGG	FLGSELACAL	GRKARALGTE	VIQLFPEKGN	MGKILPEYLS	NWTMEVKRE	
gshr_hum	198	-GRSVIVGAG	YIAVEMAGIL	SALGSKTSLM	IRHDKVLRSF	DSMISTNCTE	ELENAGVEVL	
dld1_psep	173	-QHLVVVGGG	YIGLELGIAY	RKLGAVSVV	EARERILPTY	DSELTAPVAE	SLKKLGIALH	
interactions		nn	Cn		nn			
beda_psep	199	GVQVELKTGV	SGFSG-EGQL	EKMVY---DG	RSFI-ADNAL	ICVADPADQ	LARQAGLECD	
rodo_rhs	197	GVHMLSTGV	KTINAADGRA	AGTTN---SG	DVIH-ADAVV	VGIGVVPNIE	LAALTGLPV-	
AIFh_Δ132	360	GVKVPNAIV	QSV-GVSSGK	LLKLK---DG	RKVE-TDHIY	AAVGLPEPVE	LAKTGGLEID	
gshr_hum	247	KFSQVKEVKK	TLSGLEVSMY	TAVPGRLPVM	TMIPDVTCLL	WAIGRVPNTK	DLSLNKLGIQ	
dld1_psep	232	LGHSEVEGYE	GCLLANDGKG	G-----QL	RLE---ADRVL	VAVGRRPRTK	GFNLECLDLK	
interactions		n				rrrrn	f	
beda_psep	255	----RGVVVD	HRGATSAKGI	FAVGDVATWP	L-HSGGKRS	ETYMNAQRQA	TAVAKAILGK	
rodo_rhs	253	---DNGIVVD	EYLRTPDENI	SAIGDCAAYP	IPGKAGLVRL	ESTQNAVDAQ	RCLAAQLTGT	
AIFh_Δ132	416	SD-FGGFRVY	AELQARS-NI	WVAGDAACFY	DIKLG-RRRV	EHHDHAYVSG	RLAGENNTGA	
gshr_hum	307	TDDKGHIIVD	EFQNTNVKGI	YAVGDVCGKA	LLTPVATAAG	RKLAHRLFVY	KEDSKLDYNN	
dld1_psep	273	MNGA-AIAID	ERCQTSMHNV	WAIGDVAGEP	MLAHRAMAQG	EMVAEIIA-G	KA-RRFEPAA	
interactions				f	n	Cfff		

function. These two activities can be separated because the entire AIF protein precursor (aa 1–612), which does not bind FAD (the prosthetic group indispensable for the putative electron donor/acceptor function), becomes apoptogenic when refolded *in vitro*.<sup>57</sup> Thus, in analogy to cytochrome *c*, AIF appears to be a bifunctional protein

with two independent functions, an electron acceptor/donor (oxidoreductase) function and an apoptogenic function.

Based on the above data, AIF and its homologs appear to be candidate death effectors acting in different phyla, including in fungi and in plants. This possibility is currently under active investigation in our laboratory.

**Table 1** Amino acid sequence similarity and identity in different apoptosis regulatory proteins

Human	Caspase-9	Apaf-1	Bcl-2	Cyt <i>c</i>	ANT1	AIF
<i>M. musculus</i>	73 (65) <sup>a</sup>	93 (85)	95 (90)	96 (91)	99 (95)	98 (92)
<i>D. melanogaster</i>	47 (22)	–	–	85 (66)	90 (71)	55 (26)
<i>X. laevis</i>	46 (23)	–	65 (35)	–	–	54 (27)
<i>C. elegans</i>	55 (24)	81 (16) <sup>b</sup>	52 (25) <sup>c</sup>	83 (54)	85 (65)	56 (25)
<i>S. pombe</i>	–	–	–	47 (38)	73 (47)	57 (29)

<sup>a</sup>Values indicate the percentage of identical+similar amino acids in interspecies comparisons. Values in parenthesis indicate the percentage of identical amino acids. Dashes indicate that the corresponding gene has not been cloned. <sup>b</sup>Note that human Apaf-1 (1194 aa) possesses N-terminal WD domains missing in *C. elegans* CED-4 (549 aa), indicating a difference in the overall domain organization. <sup>c</sup>Note that Bcl-2 (239 aa) lacks a functionally important N-terminal domain present in CED-3 (280 aa)

b



**Figure 3** Conservation of amino acids involved in the binding of FAD or NAD in AIF. (a) Conservation of dehydrogenase motifs (boxed) in human AIF and other recognized oxidoreductase components (bedapsep, benzene 1,2-dioxygenase system ferredoxin reductase component from *Pseudomonas putida*; GenBank accession number Q07946; rodo\_rhs, rhodocoxin reductase from *Rhodococcus*; P43494) and two reductases whose 3D structure has been elucidated (gshr\_h: human glutathion reductase; P00390; dld1\_psep, dihydrolipoamide dehydrogenase from *P. putida*; P09063). Residues that interact with FAD or NAD (in dld1\_psep) are marked as 'f' or 'n', respectively. Residues that interact with both NAD and FAD bear the annotation 'C'. Boxed cysteines (absent in AIF) are typical for the pyridine nucleotide-disulphide oxidoreductase family. (b) The probable conservation of the NAD/FAD-binding residues in AIF is based on the 3D structure of dihydrolipoamide dehydrogenase from pseudomonas putida (dld1\_psep; Brookhaven Protein Data Bank access code 1LVL). The colored residues represent the most conserved motifs as in (a) (same color code). Space-filling symbols indicate the position of NAD (orange) and FAD (red)

## Concluding remarks

Our present knowledge of PCD phylogeny is incomplete. Thus, it remains elusive whether basic mechanisms of mammalian cell death such as the loss of mitochondrial membrane barrier function are also found in fungi and plants.<sup>11</sup> Similarly, the exact nature of effector molecules causing irreversible degradation of essential cellular structures are unknown. On teleological grounds, it can be speculated that the core of cell death control would involve structures which are essential both for death and life.<sup>5,70</sup> Only based on this condition, the 'social control'<sup>71</sup> of cell death would be maintained throughout phylogeny and ontogeny. If cell death control is exerted by proteins which fulfill an essential metabolic function, then somatic mutations cannot lead to the acquisition of total PCD resistance. Indeed, tumor cells only manifest a partial resistance to PCD induction, and thus far no example of complete PCD resistance, even among dedifferentiated tumors, is reported.

Proteins with dual vital/lethal functions include cytochrome *c* (essential for respiration and caspase activation),<sup>72</sup> and ANT (an inner mitochondrial membrane ADP/ATP antiporter which can become a lethal pore).<sup>73</sup> In accord with their bifunctional nature, such proteins are more conserved between different species than are proteins from the 'apoptosome' (Table 1). Conventional strategies for the identification of PCD-regulatory genes are based on the systematic mutation/deletion of genes and the search of apoptotic-resistant phenotypes. Although this approach has defined important PCD-regulatory genes in *C. elegans*, *D. melanogaster*, and *A. thaliana*, it cannot lead to the identification of such bifunctional genes. As a result, more subtle, biochemical approaches may be required for the identification of proteins (and possibly non-protein structures?) participating in the central mechanisms of cell death control.

## Methods of sequence analyses

Gapped-Blast searches<sup>74</sup> were done for AIF homologs on the Internet Server of the National Center for Biotechnology Information. Other specific databases were consulted using FASTA: *C. elegans* protein database (from The Sanger Center web page, [http://www.sanger.ac.uk/Projects/C\\_elegans/](http://www.sanger.ac.uk/Projects/C_elegans/)) and Rickettsia prowazekii (from Rickettsia prowazekii Sequencing Project web page, <http://evolution.bmc.uu.se/~siv/gnomics/Rickettsia.html>). The protein sequences found were aligned through ClustalW (version 1.7) with the maximum degree of freedom and using the Henikoff BLOSUM30 scoring matrix to assess the pairwise similarity. These alignments were further manually refined using the program MPSA (Multiple Protein Sequence Analysis, version 0.66b) and MEME (Multiple Expectation Maximum for Motif Elicitation) algorithm.<sup>75</sup> Unrooted phylogenetic trees, were constructed using 'protdist' (Dayhoff PAM 001 matrix method) to compute a distance matrix from protein sequences. The scores obtained from alignments were assembled into the matrix distance. Then the method of Fitch and Margoliash<sup>76</sup> was applied to obtain the best branching and to confirm the tree topology. Bootstrap

resampling analysis<sup>77</sup> from 100 replicates was used to evaluate the support for internal branches.

## Acknowledgements

We thank Dr. Pierre Golstein (CNRS, Marseille, France) for helpful suggestions and for the correction of the manuscript. This work has been supported by grants from ANRS, ARC, CNRS, FRM, INSERM, LNC, and the French Ministry for Science (to G. Kroemer).

## References

- Jabs T (1999) Reactive oxygen intermediates as mediators of programmed cell death in plants and mammals. *Biochem. Pharmacol.* 57: 231–245
- Ameisen JC (1996) The origin of programmed cell death. *Science* 272: 1278–1279
- Jobs T (1999) Reactive oxygen intermediates as mediators of programmed cell death in plants and animals. *Biochem. Pharmacol.* 57: 231–245
- Aravind L, Dixit VM and Koonin EV (1999) The domains of death: evolution of the apoptosis machinery. *Trends Biochem. Sci.* 24: 47–53
- Kroemer G (1997) Mitochondrial implication in apoptosis. Towards an endosymbiotic hypothesis of apoptosis evolution. *Cell Death Differ.* 4: 443–456
- Frade JM and Michaelidis TM (1997) Origin of eukaryotic programmed cell death: a consequence of aerobic metabolism? *Bioessays* 19: 827–832
- Green DR and Reed JC (1998) Mitochondria and apoptosis. *Science* 281: 1309–1312
- Blackstone NW and Green DR (1999) The evolution of a mechanism of cell suicide. *Bioessays* 21: 84–88
- Sato T, Hanada M, Bodrug S, Irie S, Iwama N, Boise LH, Thompson CB, Golemis E, Fong L, Wang H-G and Reed JC (1994) Interactions among members of the Bcl-2 protein family analyzed with a yeast two-hybrid system. *Proc. Natl. Acad. Sci.* 91: 9238–9242
- James C, Gschmeissner S, Fraser A and Evan GI (1997) CED-4 induces chromatin condensation in *Schizosaccharomyces pombe* and is inhibited by direct physical association with CED-9. *Current Biol.* 7: 246–252
- Kane DJ, Sarafian TA, Anton R, Hahn H, Gralla EB, Valentine JS, Örd T and Bredesen DE (1993) Bcl-2 inhibition of neural death: decreased generation of reactive oxygen species. *Science* 262: 1274–1277
- Mittler R, Shulaev V, Seskar M and Lam E (1996) Inhibition of programmed cell death in tobacco plants during a pathogen-induced hypersensitive response at low oxygen pressure. *Plant Cell* 8: 1991–2001
- Nicholson DW and Thornberry NA (1997) Caspases: killer proteases. *Trends Biochem. Sci.* 22: 299–306
- del Pozo O and Lam E (1998) Caspases and programmed cell death in the hypersensitive response of plants to pathogens. *Curr. Biol.* 8: 1129–1132
- Olie RA, Durrieu F, Cornillon S, Loughran G, Gross J, Earnshaw WC and Golstein P (1998) Apparent caspase independence of programmed cell death in *Dictyostelium*. *Curr. Biol.* 1998: 955–958
- Enari M, Sakahira H, Yokoyama H, Okawa K, Iwamoto A and Nagata S (1998) A caspase-activated DNase that degrades DNA during apoptosis, and its inhibitor ICAD. *Nature* 391: 43–50
- Ellis RE, Yuan Y and Hertz HR (1991) Mechanism and functions of cell death. *Ann. Rev. Cell Biol.* 7: 663–698
- Chinnaiyan AM, O'Rourke K, Lane BR and Dixit VM (1997) Interaction of CED-4 with CED-3 and CED-9: a molecular frame for cell death. *Science* 275: 1122–1126
- Hengartner MO (1997) Apoptosis – CED-4 is a stranger no more. *Nature* 388: 714–715
- Zhou H, Henzel WJ, Liu X, Lutschg A and Wang XD (1997) Apaf-1, a human protein homologue to *C. elegans* Ced-4, participates in cytochrome c-dependent activation of caspase-3. *Cell* 90: 405–413
- Li P, Nijhawan D, Budihardjo I, Srinivasula SM, Ahmad M, Alnemri ES and Wang X (1997) Cytochrome c and dATP-dependent formation of Apaf-1/caspase-9 complex initiates an apoptotic protease cascade. *Cell* 91: 479–489
- Hu YW, Ding LY, Spencer DM and Nunez G (1998) WD-40 repeat region regulates Apaf-1 self-association and procaspase-9 activation. *J. Biol. Chem.* 273: 33489–33494

23. Kuida K, Zheng TS, Na S, Kyan C-Y, Yang D, Karasuyama H, Rakic P and Flavell RA (1996) Decreased apoptosis in the brain and premature lethality in CPP32-deficient mice. *Nature* 384: 368–372
24. Kuida K, Haydar TF, Kuan CV, Gu Y, Taya C, Karasuyama H, Su MSS, Rakic P and Flavell RA (1998) Reduced apoptosis and cytochrome c-mediated caspase activation in mice lacking caspase 9. *Cell* 94: 325–337
25. Nakayama K-I, Nakayama K, Negishi I, Kuida K, Shinkai Y, Louie MC, Fields LE, Lucas PJ, Stewart V, Alt FW and Loh DY (1993) Disappearance of the lymphoid system in Bcl-2 homozygous mutant chimeric mice. *Science* 261: 1584–1588
26. Yoshida Y, Kong Y-Y, Yoshida R, Elia AJ, Hakem A, Hakem R, Penninger JM and Mak TW (1998) Apaf1 is required for mitochondrial pathways of apoptosis and brain development. *Cell* 94: 739–750
27. Hakem R, Hakem A, Dunca GS, Henderson JT, Woo M, Soengas MS, Elia A, delaPompa JL, Kagi D, Khoo W, Potter J, Yoshida R, Kaufman SA, Lowe SW, Penninger JM and Mak TW (1998) Differential requirement for caspase 9 in apoptotic pathways in vivo. *Cell* 94: 339–352
28. Xiang J, Chao DT and Korsmeyer SJ (1996) Bax-induced cell death may not require interleukin 1 $\beta$ -converting enzyme-like proteases. *Proc. Natl. Acad. Sci. USA* 93: 14559–14563
29. Pastorino JG, Chen S-T, Tafani M, Snyder JW and Farber JL (1998) The overexpression of Bax produces cell death upon induction of the mitochondrial permeability transition. *J. Biol. Chem.* 273: 7770–7777
30. Marzo I, Brenner C, Zamzami N, Jürgensmeier J, Susin SA, Vieira HLA, Prévost M-C, Xie Z, Mutsiyama S, Reed JC and Kroemer G (1998) Bax and adenine nucleotide translocator cooperate in the mitochondrial control of apoptosis. *Science* 281: 2027–2031
31. McCarthy NJ, Whyte MKB, Gilbert CS and Evan GI (1997) Inhibition of Ced-3/ICE-related proteases does not prevent cell death induced by oncogenes, DNA damage, or the Bcl-2 homologue Bak. *J. Cell. Biol.* 136: 215–227
32. Hirsch T, Marchetti P, Susin SA, Dallaporta B, Zamzami N, Marzo I, Geuskens M and Kroemer G (1997) The apoptosis-necrosis paradox. Apoptogenic proteases activated after mitochondrial permeability transition determine the mode of cell death. *Oncogene* 15: 1573–1582
33. Brunet CL, Gunby RH, Benson RSP, Hickman JA, Watson AJM and Brady G (1998) Commitment to cell death measured by loss of clonogenicity is separable from the appearance of apoptotic markers. *Cell Death Differ.* 5: 107–115
34. Vercammen D, Beyaert R, Denecker G, Goossens V, Van Loo G, Declercq W, Grooten J, Fiers W and Vanabele P (1998) Inhibition of caspases increases the sensitivity of L929 cells to necrosis mediated by tumor necrosis factor. *J. Exp. Med.* 187: 1477–1485
35. Quignon F, DeBels F, Koken M, Feunteun J, Ameisen JC and de Thé H (1998) PML induces a novel caspase-independent death process. *Nat. Gen.* 20: 259–265
36. Deas O, Dumont C, MacFarlane M, Rouleau M, Hebib C, Harper F, Hirsch F, Charpentier B, Cohen GM and Senik A (1998) Caspase-independent cell death induced by anti-CD2 or staurosporine in activated human peripheral T lymphocytes. *J. Immunol.* 161: 3375–3383
37. Bossy-Wetzell E, Newmeyer DD and Green DR (1998) Mitochondrial cytochrome c release in apoptosis occurs upstream of DEVD-specific caspase activation and independently of mitochondrial transmembrane depolarization. *EMBO J.* 17: 37–49
38. DeMaria R, Lenti L, Malisan F, d'Agostino F, Tomassini B, Zeuner A, Rippon MR and Testi R (1997) Requirement for GD3 ganglioside in CD95- and ceramide-induced apoptosis. *Science* 277: 1652–1655
39. Sun X-M, MacFarlane M, Zhuang J, Wolf BB, Green DR and Cohen GM (1999) Distinct caspase cascades are initiated in receptor-mediated and chemical-induced apoptosis. *J. Biol. Chem.* 274: 5053–5060
40. Gandhi RT, Chen BK, Straus SE, Dale JK, Lenardo MJ and Baltimore D (1998) HIV-1 directly kills CD4+ T cells by a Fas-independent mechanism. *J. Exp. Med.* 187: 1113–1122
41. Muzio M, Chinnaiyan MA, Kischkel FC, O'Rourke K, Shevchenko A, Ni J, Scaffidi C, Bretz JD, Zhang M, Gentz R, Mann M, Krammer PH, Peter ME and Dixit VM (1996) FLICE, a novel FADD-homologous ICE/CED-3-like protease, is recruited to the CD95 (Fas/APO-1) death-inducing signaling complex. *Cell* 85: 817–827
42. Vercammen D, Brouckaert G, Denecker G, VandeCraen M, Declercq W, Fiers W and Vandenabeele P (1998) Dual signaling of the Fas receptor: Initiation of both apoptotic and necrotic cell death pathways. *J. Exp. Med.* 188: 919–930
43. Leist M, Single B, Castoldi AF, Kühnle S and Nicotera P (1997) Intracellular adenosine triphosphate (ATP) concentration: a switch in the decision between apoptosis and necrosis. *J. Exp. Med.* 185: 1481–1486
44. Eguchi Y, Shimizu S and Tsujimoto Y (1997) Intracellular ATP levels determine cell fate by apoptosis or necrosis. *Cancer Res.* 57: 1835–1840
45. Bradham CA, Quian T, Streetz K, Trautwein C, Brenner DA and Lemasters JJ (1998) The mitochondrial permeability transition is required for tumor necrosis factor alpha-mediated apoptosis and cytochrome c release. *Mol. Cell. Biol.* 18: 6353–6364
46. Dong Z, Saikumar P, Griess GA, Weinberg JM and Venkatchalam MA (1998) Intracellular Ca<sup>2+</sup> thresholds that determine survival or death of energy deprived cells. *Am. J. Pathol.* 152: 231–240
47. Berndt C, Möpps B, Angermüller S, Gierschik P and Krammer PH (1998) CXCR4 and CD4 mediate a rapid CD95-independent cell death in CD4+ cells. *Proc. Natl. Acad. Sci. USA* 95: 12556–12561
48. Lesage S, Steff A-M, Philippoussis F, Pagé M, Trop S, Mateo V and Hugo P (1997) CD4+CD8+ thymocytes are preferentially induced to die following CD45 crosslinking, through a novel apoptotic pathway. *J. Immunol.* 159: 4762–4771
49. Sohn HW, Choi EY, Kim SH, Lee IS, Chung DH, Sung VA, Hwang DH, Cho SS, Jun BH, Jang JJ, Chi JG and Park SH (1998) Engagement of CD99 induces apoptosis through a calcineurin-independent pathway in Ewing's sarcoma cells. *Am. J. Pathol.* 153: 1937–1945
50. Lavoie JN, Nguyen M, Marcellus RC, Branton PE and Shore GC (1998) E4orf4, a novel adenovirus death factor that induces p53-independent apoptosis by a pathway that is not inhibited by Z-VAD.fmk. *J. Cell. Biol.* 140: 637–645
51. Kawahara A, Ohsawa Y, Matsumura H, Uchigama Y and Nagata S (1998) Caspase-independent cell killing by Fas-associated protein with death domain. *J. Cell. Biol.* 143: 1353–1360
52. Zamzami N, Susin SA, Marchetti P, Hirsch T, Gómez-Monterrey I, Castedo M and Kroemer G (1996) Mitochondrial control of nuclear apoptosis. *J. Exp. Med.* 183: 1533–1544
53. Liu XS, Kim CN, Yang J, Jemmerson R and Wang X (1996) Induction of apoptotic program in cell-free extracts: requirement for dATP and cytochrome C. *Cell* 86: 147–157
54. Susin SA, Zamzami N, Castedo M, Hirsch T, Marchetti P, Macho A, Daugas E, Geuskens M and Kroemer G (1996) Bcl-2 inhibits the mitochondrial release of an apoptogenic protease. *J. Exp. Med.* 184: 1331–1342
55. Single B, Leist M and Nicotera P (1998) Simultaneous release of adenylate kinase and cytochrome c in cell death. *Cell Death Differ.* 5: 1001–1003
56. Susin SA, Lorenzo HK, Zamzami N, Marzo I, Larochette N, Alzari PM and Kroemer G (1999) Mitochondrial release of caspases-2 and -9 during the apoptotic process. *J. Exp. Med.* 189: 381–394
57. Susin SA, Lorenzo HK, Zamzami N, Marzo I, Snow BE, Brothers GM, Mangion J, Jacotot E, Costantini P, Loeffler M, Larochette N, Goodlett DR, Aebersold R, Siderovski DP, Penninger JM and Kroemer G (1999) Molecular characterization of mitochondrial apoptosis-inducing factor (AIF) *Nature* 397: 441–446
58. Susin SA, Zamzami N, Larochette N, Dallaporta B, Marzo I, Brenner C, Hirsch T, Petit PX, Geuskens M and Kroemer G (1997) A cytofluorometric assay of nuclear apoptosis induced in a cell-free system. Application to ceramide-induced apoptosis. *Exp. Cell. Res.* 236: 397–403
59. Grantz AA, Brummell DA and Bennett AB (1995) Ascorbate free radical reductase mRNA levels are induced by wounding. *Plant Physiol.* 108: 411–418
60. Polle A, Kroniger W and Rennenberg H (1996) Seasonal fluctuation of ascorbate related enzymes: Acute and delayed effects of late frost in spring on antioxidative systems in needles of Norway spruce (*Picea abies* L). *Plant Cell Physiol.* 37: 717–725
61. Knorz OC, Durner J and Boger P (1996) Alterations in the antioxidative system of suspension-cultured soybean cells (*Glycine max*) induced by oxidative stress. *Physiologia Plantarum* 97: 388–396
62. VanCamp W, Capiou K, VanMonagu M, Inze D and Slooten L (1996) Enhancement of oxidative stress tolerance in transgenic tobacco plants overexpressing Fe-superoxide dismutase in chloroplasts. *Plant Physiol.* 112: 1703–1714
63. Dat JF, Foyer CH and Scott IM (1998) Changes in salicylic acid and antioxidants during induced thermotolerance in mustard seedlings. *Plant Physiol.* 118: 1455–1461



64. Vanacker H, Carver TL and Foyer CH (1998) Pathogen-induced changes in the antioxidant status of the apoplast in barley leaves. *Plant Physiol.* 117: 1103–1114
65. Jimenez A, Hernandez JA, Pastori G, del Rio LA and Sevilla F (1998) Role of the ascorbate-glutathione cycle of mitochondria and peroxisomes in the senescence of pea leaves. *Plant Physiol.* 118: 1327–1335
66. Zhang JX and Kirkham MB (1996) Enzymatic responses of the ascorbate-glutathione cycle to drought in sorghum and sunflower plants. *Plant Sci.* 113: 139–147
67. Saijo T and Tanaka K (1995) Isoalloxazine ring of FAD is required for the formation of the core in the hsp60-assisted folding of medium-chain acyl-CoA dehydrogenase subunit into the assembly competent conformation in mitochondria. *J. Biol. Chem.* 270: 1899–1907
68. Robinson KM and Lemire BD (1996) A requirement for matrix processing peptidase but not for mitochondrial chaperonin in the covalent attachment of FAD to yeast succinate dehydrogenase flavoprotein. *J. Biol. Chem.* 271: 4061–4067
69. Rossmann MG, Liljas A, Branden CI and Benaszak LJ (1975) Evolutionary and structural relationships among dehydrogenases. In: Boyer, PD (ed), *The Enzymes* Vol 11, Oxidation-Reduction, Ch 2, pp 61–102, Academic Press, New York
70. Penninger JM and Kroemer G (1998) Molecular and cellular mechanisms of T lymphocyte apoptosis. *Adv. Immunol.* 68: 51–144
71. Raff M (1994) Social control of cell survival and cell death. *Nature* 365: 397–400
72. Kluck RM, Martin SJ, Hoffman BM, Zhou JS, Green DR and Newmeyer DD (1997) Cytochrome c activation of CPP32-like proteolysis plays a critical role in a *Xenopus* cell-free apoptosis system. *EMBO J.* 16: 4639–4649
73. Marzo I, Brenner C, Zamzami N, Susin SA, Beutner G, Brdiczka D, Rémy R, Xie Z-H, Reed JC and Kroemer G (1998) The permeability transition pore complex: a target for apoptosis regulation by caspases and Bcl-2 related proteins. *J. Exp. Med.* 187: 1261–1271
74. Altschul SF, Madden TL, Schaffer AA, Zhang J, Zhang Z, Miller W and Lipman DJ (1997) Gapped Blast and PSI-BLAST: a new generation of protein database search programs. *Nucleic Acids Res.* 25: 3389–2402
75. Bailey TL, Baker ME and Elkan CP (1997) An artificial intelligence approach to motif discovery in protein sequences application to steroid dehydrogenase. *J. Steroid Biochem. Mol. Biol.* 62: 29–44
76. Fitch WM and Margoliash E (1967) Construction of phylogenetic trees. *Science* 155: 279–284
77. Felsenstein J (1988) Phylogenies from molecular sequences: inference and reliability. *Annu. Rev. Genetics* 22: 521–565