

# Pathologic, Cytogenetic and Molecular Assessment of Acute Promyelocytic Leukemia Patients Treated with Arsenic Trioxide (As<sub>2</sub>O<sub>3</sub>)

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Arsenic trioxide (As<sub>2</sub>O<sub>3</sub>) shows great promise as an effective therapy for patients with all-*trans* retinoic acid (ATRA)-resistant acute promyelocytic leukemia (APL). Little data is available addressing the pathology of As<sub>2</sub>O<sub>3</sub> treated APL and whether the antileukemic mechanism of As<sub>2</sub>O<sub>3</sub> is primarily cytolysis or through stimulation of cell differentiation. In this report, we made a morphologic, cytogenetic, and molecular evaluation of five ATRA-refractory APL patients who were treated with As<sub>2</sub>O<sub>3</sub>. Four of the five patients had morphologic responses after one or two cycles of As<sub>2</sub>O<sub>3</sub> treatment. Of the four responders based on bone marrow morphology, two achieved molecular remission (negative RT-PCR for PML-RAR $\alpha$  fusion transcripts) by the end of the second and third cycles of As<sub>2</sub>O<sub>3</sub> therapy. Two patients exhibited marked leukocytosis during the first cycle of As<sub>2</sub>O<sub>3</sub>, and at that time point the APL cells were largely replaced by the cells showing partial differentiation towards myelocytes with co-expression of CD11b and CD33. Nevertheless, these "myelocyte-like" cells that showed the t(15;17) translocation eventually disappeared with continuous As<sub>2</sub>O<sub>3</sub> therapy. As<sub>2</sub>O<sub>3</sub> treatment appears to be effective therapy for the patients with relapsed APL after the failure of conventional chemotherapy and ATRA therapy. The pathologic findings in these five cases suggest that at low doses As<sub>2</sub>O<sub>3</sub> primarily induces differentiation of the APL cells, generating abnormal myelocytes resembling APL cells treated with ATRA, whereas at higher doses As<sub>2</sub>O<sub>3</sub> induces marrow necrosis.

**KEY WORDS:** Acute promyelocytic leukemia, All-*trans* retinoic acid, Arsenic trioxide.

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Acute promyelocytic leukemia (APL), which accounts for approximately 10% of all acute myeloid leukemia (AML) cases, is defined by the t(15;17) chromosomal translocation, which fuses the promyelocytic leukemia protein (PML) gene located on chromosome 15q22 to the retinoic acid receptor  $\alpha$  (RAR $\alpha$ ) gene on chromosome 17q21 (1). The resulting PML-RAR $\alpha$  fusion protein plays a key role in leukemogenesis by blocking neutrophilic differentiation at the promyelocyte stage. APL cells undergo terminal differentiation upon treatment with all-*trans* retinoic acid (ATRA) both *in vitro* and *in vivo* (2), making ATRA the first-line drug for inducing complete remission in APL patients (3-5). However, a significant percentage (20 to 30%) of patients relapse after initial remission and subsequently develop resistance to ATRA treatment (4-5). The clinical outcome of those patients is quite poor, as no effective therapy is available for ATRA-resistant APL. Recently, arsenic trioxide (As<sub>2</sub>O<sub>3</sub>), an active component of antileukemic drugs in traditional Chinese medicine, was found to induce complete remission in both ATRA-sensitive and -resistant APL patients (6-8). The antileukemic mechanism of As<sub>2</sub>O<sub>3</sub> is under active investigation. Studies have shown that at micromolar concentrations As<sub>2</sub>O<sub>3</sub> triggers apoptosis of APL cells in association with the down-regulation of bcl-2 protein (9-14), whereas at lower concentrations it induces partial differentiation (6). In APL cells, As<sub>2</sub>O<sub>3</sub> rapidly induces a dramatic reorganization of APL-specific PML or PML/RAR $\alpha$ -associated microparticulate structures into fewer larger subnuclear spots followed by a progressive degradation of PML/RAR $\alpha$  protein (9-11, 14-16). Limited data is available addressing the pathology of As<sub>2</sub>O<sub>3</sub> treated APL and

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**TABLE 1. Demographic and Clinical Data of Patients with APL before Arsenic Trioxide Therapy**

Patient No.	Age (years)	Sex	FAB Classification	Cytogenetics	Treatment Before As <sub>2</sub> O <sub>3</sub>	No. of Relapses
1	32	F	M3v	46, XX, t(15;17)(q22;q11-12), +8	Conventional chemotherapy, ATRA, auto-PBSC BMT(×1)	3
2	34	M	M3v	47, XY, t(15;17)(q22;q11.2), +21	Conventional chemotherapy, ATRA	2
3	35	M	M3v	46, XY, t(2;15;17)(p21;q22;q12), inv(3)(p23q25), del(6)(q22)	Conventional chemotherapy, ATRA, allo-BMT(×2)	3
4	70	M	M3	47, XY, t(15;17)(q22;q12), add(16)(q22), add(17)(p11), +mar	Conventional chemotherapy, ATRA	2
5	54	M	M3	46, XY, t(15;17)(q22;q12)	Conventional chemotherapy, ATRA	2

The conventional chemotherapy includes ara-C, daunorubicin, or idarubicin.

Auto-PBSC BMT, autologous peripheral blood stem cell bone marrow transplantation; allo-BMT, allogeneic bone marrow transplantation.

whether the antileukemic mechanism of As<sub>2</sub>O<sub>3</sub> is primarily cytolysis or through stimulation of cell differentiation. In this report, we examined the pathology of five refractory APL patients treated with As<sub>2</sub>O<sub>3</sub> and correlated this with the cytogenetic and molecular findings.

## MATERIALS AND METHODS

### Clinical Protocol and Patients

The APL patients studied were all enrolled in a phase I/II trial of intravenous infusion of As<sub>2</sub>O<sub>3</sub> for treatment of relapsed APL (Washington University

**TABLE 2. Summary of Clinical, Morphologic, Cytogenetic, and Molecular Responses of the Patients to Arsenic Trioxide Therapy**

Patient No.	Dose of As <sub>2</sub> O <sub>3</sub>	Morphologic Remission	Cytogenetic Remission	Molecular Remission	Morphologic Changes After 1 <sup>st</sup> Cycle of As <sub>2</sub> O <sub>3</sub>	Clinical Follow-Up
1	0.08 mg/kg/day for 11 day, and 0.4 mg/kg/day for additional 17 days	Yes (after 1 <sup>st</sup> cycle)	No (after 1 <sup>st</sup> cycle)	No (after 1 <sup>st</sup> cycle)	Alternating areas of myeloid hyperplasia and maturation, and marrow necrosis	Relapsed 4 months later, and died secondary to intracranial hemorrhage
2	0.1 mg/kg/day	N/A	N/A	N/A	Hypercellular marrow with limited myeloid differentiation	Died secondary to pulmonary hemorrhage on day 14 of As <sub>2</sub> O <sub>3</sub> therapy
3	0.1 mg/kg/day	Yes (after 2 <sup>nd</sup> cycle)	Yes (after 2 <sup>nd</sup> cycle)	Yes (after 3 <sup>rd</sup> cycle)	Myeloid hyperplasia with maturation to mainly myelocyte stage	Relapsed 2 months later after 3 <sup>rd</sup> cycle of As <sub>2</sub> O <sub>3</sub> , and died secondary to respiratory failure
4	0.1 mg/kg/day	Yes (after 1 <sup>st</sup> cycle)	Yes (after 2 <sup>nd</sup> cycle)	Yes (after 2 <sup>nd</sup> cycle)	Myeloid differentiation, without hyperplasia	In complete remission
5	0.1 mg/kg/day	Yes (after 2 <sup>nd</sup> cycle)	Yes (after 2 <sup>nd</sup> cycle)	No (after 2 <sup>nd</sup> cycle)	Myeloid differentiation, without hyperplasia	In clinical and hematologic remission

N/A, not available (patient 2 died before completion of the first cycle).

**TABLE 3. Changes of Peripheral White Blood Cell Counts Before and After Treatment with As<sub>2</sub>O<sub>3</sub>**

Patient No.	White Blood Cell Counts ( $\times 10^9/L$ )		
	Before As <sub>2</sub> O <sub>3</sub>	2 Weeks After As <sub>2</sub> O <sub>3</sub>	4 Weeks After As <sub>2</sub> O <sub>3</sub>
1	5.0	180	17.0
2	3.8	9.4	N/A
3	8.1	9.8	19.2
4	7.6	9.0	4.8
5	3.0	1.5	2.6

N/A, not available because the patient died secondary to pulmonary hemorrhage.

Protocol #98-0185). An informed consent was obtained from all the patients. Patients with relapsed or primary refractory APL following conventional chemotherapy and ATRA who were not candidates for HLA-matched sibling bone marrow/stem cell transplantation were eligible for study entry. The diagnosis of all five patients in this report was based on FAB-AML criteria and flow cytometric immunophenotyping, and was further confirmed by cytogenetic analysis or by reverse transcription polymerase chain reaction (RT-PCR) assay that detected PML-RAR $\alpha$  fu-

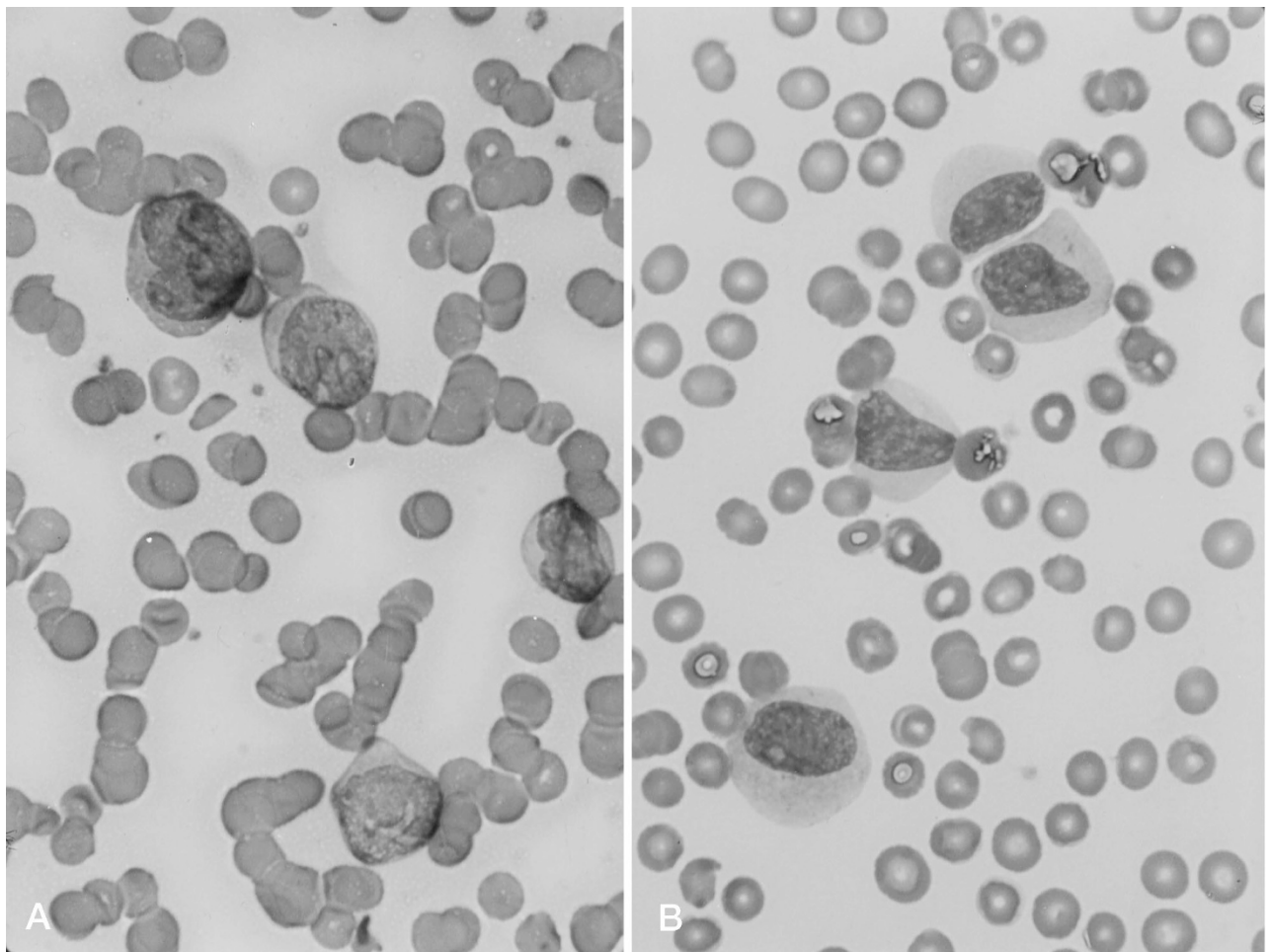
sion transcripts. Three of the five cases were further morphologically classified as the microgranular variant of APL (M3v). The patients' demographic and clinical data are shown in Table 1.

#### Treatment with Arsenic Trioxide

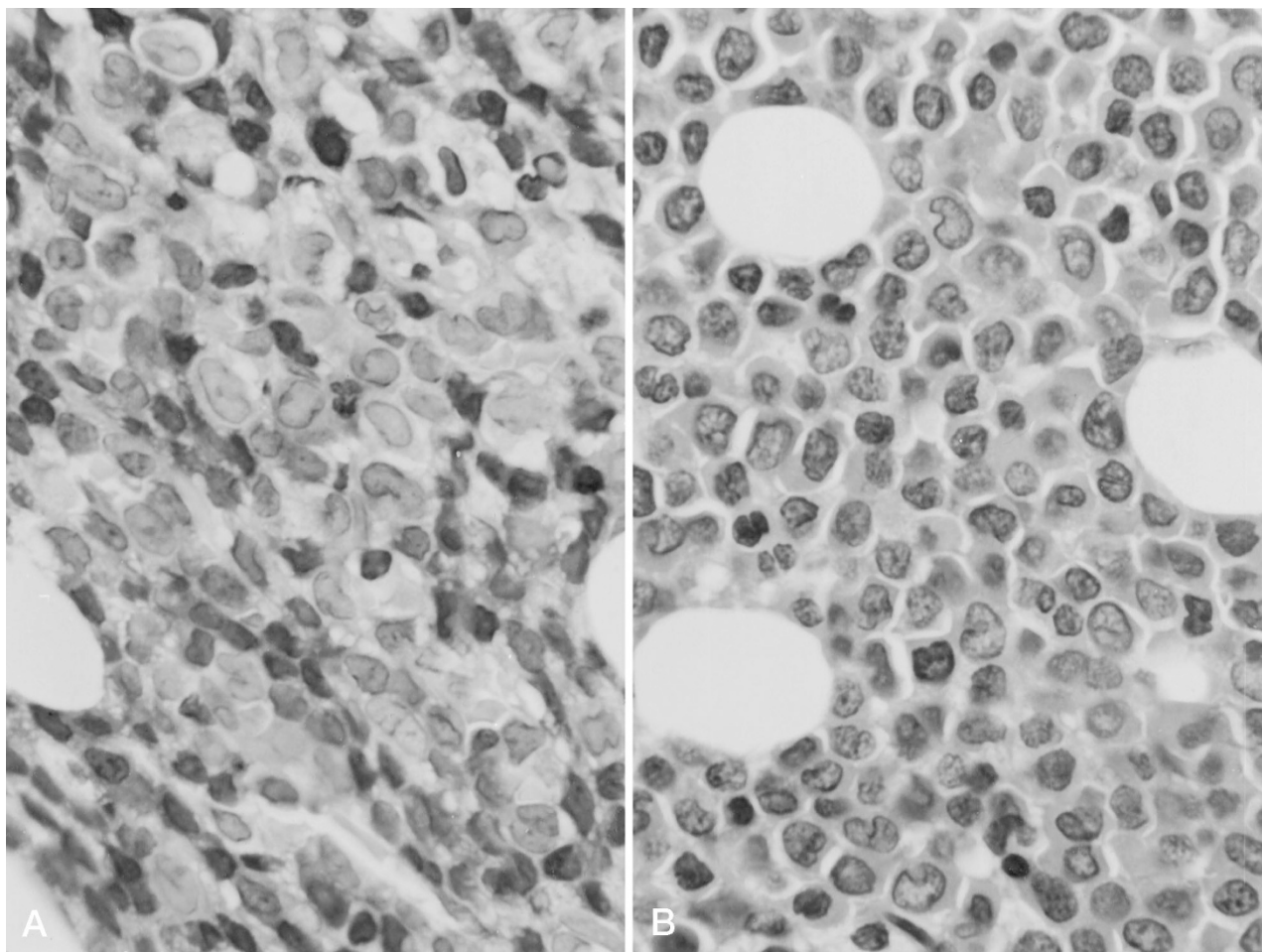
ACS reagent grade arsenic trioxide (As<sub>2</sub>O<sub>3</sub>) was obtained from Sigma Chemical Co. (St. Louis, MO) and was administered through continuous intravenous infusion for 28 days. Patient 1 was started As<sub>2</sub>O<sub>3</sub> at 10 mg daily (0.08 mg/kg/day) for the first 11 days and 50 mg daily (0.4 mg/kg/day) for the additional 17 days, and the total As<sub>2</sub>O<sub>3</sub> administered was 550 mg. The remaining four patients were treated with lower doses of As<sub>2</sub>O<sub>3</sub>, with the dosage based on actual body weight, starting at 0.1 mg/kg/day (Table 2).

#### Morphologic, Immunophenotypic, Cytogenetic, and Molecular Evaluation

EDTA-decalcified, formalin-fixed bone marrow



**FIGURE 1.** As<sub>2</sub>O<sub>3</sub> treatment induces maturation of acute promyelocytic leukemic cells. Peripheral blood smears of patient 1 before (A) and 3 weeks after (B) As<sub>2</sub>O<sub>3</sub> treatment (Wright-Giemsa stain; original magnification, 250 $\times$ ).



**FIGURE 2.** The bone marrow biopsies of patient 3 before and after the first cycle of  $As_2O_3$  treatment. **A**, high-power view of bone marrow core section before  $As_2O_3$  treatment showing Leder negative myeloblasts and dysplastic promyelocytes with high nucleocytoplasmic ratios, irregular nuclear contours and fine chromatin (Leder stain; original magnification, 250 $\times$ ); **B**, high-power view of bone marrow core section after the first cycle of  $As_2O_3$  treatment, showing cells with slightly condensed chromatin and decreased nucleocytoplasmic ratio and occasional nucleoli, suggesting slight myeloid maturation (Leder stain; original magnification, 250 $\times$ ).

core biopsy specimens were stained with hematoxylin-and-eosin and chloroacetate esterase (Leder) stains. Wright-Giemsa stained bone marrow aspirates and peripheral blood smears before and after therapy for each patient were reviewed. Immunophenotyping was performed by two-color flow cytometry using Ficoll-Paque purified cells stained with phycoerythrin (PE)-labeled anti-CD 33 monoclonal antibody and fluorescein isothiocyanate (FITC)-labeled anti-CD11b monoclonal antibody (Coulter, Hialeah, FL) on Coulter EPICS<sup>TM</sup> XL-MCL instruments. Cytogenetic studies on metaphase spreads were performed according to standard techniques. Four of the five patients showed the characteristic t(15;17); one showed a complex three way translocation involving chromosomes 2, 15, and 17; and four patients showed additional cytogenetic abnormalities (Table 2). RT-PCR detection of PML/RAR $\alpha$  fusion mRNA was performed according to Miller, *et al.* (17). Morphologic, cytogenetic, and molecular evaluations were made before the therapy and after the completion of each cycle of  $As_2O_3$  treatment.

## RESULTS

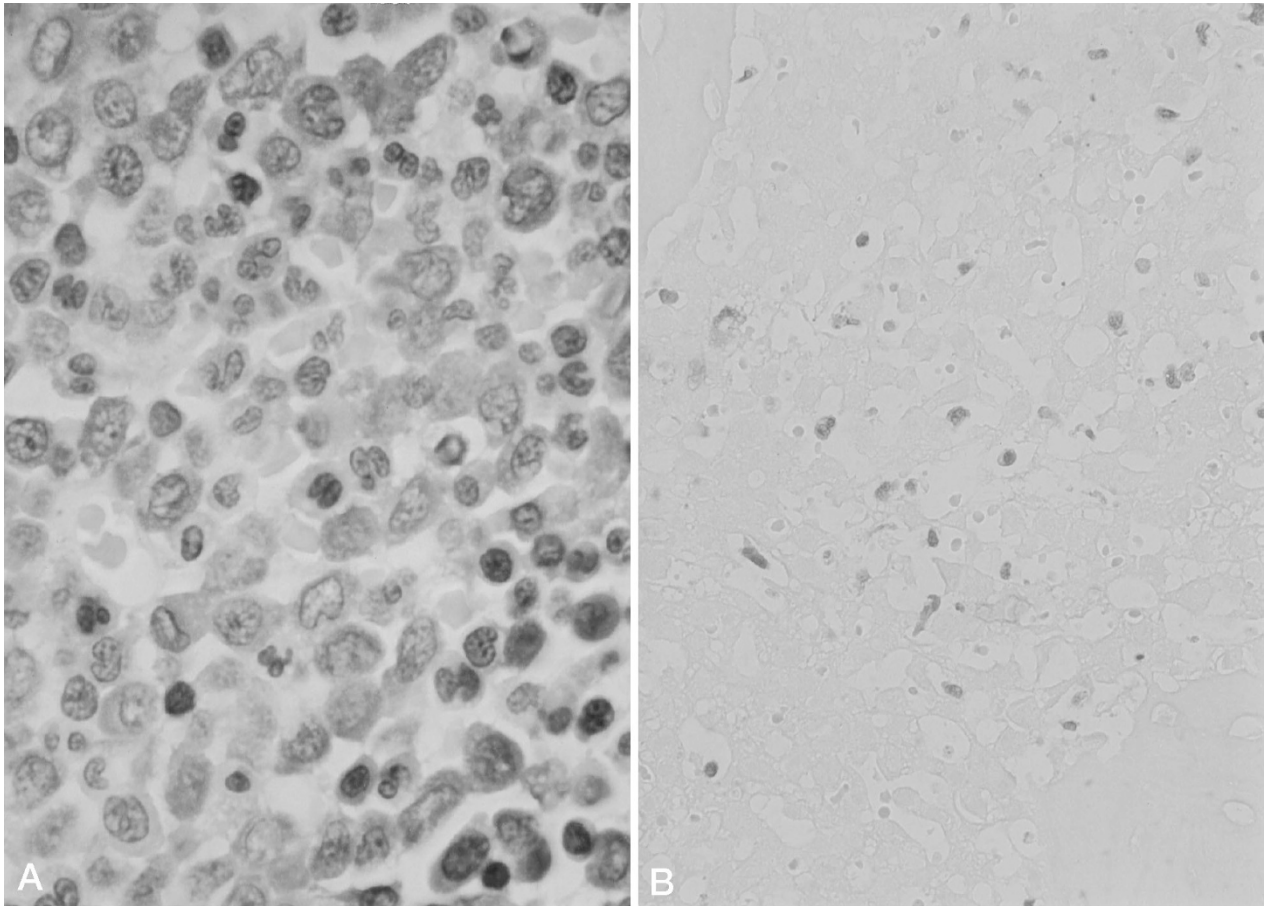
### Peripheral Blood Findings

Three of the five patients developed significant leukocytosis during the first month of  $As_2O_3$  treatment (Table 3). For example, two weeks after initiation of the treatment patient 1 showed an increase in white blood cell count from the pretreatment levels of 5000/mm<sup>3</sup> to 180,000/mm<sup>3</sup>, which necessitated leukopheresis. During the first two weeks of  $As_2O_3$  treatment, the APL cells exhibited little maturation. However, with continuation of the therapy, the APL cells began to mature, showing significantly decreased nuclear-cytoplasmic ratio and condensed chromatin by the end of the first month (Fig. 1).

### Bone Marrow Findings

After one cycle of  $As_2O_3$  treatment, all the patients showed some degree of morphologic response. Two patients achieved morphologic remis-





**FIGURE 3.** Bone marrow biopsy of patient 1 one month after treatment with high doses of  $As_2O_3$ . The marrow showed hypercellular areas showing trilineage hematopoiesis alternating with areas of necrosis. **A**, high-power view of hypercellular areas showing nearly normal hematopoiesis (Leder stain; original magnification, 250 $\times$ ); and **B**, high-power view of necrotic areas showing largely ghost cells, cellular debris, and occasional degenerating myeloid elements (Leder stain; original magnification, 150 $\times$ ).

sion after the first cycle of therapy, and the other two patients also showed morphologic remission following two cycles of therapy. All marrowed showed a myeloid predominance and were normocellular or hypercellular. The APL cells differentiated to cells with a significantly lower N/C ratio, condensed chromatin, and sparsely granular cytoplasm, which closely resemble APL cells treated with ATRA (Fig. 2). With continuation of therapy, these “myelocyte-like” cells eventually disappeared and normal trilineage hematopoiesis resumed. In addition to the “myelocyte-like” cells, two other distinctive morphologic findings were seen in arsenic-treated patients. The first was bone marrow necrosis. Patient 1 showed geographic marrow necrosis alternating maturing granulocyte hyperplasia after the treatment of high doses of  $As_2O_3$  (0.4 mg/kg/day) (Fig. 3). This may be a dose-dependent effect as marrow necrosis was not seen in patients treated with lower doses of  $As_2O_3$  (0.1 mg/kg/day). A second unusual effect of arsenic was an altered appearance of regenerating non-neoplastic myeloid progenitors. After the second cycle of  $As_2O_3$  therapy, bone marrows from two of the patients

showed sheets of Leder positive atypical promyelocytes with oval to round nuclear contours, prominent nucleoli, and abundant granular cytoplasm (Fig. 4). At the same time, normal trilineage hematopoiesis was seen in the adjacent marrow tissue, and both the cytogenetic analysis and RT-PCR for PML-RAR $\alpha$  performed on the same bone marrow aspirate were negative. Furthermore, with continuous arsenic therapy, a subsequent bone marrow biopsy obtained one month later was unremarkable without these atypical promyelocytes.

#### Flow Cytometric Findings

Flow cytometric analysis was performed in patient 1 and patient 5 after completion of the first cycle of therapy and showed significantly increased numbers of myeloid elements that expressed CD11b, which is expressed at high levels on more mature granulocytic and monocytic cells, along with decreased expression of CD13 and CD33. However, predominant CD13 and CD33 expression with diminished expression of CD11b was also observed during relapse in patient 3 two months after

completion of the third cycle of the treatment. On the forward scatter *versus* side scatter plots, the APL cells showed a dramatic shift from the typical blast gate to more mature myeloid cells following As<sub>2</sub>O<sub>3</sub> treatment (Fig. 5).

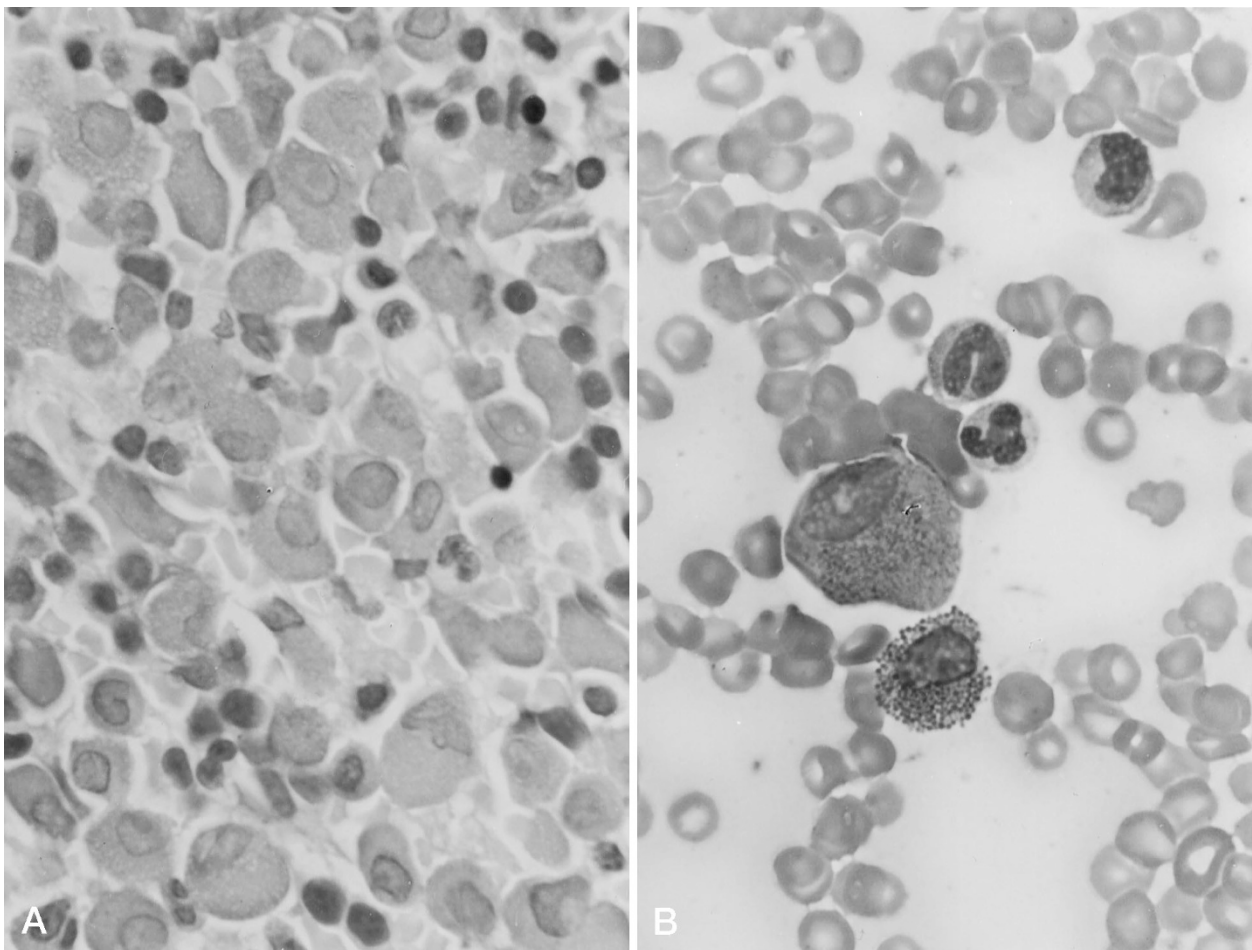
#### Clinical Follow-Up

A summary of the morphologic cytogenetic, molecular, and clinical findings following therapy is given in Table 2. After one cycle of As<sub>2</sub>O<sub>3</sub> treatment, patient 1 achieved morphologic remission although the cytogenetics remained positive for t(15;17). However, a bone marrow biopsy at four mo post-therapy showed relapse of APL and the patient expired one month later. An autopsy was not performed. The cause of death was suspected to be intracranial bleeding. Patient 2 developed fatal pulmonary hemorrhage in the setting of pneumonia and thrombocytopenia after 14 days of As<sub>2</sub>O<sub>3</sub> treatment. Patient 3 successfully achieved molecular remission by the end of the third cycle of As<sub>2</sub>O<sub>3</sub> therapy and remained disease free for approximately

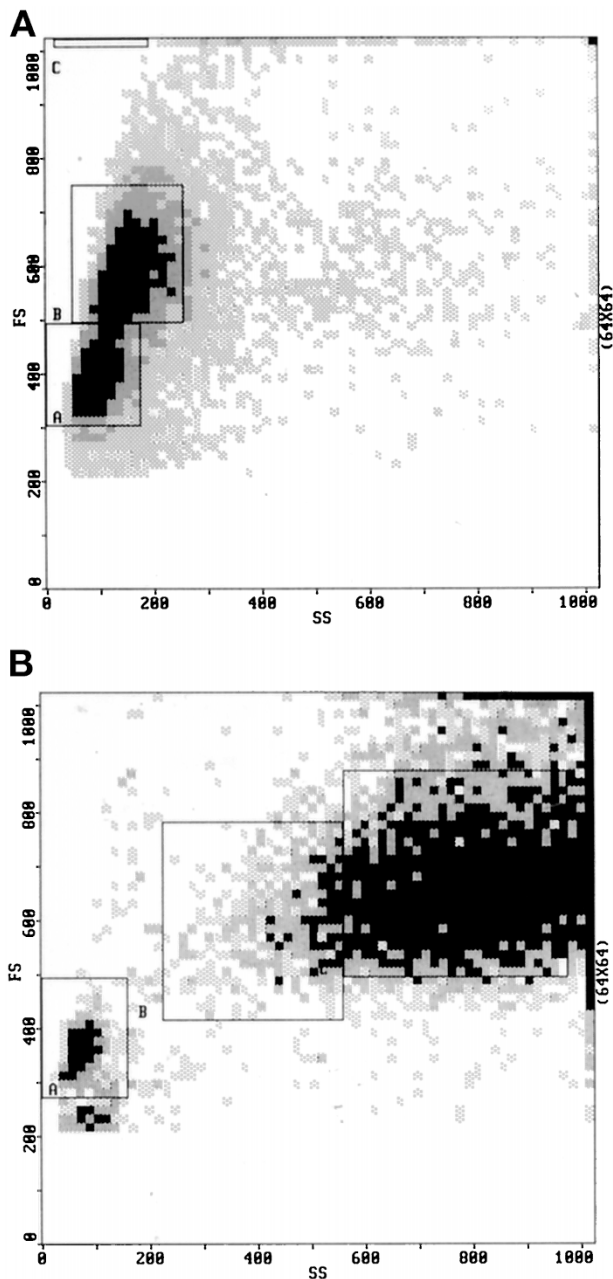
two mo after discontinuation of treatment before experiencing leukemic relapse. He died one month later of refractory respiratory failure. Patient 4 achieved molecular remission following two cycles of treatment and remains in molecular remission following completion of three cycles of As<sub>2</sub>O<sub>3</sub> therapy. After the second cycle of As<sub>2</sub>O<sub>3</sub> therapy, patient 5 is in morphologic and cytogenetic remission, although the RT-PCR assay performed on a recent bone marrow aspirate was positive for PML-RAR $\alpha$  fusion transcripts.

#### DISCUSSION

In this report, we evaluated the pathologic, cytogenetic, and molecular findings in five relapsed APL patients who were treated with As<sub>2</sub>O<sub>3</sub> therapy. Our data has confirmed earlier reports that clinical and molecular remission can be achieved with As<sub>2</sub>O<sub>3</sub> treatment in some ATRA-refractory APL patients (6–8). Our main focus in this study, however, was to better define the pathology of arsenic treatment of APL.



**FIGURE 4.** The bone marrow biopsy of patient 4 following two cycles of As<sub>2</sub>O<sub>3</sub> treatment. **A**, bone marrow core section showing sheets of atypical promyelocytes with smooth round or oval nuclear contours, frequent prominent nucleoli, and abundant Leder positive granular cytoplasm (Leder stain; original magnification, 250 $\times$ ); **B**, bone marrow aspirate showing a promyelocyte (*center*) with abundant granulated cytoplasm (Wright-Giemsa stain; original magnification, 250 $\times$ ).



**FIGURE 5.** The flow cytometric analysis and the bone marrow biopsies of patient 5 before and after the first cycle of  $As_2O_3$  treatment. **A**, forward *versus* side scatter plot of the flow cytometric analysis of a pretreatment bone marrow aspirate showing large cells with light scattering properties of myeloblasts in gate B. **B**, forward *versus* side scatter plot of the flow cytometric analysis of a bone marrow aspirate after first cycle of  $As_2O_3$  treatment showing a predominant population of cells compatible with myelocytes in gate C.

In contrast to conventional cytotoxic chemotherapy that causes myelosuppression,  $As_2O_3$  at low doses (0.1 mg/kg/day) had no myelosuppressive effect, and instead induced significant leukocytosis in three of the five patients during the first 2 to 4 weeks of induction. One distinctive aspect of  $As_2O_3$  treatment was the replacement of the myeloblasts and dysplastic promyelocytes by cells showing slightly shrunken nuclei, condensed chromatin, and significantly in-

creased amounts of cytoplasm containing sparse neutrophilic granules. On flow cytometric analysis, these cells exhibited a marked increase both in cellular size and granularity, along with increased expression of CD11b (an antigen expressed on mature myeloid cells), but with decreased expression of CD33, which is typically expressed in early or intermediately differentiated myeloid cells. Although the morphology and immunophenotype does not correspond precisely with any normal stage of myeloid differentiation, the cells most closely resemble hypogranulated myelocytes. Cells with a similar immunophenotype were previously described as “intermediate cells” in APL patients treated with ATRA therapy (3). These atypical myelocytes still carry the t(15;17) translocation and therefore represent partially differentiated APL cells. However, after the completion of two cycles of  $As_2O_3$  treatment, these atypical myelocytes disappeared in two patients and normal trilineage hematopoiesis resumed with no detectable t(15;17) translocation or PML-RAR $\alpha$  fusion transcripts. Similar findings have also been reported by Chen *et al.* (6). Interestingly, significant dyserythropoiesis commonly observed in patients with arsenic intoxication was not seen in those APL patients treated with  $As_2O_3$ . It appears that at higher doses arsenic can also trigger cell death, as treatment with high doses of  $As_2O_3$  (0.4 mg/kg/day) (patient 1) resulted in significant bone marrow necrosis. Interestingly, the same phenomenon has also reported in patients treated with ATRA used at standard doses (18).

One finding observed in this study was the appearance of sheets of atypical promyelocytes in the bone marrow following therapy that did not represent residual disease. After two cycles of  $As_2O_3$  therapy, patient 4 showed sheets of these cells, although both the cytogenetic analysis and RT-PCR for PML/RAR $\alpha$  performed on the same marrow aspirate were negative. The presence of frequent prominent nucleoli in these atypical promyelocytes raised the possibility of persistent APL. However, unlike usual dysplastic APL cells that often exhibit irregular nuclear contours or bilobed nuclei, these atypical promyelocytes had smooth oval to round nuclear contours and abundant granular cytoplasm. Furthermore, on the subsequent bone marrow biopsy one month later these cells were not seen and there was no evidence of leukemia. All the hematologic and clinical parameters were normal, and the cytogenetics as well as molecular analysis remain negative. Similar atypical promyelocytes were also seen on the bone marrow of patient 3 after the third cycle of  $As_2O_3$  therapy when the patient was in molecular remission based on the negative result of RT-PCR for PML/RAR $\alpha$ .

Although several clinical studies in China (6–7) as well as in the United States (8) have proved the clinical effectiveness of  $As_2O_3$  therapy for both



ATRA-sensitive and -resistant APL, its antileukemic mechanism is still not fully understood. Both *in vitro* and *in vivo* studies by Chen *et al.* (6) suggested two mechanisms of action for As<sub>2</sub>O<sub>3</sub>. At low concentrations, As<sub>2</sub>O<sub>3</sub> induces partial differentiation of APL cells, whereas at high concentrations this drug appears to trigger apoptosis. This suggested mode of As<sub>2</sub>O<sub>3</sub> action appears supported by our morphologic findings on patient 1, showing alternating hypercellularity and necrosis on the bone marrow after treatment with higher doses of As<sub>2</sub>O<sub>3</sub>. Most *in vitro* studies (9–16) suggest that the action of As<sub>2</sub>O<sub>3</sub> is primarily mediated through triggering apoptosis of APL cells, in association with down-regulation of bcl-2 protein and degradation of PML/RAR $\alpha$ . As previously reported (19), our immunofluorescent staining on one case in this series showed that As<sub>2</sub>O<sub>3</sub> induces rapid reorganization of dispersed microparticulate pattern of PML nuclear structures into two to four large aggregates (data not shown). Whether this reorganization of PML structures is critical for responsiveness to As<sub>2</sub>O<sub>3</sub> remains to be seen.

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