

# Reduced Immobility in the Forced Swim Test in Mice with a Targeted Deletion of the Leukemia Inhibitory Factor (LIF) Gene

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Cytokines are a large and diverse group of polypeptides that are rapidly released in response to tissue injury, infection, and inflammation. Besides their effects in the periphery, cytokines also affect the central nervous system (CNS). There has been increasing interest in the potential role of cytokines in the behavioral features of depressive disorders. One cytokine that might be a candidate for a role in the etiology of depression is leukemia inhibitory factor (LIF). LIF mRNA has been detected in the hypothalamus, hippocampus, amygdala, cerebellum, cerebral cortex, and basal forebrain nuclei. The role of LIF in the CNS has not been fully elucidated. Based upon the hypothesis that cytokines might have a role in depression, the present study characterized the behavior of mice with a targeted disruption of the LIF gene (LIF knockouts) in the forced swim test, an animal model used to measure depressive-like behavior and the response to antidepressants. It was found that LIF knockout mice show reduced immobility in the forced swim test, suggesting that LIF might have a potential role in the etiology of some forms of depression.

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## INTRODUCTION

Cytokines are a large and diverse group of polypeptides that have pleiotropic actions, including the regulation of metabolism, growth, and differentiation. They are rapidly released in response to tissue injury, infection, and inflammation. Although cytokines generally act on hemopoietic or immune cells, they also serve as growth and differentiation factors for other cell types. Cytokines commonly behave as paracrine or autocrine cell regulators, mediating adjacent cell functions. However, they can act as classic endocrine secretions, emanating from proximal tissues, traversing the circulation, and impacting a distal target (Reichlin, 1999).

In addition to their effects in the periphery, cytokines affect the central nervous system (CNS). There are four well-established mechanisms by which cytokines can enter

the brain or affect CNS activity: (1) disruption and traversing through the blood–brain barrier; (2) brain penetration through circumventricular organs (central sites that have capillaries with open junctions and abundant fenestrations); (3) action on afferent peripheral nerves that signal the brain; and (4) *de novo* CNS synthesis (Licinio and Wong, 1997). The constitutive expression of cytokines and cytokine receptors occurs on most cell types throughout the brain. Cytokines affect neurotransmission, alter synaptic plasticity, and Ca<sup>2+</sup> signaling (Rudge *et al*, 1996; Vitkovic *et al*, 2000). Thus, cytokines are neuromodulators in addition to being inflammatory mediators (Vitekovic *et al*, 2000). These findings suggest that cytokines could be involved in centrally mediated processes, and might play a role in behavioral and physiological responses besides inflammation (Suzuki *et al*, 1996; Chesnokova *et al*, 1998).

There has been increasing interest in the potential role of the immune system and cytokines in the behavioral features of depressive disorders (Kent *et al*, 1992; Connor and Leonard, 1998; Miller, 1998; Dantzer *et al*, 1999; Yirmiya *et al*, 1999; Leonard, 2001; Koonsman *et al*, 2002). Symptoms of depression are exceedingly common in a wide range of inflammatory and autoimmune diseases. In addition, some patients with major depression have alterations in immune

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function. Preclinical studies have shown that interleukin-1 (IL-1) or lipopolysaccharide (LPS), which induces the synthesis and release of many cytokines, produce a behavioral syndrome known as 'sickness behavior'. This syndrome shares many features in common with major depression, including anhedonia, listlessness, altered sleep patterns, increased hypothalamo-pituitary-adrenal (HPA) axis activity, reduced appetite, and social withdrawal (Bluthe *et al*, 1997; Connor and Leonard, 1998; Miller, 1998; Licinio and Wong, 1999; Wichers and Maes, 2002). These symptoms are largely attenuated by the prior administration of the IL-1 antagonist IL-10 (Bluthe *et al*, 1999). The preclinical observations have been confirmed in humans. For example, recent studies have shown that in some cases major depression is accompanied by increased synthesis and release of circulating proinflammatory cytokines ((IL-1, IL-6, and tumor necrosis factor (TNF)) (Connor and Leonard, 1998; Maes, 1999; Miller, 1998). Furthermore, administering LPS, interferon- $\gamma$ , INF- $\gamma$ , or IL-2 to humans elicits symptoms of depression (Yirmiya *et al*, 2000; Wichers and Maes, 2002).

Another cytokine that might play a role in the etiology of depression is leukemia inhibitory factor (LIF). LIF is a member of the common IL-6 cytokine family, comprised of IL-6, oncostatin M, IL-11, ciliary neurotropic factor, and cardiotropin. All cytokines in this family interact with a common gp130 receptor subunit, and utilize the JAK-STAT signaling pathway. LIF initially was noted for its ability to induce differentiation of murine M1 leukemia cells. Subsequent studies revealed that it has diverse biological activity (Auernhammer and Melmed, 2000). LIF and LIF receptors are constitutively expressed in human pituitary cells (Akita *et al*, 1997) and in murine hypothalamus and pituitary (Auernhammer and Melmed, 2000). LIF, along with corticotropin-releasing hormone (CRH), stimulates ACTH secretion in response to emotional and inflammatory stress (Chesnokova *et al*, 1998; Auernhammer *et al*, 1998). LIF is highly induced in the hypothalamus and pituitary in response to inflammation (Chesnokova and Melmed, 2000; Chesnokova *et al*, 2002), and it maintains a prolonged and sustained activation of the HPA axis under chronic inflammatory challenge. Thus, in addition to CRH, LIF mediates HPA axis activity.

LIF mRNA has been detected in the hypothalamus, hippocampus, amygdala, cerebellum, cerebral cortex, and basal forebrain nuclei (Lemke *et al*, 1996; Gadiant *et al*, 1998; Auernhammer and Melmed, 2000; Chesnokova *et al*, unpublished data). However, the role of LIF in the CNS has not been fully elucidated. LIF is required for neuronal survival after injury (Sugiura *et al*, 2000), and prevents oligodendrocyte death in animal models of multiple sclerosis (Butzkueven *et al*, 2002). *In vitro*, LIF induces a switch from the noradrenergic to the cholinergic neurotransmitter phenotype in sympathetic neurons (Cervini *et al*, 1994). Interestingly, tyrosine hydroxylase mRNA is enhanced in neuronal cultures incubated with anti-LIF antibodies, suggesting that LIF might be involved in the regulation of noradrenergic systems (Cheng and Patterson, 1997).

Based upon the hypothesis that proinflammatory cytokines might be involved in the etiology of depression, it was decided to study the behavior of mice with a targeted

disruption of the LIF gene (LIF knockouts) in the forced swim test, an animal model used to measure depressive-like behavior and the response to antidepressants (Porsolt *et al*, 1977a,b; Borsini and Meli, 1988). In order to further characterize the mice, locomotor activity was measured in the open field test, and their behavior was assessed in the elevated plus maze, an animal model of anxiety (Dawson and Tricklebank, 1995).

## MATERIALS AND METHODS

### Animals

Adult male and female mice with a disrupted LIF gene (LIFKO mice) and their wild-type (WT) counterparts were used in the experiments. LIFKO mice initially were generated by Dr Stewart (Roche Institute of molecular biology, Roche Research center, Nutley, NJ) on mice with a C57Bl/6j and DBA/2 hybrid background (B6D2F1) (Stewart *et al*, 1992). The mice used for the present experiments were bred and raised in our vivarium. The original breeding pairs were backcrossed to one of the parental genotypes (C57BL/6j) at least five times prior to using them in our experiments. Thus, genetic background of resulting LIFKO mice was comprised of more than 98% of C57Bl/6j genotype. For the experiments, LIFKO and WT mice were obtained from heterozygous matings. All pups were genotyped by tail biopsies at 3 weeks of age. For the PCR-based DNA analysis, the tip of the tail was cut while the mice were under isoflurane-induced anesthesia. DNA was extracted using a genomic DNA extraction system (Purigen; Genetech, Research Triangle Place, NC). The sequences of primers and conditions used for PCR analysis have been described previously (Chesnokova *et al*, 1998). After screening, the mice were separated by sex and group housed. Animals were maintained on a 14:10 light-dark cycle with lights on at 0700 with food and water available *ad libitum*. All experiments were run between 0900 and 1300 in order to minimize the effect of circadian rhythms. The level of illumination in the rooms during experimental testing was 325 lumens.

### Forced Swim Test

The forced swim test was carried out using a procedure modified from the original report by Porsolt *et al* (1977a). The mice were individually placed into a glass cylinder (25 cm height, 10 cm diameter) containing 8 cm of water maintained at 22–24°C. Every 30 s for a total of 6 min the mice were rated for immobility, defined as the absence of active, escape-oriented behaviors such as swimming, jumping, rearing, sniffing, or diving. At each time point the mice were observed for 10 s and immobility was recorded as being present or absent. Any mouse appearing to have difficulty keeping its head above water was removed from the cylinder and deleted from the study. The rater was blind to the experimental treatment. The procedure has been validated in our laboratory by showing the treatment with imipramine dramatically decreases immobility in WT, female mice (Pechnick *et al*, unpublished data).

## Open Field Test

The open field test was used to measure spontaneous locomotor activity. The Open Field apparatus (San Diego Instruments, San Diego, CA) was comprised of open topped, clear Plexiglas boxes, measuring 16" × 16" and 15" high. On the day of the experiments the subjects were loaded into transfer cages and brought into the experimental room. They remained in the transfer cages in the experimental room for 30 min, after which each subject is placed into the center of the Plexiglas boxes. Both central and peripheral beam breaks (locomotion) were recorded in 5 min bins for 60 min. The central zone was defined as a 12" × 12" region in the center of the box.

## Elevated Plus-Maze

The elevated plus maze consisted of two open (2" × 12") and two darkened, closed arms (2" × 12") emanating from a common central platform (2" × 2") to form a plus shape. Walls (6") isolated the closed arms. The entire apparatus was raised 23 cm above its base, and a light was placed above the maze. Testing commenced by placing a mouse on the central platform, facing an open arm. A 5 min observation period was used, during which the following data were recorded: number of open arm entries; total arm entries (open and closed); and time spent in the open arms.

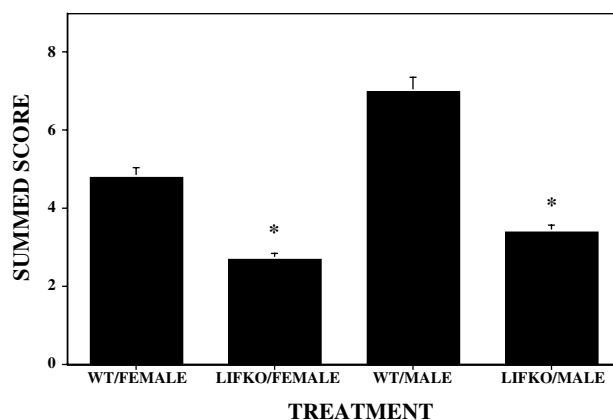
## Statistical Analyses

For the forced swim test, the number of time points where immobility was scored during the last 4 min of the experimental session were summed for each subject (Porsolt *et al*, 1977a). The summed scores were analyzed by two-way ANOVA (strain × sex), followed by one-way ANOVA for each sex. For locomotor activity, total beam breaks across the 60 min session were summed for each subject. The summed scores were analyzed by two-way ANOVA. For the elevated plus maze, the number of open and closed arm entries, the proportion of open to total arm entries, and the time spent in the open arms were analyzed by two-way ANOVA, followed by one-way and Scheffe's tests for comparisons between treatment groups. A criterion of  $p < 0.05$  was set for the rejection of the null hypothesis.

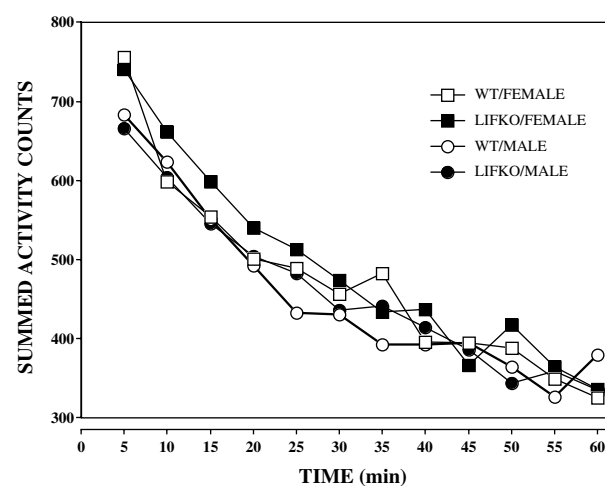
## RESULTS

No mice were deleted from the study. In the forced swim test, there were significant strain effects ( $F(1,58) = 14.4$ ;  $p < 0.01$ ), but neither the sex ( $F(1,58) = 4.0$ ;  $p = 0.05$ ) nor the interaction ( $F(1,58) = 0.09$ ;  $p = 0.34$ ) effects were statistically significant. Both the male (Figure 1a) and female (Figure 1b) LIFKO mice showed significantly less immobility compared to the WT mice ( $F(1,18) = 15.8$ ;  $p < 0.01$ ; and  $F(1,40) = 5.2$ ;  $p = 0.03$ , respectively).

In the open field test, there were no significant strain differences in total locomotor activity between the WT and LIFKO mice (Figure 2). In addition, there were no significant strain ( $F(1,61) = 3.47$ ;  $p = 0.07$ ) or sex ( $F(1,61) = 0.001$ ;  $p = 0.98$ ) differences in the proportion of



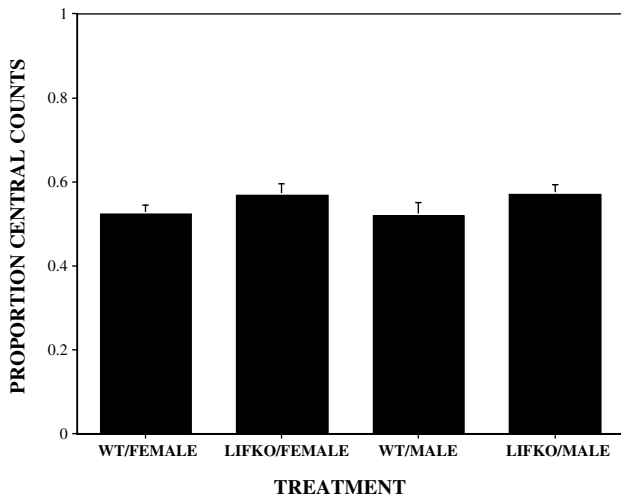
**Figure 1** Summed immobility score for male and female WT and LIFKO mice. The mice were individually placed into a glass cylinder containing water. The number of time points where immobility was scored during the last 4 min of the experimental session were summed for each subject. Both the male and female LIFKO mice showed significantly less immobility compared to the WT mice. N/group: WT/female, 20; LIFKO/female, 22; WT/Male 9; LIFKO/Male, 11.



**Figure 2** Mean number of total activity counts in the open field test. Beam breaks (locomotion) are recorded in 5 min bins for 60 min. There were no significant differences among treatment groups. N/group: WT/female, 16; LIFKO/female, 19; WT/Male, 14; LIFKO/Male, 16. SEM  $\leq 54$  counts.

locomotor activity in the central vs the peripheral areas of the boxes (Figure 3).

In the elevated plus maze (Figures 4a-c), there were no statistically significant strain differences in the number of open arm entries ( $F(1,49) = 3.223$ ;  $p = 0.08$ ), time in the open arms ( $F(1,49) = 2.620$ ;  $p = 0.11$ ), or the number of total arm entries ( $F(1,49) = 3.882$ ;  $p = 0.05$ ). There was a significant sex difference in the number of total arm entries ( $F(1,49) = 12.866$ ;  $p < 0.01$ ), but not in the number of open arm entries ( $F(1,49) = 3.735$ ;  $p = 0.06$ ) or the time in the open arms ( $F(1,49) = 0.001$ ;  $p = 0.98$ ). There were statistically significant interaction effects for the number of open arm entries ( $F(1,49) = 14.929$ ;  $p < 0.01$ ) and time in the open

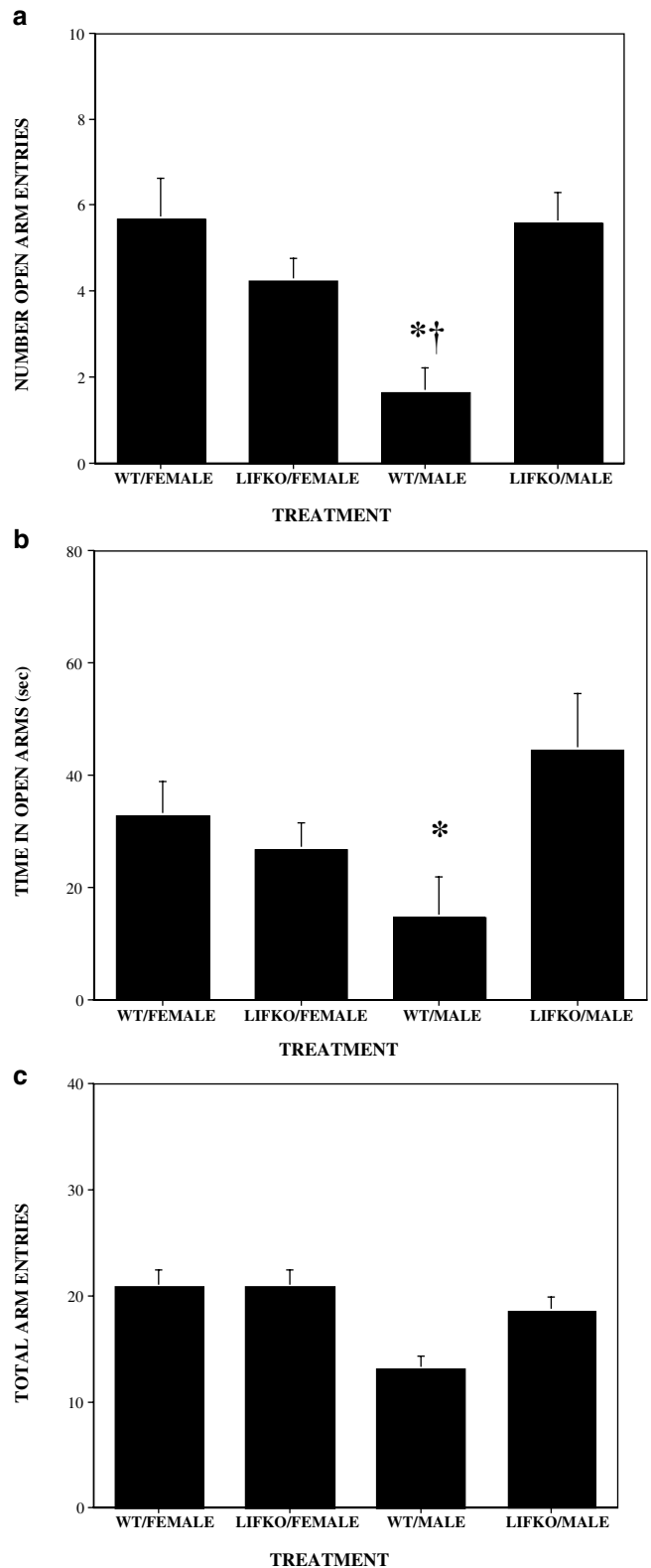


**Figure 3** Mean proportion of activity counts in the central portion of the test chamber. The number of beam breaks (locomotion) in the central portion of the test chamber was divided by the total number of beam breaks (central plus peripheral). N/group: WT/female, 16; LIFKO/female, 19; WT/Male, 14; LIFKO/Male, 16.

arms ( $F(1,49) = 5.914$ ;  $p = 0.02$ ), but not for the number of total arm entries ( $F(1,49) = 3.845$ ;  $p = 0.06$ ). Individual comparisons indicate that the WT male mice had fewer open arm entries, spent less time in the open arms, and had fewer total arm entries than the LIFKO male mice. In addition, the WT male mice had fewer open arm entries and fewer total arm entries than the WT female mice.

## DISCUSSION

Little is known about behavior or physiology in LIF knockout mice. The data indicate that the LIFKO mice show less immobility in the forced swim test. One possible explanation for this finding is that the LIFKO mice are hyperactive compared to the WT mice. The data from the open field test demonstrate that there are no differences in locomotor activity between the LIFKO and WT mice. In the elevated plus maze, the total number of entries can be used as an indicator of general activity. Although the male LIFKO mice had significantly more total arm entries than the male WT mice, there were no significant differences between the female LIFKO and WT mice. As both sexes showed the strain difference in the forced swim test, this suggests that hyperactivity *per se* is not responsible for the decreased immobility in the forced swim test. However, the mechanisms underlying the decreased immobility need not be the same in both sexes. A second possible explanation for less immobility in the LIFKO mice is that they showed higher levels of fear or anxiety. Such fear could be reflected by more swimming (ie less immobility). The data from the elevated plus maze show that the LIFKO mice do not have higher levels of anxiety. In fact, the male LIFKO mice show reduced levels of anxiety, as measured by increased open arm entries and more time spent in the open arms. Reduced activity in the central component of the boxes in the open field test can be used as an indicator of increased fearfulness. As there were not significant strain differences,



**Figure 4** The number of open arm entries (a), time in the open arms (b), and total arm entries (c) in the elevated plus maze. A 5 min observation period was used. \* Significantly different from LIFKO males; † significantly different from WT females. N/group: WT/female, 12; LIFKO/female, 13; WT/Male, 14; LIFKO/Male, 14.

this further supports the contention that the LIFKO mice do not show increased fear.

Taken together, the results suggest that the decreased immobility found in the LIFKO mice is not a consequence of hyperactivity or increased fearfulness, but could be due to antidepressant-like behavior as measured by the forced swim test. Yamada *et al* (2000) examined tumor TNF- $\alpha$  knockout mice, and found reduced immobility in the forced swim test. However, they also found less time spent in the open arms in the elevated plus maze, which led Yamada *et al* (2000) to conclude that the TNF- $\alpha$  knockout mice were in a state of increased anxiety and the reduced immobility due to 'inappropriate coping responses', rather than reduced depression. As the absence of LIF was associated with antidepressant-like behavior, then it is possible that increased levels of LIF could lead to increased immobility in the forced swim test. LIF is expressed in the hypothalamus (Chesnokova and Melmed, 2000), amygdala, and hippocampus (Chesnokova *et al*, unpublished data), regions of the CNS that are associated with depressive and stress-related behaviors as well as in antidepressant response (Manji *et al*, 2001; Davidson *et al*, 2002). Thus, LIF might be involved in the etiology of some forms of depression.

There are a number of potential mechanisms whereby LIF and other cytokines could produce depressive behavior. Although little is known about the effects of LIF on neurotransmission within the CNS, other cytokines affect neurotransmitters that have been implicated in the etiology of depression (eg serotonin and norepinephrine). For example, some cytokines have profound effects on serotonergic neurotransmission (Heyes *et al*, 1997; Cunningham and de Souza, 1993; Ramamoorthy *et al*, 1995; Barkhudaryan and Dunn, 1999; Hayley *et al*, 1999; Mossner and Lesch, 1998). Cytokines also affect noradrenergic neurotransmission (Leonard, 2001; Dunn *et al*, 1999). Cytokine-mediated dysregulation of these monoaminergic systems could be involved in depressive symptomatology.

Dysregulation of the HPA axis is observed in some depressed patients (Gertsik and Poland, 2004). As LIF (Chesnokova *et al*, 1998, 2002; Chesnokova and Melmed, 2000) and other cytokines (Turnbull and Rivier, 1999) exert important changes in the activity of the HPA axis, it is conceivable that some of the behavioral effects of LIF and other cytokines might be mediated through the HPA axis. Although cytokines usually are thought of as immunomodulators, they also are rapidly expressed in the CNS in response to nonimmunologic stressors (Shintani *et al*, 1995; Shizuya *et al*, 1998). Thus, the HPA response to stressors might be modified by changes in the release of cytokines. We have found that LIFKO mice demonstrate a reduced HPA axis response to both emotional and inflammatory stress (Chesnokova *et al*, 1998; Auernhammer *et al*, 1998). Furthermore, LIF stimulates glucocorticoid receptor translocation and inhibits hormone-induced glucocorticoid receptor-mediated gene transcription (Chesnokova *et al*, unpublished data), thus producing glucocorticoid resistance, which is a pathophysiologic phenotype of depression. Therefore, it is possible that some of the behavioral effects of LIF are due to changes in the function of the HPA axis.

CRH has been implicated in the etiology of depression (Nemeroff, 1996). Although multiple aspects of the HPA axis appear to be dysregulated in depression, a primary

upregulation of CRH secretion can account for most, if not all, aspects of the endocrine abnormalities. There are clearcut interactions between LIF and CRH (Bousquet *et al*, 1997). It is possible that the reduced immobility of the LIFKO mice in the forced swim test is secondary to changes in CRH. However, hypothalamic CRH mRNA expression is not reduced, but slightly elevated in LIFKO mice. Furthermore, basal corticosterone levels are not different between LIFKO and WT mice (Chesnokova *et al*, 1998). These findings suggest that the reduced immobility in the forced swim test in the LIFKO mice is not due to a decrease in central CRH expression.

Although that data suggest that LIF might be involved in the etiology of depression, it is not possible to establish conclusively a relation between the LIF gene and depression based upon the small number of tests conducted. More tests (eg tail suspension and learned helplessness) will need to be conducted. Furthermore, there are limitations regarding the interpretation of studies evaluating the phenotype of a knockout mouse. First, the genetic mutation has been present from the time of conception throughout development, making it difficult to distinguish phenotypic changes due to the mutation itself from the changes caused by alterations that take place during development. For example, LIFKO mice might be exposed to lower concentrations of glucocorticoids during development, which might contribute to their behavioral phenotype. Second, if a gene is expressed in different tissues where it might have different functions, or at different times, its global inactivation might have multiple consequences. Third, the genetic background on which the mutation is placed can affect the phenotypic expression of the knockout. Therefore, a knockout animal is much more complex than simply a animal lacking a single gene, and interpretation of data from experiments using this approach must take into consideration these potential confounds.

In summary, the results of the present study show that mice lacking LIF have reduced immobility in the forced swim test. The decreased immobility found in the LIFKO mice is not a consequence of hyperactivity or increased fearfulness. These findings suggest that LIF might have a potential role in the etiology of some forms of depression, and warrant further studies on the behavioral effects of LIF and its role in CNS function.

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