

# Review

# Beneficial effects of mood stabilizers lithium, valproate and lamotrigine in experimental stroke models

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The mood stabilizers lithium, valproate and lamotrigine are traditionally used to treat bipolar disorder. However, accumulating evidence suggests that these drugs have broad neuroprotective properties and may therefore be promising therapeutic agents for the treatment of neurodegenerative diseases, including stroke. Lithium, valproate and lamotrigine exert protective effects in diverse experimental stroke models by acting on their respective primary targets, ie, glycogen synthase kinase-3, histone deacetylases and voltage-gated sodium channels, respectively. This article reviews the most recent findings regarding the underlying mechanisms of these phenomena, which will pave the way for clinical investigations that use mood stabilizers to treat stroke. We also propose several future research avenues that may extend our understanding of the benefits of lithium, valproate and lamotrigine in improving stroke outcomes

**Keywords**: lithium; valproate; lamotrigine; stroke; brain ischemia; glycogen synthase kinase-3; histone deacetylase; apoptosis; neurogenesis; angiogenesis

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#### Introduction

Stroke is a major cause of serious long-term disability in adults and the second leading cause of death worldwide. Approximately 85% of all strokes are ischemic<sup>[1]</sup>. To date, thrombolysis with intravenous recombinant tissue plasminogen activator (rtPA) is the only Food and Drug Administration (FDA)-approved treatment for acute ischemic stroke in the United States<sup>[2]</sup>. Thrombolysis improves patient outcome by facilitating reperfusion of ischemic brain tissue before irreversible damage occurs and must be administered within 4.5 h of symptom onset<sup>[3]</sup>. Given this narrow therapeutic window, as well as the associated risk of intracerebral hemorrhage<sup>[4]</sup>, the estimated rate of rtPA use is quite low, only 1.8% to 2.1% of all ischemic stroke patients<sup>[5]</sup>. Thus, there is an urgent need to develop novel treatment options for stroke.

A promising therapeutic direction has emerged from an unexpected field: psychiatry. The mood stabilizing drugs lithium, valproate (VPA) and lamotrigine (see Figure 1 for chemical structures) are FDA-approved treatments for bipolar disorder (BD). This severe psychiatric illness affects 1%–3% of the world's population and is characterized by cyclic episodes

of mania and depression. While the etiology of BD remains elusive, increasing evidence suggests that it is associated with neuroanatomical and neurophysiological anomalies, neurocognitive deficits, and dysregulated signaling pathways and gene expression<sup>[6]</sup>. Lithium, which has a well-established safety profile, has been used to treat BD for more than 60 years. It is an effective treatment for mania and is also used as prophylactic therapy to prevent the recurrent manic and depressive episodes that characterize BD. Anticonvulsants, notably VPA and lamotrigine, are more effective than lithium in treating rapid-cycling bipolar patients. While lamotrigine has more robust effects against bipolar depression, VPA, like lithium, has strong anti-manic effects but is less effective in treating depressive episodes. Although these mood stabilizers have long been the mainstay drugs for BD, their underlying

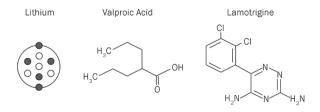


Figure 1. The chemical structures of lithium, VPA and lamotrigine.

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therapeutic mechanisms remain largely unknown.

Brain imaging and postmortem investigations have demonstrated regional brain volume reductions, decreased cell number and density, and neuronal atrophy in patients with BD<sup>[7,8]</sup>. Structural imaging studies have also shown that patients with BD have a particular pattern of brain abnormalities that includes widespread cortical thinning; morphological abnormalities in the amygdala, basal ganglia and thalamus; reduced gray matter volume in the prefrontal cortex, ventral striatum, and hippocampus; and enlarged lateral ventricles [9, 10]. Notably, chronic treatment with lithium was found to significantly increase brain gray matter volume in BD patients<sup>[11]</sup>. Another study found that VPA-treated patients had larger cingulate gyrus volumes, including both cortex and white matter, than unmedicated BD patients<sup>[12]</sup>. In light of these observations, the beneficial effects of mood stabilizing drugs are now recognized to involve neurotrophic and neuroprotective mechanisms with broad applications beyond the treatment of psychiatric illnesses. The extant evidence suggests that these mood stabilizing drugs activate neurotrophic and neuroprotective pathways, highlighting their therapeutic potential for the treatment of a variety of neurodegenerative diseases, including stroke<sup>[13-15]</sup>.

In this article, we review the recent findings gleaned from experimental stroke models, with a focus on ischemic stroke, regarding the protective effects of lithium, VPA and lamotrigine. To date, there is a larger body of evidence from these models supporting the protective effects of lithium and VPA compared to lamotrigine. We will address the mechanisms and effects of each mood stabilizer as they are currently understood, and point to areas where further research may extend the potential therapeutic use of mood stabilizing drugs in stroke treatment.

## The pathophysiology of ischemic stroke

Ischemic stroke is caused by reduced blood supply to an area of the brain, which initiates an ischemic cascade. Ischemic stroke accounts for 85% of all stroke cases<sup>[1]</sup>. The pathophysiology of ischemic stroke is complex and involves both early and late phase processes. In the acute phase after stroke, the reduced blood supply depletes oxygen and glucose within the brain and prevents adenosine triphosphate (ATP) production. In turn, ATP depletion impairs ATP-dependent ion pumps and alters Na<sup>+</sup> concentration gradients across neuronal membranes. As a result, glutamate transporters fail, causing excessive synaptic release and accumulation of glutamate. Through interaction with N-methyl-D-aspartate (NMDA) and alpha-amino-3-hydroxy-5-methyl-4-isoxanole propionate (AMPA) receptors, glutamate overstimulation leads to Ca<sup>2+</sup> influx, which then drives the activation of damaging Ca<sup>2+</sup>mediated intracellular enzymes such as calpains and caspases. These ultimately result in mitochondrial failure, generation of reactive oxygen species, and cell necrosis and apoptosis<sup>[16, 17]</sup>. Furthermore, the osmotic gradient caused by the ion imbalance leads to an influx of water, which triggers cytotoxic and vasogenic edema<sup>[18]</sup>.

Neuroinflammation has been increasingly implicated in injury progression following stroke. Post-ischemic inflammation is a dynamic process involving a complicated set of interactions between inflammatory cells and molecules<sup>[19]</sup>. Within hours after ischemic onset, circulating leukocytes adhere to vessel walls, infiltrate ischemic brain tissue, and amplify inflammatory signal cascades, which enhance tissue damage<sup>[20-22]</sup>. Microglia, the resident macrophages of the brain, are activated after stroke<sup>[23, 24]</sup>. Activated microglia release additional inflammatory mediators that increase the vulnerability of neurons, stimulate the expression of adhesion molecules, and mediate the adherence and infiltration of leukocytes<sup>[20]</sup>. Astrocytes are also activated and express cytokines, chemokines and inducible nitric oxide synthase (iNOS) [25]. These cytotoxic factors induce further cell damage, as well as disrupt the blood-brain barrier (BBB) to further exacerbate brain injury and cause brain edema<sup>[26]</sup>.

The BBB is composed of capillary endothelial cells and pericytes, which are surrounded by basal lamina, astrocytic endfeet, and perivascular interneurons<sup>[27]</sup>. These endothelial cells are stitched together by tight junctions, which act as a highly selective and effective physical barrier to protect the CNS from pathogens and harmful molecules in the bloodstream. Tight junctions consist of transmembrane proteins, including claudins, occludins, and adhesion junction molecules, as well as cytoplasmic accessory proteins such as zonula occludens-1 (ZO-1)[27]. Impairment of tight junctions disrupts BBB integrity and increases its permeability. BBB disruption is a critical event in the pathogenesis of various neurological disorders, including stroke. Loss of BBB integrity following stroke allows the penetration of intravascular proteins and fluid into the cerebral parenchymal extracellular space, resulting in leukocyte infiltration, vasogenic edema and hemorrhage. Matrix metalloproteinases (MMPs) are a family of zinc-dependent endopeptidases that have multiphasic roles in ischemic stroke<sup>[28]</sup>. Within hours to days after cerebral ischemia, high levels of MMPs, especially MMP-2 and 9, degrade tight junctions and basal lamina proteins, disrupt cell-matrix homeostasis, and breach BBB integrity<sup>[28, 29]</sup>. In rodent models of ischemic stroke, both MMP-2 and 9 are linked with increased BBB permeability in the acute phase after ischemic stroke (within hours)<sup>[30, 31]</sup>, whereas MMP-9 is responsible for the severe BBB disruption that occurs at 24 to 48 h after ischemia<sup>[32]</sup>. Similarly, BBB disruption at approximately 24 h after acute stroke onset in humans is associated with increased plasma MMP-9[33]. Elevated leukocytic MMP-9 is also closely tied to BBB disruption, as it promotes the degradation of the basal lamina in human brain tissue after stroke<sup>[34]</sup>. The functional significance of this enzyme has been demonstrated in mice, where genetic knockout of MMP-9 or suppression with an MMP inhibitor confers resistance to cerebral ischemia-induced BBB disruption by preventing degradation of the tight junction protein ZO-1<sup>[35, 36]</sup>.

Neurovascular repair and regeneration take place in the chronic phase after stroke and determine the ultimate extent of the damage. The primary neurovascular responses during stroke recovery involve angiogenesis and neurogen-



esis. Angiogenesis is the process by which new capillaries are formed through directed proliferation and migration of endothelial progenitor cells from pre-existing blood vessels. Neurogenesis is the process of generating new neurons from neural stem and progenitor cells. Increasing evidence gleaned from human stroke patients and experimental stroke models suggests that endogenous angiogenesis and neurogenesis occur in the penumbra region days to weeks after stroke onset<sup>[37]</sup>. A close link between these two processes exists following stroke, as they share growth factors and signaling pathways for cell formation and migration, as well as common physical space as a result of parallel anatomic patterning and development<sup>[38]</sup>. Pharmacological therapies that enhance endogenous angiogenesis and neurogenesis following stroke have been shown to promote the recovery of neurological function in experimental stroke models<sup>[39-41]</sup>. Thus, enhancement of angiogenesis and neurogenesis may be a promising avenue for improving post-stroke clinical outcomes and functional recovery.

Genes participating in post-ischemic neurovascular regeneration have been extensively studied in experimental stroke models. Vascular endothelial growth factor (VEGF) and MMPs are two key factors involved in this neurovascular recovery process, and both have biphasic functions in the neurovascular response to stroke. VEGF and MMPs increase BBB permeability in the acute phase but accelerate angiogenesis and neurogenesis in the later phases<sup>[42]</sup>. In the delayed phase of ischemic recovery, VEGF can induce proliferation, inhibit apoptosis, and increase survival in both endothelial and neural cells<sup>[43-44]</sup>, while MMPs can interact with extracellular matrix and growth factors or cytokine substrates to modulate neurovascular plasticity<sup>[28]</sup>. In addition, a feedback loop may exist between VEGF and MMPs, in which MMPs process pro-forms of matrix-bound VEGF into freely diffusible bioactive forms of VEGF<sup>[45]</sup>. This notion is based on findings from a mouse stroke model showing that MMP inhibition reduced endogenous VEGF signals, whereas exogenous VEGF prevented MMP inhibition-induced infarct exacerbation<sup>[46]</sup>.

As we review below, accumulating evidence demonstrates that the mood stabilizers lithium, VPA and lamotrigine exert protective effects throughout the pathophysiological processes of stroke.

# The effects of mood stabilizing drugs in experimental stroke models

Lithium

#### Therapeutic benefits

The neuroprotective effects of lithium against cerebral ischemia were first demonstrated by Nonaka and Chuang in a rat model of focal cerebral ischemia<sup>[47]</sup>. Chronic pretreatment with lithium significantly improved neurological deficit scores and reduced brain infarct volume in ischemic animals. In a gerbil global cerebral ischemic model, lithium pretreatment at 3 mEq/kg for seven days suppressed neuronal cell death in the hippocampal CA1 region and protected against hyperactivity and memory impairment<sup>[48]</sup>. In addition to pre-insult treatment, post-insult lithium administration at therapeutically relevant doses (ie, 0.5 or 1.0 mEq/kg, sc) has also been shown to reduce brain infarct volume and facilitate neurological (motor, sensory and reflex) recovery in a rat model of middle cerebral artery occlusion (MCAO)<sup>[49]</sup>. It is worth noting that because lithium offers beneficial effects when administered at least three hours after ischemic onset, it has great clinical potential for the treatment of acute stroke<sup>[49]</sup>.

## Primary target: glycogen synthase kinase-3

Pioneering studies demonstrated that lithium directly inhibits glycogen synthase kinase-3 (GSK-3)<sup>[50, 51]</sup>. This enzyme has been further established as a crucial target for lithium's cellular effects<sup>[13, 52]</sup>. GSK-3, consisting of  $\alpha$  and  $\beta$  isoforms, is a serine/threonine kinase that regulates diverse cellular and neurophysiological processes. Lithium competes with magnesium to directly inhibit GSK-3 by binding to the active site of the enzyme and limiting its catalytic activity<sup>[53]</sup>. Lithium also indirectly inhibits GSK-3 activity by enhancing phosphorylation of GSK-3a at Ser21 and GSK-3b at Ser9 via activation of phosphatidylinositol 3-kinase (PI3-K)/Akt, protein kinase A and protein kinase  $C^{[13,54]}$ . In addition, lithium has been shown to increase the activities of two transcription factors, activator protein-1 (AP-1) and cyclic AMP-response element binding protein (CREB), both in vivo and in vitro<sup>[55]</sup>. Lithium also activates the mitogen-activated protein (MAP) kinase pathway<sup>[56]</sup>.

#### Anti-excitotoxic effects

The beneficial effects of lithium in experimental cerebral ischemia may partially occur through the inhibition of excessive NMDA receptor-mediated Ca2+ influx into neurons[57]. Specifically, brain ischemia stimulates NMDA receptor subunit 2A (NR2A) and NR2B activation by increasing tyrosine phosphorylation<sup>[58]</sup>. Lithium has been shown to reduce the activation of NR2A and 2B by inhibiting tyrosine phosphorylation without affecting total protein levels<sup>[59, 60]</sup>. Furthermore, because long-term lithium treatment was required for robust neuroprotection, these findings suggest that the antiexcitotoxic effects of lithium also involve altered signaling pathways and gene expression. Supporting this notion, longterm pretreatment with lithium was found to enhance brainderived neurotrophic factor (BDNF)/TrkB signaling, activate the PI3-K/Akt cascade, and suppress the activation of MAP kinases in cultured neurons under glutamate challenge<sup>[56, 61, 62]</sup>. Akt has been shown to induce phosphorylation and inactivation of BAD<sup>[63]</sup>, a pro-apoptotic member of the B-cell lymphoma 2 (Bcl-2) family, and enhance the expression of Bcl-2<sup>[64]</sup>, an antiapoptotic protein. Consistent with its protective effects, lithium treatment promoted Bcl-2 expression but reduced the expression of p53 and Bax in rat cerebellar granule cells under glutamate challenge, thus inhibiting caspase activation<sup>[65]</sup>. Lithium-mediated Akt activation after glutamate exposure also indirectly inhibited GSK-3 by increasing its serine phosphorylation level<sup>[61]</sup>. Therefore, modulation of Akt activity appears to play a key role in the neuroprotective effects of lithium against glutamate excitotoxicity.



## Anti-apoptotic effects

Following stroke, Ca<sup>2+</sup> influx leads to the release of pro-apoptotic proteins from the mitochondria to the cytoplasm, thereby inducing apoptosis [66]. Two enzymes activated after diverse apoptotic insults are c-Jun N-terminal kinase (JNK) and p38 MAP kinase<sup>[67]</sup>. These molecules can act synergistically to enhance the binding activity of AP-1, a dimeric transcription factor involved in the cellular response to stress factors and other signals<sup>[68]</sup>. Chronic lithium pretreatment attenuated glutamate-induced apoptosis in rat cerebellar granule cells in part by inhibiting the activation of JNK and p38, as well as the subsequent increase in AP-1 binding activity<sup>[56]</sup>. In a rat focal cerebral ischemia model, chronic pretreatment with lithium suppressed apoptosis in the ischemic penumbra by reducing caspase-3 immunoreactivity, DNA fragmentation, and expression of the AP-1 family member c-Jun<sup>[69]</sup>. These effects may be linked to lithium's ability to reverse glutamate-induced downregulation of the anti-apoptotic protein Bcl-2 and overexpression of the pro-apoptotic proteins p53 and Bax<sup>[65]</sup>.

Calpain is a nonlysosomal Ca<sup>2+</sup>-dependent intracellular cysteine protease, and Ca2+ influx-induced calpain overactivation has been implicated in ischemic brain injury<sup>[70]</sup>. A recent study found that post-insult lithium treatment mitigated apoptosis and brain damage by preventing GSK-3\beta and ERK dephosphorylation, reducing the activation of calpain and caspase-3, and inhibiting mitochondrial release of apoptosis-inducing factor and cytochrome c in a neonatal hypoxicischemic rat model<sup>[71]</sup>. Heat-shock protein 70 (HSP70) is a well-known cytoprotective factor that inhibits apoptosis and is induced in the ischemic penumbra where neuronal recovery takes place. Post-insult treatment with lithium increased the DNA binding activity of heat-shock factor-1 (HSF-1) to the heat-shock element, superinducing HSP70 in neurons to inhibit brain ischemia-induced apoptosis<sup>[49]</sup>. Lithium's ability to inhibit GSK-3 is likely associated with HSF-1 activation and HSP70 induction<sup>[72]</sup>. These findings suggest that lithiuminduced GSK-3 inhibition contributes to the anti-apoptotic effects of the drug.

# Effects on autophagy

Autophagy is a key intracellular catabolic process that involves the bulk degradation of damaged cytoplasmic proteins or organelles<sup>[73]</sup>. Recently, autophagic activity was shown to be increased at lesion sites after cerebral ischemia<sup>[74]</sup>. Lithium decreased inositol 1,4,5-trisphosphate levels by inhibiting phosphoinositol phosphatases, a process recently identified as a novel mechanism for inducing autophagy<sup>[75]</sup>. In contrast, lithium was also shown to reduce autophagy during the late recovery stage after neonatal hypoxia-ischemia<sup>[71]</sup>. Autophagy may therefore have either deleterious or beneficial effects, depending on the specific cellular context and the stage of the pathological process<sup>[76]</sup>. Therefore, the role of autophagy in the neuroprotective effects of lithium remains to be elucidated.

# Anti-inflammatory effects

The anti-inflammatory effects of lithium in stroke are not well-

established. One recent study documented the anti-inflammatory effects of lithium in a neonatal rat hypoxic-ischemic model. Post-insult lithium treatment significantly reduced total tissue loss following hypoxia-ischemia. This beneficial effect of lithium was associated with a suppression of microglial activation and an attenuation in the levels of pro-inflammatory cytokines and chemokines, eg, IL-1β and chemokine ligand 2<sup>[77]</sup>. Using a model of lipopolysaccharide (LPS)induced inflammation in cultured astrocytes, one study found that IL-6 production and its potentiation by interferon gamma (IFNy) were significantly suppressed by treatment with lithium, GSK-3 $\beta$  siRNA, and four other GSK-3 inhibitors <sup>[78]</sup>. In addition, lithium pretreatment attenuated astrocyte activation and the increase of IL-6 levels in the cerebral cortex and cerebellum of mice treated with LPS<sup>[78]</sup>. These findings suggest that lithium may exert anti-inflammatory effects via GSK-3 inhibition, a possibility that warrants further study in the context of stroke.

## Pro-angiogenic effects

Several lines of evidence support the pro-angiogenic effects of lithium in stroke models. A functional MRI (fMRI) study in rats demonstrated that delayed administration (12 h after ischemic onset) of a therapeutic dose of lithium (1 mEq/kg, sc) followed by daily injections for two weeks enhanced the mean blood oxygenation level dependence (BOLD) response and task-related functional change of cerebral blood volume (fCBV) in the ipsilateral somatosensory cortex<sup>[79]</sup>. This chronic lithium treatment also significantly increased the distribution and size of the microvasculature as well as the number of GFAP-positive astrocytes, and upregulated MMP-9 expression in both endothelial cells and astrocytes in the penumbra brain regions<sup>[79]</sup>. These findings suggest that lithium-induced vascular transformation may contribute to the improved outcomes noted with fMRI. In a follow-up in vitro study, lithium was shown to increase Ser9 phosphorylation of GSK-3β in a concentration-dependent manner and to promote the expression and secretion of VEGF, but not BDNF, in both endothelial cells and astrocytes<sup>[80]</sup>. In endothelial cells, VEGF upregulation by lithium was mimicked by SB216763, another GSK-3 $\beta$  inhibitor, whereas PI3-K inhibition by LY294002 suppressed the lithiuminduced increase in GSK-3β phosphorylation and VEGF protein levels<sup>[80]</sup>. However, VEGF levels in astrocytes were not affected by inhibition of either GSK-3β or PI3-K<sup>[80]</sup>. These data suggest that lithium promotes VEGF expression through distinct pathways in brain endothelium and astrocytes. Although these initial in vitro findings require further confirmation, they suggest that growth factor signaling may contribute to lithium's ability to promote neurovascular remodeling during stroke recovery.

#### Pro-neurogenic effects

As noted above, evidence suggests that neurogenesis contributes to the therapeutic actions of mood stabilizers. In hippocampal neural progenitor/precursor cell cultures, lithium increased proliferation, decreased apoptosis, and selectively

enhanced the neuronal differentiation of these cells<sup>[81, 82]</sup>. Lithium treatment enhanced ERK and CREB phosphorylation in cultured hippocampal neural progenitor cells; PD98059, a MEK inhibitor, significantly decreased lithium-induced neuronal subtype differentiation<sup>[82]</sup>. In animal studies, chronic lithium administration enhanced neurogenesis in the dentate gyrus of adult mice by increasing cell proliferation and neuronal differentiation<sup>[83]</sup>. In a neonatal rat hypoxic-ischemic model, lithium treatment increased both proliferation and survival of neural stem and progenitor cells<sup>[77]</sup>. The pro-neurogenic effects of lithium have also been demonstrated in a transient global ischemic model, where they contribute to long-term beneficial effects. In this transient four-vessel occlusion model, lithium treatment increased the generation and survival of newborn cells in the hippocampal dentate gyrus and improved spatial learning and memory deficits of ischemic rats<sup>[84]</sup>. ERK1/2 phosphorylation following ischemia was enhanced by lithium treatment, whereas the ERK1/2 inhibitor U0126 abolished the effects of lithium on increased newborn cell generation and survival as well as behavioral improvement<sup>[84]</sup>. Research suggests that brain ischemia-induced neurogenesis involves the activation of receptor tyrosine kinases by inducing growth factors (eg, nerve growth factor, BDNF and VEGF) and subsequent stimulation of the downstream PI3-K/AKT and ERK pathways<sup>[85]</sup>. Taken together, these in vitro and in vivo findings suggest that lithium promotes post-ischemic hippocampal neurogenesis through ERK1/2-dependent cell signaling pathways and that this process plays an important role in the behavioral improvement seen following lithium treatment in ischemia.

### Alternate findings

It is worth noting that a few early studies failed to observe a therapeutic benefit of lithium treatment in cerebral ischemia. For example, one report showed that lithium pretreatment at 5 mEq/kg up to two days prior to ischemia did not prevent the decrease in neuronal density in the hippocampal CA1 region of gerbils subjected to global cerebral ischemia<sup>[86]</sup>. The discrepancy could be related to the specific lithium treatment conditions and highlights the importance of optimizing dose and timing to maximize the protective effects of lithium and other drugs in stroke therapy.

### **VPA**

#### Therapeutic benefits

Pioneering work from our laboratory demonstrated that postinsult treatment with VPA (300 mg/kg) reduced brain infarct size and improved functional outcome in both transient and permanent focal cerebral ischemic rat models<sup>[87, 88]</sup>, with a beneficial time window of at least three hours after ischemic onset[88]. Research from another lab confirmed that pretreatment with VPA prior to ischemia attenuated ischemic brain damage and the neurologic deficit in both transient and permanent focal cerebral ischemic mouse models, whereas treatment with VPA immediately after reperfusion reduced the infarct area only in the transient model<sup>[89]</sup>. Multiple mechanisms are involved in the protective action of VPA against cerebral ischemic injury.

## Primary target: histone deacetylases

Studies conducted a decade ago identified histone deacetylases (HDACs) as direct targets of VPA, which is a fatty acid derivative[90, 91]. In humans, HDACs can be divided into four major classes<sup>[14]</sup>. At clinically relevant levels, VPA binds directly to HDAC active sites to inhibit class I (HDAC1, 2, 3, and 8 isoforms) and class IIa (HDAC4, 5, 7, and 9 isoforms) HDACs, but not class IIb (HDAC6 and 10 isoforms)[90, 91]. This results in histone hyperacetylation and a more relaxed chromatin conformation. Enhanced access to specific gene promoters facilitates transcription factor binding and regulates the expression of numerous genes, notably neurotrophic and neuroprotective factors such as BDNF and Bcl-2<sup>[14]</sup>.

#### Anti-excitotoxic effects

VPA can block glutamate-induced excitotoxicity in cultured neurons. By inhibiting HDACs, VPA upregulates α-synuclein, which suppresses glutamate neurotoxicity in rat cerebellar granule cells<sup>[92]</sup>. In addition, VPA has been shown to protect mature cerebellar granule cells from NMDA receptor-mediated excitotoxicity induced by SYM 2081, an inhibitor of excitatory amino acid transporters and an agonist of low-affinity kainate receptors<sup>[93]</sup>. Another study noted that treatment with lithium or VPA inhibited glutamate-induced excitotoxicity by inhibiting oxidative stress in rat cerebral cortical neurons, as shown by reduced lipid peroxidation and protein oxidation<sup>[94]</sup>. In addition, co-treatment with lithium and VPA completely blocked glutamate excitotoxicity in aging cerebellar granular cells, whereas treatment with lithium or VPA alone had no such neuroprotective effect<sup>[95]</sup>. Enhanced GSK-3 inhibition through serine phosphorylation is most likely a molecular target for this synergy<sup>[95]</sup>.

## Anti-apoptotic effects

Anti-apoptotic signaling is also involved in VPA's neuroprotective effects against both ischemic and hemorrhagic stroke. In transient and permanent focal cerebral ischemic rat models, post-insult VPA treatment restored the loss of histone acetylation, superinduced HSP70, and suppressed phosphorylated Akt downregulation, caspase-3 activation and p53 overexpression following injury[87, 88]. Similarly, two distinct HDAC inhibitors, sodium butyrate (SB) and hydroxamic acid trichostatin A (TSA) were found to have anti-apoptotic effects in a permanent cerebral ischemic model<sup>[88]</sup>. The neuroprotective time window for reducing infarct volume and improving neurological performance was approximately three to six hours after ischemic onset for VPA and SB. VPA also exerted antiapoptotic actions in a rat intracerebral hemorrhagic model of stroke. Here, VPA upregulated Bcl-2/Bcl-xl, downregulated Bax, inhibited caspase activity, and reduced the number of apoptotic TUNEL-positive cells<sup>[96]</sup>. In this study, VPA also enhanced protein levels of HSP70, phosphorylation of ERK, Akt and CREB, as well as acetylation of histone-H3. Together,



these data suggest that HDAC inhibition is involved in VPA's anti-apoptotic effects.

#### Anti-inflammatory effects

VPA was first shown to display robust anti-inflammatory effects in midbrain neuron-glia co-cultures stimulated with LPS, as evidenced by the suppression of LPS-induced TNF-α secretion and nitric oxide production [97, 98]. In a rat permanent ischemic model of stroke, post-insult treatment with 300 mg/kg (ip) of VPA or SB strongly suppressed ischemiainduced microglial activation, reduced the number of infiltrating monocytes/macrophages, and inhibited overexpression of the proinflammatory enzymes iNOS and cyclooxygenase-2 (COX-2)[88]. In addition, HDAC inhibitors were found to superinduce HSP70, preserve levels of phosphorylated Akt, and inhibit ischemia-induced overexpression of p53 in the ischemic brain<sup>[87, 88, 99]</sup>. VPA-induced HSP70 is triggered by acetylation and recruitment of the transcription factor Sp1 to the HSP70 promoter via inhibition of Class I HDACs<sup>[100]</sup>. Recent work demonstrated that histone 3 lysine 4 methylation levels at the HSP70 promoter were enhanced by VPA-induced HDAC inhibition in both neurons and astrocytes<sup>[101]</sup>. A study in a mouse MCAO model found that HSP70 overexpression inactivated the key inflammatory transcription factor nuclear factor-κB (NF-κB) by stabilizing the NF-κB-IκB complex, thus preventing nuclear translocation of activated NF-κB subunits<sup>[102]</sup>. Furthermore, VPA and SB significantly inhibited MCAO-induced NF-κB activation in a transient MCAO rat model<sup>[103]</sup>. Hence, it is likely that superinduction of endogenous HSP70 by HDAC inhibition contributes to the antiinflammatory effects of VPA via NF-kB inhibition.

VPA also exerted anti-inflammatory effects in an intracerebral hemorrhagic model of stroke  $^{[96]}$ . Post-insult VPA treatment alleviated cerebral inflammation by inhibiting neutrophil infiltration, suppressing microglial activation, and downregulating mRNA levels of pro-inflammatory cytokines such as Fas ligand and IL-6  $^{[96]}$ . VPA treatment markedly upregulated acetylated histone H3 levels in both cerebral ischemic and hemorrhagic models  $^{[88, 96, 103]}$ . These findings strongly support the anti-inflammatory effects of HDAC inhibition.

# Blood-brain barrier protection

A recent study from our laboratory reported that post-insult treatment with VPA (200 and 300 mg/kg, ip) attenuated BBB disruption and brain edema in a rat model of transient focal cerebral ischemia<sup>[103]</sup>. VPA-induced BBB protection was dose-dependent and persisted for at least three days after cerebral ischemia and reperfusion. Notably, VPA administered at the beginning of reperfusion following a 60-min MCAO also significantly protected BBB integrity, although this effect was not as robust as that seen when VPA was given immediately after occlusion<sup>[103]</sup>. By restoring histone acetylation, VPA strongly inhibited cerebral ischemia-induced nuclear translocation of NF-κB, upregulation of MMP-9, degradation of tight junctions, and brain edema<sup>[103]</sup>. Moreover, the HDAC inhibitor SB was similarly found to have protective effects against BBB disrup-

tion, NF-kB activation, and MMP-9 upregulation<sup>[103]</sup>. It thus appears that in ischemic animal models, the BBB protection by VPA likely involves the initial inhibition of HDACs followed by suppression of NF-kB activation and MMP-9 overexpression, resulting in overall beneficial effects.

#### Pro-angiogenic effects

Recent work from our laboratory found that chronic post-ischemic VPA treatment enhanced post-ischemic angiogenesis, reduced brain infarction, and improved rotarod performance in an experimental ischemic stroke model (unpublished results). Chronic VPA treatment increased microvascular endothelial cell proliferation and enhanced the relative cerebral blood flow in the penumbra cortex, as detected by perfusion-weighted MRI. The pro-angiogenic effects of VPA may be attributable to HDAC inhibition-triggered upregulation of VEGF and MMP-9. Together, these findings lend further support to the notion that mood stabilizers promote post-ischemic angiogenesis in experimental stroke models, and suggest that these pro-angiogenic effects may contribute to their beneficial effects on long-term functional recovery after stroke.

## Pro-neurogenic effects

VPA was found to promote cortical neurogenesis in primary cultures and to enhance hippocampal neurogenesis in adult mice by activating the ERK1/2 pathway<sup>[104]</sup>. The initial mechanism by which VPA activates the ERK pathway is unlikely to be mediated solely by HDAC inhibition, as its effects are not fully mimicked by TSA, another HDAC inhibitor<sup>[104]</sup>. However, it has been shown that treatment with the HDAC inhibitors VPA, SB or TSA largely promoted neuronal differentiation in cultured hippocampal neural progenitor cells<sup>[105]</sup>. Therefore, the ERK pathway is not exclusively responsible for the neuronal differentiation observed in neural progenitor cells.

Chronic treatment with the HDAC inhibitors SB (300 mg/kg, sc) and TSA (0.2 mg/kg, sc) was also found to significantly enhance post-ischemic cell proliferation and neurogenesis in a rat model of permanent cerebral ischemia. This occurred not only in the SVZ and SGZ but also in the ischemic cortex and striatum[106]. SB treatment upregulated levels of BDNF, phospho-CREB and GFAP in multiple brain regions after ischemia [106]. In addition, intracerebral injection of K252a, a TrkB tyrosine kinase inhibitor, blocked SB-induced cell proliferation, neuronal differentiation and CREB activation in multiple brain regions, in addition to blocking the overall behavioral benefits of this agent<sup>[106]</sup>. These findings suggest that HDAC inhibitor-induced cell proliferation, neuronal differentiation and migration require BDNF-TrkB signaling, which may contribute to the long-term beneficial effects on behavioral performance observed after ischemic injury. Notably, lithium, through GSK-3 inhibition, and VPA, by HDAC inhibition, have both been shown to selectively activate BDNF promoter IV activity in rat cortical neurons<sup>[107]</sup>.

# Effects on stem cell migration

For the past decade, stem cell therapy has been investigated

as a potential treatment for stroke. Mesenchymal stem cells (MSCs) derived from bone marrow have been employed in experimental stroke models and shown to improve the functional recovery of neurological deficits induced by cerebral ischemia<sup>[108]</sup>. However, it is increasingly recognized that the poor homing and migratory abilities of transplanted MSCs limit the effectiveness of this treatment strategy. Our recent in vitro findings demonstrated that treatment with lithium or VPA enhanced MSC migration by elevating MMP-9 levels through GSK-3β inhibition or by increasing CXC chemokine receptor 4 (CXCR4) via HDAC inhibition, respectively [109]. Furthermore, combined treatment with lithium and VPA was found to have additive effects on MSC migration<sup>[109]</sup>. In a follow-up in vivo study, MSCs were primed with lithium and/or VPA and then transplanted into transient focal cerebral ischemic rats at 24 h after ischemic onset<sup>[110]</sup>. Lithium or VPA priming significantly increased the number of MSCs homing to brain infarct regions two weeks after transplantation. Ischemic rats receiving lithium- and/or VPA-primed MSCs showed improved functional recovery, reduced infarct volume, and enhanced angiogenesis in the penumbra regions. Notably, MSCs co-primed with lithium and VPA showed remarkable improvement in homing ability and contributed robustly to functional recovery after transplantation into ischemic rats. These beneficial effects of lithium and VPA priming were reversed by pharmacological inhibition of MMP-9 and CXCR4, respectively, suggesting that the underlying mechanisms likely involved lithium-induced MMP-9 upregulation and VPA-induced CXCR4 overexpression. Together, these findings suggest the potential utility of priming MSCs with GSK-3 and HDAC inhibitors to enhance the migration and homing capacity after transplantation into stroke victims.

## Lamotrigine

# Therapeutic benefits

Lamotrigine has been demonstrated to exert neuroprotective actions in focal and global cerebral ischemic models. In a permanent MCAO rat model, post-insult treatment with this drug attenuated the neurological deficit and reduced the infarct volume at 24 h after MCAO at a narrow dose range between 8 and 20 mg/kg<sup>[111]</sup>. Lamotrigine at 20 mg/kg still showed protective effects when given one hour after MCAO. Lamotrigine also provided significant histological and behavioral protection in a gerbil global ischemic model<sup>[112, 113]</sup>. Lamotrigine treatment completely preserved hippocampal CA3 cell loss and greatly attenuated damage to CA1 and CA3 cells. These effects contributed to its behavioral benefits against ischemiainduced cognitive deficits<sup>[113]</sup>. Cerebral vasospasm occurs a few days after subarachnoid hemorrhage and results in ischemic neurologic deficits. It has also been shown that oral administration of lamotrigine had marked neuroprotective effects and significantly attenuated cerebral vasospasm after experimental subarachnoid hemorrhage in rabbits<sup>[114]</sup>.

# Primary target: voltage-gated sodium channels

Lamotrigine blocks voltage-gated sodium channels (VGSCs),

which are key mediators of intrinsic neuronal and muscle excitability. A growing body of evidence implicates abnormal VGSC activity as central to the pathophysiology of epileptic seizures as well as the pathophysiology of diverse neurological disorders, including stroke<sup>[15]</sup>. Ischemia-induced energy depletion leads to decreased Na<sup>+</sup>/K<sup>+</sup>-ATPase pump activity, membrane depolarization, persistent inward Na<sup>+</sup> currents, and abnormal intracellular accumulation of Na<sup>+</sup>. An overload of Na<sup>+</sup> drives the Na<sup>+</sup>/Ca<sup>2+</sup> exchanger to import Ca<sup>2+</sup>, triggers glutamate release, and causes further neuronal damage<sup>[15]</sup>. Hence, VGSC blockers such as lamotrigine might be effective in preventing neuronal injuries caused by decreased oxygen supply. Lamotrigine has been shown to mitigate neuronal excitability by blocking VGSCs, inhibiting presynaptic release of glutamate, and affecting downstream intracellular signaling pathways[115, 116].

#### Anti-excitotoxic effects

Na<sup>+</sup> influx following cerebral ischemia partially contributes to excessive glutamate release. As a VGSC blocker, lamotrigine may act at these channels to stabilize neuronal membranes, inhibit glutamate transmitter release, and protect the brain from excitotoxic injury<sup>[115]</sup>. Lamotrigine effectively inhibited extracellular glutamate accumulation after transient global cerebral ischemia in rabbits<sup>[117]</sup>. In addition, selective neuronal damage in the hippocampal CA1 region of gerbils occurred two to three days after transient cerebral ischemia[118]. Lamotrigine treatment attenuated this injury by preventing the ischemia-induced increase in post-synaptic intrinsic excitability of CA1 pyramidal neurons, thus mitigating glutamate excitotoxicity and cell loss[119]. In addition, a microdialysis study found a significant reduction in the ischemia-induced glutamate surge in lamotrigine-treated ischemic animals[112]. In a rat model of neonatal hypoxic-ischemic encephalopathy, lamotrigine also reduced the hippocampal tissue levels of glutamate and aspartate, but did not affect GABA or glutamine levels<sup>[120]</sup>. Taken together, these findings support the notion that the neuroprotective effects of lamotrigine against ischemic injury are at least partially mediated by a reduction in excitatory amino acids.

# Anti-apoptotic effects

The anti-apoptotic effects of lamotrigine in stroke treatment were reported in a rat model of neonatal hypoxia-ischemia. In this study, lamotrigine protected neurons from necrosis and apoptosis, as indicated by significantly fewer TUNEL-positive cells in the cortex and dentate gyrus of the ischemic hemisphere following lamotrigine administration<sup>[121]</sup>. In human neuroblastoma cells, chronic treatment with lamotrigine, like lithium and VPA, protected against GSK-3 $\beta$ -facilitated apoptosis, as measured by reduced caspase-3 activity<sup>[122]</sup>. Therefore, it appears that the GSK-3β signaling system might be involved in the protective effects of multiple mood stabilizers. Furthermore, chronic lamotrigine administration increased Bcl-2 mRNA and protein levels in the frontal cortex of rats, suggesting that upregulation of this anti-apoptotic factor may



contribute to its protective effects<sup>[123]</sup>.

#### Effects on neurogenesis

After seven consecutive daily injections in 21-day postnatal rats, lamotrigine, but not VPA, increased the number of BrdU-labeled cells in the granule cell layer of the dentate gyrus, suggesting increased neurogenesis<sup>[124]</sup>. In cultured adult dentate gyrus-derived neural precursor cells, lithium, VPA and lamotrigine decreased staurosporine-induced apoptosis<sup>[81]</sup>. VPA also reversed the decrease in proliferation induced by dexamethasone, while lamotrigine had no such effect. However, unlike lithium, VPA and lamotrigine elevated the ratio of astroglial differentiation to neuronal differentiation under retinoic acid stimulation<sup>[81]</sup>. The reason(s) for the different mechanisms of action of these three mood stabilizers requires further investigation.

## **Conclusions and future directions**

In this review, we have discussed recent preclinical advances in understanding the neuroprotective effects of the mood stabilizers lithium, VPA and lamotrigine in experimental stroke models. Accumulating evidence strongly supports the notion that these mood stabilizers exert multiple effects aimed at diverse aspects of stroke pathophysiology, leading to significant protection following insult.

GSK-3 and HDACs are the primary targets of lithium and VPA, respectively, and initiate transcriptional activation of abundant downstream molecules in the ischemic brain, including neuroprotective and neurotrophic gene products, as illustrated in Figure 2. In line with this notion, several other pharmacological GSK-3 inhibitors<sup>[125-128]</sup> and HDAC inhibitors<sup>[14]</sup> have been shown to exert beneficial effects against cerebral ischemia. Bcl-2 is transcriptionally activated by lithium through direct or indirect inhibition of GSK-3, while VPA induces Bcl-2 by direct HDAC inhibition. HSP70 expression can be enhanced by lithium-induced HSF-1 and VPA-induced

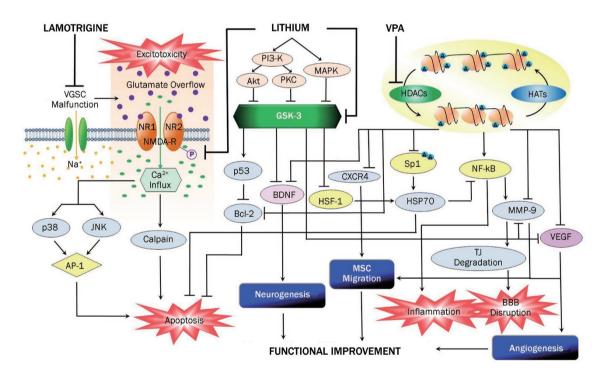


Figure 2. An overview of the proposed signaling mechanisms underlying the protective effects of mood stabilizing drugs against experimental stroke. Lithium, VPA and lamotrigine inhibit glutamate excitotoxicity-induced neuronal apoptosis by different mechanisms. Lithium inhibits stroke-induced NMDA receptor overactivation by decreasing NR2 subunit tyrosine phosphorylation. This suppresses excitotoxicity-induced activation of either calpain or p38, JNK and subsequently AP-1 to block neuronal apoptosis. VPA suppresses glutamate excitotoxicity via regulation of gene expression, among other mechanisms. As a VGSC blocker, lamotrigine attenuates stroke-induced VGSC malfunction, prevents extracellular glutamate overflow, and consequently mitigates excitotoxicity and apoptosis. Lithium and VPA inhibit GSK-3 and HDACs, respectively, to transcriptionally regulate various downstream neuroprotective and neurotrophic factors, as well as to enhance mesenchymal stem cell migration. Bcl-2, an antiapoptotic factor, is upregulated by lithium and VPA under experimental stroke conditions. Expression of the neuroprotective molecule HSP70 is enhanced by lithium and VPA through HSF-1 and Sp1 activation, respectively. HSP70 is also proposed to exert anti-inflammatory effects by inhibiting NF-κB activity. VPA attenuates BBB disruption by downregulating MMP-9 via NF-κB inhibition and preventing tight junction protein degradation shortly after ischemia. Long-term VPA treatment potentiates post-ischemic angiogenesis through upregulating VEGF and MMP-9 expression. Lithium also enhances VEGF and MMP-9 expression following long-term treatment. BDNF is activated by lithium and VPA, and the BDNF-TrkB signaling pathway is essential for enhancing post-ischemic neurogenesis and functional recovery. HAT: histone acetyltransferase; TJ: tight junctions; lines with solid arrows represent stimulatory effects; lines with flattened ends represent inhibitory effects; A: acetylated Lys residues of histone-tail proteins.



Sp1 acetylation to enhance its promoter activity. Both Bcl-2 and HSP70 play prominent protective roles against glutamate excitotoxicity following stroke. HSP70 is likely a key player in the anti-inflammatory effects of VPA by inhibiting ischemiainduced NF-kB activation. The latter action may be involved in VPA's suppression of ischemia-induced short-term upregulation of MMP-9, which contributes to BBB disruption. Delayed increases in MMP-9 and VEGF induced by VPA are likely mediators of angiogenesis. BDNF can also be induced by lithium and VPA through their respective inhibition of GSK-3 and HDACs, and the BDNF signaling pathway is critical for HDAC inhibition-induced neurogenesis and functional improvement. In addition, lithium inhibits the NMDA receptor-mediated Ca2+ influx, presumably by decreasing receptor tyrosine phosphorylation. This, in turn, decreases excitotoxicity-induced activation of calpain, as well as p38 and JNK and downstream AP-1 signaling, thus protecting neurons from apoptosis. Lamotrigine similarly protects against ischemiainduced excitotoxicity, but this likely involves VGSC inhibition, which decreases synaptic glutamate accumulation and receptor overexcitation (Figure 2). It remains to be explored whether additional targets are involved in lamotrigine's neuroprotective effects. Since the direct targets that lithium, VPA and lamotrigine engage to elicit beneficial effects in experimental stroke models have recently become clear, further preclinical and clinical stroke studies using other specific agents that specifically inhibit these targets are suggested.

Compelling evidence supports the notion that HDAC inhibition by VPA and other compounds elicits strong antiinflammatory effects in experimental stroke models. GSK-3β inhibition has been demonstrated to have anti-inflammatory effects, as shown by reduced TNF-α production via attenuated activation of NF-kB and JNK signaling cascades<sup>[129]</sup>, and induction of the anti-inflammatory cytokine IL-10<sup>[130]</sup>. In light of these findings, the anti-inflammatory effects of lithium in stroke conditions are recommended for future investigation. Emerging evidence suggests that specific microRNAs are regulated by mood stabilizers, and are involved in the translational suppression of multiple targets with major neurophysiological functions<sup>[131]</sup>. MicroRNAs have already been implicated in the pathogenesis of stroke<sup>[132, 133]</sup>; thus, further investigation along these lines will not only provide new insights into the neuroprotective effects of mood stabilizers, but also determine the potential utility of targeting specific microRNAs for stroke therapy.

Taken together, the promising preclinical evidence reviewed here, combined with these agents' long history of safe use in humans, suggests that long-term and large-scale clinical trials of mood stabilizers in stroke management are warranted.

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