

# Caffeine administration modulates TGF- $\beta$ signaling but does not attenuate blunted alveolarization in a hyperoxia-based mouse model of bronchopulmonary dysplasia

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**BACKGROUND:** Caffeine is widely used to manage apnea of prematurity, and reduces the incidence of bronchopulmonary dysplasia (BPD). Deregulated transforming growth factor (TGF)- $\beta$  signaling underlies arrested postnatal lung maturation in BPD. It is unclear whether caffeine impacts TGF- $\beta$  signaling or postnatal lung development in affected lungs.

**METHODS:** The impact of caffeine on TGF- $\beta$  signaling in primary mouse lung fibroblasts and alveolar epithelial type II cells was assessed *in vitro*. The effects of caffeine administration (25 mg/kg/d for the first 14 d of postnatal life) on aberrant lung development and TGF- $\beta$  signaling *in vivo* was assessed in a hyperoxia (85% O<sub>2</sub>)-based model of BPD in C57BL/6 mice.

**RESULTS:** Caffeine downregulated expression of type I and type III TGF- $\beta$  receptors, and Smad2; and potentiated TGF- $\beta$  signaling *in vitro*. *In vivo*, caffeine administration normalized body mass under hyperoxic conditions, and normalized Smad2 phosphorylation detected in lung homogenates; however, caffeine administration neither improved nor worsened lung structure in hyperoxia-exposed mice, in which postnatal lung maturation was blunted.

**CONCLUSION:** Caffeine modulated TGF- $\beta$  signaling *in vitro* and *in vivo*. Caffeine administration was well-tolerated by newborn mice, but did not influence the course of blunted postnatal lung maturation in a hyperoxia-based experimental mouse model of BPD.

Caffeine is a member of the methylxanthine class of central nervous system stimulants, which along with aminophylline and theophylline are nonspecific antagonists of the adenosine receptor (at low concentrations) and inhibitors of phosphodiesterases (at higher concentrations). Aminophylline was proposed for the treatment of Cheyne-Stokes respiration by the Austrian physician Alfred Vogl in 1927 (1) and was first used to treat apnea of prematurity in 1973 (2), which remains the indication for caffeine administration in a neonatal intensive care setting. Since then, the utility of caffeine in preterm

infants has been the subject of intense study, due to the lower toxicity of caffeine compared with other methylxanthines (3). Aranda *et al.* (4) first administered caffeine for apnea of prematurity in 1977, and this idea culminated in the Caffeine for Apnea of Prematurity (CAP) trial, where caffeine therapy initiated during the first 10 d of life decreased the incidence of bronchopulmonary dysplasia (BPD) and decreased the duration of mechanical ventilation in infants weighing 500–1,250 g at birth (5). The major reason that caffeine reduces BPD is by reducing apnea and increasing respiratory drive, thereby reducing ventilation-induced lung injury (5). Subsequent studies have highlighted that caffeine therapy also leads to improved long-term neurodevelopmental outcome in affected patients (6), and that the timing of caffeine therapy was important, where early initiation of caffeine therapy (within the first 3 d of life) may be of more benefit than late caffeine therapy (7).

The ability of caffeine to influence the course of aberrant lung development that occurs in patients with BPD is less well understood (8). Blunted secondary septation leading to an arrest of alveolar development is a histopathological characteristic of the lungs of infants with BPD. Thus, it is of interest to know whether caffeine may impact postnatal lung maturation in general, and alveolarization in particular. It has been demonstrated *in vitro* that caffeine has concentration-dependent effect on cell-cycle progression and cell viability in MLE-12 mouse lung and A549 human lung epithelial cell-lines, in the background of hyperoxia (9). Furthermore, *in vivo*, caffeine application to newborn FVB/n mice worsened lung hypoplasia in a hyperoxia-based model of BPD, and this was accompanied by increased epithelial cell apoptosis, and loss of alveolar type II (ATII) cells (10). These two reports indicated a deleterious impact of caffeine in BPD animal models. In contrast, caffeine application to 6-d old rats blunted the inflammatory response provoked by hyperoxia, limiting proinflammatory cytokine expression and inflammatory cell infiltration into the lung (11). This study thus indicated a potential benefit of caffeine application in experimental BPD; however, lung structure was

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not quantified in that study. A beneficial impact of caffeine on experimental BPD has also been demonstrated in preterm rabbits delivered by caesarian section on gestational day 28, where caffeine administration blunted inflammation and perturbations to lung development provoked by hyperoxia, and improved lung function (12). Thus, current reports are conflicted in an assessment of whether caffeine administration may promote or inhibit postnatal lung maturation.

To date, studies have largely addressed the ability of caffeine to impact inflammation or cell death: two components of the pathological picture of clinical and experimental BPD. To further develop this idea, we elected to examine the impact of caffeine on signaling by the transforming growth factor (TGF)- $\beta$  family of growth factors, which are accredited with a key role in normal and aberrant lung development, where TGF- $\beta$  signaling is pathogenic in BPD. This idea is supported by reports of others that caffeine modulates TGF- $\beta$  signaling in the A549 human lung epithelial cell-line (13). Additionally, we set out to examine the impact of caffeine administration in a BPD mouse model, using state-of-the-art unbiased stereology which can detect changes in total number of alveoli in the lung, and changes in the thickness of the septal wall, with very high precision. These studies contribute valuable data to the question of whether caffeine influences postnatal lung growth in animal models of BPD: an area of experimental neonatal medicine where little consensus currently exists.

## METHODS

### Approvals for Studies with Experimental Animals

All animal procedures were approved by the local authorities, the *Regierungspräsidium Darmstadt* (approval number B2/1236).

### Mouse Model of BPD

The hyperoxia-based model of BPD in mice was described previously (14–16). Newborn C57BL/6 mice were randomized to equal-sized litters (7 pups per litter), and placed in either a normoxic (21% O<sub>2</sub>) or hyperoxic (85% O<sub>2</sub>) environment within 2 h of birth. Nursing dams were rotated every 24 h to limit oxygen toxicity, and received food *ad libitum*. Pups were killed at P14, with an overdose of sodium pentobarbital (500 mg/kg, intraperitoneal (i.p.); Euthodorm, CP-Pharma, Burgdorf, Germany), followed by thoracotomy and lung extraction.

### Caffeine Administration to Mice

Caffeine (Sigma, St. Louis, MO) was dissolved in 0.9% (mass/vol.) NaCl (Ecoflac Plus infusion solution; B. Braun, Melsungen, Germany). The normoxia and hyperoxia groups of mouse pups were each subdivided into two groups, one of which received caffeine (25 mg/kg/d, via i.p. injection), while a second group, the vehicle control group received 0.9% NaCl (via i.p. injection) in the same injection volume. Caffeine was administered daily, for 13 d, and pups were killed on P14. From each group, two pups were harvested 1 h after the first injection, and another two pups were harvested 2 h after the first injection; to assess caffeine delivery to the lung. At P14, the conclusion of the study, five pups were harvested for stereological analysis of lung structure, and a further five pups were harvested for lung protein and RNA analysis.

### Cell Culture

Primary mouse lung fibroblasts were isolated as described previously (16), and maintained in Dulbecco's modified essential medium (DMEM; GIBCO, Waltham, MA) supplemented with 10% (vol./vol.) fetal calf serum (FCS) (GIBCO; 10082) and 1% (mass/vol) penicillin-streptomycin (GE Healthcare, South Logan, UT). Primary mouse lung ATII cells were isolated as described previously (14), and maintained on an air-liquid interface in on transwell supports (Corning Transwell polyester membrane cell culture inserts; 24 mm transwell with 0.4  $\mu$ m

pore polyester membrane insert; Corning, New York, NY) in DMEM (GIBCO) supplemented with 10% (vol./vol.) FCS and 1% (mass/vol.) penicillin-streptomycin. For caffeine and TGF $\beta$ 1 treatments: cells were incubated for 48 h in the presence of 1 mmol/l caffeine (or NaCl vehicle alone), after which, cells were stimulated with TGF $\beta$ 1 ligand (10 ng/ml; R&D Systems, Wiesbaden, Germany) (or vehicle alone, 0.1% (mass/vol.) Bovine serum albumin (BSA) in 4 mmol/l HCl; 1 : 1,000 dilution in cell culture medium) either for 30 min (for Smad phosphorylation studies) or 24 h (for gene expression studies).

### Protein Isolation and Immunoblotting

Protein extracts were prepared from mouse lung tissue and cultured cells as described previously (16). The following antibodies were employed: anti-TGF $\beta$ R1 (Santa Cruz Biotechnology, Santa Cruz, CA; 1 : 1,000); anti-TGF $\beta$ R2 (Abcam, Cambridge, UK; 1 : 500); and anti-TGF $\beta$ R3 (1 : 100), anti-total-Smad2/3 (1 : 1,000), anti-phospho-Smad2 (phospho-Smad2 Ser465/467; 1 : 1,000), anti- $\beta$ -actin (1 : 1,000) (all from Cell Signaling, Cambridge, UK). Immune complexes were detected with goat-antirabbit IgG conjugated to horseradish peroxidase (ThermoScientific, Waltham, MA; 1 : 6,000) by enhanced chemiluminescence (SuperSignalWest Femto Maximum Sensitivity Substrate; ThermoScientific).

### RNA Isolation and Real-time RT-PCR

Total lung RNA and total RNA from cultured cells was isolated as describe previously (16). Real-time RT-PCR analysis was undertaken as described previously (16,17) using the primers listed in [Table 1](#).

### Quantitative Determination of Caffeine in Mouse Lung Tissue

Lung tissue was homogenized in 500  $\mu$ l of Nuclease-Free Water (Ambion, Waltham, MA). Caffeine levels were quantified by a standard clinical chemistry analysis (18). Briefly, samples were prepared by combining 200  $\mu$ l sample with 280  $\mu$ l acetonitrile, after 5 min, samples were clarified by centrifugation (14,000g), and 800  $\mu$ l clarified sample was diluted with potassium phosphate buffer, and a 30  $\mu$ l aliquot was resolved by reverse-phase high performance liquid chromatography (HPLC) using a Macherey Nagel LiChrospher 100 RP 8 ec CC guard column (Fischer Scientific, Nidderau, Germany) followed by a Macherey Nagel LiChrospher 100 RP 8 EC analytical HPLC column (Fischer Scientific). Sample concentrations were inferred from a five-point calibration consisting of 2, 5, 10, 25, and 50 mg/l caffeine.

### Design-Based Stereology

All methods employed for the analysis of lung structure were based on American Thoracic Society/European Respiratory Society recommendations for quantitative assessment of lung structure (19). The protocol employed for the design-based stereological analysis of neonatal mouse lungs has been described in the detail previously (14,15,20). Mouse lungs were instillation-fixed through a tracheal cannula at a hydrostatic pressure of 20 cmH<sub>2</sub>O with paraformaldehyde/glutaraldehyde, and lung volume determined by the Cavalieri method using the STEPanizer tool (21), prior to treatment with osmium, and uranium to preserve lung structure and minimize artefactual changes to lung structure, such as shrinkage, as described previously (14,15,20). Lungs were embedded in glycol methacrylate resin, and processed for stereological analysis of lung structure, exactly as described previously (14,15,20), using the NewCast PLUS computer-assisted stereology system (Visiopharm, Hoersholm, Denmark). Parameters analyzed included the mean linear intercept (MLI), alveolar septal wall thickness ( $\tau$ ), total surface area ( $S$ ), as well as alveolar number ( $N$ ), and alveolar density ( $N_v$ ), as described previously (14,15,20). The coefficient of error ( $CE$ ), the coefficient of variation ( $CV$ ), as well as the squared ratio between both ( $CE^2/CV^2$ ) were measured for each stereological parameter ([Table 2](#)), and the quotient threshold was set at 0.5 to validate the precision of the measurements.

### Sex Genotyping of Mice

Sex determination of mouse pups was performed using the male-specific *Sry* locus and the sex-independent *Il3* gene, as described previously (22).

### Statistical Analysis

Data are presented as mean  $\pm$  SD. Differences between groups were evaluated by one-way ANOVA with Tukey's *post hoc* test for multiple

**Table 1.** Primers used for gene expression analysis and sex genotyping

Description	Direction	Sequence
Real-time PCR		
TGFβR1	Forward	5'-CAGAGGGCACCACCTTAAA-3'
	Reverse	5'-AATGGTCTCGAAGTTC-3'
TGFβR2	Forward	5'-CCAAGATGCCCATTTGTTACA-3'
	Reverse	5'-CATCCTGGATTCTAGAATTC-3'
TGFβR3	Forward	5'-ATGGCAGTGACATCCCACCACAT-3'
	Reverse	5'-AGAACGGTGAAGCTCTCCATCA-3'
TGFβ1	Forward	5'-CAGCACGGCCCCAATGTAT-3'
	Reverse	5'-GGGACCTTTTCATATCCAGGACA-3'
TGFβ2	Forward	5'-CGAAGAGCTCGAGGCGAGATT-3'
	Reverse	5'-GGCTGGACTGTTGTGACTCCA-3'
TGFβ3	Forward	5'-ATTGACTCCGGCAGGATCTA-3'
	Reverse	5'-CCTCTGGGTTGAGGTTGTGA-3'
PAI-1	Forward	5'-TTCAGCCCTTGCTTGCCCTC-3'
	Reverse	5'-ACACTTTTACTCCGAAGTCGGT-3'
CTGF	Forward	5'-GGGCTCTTCTGCGATTTC-3'
	Reverse	5'-ATCCAGGCAAGTGCATTGGTA-3'
Smad2	Forward	5'-AAGCCATCACCCTCAGAATTG-3'
	Reverse	5'-CACTGATCTACCGTATTTGCTGT-3'
Smad3	Forward	5'-AGGGGCTCCCTCACGTTATC-3'
	Reverse	5'-CATGGCCGTAATTCATGGTG-3'
Smad7	Forward	5'-GGCCGATCTCAGGCATTC-3'
	Reverse	5'-TTGGGTATCTGGAGTAAGGAGG-3'
Polr2a	Forward	5'-CTAAGGGGAGCCAAAGAAAC-3'
	Reverse	5'-CCATTACGATACAACCTTAGGC-3'
Genotyping		
Sry	Forward	5'-TGGGACTGGTGACAATTGTC-3'
	Reverse	5'-GAGTACAGGTGTGAGCTCT-3'
IL3	Forward	5'-GGGACTCCAAGCTTCAATCA-3'
	Reverse	5'-TGGAGGAGGAAGAAAAGCAA-3'

(more than two) comparisons, while two-group comparisons were performed with an unpaired Student's *t*-test. All statistical analyses were performed with GraphPad Prism 6.0. The presence of statistical outliers was tested by Grubbs' test, and outliers were removed from all analyses.

## RESULTS

### Effects of Caffeine on TGF-β Signaling in Primary Cells from Mouse Lungs

During dose range-finding studies, both primary mouse lung fibroblasts and primary mouse lung alveolar type II (ATII) epithelial cells tolerated 1 mmol/l caffeine. A higher caffeine concentration (5 mmol/l) was tolerated by ATII cells, but not fibroblasts, and 25 mmol/l was not tolerated by either cell-type, where pronounced cell death was noted (data not shown). This caffeine range for cell viability is in line with the reports of others documenting safe doses of caffeine up

to 1 mmol/l for fibroblasts and epithelial cells (9,23). Caffeine administration increased mRNA expression of the type I TGF-β receptor (TGFβR1, *Tgfr1*; **Figure 1a**) and the TGFβ3 ligand (*Tgfb3*; **Supplementary Figure S1** online) in mouse lung fibroblasts. Additionally, caffeine down-regulated protein expression of TGFβR3 (*Tgfr3*, betaglycan), and, in contrast to the gene expression trends, the protein abundance of total Smad2 and TGFβR1 was reduced (**Figure 1b**; quantified in **Supplementary Figure S2a–c** online). Stimulation of primary mouse lung fibroblasts with TGFβ1 ligand (10 ng/ml) increased connective tissue growth factor (CTGF; **Figure 1c**) and plasminogen-activator inhibitor (PAI)-1 (**Figure 1d**) expression. Caffeine potentiated the impact of TGFβ1 ligand on CTGF (**Figure 1c**) and PAI-1 (**Figure 1d**) expression. Consistent with the data presented in **Figure 1b**, caffeine reduced total Smad2 protein levels, and also reduced stimulated phospho-Smad2 levels (**Figure 1e**). However, the ratio of phospho-to-total-Smad2 was increased after TGF-β stimulation comparing NaCl- vs. caffeine-treated cells, when blots were assessed by densitometry, suggesting hyper-phosphorylation of Smad2 (**Supplementary Figure S3a** online). In ATII cells, caffeine administration also upregulated TGFβR1 mRNA expression (**Figure 1f**), similar to what was noted in fibroblasts (**Figure 1a**), and upregulated Smad3 and Smad7 mRNA levels. Also consistent with the impact of caffeine on fibroblasts, in ATII cells, caffeine reduced the abundance of TGFβR3, total Smad2 and TGFβR1 (**Figure 1g**; quantified in **Supplementary Figure S2d–f** online). In ATII cells, CTGF (**Figure 1h**), but not PAI-1 (**Figure 1i**) was TGF-β-responsive. Consistent with observations made in fibroblasts, caffeine potentiated the ability of TGF-β to drive CTGF gene expression (**Figure 1h**). Caffeine reduced Smad2 protein abundance in ATII cells, without impacting phospho-Smad2 levels, again suggesting that Smad2 was hyper-phosphorylated in TGF-β-stimulated ATII cells treated with caffeine (**Figure 1j**; quantified in **Supplementary Figure S3b** online).

### Administration of Caffeine to Newborn Mice

The study protocol for the administration of caffeine in the hyperoxia-based mouse model of BPD is presented in **Figure 2a**. Within 1 h of caffeine administration (25 mg/kg in NaCl, via i.p. injection), caffeine could be detected in the lungs of mouse pups ( $2.04 \pm 0.16 \mu\text{g}/\text{lung}$ ; **Figure 2b**) by high performance liquid chromatography. This level remained stable after a further 1 h (**Figure 2b**). No caffeine was detected in the lungs of mouse pups that received NaCl vehicle alone. Similarly, no caffeine was detected in the lungs of mouse pups 24 h after caffeine administration. At 2 h postcaffeine administration, an increased respiratory rate was noted in pups, compared with pups that did not receive caffeine (**Supplementary Video 1** online). By postnatal day (P)14, caffeine administration had normalized the body mass in hyperoxia-exposed mouse pups treated with caffeine ( $5.46 \pm 0.47 \text{ g}$ ), compared with normoxia-exposed mouse pups that received NaCl vehicle alone ( $5.34 \pm 0.27 \text{ g}$ ;  $P = 0.87$  compared with the hyperoxia+caffeine group; **Figure 2c**).

**Table 2.** Structural parameters of developing mouse lungs treated daily with caffeine or NaCl during exposure to 21% O<sub>2</sub> or 85% O<sub>2</sub> assessed by stereological analysis

Parameter	21% O <sub>2</sub>						85% O <sub>2</sub>											
	NaCl			Caffeine			NaCl			Caffeine								
	Mean ± SD			Mean ± SD			Mean ± SD			P-value vs. NaCl/21% O <sub>2</sub>			Mean ± SD			P-value vs. NaCl/85% O <sub>2</sub>		
V (lung) (cm <sup>3</sup> )	0.214±0.030			0.201±0.024			0.227±0.036			<0.0001			0.224±0.027			0.9898		
CE CV CE <sup>2</sup> /CV <sup>2</sup>	0.061	0.137	0.200	0.061	0.121	0.250	0.071	0.159	0.200				0.053	0.119	0.200			
V <sub>v</sub> (par/lung) (%)	91.1±3.024			91.17±3.526			88.01±1.278			0.2527			89.47±1.49			0.7948		
CE CV CE <sup>2</sup> /CV <sup>2</sup>	0.015	0.033	0.200	0.017	0.039	0.200	0.006	0.015	0.200				0.007	0.017	0.200			
N (alv, lung) 10 <sup>6</sup>	3.266±0.590			3.488±1.061			0.7724±0.205			<0.0001			0.662±0.0915			0.9898		
CE CV CE <sup>2</sup> /CV <sup>2</sup>	0.081	0.180	0.200	0.152	0.304	0.250	0.119	0.265	0.200				0.062	0.138	0.200			
N <sub>v</sub> (alv/par) 10 <sup>7</sup> (cm <sup>-3</sup> )	1.672±0.119			1.863±0.304			0.382±0.055			<0.0001			0.331±0.033			0.9519		
CE CV CE <sup>2</sup> /CV <sup>2</sup>	0.032	0.071	0.200	0.082	0.163	0.25	0.064	0.144	0.200				0.045	0.100	0.200			
S <sub>v</sub> (cm <sup>-1</sup> )	880.9±39.09			827±36.68			488.4±49.05			<0.0001			458.2±54.29			0.7294		
CE CV CE <sup>2</sup> /CV <sup>2</sup>	0.020	0.044	0.200	0.022	0.044	0.250	0.045	0.100	0.200				0.053	0.118	0.200			
S (alv epi, lung) (cm <sup>2</sup> )	171.5±24.14			144±26.42			97.82±19.48			0.0003			91.55±14.54			0.9670		
CE CV CE <sup>2</sup> /CV <sup>2</sup>	0.063	0.141	0.200	0.082	0.183	0.200	0.089	0.199	0.200				0.071	0.159	0.200			
V (alv air, lung) (cm <sup>3</sup> )	0.123±0.022			0.1138±0.023			0.140±0.023			0.6401			0.144±0.021			0.9931		
CE CV CE <sup>2</sup> /CV <sup>2</sup>	0.081	0.182	0.200	0.112	0.224	0.250	0.074	0.164	0.200				0.066	0.147	0.200			
τ (sep) (μm)	8.457±0.772			9.357±0.915			12.27±1.043			<0.0001			12.32±0.923			0.9996		
CE CV CE <sup>2</sup> /CV <sup>2</sup>	0.041	0.091	0.200	0.049	0.098	0.250	0.038	0.085	0.200				0.048	0.107	0.200			
MLI (μm)	28.56±1.526			29.73±2.979			58.06±6.564			<0.0001			63.62±9.202			0.4863		
CE CV CE <sup>2</sup> /CV <sup>2</sup>	0.024	0.053	0.200	0.050	0.100	0.250	0.051	0.113	0.200				0.065	0.145	0.200			

alv, alveoli; alv air, alveolar airspaces; alv epi, alveolar epithelium; CV, coefficient of variation; CE, coefficient of error; MLI, mean linear intercept; N, number, N<sub>v</sub>, numerical density; par, parenchyma; S, surface area; S<sub>v</sub>, surface density; τ (sep), arithmetic mean septal thickness; V, volume; V<sub>v</sub>, volume density; Values are presented as mean ± SD, n = 5 lungs per group. One-way ANOVA with Tukey's post-hoc analysis.

**Impact of Caffeine on Lung Structure in Hyperoxia-arrested Lung Alveolarization**

Exposure of newborn mouse pups to hyperoxia (85% O<sub>2</sub>) blunted postnatal lung maturation, as was evident by alveolar simplification (compare Figure 3a,b with Figure 3e,f; quantified in Table 2). Analysis of lung structure in the experimental groups by design-based stereology supported this idea, where a decrease in alveolar number (Figure 3i), alveolar density (Figure 3j), gas exchange surface area (Figure 3k), stereologically-determined mean linear intercept (a surrogate of alveolar size; Figure 3l), and an increase in septal wall thickness (Figure 3m) were noted, although no change in lung volume was assessed (Figure 3n). Caffeine administration had no impact on normally-developing mouse lungs (Figure 3c,d). Similarly, caffeine administration during the hyperoxia exposure protocol had no impact on the blunted alveolarization of hyperoxia-exposed lungs (compare Figure 3e,f with Figure 3g,h). This assessment was validated by stereological analysis of caffeine-treated lungs (Figure 3i-m).

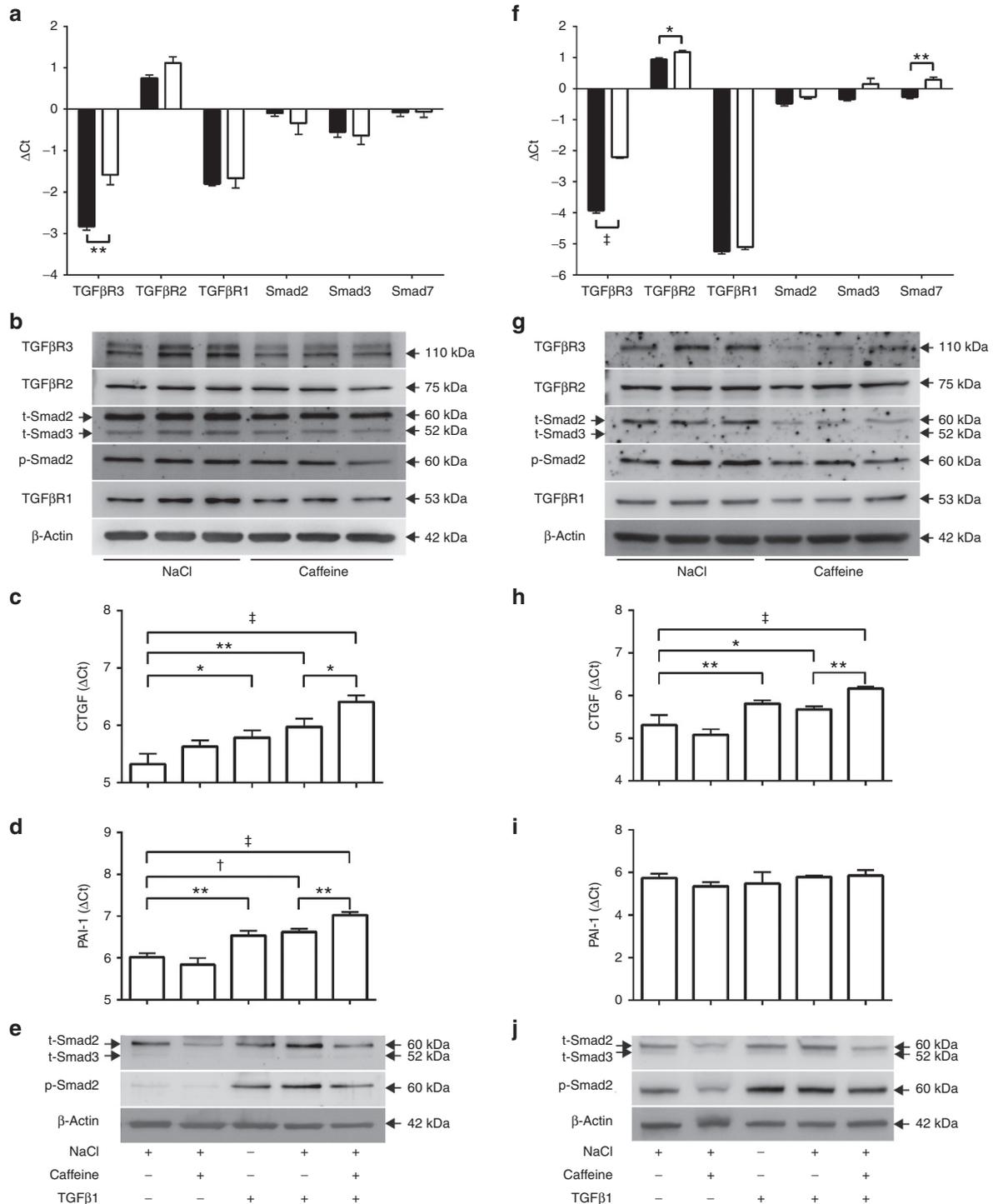
**Impact of Caffeine on TGF-β Signaling in Hyperoxia-arrested Lung Alveolarization**

The mRNA expression of TGFβR1 and TGFβR2 in the lung was increased by hyperoxia, while that of TGFβR3 was decreased (Figure 4a-c). Similarly, the mRNA abundance for all three

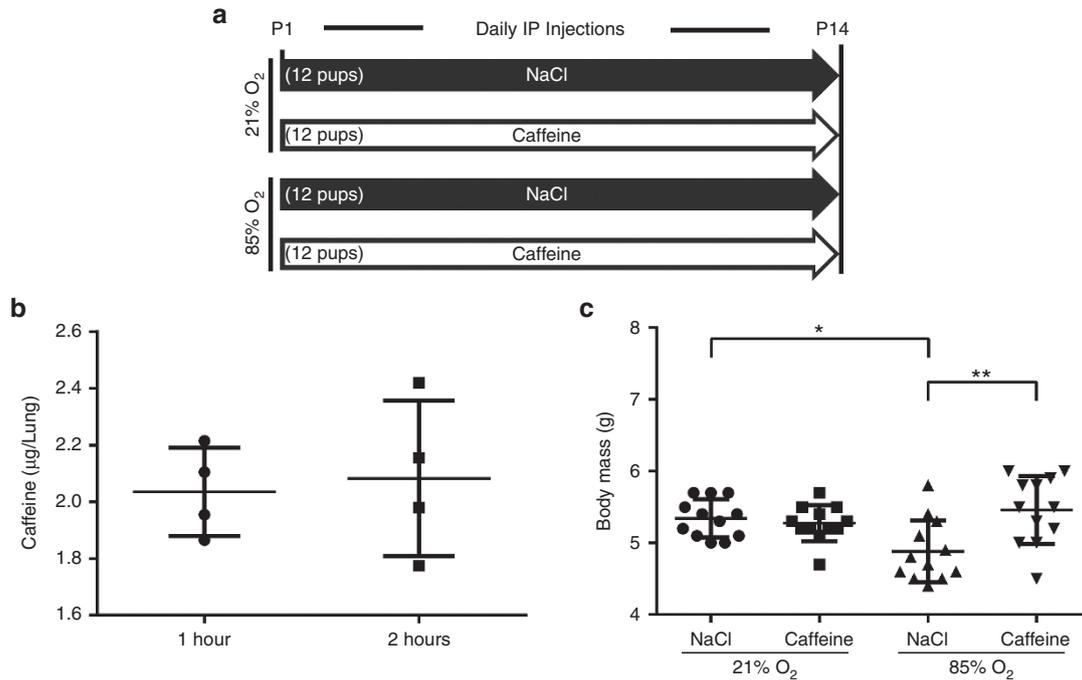
TGFβ ligands, TGFβ1, TGFβ2, and TGFβ3 (Figure 4d-f) and the second messengers Smad2, Smad3 (Figure 4g,h), and the inhibitory Smad, Smad7 (Figure 4i) was increased. Some of these changes, for example, for TGFβR3, were paralleled at the protein expression levels, assessed by immunoblot (Figure 4j). In homogenates of lungs from normoxia-exposed mice, caffeine appeared to reduce the phosphorylation of Smad2 (Figure 4j, compare lanes 1-3 with lanes 4-6), while under hyperoxic conditions, caffeine promoted increased phosphorylation of Smad2 (Figure 4j, compared lanes 7-9 with lanes 10-12). While hyperoxia exposure increased the expression of the CTGF (Figure 5a) and PAI-1 (Figure 5b) reporters of distal TGF-β signaling, caffeine administration did not further increase the expression of either gene, in the background of hyperoxia.

**DISCUSSION**

Caffeine is widely employed for the management of apnea of prematurity, and has performed remarkably well in reducing the incidence of, and long-term neurological sequelae of BPD, as well as facilitating extubation (4-7,24,25). Given the positive performance of caffeine in managing patients with or at risk for BPD, there is much interest in understanding whether caffeine influences the course of postnatal lung maturation,



**Figure 1.** Effect of caffeine on baseline transforming growth factor (TGF)- $\beta$  signaling in primary mouse lung cells. Primary mouse lung fibroblasts (**a–e**) and alveolar type II cells (**f–j**) were treated with caffeine (1 mmol/l (open bars); in NaCl vehicle; or NaCl vehicle alone (closed bars)) for 48 h. The gene **a,f** and protein expression **b,g** of components of the TGF- $\beta$  signaling machinery were assessed by real-time RT-PCR and immunoblot, respectively. The impact of caffeine pretreatment (1 mmol/l, 48 h) on distal **c,d; h,i** and proximal **e,j** TGF- $\beta$  signaling (after stimulation with 10 ng/ml TGF $\beta$ 1 for 30 min) was assessed using gene expression of connective tissue growth factor (CTGF) and plasminogen-activator inhibitor (PAI)-1 as distal reporters; and phosphorylation of Smad2 as a proximal reporter. Gene expression changes are indicated by  $\Delta\text{Ct} \pm \text{SD}$  ( $n = 3$ , per group; each  $n$  prepared in duplicate), using the *Polr2a* gene as reference. For immunoblots,  $\beta$ -Actin served as a control for loading equivalence. Trends observed by immunoblot are representative of trends noted in at least two other independent experiments. Two-group comparison **a,f** were evaluated by unpaired Student's *t*-test; while multigroup comparisons **c,d** and **h,i** were evaluated by one-way ANOVA with Tukey's *post-hoc* test. \* $P < 0.05$ , \*\* $P < 0.01$ , <sup>†</sup> $P < 0.001$ , <sup>‡</sup> $P < 0.0001$ . t-Smad, total Smad; p-Smad, phospho-Smad.



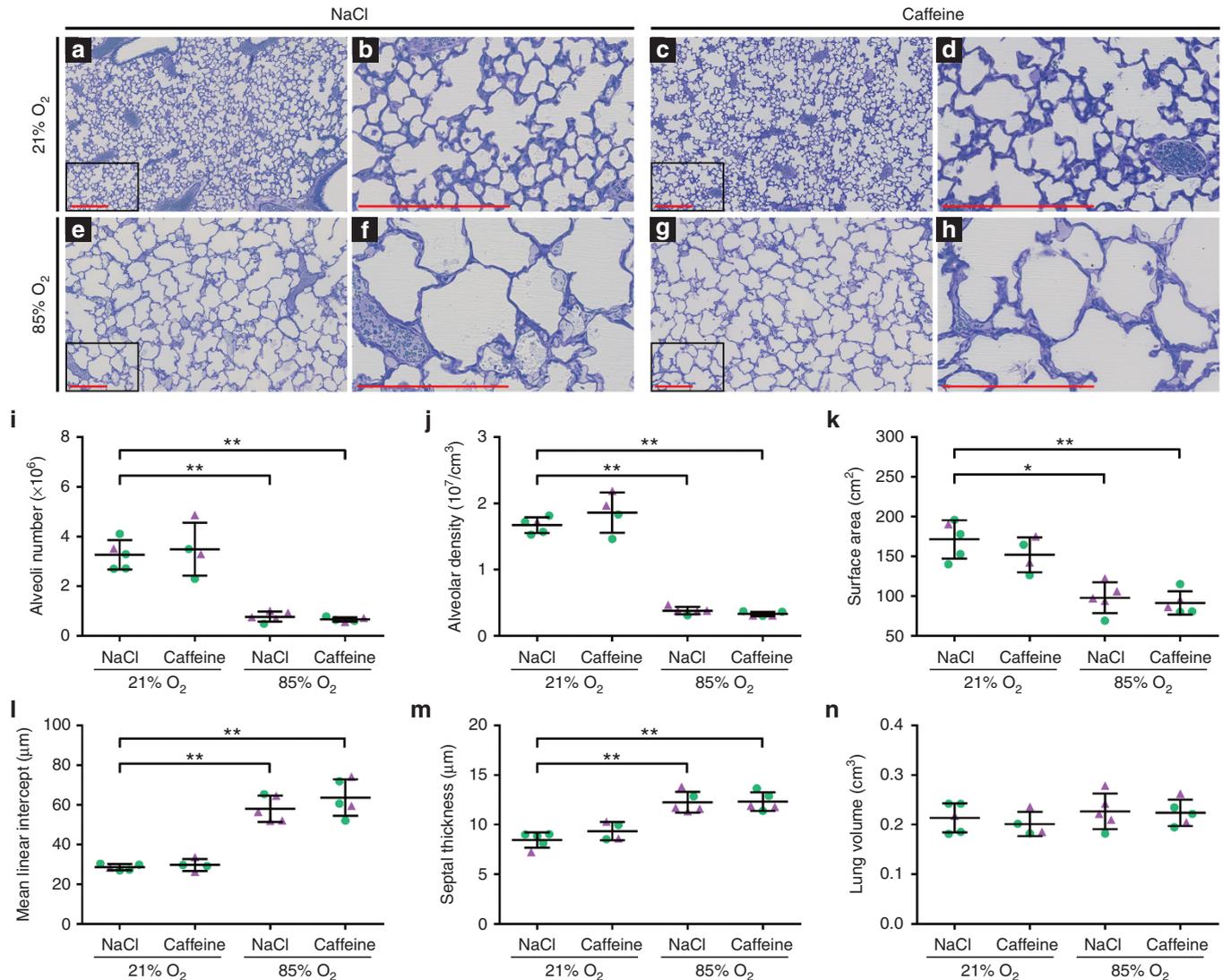
**Figure 2.** Caffeine administration to mouse pups. **(a)** Schematic illustration of study design. Mice were randomized on the day of birth (postnatal day (P)1) into four groups ( $n = 12$  pups per group): Two groups were maintained under room air conditions (21% O<sub>2</sub> in the inspired air), while the other two groups were maintained under hyperoxic conditions (85% O<sub>2</sub> in the inspired air). For each oxygen concentration, one group receiving a daily IP injection of vehicle (NaCl) alone, while the partner group received daily IP injection of caffeine (25 mg/kg/d). **(b)** Caffeine delivery to the mouse lung was validated by random sampling of pup groups, 1 h or 2 h postadministration, where lungs were harvested and processed for quantitative determination of caffeine by high performance liquid chromatography. Each data point reflects an individual animal. No difference in the caffeine content of the lungs in the two groups was noted, when data were compared by unpaired Student's *t*-test. Caffeine was not detected in the lungs harvested from control (NaCl-treated) mice (data not shown). **(c)** The impact of caffeine on body masses determined on day 14 is illustrated. Data reflect mean body mass  $\pm$  SD ( $n = 12$ , per group). Changes in body mass between the experimental groups were evaluated by one-way ANOVA with Tukey's *post-hoc* test. \* $P < 0.05$ , \*\* $P < 0.01$ .

which is severely blunted in BPD patients. Caffeine impacts pulmonary inflammation (11), growth factor signaling (13), and cell death (10), which are pathogenic contributors to arrested alveolarization in BPD (8). However, the current literature presents a confusing picture of whether, and how, caffeine influences alveolarization in experimental animal models of BPD. Clearly, the primary mechanism by which caffeine reduces BPD is by reducing apnea and improving respiratory drive, thereby reducing ventilation-induced lung injury (5). However, it remains of interest to assess whether caffeine administration might directly impact lung development *per se*.

We examined the impact of caffeine on TGF- $\beta$  signaling in the lung, since TGF- $\beta$  signaling is critical for lung alveolarization (26–28), and deregulated TGF- $\beta$  signaling is associated with alveolar simplification in mice in response to both hypoxia (29) and hyperoxia (16,30,31), in experimental models of BPD. Furthermore, caffeine impacts TGF- $\beta$  signaling in lung-derived cell-lines (13). We noted an impact of caffeine on the expression of the TGF- $\beta$  signaling machinery, where caffeine downregulated the protein expression of two TGF- $\beta$  receptors, Tgfr1 and Tgfr3, and the second messenger Smad2 in both primary lung fibroblasts and ATII cells. Using CTGF and PAI-1 as reporters of distal TGF- $\beta$  signaling, caffeine potentiated TGF- $\beta$  signaling in both primary lung cell types, possible due to the hyper-phosphorylation of

the remaining (smaller) cellular pools of Smad2, or due to the downregulated expression Tgfr3, which antagonizes TGF- $\beta$  signaling. Taken together, our data indicate that caffeine does modulate TGF- $\beta$  signaling in primary lung cells *in vitro*. Given the critical role played by TGF- $\beta$  signaling in normal and aberrant alveolarization, we reasoned that caffeine administration in an animal model of BPD may alter the course of aberrant alveolarization provoked by hyperoxia exposure. This idea was reinforced by the knowledge that TGF- $\beta$  signaling mediates—at least in part—the aberrant alveolarization noted in experimental animal models of BPD.

To address this idea, we applied a state-of-the-art design-based stereology approach to study the structure of the developing mouse lung in a hyperoxia-based model of BPD, a well-characterized model of BPD that relies on the exposure of newborn mouse pups to elevated oxygen levels in the inspired air. This model has recently been reviewed extensively (8,32). The hyperoxia-based models are believed to recapitulate the lung pathophysiological hallmarks of “new” BPD; which includes alveolar simplification and increased septal wall thickness; however, these perturbations to lung structure occur in the absence of baro- and volu-trauma from mechanical ventilation, and without any impact of infection or infection-driven inflammation, which represent limitations of the model. Thus, the hyperoxia-based mouse models are believed



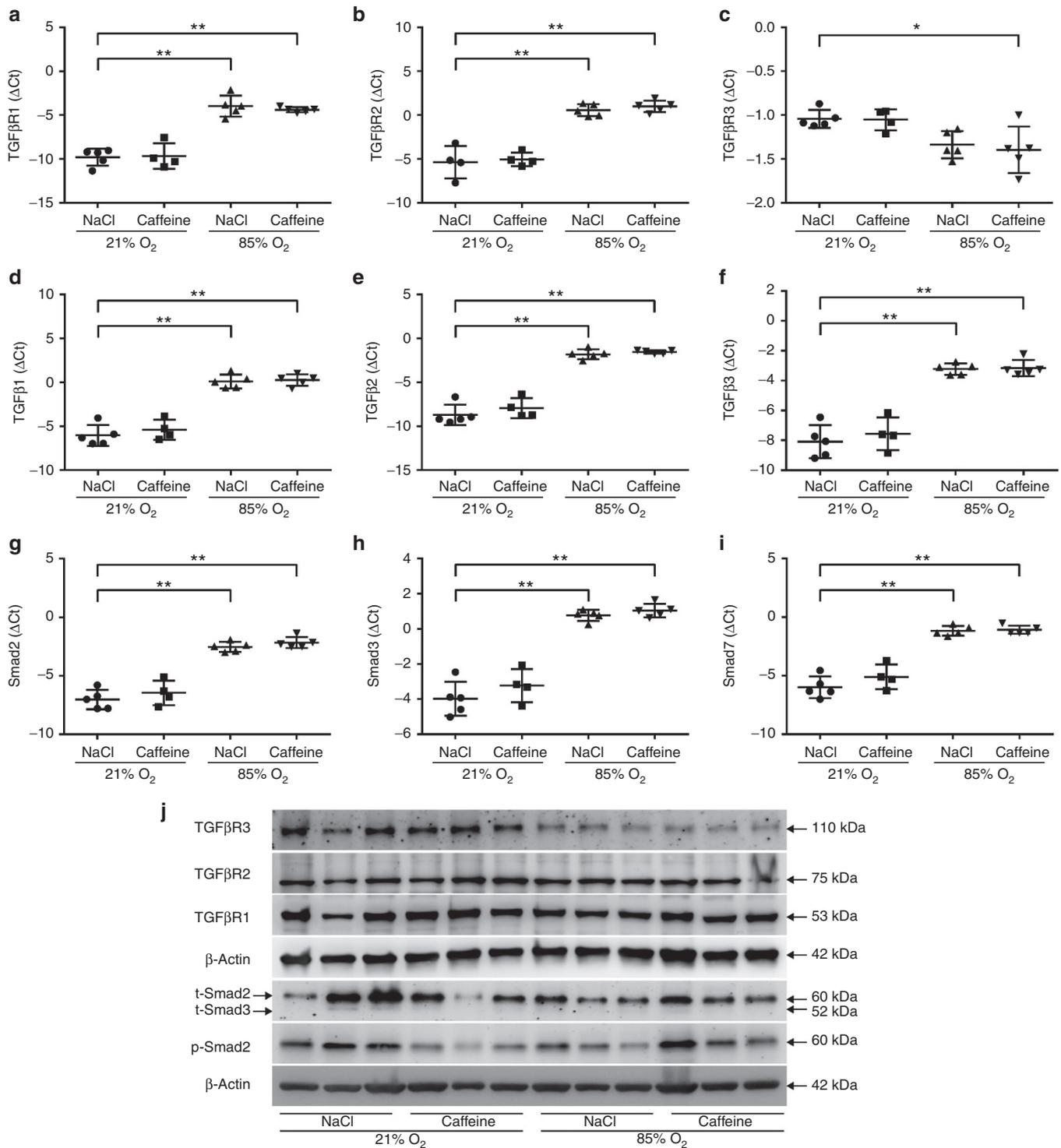
**Figure 3.** Stereological analysis of lung structure after caffeine administration. (a–h) Representative microscopic images of lungs from each experimental group, stained with Richardson's stain. Scale bar = 200 μm. For each experimental group, a low-power magnification is depicted, and a high-power magnification of the region demarcated in the black box is presented to the right of the respective image. Stereological analysis of the groups permitted quantification of (i) alveoli number, (j) alveolar density, (k) gas exchange surface area, (l) the stereologically-determined mean linear intercept, (m) mean septal wall thickness. Additionally, (n) the lung volume was determined by the Cavalieri principle. Data reflect mean ± SD (n = 4–5, per group). Experimental groups were compared by one-way ANOVA with Tukey's *post-hoc* test. \**P* < 0.001, \*\**P* < 0.0001. Closed green circles represent male animals, while closed magenta triangles represent female animals.

to capture a particular part of the pathophysiological picture, namely, hyperoxia-mediated arrest of secondary septation.

While alveolar development was clearly impacted by exposure of newborn mouse pups to hyperoxia, as is evident by a dramatically reduced number of alveoli and a thickened septal wall, caffeine administration at a dose of 25 mg/kg/d for the first 14 d of life neither improved nor worsened alveolar development. Ours is the first report to demonstrate that caffeine administered via the i.p. route did rapidly (within 1 h) reach the lungs of mouse pups. Furthermore, this was accompanied by immediate physiological consequences (the increased respiratory rate evident in **Supplementary Video 1** online). Some beneficial effects of caffeine administration were noted, such as the normalization of body mass, which in hyperoxia-exposed

mouse pups that received caffeine was equivalent to the body mass of normoxia-exposed mouse pups that received vehicle alone (i.e., body mass was normal). A broad screen of lung homogenates from these animals revealed that both hyperoxia and caffeine administration did influence the expression and activity of the TGF-β system in the lung. However, due to the loss of compartmentalization in lung homogenates, it was not possible to attribute these changes to a particular cell type. These data indicate that while caffeine could impact TGF-β signaling in the developing lung, the ability of caffeine to modulate TGF-β signaling could not limit or reverse the injurious effects of hyperoxia on lung alveolarization.

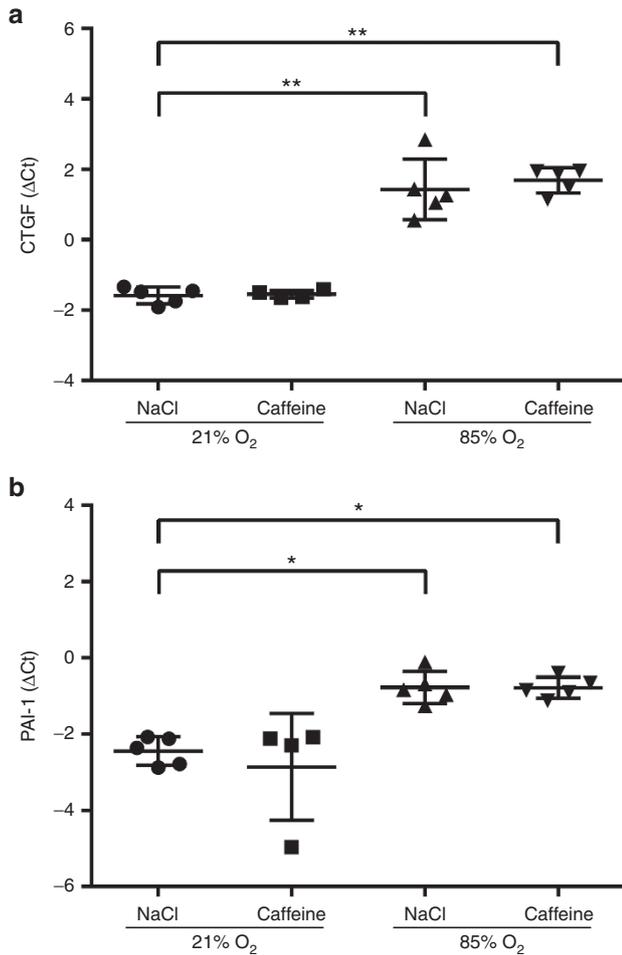
Interestingly, our findings contrast with those of Dayamin *et al.* (10), who reported that administration of caffeine in a



**Figure 4.** Effect of caffeine on baseline transforming growth factor (TGF)- $\beta$  signaling in lungs from caffeine-treated mouse pups. The gene (a-i) and protein expression (j) of components of the TGF- $\beta$  signaling machinery were assessed by real-time RT-PCR and immunoblot, respectively. Gene expression changes are indicated by  $\Delta Ct \pm SD$  ( $n = 4-5$ , per group; each  $n$  prepared in duplicate), using the *Polr2a* gene as reference. For immunoblots,  $\beta$ -Actin served as a control for loading equivalence. Trends observed by immunoblot are representative of trends noted in at least two other independent experiments. Changes in gene expression were evaluated by one-way ANOVA with Tukey's *post-hoc* test. \* $P < 0.05$ , \*\* $P < 0.0001$ . t-Smad, total Smad; p-Smad, phospho-Smad.

hyperoxia-based mouse BPD model worsened the structural development of the lung, where alveolar simplification was worsened by caffeine administration in the background of

hyperoxia exposure, and pronounced loss of ATII cells, and alveolar epithelial cell apoptosis was noted. Although Dayamin *et al.*, employed the same time-course (caffeine administration



**Figure 5.** Effect of caffeine on transforming growth factor (TGF)- $\beta$  reporter gene expression in the lungs from caffeine-treated mouse pups. The expression of connective tissue growth factor (CTGF) and plasminogen-activator inhibitor (PAI)-1 was employed as a distal reporter of TGF- $\beta$  signaling. Gene expression changes are indicated by  $\Delta\text{Ct} \pm \text{SD}$  ( $n = 4\text{--}5$ , per group; each  $n$  prepared in duplicate), using the *Polr2a* gene as reference. Changes in gene expression were evaluated by one-way ANOVA with Tukey's *post-hoc* test. \* $P < 0.05$ , \*\* $P < 0.0001$ . t-Smad, total Smad; p-Smad, phospho-Smad.

and hyperoxia exposure for the first 14 d of postnatal life), Dayamin *et al.*, administered a lower caffeine dose than we did (20 mg/kg caffeine citrate on day 1, followed by 10 mg/kg/d caffeine citrate thereafter). Given that caffeine citrate was administered, these doses translate to 10 mg/kg caffeine on day 1, followed by 5 mg/kg/d caffeine citrate thereafter, which are substantially lower than our 25 mg/kg/d, where pure caffeine was administered. Additionally, Dayamin *et al.* exposed mice to 80% O<sub>2</sub>, while we employed 85% O<sub>2</sub>. It is not immediately clear why the higher hyperoxic insult and fivefold higher dose of caffeine we employed was without any impact on lung development, in contrast to the gentler intervention of Dayamin *et al.* However, one important difference between the two studies was the strain of mouse employed, where we have used C57BL/6 mice, while Dayamin *et al.* employed FVB/n mice. These two mice strains are known to be differentially susceptible to pulmonary insult, where FVB/n mice are more likely to

develop spontaneous pneumonitis (33). It remains to be demonstrated whether different mouse strains exhibit different susceptibility to hyperoxia-induced arrest of lung alveolarization.

In addition to the clinical application of caffeine in preterm infants, caffeine has demonstrated much promise in animal models of BPD, where caffeine administration limited pulmonary inflammation provoked by hyperoxia (11), and improved lung alveolarization in preterm rabbits (12). *In vitro* and *in vivo*, caffeine did impact TGF- $\beta$  signaling, however, caffeine administration in the protocol employed here did not impact normal or aberrant alveolarization. As such, it must be concluded that the effects of caffeine on TGF- $\beta$  signaling were epiphenomenal, and play no functional role in modulating lung alveolarization. One reason why caffeine in our system may not have proved beneficial is the short biological half-life of caffeine, which is estimated to be 0.72 h in mice (compared with 2–8 h in human adults) (34).

In our protocol, caffeine was administered once every 24 h, thus, assuming complete distribution over the first few hours of administration, caffeine would be rapidly lost from the lung, and indeed, the whole organism. As such, if any beneficial effects of caffeine on lung alveolarization required persistently elevated caffeine levels, these effects would be quickly lost after administration in the current dosing protocol. The caffeine doses employed in our study (25 mg/kg/d) are at the high-end of caffeine dosing in experimental animal models of BPD (most studies employ 5–10 mg/kg/d or less (10–12)), and exceed the caffeine dosing protocols employed in preterm infant in a NICU setting (5,7), although this must be balanced with the increased half-life of caffeine in humans vs. mice, particularly in hospitalized preterm infants. The elimination of caffeine is substantially slower in preterm neonates ( $t_{1/2}$ , 102.6 h) vs. term neonates ( $t_{1/2}$ , 80 h) vs. adults ( $t_{1/2}$ , 0.6 h) (35), where the  $t_{1/2}$  may be further prolonged by medical interventions such as gyrase inhibitors, which include the fluoroquinolones ciprofloxacin and nalidixic acid (36). This persistence of caffeine in hospitalized preterm infants has even led to caution that caffeine levels may not drop to subtherapeutic levels for 2 wk after hospital discharge (37). Thus, caffeine elimination is an important consideration in caffeine dosing in experimental settings. Nevertheless, the caffeine dose we employed remains far from the limit of caffeine dosing in experimental studies, where some protocols report administration of up to 80 mg/kg/d in rats (38). Thus, we propose that sustained caffeine levels in developing lungs may have the capacity to promote improved alveolar growth under hyperoxia conditions; however, alternative dosing protocols should be examined. These protocols might include higher doses of caffeine (where toxicity may be a concern), or more regular dosing with more frequent parenteral administration or continuous application via a minipump. Concerns about local trauma from repeat i.p. injections in newborn mouse pups and the impracticability of minipump placement in newborn mouse pups probably precludes these two approaches, at least in the newborn mouse animal models of BPD at this time. Apart from increased dosing, further studies may consider more frequent injections by

alternate routes (such as subcutaneous injection), or maternal transmission via breastmilk, which must be balanced against concerns of the pharmacokinetics of caffeine transmission via breast milk, and the health of the neonate, where caffeine consumption by nursing mothers is not recommended in a pediatric context (39,40).

#### SUPPLEMENTARY MATERIAL

Supplementary material is linked to the online version of the paper at <http://www.nature.com/pr>

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