Article SARS-CoV-2 neutralizing antibody structures inform therapeutic strategies

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The coronavirus disease 2019 (COVID-19) pandemic presents an urgent health crisis. Human neutralizing antibodies that target the host ACE2 receptor-binding domain (RBD) of the severe acute respiratory syndrome coronavirus-2 (SARS-CoV-2) spike protein¹⁻⁵ show promise therapeutically and are being evaluated clinically⁶⁻⁸. Here, to identify the structural correlates of SARS-CoV-2 neutralization, we solved eight new structures of distinct COVID-19 human neutralizing antibodies⁵ in complex with the SARS-CoV-2 spike trimer or RBD. Structural comparisons allowed us to classify the antibodies into categories: (1) neutralizing antibodies encoded by the VH3-53 gene segment with short CDRH3 loops that block ACE2 and bind only to 'up' RBDs; (2) ACE2-blocking neutralizing antibodies that bind both up and 'down' RBDs and can contact adjacent RBDs; (3) neutralizing antibodies that bind outside the ACE2 site and recognize both up and down RBDs; and (4) previously described antibodies that do not block ACE2 and bind only to up RBDs9. Class 2 contained four neutralizing antibodies with epitopes that bridged RBDs, including a VH3-53 antibody that used a long CDRH3 with a hydrophobic tip to bridge between adjacent down RBDs, thereby locking the spike into a closed conformation. Epitope and paratope mapping revealed few interactions with host-derived N-glycans and minor contributions of antibody somatic hypermutations to epitope contacts. Affinity measurements and mapping of naturally occurring and in vitro-selected spike mutants in 3D provided insight into the potential for SARS-CoV-2 to escape from antibodies elicited during infection or delivered therapeutically. These classifications and structural analyses provide rules for assigning current and future human RBD-targeting antibodies into classes, evaluating avidity effects and suggesting combinations for clinical use, and provide insight into immune responses against SARS-CoV-2.

Neutralizing antibodies (NAbs) against SARS-CoV-2 protect against infection in animal models^{1,3,4,10,11} and are being evaluated for prophylaxis and as therapeutic agents in humans⁷⁸. These antibodies target the SARS-CoV-2 spike (S) trimer^{3,5,10,12-17}, a viral glycoprotein that mediates binding to the angiotensin-converting enzyme 2 (ACE2) receptor^{18,19}. The S trimer comprises three copies of an S1 subunit that contains the RBD and three copies of S2, which includes the fusion peptide and transmembrane regions^{20,21}. The RBDs of SARS-CoV-2 and other coronaviruses exhibit flexibility, such that they bind to ACE2 only when they are in an 'up' conformation, compared with the 'down' RBD conformation of the closed, prefusion S trimer²⁰⁻²⁵.

Many human NAbs isolated from COVID-19-convalescent donors target the RBD, binding to distinct, sometimes non-overlapping, epitopes^{3–5,10,12–14,17}. A subset of these antibodies blocks viral entry by binding to the ACE2-binding site on the RBD^{6,11,13,15,26,27}. A family of

recurrent ACE2-blocking human NAbs is composed of heavy chains encoded by the *VH3-53* or *VH3-66* gene segment^{3,12,13,16,17,27-29}, most of which are known or predicted^{15,26,28,30,31} to exhibit a common RBD binding mode that results from the use of germline-encoded residues within the complementarity-determining regions 1 and 2 (CDRH1 and CDRH2) and a CDRH3 that is shorter than the average length (15 amino acids; IMGT³² complementarity-determining region (CDR) definition) in human antibodies³³. Other SARS-CoV-2 RBD-binding antibodies are encoded by *VH3-30*⁵, and these have also been isolated from donors infected with SARS-CoV³⁴, and antibodies with a variety of the other VH gene segments^{3,5,10,12-17}.

To classify commonalities and differences among RBD-binding human NAbs isolated from COVID-19-convalescent individuals⁵, we solved complexes of NAbs with stabilized (2P and 6P versions)^{35,36} soluble Strimer. Subsequently, we used high-resolution details of the

¹Division of Biology and Biological Engineering, California Institute of Technology, Pasadena, CA, USA. ²Beckman Institute, California Institute of Technology, Pasadena, CA, USA. ³Division of Chemistry and Chemical Engineering, California Institute of Technology, Pasadena, CA, USA. ⁴Laboratory of Molecular Immunology, The Rockefeller University, New York, NY, USA. ⁵Howard Hughes Medical Institute, Chevy Chase, MD, USA. ⁶Present address: Institute for Research in Biomedicine, Università della Svizzera Italiana, Bellinzona, Switzerland. ⁶⁶-mail: biorkman@caltech.edu binding orientations of NAbs encoded by the *VH1-2*, *VH1-46*, *VH3-30*, *VH3-53*, *VH4-34* and *VH5-51* gene segments to determine rules for binding by four distinct anti-RBD antibody classes (Supplementary Table 2). The NAbs chosen for structures are highly potent, achieving 90% neutralization in pseudotype virus assays at concentrations ranging from 22 to 140 ng ml⁻¹ (ref. 5), and thus our structural analyses and classifications directly relate to understanding mechanisms of neutralization and potency differences between human NAbs.

Class 1 VH3-53 NAbs block ACE2 and bind to up RBDs

We solved Fab and Fab–RBD crystal structures of C102 (Supplementary Table 1), which we compared to our previous²⁶ cryo-electron microscopy (cryo-EM) structure of S trimer complexed with the related human NAb C105 (Extended Data Figs. 1, 2). Both C102 and C105 are VH3-53 NAbs with short (11 and 12 residues, respectively) CDRH3 loops (Extended Data Fig. 1g) that were isolated from the same donor⁵. They share structural similarities with each other and with other *VH3-53*-encoded short CDRH3 human NAb structures solved as complexes with RBDs^{12,30,37,38} (Extended Data Fig. 2a). Notably, the C102–RBD structure resembled the analogous portion of the C105–S structure²⁶ (Extended Data Fig. 2a). These results establish that Fab– RBD structures can reproduce interactions with RBDs in the context of an S trimer; however, Fab–RBD structures do not reveal the state(s) of the antibody-bound RBD in the complex (up versus down) or the potential inter-protomer contacts by Fabs.

Because the C105 Fab bound to either two or three up RBDs on S with no observed interactions with down RBDs or with adjacent RBDs²⁶ (Extended Data Fig. 1f), we used the higher-resolution C102 Fab-RBD structure to deduce a more accurate epitope and paratope than was possible using the C105-S cryo-EM structure with flexible up RBDs (Extended Data Fig. 1a-e). Buried surface area calculations showed that the C102 CDRH3 region had a relatively minor role in the paratope: of 1,045 Å² of buried surface area on the antibody (786 Å² on the heavy chain; 259 Å² on the light chain), CDRH3 accounted for only 254 Å² (Extended Data Fig. 2b). This contrasts with most antibodies in which CDRH3 contributes equally or more to the interface with antigen than the sum of CDRH1 and CDRH2 contributions³⁹. The epitopes on RBD for all available VH3-53-encoded short CDRH3 human NAbs span the ACE2-binding site^{15,26,28,30,31} and show common RBD-binding interactions, represented by the C102 epitope (Extended Data Fig. 1b-e), which buried 1,017 Å² on RBD (Extended Data Fig. 2b). The ACE2-blocking epitope for these NAbs is sterically occluded in the RBD down conformation (Fig. 1b, Extended Data Fig. 1f): therefore, class 1 NAbs can only bind to up RBDs, as observed in the C105-S structure²⁶, and as previously discussed, IgGs in this class could crosslink adjacent RBDs within a single trimer to achieve tighter binding through avidity effects²⁶.

Class 2 NAbs recognize 'up' and 'down' RBDs

In addition to the recurrent *VH3-53*-encoded short CDRH3 NAb structures, a small subset of potently neutralizing *VH3-53*-encoded antibodies use longer CDRH3 regions^{5, 12} (more than 15 residues, IMGT definition³²) (Extended Data Fig. 1g). A recent structure of a RBD complexed with a *VH3-53*-encoded long CDRH3 human NAb (COVA2-39) revealed a different RBD binding mode³⁸, thus confirming predictions that binding with a C102-like interaction requires a short CDRH3^{26,30}. To further determine molecular mechanisms for binding of *VH3-53*-encoded long CDRH3 human NAbs, we solved a 3.2 Å cryo-EM structure of C144 (encoded by the *VH3-53* and *VL2-14* gene segments; 25-residue CDRH3) bound to an S trimer³⁶ (Extended Data Fig. 3). Despite the ability of ligand-free stabilized S trimers to adopt up RBD conformations³⁶ and modelling suggesting the C144 binding site would be accessible on up RBDs (Fig. 1b), the C144–S structure revealed three C144 Fabs bound to a completely closed S with three down RBDs



Fig. 1 | Cryo-EM structure of the C144-S complex illustrates a distinct VH3-**53 NAb binding mode. a**, 3.2 Å cryo-EM density for the C144–S trimer complex revealing C144 binding to a closed (three down RBDs) spike conformation. LC, light chain; HC, heavy chain. b, Overlay of C102 Fab (from C102-RBD crystal structure) (Extended Data Fig. 1) and C144 Fab (from C144-S structure) aligned on a RBD monomer. RBD residues corresponding to the ACE2 epitope (orange-red cartoon) are shown on the same RBD for reference. C144 adopts a distinct conformation relative to the C102-like VH3-53-encoded short CDRH3 NAb class, allowing binding to the down RBD conformation on trimeric spike, whereas C102-like NAbs can only bind to up RBDs. c, Quaternary epitope of C144 involving bridging between adjacent RBDs via the CDRH3 loop (illustrated as thicker ribbon). **d**. **e**. Close-up view of CDRH3-mediated contacts on adjacent protomer RBD (dark grey). C144 CDRH3 residues F100_D and W100_F are buried in a hydrophobic pocket comprising the RBD α 1 helix, residue $F374_{\tiny RBD}$ and the $N343_{\tiny RBD}$ glycan. f, Surface representation of C144 epitope (light blue) across two adjacent RBDs. RBD epitope residues (defined as residues containing atom(s) within 4 Å of a Fab atom) are labelled in black.

(Fig. 1a). The C144 binding mode differs from class 1 NAbs, the binding orientation of which is incompatible with down RBD conformations (Fig. 1b). In addition, the binding orientation observed for C144 differs from the binding described for COVA2-39, the RBD epitope of which is predicted to be accessible only on up RBDs³⁸ owing to steric hinderances imposed on the light chain by the N343_{RBD}-associated glycan on the adjacent RBD (Extended Data Fig. 1h). Despite differences in orientation, the RBD epitopes of C144, C102 and COVA2-39 overlap with the ACE2-binding site, which suggests a neutralization mechanism that involves direct competition with ACE2 (Fig. 1b).

Despite overlapping with the ACE2-binding site on up RBDs, an interesting feature of C144 binding is that its long CDRH3 bridges between adjacent down RBDs to lock the spike glycoprotein into a closed, prefusion conformation, providing an additional neutralization mechanism in which S cannot open to engage ACE2 (Fig. 1c, d). The formation of the C144 quaternary epitope is driven by sandwiching CDRH3 residues $F100_D$ and $W100_E$ (in which subscripts denote numbering of the CDRH3



Fig. 2 | **Cryo-EM structures of class 2 C002 and C121 NAbs show binding to up and down RBDs. a**, **b**, Cryo-EM densities for C002–S (**a**; 3.4 Å) and C121–S (**b**; 3.7 Å) complexes, revealing binding of C002 or C121 to both down and up RBDs. Inset, alignment of C002 and C121 Fabs on the same RBD. ACE2 is represented as a green surface for reference. **c**, **d**, Surface representations of C002 epitope (orange, **c**) and C121 epitope (purple, **d**) on the RBD surface

loop) into a hydrophobic RBD cavity at the base of an *N*-linked glycan attached to N343_{RBD}. The cavity comprises the RBD α1 helix (337–344), α2 helix (364–371), and hydrophobic residues (F374_{RBD} and W436_{RBD}) at the edge of the RBD five-stranded β-sheet (Fig. 1e, f). In contrast to the CDRH3s of class 1*VH3-S3*-encoded short CDRH3 NAbs, the C144 CDRH3 contributed to most (approximately 60%) of the paratope and buried 330 Å² of surface area on the adjacent RBD (Extended Data Fig. 2b), rationalizing observed escape at L455_{RBD} (Fig. 1f) in C144 selection experiments⁴⁰. Despite adjacent CDRH3 hydrophobic residues (F100_D and W100_E) likely to be solvent-exposed before antigen binding, C144 IgG showed no evidence of non-specific binding in a polyreactivity assay (Extended Data Fig. 1i).

Given the unusual binding characteristics of C144, we investigated whether antibodies that showed similar S binding orientations in low-resolution negative-stain electron microscopy reconstructions⁵ use similar neutralization mechanisms. We characterized Fab–S cryo-EM structures (overall resolutions from 3.4 to 3.8 Å) of potent NAbs (C002, C104, C119 and C121) predicted to compete with ACE2 binding⁵, which varied in their use of V gene segments and CDRH3 lengths (Fig. 2, Extended Data Figs. 3, 4, Extended Data Table 1). Fab–S cryo-EM structures of these class 2 NAbs showed bound RBDs in both up or down conformations, consistent with observations of similar human NAbs from negative-stain electron microscopy^{5,12} and single-particle cryo-EM studies^{10,34,41}. By contrast, the C144–S structure showed Fabs bound

(grey). RBD epitope residues (defined as residues containing atom(s) within 4 Å of a Fab atom) are labelled in black. **e**, C002 forms inter-protomer contacts via binding to an adjacent up RBD conformation on the surface of the trimer spike (also observed for class 2 C121–S, C119–S and C104–S structures) (Extended Data Fig. 5). Red box shows close-up of adjacent up RBD and C002 light-chain interface.

only to down RBDs (Fig. 1), which suggests that C144 binding requires recognition of the closed S trimer, or that C144 Fab(s) initially bound to up RBD(s) could trap the closed (three RBDs down) S conformation through CDRH3-mediated interactions between adjacent RBDs.

To understand commonalities of class 2 RBD epitopes better, we further analysed two additional potent human NAbs, C002 (encoded by VH3-30 and VK1-39 gene segments; 17-residue CDRH3, half-maximal inhibitory concentration $(IC_{50}) = 8.0 \text{ ng ml}^{-1})^5$ and C121 (encoded by VH1-2 and VL2-23 gene segments; 23-residue CDRH3, $IC_{50} = 6.7 \text{ ng ml}^{-1}$, for which cryo-EM Fab-S structures were solved to 3.4 Å and 3.6 Å, respectively (Fig. 2a, b), using crystal structures of unbound C002 and C121 Fabs for fitting (Supplementary Table 1). The COO2 and C121 RBD epitopes are focused on the receptor-binding ridge, overlapping with polar and hydrophobic residues along the flat face of the RBD responsible for ACE2 interactions (Fig. 2c-e). Similar to C144, NAbs C002 and C121 buried most of their RBD epitopes against heavy-chain CDR loops, with light-chain CDR loops engaging the receptor-binding ridge (Fig. 3). Notably, Fab-S structures of C002, C121, C119 and C104 revealed a quaternary epitope involving an adjacent RBD (Extended Data Figs. 3, 4, 5a-c), albeit distinct from the quaternary binding of C144 (Fig. 1c-e). The C002/C121/C119/C104 type of secondary interaction was only observed when a Fab was bound to a down RBD and adjacent to an up RBD. The extent of secondary interactions varied depending on the antibody pose (Extended Data Fig. 5a-c). Bridging interactions



Fig. 3 | **Details of common RBD interactions among class 2 human NAbs. a**-I, Conserved interactions between the RBD and CDRs of class 2 NAbs as observed for C144 (HC, cyan; LC, sky blue) (**a**-**d**), C002 (HC, dark orange; LC, light orange) (**e**-**h**), and C121 (HC, purple; LC, pink) (**i**-I). Primary and secondary epitopes on adjacent down RBDs are shown for C144. Secondary epitopes for

C002 and C121, which require adjacent up RBDs, are shown in Extended Data Fig. 5. RBDs are grey; potential hydrogen bonds and π - π stacking interactions (**d**, Y33_{LC} and F486_{RBD}; **h**, Y92_{LC} and F486_{RBD}; **l**, Y91_{LC} and F486_{RBD}) are indicated by dashed lines.

between adjacent up and down RBDs would not allow the two Fabs of a single IgG to bind simultaneously to an S trimer. However, this class of antibodies could support bivalent interactions between two adjacent down RBDs (Extended Data Fig. 5h, Extended Data Table 1).

Characterization of the highest resolution interface (C002–S structure) showed C002 light-chain framework regions 1 and 2 (FWR1 and FWR2) interfaced with the RBD residues comprising the five-stranded β -sheet and α -helix that spans residues 440–444 (Fig. 2e), which is typically located near the three-fold axis of a closed S trimer. In addition to contacting neighbouring RBDs, inter-protomer engagement with the N165_{NTD}-associated glycan in the N-terminal domain (NTD) was observed for the class 2 NAb BD23¹³. If fully processed, the N165_{NTD} glycan could adopt a conformation that would allow interactions with the heavy-chain FWR3 and CDRH1 (Fig. 2e). However, in the structures reported here, we did not observe N165_{NTD} glycan density beyond the initial *N*-acetylglucosamine.

Given differences in class 2 human NAb V gene segments, CDRH3 lengths and antibody poses, we investigated sequence features that drive conserved interactions. Sequence differences between SARS-CoV-2 and SARS-CoV RBD, including at positions 486_{RBD} and 493_{RBD} (F and Q, respectively, in SARS-CoV-2), in the ACE2 receptor-binding motif allowed more favourable ACE2 binding to the SARS-CoV-2 RBD⁴². Analysis of interactions by C144, C002 and C121 revealed common interactions with these residues and also for E484_{RBD} by both antibody heavy-chain and light-chain residues (Fig. 3). In particular, class 2 NAb interactions with F486 $_{\text{RBD}}$ mimicked ACE2 interactions, in that F486 $_{\text{RBD}}$ buries into a hydrophobic pocket typically involving CDRL1 or CDRL3 tyrosine residues⁴³ (Fig. 3d, h, l). Mimicking of the ACE2 F486 binding pocket by SARS-CoV-2 human NAbs was observed across different light-chain V gene segments (Extended Data Table 1), which suggests that there is no restriction in light-chain V gene segment usage for class 2 NAbs. Notably, a germline-encoded feature described for VH3-53-encoded short CDRH3 class 1 NAbs, the CDRH2 SXXS motif, is also

found in other class 2 NAbs (for example, C121 and C119) despite different VH gene segment usage. Similar to VH3-53 NAbs C144 and COVA2-39, the C121 CDRH2 SXXS motif forms a potential hydrogen-bond network with $E484_{RBD}$ (Fig. 3b, j).

Overall, these results suggest a convergent mode of recognition by germline-encoded residues across diverse VH/VL gene segments for SARS-CoV-2, which may contribute to low levels of somatic hypermutation observed for these human NAbs (Extended Data Fig. 4i–n, Extended Data Table 1).

Class 3 NAbs bind outside the ACE2-binding site

C135 is a potent NAb that showed distinct binding properties from class 1, 2 and 4 NAbs, the latter of which bind a highly conserved buried epitope that is only accessible in up RBD conformations (Extended Data Table 1). To evaluate the mechanism of C135-mediated neutralization of SARS-CoV-2, we solved the cryo-EM structure of a C135-S complex to 3.5 Å (Fig. 4a, Extended Data Fig. 6), using an unbound C135 crystal structure for fitting (Supplementary Table 1). The structure revealed three C135 Fabs bound to an S trimer with two down and one up RBD, although the C135-bound up RBD conformation was weakly resolved and therefore not modelled. C135 recognizes a similar glycopeptidic epitope to the cross-reactive SARS-CoV NAb S309³⁴, focusing on a region of the RBD near the N343 glycan and non-overlapping with the ACE2-binding site (Fig. 4b, Extended Data Fig. 6c, d). Despite differences in binding orientations between C135 and S309, targeting of the RBD epitope was mainly VH-mediated (the surface area buried by RBD on the C135 heavy chain represented approximately 480 Å² of the 700 Å² total buried surface area) and included interactions with the core fucose moiety of the $N343_{RBD}$ glycan. The smaller C135 footprint relative to S309 (approximately 700 Å² versus 1,150Å² buried surface area, respectively) (Extended Data Fig. 6c, d) focused on interactions with $R346_{RBD}$ and $N440_{RBD}$, which are engaged



Fig. 4 | **Cryo-EM structure of S complexed with the class 3 (non-ACE2 blocking) human NAb C135. a**, 3.5 Å cryo-EM density of C135–S complex. **b**, Composite model of C135–RBD (blue and grey, respectively) overlaid with the SARS-CoV-2 NAb S309 (sand; PDB code 6WPS) and soluble ACE2 (green; PDB code 6MOJ). The model was generated by aligning on 188 RBD Cα atoms. **c**, **d**, C135 CDRH (dark blue) and CDRL (light blue) interactions with R346_{RBD} (**c**) and N440_{RBD} (**d**). Potential π–π stacking interactions (**c**) and hydrogen bonds (**c**, **d**) are illustrated by dashed black lines. **e**, **f**, Model of RBD interactions of

NAbs C135 (class 3) and C144 (class 2), demonstrating that both Fabs can bind simultaneously to a single monomeric RBD (**e**), but would clash if bound to adjacent down RDBs on S trimer (**f**). Steric clashes indicated by a red and yellow star in **f**. **g**, **h**, Model of RBD interaction of NAbs C135 (class 3) and C119 (class 2) demonstrating that both Fabs cannot bind simultaneously to a single monomeric RBD (**g**), but do not clash if bound to adjacent down RDBs on S trimer (**h**). Steric clashes indicated by a red and yellow star in **g**.

by residues from heavy-chain and light-chain CDRs (Fig. 4c, d) and are not conserved between SARS-CoV-2 and SARS-CoV RBDs, rationalizing the lack of SARS-CoV cross-reactivity observed for $C135^5$.

The discovery of class 3 NAbs such as C135 and S309 that were raised during SARS-CoV-2 or SARS-CoV natural infections, respectively, and bind outside of the ACE2-binding site, provides the potential for additive neutralization effects when combined with NAbs that block ACE2, while also limiting viral escape^{1,40}. A pair of antibodies in human clinical trials that includes REGN10987⁸, a human NAb that binds distal to the ACE2-binding site, prevented SARS-CoV-2 viral escape in vitro, but did not show synergistic neutralization⁶. Comparison of C135 and REGN10987 interactions with S showed similarities in epitopes (interactions focused on R346_{RBD} and N440_{RBD}) (Extended Data Fig. 7c, f). However, REGN10987 binding would sterically hinder ACE2 interactions, whereas C135 binding does not (Fig. 4b, Extended Data Fig. 6b). Notably, a structure of S complexed with C110 (encoded by the VH5-51 and VK1-5 gene segments), isolated from the same donor as the C102 and C105 (class 1) and C119 and C121 (class 2) NAbs⁵, showed a binding pose that resembled that of REGN10987 (Extended Data Fig. 6b, e, f). The C110 epitope showed similarities with both class 3 and class 2 NAbs, binding distal to the ACE2-binding motif, but like REGN10987, could potentially sterically interfere with ACE2 (Extended Data Fig. 7). For each of these class 3 NAbs, the Fab binding pose suggests that inter-protomer crosslinking by a single IgG is not possible (Extended Data Table 1).

Class 3 human NAbs add to the anti-SARS-CoV-2 antibody repertoire and could probably be effectively used in therapeutic combinations with class 1 or 2 NAbs. However, when using structures to predict whether NAbs have overlapping epitopes, it is sometimes not sufficient to only examine Fab–RBD structures or even static images of the S trimer because of the dynamic nature of the spike. Thus, what might appear to be non-overlapping epitopes on an isolated RBD could overlap in some (Fig. 4e, f), but not all (Extended Data Fig. 7), scenarios on a spike trimer, complicating interpretation of competition experiments using monomeric RBDs and S trimers. The opposite can also be true; that is, two Fabs predicted to be accommodated on a trimer could clash on an RBD monomer (Fig. 4g, h). Finally, adjacent monomers in different orientations could accommodate different antibodies that target overlapping sites (Extended Data Fig. 7).

RBD substitutions affect NAb binding

Vesicular stomatitis virus (VSV) reporter viruses pseudotyped with the SARS-CoV-2 S protein can escape by mutation(s) from the C121, C135 or C144 NAbs⁴⁰ that we used for structural studies. RBD mutations that were selected in response to antibody pressure correlated with the epitopes mapped from the structures of their Fabs complexed with the S trimer (Figs. 1, 2, 4).

To further assess the effects of these mutations and other RBD substitutions, we assaved NAbs for which we obtained structural information (eight from this study; C105-S complex previously described²⁶) for binding to mutated RBD proteins. The RBD mutants included two that induced escape from the class 3 hNAb C135 (R346S and N440K)⁴⁰ (Fig. 4c, d), one found in circulating isolates⁴⁴ that conferred partial resistance to C135 (N439K)⁴⁰ (Fig. 4d), a circulating variant (A475V) that conferred resistance to class 1 and 2 VH3-53 NAbs⁴⁴, two that induced escape from C121 or C144 (E484K and Q493R)⁴⁰ (Fig. 3), and a circulating variant that conferred partial resistance to C121 (V483A)⁴⁰. Kinetic and equilibrium constants for the original and mutant RBDs were derived from surface plasmon resonance (SPR) binding assays in which RBDs were injected over immobilized IgGs. Loss of binding affinity was consistent with RBD mutations that conferred escape (Extended Data Fig. 8). Comparing effects of point mutations between NAb classes showed that point mutations leading to a loss of binding for NAbs within one class did not affect NAbs in a different class, indicating that antibody pressure that leads to escape from one NAb class would be unlikely to affect a different class. These results suggest a therapeutic strategy involving human NAbs of different classes for monoclonal NAb treatment of individuals infected with SARS-CoV-2.

Conclusions

Here we report structural, biophysical and bioinformatics analyses of SARS-CoV-2NAbs (Extended Data Fig. 9), providing information for interpreting correlates of protection for clinical use. The structures reveal a wealth of unexpected interactions of NAbs with the spike protein, including five antibodies that reach between adjacent RBDs on the protomers of a single trimer. A notable example of bridging between spike protomers $involved the human C144 \, NAb \, that \, uses a \, long \, CDRH3 \, with a \, hydrophobic$ tip to reach across to an adjacent RBD, resulting in all three RBDs on the spike trimer being locked into a closed conformation. This example, and four other NAbs that contact adjacent RBDs, demonstrates that crystal structures of Fab-monomeric RBD complexes, although informative for defining primary epitopes on one RBD, do not reveal how antibodies recognize the flexible up or down RBD conformations on the spike trimer that are targeted for neutralization on a virus. Indeed, our cryo-EM structures of Fab-spike trimer complexes showed all possible up and down combinations of recognized RBDs, with structures showing either three or two Fabs bound per trimer. By analysing approach angles of Fabs bound to RBDs on spike trimers, we predicted whether an IgG can bind to a single spike trimer to gain potency through avidity, which would also render the antibody more resistant to spike mutations. In addition. structural information allowed us to assess RBD mutants that arose in circulating viral isolates and/or were obtained by invitro selection. Together,this study provides a blueprint for the design of antibody cocktails for therapeutic agents and potential spike-based immunogens for vaccines.

Online content

Any methods, additional references, Nature Research reporting summaries, source data, extended data, supplementary information, acknowledgements, peer review information; details of author contributions and competing interests; and statements of data and code availability are available at https://doi.org/10.1038/s41586-020-2852-1.

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Methods

No statistical methods were used to predetermine sample size. The experiments were not randomized, and investigators were not blinded to allocation during experiments and outcome assessment.

Cell lines

Expi293F cells (GIBCO) for protein expression were maintained at 37 °C and 8% CO₂ in Expi293 Expression medium (GIBCO), transfected using Expi293 Expression System Kit (GIBCO) and maintained under shaking at 130 rpm. Cell lines were not specifically authenticated, but lines tested negative for contamination with mycoplasma.

Protein expression

Expression and purification of SARS-CoV-2 ectodomains were conducted as previously described²⁶. In brief, constructs encoded the SARS-CoV-2 S ectodomain (residues 16–1206 of the early SARS-CoV-2 GenBank MN985325.1 sequence isolate with 2P³⁵ or 6P³⁶ stabilizing mutations, a mutated furin cleavage site between S1 and S2, a C-terminal TEV site, foldon trimerization motif, octa-His tag, and AviTag) were used to express soluble SARS-CoV-2 S ectodomains. Constructs encoding the SARS-CoV-2 RBD from GenBank MN985325.1 (residues 331–524 with C-terminal octa-His tag and AviTag) and mutant RBDs were made as described²⁶, SARS-CoV-2 2P S, 6P S, and RBD proteins were purified from the supernatants of transiently transfected Expi293F cells (Gibco) by nickel affinity and size-exclusion chromatography²⁶. Peak fractions were identified by SDS–PAGE, and fractions corresponding to S trimers or monomeric RBDs were pooled and stored at 4 °C. Fabs and IgGs were expressed, purified, and stored as previously described^{45,46}.

X-ray crystallography

Crystallization trials were carried out at room temperature using the sitting drop vapour diffusion method by mixing equal volumes of a Fab or Fab–RBD complex and reservoir using a TTP LabTech Mosquito robot and commercially available screens (Hampton Research). Crystals were obtained in 0.2 M ammonium sulfate, 20% (w/v) PEG 3350 (C102 Fab), 0.2 M sodium citrate tribasic, 20% (w/v) PEG 3350 (C102–RBD), 0.2 M lithium sulfate monohydrate, 20% (w/v) PEG 3350 (C102–RBD), 0.04 M potassium phosphate, 16% (w/v) PEG 8000, 20% (v/v) glycerol (C135 Fab), 0.2 M ammonium citrate pH 5.1, 20% PEG 3350 (C121 Fab), or 0.2 M sodium tartrate dibasic dihydrate pH 7.3, 20% (w/v) PEG 3350 (C110 Fab). A C135 Fab crystal was directly looped and cryopreserved in liquid nitrogen. Other crystals were quickly cryoprotected in a mixture of well solution with 20% glycerol and then cryopreserved in liquid nitrogen.

X-ray diffraction data were collected for Fabs and the Fab-RBD complex at the Stanford Synchrotron Radiation Lightsource (SSRL) beamline 12-1 on a Eiger X16 M pixel detector (Dectris) at a wavelength of 1.0 Å. Data from single crystals of C121 Fab and C110 Fab were indexed and integrated in XDS⁴⁷ and merged using AIMLESS v.0.7.4 in CCP4⁴⁸ v.7.0.6 (Supplementary Table 1). Data from single crystals of C102 Fab, C135 Fab and C002 Fab were indexed and integrated using XDS⁴⁷ and merged in Phenix⁴⁹ (v.1.18). Data from a single crystal of C102 Fab-RBD complex were indexed and integrated using XIA2⁵⁰ v.0.3.8 implementing DIALS^{51,52} v.2.2 and merged using AIMLESS in CCP4⁴⁸. For C110 Fab and C121 Fabs, structures were determined by molecular replacement in PHASER⁵³ v.2.8.2 using the coordinates for B38 (PDB 7BZ5) or an inferred germline form of the HIV-1 NAb IOMA⁵⁴ inferred germline (unpublished), respectively, after removing CDR loops as a search model. For C002 Fab, C102 Fab, C102 Fab-RBD and C135 Fab, structures were determined by molecular replacement in PHASER⁵³ using B38 Fab coordinates (PDB 7BZ5) after trimming heavy and light chain variable domains using Sculptor⁵⁵ v.2.0 (and for the C102 Fab-RBD data, also RBD coordinates from PDB code 7BZ5) as search models. Coordinates were refined using Phenix⁴⁹ and cycles of manual building in Coot⁵⁶ (Supplementary Table 1).

Cryo-EM sample preparation

Purified Fabs were mixed with the SARS-CoV-2 S 2P trimer³⁵ or SARS-CoV-2 S 6P trimer³⁶ (1.1:1 molar ratio Fab per protomer) to a final Fab–S complex concentration of $2-3 \text{ mg ml}^{-1}$ and incubated on ice for 30 min. Immediately before deposition of 3μ l of complex onto a 300 mesh, 1.2/1.3 UltrAuFoil grid (Electron Microscopy Sciences) that had been freshly glow-discharged for 1 min at 20 mA using a PELCO easi-GLOW (Ted Pella), a 0.5% (w/v) octyl-maltoside, fluorinated solution (Anatrace) was added to each sample to a final concentration of 0.02%. Samples were vitrified in 100% liquid ethane using a Mark IV Vitrobot (Thermo Fisher) after blotting at 22 °C and 100% humidity for 3 s with Whatman No. 1 filter paper.

Cryo-EM data collection and processing

Single-particle cryo-EM data were collected on a Titan Krios transmission electron microscope (Thermo Fisher) operating at 300 kV for all Fab–S complexes except for C144–S, which was collected on a Talos Arctica (Thermo Fisher) operating at 200 kV. Movies were collected using SerialEM v.3.7 automated data collection software⁵⁷ with beam-image shift over a 3-by-3 pattern of 1.2 µm holes with 1 exposure per hole. Movies were recorded in super-resolution mode on a K3 camera (Gatan) for the C144–S dataset on the Arctica (0.435Å per pixel) or on a K3 behind BioQuantum energy filter (Gatan) with a 20 eV slit on the Krios (0.418 Å per pixel) for all other datasets. Data collections parameters are summarized in Supplementary Table 2. In general, the dat- processing workflow described below was performed for all datasets in cryoSPARC v.2.15⁵⁸.

Cryo-EM movies were patch motion corrected for beam-induced motion including dose weighting within cryoSPARC⁵⁸ after binning super-resolution movies. The non-dose-weighted images were used to estimate CTF parameters using CTFFIND4⁵⁹ v.4.1.14 or with cryoSPARC implementation of the Patch CTF job, and micrographs with power spectra that showed poor CTF fits or signs of crystalline ice were discarded. A subset of images were randomly selected and used for reference-free particle picking using Blob picker in cryoSPARC⁵⁸. Particles were subjected to 2D classification and the best class averages that represented different views were used to generate 3 ab initio models. The particles from the best classes were used in another 2D classification job, and the best set of unique views was used as templates for particle picking on the full set of images. Initial particle stacks were extracted, downsampled twice, and used in heterogeneous refinement against the three ab initio volumes generated with the smaller dataset (ab initio volumes used were interpreted as a Fab-S complex, free Fab or dissociated S protomers, and junk/noise class). Particles assigned to the Fab-S volume were further cleaned via iterative rounds of 2D classification to select class averages that displayed unique views and secondary structural elements. Resulting particle stacks were homogenously refined before being split into nine individual exposure groups based upon collection holes. Per particle CTF and aberration corrections were performed and the resulting particles further 3D refined. Additional processing details are summarized in Supplementary Table 2.

Given the known heterogeneity of spike trimers^{20,2i}, homogenously refined particles were used for 3D classification in cryoSPARC⁵⁸ (ab initio job: k = 4 classes, class similarity = 0.3). This typically resulted in one or two majority Fab–S complexes, with the other minority populated classes representing junk or unbound S trimer. Particles from the good class(es) were further subjected to 3D classification (ab initio job: k = 4, class similarity = 0.7) to attempt to separate various Fab–S complex states. If several states were identified (as observed for the C002–S and C121–S complexes), particles were heterogeneously refined, followed by re-extraction without binning (0.836 Å per pixel) before homogeneous refinement of individual states. For all other datasets, most particles represented one state that was homogenously refined after re-extraction without binning. Particle stacks for individual states were non-uniform refined with *C*1 symmetry and a dynamic mask. To improve resolution at the Fab–RBD interfaces, volumes were segmented in Chimera⁶⁰ and the regions corresponding to the NTD and RBD domains of the S1 subunit and the Fab VH–VL domains were extracted and used to generate a soft mask (5-pixel extension, 10-pixel soft cosine edge). Local refinements with the mask resulted in modest improvements of the Fab–RBD interface, which allowed for fitting and refinement of this region. The particles were then subjected to CTF refinement and aberration correction, followed by a focused, non-uniform refinement with polished particles imposing C1 symmetry (except for the C144–S complex, in which C3 symmetry was used). Final overall resolutions were according to the gold-standard FSC⁶¹ can be found in Supplementary Table 2.

Cryo-EM structure modelling and refinement

Coordinates for initial complexes were generated by docking individual chains from reference structures into cryo-EM density using UCSF Chimera⁶² v.1.13. The following coordinates were used: SARS-CoV-2 S trimers: PDB codes 6VXX, 6VYB and 6XKL, up RBD conformations: PDB codes 7BZ5 or 6W41, and unbound C102, C002, C110, C121, C135 Fab structures (this study) (Supplementary Table 1). Initial models were then refined into cryo-EM maps using one round of rigid body refinement followed by real space refinement. Sequence-updated models were built manually in Coot⁵⁶ v.0.8.9 and then refined using iterative rounds of refinement in Coot⁵⁶ and Phenix⁴⁹. Glycans were modelled at potential *N*-linked glycosylation sites in Coot⁵⁶ using 'blurred' maps processed with a variety of B-factors⁶³. Validation of model coordinates was performed using MolProbity⁶⁴ (Supplementary Table 2).

Structural analyses

CDR lengths were calculated based on IMGT definitions³². Structure figures were made with PyMOL (v.2.2 Schrodinger, LLC) or UCSF ChimeraX⁶⁰ v.1.0. Local resolution maps were calculated using cryoSPARC v.2.15⁵⁸. Buried surface areas were calculated using PDBePISA v.1.48⁶⁵ and a 1.4 Å probe. Potential hydrogen bonds were assigned as interactions that were less than 4.0 Å and with an A-D-H angle above 90°. Potential van der Waals interactions between atoms were assigned as interactions that were less than 4.0 Å. Hydrogen bond and van der Waals interaction assignments are tentative due to resolution limitations. r.m.s.d. calculations following pairwise C α alignments were done in PyMOL without rejecting outliers. Criteria for epitope assignments are described in figure legends.

To evaluate whether intra-spike crosslinking by an IgG binding to a singlespiketrimerwaspossible(ExtendedDataTable1), we first measured the Ca distance between a pair of residues near the C termini of adjacent Fab CH1 domains (residue 222 of the heavy chain on each Fab) (Extended Data Fig. 5h). We compared this distance to the analogous distances in crystal structures of intact IgGs (42 Å, PDB code 1HZH; 48 Å, PDB code 1IGY; 52 Å, PDB code 1IGT). To account for potential influences of crystal packing in these measurements, as well as flexibility in the VH-VL/CH1-CL elbowbendangleanduncertaintiesinCH1-CLdomainplacementinFab-S cryo-EM structures, we set a cut-off of \leq 65 Å for this measured distance as possibly allowing for a single IgG to include both Fabs. Entries in the 'potential IgG intra-spike binding' column in Extended Data Table 1 are marked 'no' if all of the adjacent Fabs in cryo-EM classes of that structure are separated by more than 65 Å for this measured distance. Entries in the 'potential IgG intra-spike binding' column in Extended Data Table 1 are marked as 'yes' if at least one pair of the adjacent Fabs in cryo-EM classes of that structure are separated by ≤ 65 Å for this measured distance.

SPR binding experiments

SPR experiments were performed using a Biacore T200 instrument (GE Healthcare). IgGs were immobilized on a CM5 chip by primary amine

chemistry (Biacore manual) to a final response level of approximately 3,000 resonance units. Concentration series of the original SARS-CoV-2 RBD and RBD mutants (six fourfold dilutions starting from a top concentration of 1,000 nM) were injected at a flow rate of 30 µl min over immobilized IgGs for a contact time of 60 s, followed by an injection of 0.01M HEPES, pH 7.4, 0.15 M NaCl, 3 mM EDTA, 0.005% (v/v) surfactant P20 buffer for a dissociation time of 300 s. Binding reactions were allowed to reach equilibrium, and K_d values were calculated from the ratio of association and dissociation rates ($K_d = k_d/k_a$) derived from a 1:1 binding model (C002, C102, C105, C110 and C119 (except for C119 mutant E484K)), C121, C135 and C144), or from a two-state binding model ($K_d = k_d l/k_a 1 \times k_d 2/[k_d 2 + k_a 2]$) (C104 and C119 mutant E484K). Kinetic constants were calculated using Biacore T200 Evaluation Software v.3.2 using a global fit to all curves in each dataset. Flow cells were regenerated with 10 mM glycine, pH 2.0, at a flow rate of 90 µl min⁻¹.

Polyreactivity assays

IgGs were evaluated for off-target interactions by measuring binding to baculovirus extracts containing non-specific proteins and lipids as described⁵⁹. The assays were automated on a Tecan Evo2 liquid handling robot fitted with a Tecan Infinite M1000 plate reader capable of reading luminescence. Maxisorb 384-well plates (Nunc) were adsorbed overnight with a 1% preparation of recombinant baculovirus particles generated in Sf9 insect cells⁶⁶. The adsorbed plate was blocked with 0.5% bovine serum albumin (BSA) in PBS, then incubated with 20 µl of a 1.0 µg ml⁻¹ solution of IgG in PBS for 3 h. Polyreactivity was quantified by detecting bound IgG using an HRP-conjugated anti-human IgG secondary antibody (SouthernBiotech) at a 1:5,000 dilution and SuperSignal ELISA Femto Maxiumum Sensitivity Substrate (Thermo Scientific). RLUs were measured at 475 nm in the integrated plate reader. Engineered human anti-HIV-1IgGs previously demonstrated to exhibit high levels of polyreactivity (NIH45-46(G54W) and 45-46m2)^{60,61} were used as positive controls. NIH45-46, which exhibited intermediate polyreactivity⁶², was also evaluated for comparisons. Negative control IgGs with low polyreactivity included the human HIV-1 antibodies N663 and 3BNC117⁶² and BSA. RLU values were plotted in GraphPad Prism v8.4.3 and presented as the mean and standard deviation of triplicate measurements (n = 3 biological replicates) with results for individual experiments shown as circles in Extended Data Fig. 1i.

Reporting summary

Further information on research design is available in the Nature Research Reporting Summary linked to this paper.

Data availability

The atomic models generated from X-ray crystallographic studies of the C102–RBD complex, C102 Fab, C002 Fab, C110 Fab, C121 Fab and C135 Fab have been deposited at the Protein Data Bank (PDB) under accession codes 7K8M, 7K8N, 7K8O, 7K8P, 7K8Q and 7K8R, respectively. The atomic models and cryo-EM maps generated from cryo-EM studies of the C002–S 2P (state 1), C002–S 2P (state 2), C104–S 2P, C110–S 2P, C119–S 2P, C121–S 2P (state 1), C121–S 2P (state 2), C135–S 2P and C144–S 6P complexes have been deposited at the PDB and the Electron Microscopy Data Bank (EMDB) under the following accession codes: PDB 7K8S, 7K8T, 7K8U, 7K8V, 7K8W, 7K8X, 7K8Y, 7K8Z and 7K90; EMD EMD-22729, EMD-22730, EMD-22731, EMD-22732, EMD-22733, EMD-22734, EMD-22735, EMD-22736 and EMD-22737.

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Author contributions C.O.B., M.C.N., A.P.W. and P.J.B. conceived the study and analysed data; D.F.R. and M.C.N. provided monoclonal antibody sequences and plasmids derived from COVID-19-convalescent donors. C.O.B. and K.H.T. performed protein purifications and C.O.B. assembled complexes for cryo-EM and X-ray crystallography studies. C.O.B. performed cryo-EM and interpreted structures with assistance from M.E.A., K.A.D., S.R.E., A.G.M. and N.G.S. C.A.J. and C.O.B. performed and analysed crystallographic structures, with refinement assistance from M.E.A. and K.M.D. Y.E.L. performed polyreactivity assays. H.B.G. performed and analysed SPR experiments. A.P.W. analysed antibody sequences. C.O.B., M.C.N., A.P.W. and P.J.B. wrote the paper with contributions from other authors.

Competing interests The Rockefeller University has filed a provisional patent application in connection with this work on which D.F.R. and M.C.N. are inventors (US 63/021,387).

Additional information

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Extended Data Fig. 1 | See next page for caption.

Extended Data Fig. 1 | X-ray structure and epitope mapping of VH3-53 NAb C102. a, X-ray structure of the C102 Fab–RBD_{331–518} complex. b, C102 CDR loops mapped on the RBD surface. c, Surface representation of C102 epitope coloured by C102 heavy chain (dark green) and light chain (light green) interactions. d, e, CDRH1, CDRH2 (d) and CDRH3 (e) interactions with RBD residues. Potential hydrogen-bond contacts are illustrated as dashed lines. f, Left, overlay of C102–RBD crystal structure (cartoon) with C105–S trimer cryoEM density (PDB 6XCM, EMD-22127) illustrating conserved binding to RBD epitope in an up conformation. Right, the C102 epitope is sterically occluded when aligned to a down RBD conformation (red and yellow star). SARS-CoV-2 S domains are dark grey (S2 domain) and light grey (S1 domain); the C105 Fab is yellow-green. g, Alignment of selected CDRH3 sequences for *VH3-53-* or *VH3-66-* encoded SARS-CoV-2 neutralizing antibodies (IMGT definition³²). **h**, Overlay of NAb COVA2-39 Fab³⁸ (lime green and lemon, from COVA2-39–RBD structure, PDB 7JMP) and C144 Fab (blue, from C144–S structure) aligned on a RBD_A of C144 epitope. COVA2-39 adopts a distinct conformation relative to the C102-like *VH3-53*-encoded short CDRH3 NAb class and to C144, recognizing its RBD epitope only in an up RBD conformations owing to steric clashes (red and yellow star) with the N343-associated glycan on the adjacent RBD. **i**, Polyreactivity assay. IgGs were evaluated for binding to baculovirus extracts to assess non-specific binding. Polyreactive positive control IgGs were NIH45-46, 45-46^{G54W} and 45-46m2. Negative controls were bovine serum albumin (BSA) and IgGs N6 and 3BNC117. Relative light unit (RLU) values are presented as the mean and s.d. of triplicate measurements (*n* = 3 biological replicates) with results for individual experiments shown as circles.



Structure 1	Structure 2	# Ca atoms	RMSD (Å)	PDB Structure 1	PDB Structure 2
C102 unbound	C102 bound	225	0.9	This study	This study
C105 unbound	C102 unbound	220	2.0	6XCA	This study
C105 bound	C102 bound	220	3.0	6XCM	This study
C105 bound + RBD	C102 bound + RBD	403	2.4	6XCM	This study
B38 bound	C102 bound	222	1.1	7BZ5	This study
CB6 bound	C102 bound	223	1.2	7C01	This study
CC12.1 bound	C102 bound	224	1.1	6XC2	This study
CC12.3 bound	C102 bound	224	0.6	6XC4	This study



Extended Data Fig. 2 | **Overview of VH3-53/VH3-66-encoded human NAb** structures. a, Superimposition of VH and VL domains of C102 with other VH3-53- or VH3-66-encoded NAbs (top) and root mean square deviation (r.m.s.d.) calculations (bottom). b, Buried surface area comparisons for the indicated Fab-RBD structures. Buried surface areas were calculated using PDBePISA⁶⁵ and a 1.4 Å probe. c, d, Heavy-chain buried surface areas of anti-SARS-CoV-2 antibodies plotted as CDRH1 (IMGT residues 27–38) plus CDRH2 (residues 56–67*) versus CDRH3 (residues 105–117) (c), and V gene

segment region (residues 1–105) versus CDRH3 (residues 106*–117) (**d**, asterisk indicates differences from IMGT definition). Blue data points represent 501 human antibodies complexed with protein antigens. IMGT-numbered structures (resolutions of 3.5 Å or better) were downloaded from the Structural Antibody Database (SAbDab)⁷⁰ and buried surface area were calculated using PISA⁶⁵. Buried surface areas from antibody structures with identical or near-identical heavy chain sequences were averaged to give a single point on the graph.



Extended Data Fig. 3 | Cryo-EM data processing and validation for C144–S, C002–S and C121–S complexes. a–i, Representative micrograph selected from total dataset (Supplementary Table 2), 2D class averages, gold-standard Fourier shell correlation (FSC) plots, and local resolution estimations for C144–S 6P (a–c), C002–S 2P (d–f) and C121–S 2P (g–i). Scale bars, 100 nm. For

the C002–S dataset, two classes were resolved: state 1, C002 Fabs bound to three down RBDs, and state 2, C002 Fabs bound to two down and one up RBD. For the C121–S 2P dataset, two classes were resolved: state 1, C121 Fabs bound to two down and one up RBD and state 2, C121 Fabs bound to one down, two up RBDs.



Extended Data Fig. 4 | Cryo-EM processing, validation and reconstruction for C119–S and C104–S complexes. a, 3.6 Å cryo-EM reconstruction for a C119–S trimer complex. b, 3.7 Å cryo-EM reconstruction for a C104–S trimer complex. Representative micrograph selected from the total dataset (Supplementary Table 2), 2D class averages, gold-standard FSC plot, and local resolution estimation for C119–S2P (c-e) and C104–S (f–h). Scale bars, 100 nm.

Both complexes revealed binding of Fabs to both down and up RBD conformations. **i**–**n**, Somatic hypermutations in heavy- and light-chain V gene segments for C002 (**i**), C121 (**j**), C119 (**k**), C144 (**l**), C102 (**m**) and C135 (**n**) are shown as spheres on the antibody VH and VL domains (ribbon representations). The primary RBD epitope is shown as a light grey surface; secondary RBD epitope for C144 is in dark grey.



Extended Data Fig. 5 | Primary and secondary epitopes of class 2 human NAbs. a-c, Primary epitopes for COO2 (a), C121 (b), and C119 (c) on down RBD. A secondary epitope is observed if a Fab is bound to an adjacent up RBD for these NAbs. Antibody paratopes are represented as cartoons. A similar interaction in the C104–S structure is not shown owing to low local resolution on the up RBD. d-g, Primary epitopes for C119 (d), C104 (e), P2B-2F6 (f; PDB 7BWJ), and BD23 (g; PDB 7BYR). The existence of secondary epitopes for

P2B-2F6 and BD23 cannot be determined because the P2B-2F6 epitope was determined from a crystal structure with an RBD²⁷, and the BD23–S cryo-EM structure showed only one bound Fab¹³. **h**, Measurement of C α distance between the C termini of adjacent C121 CH1 domains (residue 222 of the heavy chain on each Fab). Measurements of this type were used to evaluate whether intra-spike crosslinking by an IgG binding to a single spike trimer was possible for human NAbs in Extended Data Table 1.



Extended Data Fig. 6 | Cryo-EM structure of C110-S complex and epitope mapping. a, 3.8 Å cryo-EM reconstruction of the C110-S trimer complex. b, Composite model of C110-RBD (purple and grey, respectively) overlaid with the SARS-CoV-2 NAb REGN-10987 (yellow, PDB 6XDG) and soluble ACE2 (green, PDB 6M0J). Model was generated by aligning structures on 188 RBD Cα atoms. c-f, Surface representation of RBD epitopes for C135 (blue) (c), S309 (brown, PDB 6WSP) (d), C110 (purple) (e) and REGN-10987 (yellow, PDB 6XDG) (f). Given the low resolution of the antibody–RBD interface, epitopes were assigned by

selection of any RBD residue within 7 Å of any antibody Cα atom. Mutation sites found in sequence isolates⁴⁴ (green) and in laboratory selection assays⁴⁰ (red) are shown. Representative micrograph selected from total dataset (Supplementary Table 2), 2D class averages, gold-standard FSC plot, and local resolution estimation for C135–S 2P (**g–i**) and C110–S 2P (**j–l**). Scale bars, 100 nm. Both complexes revealed binding of Fabs to both two-down and one-up RBD conformations.







	C 1	02	
VH3	8-53/VK	3-20 C I	ass 1
RBD	k _a (10⁵) (M⁻¹s⁻¹)	k _d (10⁻³) (s⁻¹)	<i>К</i> _р (nM)
wt	1.4	3.8	27
R346S	1.2	8.6	72
N439K	1.0	3.2	32
N440K	1.4	7.6	55
A475V	0.8	31.9	395
V483A	1.0	3.1	32
E484K	1.4	8.7	61
Q493R	2.2	17.5	81

а

b	C144					
VH3	3-53/VL	2-14 C	lass 2			
	<i>k</i> _a (10 ⁵)	$k_{d}(10^{-3})$				
RBD	(M ⁻ 'S'')	(s ⁻ ')	K _D (NIVI)			
wt	2.3	4.1	18			
R346S	1.1	5.6	52			
N439K	1.2	3.6	29			
N440K	1.4	5.8	40			
A475V	2.3	53	228			
V483A	1.3	4.1	32			
E484K	n.b.	n.b.	n.b.			
Q493R	n.b.	n.b.	n.b.			

	C121						
VH	1-2/VL2	?-23 Cla	iss 2				
	<i>k</i> _a (10⁵)	<i>k</i> _d (10⁻³)					
RBD	(M⁻¹S⁻¹)	(S¹)	к_р (n м)				
wt	5.2	2.5	0.5				
R346S	6.3	5	0.8				
N439K	5.0	3.8	0.8				
N440K	6.9	4.1	0.6				
A475V	6.4	4.7	0.7				
V483A	2.0	1.5	0.8				
E484K	n.b.	n.b.	n.b.				
Q493R	10	115	111				

	C	135	
VH	3-30/Vk	(1-5 C I	ass 3
RBD	k _a (10⁵) (M⁻¹s⁻¹)	k _d (10⁻³ (s⁻¹)) <i>K</i> _D (nM)
wt	1.9	1.2	6
R346S	n.b.	n.b.	n.b.
N439K	1.3	4.9	37
N440K	n.b.	n.b.	n.b.
A475V	1.5	1.8	12
V483A	1.4	1.3	9
E484K	2.7	1.8	7
Q493R	1.3	1.7	13

С

VH	C 1 3-53/VL	1 05 <i>_2-8</i> Cla	lss 1
RBD	<i>k</i> _a (10⁵) (M⁻¹s⁻¹)	k _d (10⁻³) (s⁻¹)	<i>К_р</i> (nM)
wt	0.7	0.9	14
R346S	0.5	1.1	20
N439K	0.6	1.1	19
N440K	0.6	1.1	18
A475V	1.0	22	225
V483A	0.5	0.8	15
E484K	0.6	1.1	19
Q493R	0.7	0.4	6

VH3	C 3-30/VK	0 02 1-39 Cla	ass 2
RBD	<i>k</i> _a (10⁵) (M⁻¹s⁻¹)	k _d (10⁻³) (s⁻¹)	<i>К</i> _р (nM)
wt	8.3	9.0	11
R346S	3.2	8.6	27
N439K	6.0	9.7	16
N440K	3.3	8.1	24
A475V	2.1	6.3	31
V483A	2.7	2.9	11
E484K	n.b.	n.b.	n.b.
Q493R	1.8	106	596

C 1	19	
1-46/VL2	2-14 C la	ass 2
<i>k</i> _a (10⁵) (M⁻¹s⁻¹)	k _d (10⁻³) (s⁻¹)	<i>К_р</i> (nM)
2.6	2.6	10
2.6	5.7	22
6.4	12.5	20
1.8	3.8	21
1.4	2.8	20
1.7	3.7	22
1.1 .005	28 2.7	91
5.3	11	20
	C1 <i>k</i> _a (10 ⁵) (M ⁻¹ s ⁻¹) 2.6 2.6 6.4 1.8 1.4 1.7 1.1 .005 5.3	C119 1-46/VL2-14 Cla k _a (10 ⁵) k _a (10 ⁻³) (M ⁻¹ s ⁻¹) (s ⁻¹) 2.6 2.6 2.6 5.7 6.4 12.5 1.8 3.8 1.4 2.8 1.7 3.7 1.1 .005 28 2.7 5.3 11

VH	כ 5-51/VP	110 < 1-5 Cla	ss 3
RBD	<i>k</i> _a (10⁵) (M⁻¹s⁻¹)	k _d (10⁻³) (s⁻¹)	<i>К_р</i> (nM)
wt	0.7	0.09	1.3
R346S	0.2	1.1	68
N439K	0.4	0.3	8
N440K	0.5	0.1	2
A475V	0.4	0.1	3
V483A	0.4	0.09	2
E484K	0.4	0.9	27
Q493R	0.3	0.3	12





		*C1(04		
VH4	1-34,	/VK3	-20 C	lass	s 2
RBD	<i>к</i> _ (М	(10⁵) ⁻¹s⁻¹)	k _d (1 (s	10 ⁻³) ⁻¹)	<i>К</i> _р (nМ)
wt	1.2	.008	17.6	1.3	19
R346S	1.4	.003	28.4	3.3	97
N439K	1.1	.008	28.4	0.9	24
N440K	1.5	.003	31.2	3.7	108
A475V	1.2	.004	25.0	5.2	124
V483A	0.9	.015	24.5	5.4	69
E484K	n.b.	n.b.	n.b.	n.b.	n.b.
Q493R	1.1	.022	36.1	1.2	17



Extended Data Fig. 8 | **SPR binding data for NAbs. a**-**c**, Kinetic and equilibrium constants for binding to unaltered RBD (indicated as wild type, wt) and mutant RBDs are shown in tables beside structures of a representative NAb-RBD complex for each class. Residues that were mutated are highlighted as coloured side chains on a grey RBD surface. Antibody VH–VL domains are

shown as cartoons. Kinetic and equilibrium constants for NAbs that contact adjacent RBDs on the S trimer (C144, C002, C119 and C121) do not account for contacts to a secondary RBD because binding was assayed by injected monomeric RBDs over immobilized IgGs. Asterisk indicates kinetic constants determined from a two-state binding model.



Extended Data Fig. 9 | **Summary of NAbs. a**, Structural depiction of a representative NAb from each class binding its RBD epitope. b, Composite model illustrating non-overlapping epitopes of NAbs from each class bound to

a RBD monomer. **c**, Epitopes for SARS-CoV-2 NAbs. RBD residues involved in ACE2 binding are boxed in green. Diamonds represent RBD residues contacted by the indicated antibody.

Extended [xtended Data Table 1 Classification and structural properties of SARS-CoV-2 RBD-specific antibodies								
Antibody	Reference	IGHV (# of aa SHM)	CDRH3 length (aa)^	IGLV (# of aa SHM)	CDRL3 length (aa)^	IC₅₀/IC₀₀ (ng/mL)†	Potential IgG intra- spike binding [§]	Contacts adjacent RBD	Structural Information
Class 1: Blo	cks ACE2 acce	ssibility of RBD	enitone	only in "un" o	onformati	ion			
C102	this study	VH3-53 (2)	11	VK3-20 (0)	9	34 / 143	222	222	3.0 Å Eab-RBD
C105	Barnes et al ²⁶	VH3-53 (0)	12	VI 2-8 (1)	11	26 1 / 134	Yes	No	34 Å Fab-S PDB 6XCM
B38	Wu et al ²⁸	VH3-53 (1)	9	VK1-9 (2)	10	117 / NA	222	222	1 8 Å Fab-RBD PDB 7B75
CC12.3	Yuan, et al. ³⁰	VH3-53 (3)	12	VK3-20 (1)	9	20 / NA	???	???	2.9 Å. Fab-RBD, PDB 6XC7
Class 2: Blo	cks ACE2. acce	ssibility of RBD	epitope	in "up"/"down	" conform	nations			
C002	this study	VH3-30 (1)	17	VK1-39 (1)	9	8.9 / 37.6	Yes	Yes	3.4 Å Fab-S
C104	this study	VH4-34 (6)	17	VK3-20 (3)	9	23.3 / 140	Yes	Yes	3.7 Å Fab-S
C119	this study	VH1-46 (1)	20	VL2-14 (3)	11	9.1 / 97.8	Yes	Yes	3.5 Å Fab-S
C121	this study	VH1-2 (2)	22	VL2-23 (0)	10	6.7 / 22.3	Yes	Yes	3.6 Å Fab-S
C144	this study	VH3-53 (3)	25	VL2-14 (1)	10	6.9 / 29.7	Yes	Yes	3.3 Å Fab-S
COVA2-39	Wu, et al. ³⁸	VH3-53 (3)	17	VL2-23 (1)	10	36 / NA	???	???	1.7 Å Fab-RBD, PDB 7JMP
5A6	Wang, et al. ⁴¹					75.5 / NA	Yes	Yes	2.4 Å Fab-S
P2B-2F6	Ju, et al. ²⁷	VH4-38*02 (2)	20	VL2-8 (0)	10	50 / NA	???	???	2.9 Å Fab-RBD, PDB 7BWJ
Ab2-4	Liu, et al. ¹⁰	VH1-2 (3)	15	VL2-8 (0)	10	394 / NA	Yes	No	3.2 Å Fab-S, PDB 6XEY
BD23	Cao, et al. ¹³	VH7-4*02 (0)	19	VK1-5*03 (0)	9	4800 / NA	No	No	3.8 Å Fab-S, PDB 7BYR
Class 3: Doe	es not overlap w	/ith ACE2 bindi	ng site, a	ccessibility of	f RBD epi	itope in "up"/"do	wn" confoi	rmations	
C135	this study	VH3-30 (4)	12	VK1-5 (3)	9	16.6 / 48.9	No	No	3.5 Å Fab-S
S309	Pinto, et al. ³⁴	VH1-18 (6)	20	VK3-20 (3)	8	79* / NA	No	No	3.1 Å Fab-S, PDB 6WPS
C110	this study	VH5-51 (2)	21	VK1-5 (3)	9	18.4 / 77.3	No	No	3.8 Å Fab-S
REGN10987	Hansen, et al.6	VH3-30 (4)	13	VL2-14 (6)	10	6.1 / NA	???	???	3.9 Å Fab-RBD, PDB 6XDG
Class 4: Doe	es not overlap w	/ith ACE2 bindi	ng site, a	ccessibility of	f RBD epi	itope only in "up	o" conforma	ation	
CR3022	Yuan, et al.9	VH5-51 (8)	12	VK4-1 (3)	9	>10,000 / NA	???	???	3.1 Å Fab-RBD, PDB 6W41
COV1-16	Liu, et al. ⁶⁸	VH1-46 (1)	20	VK1-33 (3)	10	130 / NA	???	???	2.9 Å Fab-RBD
EY6A	Zhou, et al. ⁶⁹	VH3-30*18 (3)	14	VK1-39 (0)	10	70-20,000**/ NA	No	Yes	3.7 Å Fab-S, PDB 6ZDH
S304	Pinto, et al. ³⁴	VH3-13 (5)	14	VK1-39 (6)	10	>5.000 / NA			4.3 Å Fab-S. PDB 7JW0
S2A4	Piccoli, et al. ⁷⁰	VH3-7 (2)	?	VL2-23 (0)	?	3,500 / NA	No	Yes	3.3 Å Fab-S, PDB 7JVC

IGHV, immunoglobulin heavy chain variable gene segment; IGLV, immunoglobulin light chain variable gene segment. V gene segments, somatic hypermutation information, CDR lengths, IC₅₀ and IC₅₀ values for NAbs in this study are from ref. ³². The following references are cited in the table: refs. ^{6,81013,26-28,30,34,38,4167-69}.

^Average human antibody CDRH3 and CDRL3 lengths are 15 (CDRH3) and 9–10 (CDRL3) amino acids.

 $^{*}IC_{50}$ value calculated against authentic SARS-CoV-2 virus.

**IC $_{\rm 50}$ values varied depending on neutralization assay used.

⁺Unknown IC₉₀ values indicated as NA (not available).

\$Potential for intra-spike crosslinking by an IgG binding to a single spike trimer was evaluated as described in the Methods.

?Sequence information not available.

???Inference that cannot be made from a structure of a Fab bound to a RBD.

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Statistics

For	all st	atistical analyses, confirm that the following items are present in the figure legend, table legend, main text, or Methods section.
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\boxtimes		For null hypothesis testing, the test statistic (e.g. F, t, r) with confidence intervals, effect sizes, degrees of freedom and P value noted Give P values as exact values whenever suitable.
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\boxtimes		Estimates of effect sizes (e.g. Cohen's d, Pearson's r), indicating how they were calculated
		Our web collection on statistics for biologists contains articles on many of the points above.

Software and code

Policy information about availability of computer code

 Data collection
 SerialEM automated image acquisition software version 3.7; BLU-ICE X-ray data collection software at SSRL; Tecan Infinite M1000 plate reader software; Biacore T200 instrument software

 Data analysis
 GraphPad Prism 8.4.3 was used for polyreactivity data analysis; XDS (Build 20200417), Xia2 v0.3.8, DIALS v2.2, and the CCP4 v7.0.6 suite of crystallographic programs (AIMLESS v0.7.4, PHASER v2.8.2), Sculptor v2.0, Phenix v1.18, Coot v0.8.9, cryoSPARC v2.15, CTFFIND4 v4.1.14, PyMOL v2.2, Chimera v1.13, ChimeraX-v1.0, and Molprobity v4.4 were used for structural analysis; Kinetic constants were calculated using Biacore T200 Evaluation Software v3.2; PDBePISA v1.48 for BSA calculations;

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All manuscripts must include a <u>data availability statement</u>. This statement should provide the following information, where applicable:

- Accession codes, unique identifiers, or web links for publicly available datasets
- A list of figures that have associated raw data - A description of any restrictions on data availability

The atomic models generated from X-ray crystallographic studies of the C102-RBD complex, C102 Fab, C002 Fab, C110 Fab, C121 Fab, and C135 Fab have been deposited at the Protein Data Bank (PDB, http://www.rcsb.org/) under accession codes PDB 7K8M, 7K8N, 7K8O, 7K8P, 7K8Q, and 7K8R, respectively. The atomic models and cryo-EM maps generated from cryo-EM studies of the C002-S 2P (state 1), C002-S 2P (state 2), C104-S 2P, C110-S 2P, C119-S 2P, C121-S 2P (state 1), C121-S 2P (state 2), C135-S 2P and C144-S 6P complexes have been deposited at the PDB (http://www.rcsb.org/) and the Electron Microscopy Databank (EMDB,

http://www.emdataresource.org/) under the following accession codes: PDB 7K8S, 7K8T, 7K8U, 7K8V, 7K8W, 7K8X, 7K8Y, 7K8Z, and 7K90; EMD 22729, 22730, 22731, 22372, 22733, 22734, 22735, 22736, and 22737.

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Sample size	Not applicable to this study, because no sample size calculation was performed to design the study.	
Data exclusions	No data were excluded.	
Replication	All experiments were replicated successfully, n values can be found in figure legends.	
Randomization	Not applicable to this study, as we do not report experiments that use randomized data.	
Blinding	Not applicable to this study, as we do not report any experiments that applied blinding.	

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Materials & experimental systems

n/a	Involved in the study		
	Antibodies		
	Eukaryotic cell lines		
\boxtimes	Palaeontology and archaeology		
\boxtimes	Animals and other organisms		
\boxtimes	Human research participants		
\boxtimes	Clinical data		
\boxtimes	Dual use research of concern		

$ \land $	ChiP-seq
\times	Flow cytometry

n/a | Involved in the study

MRI-based neuroimaging

Antibodies

Antibodies used HRP-conjugated anti-human IgG secondary antibody (SouthernBiotech, Catalog 2040-04; Goat Anti-Human IgG-HRP; Lot B3919.NEB80B) used at a 1:5000 dilution. All other antibodies reported were expressed in this study.
Validation
Validation
Primary antibodies reported in this study were described previously in Robbiani et al. (doi:10.1038/s41586-020-2456-9). Target validation was done with multiple binding assays and structural studies using cryo-FM and X-ray crystallography. Control anti-HIV.

Primary antibodies reported in this study were described previously in Robbiani et al. (doi:10.1038/s41586-020-2456-9). Target validation was done with multiple binding assays and structural studies using cryo-EM and X-ray crystallography. Control anti-HIV-1 antibodies reported in this study have been previously described and validated in a polyreactivity assay (Schoofs, et al. doi:10.1016/ j.immuni.2019.04.014). Reactivity of the primary antibody listed above is based on the information on manufacturer's homepages.

Eukaryotic cell lines

Policy information about <u>cell lines</u>	
Cell line source(s)	Expi293F (ThermoFisher cat. A14527)
Authentication	Not authenticated after purchase.
Mycoplasma contamination	The cell lines were not contaminated by mycoplasma as determined by using the Lonza Mycoplasma Detection Kit.
Commonly misidentified lines (See <u>ICLAC</u> register)	No commonly misidentified cell lines were used.