

# Viral evasion of natural killer cells

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**Viruses have evolved mechanisms to avoid the host immune system, including means of escaping detection by both the innate and adaptive immune responses. Natural killer (NK) cells are a central component of the innate immune system and are crucial in defense against certain viruses. To attain a state of chronic infection, some successful viruses have developed specific mechanisms to evade detection by and activation of NK cells. These NK cell-specific evasion mechanisms fall into distinct mechanistic categories used in numerous virus families.**

NK cells are lymphocytes that do not undergo genetic recombination events to increase their affinity for particular ligands, and are thus considered part of the innate immune system. They are capable of mediating cytotoxic activity and of producing cytokines after ligation of a variety of germline-encoded receptors. NK cells mediate direct lysis of target cells by releasing cytotoxic granules containing perforin and granzymes, or by binding to apoptosis-inducing receptors on the target cell. They also secrete cytokines such as interferon- $\gamma$  (IFN- $\gamma$ ) and tumor necrosis factor- $\alpha$  (TNF- $\alpha$ ) during infection and inflammation. Several receptors that can activate NK cells have been identified, including the human natural cytotoxicity receptors NKp30, NKp44, NKp46<sup>1</sup> and Ly49D and Ly49H in the mouse<sup>2</sup>. Although the specificities of many NK cell-activating receptors are still unknown, some recognize viral products; these include influenza hemagglutinin, recognized by NKp46<sup>3</sup>, and murine cytomegalovirus (MCMV) m157, recognized by Ly49H<sup>4,5</sup>. Other well known molecules can also function as activation receptors in NK cells, including leukocyte function-associated antigen 1 (LFA-1)<sup>6</sup> and the CD2 family<sup>7</sup>. NK cell responses are also coordinated and modulated by cytokines, including IFN- $\alpha$ , IFN- $\beta$ , interleukin 2 (IL-2), IL-12, IL-15 and IL-18<sup>8</sup>.

Because of the possible consequences of NK cell activation, normal host cells must be able to readily and effectively inhibit NK cells. Various inhibitory receptors are consistently expressed by subsets of NK cells, including killer-cell immunoglobulin-like receptors (KIR), immunoglobulin-like inhibitory receptors (ILT) and the lectin-like heterodimer CD94-NKG2A<sup>1</sup>. These receptors bind to host MHC class I molecules and transmit inhibitory signals to the NK cell through intracellular tyrosine-based inhibitory motifs (ITIMs) contained in their cytoplasmic domains. Signaling *via* coreceptors with inhibitory potential, such as CD81, may also silence NK cells. Thus, NK cells can mediate powerful effector functions, but are effectively regulated by healthy host cells under normal circumstances.

NK cells are activated during a wide variety of viral infections by virus-induced type I IFNs<sup>8</sup>. Studies in animal models, however, have demonstrated that NK cells are required for clearance of only certain viruses, including herpesviruses. The importance of NK cell defense against these viruses is highlighted by the susceptibility of mice depleted of NK cells to experimental infection and by the invasive or disseminated viral disease that is associated with naturally occurring NK cell deficiencies in humans<sup>8–11</sup>. Many of these pathogens have effective means of avoiding the adaptive immune response. In eluding T cells, however, these viruses might have increased their susceptibility to NK cell-mediated defenses.

Members of the herpesvirus, papillomavirus, retrovirus, poxvirus and flavivirus families have developed mechanisms to evade the NK cell response. These fall into five categories (Fig. 1): expression of virally encoded MHC class I homologs; selective modulation of MHC class I protein expression by viral proteins; virus-mediated inhibition of activating receptor function; production of virally encoded cytokine-binding proteins or cytokine-receptor antagonists; and direct viral effects on NK cells. The putative purpose of these mechanisms is to block NK cell activity. Examples of each strategy (summarized in Table 1) are discussed below. Many other viruses probably use these strategies, and additional viral products may affect NK cell functions. This discussion is limited to viral infections and viral gene products known to affect the NK cell response.

## Inhibition of NK cells by viral homologs of MHC class I

Virus-encoded homologs of cellular MHC class I genes represent the earliest recognized viral mechanism for evading NK cells. Many viruses evade T cell recognition by down-regulating class I molecules on the surface of the host cell (discussed below). In theory this leaves infected cells susceptible to NK lysis owing to the reduced opportunity for class I molecules—human leukocyte antigen C (HLA-C) and HLA-E—to engage NK cell inhibitory receptors. The discovery of a class I homolog, *UL18*, in the genome of human cytomegalovirus (HCMV)<sup>12</sup> has led to speculation that these viral proteins might serve as NK cell decoys and ligate inhibitory receptors to block NK cell cytotoxicity in the absence of host class I molecules.

Early studies in which HCMV UL18 was expressed in the HLA-A, HLA-B and HLA-C-deficient B cell line 721.221 demonstrated a CD94-dependent inhibition of killing by various NK cell lines and clones<sup>13</sup>. Subsequently, a principal ligand of the CD94-NKG2A inhibitory receptor was found to be HLA-E, a class I protein that is expressed only after forming a complex with a peptide nonamer derived mainly from the signal peptides of some of the class I proteins<sup>14</sup>. Further efforts to identify a nonamer in the UL18 sequence that would form a complex with HLA-E have been unsuccessful<sup>15</sup>. Thus, the mechanism underlying the reported inhibition of lysis of the human B cell line transfected

with *UL18* remains unexplained. It was not due to inadvertent selection of HLA-E-expressing 721.221 cells because immunoprecipitation of class I molecules from the 721.221-UL18 transfectants yielded a heavily glycosylated  $\alpha$ -chain of the size of UL18 (~66 kD) and not HLA-E (44 kD)<sup>13</sup>. In a contrasting report, however, UL18-transfected fibroblasts showed increased susceptibility to lysis by NK cell lines, and fibroblasts infected with wild-type HCMV were lysed more efficiently than those infected with a UL18-deficient HCMV<sup>16</sup>. Alternatively, the inhibitory activity mediated by UL18 may be due to its binding of ILT-2 (LIR-1), an inhibitory receptor expressed by B cells, monocytes and a subset of NK cells<sup>17</sup>. Thus, the role of UL18 in evasion of NK cells is not completely understood, but it may be an important mechanism by which certain subpopulations of NK cells are inhibited.

Compelling data support the notion that the MCMV MHC class I homolog *m144* is involved in the inhibition of NK cells. An *m144* deletion strain of MCMV is less virulent than the wild-type virus, and this effect is reversed after *in vivo* depletion of NK cells<sup>18</sup>. Transfection of *m144* into the Raji cell line results in partial inhibition of antibody-dependent cellular cytotoxicity<sup>19</sup>. In addition, *m144*-transfected RMA-S lymphoma cells injected into mice are tumorigenic, whereas untransfected RMA-S tumors are rejected by NK cells<sup>20</sup>. Further investigation of *m144* will benefit from identification of the cognate inhibitory receptor. The structures of *m144* and UL18 are considerably different (for example, the latter binds peptides, the former does not), so that their receptors, mechanisms and functions may be distinct.

A newly described viral class I homolog, MCMV *m157*, has both activating and inhibitory effects upon NK cells, depending on the mouse strain from which the cells were derived. MCMV *m157* is a ligand for the NK-activating receptor Ly49H in MCMV-resistant C57BL/6 mice and is predicted to share sequence and structural similarity with other nonclassical MHC class I genes<sup>4,5</sup>. Because a virus-encoded ligand that activates NK cells seems teleologically unsound from the standpoint of the virus, it is notable that *m157* binds the putative inhibitory receptor Ly49I on a subset of NK cells in CMV-susceptible 129/J mice<sup>4</sup>. The significance of *m157*-induced activating and inhibitory functions is unknown, particularly in noninbred mice, but their existence suggests constant evolution in the host as well as the virus.

The dual specificity of MCMV *m157* for NK-activating and NK-inhibitory receptors could provide new insight about HCMV UL18. Like *m157*, UL18 might exert different effects upon NK cells by interacting with distinct receptors in different *in vitro* or *in vivo* systems. In addition, the example of *m157* is a useful reminder that viral homologs of MHC class I function in a complex, dynamic environment of viral and immune elements during *in vivo* infection.

Additional MHC class I homologs await characterization. Rat cytomegalovirus (RCMV) *r144* has not been assayed for direct effects upon NK cell cytotoxicity, but lower virus titers occur in the spleens of rats infected with an *r144*-deleted strain of RCMV than in those infected with wild-type RCMV<sup>21</sup>. This suggests that like other class I homologs, *r144* may be a ligand for an NK cell inhibitory receptor. *Molluscum contagiosum* virus (MCV) also encodes a class I homolog, MC080R, which is retained in the endoplasmic reticulum and Golgi of transfected cells<sup>22</sup>. Its effects on NK cell activity are presently unknown. Most promising is the recent identification of ten class I homologs in the MCMV genome, in addition to *m144* and *m157*<sup>5</sup>. These genes were not detected earlier because they have structural rather than sequence similarity to known class I molecules. Their discovery is suggestive of the possibility that additional, undetected class I homologs might exist in other viral genomes as well.

### Selective modulation of MHC class I allele expression

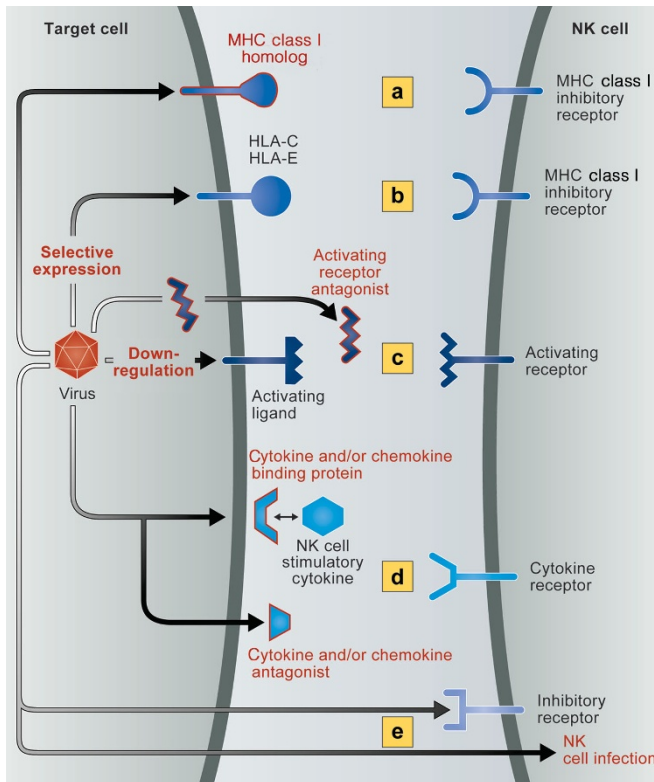
A common feature of many viral infections is the virus-induced modulation of class I expression. Viruses down-modulate class I molecules that are efficient at presenting viral peptides to CD8<sup>+</sup> cytotoxic T cells (CTLs), such as HLA-A and HLA-B, to evade CTL-mediated destruction. In contrast, either HLA-C and HLA-E, the dominant ligands for NK cell-inhibitory receptors, are spared from virus-induced clearance from the cell surface or their expression is specifically enhanced. The selectivity of viral proteins for certain class I molecules appears to be indicative of a compromise ensuring that class I expression is diminished only to an extent that will still allow efficient inhibition of NK cells. Such a strategy appears to allow viruses to walk a fine line between the adaptive and innate arms of the immune system. A large number of viral proteins participate in class I down-regulation<sup>23</sup>. Here, the discussion will be limited to viral down-modulation of class I molecules as it relates to NK cell responses.

Many viral proteins cause class I molecules to deviate from their normal progression from the endoplasmic reticulum to the cell surface. At least four HCMV proteins, US2, US3, US6 and US11, function in this manner. US2 and US11 show a certain degree of selectivity in their targeting of class I molecules<sup>24,25</sup>. In particular, two dominant inhibitory receptor ligands, HLA-C and HLA-E, are resistant to either US2- or US11-mediated degradation, suggesting that virus-infected cells evade NK cell activity by sparing the class I molecules least effective at presenting viral peptides to CTL but most effective at inhibiting NK cells<sup>26,27</sup>. In contrast, class I molecules are nonselectively down-modulated from the cell surface by US3 and US6, and these proteins can partially inhibit the cell surface expression of HLA-C and HLA-G<sup>28</sup>. It is not clear whether the extent of down-modulation mediated by US3 and US6 is sufficient to make infected cells susceptible to NK cell lysis. Notably, none of these US proteins that down-regulate HLA expression inhibit the expression of the viral encoded class I homolog UL18, further demonstrating a selective targeting for NK cell evasion<sup>29</sup>.

MCMV possesses three genes, *m04*, *m06* and *m152*, that selectively modulate class I expression; these genes are not homologous to the HCMV *US* genes and use slightly different modulation mechanisms. This indicates that HCMV and MCMV independently evolved systems to regulate class I expression. Notably, although the *m04*-class I protein complex inhibits CTLs, it is expressed on the cell surface where it could potentially inhibit NK cells<sup>30</sup>.

A second mechanism whereby certain viruses modulate class I expression is by accelerating the endocytosis of class I molecules from the cell surface. The *nef* gene, present in primate lentiviruses such as HIV-1, HIV-2 and SIV, encodes a 27-kD protein that is expressed early after infection and selectively down-modulates the expression of HLA-A and HLA-B, but not HLA-C or HLA-E<sup>31,32</sup>. Thus, HIV-infected target cells remain resistant to lysis by NK cells, and their resistance depends on the failure of Nef to down-modulate HLA-C and HLA-E from the cell surface. Nef acts by inducing the clathrin adaptor protein complex to recognize a tyrosine-based sorting motif in the cytoplasmic tail of HLA-A and HLA-B<sup>32</sup>. The resistance of HLA-C to Nef-induced down-regulation is due to locus-specific tyrosine-to-cysteine and aspartic-acid-to-asparagine substitutions in the cytoplasmic tail<sup>31,32</sup>. The SIV Nef protein also down-modulates monkey class I proteins *via* endocytosis but uses a COOH-terminal region of SIV Nef that is not present in HIV Nef, suggesting once again that viruses have repeatedly evolved multiple mechanisms to modulate class I expression<sup>33</sup>.

Acceleration of class I molecule endocytosis is also a feature of Kaposi's sarcoma-associated herpesvirus (KSHV). Two KSHV proteins, K3 and K5, induce the rapid endocytosis of class I proteins from



**Figure 1. Viral mechanisms for evading NK cells.** The strategies by which viruses evade NK cells fall into five categories and are depicted in the interaction between a virus infected target cell (left) and an NK cell (right). (a) NK cells can be inhibited by a viral MHC class I homolog with structural similarity to endogenous host class I that binds to inhibitory class I receptors on NK cells. (b) Viruses can inhibit expression of HLA-A and HLA-B, resulting in a relative increase in HLA-C and HLA-E on the surface of the target cell; these inhibit NK cells through the class I inhibitory receptors CD94-NKG2A and KIR, respectively. Alternatively, viral gene expression can result in selectively increased expression of HLA-E, which inhibits NK cells through CD94-NKG2A. (c) Virus-encoded proteins can function as cytokine binding proteins that block the action of NK cell activating cytokines. In addition, viruses can produce homologs, or increase host production of cytokines that inhibit NK cells. (d) NK cell activities can also be avoided by decreased expression of NK cell-activating ligands in virus-infected target cells, which prevent signal transduction via NK cell-activating receptors. To achieve the same end, viruses can encode antagonists of the activating receptor-ligand interaction. (e) Viruses can also directly inhibit NK cells by infecting them or using envelope proteins to ligate NK cell inhibitory receptors. Proteins outlined in red are virally encoded. Each mechanism corresponds to the similarly numbered section of the text where additional details and examples are provided.

the cell surface<sup>34,35</sup>. Whereas K3 down-modulates HLA-A, HLA-B, HLA-C and HLA-E, K5 is more selective and down-modulates only HLA-A and HLA-B<sup>36</sup>. Because K5 has other functions, it is difficult to evaluate the importance of HLA-C and HLA-E resistance to K5 endocytosis with regard to NK cells<sup>36</sup>.

In addition to their specific down-modulation of class I alleles, viruses also up-regulate certain class I alleles to evade NK cells. Previous work suggests that HCMV actively enhances the expression of HLA-E, the ligand for the inhibitory CD94-NKG2A receptor complex<sup>15,37</sup>. Cell surface expression of HLA-E requires binding of a nonamer peptide derived from the signal sequence of most HLA molecules<sup>38</sup>. The HCMV

UL40 protein possesses a nonamer peptide homologous to HLA signal sequences and thus can enhance cell surface expression of HLA-E<sup>15,37</sup>. HLA-E binds the UL40 peptide in a TAP-independent manner, presumably bypassing the inhibitory effects of the HCMV US6 protein. In HCMV-infected cells, UL40 is necessary to mediate resistance to NK cell lysis in a CD94- and MHC class I-dependent manner<sup>39</sup>.

Additional investigation is required to test the effects of virus-induced MHC modulation on NK cell resistance. One complicating feature is that the retention of HLA-C on the cell surface may actually result in lysis of the virus-infected cells by NK cells possessing the cognate activating KIR but no inhibitory KIR<sup>40,41</sup>. Similarly, because HLA-E also binds to the CD94-NKG2C-activating receptor<sup>14</sup>, UL40-induced up-regulation of HLA-E could potentially activate NK cells. Thus, it is likely that selective HLA regulation contributes to viral evasion of NK cells, but the relative importance of this mechanism is uncertain.

**Virus-mediated inhibition of activating receptor function**

In addition to receptors with potent inhibitory capabilities, NK cells have receptors whose ligation can induce cytotoxicity, proliferation and cytokine production<sup>1</sup>. Several such activating receptors have been characterized, and some recognize putative ligands that include specific viral products<sup>3-5</sup>. An effective viral evasion strategy, therefore, would be to interfere with the process of activating receptor ligation. Several means to this end have been identified.

The most commonly documented mechanism of interference with activating receptor function is virus-mediated down-regulation of activating receptor ligands in infected cells. For example, certain strains of HCMV increase the resistance of their infected host cells to NK cell cytotoxicity by down-regulating LFA-3<sup>42</sup>. This regulation, which is independent of the virus effects on class I molecules discussed previously, presumably interferes with the binding of LFA-3 to the NK cell-activating receptor, CD2. In addition, MCMV *m152* encodes gp40, a protein that presumably inhibits surface expression of the murine ligand for the NKG2D-activating receptor, H-60<sup>43</sup>. Cells infected with *m152*-deleted MCMV have greater expression of H-60 and are more readily lysed by NK cells. An additional example of host-cell ligand regulation as an evasion strategy is found in KSHV. Transfection of target cells with the *K5* gene of KSHV results in decreased expression of ICAM-1 and B7-2, both of which can serve as ligands for NK cell-activating receptors<sup>36,44</sup>. The *K5*-mediated reduction in the surface expression of these ligands results in target-cell escape from NK cell cytotoxicity in certain *in vitro* NK cell systems<sup>36</sup>, but not in others<sup>44</sup>. *K5* is an E2 ubiquitin ligase and thus probably directs the ubiquitination of the NK cell-activating receptor ligands it targets, ultimately resulting in their degradation<sup>34</sup>. As a group, the host-cell molecules targeted by viruses have functions other than as NK cell-activating receptor ligands and thus these examples, although relevant, are not entirely specific to NK cells. The discovery of ligands for activating receptors specific to NK cells will probably lead to fruitful studies of viral regulation of their expression.

A second way that viruses may interfere with activating receptor function is by virus-induced modification of the ligand on target-cell surfaces. Infection of certain target cells by HIV, human T cell lymphotropic virus I (HTLV-I) or HTLV-II can result in resistance to NK cell lysis associated with sialylation of surface molecules<sup>45</sup>. Although target-cell binding is not impaired by infection, chemical removal of sialic acid restores susceptibility to NK cell cytotoxicity. These receptor modifications have not been specifically seen on known NK cell ligands, but it can be reasoned that activating receptor ligands would be the most likely targets of virus-induced sialylation. It is to be hoped that

Table 1. Viral mechanisms of evading NK cell responses

Virus	Viral protein	Mechanism of action	Effects on NK cells
<b>Homologs of MHC class I</b>			
HCMV	UL18	Binding to ILT-2	Inhibits cytotoxicity in certain NK cells <sup>12,13,16,17</sup>
MCMV	m144	Receptor unknown	Inhibits NK cell cytotoxicity and antibody-dependent cell cytotoxicity <sup>18–20</sup>
MCMV	m157	Binding to Ly49-I	Inhibits NK cell cytotoxicity in susceptible mice <sup>2,4</sup>
RCMV	r144	Receptor unknown	Unknown <sup>21</sup>
MCV	MC080R	(putative class I homolog) Receptor unknown	Unknown <sup>22</sup>
<b>Selective regulation of MHC class I expression</b>			
HCMV	US2, I1	Cytosolic degradation of class I, except HLA-C, HLA-E or both	Thought to inhibit NK cell functions <sup>24–27</sup>
HCMV	US2, 3, 6, I1	Degradation or intracellular retention of class I but not UL-18	Inhibits NK cell cytotoxicity in certain NK cells <sup>29</sup>
HCMV	UL40	Enhanced surface expression of HLA-E	Inhibits NK cell cytotoxicity <sup>15,35,39</sup>
MCMV	m04	Complex formation with class I intracellularly and on the cell surface	Thought to inhibit NK cell functions <sup>30</sup>
HIV	Nef	Endocytosis of class I, except HLA-C and HLA-E	Inhibits NK cell cytotoxicity <sup>31,32</sup>
SIV	Nef	Endocytosis of class I, except HLA-C and HLA-E	Thought to inhibit NK cell cytotoxicity <sup>32,33</sup>
KSHV	K5	Endocytosis of HLA-A and HLA-B	Thought to inhibit NK cell cytotoxicity <sup>34,35</sup>
<b>Interference with activation receptor and cognate ligand interactions</b>			
HCMV	Unknown	Decreased surface expression of LFA-3 (CD2 ligand)	Thought to inhibit NK cell functions <sup>42</sup>
HCMV	UL16	Blockage of interaction of NKG2D-DAP10 and ULBP	Inhibits NK cell cytotoxicity and IFN- $\gamma$ production <sup>46–48</sup>
MCMV	gp40 (m152)	Decreased surface expression of H-60 (NKG2D ligand)	Inhibits NK cell cytotoxicity <sup>43</sup>
KSHV	K5	Ubiquitination and decreased surface expression of ICAM-1 and B7-2	Inhibits NK cell cytotoxicity <sup>34,36,44</sup>
HIV, HTLV	Unknown	Sialylation of cell surface receptors in infected cell	Inhibits NK cell cytotoxicity <sup>45</sup>
HIV	Tat	Inhibition of LFA-1-mediated Ca <sup>2+</sup> influx through binding of L-type Ca <sup>2+</sup> channel	Inhibits NK cell cytotoxicity and cytokine production <sup>49,50</sup>
<b>Modulation of cytokine pathways relevant to NK cells</b>			
MCMV	MCK-2	Putative chemokine homolog	Inhibits NK-mediated virus clearance <sup>53,54</sup>
KSHV	vMIP-I, vMIP-II	Chemokine antagonist	Thought to interfere with NK trafficking <sup>55,56</sup>
HCMV	cmvIL-10 (UL111A)	Agonistic viral IL-10 homolog	Thought to be similar to that of hIL-10 <sup>58</sup>
EBV	BCRF1	Agonistic viral IL-10 homolog	Thought to be similar to that of hIL-10 <sup>59</sup>
EV	p13	IL-18 binding protein	Inhibits NK cell cytotoxicity and IFN- $\gamma$ production <sup>60</sup>
MCV	MC54L	IL-18 binding protein	Thought to be similar to that of EV p13 <sup>63</sup>
HPV	E6, E7	Antagonistic binding to IL-18R $\alpha$ and IL-18 binding protein activity	Inhibits NK cell IFN- $\gamma$ production <sup>64,65</sup>
$\gamma$ -herpesvirus-68	hvCKBP	Chemokine binding protein	Thought to interfere with NK trafficking <sup>66</sup>
Vaccinia	vCKBP	Chemokine binding protein	Thought to interfere with NK trafficking <sup>67</sup>
<b>Direct virus effects</b>			
HIV	Unknown	Direct infection of NK cells	Reduces viability of NK cells <sup>68</sup>
HSV	Unknown	Direct infection of NK cells	Inhibits NK cell cytotoxicity <sup>69</sup>
HCV	E2	Binding to CD81	Inhibits NK cell cytotoxicity and IFN- $\gamma$ production <sup>71,72</sup>

follow-up studies will reveal the viral proteins responsible for, and specific cellular targets of, this evasion strategy.

A third mechanism for avoiding NK cell activation is antagonism of the activating receptor and ligand interaction. An example is the interaction of an HCMV encoded protein with the NKG2D receptor. NKG2D is an activating receptor expressed on NK cells and subsets of T cells that binds to the UL16-binding protein (ULBP) family of glycosylphosphatidylinositol-linked receptors and MHC class I-related

molecules (MIC) in humans, as well as the retinoic acid-inducible early gene 1 (Rae-1) protein family and H-60 minor histocompatibility antigen in mice<sup>46</sup>. Ligation of NKG2D results in signal transduction via DAP10, leading to NK cell cytotoxicity<sup>46</sup>. HCMV encodes UL16, which in a soluble form is capable of binding ULBP, thus blocking the interaction between the NKG2D activating receptor complex and its cognate activating ligand<sup>47,48</sup>. These data suggest a mechanism by which UL16 might inhibit NK cell function *in vivo*.



A final means by which viruses interfere with activating receptor function is through the inhibition of signaling induced by activating-receptor ligation. Although these mechanisms can potentially inhibit many cells, at least one viral protein appears to have some specificity for NK cell activation. HIV-1 Tat can block the NK cell activation and function induced by ligation of LFA-1 on the NK cell surface<sup>49,50</sup>. Tat does not affect NK cell adhesion to the target cell, but specifically binds to an L-type calcium channel on NK cells<sup>49</sup>. Its binding blocks calcium influx and subsequent induction of the calcium-calmodulin kinase II in NK cells, which is required for cytotoxicity<sup>50</sup>. The search for viral products that specifically block signaling for NK cell cytotoxicity will certainly become more productive as the appreciation of these pathways in NK cells grows.

### Evasion by modulation of cytokines or chemokines

Viruses may subvert NK cell responses through virus-encoded proteins that counteract or modulate the interactions between cytokine or chemokine molecules and their cognate receptors. Numerous poxviruses and herpesviruses encode homologs to known cytokines and chemokines with agonistic or antagonistic function, or secreted proteins or receptors that bind with high affinity to cytokines and chemokines<sup>51</sup>. Although several examples of this sort of molecular mimicry have been described for different viruses, direct evidence for the involvement of NK cells in this strategy of immune escape *in vivo* is scarce. Interference with anti-viral NK cell function could involve inhibition or antagonism of cytokines such as IL-12, IL-18, TNF- $\alpha$ , IL-1 $\alpha$ , IL-1 $\beta$  and IL-15, which participate in inducing NK cell IFN- $\gamma$  production and cytotoxicity<sup>8</sup>. Alternatively, viruses could facilitate overproduction or encode homologs of other cytokines (such as IL-10<sup>52</sup>) that have an inhibitory effect upon NK cells. Among the chemokines, targets for viral modulation could involve those that directly affect NK cell chemotaxis, including MIP-1 $\alpha$  (CCL3), MIP-1 $\beta$  (CCL4), MCP-1 (CCL2), MCP-2 (CCL8), MCP-3 (CCL7) and RANTES (CCL5)<sup>8</sup>, or other chemokines and chemokine receptors involved in recruitment of leukocyte subsets that influence NK cell function.

Several virus-produced chemokine homologs have been described that might interfere with NK cell-mediated defense. The putative CC-chemokine homolog m131-m129 (or MCK-2) of MCMV is directly linked to NK cell evasion<sup>53,54</sup>. Infection of mice with an *m131-m129*-deleted mutant virus results in decreased viral burden in the spleen and liver. The increased clearance of this mutant virus is negated by NK cell depletion, suggesting that m131-m129 inhibits NK cell-mediated viral clearance. The exact mechanism underlying this function of m131-m129 is unclear. Another chemokine homolog with possible relevance to NK cells is the broad-spectrum CC, CXC and CX3C chemokine antagonist vMIP-II of KHSV, which could block chemotactic responses of monocytes to RANTES, MIP-1 $\alpha$  and MIP-1 $\beta$ <sup>55</sup>. In addition, the CCR8 antagonist vMIP-I binds to chemokine receptors on NK cells<sup>56</sup>. Similarly, MCV also encodes a homolog, MC148, that is a narrow-spectrum antagonist of MCP-1<sup>57</sup>. Each of these chemokine antagonists could potentially interfere with NK cell responses. In contrast, virus-encoded homologs of the cytokine IL-10, which function as agonists, have been cloned from HCMV and Epstein-Barr virus (EBV)<sup>58,59</sup>. These viral proteins may impair NK cell activation by inhibiting production of type 1 cytokines as well as by directly acting upon NK cells.

Specific interference with NK cell activation can also be mediated by cytokine-binding protein homologs. A principal target is IL-18, which is central to NK cell production of IFN- $\gamma$ . Ectromelia poxvirus (EV) encodes a cytokine-binding protein with NK cell-specific effects<sup>60</sup>. The

EV p13 protein is homologous to mammalian IL-18BP, binds murine IL-18 and inhibits IL-18 receptor binding and activity. Mice infected with a *p13*-deleted mutant virus have markedly greater NK cell cytotoxicity than those infected with wild-type EV, as a result of increased NK cell activation. Compared to mice infected with *p13*-deleted virus, mice infected with wild-type EV have lower IFN- $\gamma$  production and higher IL-10 production, further highlighting the importance of this viral protein in NK cell evasion<sup>60</sup>. MCV also encodes several gene products that likely modulate host immunity<sup>61,62</sup>, including a functional IL-18BP homolog, MC54L<sup>63</sup>. The evolution of these homologs points to the importance of the NK cell IFN- $\gamma$  pathway in the elimination of virus-infected cells, and shows the importance of IL-18 function.

Binding and sequestration of IL-18 from its cognate receptor has also been suggested to be involved in human papillomavirus (HPV)-related pathogenesis<sup>64,65</sup>. The oncoproteins HPV16 E6 and E7 inhibit IL-18-induced IFN- $\gamma$  production in PHA-stimulated PBMC and in an IL-12-stimulated immortalized NK cell line by specific and competitive binding to IL-18<sup>65</sup> or IL-18R $\alpha$ <sup>64</sup>.

Other proteins without homology to known cytokine or chemokine receptors or binding proteins that can act as cytokine or chemokine antagonists include murine  $\gamma$ -herpesvirus 68 M3, which encodes a secreted 44-kD broad-spectrum chemokine binding protein (hvCKBP), and a 35-kD soluble CC-chemokine-specific binding protein (vCKBP) encoded by vaccinia virus. Both proteins block the activity of several chemokines, including the NK cell chemoattractants MIP-1 $\alpha$ <sup>66,67</sup>, MCP-1 and RANTES<sup>67</sup>, and thus function as inhibitors with potential NK cell specificity.

### Direct viral effects on NK cells

Viruses can exert direct effects on NK cells. In particular, viruses can infect and inhibit or destroy NK cells, or cause inhibition through presumably direct contact with NK cells. These mechanisms should be particularly advantageous to viruses that attain chronically high systemic or organ-specific titers.

Both HIV and HSV infect NK cells *in vitro*. HIV can infect cultured NK cells, and is found *ex vivo* in NK cells from HIV-seropositive individuals<sup>68,69</sup>. Although *in vitro* infection of NK cells with HIV does not affect the overall lytic activity of NK cell cultures, it does greatly reduce viability<sup>68</sup>. Thus, HIV may evade NK cell responses to some degree by direct infection and induction of cytopathic effects. In contrast, HSV can spread from infected fibroblasts to cultured NK cells, and thus inhibits NK cell cytotoxicity<sup>69</sup>. This direct infection of NK cells is unusual and suggests that HSV-mediated inhibition of these cells may fall into the category of direct viral effects, although the mechanism is unknown. It will be useful to ascertain whether HSV gene products can specifically interfere with intracellular NK cell signals leading to activation in infected NK cells.

Interactions between virus particles and NK cells that do not result in infection may exert other direct effects upon NK cells. One viral envelope protein has recently been implicated in inhibition of NK cells. E2, the major envelope protein of hepatitis C virus (HCV), binds to CD81 (TAPA-1), which induces a costimulatory signal in T cells<sup>70</sup>. In NK cells, however, ligation of CD81 by immobilized E2 or anti-CD81 inhibits NK cell cytotoxicity, IL-2-induced proliferation and IL-2-, IL-12- or IL-15-induced IFN- $\gamma$  production<sup>71,72</sup>. In addition, CD81 ligation specifically inhibits ERK and MAPK phosphorylation induced by CD16-mediated activation of NK cells<sup>71</sup>. These effects of E2 binding to CD81 are specific to NK cells, as opposite activities are induced in T cells. Thus, E2 can mediate specific inhibition of NK cells *via* an inhibitory receptor, and therefore direct viral binding to NK cells may

quell NK cell responses. This mechanism may be particularly useful to HCV during viremia and in the liver, where viral titers are high and NK cell defense is important.

## Conclusion

Viruses have evolved numerous mechanisms to evade immune responses. Although many are used to avoid multiple immunologic effector cells, several are specifically directed at NK cells. Viral evasion strategies targeting NK cells can be divided into five mechanistic categories: ligation of inhibitory class I receptors on NK cells by virus-encoded MHC class I homologs; virus-induced regulation of class I protein expression resulting in selective expression or up-regulation of class I molecules that can bind NK cell class I inhibitory receptors; interference with NK cell-activating receptor function caused by virus-mediated inhibition of the expression of the corresponding ligand, of their signaling in infected host cells or of the production of virus encoded antagonists of NK cell-activating receptors; inhibition of NK cell activation, trafficking, or both, resulting from viral modulation of cytokine-chemokine networks or virus-encoded cytokine or chemokine homologs that prevent NK cell function; and direct inhibitory effects of viruses on NK cells, including infection of NK cells and ligation of non-class I NK cell inhibitory receptors by viral envelope proteins. These multiple mechanisms highlight the importance of NK cells in defense against viral infections. In particular, certain viruses, including herpesviruses, appear to be especially adept in their avoidance of NK cells, consistent with the crucial role of NK cells in the control of these viruses. Further study of viral evasion mechanisms will provide useful models to elucidate NK cell biology as well as to provide therapeutic targets to enhance host advantage during infection.

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