

Close association between HER-2 amplification and overexpression in human tumors of non-breast origin

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The relationship between HER-2 overexpression and gene amplification is well evaluated in breast cancers but remains unclear or controversial in many other tumor entities. Therefore, we tested the HER-2 status in more than 120 different tumor entities. 5751 tumor samples were analyzed on TMAs by immunohistochemistry (Hercept-Test, DAKO) and fluorescence *in situ* hybridization (PathVysion, Abbott-Vysis) under highly standardized conditions. HER-2 overexpression (score 2/3+) and amplification occurred most often in breast cancers but was also seen in 18 other tumor entities including cancers of the urinary bladder (amplification in 14.3%, overexpression in 6.7%), stomach (8.3/4.9%), endometrium (6.6/6.8%), lung (2.8/3.1%) and ovary (2.3/1.2%). Remarkably, a strong association between overexpression and amplification was seen in all examined cancer entities. Trastuzumab therapy is highly efficient in HER-2 amplified breast cancer both in metastatic disease and as an adjuvant therapy. A variety of other tumor entities including frequent neoplasms and cancers with often limited therapeutic options have similar patterns of HER-2 alterations as observed in breast cancer (ie high overexpression due to high level gene amplification). Such tumor entities should be carefully evaluated for a possible utility of trastuzumab treatment.

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The proto-oncogene *HER-2* is involved in the development of numerous types of human cancer and has been intensely evaluated as therapeutic target.^{1–3} *HER-2* gene amplification and protein overexpression occurs in about 20% of breast cancers⁴ and is linked to poor prognosis in these tumors.⁵ More importantly, *HER-2* is the target of an antibody based therapy (trastuzumab) which is routinely used in metastatic *HER-2* positive breast cancer.^{6–8} More recently, adjuvant trastuzumab application was shown to be dramatically effective in *HER-2* positive breast cancer patients, too.⁹

The potential benefit of trastuzumab in other tumor entities is largely unknown. *HER-2* positivity

has been described in most human tumor types but with a highly variable frequency. This especially applies for immunohistochemistry (IHC) analyses where the use of different reagents and definitions resulted in an extremely wide range of *HER-2* positivity. For example, *HER-2* overexpression was shown in 5.7–88.8% of non-small-cell lung cancers^{10,11} and 3.0–54% of colon cancers.^{12,13} To a smaller extent, this variability is also observed in amplification analysis. Different methods for analysis (Southern blot or fluorescent *in situ* hybridization (FISH)) and definitions of amplification have resulted in variable frequencies of amplification reported in the literature such as 0–66% in ovarian cancer^{14,15} or 6–56.2% in breast cancer.^{15,16}

In this study, *HER-2* overexpression and *HER-2* amplification were analyzed in more than 3000 tumors from >120 different tumor categories using FDA (US Food and Drug Administration) approved methods for immunohistochemistry (HerceptTest, DAKO) and fluorescent *in situ* hybridization (PathVysion, Abbott-Vysis). In order to obtain most comparable data, tissue microarrays (TMA) were

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utilized.¹⁷ In this method, thousands of tissues can be analyzed on a few slides on one day in one set of reagents thus allowing maximal standardization of analysis. This study design allowed comparison of *HER-2* gene amplification and HER-2 protein overexpression in many different human tumors. The fraction of highly amplified cases among HER-2 overexpressing cancers is of particular interest as breast cancer studies suggested that amplified cancers might benefit most from trastuzumab therapy.¹⁸

Materials and methods

Tissue Microarrays

Two sets of pre-existing tissue microarrays (TMAs) were used for this study. The first set consisted of 2197 breast cancers,¹⁹ the second included 1–50 samples of more than 125 different tumor types and subtypes (total: 3554 tumors). The exact composition of these TMAs is described in the results section (Tables 1 and 2). All tissues were formalin-

Table 1 Type and number of tumors analyzed on TMA

Tumor type	IHC				FISH		IHC FISH	IHC/FISH % amplified			
	n	1+(%)	2+(%)	3+(%)	n	% amplified		0	1+	2+	3+
<i>Breast</i>											
Breast, ductal carcinoma	1466	8.5	3.3	12.3	1146	20.8	1124	4.1	12.0	41.7	84.0
Breast, lobular carcinoma	291	3.8	2.4	3.1	243	6.2	216	2.3	9.0	28.6	66.7
Breast, medullary carcinoma	80	6.3	0.0	8.8	78	11.5	69	4.4	X	X	85.7
Breast, mucinous carcinoma	80	10.0	3.8	5.0	66	7.6	61	X	X	33.3	100.0
Breast, apocrine carcinoma	18	5.6	0.0	38.9	15	40.0	15	X	X	X	85.7
Breast, cribriform carcinoma	62	11.3	4.8	3.2	49	10.2	48	4.0	14.3	X	100.0
Breast, papillary carcinoma	27	7.4	3.7	7.4	23	13.0	21	4.5	X	X	100.0
Breast, tubular carcinoma	65	4.6	1.5	0.0	47	4.3	54	1.6	33.3	X	X
Breast, other carcinomas	25	4.0	4.0	16.0	21	9.5	20	X	X	X	50.0
<i>Lung</i>											
Lung, squamous cell carcinoma	44	0.0	0.0	2.3	43	2.3	39	X	X	X	100.0
Lung, adenocarcinoma	42	0.0	0.0	4.8	25	0.0	21	X	X	X	X
Lung, large cell cancer	42	2.4	0.0	2.4	40	5.0	34	X	100.0	X	100.0
<i>Gastrointestinal tract</i>											
Esophagus, adenocarcinoma	7	0.0	0.0	0.0	5	20.0	5	20.0	X	X	X
Esophagus, squamous cell carcinoma	28	3.6	0.0	0.0	25	4.0	21	5.3	0.0	X	X
Stomach, diffuse adenocarcinoma	22	0.0	0.0	4.5	8	12.5	7	X	X	X	100.0
Stomach, intestinal adenocarcinoma	39	5.1	2.6	2.6	28	7.1	23	X	50.0	X	100.0
Colon, adenocarcinoma	41	2.4	0.0	0.0	29	0.0	25	X	0.0	X	X
Gall bladder, adenocarcinoma	21	4.8	0.0	0.0	17	5.9	13	X	100.0	X	X
Pancreas, adenocarcinoma	41	4.9	0.0	0.0	29	6.9	23	4.5	100.0	X	X
<i>Urinary tract</i>											
Urinary bladder cancer, TCC non-invasive (pTa)	22	27.3	4.5	4.5	36	2.8	17	X	0.0	0.0	100.0
Urinary bladder cancer, TCC invasive (pT2-4)	24	0.0	8.3	0.0	34	14.7	14	X	X	50.0	X
Urinary bladder, sarcomatoid cancer	6	16.7	0.0	0.0	8	12.5	6	X	100.0	X	X
<i>Male genital tract</i>											
Prostate cancer, hormone-refractory	33	3.0	3.0	0.0	35	0.0	24	X	X	X	X
<i>Female genital tract</i>											
Ovary, serous cancer	42	2.4	2.4	0.0	40	2.5	33	X	X	100.0	X
Ovary, endometrioid cancer	41	0.0	0.0	0.0	46	2.2	39	2.6	X	X	X
Vulva, squamous cell cancer	32	3.1	0.0	0.0	33	6.1	22	X	100.0	X	X
Endometrium, endometrioid carcinoma	41	0.0	2.4	2.4	44	6.8	36	2.9	X	100.0	100.0
Endometrium, serous carcinoma	18	5.6	0.0	11.1	17	5.9	12	X	0.0	X	100.0
<i>Various tumors</i>											
Pheochromocytoma	25	4.0	0.0	0.0	9	0.0	8	X	0.0	X	X
Glioblastoma multiforme	28	0.0	0.0	0.0	39	2.6	23	X	X	X	X
Fibrosarcoma	7	0.0	0.0	0.0	5	20.0	4	25.0	X	X	X
Skin, benign appendix tumor	22	4.5	0.0	0.0	25	0.0	18	X	X	X	X
PNET	14	0.0	0.0	0.0	14	7.1	14	7.1	X	X	X

The percentage of tumors of each category showing immunohistochemical HER2 expression and/or HER2 amplification is displayed in the two columns on the left. The association between HER2 expression and amplification of the tumor samples with informative results for both IHC and FISH is shown in the right column. The numbers indicate the percentage of amplified tumors with a HER2 expression of 0, 1+, 2+, and 3+, respectively.

Table 2 HER2 negative tumor types by both immunohistochemistry and FISH analysis

<i>Tumor types</i>	<i>n = IHC</i>	<i>n = FISH</i>	<i>Tumor types</i>	<i>n = IHC</i>	<i>n = FISH</i>
<i>Skin</i>					
Basal cell carcinoma	34	39	Testis, mixed cancer	2	2
Squamous cell carcinoma	27	42	Testis, teratoma	4	6
Merkel cell cancer	3	6	Penis, squamous cell carcinoma	33	20
Malignant melanoma	40	36	<i>Endocrine system</i>		
Benign nevus	20	41	Adrenal gland, adenoma	13	10
<i>Respiratory tract</i>					
Pharynx, lymphoepithelial carcinoma	3	2	Adrenal gland, cancer	6	4
Oral cavity, squamous cell carcinoma	40	40	Paraganglioma	6	8
Larynx, squamous cell carcinoma	31	30	Thyroid, adenoma	36	38
Lung, squamous cell carcinoma	44	43	Thyroid, follicular carcinoma	45	33
Lung, adenocarcinoma	42	25	Thyroid, papillary carcinoma	32	27
Lung, small cell carcinoma	39	27	Thyroid, anaplastic carcinoma	5	5
Malignant mesothelioma	11	13	Thyroid, medullary carcinoma	6	6
<i>Breast</i>					
Breast. Phylloides tumor	11	11	Parathyroid, adenoma	14	17
<i>Female genital tract</i>					
Ovary, mucinous carcinoma	10	10	Parathyroid, cancer	0	1
Ovary, dysgerminoma	2	2	Carcinoid tumor	38	32
Ovary, gonadoblastoma	0	1	<i>Hematopoietic system</i>		
Ovary, yolk sack tumor	1	1	NHL, diffuse large B	15	16
Ovary, undifferentiated carcinoma	1	1	NHL, others	11	28
Ovary, Brenner tumor	8	5	MALT lymphoma	21	18
Vagina, squamous cell carcinoma	2	4	Hodgkin lymphoma, mixed cell	14	15
Uterus, cervix, CIN III	10	10	Hodgkin lymphoma, nodular sclerosis	28	29
Uterus, cervix, squamous cell carcinoma	20	15	AML	1	0
Uterus, cervix, adenocarcinoma	1	3	CML	4	4
Uterus, carcinosarcoma	6	3	Thymoma	18	15
Endometrial stroma sarcoma	3	3	<i>Central nervous system</i>		
<i>Digestive tract</i>					
Salivary gland, adenolymphoma	28	14	Meningeoma	34	38
Salivary gland, pleomorphic adenoma	41	26	Craniopharyngeoma	2	4
Salivary gland, cylindroma	40	41	Ependymoma	3	3
Salivary gland. Ewing sarcoma	0	1	Astrocytoma	28	38
Salivary gland, small cell carcinoma	2	1	Oligodendroglioma	13	16
Salivary gland, squamous cell carcinoma	2	1	Medulloblastoma	3	4
Salivary gland, unclassified carcinoma	1	1	Esthesioneuroblastoma	2	2
Salivary gland, undifferentiated carcinoma	3	4	<i>Soft tissue</i>		
Salivary gland, mucoepidermoid carcinoma	3	3	Lipoma	16	0
Salivary gland, adenocarcinoma	1	3	Liposarcoma	21	18
Salivary gland, acinus cell carcinoma	2	4	Rhabdomyosarcoma	8	11
Esophagus, small cell carcinoma	1	1	Tendon sheet, giant cell tumor	20	17
Small intestine, adenocarcinoma	8	6	Synovial sarcoma	2	4
Colon adenoma, mild dysplasia	28	34	Alveolar Sarcoma	1	0
Colon adenoma, mild dysplasia	33	33	Epitheloid hemangioma	0	1
Colon adenoma, severe dysplasia	28	27	Epitheloid sarcoma	2	1
Anus, squamous cell carcinoma	3	3	Hemangiopericytoma	4	8
GIST	26	22	Glomus tumor	4	6
Hepatocellular carcinoma	30	40	Kapillary hemangioma	12	25
<i>Urinary tract</i>					
Kidney, clear cell carcinoma	48	22	Kaposi's Sarcoma	15	11
Kidney, papillary carcinoma	39	34	Angiosarcoma	3	1
Kidney, chromophobic carcinoma	13	15	Neurofibroma	20	24
Kidney, oncocytoma	7	3	Ganglioneuroma	2	7
Urinary bladder, squamous cell carcinoma	5	8	Granular cell tumor	4	5
Urinary bladder, small cell carcinoma	1	5	Schwannoma	31	40
Urinary bladder, adenocarcinoma	0	4	Malignant Schwannoma	7	8
Urinary bladder, inverted papilloma	1	1	Adenomatoid tumor	9	8
<i>Male genital tract</i>					
Prostate cancer, untreated	45	41	Angiomyolipoma	1	1
Testis, seminoma	47	46	Opticus Glioma	1	1
Testis, non-seminomatous cancer	46	40	Benign histiocytoma	16	24
			Dermatofibroma protuberans	1	4
			Malignant fibrous histiocytoma	21	25
			Leiomyoma	40	37
			Leiomyosarcoma	34	34

fixed and paraffin-embedded. TMAs were constructed as previously described.¹⁷ In brief, tissue cylinders were punched from representative tumor areas of the donor paraffin block. Consecutively, the tissue sample was placed in the recipient paraffin block using a home made semiautomatic precision instrument. One TMA contained up to a maximum of 612 tumors tissue spots with a diameter of 0.6 mm each. Four micrometers TMA sections were prepared using an adhesive coated slide system (Instrumedics).

Immunohistochemistry

The HercepTest (DAKO, Glostrup, Denmark) was used according to the protocol of the manufacturer. Antigen retrieval of the deparaffinized tissue sections was performed in a waterbath at 95–99°C for 50 min followed by peroxidase blocking and incubation with the pre-diluted primary antibody. Cell line test slides provided by the manufacturer were used as positive and negative controls. Immunostaining was scored by one pathologist (CT) according to the manufacturer's directions.

Fluorescent *In Situ* Hybridization

For proteolytic slide pre-treatment a commercial kit was utilized (Paraffin pre-treatment reagent kit, Vysis, Downers Grove, IL, USA). Spectrum-Orange-labelled HER-2 probes were used together with Spectrum-Green-labelled centromere 17 reference probes (PathVysion™ Vysis). Before hybridization, sections were deparaffinized, air dried, dehydrated and then denatured for 5 min at 74°C in 70% formamide-2 × SSC solution. After overnight hybridization at 37°C in a humid chamber, slides were washed and counterstained with 0.2 μM DAPI in an antifade solution. A tumor was considered amplified if the estimated ratio of HER-2/centromere 17 was ≥2.0.

Results

Immunohistochemistry

IHC was interpretable in 4467 of 5751 tumor spots (77.7%). Reasons for non-informative results were either missing tissue spots or absence of tumor tissue. HER-2 overexpression was most frequently seen in breast cancers, where a 3+ result was seen in 216 (10.2%) and 2+ positivity in 64 (3.0%) of 2114 tumors. HER-2 positivity (2/3+) was also observed in 11 other tumor types and subtypes (Table 1). Among these tumors, positive cases were particularly frequent in endometrium (6.8%), stomach (4.9%) and invasive urothelial cancers (6.7%). IHC results were negative (0/1+) in 120 other tumor categories (Tables 1 and 2).

Fluorescence *In Situ* Hybridization

3984 of 5751 (69.3%). tumor spots could be analyzed by FISH. Reasons to exclude cases were either missing tissue spots or absence of tumor tissue as in IHC. In addition, there was a fraction of tissue spots with insufficient hybridization signals. As observed for IHC, the highest frequency of amplification was seen in breast cancers (16.9%). Amplification was observed in 18 additional tumor categories (Table 1). Among these, amplifications were most prevalent in invasive bladder cancer (14.3%), stomach cancer (8.3%), esophagus cancer (6.7%), pancreatic cancer (6.9%) and endometrial cancer (6.6%).

IHC and FISH

Both FISH and IHC were interpretable on the same tissue spot in 3211 of 5751 tumor samples (55.8%). There was a strong association between IHC positive cases and *HER-2* amplification in breast cancers and an even better association in non-breast cancers (Figure 1). In tumors with an IHC score of 2+ a concomitant *HER-2* amplification was observed in 60% non-breast cancers and 48.9% breast cancers. Non-breast cancers with a score of 3+ were amplified in 100% of the cases while breast cancers were amplified in 91.4% only (Figure 1). In non-breast cancers and breast cancers without expression of *HER-2* (score 0), *HER-2* amplification was found in only 1.6% and in 4.7%, respectively.

Absence of overexpression (score 0) despite of amplification was seen in six non-breast cancer specimens. Two of them revealed a borderline FISH result (ratio ≤3.0; maximal *HER-2* gene copy number 10) which may have resulted in a low level of expression not detectable by IHC.²⁰ Four cases with a high level (>3.0) *HER-2* amplification but negative IHC result (score 0) are most likely explained by false negative IHC. Examples of tumors

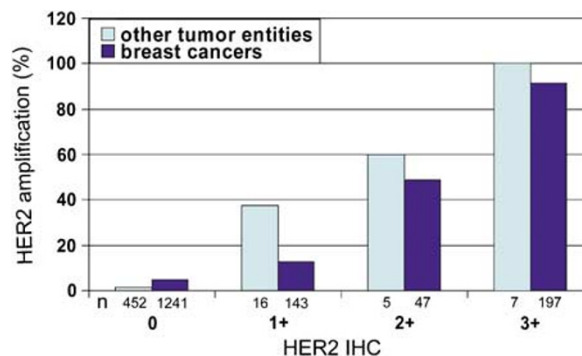


Figure 1 Relationship between immunohistochemical overexpression and amplification of HER2 in breast and non-breast cancers. In both tumor groups the correlation becomes stronger with increasing levels of HER2 overexpression. The percentage of immunohistochemically positive tumors with coexistent amplification is slightly higher in non-breast cancers.

with HER-2 overexpression and amplification are shown in Figure 2.

Discussion

The *HER-2* gene product is a prime example of an extensively analyzed protein. More than 2000 studies have investigated HER-2 expression by IHC in breast cancer and more than 600 studies in other tumor entities. These studies have described a very wide range of HER-2 expression in many tumor types (reviewed in Sauter *et al*²¹). For example HER-2 positivity has been observed in 0–100% of renal and prostate cancers.^{22,23} Such controversial data makes it evident that, for most cancer types, true *HER-2* alteration frequencies can hardly be obtained from the literature. Therefore, we analyzed more than 3000 tumors of 120 different tumor subtypes under fully standardized conditions. This allowed us to give a reliable estimate of HER-2 overexpression/HER-2 amplification across most human tumor entities.

As expected, breast cancers were among the most frequently HER-2 positive tumors. This confirms

the predominant importance of HER-2 for this cancer. *HER-2* amplification was found in 16.9% of breast cancers, which is in line with published data.²⁴ Our result of 13.2% HER-2 protein overexpression in breast cancer is in the lower range of published results for FDA approved reagents (13–30% positive).^{24–26} However, the strong correlation found between IHC and FISH in our breast cancer samples was exactly as described in the literature^{27,28} (Figure 1). This confirms the validity of our assays.

Breast cancer is the only cancer type for which the rate of HER-2 positivity is currently well established (15–20%). Our ability to reproduce the expected breast cancer values in our TMA experiment provides indirect evidence that the frequencies of HER-2 positivity observed for other tumor entities also ranges close to the true HER-2 prevalence in these tumors. More than 15 additional tumor entities including clinically important cancers such as stomach, pancreatic, and bladder cancer also showed a relevant frequency of *HER-2* amplification/overexpression. For all of these tumor entities, HER-2 positivity (overexpression and/or amplification) had been previously described.^{29–32} For example, HER-2 positivity for gastric cancer has been

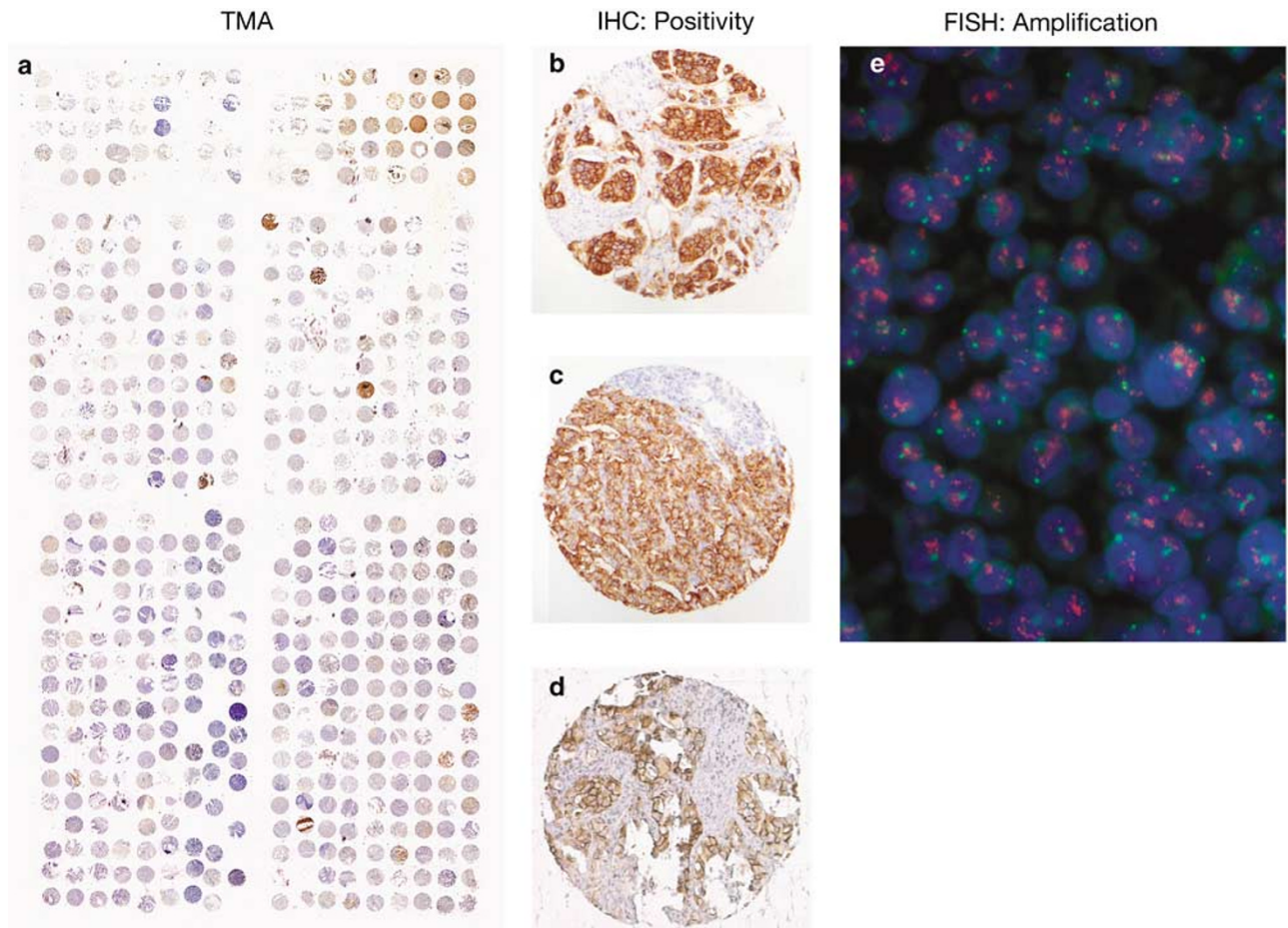


Figure 2 Tissue microarray HER2 immunostaining (a). Immunohistochemical overexpression of HER2 in invasive bladder cancer (b), endometrial cancer (c), and non-small cell lung cancer (d) (magnification $\times 10$). Example of a tumor sample with HER2 amplification by FISH analysis (e).

reported to range between 8 and 56% (reviewed in Sauter *et al*²¹). Our data now suggest that the true prevalence of *HER-2* amplification/overexpression may range between 6 and 10%. Comparable frequencies may also apply for esophagus, pancreas or bladder cancer.

Of most tumor categories, we analyzed around 50 cases or even fewer. This rather low number raises the possibility that rare *HER-2* amplification/overexpression may have been missed in some tumor entities. A very low incidence of *HER-2* amplifications may hence be present in a much higher number of cancer types than detected in our study. This assumption is corroborated by the example of colon cancer. While none of 41 colon cancers analyzed in this study was *HER-2* positive, in a subsequent study analyzing 400 cancers on a colon cancer TMA, we found two (0.5%) highly amplified colon cancers (L Terracciano, unpublished data). That rare *HER-2* positivity might have clinical utility for individual patients was demonstrated by a clinical trial of patients with salivary gland cancers. Of the 126 patients 14 with 2/3+ *HER-2* positivity by IHC had been treated with trastuzumab.³³ The trial was terminated early because the frequency of positivity was disappointingly low. However, bone metastases vanished in one patient under trastuzumab monotherapy suggesting strong response to therapy.

For breast cancer, recent data have strongly suggested, that only amplified cancers would respond to trastuzumab.¹⁸ Thorough breast cancer studies analyzing unfixed samples on the DNA, RNA and protein level have suggested a 1:1 relationship between protein overexpression and gene amplification.²⁵ Interestingly, our data suggest a similar relationship between expression and amplification across all tumor types. It might therefore, be possible that gene amplification constitutes a universal predictor of trastuzumab response independent of the tumor type. The use of IHC for *HER-2* testing may constitute one reason for disappointing results in early non-breast cancer trastuzumab trials.³⁴ *HER-2* IHC is prone to various technical problems including false positivity in case of improper tissue fixation.³⁵

The absence of *HER-2* amplification and high level overexpression in some tumor types like prostate cancer^{36,37} is also a noteworthy result of this study. Based on FISH studies using very low stringency for definition of *HER-2* amplification and IHC studies using non-FDA approved reagents, prostate cancer has been suspected a potential target for trastuzumab.¹⁴ However, several carefully executed FISH and IHC studies have meanwhile been published and showed absence of *HER-2* amplification and a very low frequency of *HER-2* expression.^{38,39} Also we found *HER-2* positivity in <5% of our lung cancers. Earlier reports had described *HER-2* positivity in up to 93%.⁴⁰ The poor results of trastuzumab studies in lung cancer are an

excellent example for risks involved in planning clinical trials based on published IHC results.

In summary, these data show that occasional *HER-2* amplification can occur in many different tumor entities. As known for breast cancer, *HER-2* overexpression appears to be rare in non-amplified tumors. It will be important to investigate whether highly amplified *HER-2* positive non-breast cancers may benefit from trastuzumab therapy.

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