

Obatoclox induces Atg7-dependent autophagy independent of beclin-1 and BAX/BAK

F McCoy¹, J Hurwitz¹, N McTavish¹, I Paul¹, C Barnes², B O'Hagan², K Odrzywol¹, J Murray¹, D Longley¹, G McKerr² and DA Fennell^{*1}

Direct pharmacological targeting of the anti-apoptotic B-cell lymphoma-2 (BCL-2) family is an attractive therapeutic strategy for treating cancer. Obatoclox is a pan-BCL-2 family inhibitor currently in clinical development. Here we show that, although obatoclox can induce mitochondrial apoptosis dependent on BCL-2 associated x protein/BCL-2 antagonist killer (BAX/BAK) consistent with its on-target pharmacodynamics, simultaneous silencing of both BAX and BAK did not abolish acute toxicity or loss of clonogenicity. This is despite complete inhibition of apoptosis. Obatoclox dramatically reduced viability without inducing loss of plasma membrane integrity. This was associated with rapid processing of light chain-3 (LC3) and reduction of S6 kinase phosphorylation, consistent with autophagy. Dramatic ultrastructural vacuolation, not typical of autophagy, was also induced. Silencing of beclin-1 failed to prevent LC3 processing, whereas knockout of autophagy-related (Atg)7 abolished LC3 processing but failed to prevent obatoclox-induced loss of clonogenicity or ultrastructural changes. siRNA silencing of Atg7 in BAX/BAK knockout mouse embryonic fibroblasts did not prevent obatoclox-induced loss of viability. Cells selected for obatoclox resistance evaded apoptosis independent of changes in BCL-2 family expression and displayed reduced LC3 processing. In summary, obatoclox exhibits BAX- and BAK-dependent and -independent mechanisms of toxicity and activation of autophagy. Mechanisms other than autophagy and apoptosis are blocked in obatoclox resistant cells and contribute significantly to obatoclox's anticancer efficacy.

Cell Death and Disease (2010) 1, e108; doi:10.1038/cddis.2010.86; published online 16 December 2010

Subject Category: Cancer

The ability of cancer cells to evade apoptosis is a hallmark of both cancer and drug resistance.¹ Activation of the intrinsic apoptosis pathway requires mitochondrial outer membrane permeabilization (MOMP) mediated by the pro-apoptotic multi-domain B-cell lymphoma-2 (BCL-2) family proteins BCL-2 associated x protein (BAX) and BCL-2 antagonist killer (BAK).² This results in adenosine triphosphate (dATP)/cytochrome c/apaf-1-dependent activation of caspase 9 leading to executioner caspase 3/7 activation and cleavage of numerous substrates including poly ADP ribose polymerase (PARP) to mediate apoptosis.^{3–7} BAX and BAK are inhibited by anti-apoptotic BCL-2 family proteins, which include BCL-2, BCL-2 regulated gene long isoform (BCL-xl), BCL-2 like protein 2 (BCL-w), A1 and myeloid cell leukemia-1 (MCL-1). These are in turn antagonized by a subset of pro-apoptotic proteins harboring a single 16-amino acid alpha helical amphipathic BCL-2 homology domain 3 (BH3).^{8,9} The pro-survival BCL-2 family proteins MCL-1 and BCL-xl have been shown to be among the most commonly amplified oncogenes in the cancer genome,¹⁰ as a result the BCL-2

family of proteins represent attractive targets for therapies in many cancers.¹¹ Accordingly, recently identified small molecules that mimic BH3-only proteins constitute a new class of potentially important targeted therapeutics.¹² One such small molecule is ABT-737 (and its orally active analog ABT-263),¹³ which were discovered using a nuclear magnetic resonance-based approach to determine structure activity relationships. ABT-737 exhibits very high-affinity binding ($K_i \leq 1$ nM) for BCL-2, BCL-xl and BCL-w but not MCL-1. Consequently, high expression of MCL-1 has been observed as a mechanism of resistance to ABT-737.^{14,15}

Obatoclox is a pan-BCL-2 inhibitor discovered using a protein–protein interaction screen of natural compound libraries¹⁶ and in contrast to ABT-737 binds all pro-survival BCL-2 family members (including MCL-1), although with a much lower affinity ($K_i \sim 1–5$ μ M).¹⁷ Obatoclox displaces BAK/MCL-1 and BCL-2 interacting mediator of cell death (BIM)/MCL-1 complexes^{18–23} and in so doing activates mitochondrial apoptosis. Recent reports have implicated off-target activities of obatoclox, possibly independent of BAX

¹Centre for Cancer Research and Cell Biology, Queen's University Belfast, Belfast, Northern Ireland, UK and ²FEI Centre for Advanced Imaging, University of Ulster, Ulster, Northern Ireland, UK

*Corresponding author: DA Fennell, Centre for Cancer Research and Cell Biology, Queen's University Belfast, 97 Lisburn Road, Belfast, Northern Ireland BT9 7BL, UK. Tel: +44 289 097 2760; Fax: +44 289 097 2755; E-mail: d.fennell@qub.ac.uk

Keywords: obatoclox; apoptosis; autophagy; BAX/BAK; atg7

Abbreviations: 3-MA, 3-methyladenine; Atg, Autophagy related; ATP, Adenosine triphosphate; BAK, BCL-2 antagonist killer; BAX, BCL-2 associated x protein; BID, BCL-2 interacting domain death agonist; BIM, BCL-2 interacting mediator of cell death; BCL-2, B-cell lymphoma-2; BCL-w, BCL-2 like protein 2; BCL-xl, BCL-2 regulated gene, long isoform; DKO, Double knockout; ENU, N-Nitroso-N-ethylurea; ER, Endoplasmic reticulum; LC3, Light chain-3; MCL-1, Myeloid cell leukemia-1; MEFs, Mouse embryonic fibroblasts; MIB, Mitochondrial isolation buffer; MRB, Mitochondrial respiration buffer; MOMP, Mitochondrial outer membrane permeabilization; NSCLC, Non-small cell lung cancer; PARP, Poly (ADP-ribose) polymerase; SCLC, Small cell lung cancer; SMAC, Second mitochondrial derived activator of caspases; TRAIL, Tumor necrosis factor-related apoptosis-inducing ligand

Received 20.7.10; revised 11.10.10; accepted 28.10.10; Edited by A Stephanou

and BAK, in different preclinical cancer models.²⁴ These off-target activities include autophagy,^{25–28} however, the impact of autophagy on obatoclox-induced cytotoxicity is unclear as the pharmacodynamics have not been explored in detail. Here, we show that obatoclox induces autophagy-related (Atg)7 but not beclin-1-dependent autophagy in the presence or absence of BAX and BAK, and that this activity is suppressed in obatoclox resistant cells.

Results

Obatoclox-induced apoptosis requires BAX and BAK. Obatoclox has previously been shown to exhibit on-target activity, dissociating BAK from MCL-1.^{18–22} We also observed this activity in H460 non-small-cell lung cancer (NSCLC) cells (Figure 1a). This was associated with induction of mitochondrial apoptosis, as evidenced by BAK conformation change and mitochondrial membrane permeabilization associated with BAK activation, release of second mitochondrial derived activator of caspases (SMAC) and cleavage of PARP (Figures 1b–d). We next examined the requirement for BAX and BAK expression for

obatoclox-induced apoptosis using H460 NSCLC cells stably transfected with short hairpin RNAs simultaneously targeting both BAX and BAK (H460^{shBAX/BAK}). Obatoclox-induced apoptosis was significantly reduced in H460^{shBAX/BAK} cells relative to non-targeting transfected control cells (H460^{shNT/NT}) as assessed by caspase 9, caspase 3 and PARP cleavage (Figure 2a). H460^{shBAX/BAK} cells failed to undergo mitochondrial apoptosis in response to staurosporine and cell permeable pro-apoptotic peptides corresponding to the BH3 domain of activating BH3-only protein BCL-2 interacting domain death agonist (BID) (data not shown). BAX/BAK-dependent apoptosis induction by obatoclox was further confirmed in BAX and BAK double-knockout mouse embryonic fibroblasts (DKO MEFs) compared with wild-type (WT) controls (Figure 2b).

Loss of BAX/BAK fails to rescue cells following obatoclox. To further assess the requirement for BAX and BAK in obatoclox-induced toxicity, we looked at both its short- and long-term effects on the viability and clonogenic survival of H460 NSCLC cells stably transfected with shRNAs targeting BAX and BAK (H460^{shBAX/BAK}), and BAX/BAK DKO MEFs. In contrast to the requirement for BAX and BAK in induction of apoptosis, obatoclox was very

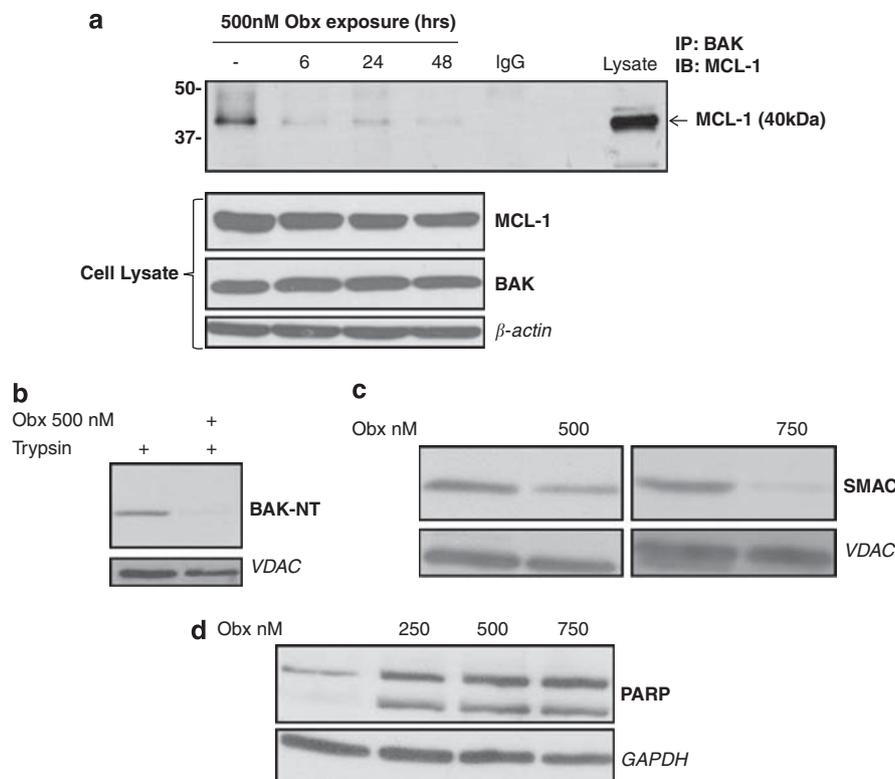


Figure 1 Obatoclox exhibits on-target activity associated with mitochondrial apoptosis. (a) Obatoclox disrupts BAK/MCL-1 complex in H460 NSCLC cells. H460 cells were incubated with obatoclox for 6, 24 and 48 h, after which time total cell lysate was subjected to immunoprecipitation with anti BAK antibody. Immunoprecipitates were analyzed for MCL-1 levels by SDS–PAGE. Whole-cell lysates were also probed for BAK and MCL-1 levels by SDS–PAGE. β -Actin was used as a loading control. (b) Obatoclox induces BAK activation in H1975 NSCLC cells. H1975 cells were treated with 500 nM obatoclox for 48 h. Mitochondria were then isolated and incubated with trypsin (125 μ g/ml) and analyzed for BAK by SDS–PAGE and immunoblotting with an antibody directed against amino acids 23–37 of BAK (BAK-NT). VDAC was used as a mitochondrial-specific loading control. (c) Obatoclox induces mitochondrial outer membrane permeabilization in H1975 cells. H1975 cells were treated with 500 or 750 nM obatoclox for 48 h. Mitochondria were then isolated and probed for SMAC levels by SDS–PAGE. VDAC was used as a mitochondrial-specific loading control. (d) Obatoclox induces PARP cleavage in H1975 cells. H1975 cells were treated with indicated doses of obatoclox for 48 h. The level of PARP cleavage was determined by SDS–PAGE. GAPDH was used as a loading control

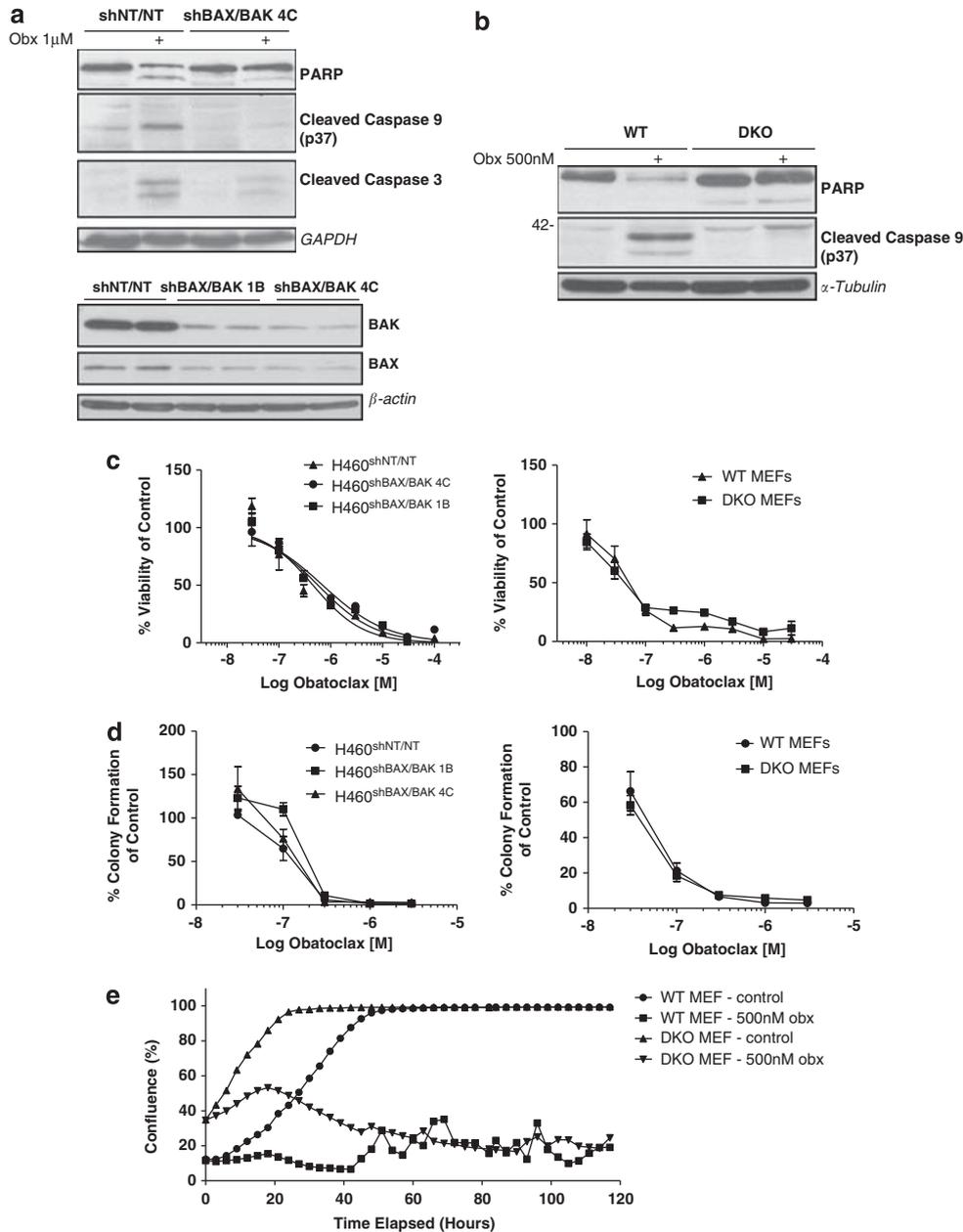


Figure 2 Obatoclax-induced apoptosis is dependent upon BAX/BAK, yet loss of BAX/BAK fails to rescue cells. (a) Loss of BAX and BAK inhibits obatoclax-induced apoptosis in H460 NSCLC cells. Apoptosis is blocked in NSCLC cells deficient for BAX/BAK. H460 NSCLC cells were stably transfected with shRNAs simultaneously targeting BAX and BAK (H460^{shBAX/BAK}), or with two non-targeting shRNAs as control cells (H460^{shNT/NT}). Two clones of shBAX/BAK cells were taken forward to examine (H460^{shBAX/BAK 4C} and H460^{shBAX/BAK 1B}). H460^{shNT/NT} and H460^{shBAX/BAK 4C} cells were treated with 1 μ M obatoclax for 48 h. Cell lysates were probed for PARP, caspase 9 and caspase 3 cleavage by SDS-PAGE. GAPDH was used as a loading control. The level of BAX and BAK knockdown in both clones was assessed by SDS-PAGE (samples run in duplicate). β -Actin served as a loading control. (b) Obatoclax-induced apoptosis is blocked in embryonic fibroblasts derived from mice deficient for BAX and BAK. Wild type (WT) and BAX/BAK double-knockout (DKO) mouse embryonic fibroblasts (MEFs) were treated with 500 nM obatoclax for 48 h and the level of PARP and caspase 9 cleavage determined by SDS-PAGE. α -Tubulin was used as a loading control. (c) Loss of BAX/BAK fails to rescue cells following obatoclax. The effect of obatoclax on the viability of H460^{shBAX/BAK} and BAX/BAK DKO MEFs was assessed by ViaLight viability assay (Lonza Group Ltd.). EC₅₀ values for each cell line were; H460^{shNT/NT} 690 nM; H460^{shBAX/BAK 1B} 580 nM; H460^{shBAX/BAK 4C} 460 nM; WT MEFs 54 nM; DKO MEFs 62 nM. Each data point represents mean \pm S.D. (d) Cells were plated at single-cell densities and treated with indicated doses of obatoclax for 24 h. Medium was replaced and cells were allowed to form colonies. Each data point represents mean \pm S.E. (e) Loss of BAX/BAK fails to rescue cells over the long term. Cells were seeded in 6-well plates and drugged at indicated doses. Cells were then monitored using the INCUCYTE live cell imaging system (Essen BioScience). Cell confluency was determined using calculations derived from phase-contrast images. This data was condensed using algorithms into quantified metrics to obtain kinetic proliferation curves

effective in reducing viability in H460^{shBAX/BAK} versus H460^{shNT/NT} control cells and in BAX/BAK DKO MEFs versus WT controls at both 48 h (Figure 2c) and 72 h (data not shown). H460^{shBAX/BAK} cells exhibited a dose-dependent

loss of clonogenicity not significantly different from H460^{shNT/NT} cells (Figure 2d). Similarly, no difference in the loss of clonogenicity was observed following Obatoclax treatment in BAX/BAK DKO versus WT MEFs (Figure 2d). Also, when the

growth kinetics of DKO MEFs was assessed over 5 days following obatoclox treatment, there was no significant difference relative to their WT controls (Figure 2e).

Obatoclox induces processing of light chain-3 (LC3) and dramatic ultrastructural changes.

Despite the marked

reduction in cell viability induced by obatoclox, there was no measurable loss of plasma membrane integrity following 72 h (Figure 3a) or 96 h (data not shown) exposure, as determined by adenylate kinase release into surrounding culture media relative to untreated controls. This was in contrast to tumor necrosis receptor-related apoptosis-inducing ligand

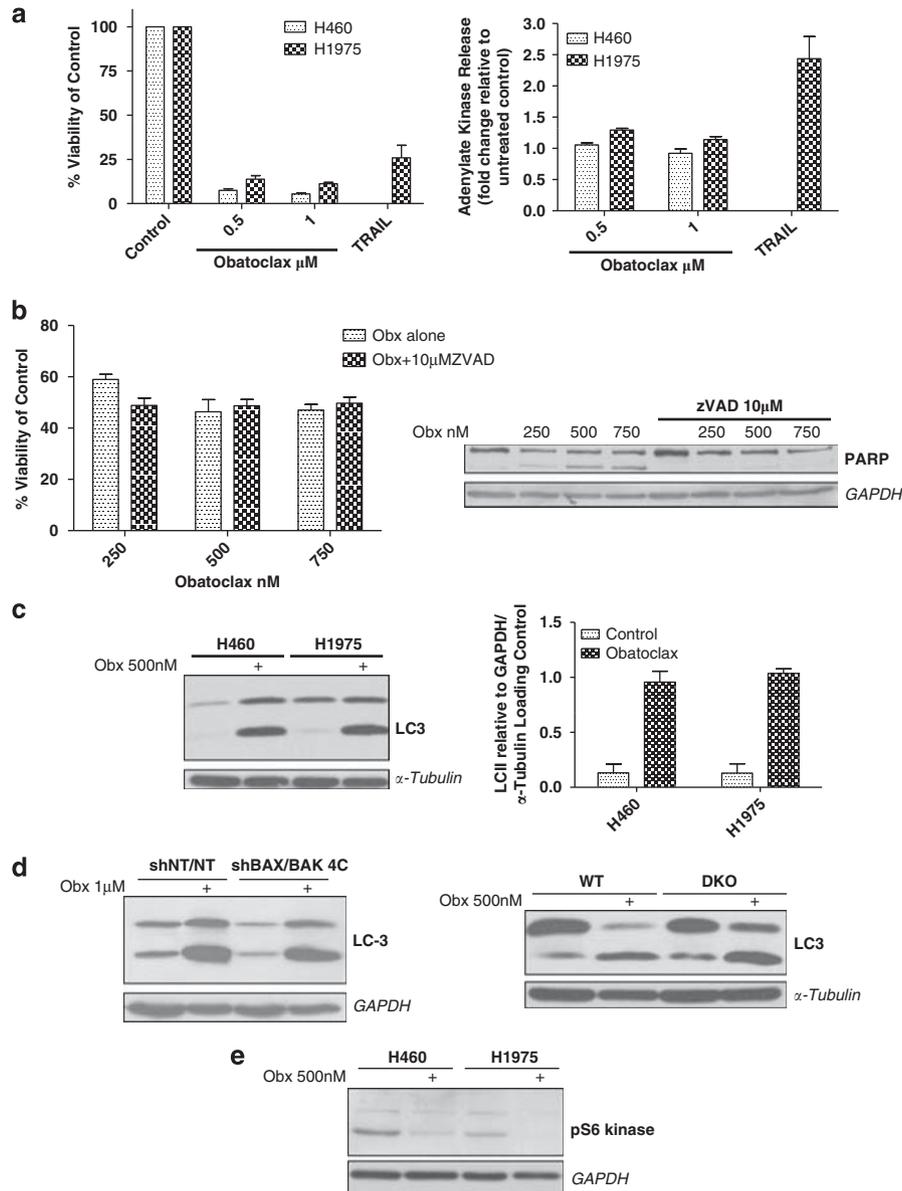


Figure 3 Cell fate following obatoclox is determined by additional mechanisms other than apoptosis. (a) Obatoclox fails to induce loss in plasma membrane integrity. (left) H460 and H1975 NSCLC cells were treated at indicated doses of obatoclox for 72 h. H1975 cells were also treated with 20 ng/ml TNF-receptor-related apoptosis-inducing ligand (TRAIL). Viability of cells was assessed by Vialight viability assay (Lonza Group Ltd.). Data are expressed as mean \pm S.D. (right) H460 and H1975 cells were treated as for Vialight, however, this time toxicity of cells was assessed by ToxiLight cytotoxicity assay (Lonza Group Ltd.). Data are expressed as mean \pm S.D. (b) Inhibition of obatoclox-induced apoptosis by ZVAD.fmk fails to rescue cells. (left) H1975 cells were treated with 10 μM pan caspase inhibitor ZVAD.fmk for 30 min before treatment with indicated doses of obatoclox for 48 h. Viability of cells was assessed by Vialight viability assay. Data are expressed as mean \pm S.D. (right) The effect of ZVAD on obatoclox-induced PARP cleavage was assessed by SDS-PAGE. GAPDH was used as a loading control. (c) Obatoclox induces significant processing of LC3. (left) H460 and H1975 cells were treated with 500 nM obatoclox for 48 h and the level of LC3 processing assessed by SDS-PAGE. (right) Quantification of the level of LC3 processing following obatoclox was achieved by densitometry analysis using ImageJ. Data are expressed as mean \pm S.D. (d) Obatoclox-induced LC3 processing is independent of BAX and BAK. (left) H460^{shBAX/BAK 4C} cells were treated with 1 μM obatoclox for 48 h and the level of LC3 processing assessed by SDS-PAGE. GAPDH was used as a loading control. (right) Wild type and BAX/BAK DKO MEFs were treated with 500 nM obatoclox for 48 h and the level of LC3 processing assessed by SDS-PAGE. α -Tubulin served as a loading control. (e) Obatoclox induces de-phosphorylation of S6 kinase. H460 and H1975 cells were treated with 500 nM obatoclox for 48 h and the level of S6 kinase phosphorylation determined by SDS-PAGE and immunoblotting with a phospho-S6 kinase antibody that detects phosphorylation of thr389. GAPDH was used as a loading control

(TRAIL). Furthermore, the pan-caspase inhibitor ZVAD.fmk failed to prevent loss of viability following obatoclox treatment, although it blocked obatoclox-induced PARP cleavage (Figure 3b). Together these findings suggested that cell fate following treatment with obatoclox is determined by additional mechanisms other than apoptosis.

Autophagy is a catabolic process involving dynamic re-arrangement of membranes and formation of autophagosomes and autolysosomes. Light chain 3 (LC3, mammalian ortholog of yeast Atg8) is widely used as a reporter of autophagosome formation. During autophagy cytoplasmic LC3-I is processed to the autophagosomal membrane-bound LC3-II form. In two NSCLC cell lines (H460 and H1975); we observed significant processing of LC3-I to LC3-II relative to untreated controls (Figure 3c). LC3 processing was independent of BAX and BAK as evidenced from H460^{shBAX/BAK} cells and BAX/BAKDKO MEFs in which processing occurred to an equivalent extent as that observed in their respective controls

(Figure 3d). Also, p70-S6 kinase was de-phosphorylated following obatoclox treatment in H460 and H1975 cells (Figure 3e).

Autophagy is associated with characteristic autophagolysosome formation best observed using electron microscopy. Compared with untreated controls (Figure 4a), obatoclox induced a profound cytoplasmic vacuolation in H1975 cells visible by transmission electron microscopy (Figure 4b). These vacuoles were mainly devoid of obvious content, although a few exhibited some electron-dense material within their lumina. At higher magnification, the peripheral cytoplasm revealed vesicles containing phagocytosed organelles, free ribosomes and endoplasmic reticulum (Figure 4c). Mitochondria were found to form part of the autophagocytosed pay-load within vesicles (Figures 4d and e). Profound cytoplasmic vacuolation similar to that seen in H1975 NSCLC cells along with significant LC3 processing was also observed in small-cell lung cancer cell lines following obatoclox treatment (data not shown).

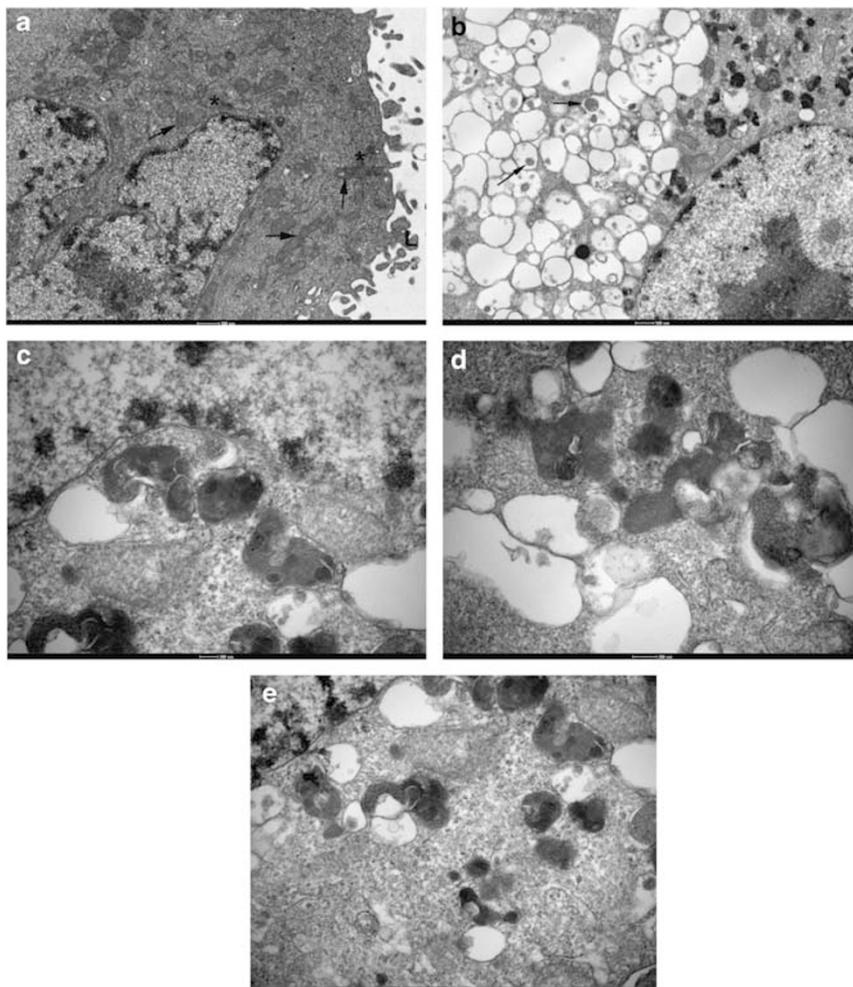


Figure 4 Obatoclox induces dramatic ultrastructural vacuolation in H1975 NSCLC cells. **(a)** H1975-untreated control cells. Untreated cells were processed by the standard protocol adopted for all other samples. The cytoplasm appears normal and is typified by the presence of numerous mitochondria (arrows), cisternae of endoplasmic reticulum (*) and an extensive array of superficial lamellae (L). The nucleus exhibits scattered arrays of condensed heterochromatin, frequent nuclear pores and a prominent nucleolus. **(b)** Following 48 h treatment with 500 nM, obatoclox seems to induce a profound vesiculation of the cytoplasm. Many of these membrane vesicles are devoid of obvious content, although a few exhibit some electron dense material within their lumina (arrows). At this stage the nuclei do not appear to have suffered any change. **(c)** Higher magnification of peripheral cytoplasm reveals that vesicle content is of mixed origin comprising phagocytosed organelles, free ribosomes and endoplasmic reticulum. **(d and e)** Mitochondria form part of the autophagocytosed pay-load within vesicles, although none have been found to undergo any significant change to their inner membranes

Obatoclox-induced LC3 processing is independent of beclin-1. Beclin-1 (human ortholog of yeast Atg-6) is a key apical regulator of autophagy. It is constitutively bound by pro-survival BCL-2 family proteins BCL-xL, BCL-2 and MCL-1. We therefore examined the role of beclin-1 with respect to LC3 processing following obatoclox treatment. H460 NSCLC cells stably expressing short hairpin RNAs targeting BECN1 (H460^{shBECN}) were established and three clones selected (H460^{shBECN 3E}, H460^{shBECN 3F} and H460^{shBECN 4E}) displaying between 60–90% knockdown (Figure 5a). Stable knockdown of beclin-1 did not alter the sensitivity of H460 cells to obatoclox as assessed by ViaLight viability assay (data not shown). Consistent with loss of beclin-1, each clone exhibited a reduction in hVps34-specific activity, indicating a functional defect in hVps34 signaling, both basally and

following acute nutrient deprivation (Figure 5b). Loss of beclin-1 expression did not significantly alter obatoclox-induced LC3 processing in any of the clones (Figure 5c), and was confirmed using transient siRNA silencing (Figure 5d). Consistent with these findings, neither 3-methyladenine nor wortmannin were capable of inhibiting LC3 processing following obatoclox treatment (data not shown). Furthermore, obatoclox treatment in H1975 and H460 NSCLC cells caused a significant reduction in beclin-1 expression (Figure 5e).

Obatoclox-induced LC3 processing requires Atg7. To establish whether autophagy machinery was required at all for LC3 processing following obatoclox treatment, we next assessed whether there was a requirement for Atg7 using

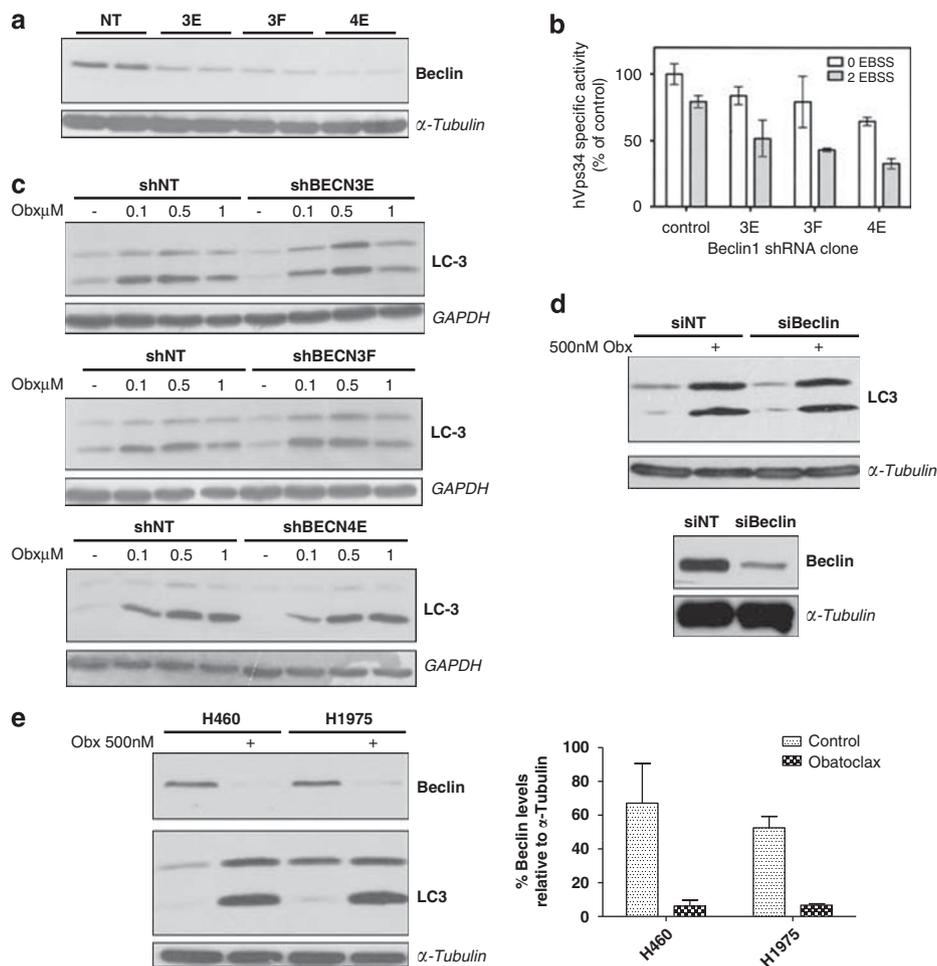


Figure 5 Obatoclox-induced autophagy is independent of beclin-1. (a) H460 NSCLC cells were stably transfected with shRNA targeting beclin-1 (H460^{shBECN}). Three stable clones were selected (3E, 3F, and 4E) displaying significant knockdown in the level of beclin-1 as assessed by SDS-PAGE (samples run in duplicate), relative to control cells stably transfected with a non-targeting shRNA (H460^{shNT}). α -Tubulin was used as a loading control. (b) hVps34 activity is reduced in H460^{shBECN} cells. hVps34-specific lipid kinase activity was determined in each H460^{shBECN} clone. Cells were cultured to 80–90% confluency then washed three times in PBS before depriving them of amino acids for 0 and 2 h in EBSS. hVps34 activity was expressed as specific activity after normalizing to the amount of immunoprecipitated hVps34 detected by immunoblotting. Data are expressed as mean \pm S.D. (c) Stable knockdown of beclin-1 fails to inhibit obatoclox-induced LC3 processing. H460^{shBECN} and H460^{shNT} cells were treated with indicated doses of obatoclox for 48 h and the level of LC3 processing in each clone relative to H460^{shNT} cells was determined by SDS-PAGE. GAPDH was used as a loading control. (d) Transient knockdown of beclin-1 fails to inhibit obatoclox-induced LC3 processing. H460 NSCLC cells were transfected with 100 nM of siRNA targeting beclin-1 or control non-targeting (NT) siRNA for 48 h. NT- and beclin-1-transfected cells were then treated with 500 nM obatoclox for 48 h. The level of LC3 processing and PARP cleavage was determined by SDS-PAGE. The level of beclin-1 knockdown was also determined by SDS-PAGE. α -Tubulin was used as a loading control. (e) Levels of beclin-1 decrease significantly following obatoclox treatment. H460 and H1975 cells were treated with 500 nM obatoclox for 48 h and the levels of beclin-1 determined by SDS-PAGE. α -Tubulin was used as a loading control. The level of beclin-1 decrease was quantified by densitometry analysis using ImageJ. Data represent mean \pm S.D.

RNA interference. Atg7 plays a pivotal role in the formation of autophagosomes. When expression of Atg7 was silenced using siRNA, LC3 processing was reduced in both H460 and H1975 cells. siRNA knockdown of Atg5 also attenuated LC3 processing (Figure 6a). To definitively confirm a requirement for Atg7, we examined the levels of LC3 processing and cytoplasmic vacuolation in Atg7^{-/-} MEFs; LC3 processing (Figure 6b) and cytoplasmic vacuolation (data not shown) were completely abolished following obatoclox treatment. However, induction of apoptosis assessed by PARP cleavage was not affected by loss of Atg7 (Figures 6a and b), however, siRNA knockdown of Atg5 in H460 cells altered the pattern of PARP cleavage; there was a reduction in full-length PARP but no cleaved 89 kDa product detectable (Figure 6a).

Autophagy is not essential for obatoclox-induced toxicity. To assess whether autophagy was a requirement for obatoclox-induced toxicity, we examined the effect of Atg7 knockout on viability and long-term clonogenicity of Atg7^{-/-} MEFs treated with obatoclox. Obatoclox reduced the viability of Atg7^{-/-} MEFs and WT MEFs equally, with no significant difference in their relative EC₅₀ (Figure 6c). Loss of clonogenicity was observed in Atg7^{-/-} MEFs with no difference relative to their WT controls (Figure 6d), while the long-term growth kinetics of Atg7^{-/-} MEFs was not significantly different from their WT controls following obatoclox treatment (Figure 6e). siRNA silencing of Atg7 in Bax/Bak DKO MEFs did significantly rescue cells treated with obatoclox, however, this rescue was not total (Figure 6f). siRNA knockdown of Atg7 in BAX/BAK DKO MEFs completely abolished LC3 processing (data not shown).

Obatoclox resistance is associated with a block in apoptosis and a reduction in autophagy. To establish whether cells that are resistant to obatoclox suppress autophagy as well as apoptosis, we screened a panel of cell lines for obatoclox resistance and identified H727 NSCLC cells with log-fold resistance to obatoclox compared with H1975 and H460 NSCLC cells (Figure 7a). H727 cells also displayed significant differences in their clonogenic survival in response to obatoclox (Figure 7b) and failed to undergo caspase 3, caspase 9 or PARP cleavage (Figure 7c). We wanted to examine the kinetics of apoptosis and autophagy induction to further try and delineate their relationship. In both H1975 and H727 NSCLC cells, LC3 processing was observed as early as 6 h following obatoclox treatment, which increased and was sustained through to 48 h in both cell lines. PARP cleavage however, was only observed in sensitive H1975 cells, and only significantly at 48 h, whereas no PARP cleavage was observed in H727 cells (Figure 7d), even up to 72 and 96 h post drug exposure (data not shown). When examined by TEM, H727 cells also exhibited cytoplasmic vacuolation post obatoclox exposure (data not shown). Similar to H1975 and H460 cells, H727 NSCLC cells also underwent de-phosphorylation of S6 kinase following obatoclox treatment (data not shown).

To further determine the role of apoptosis and autophagy in mediating resistance to obatoclox, we selected H460 NSCLC cells for obatoclox resistance using ENU to induce

mutagenesis. H460 obatoclox-resistant cells (H460-ENU) displayed a significantly higher EC₅₀ for viability at 48 h, and greater clonogenic survival relative to parental H460s (H460par) (Figure 8a). In common with H727 cells, PARP and caspase 9 cleavage were both blocked in H460-ENU cells, and this was associated with absence of obatoclox-induced SMAC and cytochrome *c* release (Figures 8b and c). Processing of LC3 following obatoclox treatment was also observed in H460-ENU cells; however, this was to a lesser extent than in H460par cells (Figure 8b). Profiling of BCL-2 family expression was conducted to establish if acquired resistance to obatoclox was associated with alterations in BCL-2 family expression, however, no significant differences were observed at either the whole-cell or mitochondrial level (data not shown).

Discussion

Obatoclox is one of several pro-survival BCL-2 family protein inhibitors to have emerged in recent years and is currently in clinical development in both solid tumors and hematopoietic malignancies. In this study, we investigated the pharmacodynamics of obatoclox. We found that in NSCLC cells, obatoclox induced both on-target dissociation of MCL-1-BAK complexes and initiation of apoptosis associated with mitochondrial BAK conformation change and release of cytochrome *c* and SMAC. A requirement of BAX and BAK for obatoclox-induced apoptosis has been shown in other models previously. Nguyen *et al.* (2007) found that in baby kidney epithelial cells from mice deficient for BAX and BAK obatoclox failed to induce apoptosis,¹⁸ whereas Mott *et al.* (2008) showed that DKO MEFs were resistant to obatoclox-induced cell death as assessed by clonogenic assay.²⁰ However, Vogler *et al.* (2009) investigating cell death induced by obatoclox (as well as other pro-survival BCL-2 family inhibitors) suggested that obatoclox caused cell killing completely independently of BAX and BAK, and did not activate the intrinsic apoptosis pathway, but rather acted as a mitochondrial poison that induced features that could be mistaken as apoptosis.²⁴ However, we have shown here that apoptosis as assessed by caspase and PARP cleavage (specific markers of apoptosis) induced by obatoclox is dependent on BAX and BAK. Furthermore, the conclusion reached by Vogler *et al.*²⁴ that obatoclox acts as a mitochondrial poison was reached from the results of experiments using 10 μ M doses of obatoclox, a dose that is up to two log-folds higher than what we have used in results presented here, and significantly higher than clinically relevant doses. Doses used differ according to trial schedules; however, the approximate peak plasma concentration is 100 ng/ml (~250 nM).

We have shown that despite the evidence that obatoclox induces BAX/BAK-dependent apoptosis, this is not sufficient to account for toxicity and loss of clonogenic survival, as evidenced by sensitivity of BAX and BAK DKO MEFs, and cell lines stably expressing shRNAs for BAX and BAK. Obatoclox mediates loss of clonogenic survival in association with a marked reduction in growth kinetics and reduction in cellular ATP level without loss of plasma membrane integrity. This suggested that obatoclox could be inducing loss of viability by a mechanism distinct from apoptosis and prompted

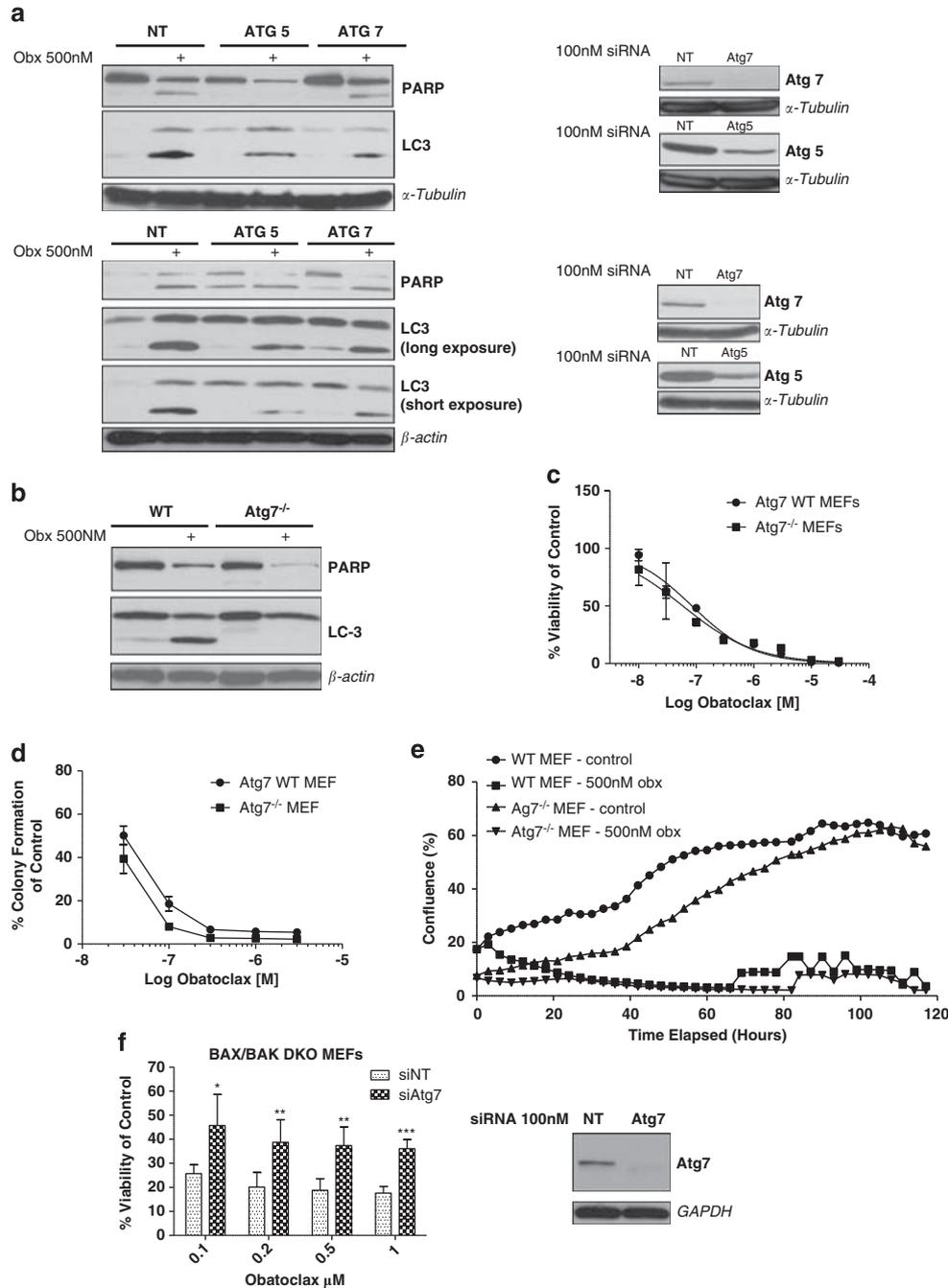


Figure 6 Obatoclox-induced LC3 processing requires Atg7 and Atg5. (a) siRNA knockdown of Atg5/7 reduces obatoclox-induced LC3 processing. H460 (*top*) and H1975 (*bottom*) cells were transfected with 100 nM of Atg7 or Atg5 siRNA, or control non-targeting siRNA (NT) for 48 h, and then treated with 500 nM obatoclox for a further 48 h. The level of LC3 processing and PARP cleavage in Atg5/7-transfected cells relative to NT-transfected cells was then determined by SDS-PAGE. α -Tubulin and β -actin were used as loading controls. (b) Obatoclox-induced LC3 processing is lost in mouse embryonic fibroblasts derived from mice deficient for Atg7. Wild-type and Atg7^{-/-} MEFs were treated with 500 nM obatoclox for 48 h and the level of LC3 processing and PARP cleavage was determined by SDS-PAGE. β -Actin was used as a loading control. (c) Autophagy is not essential for obatoclox-induced toxicity. The effect on the viability of Atg7^{-/-} MEFs and their wild-type controls following obatoclox treatment for 48 h. EC₅₀ values for each cell line were; Atg7 WT 84 nM; Atg7^{-/-} 58 nM. Data are presented as mean \pm S.D. (d) Loss of Atg7 fails to rescue cells. Atg7 wild-type and Atg7^{-/-} cells were plated in 24-well plates at single-cell densities and treated with indicated doses of obatoclox for 24 h. Media was replaced after 24 h and cells allowed to form colonies over 7–10 days to assess the effect of obatoclox on clonogenic growth. Data are presented mean \pm S.E. (e) Loss of Atg7 fails to rescue cells over the long term. Cells were seeded in 6-well plates and drugged at indicated doses. Cells were then monitored using the INCUCYTE live cell imaging system (Essen BioScience). Cell confluency was determined using calculations derived from phase-contrast images. This data was condensed using algorithms into quantified metrics to obtain kinetic proliferation curves. (f) siRNA knockdown of Atg7 rescues BAX/BAK DKO MEFs. DKO MEFs were transfected with 100 nM of Atg7 siRNA or control non-targeting siRNA (NT) for 48 h. Cells were then treated with indicated doses of obatoclox for a further 48 h and the effect on viability determined by ViaLight assay. Data are presented as mean \pm S.D. The level of knockdown was determined by SDS-PAGE. GAPDH was used as a loading control

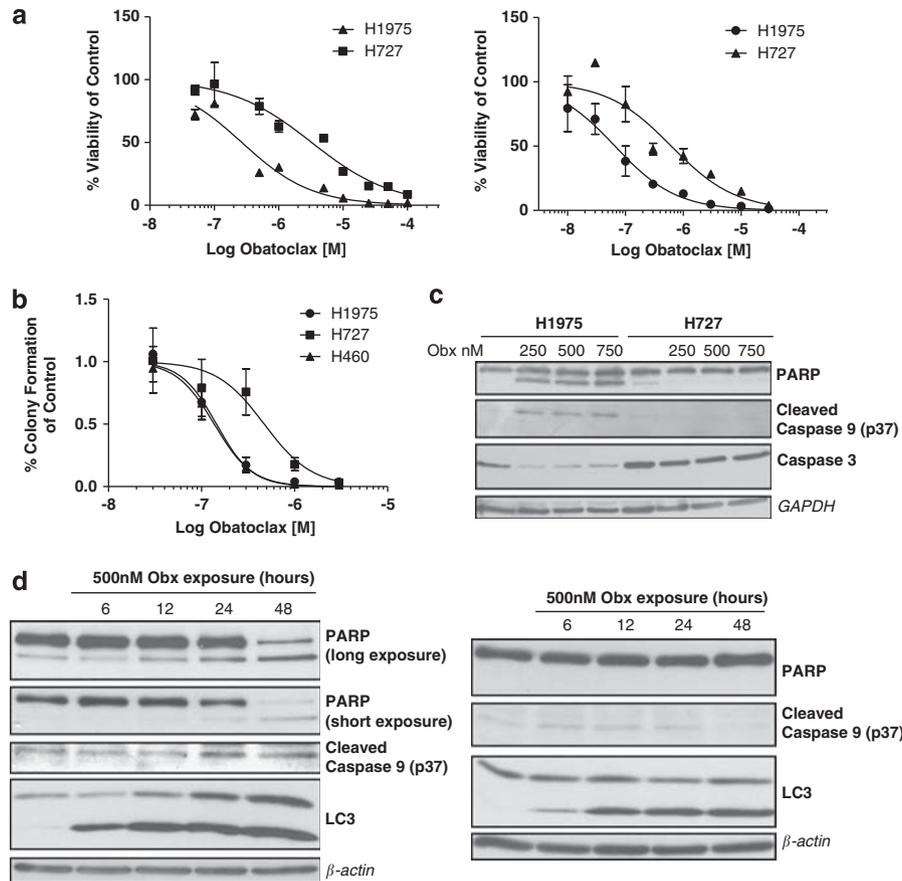


Figure 7 H727 NSCLC cells are resistant to obatoclox-induced apoptosis yet still undergo autophagy. (a) H727 NSCLC cells are resistant to obatoclox. H727 and H1975 NSCLC cells were treated with obatoclox at the indicated doses for 48 h (left) or 72 h (right), and the viability of cells determined by ViaLight assay. The EC_{50} values of cells at 48 h were; H1975 267 nM; H727 3.2 μ M. The EC_{50} values of cells at 72 h were; H1975 66 nM; H727 621 nM. Data are expressed as mean \pm S.D. (b) H727 cells display increased clonogenic survival. H727, H1975 and H460 NSCLC cells were seeded in 24-well plates at single cell densities, and treated with indicated doses of obatoclox for 24 h. Media was replaced after 24 h and cells allowed to form colonies over 7–10 days to assess the effect of obatoclox on clonogenic growth. Data are presented as mean \pm S.E. (c) H727 NSCLC cells are resistant to obatoclox-induced apoptosis. H1975 and H727 NSCLC cells were treated with indicated doses of obatoclox for 48 h and the level of PARP, caspase 9 and caspase 3 cleavage determined by SDS–PAGE. GAPDH was used as a loading control. (d) Cells resistant to obatoclox-induced apoptosis still undergo obatoclox-induced autophagy. H1975 (left) and H727 (right) cells were treated with 500 nM obatoclox for the indicated time periods and the level of PARP, caspase 9 cleavage and LC3 processing was determined by SDS–PAGE. β -Actin was used as a loading control

us to examine the role of the autophagy machinery. Obatoclox has been shown to induce autophagy in several reports.^{24–28} In contrast to all earlier studies, this is the first report to show a beclin-1-independent mechanism of obatoclox-induced autophagy.

Beclin-1 is an initiator of autophagy that contains a BH3 domain and is restrained by pro-survival BCL-2 family proteins BCL-2, BCL-xl, BCL-w and MCL-1.^{29–31} However, only endoplasmic reticulum-targeted BCL-2/xl can inhibit autophagy induced by beclin-1.³⁰ Inhibition of pro-survival BCL-2 family proteins releases beclin-1, which binds and activates Vps34 lipid kinase and induces formation of the phagophore.³² Beclin-1 has also been shown to be a haplo-insufficient tumor suppressor,^{33,34} and it has been suggested that displacement of beclin-1 from its BCL-2 binding partners may be a means to induce a toxic form of autophagy in cancer cells,³⁵ known as type II programmed cell death. Furthermore, it has been shown that in the absence of BAX and BAK, induction of autophagy was used as a default cell death

mechanism.³⁶ Accordingly, we explored the activation of autophagy by obatoclox, the requirement for components of the autophagy machinery and the implications for cell fate.

Using stable and transient knockdown of beclin-1, we showed that the biochemical hallmark of autophagy, LC3 processing, occurs irrespective of beclin-1 expression, but is entirely dependent upon the expression of Atg7. Induction of apoptosis as assessed by PARP cleavage was unaffected by loss of Atg7 (or Atg5), however, loss of Atg5 in H460 cells did alter the pattern of PARP cleavage (see Figure 6a); there was a reduction in full-length PARP but no appearance of a cleaved 89 kDa fragment indicative of apoptosis. During necrosis PARP can be cleaved to a 50 kDa fragment by lysosomal proteases,³⁷ however, this is unlikely to be the case in regards to obatoclox. As shown in Figure 3a, obatoclox failed to induce a loss of plasma membrane integrity, which would not be the case if necrosis was being induced. The mechanism underlying Atg7-dependent, beclin-1-independent autophagy by obatoclox is unclear. Another pro-survival

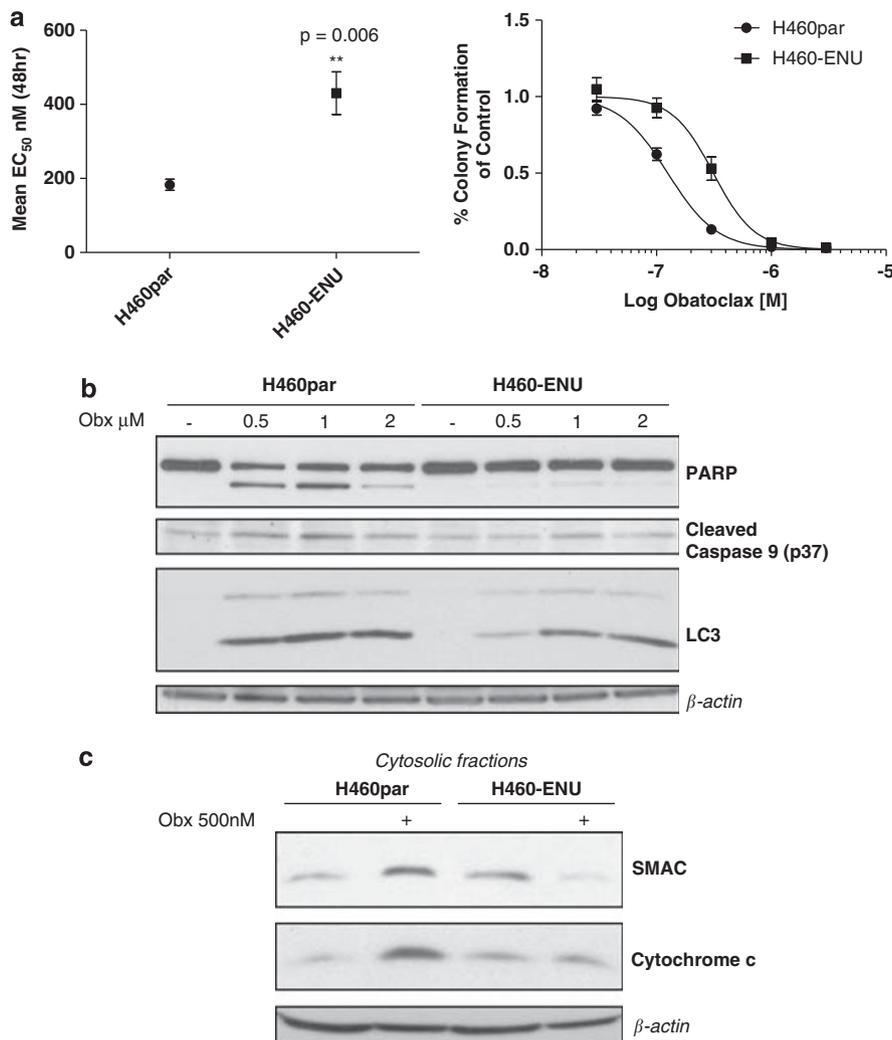


Figure 8 NSCLC cells selected for resistance to obatoclox display a block in apoptosis. **(a)** H460 NSCLC cells were selected for resistance to obatoclox (H460-ENU) using ENU mutagenesis as described in the Materials and Methods. *(left)* H460-ENU cells displayed a significant increase in their EC₅₀ value as determined by ViaLight assay. *(right)* H460 parental (H460par) cells and H460-ENU cells were seeded as previously described for clonogenic assay and treated with indicated doses of obatoclox to assess the effect of obatoclox on clonogenic growth. **(b)** H460-ENU cells are resistant to obatoclox-induced apoptosis and display reduced LC3 processing. H460par and H460-ENU cells were treated with indicated doses of obatoclox for 48 h and the level of PARP, caspase 9 cleavage and LC3 processing was determined by SDS-PAGE. β -Actin was used as a loading control. **(c)** H460-ENU cells displayed a block in SMAC and cytochrome *c* release. H460 and H460-ENU cells were treated with 500 nM obatoclox for 48 h. The cytosolic fraction of cells was isolated as described in Materials and methods and the levels of SMAC and cytochrome *c* determined by SDS-PAGE. β -Actin was used as a loading control

BCL-2 antagonist, gossypol, has very recently been shown to induce both beclin-1-dependent and -independent autophagy,³⁸ in MCF-7 cells, gossypol-induced autophagy was beclin-1-dependent, whereas in HeLa cells it was beclin-1-independent. Regardless of the cell line, gossypol-induced autophagy was found to be cytoprotective. In neuroblastoma cells, the neurotoxin 1-methyl-4-phenylpyridinium (MPP⁺), a mitochondrial complex 1 inhibitor, was found to induce mitochondrial injury and beclin-1-independent Atg5/7-dependent mitophagy.³⁹ Whether obatoclox is acting in a similar manner is unknown at present.

Obatoclox induced dramatic ultra-structural changes that appeared to be dependent upon Atg7; morphologically, the pattern of vacuolation was not typical for autophagy, however, within this background of inclusion bodies there was evidence

of mitochondrial engulfment and autophagosome formation. We propose that these ultra-structural changes most likely reflect a direct effect of obatoclox that is independent of both the apoptosis and autophagy machinery, but could contribute to cell fate. Cells with either *de novo* log-fold resistance to obatoclox, or selected for resistance to obatoclox, exhibited marked suppression of apoptosis. ENU-selected H460 cells (H460-ENU) did not exhibit significant alterations in their BCL-2 family expression. This is the first study to examine the phenotype of isogenic cells selected for obatoclox resistance. However, apoptosis was suppressed by an unknown mechanism not involving loss of BAX and/or BAK expression. Acquisition of apoptosis block suggests that this process is important in determining cell fate, and is supported by the finding that, although BAX/BAK silencing in H460 cells did not

abolish the loss of clonogenic survival associated with obatoclox, it reduced apoptosis sensitivity significantly. Similarly, in H727 cells, resistance was associated with a block in apoptosis. With respect to autophagy, resistant cells reduced but did not abolish LC3 processing. H727 cells also displayed cytoplasmic vacuolation following obatoclox treatment; however, this was not as extensive or dramatic as observed in H1975 cells. The question of whether H460-ENU cells exhibit cytoplasmic vacuolation in response to obatoclox treatment is currently being addressed. To establish whether cell death occurred through redundant pathways involving apoptosis or autophagy, silencing of Atg7 in BAX/BAK DKO MEFs was conducted. Despite partial rescue by knockdown of Atg7, obatoclox still exhibited toxicity irrespective of loss of these two pathways. We conclude that cell death, and importantly the mechanisms underlying resistance are likely to involve the alteration of genes outside of either the autophagy or apoptosis pathways.

Materials and Methods

Reagents. Obatoclox mesylate (GX15-070) was provided by GeminX Pharmaceuticals (Montreal, Canada). Obatoclox powder was dissolved in DMSO to a concentration of 5 mM, aliquoted and stored at -20°C . Recombinant human TRAIL was purchased from Calbiochem (San Diego, CA, USA). TRAIL was dissolved in sterile PBS/BSA 0.1% to a concentration of 20 $\mu\text{g}/\text{ml}$, aliquoted and stored at -80°C . ENU was purchased from Sigma-Aldrich (St. Louis, MO, USA) and dissolved in DMSO to a concentration of 50 mg/ml, aliquoted and stored at -80°C .

Cell lines and culture. All cells were maintained in 5% CO_2 at 37°C . H1975 and H460 non-small-cell lung cancer (NSCLC) cells were maintained in Roswell Park Memorial Institute (RPMI) 1640 medium supplemented with 10% fetal bovine serum and 50 $\mu\text{g}/\text{ml}$ penicillin/streptomycin (all supplied by Life Technologies Inc., Paisley, Scotland). H460^{shBAX/BAK} and H460^{shNT/NT} cells were maintained in RPMI as described above, supplemented with 2 $\mu\text{g}/\text{ml}$ puromycin and 400 $\mu\text{g}/\text{ml}$ G418 (both from Sigma-Aldrich). H727 NSCLC cells were maintained in Dulbecco's Modified Eagle Medium (DMEM) supplemented with 10% fetal bovine serum, 1 mM sodium pyruvate and 50 $\mu\text{g}/\text{ml}$ penicillin/streptomycin (all supplied by Life Technology Inc.). BAX/BAK double-knockout mouse embryonic fibroblasts (DKO MEFs) and their WT controls were a kind gift from Dr. Scott Oakes (University of California, San Francisco, CA, USA). WT and DKO MEFs were maintained in Iscove's Modified Dulbecco's Medium (IMDM) supplemented with 10% fetal bovine serum, 2 mM L-glutamine, 0.1 mM non-essential amino acids and 50 $\mu\text{g}/\text{ml}$ penicillin/streptomycin (all from Life Technology Inc.). Atg7 WT and Atg7^{-/-} MEFs were a kind gift from Dr. Masaaki Komatsu (The Tokyo Metropolitan Institute Medical Science, Tokyo, Japan). Atg7 WT and Atg7^{-/-} MEFs were maintained in DMEM supplemented with 10% fetal bovine serum, 1 mM sodium pyruvate, 0.1 mM non-essential amino acids and 50 $\mu\text{g}/\text{ml}$ penicillin/streptomycin (all from Life Technology Inc.).

Immunoblot analysis. When analysing phosphoproteins, cells were scraped into ice-cold PBS supplemented with 1 mM Na_3VO_4 , otherwise cells were scraped into media and pelleted by centrifugation at 1500 r.c.f at 4°C for 5 min. Whole-cell lysates were obtained by snap freezing cells in RIPA lysis buffer (50 mM Tris (pH7.4), 150 mM NaCl, 5 mM EDTA, 1% Triton X, 0.1% SDS and one mini complete protease inhibitor tablet per 10 ml (Roche Diagnostics, Indianapolis, IN, USA)) and defrosting frozen lysates on ice. When analysing phosphoproteins, RIPA was further supplemented with 1 mM Na_3VO_4 and 1 mM NaF. Insoluble cell debris was removed from cell lysates by centrifugation at 13 000 r.p.m for 15 min at 4°C . Samples were resolved by SDS-PAGE on 12% gels, transferred to nitrocellulose membranes and immunoblotted with the indicated primary antibodies. Goat anti-rabbit and goat anti-mouse horseradish peroxidase-conjugated secondary antibodies (Dako UK Ltd., Cambridgeshire, UK) were used in conjunction with appropriate primary antibodies. Bound antibodies were visualized using Amersham ECL plus chemiluminescent reagents (GE Healthcare Life Sciences, Buckinghamshire, UK) and Fuji-RX X-ray film (Fuji, Bedfordshire, UK). Rabbit

primary antibodies used were those raised against cleaved caspase 9, cleaved caspase 3, LC3B, beclin-1, Atg5, Atg7, BAX, BAK and phospho S6 kinase (thr389) (all from Cell Signaling Technology Inc., Danvers, MA, USA), BAK N-terminus (Millipore, Billerica, MA, USA) and α -tubulin (Abcam, Cambridge, MA, USA). Mouse primary antibodies used were those raised against PARP (eBiosciences, San Diego, CA, USA), SMAC and Cytochrome *c* (both Calbiochem), GAPDH and VDAC (both Abcam), and β -actin (Sigma-Aldrich).

Immunoprecipitation. H460 cells were treated with obatoclox for 6, 24 and 48 h. The cells were then harvested, washed in ice-cold PBS and lysed with NP-40 whole-cell lysis buffer (150 mM NaCl, 50 mM TrisHCl pH8, 5 mM EDTA pH8, 1% NP40, 2 mM DTT, 1 mM PMSF, 1 $\mu\text{g}/\text{ml}$ pepstatin A, 1 $\mu\text{g}/\text{ml}$ aprotinin, 2 mg/ml leupeptin and 1 mM Benzamide). Immunoprecipitation assays were carried out using 500 μg of protein and either 1 μg of murine α -BAK antibody (BD Biosciences, Franklin Lakes, NJ, USA) or a murine IgG isotype control antibody (Dako, Glostrup, Denmark). Lysates were incubated at 4°C overnight with 20 μl packed volume protein-G agarose beads (Sigma-Aldrich). Beads and bound immuno-complexes were spun down at low speed and the supernatant removed and then washed three times with 0.5 ml IP wash buffer (20 mM Hepes pH7.9, 75 mM KCl or 150 mM KCl, 2.5 mM MgCl_2 , 0.1% NP-40, 1 mM DTT, 1 mM PMSF, 1 $\mu\text{g}/\text{ml}$ pepstatin A, 1 $\mu\text{g}/\text{ml}$ aprotinin, 2 mg/ml leupeptin and 1 mM Benzamide). Immunoprecipitated proteins were eluted with 30 μl of SDS sample buffer and heated at 95°C for 5 min. A volume of 15 μl of the eluted samples was separated by SDS-PAGE and immunoblotted with α -MCL-1 antibody (Cell Signaling Technology Inc.).

Densitometry analysis. Densitometry analysis of western blots was carried out using ImageJ public domain image processing and analysis software.

ATP luminescent viability assay. The viability of cells on the basis of ATP levels was determined using Vialight plus cell proliferation and cytotoxicity bioassay kit (Lonza Group Ltd., Basel, Switzerland). Analysis was carried out according to the manufacturer's instructions.

Adenylate kinase luminescent cytotoxicity assay. The level of adenylate kinase release from cells was used as a measure of cytotoxicity. Adenylate kinase release was measured using ToxiLight non-destructive cytotoxicity bioassay kit (Lonza Group Ltd., Basel, Switzerland). Analysis was carried out according to the manufacturer's instructions.

Cell proliferation kinetics. Cells were seeded in 6-well plates and drugged at indicted doses. Cells were then monitored using the INCUCYTE live cell imaging system (Essen BioScience, Ann Arbor, MI, USA). The INCUCYTE live cell imaging system was placed in a cell culture incubator operated at 37°C and 5% CO_2 . Images were acquired every 3 h for a period of 7 days. A total of 16 user-defined data points were used to calculate cell confluency over each well in a 6-well plate. Cell confluency was determined using calculations derived from phase-contrast images. This data was condensed using algorithms into quantified metrics to obtain kinetic proliferation curves.

Clonogenic assays. Cells were plated into 24-well plates at single-cell density, followed by treatment with indicated doses of obatoclox for 24 h. Medium was then replaced and cells were allowed to grow until untreated control wells had formed sufficient colonies.

Isolation of mitochondrial and cytosolic fractions. Following specified treatment periods harvested cells were incubated on ice for 15 min, centrifuged at 1500 r.c.f \times 5 min at 4°C and washed in mitochondrial isolation buffer (MIB) (200 mM Mannitol, 70 mM Sucrose, 1 mM EGTA, 10 mM HEPES, 0.5 mg/ml BSA, and pH7.4). Cells were resuspended in MIB and homogenized using a dounce homogenizer. The resulting homogenate was centrifuged at 800 r.c.f \times 5 min at 4°C . Supernatants were removed and centrifuged at 10 000 r.c.f \times 10 min at 4°C . The resulting pellet was the mitochondrial fraction and supernatant used as the cytosolic fraction.

Determination of BAK conformation by proteolysis. Isolated mitochondria were resuspended in mitochondrial respiration buffer (MRB) (125 mM KCl, 5 mM HEPES, 1 mM EGTA, 1 mM KH_2PO_4 , 2.5 mM MgCl_2 , 0.4% BSA, 7 mM Succinate, and pH 7.4) and incubated with 125 $\mu\text{g}/\text{ml}$ trypsin (GIBCO, Invitrogen, Carlsbad, CA, USA) on ice for 20 min. Trypsin digestion was

subsequently stopped using trypsin neutralization solution (Cambrex, East Rutherford, NJ, USA) and mitochondria pelleted by centrifugation at 10 000 r.c.f \times 10 min at 4°C and lysed in RIPA buffer.

siRNA transfections. Pre-designed siRNAs were purchased for beclin-1, Atg5 and Atg7 (Qiagen, Germantown, MD, USA). As control siRNA, ON-TARGETplus non-targeting pool was purchased from Dharmacon (Lafayette, CO, USA). siRNA transfections were performed using DharmaFect 1 transfection reagent (Dharmacon) according to the manufacturer's instructions.

shRNA transfections and creation of stable cell lines. Pre-designed shRNA plasmids targeting BAX, BAK and BECLN1 were purchased from SA Biosciences (Frederick, MD, USA). H460 NSCLC cells were stably transfected with each of four different sequences using FuGene 6 transfection reagent (Roche Diagnostics). Transfected cells were put through two rounds of selection using appropriate antibiotic (puromycin 2 μ g/ml, G418 400 μ g/ml) to select multiple stable clones. Clones judged to have best knockdown were taken forward for analysis. For double transfection of BAX and BAK, cells stably expressing shRNA-targeting BAX were re-transfected with shRNA-targeting BAK and clones expressing stable knockdown of both BAX and BAK were selected.

hVps34-specific activity. Cells were cultured to 80–90% confluency then washed three times in PBS before depriving them of amino acids for 0 and 2 h in Earle's Balanced Salt Solution (EBSS) (Life Technology Inc.). Following lysis, cell extracts were clarified by centrifugation and then subjected to immunoprecipitation with anti-hVps34 antibody. Immunocomplexes were assayed for hVps34 lipid-kinase activity with PtdIns substrate and Mn²⁺ + (³²P- γ -ATP). Lipid products were visualized by exposure of TLC plates to a phosphor screen, read using a Fuji FLA-7000 and quantified using multi-gauge software. Duplicate immunoprecipitations were resolved by SDS-PAGE and immunoblotted for hVps34 and beclin-1. hVps34 activity was expressed as specific activity after normalizing to the amount of immunoprecipitated hVps34 detected by immunoblotting.

Transmission electron microscopy. Following treatment with obatoclox, cells were trypsinized and fixed in TEM fixative (4% paraformaldehyde, 2.5% glutaraldehyde made up in 0.1 M sodium cacodylate buffer). Fixation was for 4 h at 37°C after which excess fixative was washed out in cacodylate buffer (4–6 h) before samples were post-fixed (1 h) in 1% aq osmium tetroxide then dehydrated in graded ethanol solutions before embedment in SPI-PON 812 epoxy resin (SPI chemicals Ltd., West Chester, PA, USA).

Selection of obatoclox-resistant cells. Cells resistant to obatoclox were selected using ENU to induce mutagenesis. H460 NSCLC cells were seeded into T80 tissue culture flasks (Nunc, Life Technologies Inc.) at 50–60% confluency. Cells were then exposed to 75 μ g/ml ENU (Sigma-Aldrich) for 24 h. Following ENU exposure, cells were washed three times with RPMI and re-seeded in complete growth medium and allowed to proliferate for 1 week. After 1 week, cells were seeded into 96-well plates at 8000 cells per well and treated with a range of obatoclox doses. The concentrations of obatoclox used were 0.3, 1, 3, and 10 μ M. Wells were observed for growth by visual inspection for 4–8 weeks. When growth occurred cells were transferred to 24-well plates and expanded in the presence of the corresponding dose of obatoclox.

Statistical analysis. All data represent at least three independent experiments and are expressed as mean \pm S.D. or mean \pm S.E. as stated. Differences between groups were compared using Student's t-test where appropriate (**P*-value = 0.01–0.05, ***P*-value = 0.001–0.01, ****P*-value = <0.001). EC₅₀ values were determined using non-linear regression on GraphPad Prism 5 (GraphPad, La Jolla, CA, USA).

Conflict of interest

The authors declare no conflict of interest.

Acknowledgements. We thank Drs. Gordon Shore and Pierre Beuparlant (Gemin X) for provision of obatoclox, Dr. Scott Oakes (University of California, San Francisco, CA, USA) for providing BAX/BAK DKO MEFs, and Dr. Masaaki Komatsu (The Tokyo Metropolitan Institute Medical Science, Tokyo, Japan) for providing Atg7

knockout MEFs. This work was supported by Cancer Research UK, DEL studentship and the Health and Social Care Research and Development Office, Belfast, Northern Ireland. Dr. Fennell is a recipient of a Cancer Research Clinician Scientist Fellowship.

- Hanahan D, Weinberg RA. The hallmarks of cancer. *Cell* 2000; **100**: 57–70.
- Wei MC, Zong WX, Cheng EH, Lindsten T, Panoutsakopoulou V, Ross AJ *et al*. Proapoptotic BAX and BAK: a requisite gateway to mitochondrial dysfunction and death. *Science* 2001; **292**: 727–730.
- Li P, Nijhawan D, Budihardjo I, Srinivasula SM, Ahmad M, Alnemri ES *et al*. Cytochrome c and dATP-dependent formation of Apaf-1/caspase-9 complex initiates an apoptotic protease cascade. *Cell* 1997; **91**: 479–489.
- Zou H, Henzel WJ, Liu X, Lutschg A, Wang X. Apaf-1, a human protein homologous to C. elegans CED-4, participates in cytochrome c-dependent activation of caspase-3. *Cell* 1997; **90**: 405–413.
- Zou H, Li Y, Liu X, Wang X. An APAF-1/cytochrome c multimeric complex is a functional apoptosome that activates procaspase-9. *J Biol Chem* 1999; **274**: 11549–11556.
- Jiang X, Wang X. Cytochrome c promotes caspase-9 activation by inducing nucleotide binding to Apaf-1. *J Biol Chem* 2000; **275**: 31199–31203.
- Lakhani SA, Masud A, Kuida K, Porter GA, Booth CJ, Mehal WZ *et al*. Caspases 3 and 7: key mediators of mitochondrial events of apoptosis. *Science* 2006; **311**: 847–851.
- Kuwana T, Bouchier-Hayes L, Chipuk JE, Bonzon C, Sullivan BA, Green DR *et al*. BH3 domains of BH3-only proteins differentially regulate Bax-mediated mitochondrial membrane permeabilization both directly and indirectly. *Mol Cell* 2005; **17**: 525–535.
- Wei MC, Lindsten T, Mootha VK, Weiler S, Gross A, Ashiya M *et al*. tBID, a membrane-targeted death ligand, oligomerizes BAK to release cytochrome c. *Genes Dev* 2000; **14**: 2060–2071.
- Beroukhi R, Mermel CH, Porter D, Wei G, Raychaudhuri S, Donovan J *et al*. The landscape of somatic copy-number alteration across human cancers. *Nature* 2010; **463**: 899–905.
- Letai AG. Diagnosing and exploiting cancer's addiction to blocks in apoptosis. *Nat Rev Cancer* 2008; **8**: 121–132.
- Zhang L, Ming L, Yu J. BH3 mimetics to improve cancer therapy; mechanisms and examples. *Drug Resist Updat* 2007; **10**: 207–217.
- Oltschendorf T, Elmoro SW, Shoemaker AR, Armstrong RC, Augeri DJ, Belli BA *et al*. An inhibitor of Bcl-2 family proteins induces regression of solid tumours. *Nature* 2005; **435**: 677–681.
- van Delft MF, Wei AH, Mason KD, Vandenberg CJ, Chen L, Czabotar PE *et al*. The BH3 mimetic ABT-737 targets selective Bcl-2 proteins and efficiently induces apoptosis via Bak/Bax if Mcl-1 is neutralized. *Cancer Cell* 2006; **10**: 389–399.
- Tahir SK, Yang X, Anderson MG, Morgan-Lappe SE, Sarthy AV, Chen J *et al*. Influence of Bcl-2 family members on the cellular response of small-cell lung cancer cell lines to ABT-737. *Cancer Res* 2007; **67**: 1176–1183.
- Shore GC, Viallet J. Modulating the bcl-2 family of apoptosis suppressors for potential therapeutic benefit in cancer. *Hematology Am Soc Hematol Educ Program* 2005; **2005**: 226–230.
- Zhai D, Jin C, Satterthwait AC, Reed JC. Comparison of chemical inhibitors of antiapoptotic Bcl-2-family proteins. *Cell Death Differ* 2006; **13**: 1419–1421.
- Nguyen M, Marcellus RC, Roulston A, Watson M, Serfass L, Murthy Madiraju SR *et al*. Small molecule obatoclox (GX15-070) antagonizes MCL-1 and overcomes MCL-1-mediated resistance to apoptosis. *Proc Natl Acad Sci USA* 2007; **104**: 19512–19517.
- Konopleva M, Watt J, Contractor R, Tsao T, Harris D, Estrov Z *et al*. Mechanisms of antileukemic activity of the novel Bcl-2 homology domain-3 mimetic GX15-070 (obatoclox). *Cancer Res* 2008; **68**: 3413–3420.
- Mott JL, Bronk SF, Mesa RA, Kaufmann SH, Gores GJ. BH3-only protein mimetic obatoclox sensitizes cholangiocarcinoma cells to Apo2L/TRAIL-induced apoptosis. *Mol Cancer Ther* 2008; **7**: 2339–2347.
- Perez-Galan P, Roue G, Villamor N, Campo E, Colomer D. The BH3-mimetic GX15-070 synergizes with bortezomib in mantle cell lymphoma by enhancing Noxa-mediated activation of Bak. *Blood* 2007; **109**: 4441–4449.
- Trudel S, Li ZH, Rauw J, Tiedemann RE, Wen XY, Stewart AK. Preclinical studies of the pan-Bcl inhibitor obatoclox (GX015-070) in multiple myeloma. *Blood* 2007; **109**: 5430–5438.
- Huang S, Okumura K, Sinicrope FA. BH3 mimetic obatoclox enhances TRAIL-mediated apoptosis in human pancreatic cancer cells. *Clin Cancer Res* 2009; **15**: 150–159.
- Vogler M, Weber K, Dinsdale D, Schmitz I, Schulze-Osthoff K, Dyer MJ *et al*. Different forms of cell death induced by putative BCL2 inhibitors. *Cell Death Differ* 2009; **16**: 1030–1039.
- Pan J, Cheng C, Verstovsek S, Chen Q, Jin Y, Cao Q. The BH3-mimetic GX15-070 induces autophagy, potentiates the cytotoxicity of carboplatin and 5-fluorouracil in esophageal carcinoma cells. *Cancer Lett* 2010; **293**: 167–174.
- Bonapace L, Bornhauser BC, Schmitz M, Cario G, Ziegler U, Niggli FK *et al*. Induction of autophagy-dependent necroptosis is required for childhood acute lymphoblastic leukemia cells to overcome glucocorticoid resistance. *J Clin Invest* 2010; **120**: 1310–1323.

27. Martin AP, Mitchell C, Rahmani M, Nephew KP, Grant S, Dent P. Inhibition of MCL-1 enhances lapatinib toxicity and overcomes lapatinib resistance via BAK-dependent autophagy. *Cancer Biol Ther* 2009; **8**: 2084–2096.
28. Martin AP, Park MA, Mitchell C, Walker T, Rahmani M, Thorburn A *et al*. BCL-2 family inhibitors enhance histone deacetylase inhibitor and sorafenib lethality via autophagy and overcome blockade of the extrinsic pathway to facilitate killing. *Mol Pharmacol* 2009; **76**: 327–341.
29. Pattingre S, Tassa A, Qu X, Garuti R, Liang XH, Mizushima N *et al*. Bcl-2 antiapoptotic proteins inhibit Beclin 1-dependent autophagy. *Cell* 2005; **122**: 927–939.
30. Maiuri MC, Ciriollo A, Tasmemir E, Vicencio JM, Tajeddine N, Hickman JA *et al*. BH3-only proteins and BH3 mimetics induce autophagy by competitively disrupting the interaction between Beclin 1 and Bcl-2/Bcl-X(L). *Autophagy* 2007; **3**: 374–376.
31. Erlich S, Mizrachy L, Segev O, Lindenboim L, Zmira O, Adi-Harel S *et al*. Differential interactions between Beclin 1 and Bcl-2 family members. *Autophagy* 2007; **3**: 561–568.
32. Levine B, Sinha S, Kroemer G. Bcl-2 family members: dual regulators of apoptosis and autophagy. *Autophagy* 2008; **4**: 600–606.
33. Qu X, Yu J, Bhagat G, Furuya N, Hibshoosh H, Troxel A *et al*. Promotion of tumorigenesis by heterozygous disruption of the beclin 1 autophagy gene. *J Clin Invest* 2003; **112**: 1809–1820.
34. Yue Z, Jin S, Yang C, Levine AJ, Heintz N. Beclin 1, an autophagy gene essential for early embryonic development, is a haploinsufficient tumor suppressor. *Proc Natl Acad Sci USA* 2003; **100**: 15077–15082.
35. Pattingre S, Levine B. Bcl-2 inhibition of autophagy: a new route to cancer? *Cancer Res* 2006; **66**: 2885–2888.
36. Shimizu S, Kanaseki T, Mizushima N, Mizuta T, Arakawa-Kobayashi S, Thompson CB *et al*. Role of Bcl-2 family proteins in a non-apoptotic programmed cell death dependent on autophagy genes. *Nat Cell Biol* 2004; **6**: 1221–1228.
37. Gobeil S, Boucher CC, Nadeau D, Poirier GG. Characterization of the necrotic cleavage of poly(ADP-ribose) polymerase (PARP-1): implication of lysosomal proteases. *Cell Death Differ* 2001; **8**: 588–594.
38. Gao P, Bauvy C, Souquere S, Tonelli G, Liu L, Zhu Y *et al*. The BH3-mimetic gossypol induces both beclin 1-dependent and beclin 1-independent cytoprotective autophagy in cancer cells. *J Biol Chem* 2010.
39. Zhu JH, Horbinski C, Guo F, Watkins S, Uchiyama Y, Chu CT. Regulation of autophagy by extracellular signal-regulated protein kinases during 1-methyl-4-phenylpyridinium-induced cell death. *Am J Pathol* 2007; **170**: 75–86.



Cell Death and Disease is an open-access journal published by Nature Publishing Group. This work is licensed under the Creative Commons Attribution-NonCommercial-No Derivative Works 3.0 Unported License. To view a copy of this license, visit <http://creativecommons.org/licenses/by-nc-nd/3.0/>