

# Antagonistic roles of Notch and p63 in controlling mammary epithelial cell fates

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The breast epithelium has two major compartments, luminal and basal cells, that are established and maintained by poorly understood mechanisms. The p53 homolog, p63, is required for the formation of mammary buds, but its function in the breast after birth is unknown. We show that in primary human breast epithelial cells, maintenance of basal cell characteristics depends on continued expression of the p63 isoform,  $\Delta$ Np63, which is expressed in the basal compartment. Forced expression of  $\Delta$ Np63 in purified luminal cells confers a basal phenotype. Notch signaling downmodulates  $\Delta$ Np63 expression and mimics  $\Delta$ Np63 depletion, whereas forced expression of  $\Delta$ Np63 partially counteracts the effects of Notch. Consistent with Notch activation specifying luminal cell fate in the mammary gland, Notch signaling activity is specifically detected in mice at sites of pubertal ductal morphogenesis where luminal cell fate is determined. Basal cells in which Notch signaling is active show decreased p63 expression. Both constitutive expression of  $\Delta$ Np63 and ablation of Notch signaling are incompatible with luminal cell fate. Thus, the balance between basal and luminal cell compartments of the breast is regulated by antagonistic functions of  $\Delta$ Np63 and Notch.

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The breast epithelium consists of two cell compartments, luminal and basal. Luminal cells are connected by tight junctions and form a single layer of polarized epithelium around the ductal lumen. They express cytokeratin (CK) 18 and high levels of heat-stable surface antigen CD24.<sup>1–2</sup> About a third of the luminal epithelial cells express the nuclear hormone receptors, estrogen receptor- $\alpha$  (ER $\alpha$ ) and progesterone receptor,<sup>3</sup> and act as sensor cells by detecting steroid hormones and translating this systemic stimulus into local autocrine/paracrine signals.<sup>4</sup>

The basal compartment comprises all the cells that do not touch the lumen, these include progenitors and myoepithelial cells.<sup>2</sup> The latter are contractile, form a meshwork around the luminal cells, and have a role in milk ejection during lactation. Basal cells express CK14 and have extensive contact with the basal lamina, dependent on high expression of the adhesion molecules integrin  $\beta$ 4 (ITGB4) and  $\alpha$ 6 (ITGA6).<sup>5–6</sup> Human basal and luminal cells can be separated based on differential expression of the surface antigen CD10;<sup>1</sup> their murine counterparts can be distinguished by their CD24 immunophenotype, with basal cells expressing low (CD24<sup>lo</sup>) and luminal cells expressing high levels (CD24<sup>hi</sup>).<sup>2</sup>

Fluorescence-activated cell sorting (FACS) experiments with mouse mammary epithelial cells (MMECs) have shown

that CD24<sup>lo</sup> populations with high expression of ITGB1 (CD29<sup>hi</sup>) or ITGA6 (CD49<sup>hi</sup>) are enriched for cells with *in vivo* reconstitution potential.<sup>7–8</sup> It is thought that mammary stem cells of CD24<sup>lo</sup>CD29<sup>hi</sup>/CD49<sup>hi</sup> phenotype, give rise, either directly or through intermediary cell stages, to bipotent progenitors. These in turn generate basal/myoepithelial and luminal progenitors that differentiate into mature myoepithelial cells and different types of luminal cells that can be alveolar or ductal and ER $\alpha$  positive or negative.<sup>9</sup>

Recently, Notch signaling has been implicated in controlling stem cells and lineage commitment in different ways.<sup>10–12</sup> Binding of ligands, Jagged1 and 2, and Dll1, 3, and 4 expressed on the surface of neighboring cells leads to cleavage of Notch receptors (1–4) and release of the Notch intracellular domain, which converts the DNA-binding protein CBF1/Rbp-J $\kappa$  from a repressor to an activator of transcription.<sup>13</sup> On the one hand, Notch activation promoted self-renewal of stem cells, proliferation of progenitor cells, and commitment to the myoepithelial lineage in human breast epithelial cells (HBECs), as assessed by the mammosphere assay.<sup>11</sup> On the other hand, cell separation experiments combined with colony-forming assays suggested that Notch signaling restricts bipotential human progenitors to a luminal cell fate<sup>10</sup> and downmodulation of Rbp-J $\kappa$  in mouse progenitor

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**Keywords:** breast epithelium; cell fate; Notch; p63; progenitor

**Abbreviations:** BrdU, bromodeoxyuridine; CK, cytokeratin; ER, estrogen receptor; FACS, fluorescence-activated cell sorting; HBEC, human breast epithelial cell; ITGA6, integrin  $\alpha$ 6; ITGB4, integrin  $\beta$ 4; MMEC, mouse mammary epithelial cell; MMTV, mouse mammary tumor virus; NICD, Notch1 intracellular domain; SMA, smooth muscle actin; TEB, terminal end bud; TNR, transgenic Notch reporter; WT, wild type.

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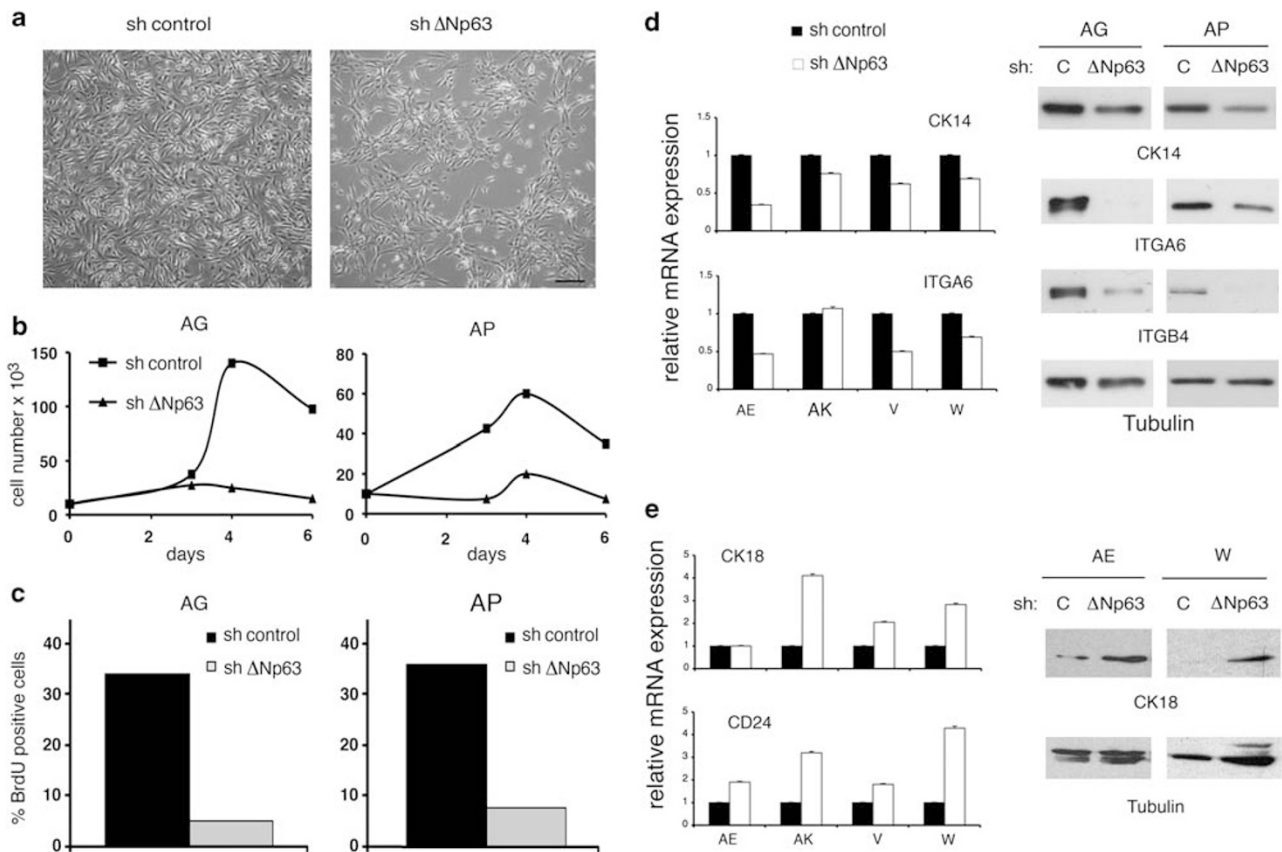
cells increased their reconstitution potential.<sup>12</sup> Notch signaling has long been established as oncogenic in the mammary epithelium as a mouse mammary tumor virus (MMTV) insertion resulting in a truncated, constitutively active Notch4 caused mammary tumors at high frequency.<sup>14</sup> It has also been implicated in human breast carcinogenesis, with expression of its inhibitor Numb being lost in 50% of mammary carcinomas.<sup>15</sup> Increased expression of Jagged-1 has been associated with a bad prognosis.<sup>16</sup> Whether the dual role of Notch, alternatively oncogenic or differentiation inducing, depends on cellular context, developmental stage, hormonal milieu, and/or different receptors with Notch1 and 3 implicated in differentiation<sup>10</sup> and Notch4 controlling stem cells<sup>10–11</sup> remains to be addressed. Indeed, little is known about the downstream mechanisms involved in Notch function in the breast.

*p63*, similar to its homologs *p53* and *p73*, is a sequence-specific DNA-binding protein.<sup>17</sup> Expression from different promoters produces two protein isoforms, TAp63 or  $\Delta$ Np63, which either contain or lack the N-terminal transactivation domain. Each isoform has three different C-terminal

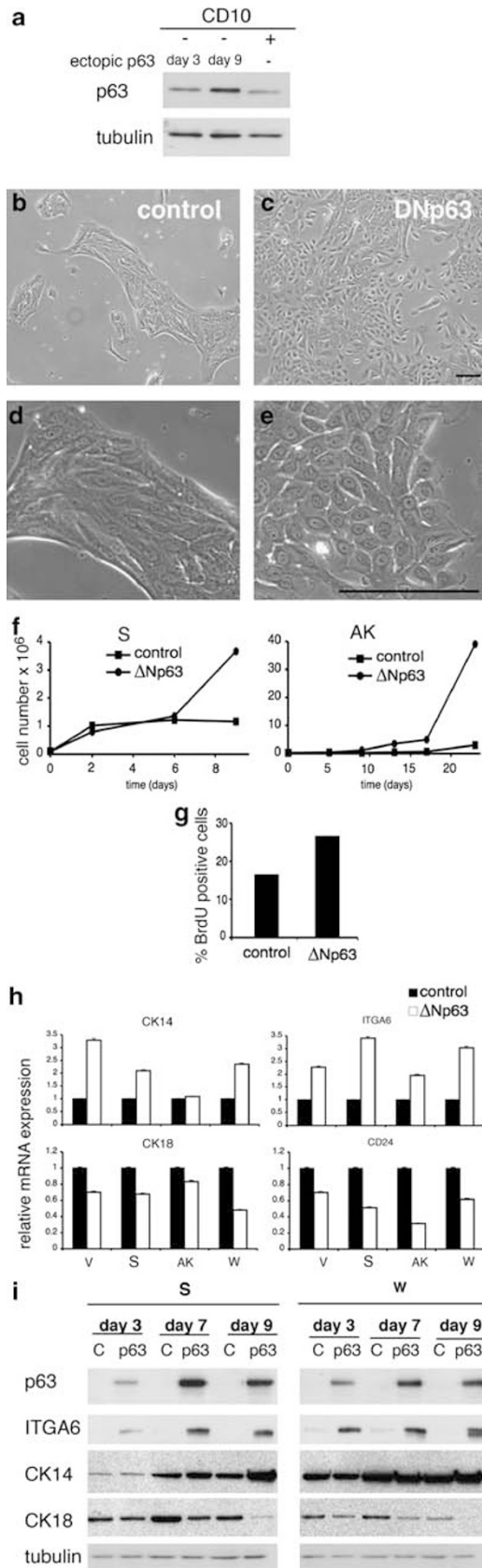
variants due to differential mRNA splicing ( $\alpha$ ,  $\beta$ , and  $\gamma$ ).<sup>17</sup> Mutations in *p63* are implicated in a broad spectrum of human syndromes with deficiencies in epithelial homeostasis.<sup>18</sup> Among them is the limb–mammary syndrome, involving hypoplasia/aplasia of the mammary gland and nipple, in which mutations in exons 13 and 14 lead to a frameshift mutation resulting in a truncated protein.<sup>18</sup>

Deletion of *p63* in the mouse germ line severely impairs skin development and blocks the formation of mammary glands and other ectodermal derivatives.<sup>19–22</sup> This circumstance prevented the analysis of *p63* function in the mammary gland. *p63* is expressed in the basal compartment of many epithelia<sup>17,20,22</sup> including the breast.<sup>23</sup> It has been implicated in maintaining the proliferation potential of stem cells in the epidermis<sup>21</sup> through the  $\Delta$ Np63 isoform, whereas TAp63 is required in maintaining integrity of the female germ line.<sup>24</sup> The molecular mechanisms underlying *p63* function involve changes in transcription of cell adhesion genes including different integrins.<sup>25</sup>

Here, we examine *p63* function in primary HBECs from multiple donors of different genetic backgrounds, and show



**Figure 1** Silencing  $\Delta$ Np63 expression in CD10(+) sorted HBECs induces luminal characteristics. (a) Photomicrographs of CD10(+) sorted HBECs 72 h after infection with control or  $\Delta$ Np63 shRNA-expressing lentiviruses. Note lower cell density in  $\Delta$ Np63 versus control shRNA-infected cell populations. Scale bar: 0.2 mm. (b) Growth curves of HBECs from two different patients plated at equal numbers on day 0, at 72 h after infection with control (squares) or  $\Delta$ Np63 shRNA-expressing (triangle) lentivirus. (c) Bar graphs representing percentage of BrdU-positive cells in control (34.1 and 35.7%) and  $\Delta$ Np63 shRNA-expressing (4.9 and 7.8%) CD10(+) sorted HBECs on day 8 after infection. (d, e) Expression levels of basal (d) and luminal (e) markers at mRNA and protein levels. Relative mRNA expression levels of basal markers CK14 and ITGA6 (d) and luminal markers CK18 and CD24 (e) in HBECs from four different individuals after infection with control (filled bars) or  $\Delta$ Np63 shRNA (open bars)-expressing virus. mRNA values represent the mean  $\pm$  S.D. of three replicate samples, normalized to TATA box-binding protein (TBP). (AE: 4, AK: 8, V: 5, W: 3 days after infection) (*P*-values: CK14, 0.09; ITGA6, 0.12; CK18, 0.12; and CD24, 0.03). Western blot of HBECs day 5 after infection with control shRNA (C) or  $\Delta$ Np63 shRNA-expressing lentivirus



that  $\Delta$ Np63 is essential for the maintenance of basal cell fate and is negatively regulated by Notch signaling. We provide evidence that this antagonism operates *in vivo* during pubertal ductal morphogenesis in the mouse mammary gland.

## Results

**$\Delta$ Np63 function in maintaining basal versus luminal cell fates.** To assess the role of p63 expression in basal breast epithelial cells, we performed loss- and gain-of-function experiments with primary HBECs. We purified luminal and basal cell populations from reduction mammoplasties using magnetic beads and an antibody recognizing CD10;<sup>1</sup> cell separation was validated by assessing marker gene expression (Supplementary Figure 1a). As reported by O'Hare *et al.*,<sup>26</sup> the two populations had distinct phenotypes: CD10(+) basal HBECs proliferated faster than CD10(-) luminal cultures and propagated over eight passages. CD10(+) cells were refractile and tended to scatter (Supplementary Figure 1b). CD10(-) HBECs formed sheets with indistinct cell boundaries (Supplementary Figure 1b) and grew only up to 2–4 passages. Both cultures remained homogeneous over time and continued to express respective markers (Supplementary Figure 1c).

Having ascertained that  $\Delta$ Np63 $\alpha$  is the predominant p63 isoform expressed in HBECs (Supplementary Figure 2a–d), we downmodulated  $\Delta$ Np63 expression in CD10(+) cells by shRNA-mediated knockdown (Supplementary Figure 2c and d).  $\Delta$ Np63 shRNA-expressing cultures grew less dense (Figure 1a) and increased less in cell numbers (Figure 1b) than control-infected populations. Consistent with  $\Delta$ Np63 downmodulation resulting in decreased proliferation, bromodeoxyuridine (BrdU) was incorporated by 34.1 and 35.7% of the control-infected cells and only by 4.9 and 7.8% of  $\Delta$ Np63 shRNA-expressing cells (Figure 1c). Transcript levels of basal markers such as *CK14* and *ITGA6* decreased (Figure 1d), whereas those of the luminal markers, *CD24* and *CK18*, increased on  $\Delta$ Np63 depletion (Figure 1e). Similarly, *ITGA6*, *ITGB4*, and *CK14* decreased (Figure 1d) and *CK18* (Figure 1e) increased at the protein level. These results

**Figure 2**  $\Delta$ Np63 expression in CD10(-)-sorted HBECs induces basal characteristics. (a) p63 protein levels in CD10(-) cells on days 3 and 9 after infection with  $\Delta$ Np63-expressing retrovirus compared with endogenous p63 levels in CD10(+) HBECs 3 days after control infection. (b–e) Photomicrographs of CD10(-)-sorted HBECs 13 days after infection with control or  $\Delta$ Np63-expressing virus. CD10(-)-sorted HBECs form islands (b) consisting of flat cells with indistinct cell borders characteristic of luminal cells (d).  $\Delta$ Np63-infected populations show higher cell density and contain refractile, spindle-shaped cells (c, e). Scale bar: 0.2 mm. (f) Growth curves of HBECs infected with control (squares) or  $\Delta$ Np63 (circles)-expressing virus. (g) Percentage of BrdU-positive cells among GFP- $\Delta$ Np63- (26.7%) and GFP only (16.6%)-expressing cells at day 8 after infection. (h) Relative mRNA levels of luminal (*CK18*, *CD24*) and basal (*CK14*, *ITGA6*) markers in CD10(-) HBECs from four different donors infected with control (filled bars) or  $\Delta$ Np63 (open bars)-expressing virus (V, 2; S and AK, 7; and W, 10 days after infection). Values represent the mean  $\pm$  S.D. of three replicate samples normalized to TBP (*P*-values: *CK14* <0.23; *CK18* <0.05; *ITGA6* <0.07; and *CD24* <0.02). (i) p63, *ITGA6*, *CK14* and *CK18* protein levels in CD10(-)-sorted HBECs from two different donors at different days after infection with either control (C) or  $\Delta$ Np63 (p63) expressing virus

suggested that  $\Delta$ Np63 expression is required to maintain the basal versus the luminal phenotype in HBECs.

To address whether  $\Delta$ Np63 is sufficient to induce a basal conversion, we ectopically expressed  $\Delta$ Np63 in CD10(–) luminal cells. Western blotting revealed that ectopically expressed p63 in CD10(–) HBECs reached levels comparable with those of endogenous p63 in CD10(+) cells 3 days after infection (Figure 2a). As expected, control-infected luminal cell populations grew slowly as islands (Figure 2b) and had indistinct cell borders (Figure 2d). By contrast, in  $\Delta$ Np63-expressing populations, cells became refractile and scattered similar to basal cells (Figure 2c and e).<sup>26</sup> Cell numbers increased by about fourfold at 9 days and by 40-fold at 23 days after infection over their respective controls (Figure 2f). The percentage of cells in S phase increased, with 26.7% of GFP- $\Delta$ Np63- versus 16.6% of GFP-only-expressing cells incorporating BrdU (Figure 2g). The morphological changes were accompanied at the molecular level by increased *CK14* and *ITGA6* and decreased *CK18* and *CD24* mRNA expression (Figure 2h). At the protein level, *ITGA6* and *CK14* were increased and *CK18* decreased in cultures expressing  $\Delta$ Np63 (Figure 2i). Thus, expression of  $\Delta$ Np63 is sufficient to induce several hallmarks of the basal phenotype in CD10(–)-sorted HBECs.

**The role of Notch in driving luminal cell fate depends on negative regulation of  $\Delta$ Np63.** Our findings suggested that  $\Delta$ Np63 is an essential control element in the maintenance of basal versus luminal cell fates. Notch3 and Notch1 have recently been implicated in driving luminal cell fate.<sup>10,12</sup> In keratinocytes, Notch1 signaling promotes differentiation and negatively regulates p63 expression,<sup>27,28</sup> making Notch signaling an attractive pathway upstream of  $\Delta$ Np63 to investigate. To test whether Notch drives luminal cell commitment by downmodulating  $\Delta$ Np63, we expressed the intracellular domain of Notch1 (NICD) in primary HBECs. Within 48 h of infection,  $\Delta$ Np63 transcript was significantly decreased (Figure 3a) and  $\Delta$ Np63 protein was consistently reduced (Figure 3b). NICD-expressing HBECs aggregated and could not be passaged. To assess the effects of Notch activation on cell proliferation, we expressed NICD under the control of phosphoglycerate kinase (PGK) promoter. This approach yielded lower NICD expression levels and less Notch signaling activation, as assessed by target gene expression (Supplementary Figure 3a), and allowed us to passage the NICD-expressing HBECs. Cell density was reduced by both high and low NICD expression (Figure 3c). Increase in cell number assessed in low NICD-expressing HBECs was reduced (Figure 3d). Consistent with this being due to decreased proliferation, BrdU incorporation (Figure 3e) and Ki67 labeling (Figure 3f) were lower among cells expressing NICD and GFP than GFP-only-expressing cells. Furthermore, increased Notch activity resulted in significant downmodulation of integrins enriched in basal cells, such as *ITGB1*, *ITGB4*, and *ITGA6* (Figure 3g and h), similar to what was observed in MCF10A cells depleted for  $\Delta$ Np63.<sup>25</sup> As we failed to detect increased expression of the luminal marker *CK18* in HBECs with constitutive Notch activation, we expressed a NICD fused to a mutant ER $\alpha$  that can be transiently activated by exposure to 4-OH-

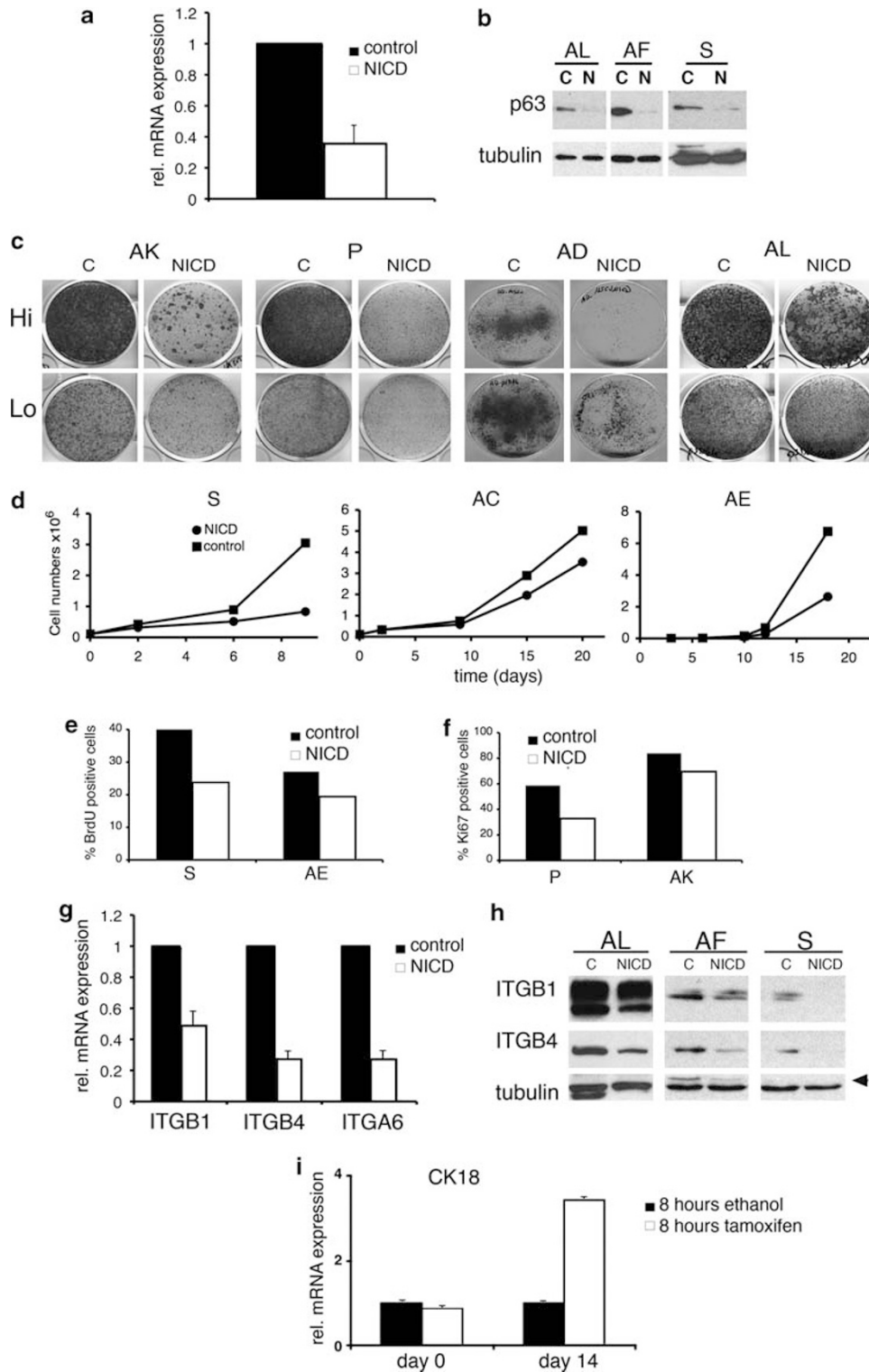
tamoxifen.<sup>29</sup> Treatment of HBECs expressing this construct with 4-OH-tamoxifen for 8 h resulted in increased Notch signaling activity, as assessed by increased mRNA expression of *Hey-1* (Supplementary Figure 3b) and increased *CK18* mRNA expression by 3.4-fold over 14 days (Figure 3i). Thus, activation of Notch signaling not only downmodulates  $\Delta$ Np63 expression but also has effects on cell proliferation and marker gene expression similar to those caused by  $\Delta$ Np63 depletion.

To address the functional significance of  $\Delta$ Np63 downmodulation in the context of increased Notch signaling, we coinfecting unsorted HBECs with NICD and  $\Delta$ Np63-expressing retroviruses. As expected, in NICD-expressing cultures, cells aggregated and cell density was reduced compared with control cultures (Figure 4a and b, left versus middle panels). In the cultures coexpressing NICD and  $\Delta$ Np63, cell density was comparable with control populations (Figure 4a and b, right panels). Increased  $\Delta$ Np63 expression also counteracted Notch-induced downmodulation of *ITGB1*, *ITGB4*, and *ITGA6* at the mRNA level (Figure 4c). At the protein level, Notch-induced downmodulation of *ITGB4* was counteracted by ectopic  $\Delta$ Np63 expression (Figure 4d). Thus,  $\Delta$ Np63 downmodulation is required for, at least, some of the effects of Notch in HBECs.

**$\Delta$ Np63/Notch signaling *in vivo*.** Our findings indicated that persistent expression of  $\Delta$ Np63 is required to maintain basal cell fate in HBECs by counteracting the role of Notch in driving and/or maintaining luminal cell fate. Such an antagonism between Notch signaling and p63 expression had been suggested to be of importance to maintain luminal cells, specifically during pregnancy, in the mouse mammary gland.<sup>30</sup> To assess when and where canonical Notch signaling is active during mammary gland development, we used a transgenic Notch reporter (TNR) mouse strain, which includes an *EGFP* gene downstream of CBF1/RBP-J $\kappa$ -responsive element (four CBF1-binding sites and the basal simian virus 40 promoter). GFP expression was shown to faithfully reflect CBF1 activity.<sup>31</sup> By fluorescent stereomicroscopy, GFP was first detected in mammary glands at 3 weeks of age, at the onset of puberty; the signal peaked between 4 and 6 weeks, it was weak at 12 weeks, and undetectable thereafter (Figure 5a). Thus, canonical Notch activity, as detected by this approach, was specific to pubertal ductal morphogenesis. At this stage of mammary gland development, extensive cell proliferation occurs at the tips of the ducts, which enlarge to become terminal end buds (TEBs).<sup>32</sup> TEBs comprise an outer layer of cap cells, enriched in stem and myoepithelial progenitor cells and inner body cells considered as luminal precursor cells.<sup>32,33</sup> Consistent with an involvement of Notch signaling in cell fate determination, the GFP signal was strongest at TEBs and decreased proximally along the subtending ducts (Figure 5b–d). Coimmunostaining for GFP and p63 revealed that expression of the two was mutually exclusive; p63 was expressed in cap or myoepithelial cells, whereas GFP expression was detected in body cells (Figure 5e, left panel) and luminal cells of the subtending duct (Figure 5e, right panel).

According to our model, Notch signaling is initially activated in basal/progenitor cells, in which it leads to downmodulation of p63 expression and triggers luminal differentiation. Hence, a subpopulation of *CD24<sup>lo</sup>* cells was expected to have active Notch signaling and decreased expression levels of

p63. Low GFP expression and subtle differences in p63 expression might not be discerned by immunohistochemical approach used in the previous experiment. Hence, we FACS-sorted MMECs from 4 to 5-week-old reporter mice on the basis of GFP and *CD24* expression after exclusion of stromal



cells. Both  $CD24^{lo}$  and  $CD24^{hi}$  populations comprised of GFP-positive cells (Figure 5f). Consistent with the immunofluorescence results,  $CD24^{hi}$  cells expressed higher levels of GFP both at the protein (Figure 5f) and mRNA levels (Figure 5g) than  $CD24^{lo}$  cell populations. Furthermore, gene expression analysis showed that ITGB1 and ITGA6 were enriched in  $CD24^{lo}$  versus  $CD24^{hi}$  cells and that p63 itself was expressed at about 170-fold higher levels in  $CD24^{lo}$  than in  $CD24^{hi}$  MMECs (Figure 5g), validating the cell separation procedure. Strikingly, among the  $CD24^{lo}$  cells, the GFP-positive population showed consistently reduced p63 mRNA expression (Figure 5g). Thus, in  $CD24^{lo}$  MMECs, in which Notch signaling is or has been active, p63 mRNA expression levels are decreased, consistent with Notch activation, decreasing p63 expression in progenitor cells *in vivo*.

**Requirement of  $\Delta$ Np63 downmodulation and activation of Notch signaling *in vivo*.** To address whether downmodulation of  $\Delta$ Np63 is required to establish luminal cells *in vivo*, we resorted to mammary gland reconstitution experiments. Briefly, in 3-week-old mice, the inguinal mammary glands can be cleared of endogenous epithelium by surgically removing the nipple-near half that contains the rudimentary ductal system. The MMECs that are injected into the remaining 'cleared' fat pad will give rise to a new ductal system.<sup>34</sup> Primary MMECs infected with either GFP- $\Delta$ Np63 or a GFP control virus, were injected into contra lateral-cleared mammary fat pads. After 3 months, MMECs infected with GFP virus gave rise to ductal systems with widespread GFP expression (Figure 6a, b, and d), whereas GFP- $\Delta$ Np63 virus-infected MMECs reconstituted less overall (Figure 6a) and had spotty GFP expression (Figure 6c and e). To determine the specific cell fate of the infected cells, we performed immunohistochemistry for the myoepithelial cell marker, smooth muscle actin (SMA). Coimmunostaining revealed that GFP control MMECs were present in both cell compartments with 66% being SMA(-) and being 34% SMA(+) (Figure 6f and g). Among the  $\Delta$ Np63-GFP-infected cells, only 14% were SMA(-) but 86% were SMA(+) (Figure 6h and i). Thus, constitutive  $\Delta$ Np63 expression impairs overall reconstitution and specifically inhibits luminal cell fate, indicating that downmodulation of  $\Delta$ Np63 is required to establish and/or maintain luminal cell lineage *in vivo*.

To address whether Notch activation is functionally important for the control of  $\Delta$ Np63 expression *in vivo*, we deleted both alleles of the central Notch mediator CBF1/RBP-J $\kappa$  in the mammary epithelium. Mice in which both alleles of

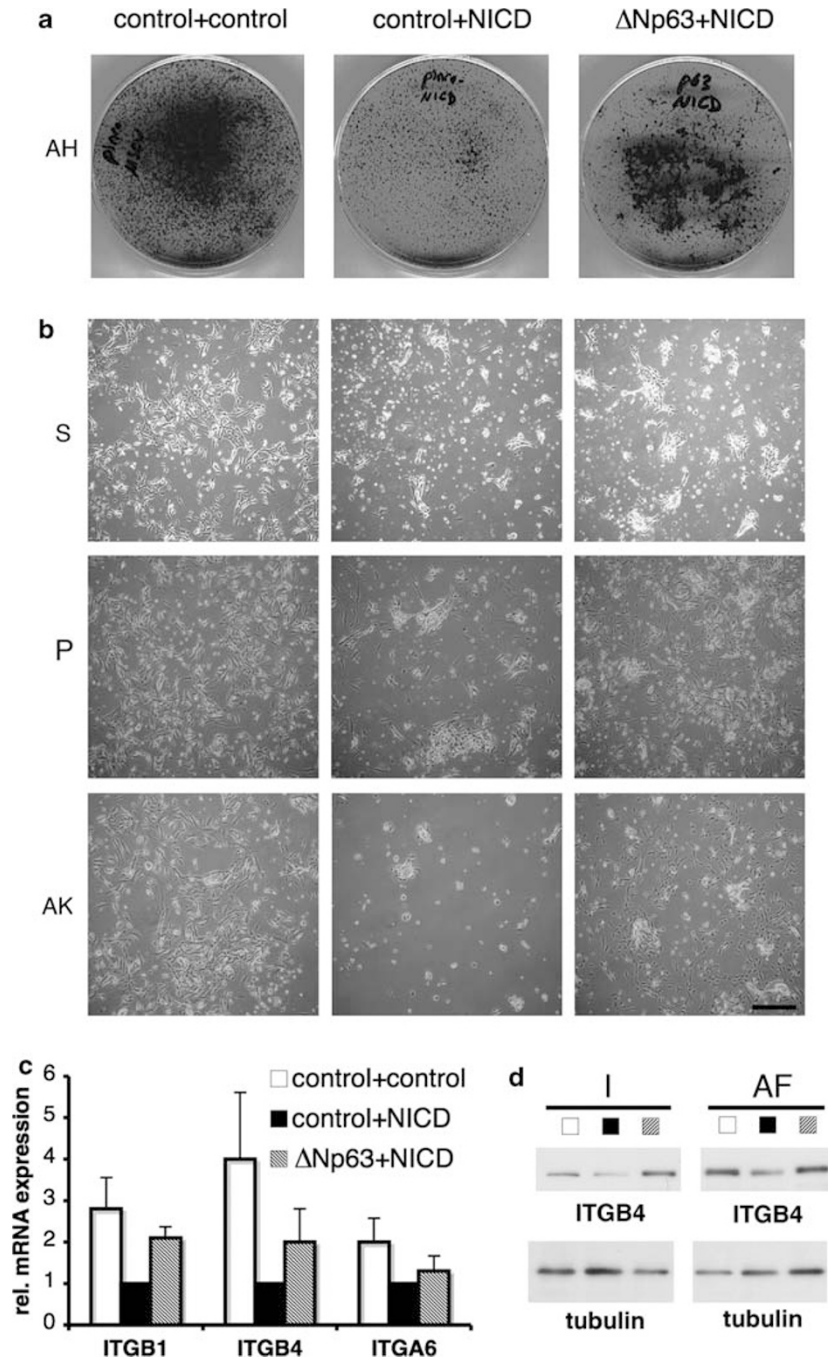
RBP-J $\kappa$  were flanked by loxP sites (*Rbpsuh<sup>fl/fl</sup>*) were crossed with *MMTV-Cre* transgenic mice.<sup>30</sup> Analysis of mammary glands from 5-week-old mice by whole mount microscopy revealed no obvious difference (Figure 7a and b), which is consistent with previous work.<sup>30</sup> However, as the *MMTV-LTR* is only active in a subset of MMECs, it was conceivable that *CBF1/RBP-J $\kappa$* -deficient MMECs were outgrown by undeleted, wild-type (WT) MMECs. To address this concern, we crossed the mice with a Cre reporter strain designated *Z/EG (LacZ/EGFP)*,<sup>35</sup> in which Cre excision activates expression of *EGFP*. Mammary epithelium of *MMTV-Cre.Z/EG* double transgenic mice, with one WT allele of *RBP-J $\kappa$* , showed a strong GFP signal (Figure 7d and f). Immunostaining revealed that about 20% of the MMECs express GFP (Figure 7h and l). In contrast, in *MMTV-Cre.Z/EG* double transgenic mice homozygous for the conditional *RBP-J $\kappa$*  allele, GFP signal was scarce (Figure 7c and e) and few GFP-expressing cells were detected by immunostaining (Figure 7g and k), indicating that *RBP-J $\kappa$* -deficient cells were negatively selected. Interestingly, coimmunostaining for GFP and p63 revealed that in *RBPfl/WT* double transgenic epithelia, GFP(+) cells were present in both p63(+) and p63(-) compartments (Figure 7h, j and l), whereas in *RBPfl/fl* double transgenic epithelia all the GFP(+)/*RBP-J $\kappa$* -deficient MECs expressed p63 (Figure 7g, i and k) and SMA (Supplementary Figure 4). This indicates that *RBP-J $\kappa$* -deficient cells were unable to downmodulate p63 expression and did not contribute to the luminal layer. Thus, both activation of canonical Notch signaling and downmodulation of  $\Delta$ Np63 are required to establish and/or maintain luminal cells *in vivo*.

## Discussion

In the present paper, we provide, to our knowledge, the first evidence for a role of  $\Delta$ Np63 in controlling cell fate in the breast epithelium, both in primary HBECs *in vitro* and in the mouse mammary gland *in vivo*. We show that  $\Delta$ Np63 is a determinant of basal/myoepithelial cell type under negative Notch control. Our results regarding the Notch function in this context are in line with studies that implicated Notch signaling in restricting bipotential progenitors to a luminal cell fate.<sup>10,12</sup> They extend them by providing evidence for a functional requirement of canonical Notch signaling in establishing the luminal cell lineage *in vivo* and identifying suppression of  $\Delta$ Np63 expression as an important underlying mechanism.

Our findings are consistent with a model for the establishment of breast epithelial cell fates through antagonistic

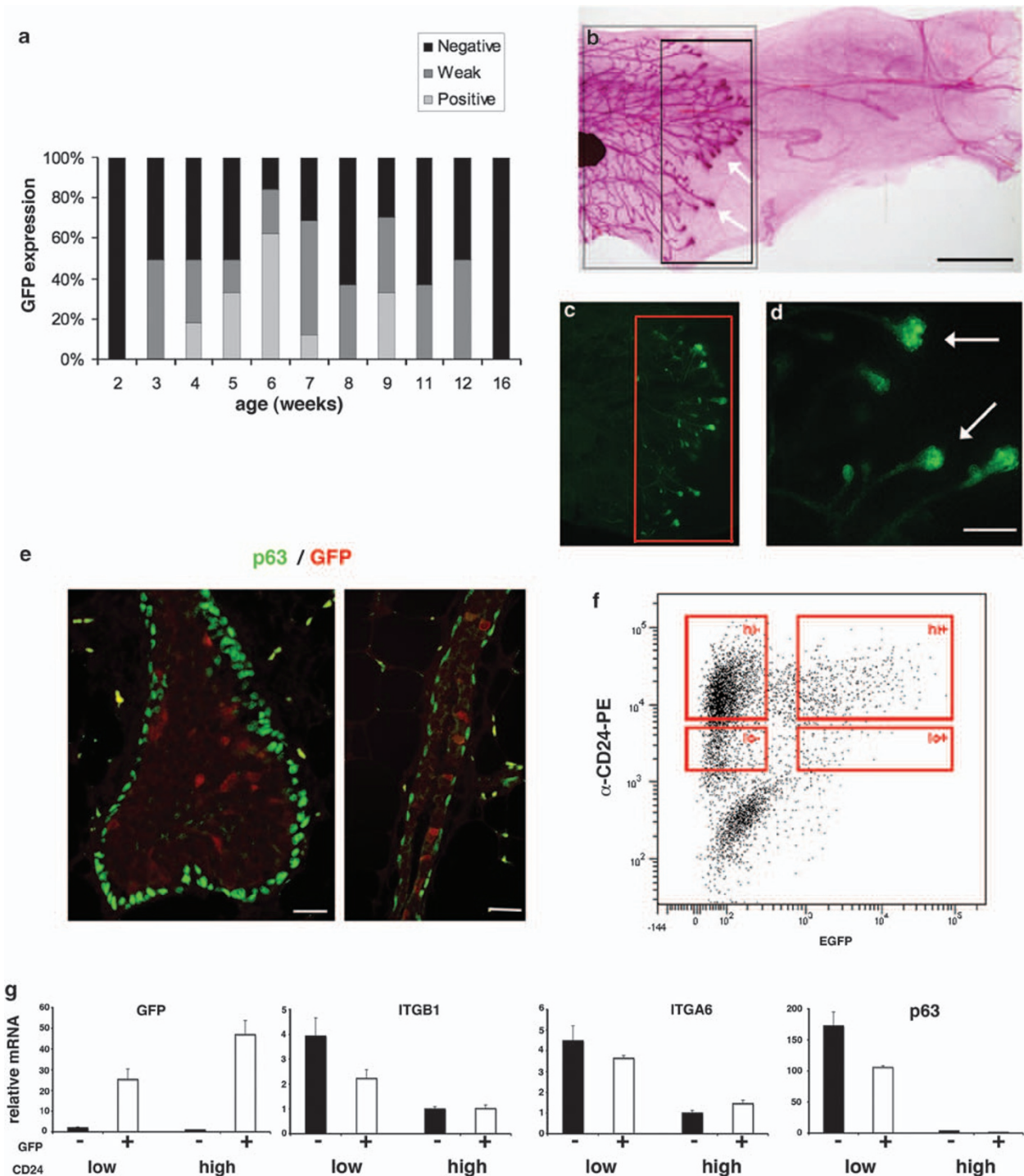
**Figure 3** Activation of Notch signaling in HBECs. (a) QRT-PCR analysis of  $\Delta$ Np63 mRNA levels normalized to TBP in HBECs from eight different donors infected with control (filled bar) or NICD (open bar)-expressing retrovirus. (b) Immunoblot of p63 protein in HBEC lysates from three different donors infected with control (C) or NICD (N) retrovirus. (c) Crystal violet staining of HBECs from four different donors 20–26 days after infection with control (C) or NICD-expressing virus, top: high NICD (Hi) expression by retrovirus, bottom: low NICD expression by lentivirus (Lo). (d) Growth curves of HBECs from three different donors infected with control (squares) or NICD-expressing (circles) lentivirus. (e) Percentage of BrdU-positive cells in control (filled bars) (40.7 and 27.1%) and NICD-expressing (open bars) (23.8 and 19.4%) HBECs on days 6 (patient S) and 11 (patient AE) after infection. (f) Percentage of Ki67-positive cells in control (filled bars) (58.8 and 83.9%) and NICD-expressing (open bars) (32.7 and 69.6%) HBECs on days 6 (patient P) and 8 (patient AK) after lentiviral infection. (g) QRT-PCR analysis of ITGB1, ITGB4, and ITGA6 mRNA levels normalized to TBP in HBECs from eight different donors infected with control (filled bar) or NICD (open bar)-expressing retrovirus (*P*-values: ITGB1 <  $7.4 \times 10^{-5}$ ; ITGB4 <  $2.5 \times 10^{-7}$ ; ITGA6 <  $2.5 \times 10^{-7}$ ). (h) ITGB1 and ITGB4 protein expression in HBECs from three different donors infected with control (C) or NICD-expressing retrovirus. (i) QRT-PCR analysis of CK18 mRNA expression in HBECs infected with inducible NICD virus. At 7 days after infection, cells were treated with ethanol (black bars) or 4-OH-tamoxifen (open bars) for 8 h and analyzed either directly after treatment (day 0) or 14 days later



**Figure 4**  $\Delta$ Np63 partially inhibits NICD effect in HBECs. (a) Crystal violet staining of HBECs double infected with two control viruses, control and NICD, or with  $\Delta$ Np63 and NICD viruses. (b) Photomicrographs of HBECs from three different donors double infected in this way. Note, that cell densities reduced and cells formed clusters in NICD-expressing populations (middle panels).  $\Delta$ Np63 and NICD coexpressing cell populations (right panels) show similar density to control (left panels) and cluster less than NICD-only-infected cells. Scale bar: 0.05 mm. (c) QRT-PCR analysis of relative mRNA expression of ITGB1, ITGB4, and ITGA6 after infection with two control (open bars), control and NICD (black bars), and  $\Delta$ Np63 and NICD (gray bars) retroviruses. Three replicate samples were analyzed and normalized to TBP. Values represent the mean  $\pm$  S.D. of three independent experiments with HBECs from distinct donors. Note,  $\Delta$ Np63 expression partially overcomes NICD-induced reduction of integrin mRNA expression (*P*-values: ITGB1, 0.02; ITGB4, 0.16; and ITGA6, 0.29). (d) ITGB4 protein levels in HBECs from different patients 9 days after infection with two control (open square), control and NICD (black square) and  $\Delta$ Np63 and NICD (gray square) retroviruses

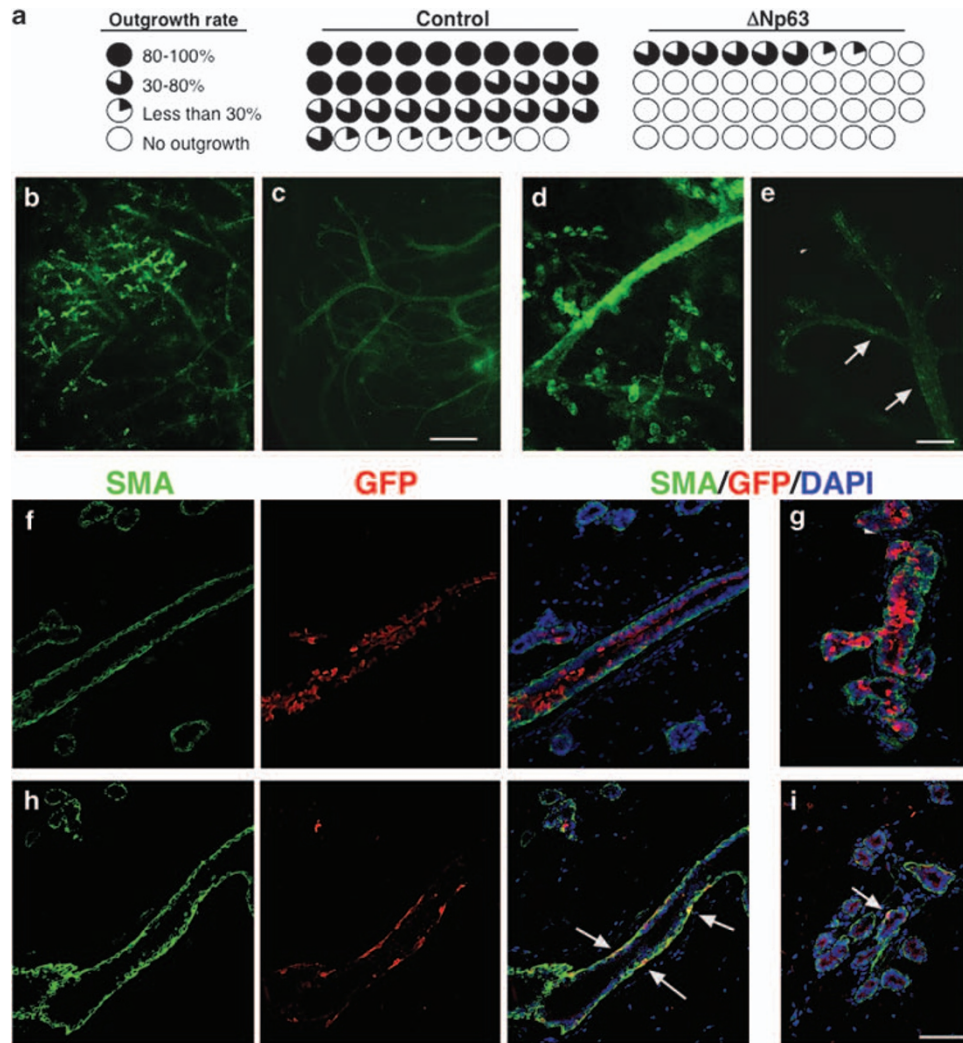
interaction of  $\Delta$ Np63 and Notch (Figure 8). The striking global changes observed with cultured HBECs suggest that myoepithelial and luminal cells are interconvertible, controlled by the relative balance of  $\Delta$ Np63 and Notch expression and

activity (Figure 8, dashed arrows). Alternatively, they may reflect increased plasticity of HBECs *in vitro*<sup>36</sup> with a large population of  $\Delta$ Np63(+) progenitor-like cells<sup>37</sup> that would be the prime target for  $\Delta$ Np63/Notch interplay leading to



**Figure 5** Notch signaling is activated during ductal outgrowth and reduces p63 expression levels *in vivo*. (a) Mammary glands of TNR mice ( $n = 4-6$  per age value) analyzed at different developmental stages were scored for overall GFP signal intensity as negative, weak, or positive. The percentage of each category was plotted over the age of the mice. Strong activation of Notch signaling was specifically observed between 4 and 6 weeks of age. (b) Stereomicrograph of a whole-mounted mammary gland from a 5-week-old TNR female. Black box represents the growing end of the mammary gland with TEBs indicated by arrows. Scale bar: 1 mm. (c) Fluorescence image of the area indicated by gray box in b. Note that GFP expression reflecting Notch activity is detected at the growing front of the ductal system marked by red box, which corresponds to the black box in b. (d) Higher magnification of TEBs (arrows) shown in c. Scale bar: 100  $\mu$ m. (e) Coimmunofluorescence for GFP (red) and p63 (green) on a mammary gland section from a 6-week-old TNR transgenic female; TEB (left panel) and subtending ducts (right panel). Note, Notch activity detected by GFP expression (red), is found exclusively in the body cells of TEB and luminal cells of immediately subtending duct; it is mutually exclusive with p63 expression. Scale bar: 30  $\mu$ m. (f) Representative FACS dot plot showing the distribution of TNR MECs based on CD24 and GFP expression. Boxes outline the sorted populations. (g) Representative QRT-PCR analysis of the four distinct FACS-sorted MMEC populations. Three replicate samples were analyzed and normalized to 36B4. Note, p63 expression is specific to the CD24<sup>lo</sup> population. Within the CD24<sup>lo</sup> population, p63 expression is lower in the GFP(+) than in GFP(-) subpopulations ( $P$ -values of four independent experiments is 0.07)





**Figure 6** Constitutive  $\Delta$ Np63 expression is incompatible with luminal cell fate. (a) Mammary gland reconstitution with MMECs infected with GFP only (control) or GFP- $\Delta$ Np63 ( $\Delta$ Np63)-expressing viruses. Each circle represents one mammary gland; the blackened region represents the area filled with outgrowth (b–e). Fluorescence images show outgrowths of mammary glands reconstituted with control (b and d) or  $\Delta$ Np63-expressing MMECs (c and e). Arrows show spotty GFP pattern in GFP- $\Delta$ Np63-expressing outgrowths. Scale bars: (b, c) 0.5 mm; (d, e) 50  $\mu$ m. (f–i) Double immunofluorescence for SMA (f and h, left panels) and GFP (f and h, middle panels). GFP control-infected MMECs are present both in SMA(+) and SMA(–) compartments (f right panel, g), whereas the rare GFP- $\Delta$ Np63-infected MMECs that contribute to outgrowth are SMA(+) (arrows in h and i), scale bar: 100  $\mu$ m

differentiation along specific lineage (Figure 8, full arrows). In either scenario, activation of Notch signaling triggers a transition to luminal progenitors by downmodulating  $\Delta$ Np63 expression and associated decrease of basal markers. Increase in Notch signaling activity in luminal progenitor stage I cells results in luminal progenitor stage II cells with a CD24<sup>hi</sup> phenotype and complete loss of  $\Delta$ Np63 expression. As luminal progenitor stage II cells differentiate into mature luminal cells, Notch signaling is, however, reduced, as suggested by our analysis of the TNR reporter mouse with loss of GFP signal in the cells of the mature ducts. This interpretation is in line with the model of transient Notch activation driving luminal cell fate proposed by Raouf *et al.*<sup>10</sup> Thus, in accordance with the proposed hierarchical transition from bipotential progenitors, with concomitant reduction to either luminal or myoepithelial progenitor cells,<sup>9</sup> our data

reveal that activation of Notch signaling in bipotential progenitors with concomitant reduction in p63 expression and activity (Figure 8, full arrow) can specify a luminal progenitor cell fate characterized by decreased  $\Delta$ Np63, ITGA6, and ITGB1 expression, whereas the persistent  $\Delta$ Np63 expression and attendant low Notch activity is required to maintain the multi-potential progenitor state and/or to favor myoepithelial differentiation.

In a broader context, we note that during pubertal ductal elongation, proliferation and cell fate determination occur specifically at the growing tips of the ducts, whereas at other stages, in particular pregnancy, proliferation is spread all over the ductal system. Previous studies of the *RBPfl/fl.MMTV-Cre* mouse model showed that mammary glands looked normal until mid-pregnancy, suggesting that Notch function is not important in puberty.<sup>30</sup> However, our finding that Notch

signaling activity *in vivo* is specifically increased during pubertal ductal morphogenesis points to the possible importance of this pathway also at this stage, while it could still be active at lower levels at other stages. In fact, using the same mouse model as those in the previous studies, but

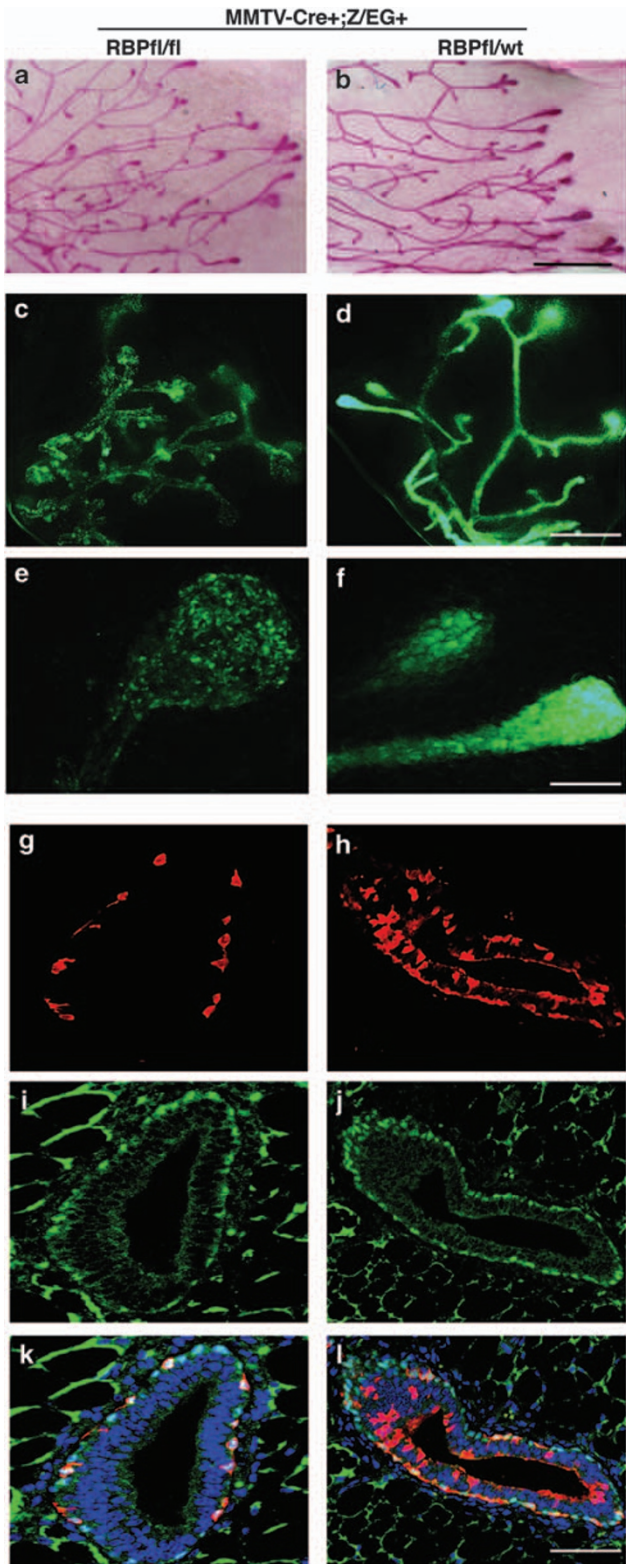
adding a GFP reporter to visualize *RBP-jk* deletion, we found that in the apparently normal mammary glands before pregnancy, cells with intact Notch signaling had been selected. Few *RBP-jk*-deficient cells, which are GFP positive, were found and these were limited to the basal compartment. This suggests that the appearance of an overt phenotype in *RBPfl/fl.MMTV-Cre* females only during pregnancy<sup>30</sup> is more likely a consequence of the increased and more widespread expression of Cre under MMTV control at this time than an essential function of Notch/*RBP-jk* limited to pregnancy. Consistent with this conclusion is the finding that expression of a dominant-negative *Xenopus* suppressor of hairless (*RBP-jk* homolog) gene impaired both pubertal ductal outgrowth and alveologenesis during pregnancy.<sup>38</sup>

The parallels of our findings in HBECs from multiple donors and in mouse point to a central and conserved antagonistic role of Notch and  $\Delta$ Np63 in breast epithelial homeostasis. With Notch signaling implicated in breast carcinogenesis,<sup>15–16</sup> the question arises whether deregulation of this antagonism has a role in tumorigenesis. The Notch pathway has been targeted and  $\gamma$ -secretase inhibitors are currently in clinical trials. Will they affect cell type specification in tumors and/or the normal breast epithelium in treated patients?

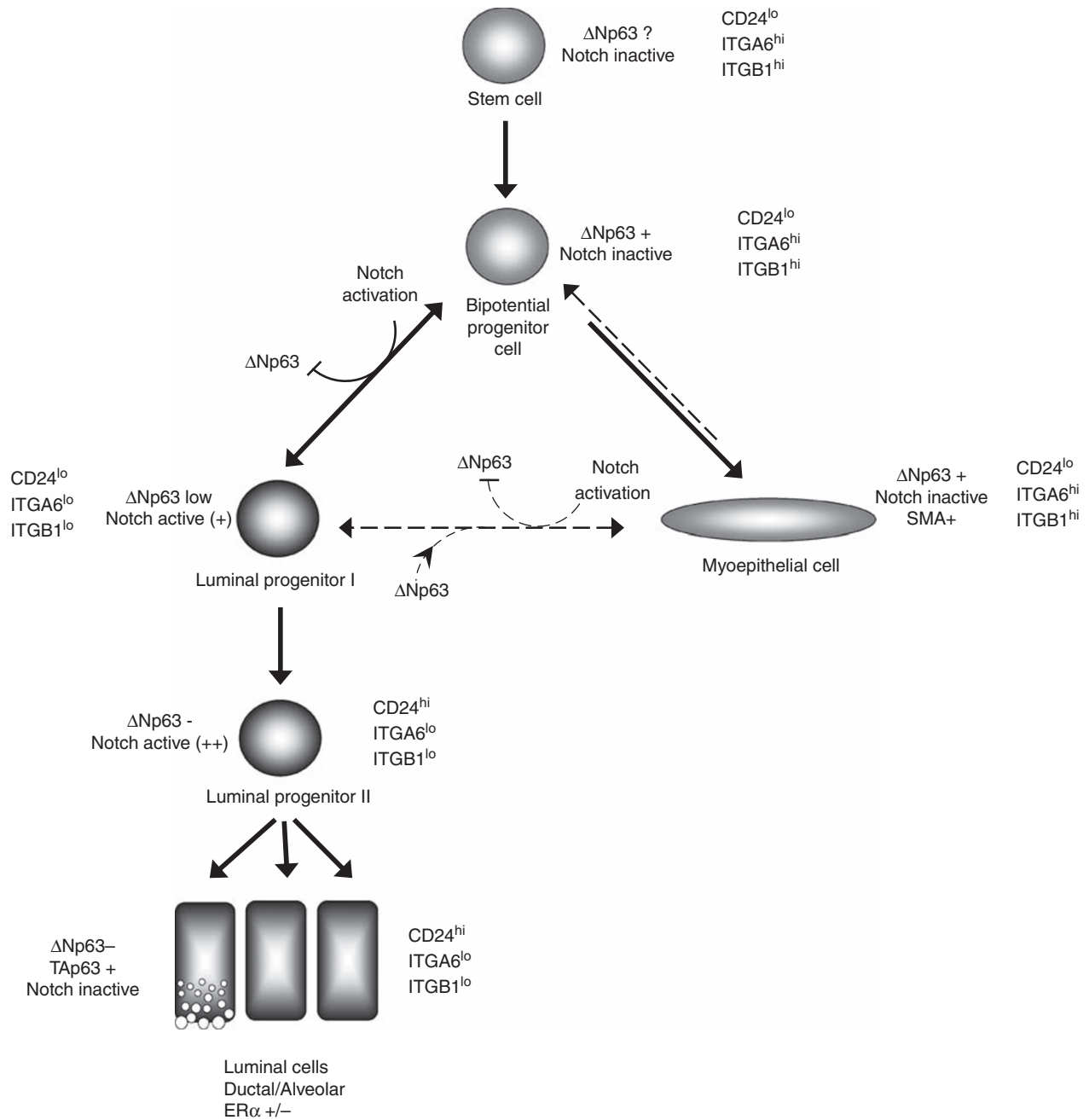
#### Materials and Methods

**Primary cell culture and viral infection.** Normal human breast tissue was obtained from women undergoing reduction mammoplasties, with no previous history of breast cancer, who gave informed consent. All samples were confirmed by histopathological examination to be free of malignancy. Primary HBECs prepared from these specimens were infected at passage 1 or 2 with high-titer amphotropic retro- or lentiviruses as described.<sup>39</sup> For selection, neomycin (400  $\mu$ g/ml) or puromycin (2  $\mu$ g/ml) were applied. For growth analysis, at each passage infected HBECs were plated at equal numbers, stained with 0.5% Crystal violet (Sigma, St. Louis, MO, USA) or counted in parallel, and population doublings were determined and used to calculate total cell numbers. For immunofluorescence, HBECs were cultured in Lab-Tek II-CO<sup>2</sup> chamber slide system (NUNC, Thermo Fisher Scientific, Langensfeld, Germany). Proliferation was assessed by immunostaining for Ki67 with anti-Ki67 (1 : 500; Neomarkers, Lab Vision Products Thermo Fisher Scientific, Fremont, CA, USA) or BrdU incorporation with anti-BrdU (1 : 300; Oxford Biotechnology, Oxford, UK) after 4 h of incubation with 50  $\mu$ M BrdU. To activate Notch signaling shortly, HBECs were infected with inducible NICD-ER virus and grown on selection medium containing neomycin. HBECs were treated 7 days after infection with 1  $\mu$ M 4-OH-tamoxifen (Sigma) or ethanol as control for 8 h.

**Cell separation.** Single cell suspensions of HBECs were prepared as described<sup>39</sup> and labeled with anti-CD10/RPE antibody (10  $\mu$ l for  $1 \times 10^6$  cells; DAKO, Glostrup, Denmark; clone SS2/36) for 30 min at room temperature. Labeled HBECs were washed with PBS, 2% bovine serum albumin, incubated with anti-PE micro beads (10  $\mu$ l/ $10^6$  cells; Miltenyi Biotec, Bergisch Gladbach, Germany) for 30 min at room temperature and separated by MACS columns placed in a MiniMACS Separator (Miltenyi Biotec) according to manufacturer's protocol.



**Figure 7** Canonical Notch signaling is required for luminal cell fate. (a, b) Whole mount stereomicrographs of mammary glands from 5-week-old littermates, either *MMTV-cre.Rbpfl/fl* (a) or *MMTV-cre.Rbpfl/wt* (b). Scale bar: 2 mm. (c–f) Fluorescent stereomicrographs of mammary glands from 4-week-old *MMTV-cre.Z/EG* transgenic mice on *Rbpfl/fl* (c, e) or *Rbpfl/wt* background (d, f). Scale bar: (c, d) 0.5 mm; (e, f) 100  $\mu$ m. GFP (g and h) and p63 (i and j) immunofluorescence on histological sections of mammary glands from *MMTV-cre.Z/EG* double transgenic mice, either *Rbpfl/fl* (g, i, k) or *Rbpfl/wt* (h, j, l). Note that in *Rbpfl/wt* double transgenic mice, GFP(+) cells are in both p63-positive and -negative compartments (l), whereas in the *Rbpfl/fl* double transgenic epithelium, they are only in the p63-positive compartment (k). Scale bar: 100  $\mu$ m



**Figure 8**  $\Delta$ Np63/Notch antagonism in the regulation of breast epithelial cell fate. The putative stem cell gives rise to a bipotential progenitor cell that can take a myoepithelial or luminal cell fate. Notch activation in the bipotential progenitor cell specifies differentiation along the luminal cell lineage. As a result, a CD24<sup>lo</sup> cell with decreased p63 expression forms the luminal progenitor stage I cell. Continued and incremental Notch activation results in a luminal progenitor stage II cell, characterized by a CD24<sup>hi</sup> phenotype and lack of  $\Delta$ Np63. This cell in turn gives rise to various differentiated luminal cells that have no detectable Notch activity. Transition of myoepithelial cells into luminal cells may occur directly or through a progenitor stage (dotted arrows)

**FACS.** Mouse mammary epithelial cells were prepared as described<sup>2,8</sup> without pre-plating. Dead cells were excluded by 7-amino-actinomycin-D staining (1 : 1000; Invitrogen, Paisley, UK). Cocktail of biotin-labeled anti-CD31 (clone MEC 13.3; 1 : 500; BD Biosciences, Erembodegem, Belgium), anti-CD140a (clone APA5; 1 : 500; eBiosciences, Hatfield, UK), anti-TER119 and anti-CD45 (1 : 50 and 1 : 1000, respectively; gift from Anne Wilson, Ludwig Institute, Lausanne, Switzerland), streptavidin-APC (0.06  $\mu$ g for  $1 \times 10^6$  cells, eBiosciences), and PE-

conjugated anti-mouse CD24 (clone M1/69; 0.5  $\mu$ g/ml; BD Biosciences) were used for stromal and epithelial cells. BD FACSAria (I) Cell Sorting System (BD Biosciences) was used for sorting.

**Mammary gland reconstitution assay.** Mouse mammary epithelial cells were isolated from 2-month-old mice, spin infected with high-titer lentivirus on day 2 in culture as described<sup>40</sup> and 50 000 control and  $\Delta$ Np63-infected MMECs were

injected into contra lateral fat pads of 3-week-old mice divested of endogenous epithelium. Engrafted glands were analyzed 3 months later.

**Viral constructs.** High NICD expression levels were obtained with MSCV-NICD retrovirus using CMV (cytomegalovirus) promoter.<sup>39</sup> For low-level expression, NICD cDNA was subcloned into psd64, lentiviral vector that uses human PGK promoter using Gateway LR Clonase II Enzyme Mix (Invitrogen).

**Protein preparation and immunoblotting.** Cells were lysed in RIPA buffer and sonicated. Total protein (20–40 μg) was subjected to SDS/PAGE followed by immunoblotting with anti-p63 (clone 4A4; 1:200; Neomarkers), anti-CK14 (1:1000; Neomarkers), anti-CK18 (clone Ab1, DC10; 1:2000; Neomarkers), anti-γ-tubulin, (1:10000; Sigma), anti-ITGA6 (1:200; Abcam, Cambridge, UK) anti-ITGB1 (clone 18; 1:2500; BD Biosciences), and anti-ITGB4 (clone 7; 1:250; BD Biosciences).

**RNA isolation and qRT-PCR.** Total RNA obtained from HBECs was isolated using RNeasy (Qiagen AG, Hombrechtikon, Switzerland), cDNA was synthesized using random p(dN)<sub>6</sub> primers (Roche Diagnostics AG, Rotkreuz, Switzerland) and MMLV reverse transcriptase (Invitrogen). Semi-quantitative real-time RT-PCRs (QRT-PCR) was performed with SYBR Green PCR Core Reagents System (Qiagen) on an iCycler real-time PCR detection system (Bio-Rad Laboratories AG, Reinach, Switzerland). Primers: ΔNp63, *Hes6*<sup>10</sup>, *Hey2*<sup>27</sup>, *Tap63* 5'-GTCCAGACACACAGACAA-3', 5'-TGCGGATACAGTCCATGCTA-3', *total p63* 5'-AGCAGCAAGTTTCGGACAGT-3', 5'-TGCTGTTCGCTGTACGTTTC-3', *CK14* 5'-CACAGCCACAGTGGACAATG-3', 5'-GGCTCTCAATCTGCATCTCC-3', *CK18* 5'-CACAGTCTGCTGAGGTTGGA-3', 5'-GAGCTGCTCCATCTGTAGGG-3', *CD24* 5'-CTGCTGGCACTGCTCCTAC-3', 5'-ACCACGAAGAGACTGGCTGT-3', *ITGA6* 5'-GGCAGCAAGCTATGAAATC-3', 5'-AAAGCAACCATTTCCATTG-3', *ITGB1* 5'-GGTCCAACCTGATCCTGTGT-3', 5'-AGCAGTAATGC AAGGCAAT-3', *ITGB4* 5'-ACTGACCCGCTCAGAACACT-3', 5'-CTCCTGCCAGCTCCTCTG-3', *NOTCH1* 5'-CTGAAGAACGGGGCTAACAA-3', 5'-CAGGTTGTA CTCGTCCAGCA-3', *Hey1* 5'-GGGAGGGGAACATATTGAATTTT-3', 5'-ATTTGTGAATTTGAGATCCGTGT-3', *TBP* 5'-TAGAAGCCCTGTGCTCACC-3', 5'-TCTGCTCTGACTTTAGCACCTG-3'.

Total RNA from equal numbers of MMECs was isolated with PicoPure RNA isolation kit (Arcturus, Mountain View, CA, USA). Amplified cDNA was prepared with WT-Ovation RNA Amplification System (NuGEN, Bommel, The Netherlands). Primers: *GFP* 5'-GCACGACTTCTCAAGTCCGCCATGCC-3', 5'-GCGGATCTTG AAGTTCACCTTGATGCC-3', *p63* 5'-CCTTATGAGCCACCACAGGT-3', 5'-GCTGTCTTCATCTGCCTTCC-3', *36B4* 5'-GTGTGTCTGCAGATCGGGTA-3', 5'-CAGATGGATCAGCCAGGAAG-3', *ITGA6* 5'-CCCCTCTCCCTGTACTAA-3', 5'-CAACAGCAAGATGGCTTGAA-3', *ITGB1* 5'-TGCTGCTTGCAGACTACAG-3', 5'-TCGAGACAGCAAGCATGT-3'. For all results, two-tailed, paired Student's *t*-test was used to calculate statistical significance.

**Mice.** Transgenic Notch reporter mice<sup>31</sup> were genotyped using GFP-specific primers 5'-TCCTTGAAGAAGATGGTGCG-3', 5'-AAGTTCATCTGCACCACCG-3'; MMTV-Cre, *Rbpsi<sup>fl/fl</sup>*, and Z/EG mice, as described.<sup>30,35</sup> All mice were maintained and handled according to the Swiss guidelines for animal safety.

**Mammary gland whole mount.** Glands were processed as described.<sup>40</sup> GFP images were acquired on Leica MZ16F stereoscope with Leica DC300F camera, whole mount images were taken on a Leica MZFLIII stereoscope (Leica, Wetzlar, Germany) with Pixelink PL-A622 camera (Pixelink, Ottawa, Canada).

**Immunostaining.** Mammary glands were fixed with 4% paraformaldehyde and paraffin embedded. Sections (μm) were stained with the following antibodies: anti-GFP (1:100; Molecular Probes, Invitrogen), anti-p63 (clone 4A41:100; Molecular Probes, Invitrogen), anti-SMA (clone Ab1; 1:200; Neomarkers); mouse-on-mouse immunodetection kit (Vector Laboratories, Burlingame, CA, USA) was used to block unpecific staining of mouse antibodies. Images were acquired on Leica DM2000 microscope with a Pixelink PL-A622C camera and Zeiss Axioplan 2-imaging fluorescence microscope (Göttingen, Germany) with AxioCam Mrm.

### Conflict of interest

The authors declare no conflict of interest.

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Supplementary Information accompanies the paper on Cell Death and Differentiation website (<http://www.nature.com/cdd>)