

## ORIGINAL ARTICLE

## Identifying rare variants for genetic risk through a combined pedigree and phenotype approach: application to suicide and asthma

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Suicidal behavior is a complex disorder, with evidence for genetic risk independent of other genetic risk factors including psychiatric disorders. Since 1996, over 3000 DNA samples from Utah suicide decedents have been collected and banked for research use through the Utah Medical Examiner. In addition, over 12 000 Utah suicides were identified through examination of death certificates back to 1904. By linking this data with the Utah Population Database, we have identified multiple extended pedigrees with increased risk for suicide completion. A number of medical conditions co-occur with suicide, including asthma, and this study was undertaken to identify genetic risk common to asthma and suicide. This study tests the hypothesis that a particular comorbid condition may identify a more homogeneous genetic subgroup, facilitating the identification of specific genetic risk factors in that group. From pedigrees at increased risk for suicide, we identified three pedigrees also at significantly increased familial risk for asthma. Five suicide decedents from each of these pedigrees, plus an additional three decedents not from these pedigrees with diagnosed asthma, and 10 decedents with close relatives with asthma were genotyped. Results were compared with 183 publicly available unaffected control exomes from 1000 Genomes and CEPH (Centre d'etude du polymorphisme humain) samples genotyped on the same platform. A further 432 suicide decedents were also genotyped as non-asthma suicide controls. Genotyping was done using the Infinium HumanExome BeadChip. For analysis, we used the pedigree extension of Variant Annotation, Analysis and Search Tool (pVAASST) to calculate the disease burden of each gene. The Phenotype Driven Variant Ontological Re-ranking tool (Phevor) then re-ranked our pVAASST results in context of the phenotype. Using asthma as a seed phenotype, Phevor traversed biomedical ontologies and identified genes with similar biological properties to those known to result in asthma. Our top associated genes included those related to neurodevelopment or neural signaling (brain-derived neurotrophic factor (*BDNF*), neutral sphingomyelinase 2 (*SMPD2*), homeobox b2 (*HOXB2*), neural cell adhesion molecule (*NCAM2*), heterogeneous nuclear ribonucleoprotein A0 (*HNRNPA0*)), inflammation (free fatty acid receptor 2 (*FFAR2*)) and inflammation with additional evidence of neuronal involvement (oxidized low density lipoprotein receptor 1 (*OLR1*), toll-like receptor 3 (*TLR3*)). Of particular interest, *BDNF* has been previously implicated in both psychiatric disorders and asthma. Our results demonstrate the utility of combining pedigree and co-occurring phenotypes to identify rare variants associated with suicide risk in conjunction with specific co-occurring conditions.

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## INTRODUCTION

In the United States, suicide is consistently in the top 10 leading causes of death, with over 38,000 reported in 2010.<sup>1</sup> The Rocky Mountain states, in particular Utah, have elevated rates of completed suicide compared with the United States as a whole, with 17.5 and 11.8 suicides per 100 000, respectively, in 2009.<sup>2</sup> Not only is the increased societal burden in Utah a compelling reason to conduct suicide research, but there are resources available to University of Utah researchers which make this an ideal setting. The Utah State Office of the Medical Examiner (OME) is centralized for the entire state and located on the University of Utah campus, which provides broad ascertainment, consistency in determining cause of death, and consistency in tissue/fluid collection for

genetic and toxicology data. Information on suicide decedents from the OME is currently linked to pedigree, demographic and medical data available from the Utah Population Database (UPDB), an invaluable epidemiological resource with demographic, familial and medical data on 7.3 million individuals.<sup>3</sup> This linking allows for identification of high-risk pedigrees, as well as characterization of psychiatric and physiological comorbidities. In conjunction with these resources, our laboratory recently reported the identification of several Utah pedigrees with increased risk for completed suicide.<sup>4</sup>

Several hypotheses have been proposed that attempt to explain the increased rates of suicide in Utah, specifically highlighting air quality and elevation.<sup>5</sup> Utah, especially its large population

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centers, has some of the worst air quality in the United States.<sup>6</sup> It has been shown that acute exposure to high levels of fine particulate matter and nitrogen dioxide increases the risk of suicide.<sup>7,8</sup> Furthermore, Utah has the third highest mean elevation of the 50 states. Elevation has been correlated with increased suicide risk across the US and in South Korea.<sup>9–11</sup> One possible explanation for this is increased cellular stress due to chronic hypoxia.<sup>11</sup> Complementary to this idea are observations that pulmonary disease, especially asthma, increases risk for suicide.<sup>12</sup> In a Swedish national cohort study, asthma increased the risk for suicide completion two-fold, after controlling for psychiatric disorders.<sup>13</sup> Additional studies in the United States, Taiwan and South Korea have further established asthma as a risk factor for suicide and suicidal behavior.<sup>14–17</sup> Variation in the seasonality of suicide, with elevated rates during spring and autumn,<sup>18–20</sup> could also partially be explained by increased exposure to seasonal airborne allergens,<sup>19,20</sup> that have been shown to be triggers for both allergic rhinitis and asthma.<sup>21</sup> At a clinical level, the association with asthma has come to the attention of the American Association of Suicidology, which has recommended regular evaluations for psychiatric conditions and suicidal ideation in patients with asthma (<http://www.suicidology.org/resources/facts-statistics-current-research/current-research>).

This study sought to identify genetic risk factors that may account for some of the observed comorbidity between asthma and suicide, with the future goal of improving interventions and treatment for suicidal behaviors, in this subgroup. As with many psychiatric disorders, the etiology of suicidal behavior is complex. Recent work has attempted to identify endophenotypes for suicidal behavior that better correspond to underlying risk factors.<sup>22,23</sup> Along these lines, comorbid conditions like asthma could have a similar role, as segregating complex suicidal behavior into categories on the basis of comorbidity could reduce genetic heterogeneity and increase power to detect associations.

## MATERIALS AND METHODS

### Identification of suicide decedents and DNA collection through the OME, Utah State Department of Health

This study has been made possible through an ongoing collaboration between the University of Utah and the OME, with approval from each institutional review board. From December 1996 through July of 2011, we collected blood samples from 2215 Utah suicide decedents determined by the OME, as described previously.<sup>4</sup> Blood samples were transported to the Translational Technologies and Resources (TTR) core laboratory, a component of the Center for Clinical and Translational Studies at the University of Utah. The TTR extracted DNA from the blood samples using a Qiagen AUTOPURE LS automated DNA extractor (Qiagen, Germantown, MD, USA) and DNA samples were stored at  $-80^{\circ}\text{C}$  before genotyping.

### Identification of pedigrees and suicide cases comorbid for asthma

Permission was obtained to link information from suicides determined by the OME where DNA had been collected to the Utah Population Database (UPDB).<sup>3</sup> The UPDB contains information on over 7.3 million individuals, beginning with the 19th century Utah pioneers. The UPDB houses familial and demographic data from Vital Records made available through the State of Utah, including birth/death certificates, marriage/divorce licenses and driver licenses. The UPDB also contains medical information based on inpatient hospital discharge reports and ambulatory surgery records from outpatient providers, the majority within the state of Utah. In collaboration with biomedical researchers, the UPDB can link study subjects to familial data, identifying multi-generational pedigrees, and providing essential demographic and medical information, while maintaining privacy of pedigrees and individuals. The linking of suicide decedents with DNA from the OME to the UPDB for this study was conducted with additional permission from the Utah Resource for Genetic and Epidemiologic Research Committee.

Using records from 2215 suicide decedents from the OME with DNA, in addition to 12 850 records of other suicides ascertained from Utah death

certificates going back to 1904, the UPDB identified pedigrees with increased familial risk for suicide as compared with the Utah population. To determine risk, the familial standardized incidence ratio (FSIR)<sup>24</sup> was calculated for the founders of each pedigree by comparing the observed incidence of suicide in said pedigree with an expected incidence of suicide. The expected incidence of suicide was determined by generating a uniform distribution for suicide using multiple statewide pedigrees with similar age and sex compositions from the UPDB. The FSIR accounts for relatedness with the kinship coefficient, which measures the probability of an allele being identical by descent from a common ancestor. Simply put, the FSIR statistically measured the increased rate of suicide within each pedigree.

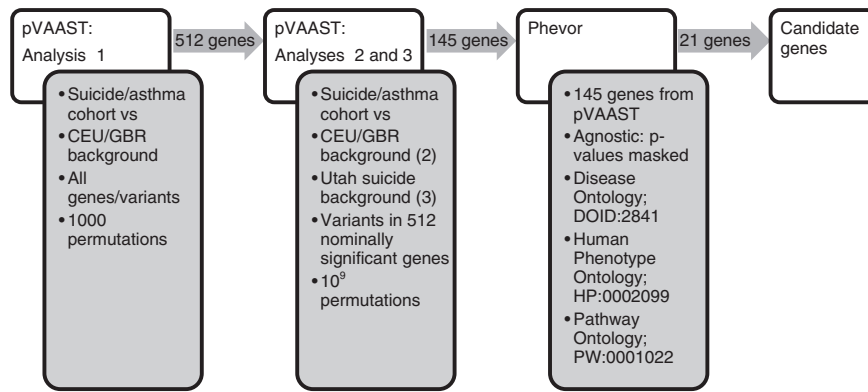
Within the pedigrees with significantly elevated FSIR for suicide (FSIR > 2.0,  $P < 0.05$ ), we calculated the familial risk for asthma in a similar manner using electronic medical records linked to the UPDB to identify all pedigree members under each common founder couple with an asthma diagnosis and comparing pedigree incidence of asthma with matched pedigrees from the Utah population as described above. To identify asthma diagnoses, we used International Statistical Classification of Disease diagnostic codes (ICD-9, codes 493.##), which were ascertained from medical records available to the UPDB for all descendants of the identified common founders of the high-risk suicide pedigrees. This study focuses on suicides in three pedigrees at significantly increased risk for both suicide and asthma ( $n = 15$  pedigree suicide cases with DNA). In addition, we identified 10 other genotyped suicide cases who were not members of high-risk asthma/suicide pedigrees but had close relatives (1st or 2nd degree) diagnosed with asthma. Finally, the OME collected other phenotypic data, including current diagnosis of asthma and current medication, as part of the case report for each suicide decedent. These data were used to identify three additional genotyped suicide cases with asthma diagnoses not noted in the UPDB electronic records data who were not members of high-risk suicide pedigrees. Although these three diagnosed cases were missing from UPDB records, this simply suggests that these individuals were treated at medical facilities that do not currently share data with the UPDB. Our full suicide/asthma case cohort therefore included the 15 suicide cases in pedigrees at increased risk of both suicide and asthma, 10 suicides not in high-risk pedigrees but with a close family member with asthma, and three suicides not in high-risk pedigrees but with an asthma diagnosis (total  $n = 28$ ). All 28 subjects were White, dictating our selection of the race/ethnicity composition of comparison samples. All statistical comparisons of age and sex demographics between case cohort and the background Utah suicide cohort (not at comorbid risk for asthma) were conducted using R.<sup>25</sup>

### Background control groups for molecular analyses

We generated a healthy control group on the basis of publicly available data from two sources. First, we used data from 90 CEPH Utah subjects with western European ancestry (CEU) who were genotyped by Illumina (San Diego, CA, USA) on the Infinium HumanExome Beadchip during chip development ([www.support.illumina.com/array/array\\_kits/infinium\\_huma\\_nexome\\_beadchip\\_kit](http://www.support.illumina.com/array/array_kits/infinium_huma_nexome_beadchip_kit)). These subjects are well matched to the Utah suicide cohort on demographic factors. The 90 subjects were composed of 30 trios, and the 30 child genotypes were discarded leaving 60 unrelated parents. Second, 135 subjects were selected from the 1000 Genomes Project, specifically CEPH Utah subjects (CEU) and British in England and Scotland (GBR) populations, and all had sequenced exomes. Alignment files were downloaded from 1000 Genomes ([www.1000genomes.org](http://www.1000genomes.org)) and Genome Analysis Toolkit (GATK v2.8)<sup>26</sup> was used to compress the files using ReduceReads. CEU and GBR subjects were selected to match the ancestry of the suicide decedents.

Variants were called in GATK using Unified Genotyper, with a bed file used to force calls at each locus corresponding to variants on the HumanExome Chip. The output vcf file was converted to plink ped/map format using PLINK/SEQ (<http://atgu.mgh.harvard.edu/plinkseq/>).

Plink files from both sources were merged, and 12 subjects were identified as being duplications, having been both genotyped and sequenced. Concordance between genotyping and sequencing of these 12 duplicate subjects was 99.7%. For these duplications, only genotyping data was retained for further analysis, leaving 183 subjects in a combined control group.



**Figure 1.** Shows a graphical depiction of the methods used for genetic analysis. The first pVAAST analysis used a low number of permutations across all genetic variants and compared the suicide/asthma cohort with the CEU/GBR background. Variants in the 512 genes meeting the  $P < 0.05$  threshold were used for pVAAST analyses 2 and 3. Analyses 2 and 3 used a high number of permutations across genetic variants in the 512 genes identified in analysis 1. Analysis 2 again compared the suicide/asthma cohort with the CEU/GBR background, and analysis 3 compared the suicide/asthma cohort with the non-asthma/Utah suicides background. The set of 145 genes meeting significance of  $P < 0.05$  in both analyses 2 and 3 were used as input for Phevor. Input  $P$ -values were masked as an agnostic approach, and Phevor was seeded with 'asthma' from three ontology databases. Twenty-one genes below  $P < 0.01$  were identified as candidate genes. CEU, western European ancestry; GBR, British in England and Scotland; Phevor, phenotype driven variant ontological re-ranking tool; pVAAST, pedigree extension of the Variant Annotation, Analysis and Search Tool.

### Genotyping

A total of 472 suicide decedent subjects, including the suicide/asthma cohort were genotyped on the Infinium HumanExome Beadchip platform (v1.0, Illumina; [http://genome.sph.umich.edu/wiki/Exome\\_Chip\\_Design](http://genome.sph.umich.edu/wiki/Exome_Chip_Design)), using protocols and standards outlined by Illumina. While features on this chip were taken from validated findings from sequencing studies, the platform is a straightforward genotyping chip. Samples were screened for quality and quantity before genotyping, and genotyping was conducted by the Microarray and Genomics Core Facility at the University of Utah Health Sciences Center. Five duplicated blinded samples showed 99.8% consistency between two separate batches.

Plink files were merged with background control files, and genotype data were screened in PLINK. We removed indels (140), variants missing in more than 10% of the total population (35 100) and uninformative homozygous variants (159 114). Twelve suicide decedents were removed for having call rates less than 75%. We retained 75 901 informative variants, with data from 460 suicide decedents and 183 healthy background controls. These final Plink format files were converted to individual files in GVF format ([www.sequenceontology.org](http://www.sequenceontology.org)).

### Genetic analysis

Genotype data were analyzed using a pedigree extension of the Variant Annotation, Analysis and Search Tool (VAAST v2.0.3).<sup>27–29</sup> VAAST is a probabilistic disease-gene finder that uses variant and amino acid substitution frequency to calculate disease burden of each gene. The pedigree extension, pVAAST, incorporates extended pedigree relationships in the calculation of the composite likelihood ratio for each feature.<sup>29</sup>

We first annotated the variants for each subject using the VAAST Variant Analysis Tool with reference annotations available from [www.sequenceontology.org/gff3.shtml](http://www.sequenceontology.org/gff3.shtml), then used the VAAST Variant Selection Tool to generate a condensed file containing all annotated variants for all subjects. To separate cases from controls, we used Perl scripts distributed with VAAST. VAAST then compared the likelihood for each locus of a null model in which there is no difference in minor allele frequency between the 'target' samples and the primary comparison control samples, to an alternative model where the minor allele frequencies are different. VAAST uses a composite likelihood ratio, weighting variants by their potential for damaging effects according to amino acid substitution data. With a pedigree structure file, the pVAAST extension takes familial relationships into account in the composite likelihood ratio, prioritizing variants that tend to segregate with phenotype. Evidence across a gene is then aggregated to implicate particular genes.  $P$ -values are empirical, generated through a combination of a genome permutation process to account for the case-control allele frequency distribution, and a gene-drop simulation to account for pedigree structure.

We conducted three pVAAST analyses (Figure 1). First, each variant was considered within the combined target group of suicide cases in pedigrees

jointly significant for asthma and suicide plus singleton unrelated suicide cases with asthma or with a close relative with asthma (the asthma/suicide cohort). These cases were compared with the CEU/GBR background.  $P$ -values were determined with 1000 permutations. Genes were retained if  $P < 0.05$ ; 512 genes met this threshold. For the second analysis, again, the asthma/suicide cohort were compared with CEU/GBR background, but only variants contained in those 512 genes were tested, and  $P$ -values were computed with  $10^9$  permutations. The final pVAAST analysis compared these same 512 genes between the asthma/suicide cohort and the other Utah non-asthma suicides. These other non-asthma suicide cases are referred to as the background Utah suicide cohort for this analysis. One hundred and forty five genes met the threshold of  $P < 0.05$  in all three analyses and were included in the next step.

The phenotype driven variant ontological re-ranking tool (Phevor)<sup>30</sup> then re-ranked our pVAAST results in context of the phenotype. To approximate a more agnostic approach, we only used the gene names as input, masking the pVAAST generated  $P$ -values. Using 'asthma' as seed for the Human Phenotype Ontology, Disease Ontology and Pathway Ontology, Phevor traversed these biomedical ontologies and identified genes with similar biological properties to those known to result in the asthma phenotype.<sup>30</sup> Individual variants in each gene with a Phevor  $P$ -value  $< 0.01$  were screened using PolyPhen2<sup>31</sup> and SIFT.<sup>32</sup>

### RESULTS

#### Identification of high-risk suicide pedigrees and high-risk asthma pedigrees

We identified 22 pedigrees with an excess of suicides (OME and death certificate), previously described in detail.<sup>4</sup> Briefly, a first pass analysis of the UPDB identified 773 pedigrees with excess suicides ( $P \leq 0.01$ ). Of these, 183 pedigrees had five or more available DNA samples. A second pass of the top 30—ranked by each founder's suicide FSIR—remaining pedigrees identified significant overlap between pedigree members of different pedigrees, and manual pruning resulted in 22 unique pedigrees. Three of these pedigrees were identified that had excess cases of asthma ( $P \leq 0.0001$ , Table 1). Using Fisher's exact test, there was no difference in the sex composition between each pedigree and the background Utah suicide cohort. The average age at death for the background Utah suicide cohort was 37.73 years. Pedigree 2 (two-sided  $t = -4.2$ ,  $P = 0.0006$ ) had a significantly lower age at death (26.93 years) compared with this background suicide cohort, while pedigree 7a ( $t = -2.1$ ,  $P = 0.056$ ) also trended in this direction (30.31 years). There was no age difference between pedigree 9 and the background suicide cohort. In addition, each

**Table 1.** High-risk pedigree characteristics

Pedigree	Suicide FSIR (P-value)	Expected no. of suicides <sup>a</sup>	Observed no. of suicides (no. genotyped)	% Male suicides	Average at death (s.d.)	Asthma FSIR (P-value)	Other disorders enriched in pedigree <sup>b</sup>
2	2.84 (0.0004)	5.29	15 (5)	73	26.93 (9.45)	3.07 (< 0.0001)	da (FSIR=4.5, P < 0.001) alc (FSIR=2.1, P=0.01) aff (FSIR=1.7, P < 0.001)
7a	2.40 (0.0015)	6.67	16 (5)	81	30.31 (14.14)	3.50 (< 0.0001)	da (FSIR=3.4, P < 0.001) alc (FSIR=1.8, P=0.03)
9	2.48 (0.0002)	8.47	21 (5)	76	35.19 (19.29)	3.61 (< 0.0001)	da (FSIR=4.0, P < 0.001)

Abbreviations: aff, affective disorder; alc, alcohol use disorder; da, drug abuse; FSIR, familial standardized incidence ratio. <sup>a</sup>Expected number of suicides is determined on the basis of comparisons of each decedent's relatives to the expected uniform distribution for suicide stratified by sex and age using the statewide Utah population. <sup>b</sup>Other psychiatric disorders occurring at elevated rates in these pedigrees.

pedigree has significantly elevated risk for other psychiatric disorders, including drug abuse (pedigrees 2, 7a, 9), alcohol use disorders (pedigrees 2 and 7a) and affective disorders (pedigree 2), shown in Table 1.

#### Identification of additional suicide cases at risk for asthma

Using data from the OME case reports and medical records available to the UPDB, we were able to identify additional decedents at risk for asthma who were not in the high-risk pedigrees, but who were also genotyped (Table 2). There were three decedents who had been diagnosed with asthma, three with at least one first-degree relative that had been diagnosed with asthma, and seven with at least one second-degree relative with an asthma diagnosis. There was no difference in sex composition between the group of at-risk individuals and the background Utah suicide cohort using Fisher's exact test. There was no age difference between this group and the background cohort. Individuals in these groups had also been diagnosed with other psychiatric disorders, including depression, anxiety, drug abuse and alcohol use disorders, shown in Table 2.

#### Molecular analysis

Tables 3 and 4 show the top associated genes as determined by pVAASST and Phevor. Table 3 lists genes with a single variant ranked by pVAASST, whereas Table 4 lists genes with multiple variants ranked by pVAASST. Both tables list genes by Phevor ranking on the basis of Phevor score/P-value, with a P-value cutoff of 0.01. P-values from the two pVAASST analyses are reported. Both of these analyses used the asthma/suicide cohort as the target case group, but then compared against two backgrounds: (1) other UT suicides and (2) CEU/GBR from Illumina/1000 Genomes. In Table 3, genes were discarded if the variant only occurred in a single subject. Variant frequencies are reported for each group, using chromosome counts, as well as single-nucleotide polymorphism database frequencies. Table 3 lists PolyPhen2 and SIFT scores for each variant. Relevant phenotypic associations with these findings are presented in more detail in discussion section.

## DISCUSSION

This study expanded on previous research of the genetic etiology of suicide. Using data from suicide decedents from whom DNA was collected through July 2011 and additional suicide cases from death certificates going back to 1904, we used genealogical records in the Utah Population Database to identify 22 Utah pedigrees with at least twice the familial risk of suicide.<sup>4</sup> Furthermore, linking medical records to members of these pedigrees allowed us to determine that three of these high-risk pedigrees were also at significantly elevated familial risk for asthma, although it remains possible that asthma cases were missing if diagnoses occurred out of state or if undiagnosed/untreated. Also worth noting are the unknown asthma and suicide rates in our 1000 genomes control group. There are extensive findings on the increased risk of suicide in individuals with asthma,<sup>12</sup> but this is the first attempt at identifying genetic risk factors for suicide in individuals with asthma and with familial risk for asthma. We examined genetic variants in five individuals in each of the three high-risk suicide/asthma pedigrees, as well as thirteen additional individuals either diagnosed with asthma or with close relatives diagnosed with asthma.

Results from Infinium HumanExome Beadchip genotyping were first analyzed with pVAASST, which identified variants that segregated in target individuals compared with background. pVAASST scoring incorporates the deleteriousness of a particular variant, as well as familial relationships between subjects.<sup>27,28</sup> Significant genes from pVAASST were then parsed with Phevor<sup>30</sup> to identify which genes were more likely to be involved in asthma. As



**Table 2.** Singleton and Utah background characteristics

Inclusion criteria (all subjects are suicides)	No. of suicides (no. of males)	Average age at death (s.d.)	Other psychiatric disorders
Asthma case	3 (1)	36.67 (16.21)	Depression, drug abuse
First-degree relative with asthma	3 (1)	28.33 (7.64)	Depression, anxiety, alcohol use disorder
Second-degree relative with asthma	7 (7)	31 (13.99)	Depression, alcohol use disorder, drug abuse
Background Utah suicides	432 (356)	37.74 (16.38)	

there are no ontologies directly related to suicide, we limited our Phevor search to asthma. Phevor allows for input of a list of candidate genes, which it expanded to all known interacting genes; therefore, as we continue to identify candidate genes for suicide, Phevor can be further implemented. It is important to note that this study design was limited to coding regions of the genome, as the HumanExome Beadchip primarily genotypes exomic variants. While regulatory variants in non-coding regions are not included in the current analysis, they will be explored in future analyses.

Brain-derived neurotrophic factor (*BDNF*) has been repeatedly implicated in both asthma<sup>33</sup> and in psychiatric disease including suicide,<sup>34</sup> but this is the first report of a more central role for *BDNF* in mediating risk for both asthma and suicide. Seven subjects, out of 28 in the asthma/suicide cohort, were heterozygous for the variant, including one subject in each of the three pedigrees, as well as one asthma case and three others with asthma relatives. Although the same variant was observed in each of the three independent pedigrees, there was no evidence in the UPDB that the three individuals shared a common ancestor. Although it has been hypothesized that the comorbidity observed between asthma and suicide could be mediated by variations in *BDNF*,<sup>35</sup> this is the first study to have reported any findings. This particular variant in *BDNF*, rs66866077, predicted to be deleterious by both PolyPhen2 and SIFT, is only transcribed in mRNA transcript 6 (RefSeq: NM\_170734.3), which translates to isoform c of precursor BDNF, proBDNF (RefSeq: NP\_733930.1). proBDNF is itself a neurotrophin, binding p75 receptors, as well as being proteolytically cleaved into mature BDNF.<sup>36</sup> The distinction and the interplay between the roles of proBDNF and mature BDNF have not been well established, but there is evidence of proBDNF involvement in early life neurodevelopment.<sup>37</sup> Furthermore, the cleavage of proBDNF to mature BDNF may be attenuated by stress,<sup>38</sup> which would corroborate observations of increased proBDNF in postmortem brains of suicide decedents.<sup>34</sup> The results of this study suggest that specifically altering proBDNF may increase the risk of asthma and suicide. *BDNF* has been shown to be responsive to behavioral and pharmacological interventions like exercise<sup>39</sup> and selective serotonin reuptake inhibitors.<sup>40,41</sup> Ketamine, which shows promise as an acute treatment for depression<sup>42,43</sup> and suicide behavior,<sup>42,44,45</sup> may also depend on BDNF-mediated signaling.<sup>43,46</sup> Another gene, *SMPD2* (neutral sphingomyelinase 2) has been shown to facilitate oligodendrocyte cell death as a consequence of oxidative stress.<sup>47</sup> Interestingly, *SMPD2* also has a role in mediating neurotrophin signaling involving Trk receptors including NTRK2,<sup>48</sup> which binds BDNF, and p75 receptors, which binds both BDNF and proBDNF.<sup>49</sup> It is also worth noting that of the five asthma/suicide subjects with this variant in *SMPD2*, rs1048197, four of them also have the above *BDNF* variant.

We identified variants in several genes previously associated with inflammatory pathways. Although asthma is primarily an inflammatory disease,<sup>50</sup> inflammation has been shown to have a role in psychiatric disease as well, including depression<sup>51</sup> and bipolar disorder.<sup>52</sup> The *FFAR2* (free fatty acid receptor 2) may mediate the inflammatory response to short-chain free fatty acids generated by gut microbiota,<sup>53</sup> although it is unclear whether *FFAR2* has a direct role in respiratory inflammation.<sup>54</sup> *OLR1*

(oxidized low density lipoprotein receptor 1) is induced by inflammatory cytokines and is upregulated in atherosclerosis.<sup>55</sup> Variations in *OLR1* have been associated with Alzheimer's disease.<sup>56</sup> *TLR3* (Toll-like receptor 3) has an important role in the inflammatory response to viral infection,<sup>53</sup> and has been implicated with asthma severity.<sup>57</sup> *TLR3* is also expressed in neurons,<sup>58</sup> and expression has been shown to be increased in the prefrontal cortex of suicide decedents.<sup>59</sup>

Additional variants were identified in genes previously associated with the central nervous system. Homeobox B2 (*HOXB2*) has an important role in hindbrain development, specifically in oligodendrocyte sectioning.<sup>60</sup> *HNRNPA0* (heterogeneous nuclear ribonucleoprotein A0) was shown to be upregulated in the prefrontal cortex of schizophrenics.<sup>61</sup> Neural cell adhesion molecule 2 (*NCAM2*) has a role in primary olfactory axon projections.<sup>62</sup>

Another variant that was consistently significant in the pVAASST analyses but was not identified by Phevor was rs34572680 in the purinergic receptor P2X subunit 3 (*P2RX3*). This non-synonymous variant (A71T, NP\_002550.2) was deemed possibly damaging (PolyPhen2 score 0.853) and damaging (SIFT score 0.05). Eight of 28 subjects in the asthma/suicide cohort were heterozygous for this variant. The eight subjects include one subject from each pedigree, all the three asthma cases and two other subjects with close relatives with asthma. Although not previously suggested to be associated with asthma or suicide specifically, *P2RX3* is involved in nociception,<sup>63</sup> and variations in this gene have been associated with hyperalgesia.<sup>64</sup> *P2RX3* is expressed in the lung, among other tissues, and could respond to ATP released during hypoxic events.<sup>65</sup> There is a well-established link between pain and suicide<sup>66</sup> and the ongoing search for P2X<sub>3</sub> antagonists may prove beneficial for the treatment of at-risk suicide patients.<sup>67,68</sup>

These results demonstrate the utility of combining pedigree and specific co-occurring phenotype information in the identification of rare variants associated with suicide risk. Through integrating pVAASST genetic analysis and Phevor phenotype association we have identified several genetic variants likely to increase risk for asthma and suicide, including a rare variant in *BDNF*. It is worth noting that although we lack power to identify epistatic variants, many of our top variants co-occurred in individual asthma/suicide decedents, for instance variants in *SMPD2* and *BDNF*, two genes with evidence of functional relationships.<sup>48,49</sup> We will continue to explore the possibility of epistatic variations, using Phevor to functionally cluster genes. Although the study would be more informative with a genotyped asthma/non-suicide control group, which could provide specificity as to whether variants confer risk for asthma/suicide/both, it is promising that our design identified *BDNF*, which has been linked extensively to both asthma<sup>33</sup> and suicide.<sup>34</sup> Future studies will also attempt to identify additional rare variants, as well as identify high-risk suicide pedigrees with other comorbid risk factors. There is also the possibility that these variants may interact with environmental exposure, that is, air pollution, which may further mediate risk for asthma and suicide. For instance, a variant in an asthma-related gene may be benign if the individual resides in an area with low air pollution exposure. When exposed to high air pollution, the normal genetic response may be compromised due

**Table 3.** Top associated genes across high-risk pedigrees and individuals

Gene	SNP; locus	Variant; AA change	Phevor score	Phevor P-value	Frequency in asthma risk group, % (n = 28)	Frequency in GBR, % (n = 183)	pVAASST P-value vs CEU/GBR	Freq in other UT suicides, % (n = 432)	pVAASST P-value vs UT suicides	dbSNP freq, %	PolyPhen2 score	SIFT score
<i>BDNF</i>	rs66866077; chr11:27720937	C/T; E/K	3.46	0.0003	12.50	5.60	0.0314	4.10	0.00709	1.90	0.938 (possibly damaging)	0.000 (damaging)
<i>HNRNPA0</i>	rs201570235; chr5:137088945	C/T; S/G	2.85	0.0014	7.10	0.82	0.000704	1.70	0.0147	0.64	0.138 (benign)	0.351 (tolerated)
<i>FFAR2</i>	rs139764605; chr19:35941368	G/A; S/N	2.75	0.0018	3.60	0	0.0247	0	0.016	0.09	0.117 (benign)	0.152 (tolerated)
<i>ACVR1C</i>	rs7594480; chr2:158390468	T/C; I/V	2.67	0.0021	16.10	6.80	0.022	5.70	0.00967	14.05	0.017 (benign)	0.007 (damaging)
<i>HOXB2</i>	rs200503077; chr17:46620525	G/C; L/V	2.54	0.0029	3.60	0	0.0207	0.10	0.0357	NA	1.00 (probably damaging)	0.002 (damaging)
<i>SMPD2</i>	rs1048197; chr6:109762332	C/T; P/L	2.48	0.0033	8.92	2.46	0.0106	2.66	0.00935	10.30	0.011 (benign)	0.165 (tolerated)
<i>AGRP</i>	rs5030980; chr16:67516945	C/T; A/T	2.35	0.0045	10.70	3.28	0.03	3.48	0.0213	2.39	0.074 (benign)	1.00 (tolerated)
<i>OLR1</i>	rs11053646; chr12:10313448	C/G; K/N	2.1	0.0079	19.60	10.10	0.032	9.14	0.014	13.20	0.928 (possibly damaging)	0.115 (tolerated)
<i>CRCT1</i>	rs73004856; chr1:152487917	G/A; G/S	2.08	0.0083	3.60	0	0.0357	0.10	0.0399	5.10	0.129 (benign)	NA

Abbreviations: *ACVR1C*, activin A receptor, type 1C; *AGRP*, agouti-related protein homolog; *BDNF*, brain-derived neurotrophic factor; CEU, western European ancestry; *CRCT1*, cysteine-rich C-terminal 1; *dbSNP*, NCBI single-nucleotide polymorphism database; *FFAR2*, free fatty acid receptor 2; GBR, British in England and Scotland; *HNRNPA0*, heterogeneous nuclear ribonucleoprotein A0; *HOXB2*, homeobox B2; NA, not available; *OLR1*, oxidized low density lipoprotein receptor 1; Phevor, phenotype driven variant ontological re-ranking tool; pVAASST, pedigree extension of the Variant Annotation, Analysis and Search Tool; *SMPD2*, neutral sphingomyelinase 2; SNP, single-nucleotide polymorphism; UT, Utah. All pVAASST P-values were computed using  $10^9$  permutations. Frequencies (freq) between each group were calculated using chromosome counts, accounting for subjects with no calls. No homozygotes were observed for these variants. Frequencies and dbSNP were found at [www.ncbi.nlm.nih.gov/projects/SNP/](http://www.ncbi.nlm.nih.gov/projects/SNP/).

**Table 4.** Top associated genes with heterogeneous variation across multiple individuals

Gene	Phevor score	Phevor P-value	SNP; locus	Variant; AA change	Asthma risk group, % (n = 28)	Allele frequency in each population		
						Other UT suicides, % (n = 432) (gene P-value)	CEU/GBR, % (n = 183) (gene P-value)	
TLR3	3.16	0.0007	rs112077022; chr4:187003678	G/A; D/N	1.80	0 (0.0314)	0 (0.0442)	
			rs199768900; chr4:187005912	G/A; R/Q	1.80	0.12 (0.0314)	0 (0.0442)	
ALAS2	2.98	0.0011	rs201799139; chrX:55035659	G/A; S/F	2.80	0 (0.00838)	0.36 (0.0442)	
			rs201062903; chrX:55039960	G/A; P/L	2.80	0 (0.00838)	0 (0.0442)	
			rs145704441; chrX:55035701	C/T; R/H	2.80	0 (0.00838)	0 (0.0442)	
			rs61742285; chr4:17640880	C/G; E/Q	5.40	3.4 (0.0456)	0.83 (0.00094)	
FAM184B	2.6	0.0025	rs61746992; chr4:17710586	C/G; D/H	1.80	0.23 (0.0456)	0 (0.00094)	
			rs61746445; chr4:17711210	G/A; R/W	1.80	0.46 (0.0456)	0 (0.00094)	
NCAM2	2.43	0.0037	rs191859569; chr4:17654594	G/A; R/C	1.80	0 (0.0456)	0.35 (0.00094)	
			rs151185488; chr4:17707448	C/G; G/A	1.80	0.81 (0.0456)	0 (0.00094)	
SLC22A11	2.39	0.0041	rs200376885; chr21:22652906	C/A; Q/K	1.80	0.23 (0.0428)	0 (0.0357)	
			rs200645709; chr21:22658669	A/G; S/G	1.80	0 (0.0428)	0 (0.0357)	
KRTAP27-1	2.31	0.0049	rs35008345; chr11:64323613	C/T; R/*	1.80	0.12 (0.0314)	0 (0.03)	
			rs147522958; chr11:64331790	G/A; E/K	1.80	0.23 (0.0314)	0 (0.03)	
PCDHGB5	2.28	0.0053	rs144648271; chr21:31709731	G/A; Q/*	3.60	0.12 (0.00742)	0 (0.0266)	
			rs139988725; chr21:31709679	T/A; E/V	1.80	0.12 (0.00742)	0.55 (0.0266)	
CDK6	2.18	0.0065	rs140768844; chr5:140768844	C/T; P/S	1.80	0.23 (0.0471)	0 (0.0456)	
			rs199849689; chr5:140790021	C/T; S/F	1.80	0.12 (0.0471)	0.43 (0.0456)	
VTN	2.15	0.007	rs199531162; chr5:140789304	G/C; G/A	1.80	0 (0.0471)	0 (0.0456)	
			rs14049009; chr7:92244486	T/A; S/C	1.80	0.35 (0.0485)	0 (0.0227)	
DAB2IP	2.13	0.0074	rs35654944; chr7:92404051	C/T; D/N	1.80	0.23 (0.0485)	0.55 (0.0227)	
			rs140531078; chr17:26695904	T/C; Y/C	1.90	0 (0.00619)	0 (0.0187)	
R3HDM1	2.05	0.0088	rs113837940; chr17:26694852	G/A; S/F	1.80	0 (0.00619)	0 (0.0187)	
			rs117152313; chr9:124544697	C/T; R/C	1.90	0.23 (0.0485)	0 (0.018)	
PLEKHG3	2.01	0.0097	rs143836788; chr9:124536561	A/G; N/S	1.90	0 (0.00619)	0 (0.018)	
			rs141706892; chr9:124526082	G/A; A/T	1.80	0.12 (0.0442)	0 (0.018)	
FAM184B	2.01	0.0097	rs34088964; chr2:136396212	A/C; I/L	3.60	0.35 (0.0399)	0 (0.00213)	
			rs151233134; chr2:136418898	C/T; P/L	1.80	0.12 (0.0399)	0 (0.00213)	
PCDHGB5	2.01	0.0097	rs2305165; chr2:136409574	A/C; Q/P	10.70	8.5 (0.0399)	6.83 (0.00213)	
			rs141719183; chr14:65205490	A/G; R/G	3.60	0.23 (0.0471)	0.27 (0.0286)	
PCDHGB5	2.01	0.0097	rs142732386; chr14:65198849	C/T; H/Y	1.80	0.12 (0.0471)	0 (0.0286)	

Abbreviations: \*, stop codon/nonsense; ALAS2, delta aminolevulinic acid synthase 2; CDK6, cyclin-dependent kinase 6; CEU, western European ancestry; DAB2IP, DAB2 interacting protein; FAM184B, family with sequence similarity 184, member B; GBR, British in England and Scotland; KRTAP27-1, keratin associated protein 27-1; NCAM2, neural cell adhesion molecule 2; PCDHGB5, protocadherin gamma subfamily B, 5; Phevor, phenotype driven variant ontological re-ranking tool; PLEKHG3, pleckstrin homology domain containing, family G, member 3; R3HDM1, R3H domain containing 1; SLC22A11, solute carrier family 22, member 11; SNP, single-nucleotide polymorphism; TLR3, Toll-like receptor 3; UT, Utah; VTN, vitronectin. All pVAAS (pedigree extension of the Variant Annotation, Analysis and Search Tool) P-values were computed using 10<sup>9</sup> permutations. Frequencies between each group were calculated using chromosome counts; accounting for subjects with no calls. No homozygotes were observed for these variants.

to the variant, and downstream pathways may lead to increased asthma and suicide risk.

## CONFLICT OF INTEREST

The authors declare no conflict of interest.

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