SCIENTIFIC REPORTS

OPEN

Received: 26 May 2016 Accepted: 08 November 2016 Published: 06 December 2016

Mast cell phenotype, TNF α expression and degranulation status in non-small cell lung cancer

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Mast cell infiltration of tumour islets represents a survival advantage in non-small cell lung cancer (NSCLC). The phenotype and activation status of these mast cells is unknown. We investigated the mast cell phenotype in terms of protease content (tryptase-only [MC_T], tryptase + chymase [MC_{TC}]) and tumour necrosis factor-alpha (TNF α) expression, and extent of degranulation, in NSCLC tumour stroma and islets. Surgically resected tumours from 24 patients with extended survival (ES; mean survival 86.5 months) were compared with 25 patients with poor survival (PS; mean survival 8.0 months) by immunohistochemistry. Both MC_T and MC_{TC} in tumour islets were higher in ES (20.0 and 5.6 cells/mm² respectively) compared to PS patients (0.0 cells/mm²) (p < 0.0001). Both phenotypes expressed TNF α in the islets and stroma. In ES 44% of MC_T and 37% of MC_{TC} expressed TNF α in the tumour islets. MC_T in the ES stroma were more degranulated than in those with PS (median degranulation index = 2.24 versus 1.73 respectively) (p = 0.0022), and ES islet mast cells (2.24 compared to 1.71, p < 0.0001). Since both MC_T and MC_{TC} infiltrating tumour islets in ES NSCLC patients express TNF α , the cytotoxic activity of this cytokine may confer improved survival in these patients. Manipulating mast cell microlocalisation and functional responses in NSCLC may offer a novel approach to the treatment of this disease.

Lung cancer currently causes more deaths worldwide than any other malignancy and non-small cell lung cancer (NSCLC) accounts for the majority of these cases¹. There is increasing evidence that the immune system plays a role in the regulation of cancer development^{2–4}, and cells of the innate and adaptive immune responses have been implicated in both the progression and curtailment of tumour growth.

Mast cells are innate immune cells which arise in the bone marrow, circulate as progenitors, and differentiate following migration into tissue. They are found in all healthy tissues, where they contribute to tissue homeostasis and host defence, but are best known for their role in allergic diseases and asthma⁵. Their primary role is to respond rapidly to a tissue insult, initiating an appropriate program of tissue inflammation and repair. However, when exposed to a chronic insult, their ongoing activation may contribute to tissue damage, remodelling and fibrosis. Mast cells are an important component of immune cell infiltrates in tumours, but their role in tumour development and progression remains unclear⁶. In many situations they have been linked with tumour progression and metastasis^{7–9}, and this is proposed to be mediated through their ability to promote angiogenesis via the release of autacoid mediators and pro-angiogenic chemokines and growth factors^{10,11}. For example, the products of mast cells released during degranulation have been demonstrated in co-culture to enhance the migration of cervical cancer cells¹². Increased histamine expression has also been shown to be associated with colorectal cancer and worsening tumour stage¹³, and heparin and bound cytokines/growth factors can promote neovascularisation¹⁴.

Taken together, these studies suggest that degranulating mast cells may be associated with tumour progression. However, Tataroglu has suggested that there is no correlation between intratumoural mast cells and angiogenesis in NSCLC¹⁵, and another study found no correlation between mast cells and survival in NSCLC¹⁶. However, the microlocalisation of mast cells within the tumour was not assessed. In contrast, we demonstrated that while mast cell numbers are similar in the tumour stroma of patients with surgically resected NSCLC irrespective of survival status, there is a marked survival advantage when mast cells are present within clusters of NSCLC tumour epithelial cells (islets)¹⁷.

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Mast cells exhibit marked heterogeneity across species, within different organs within the same species, and even within the same organ⁵. Heterogeneity is evident with respect to ultrastructure, receptor expression, mediator content, immunological and non-immunological activation, and pharmacological responsiveness⁵. In humans, two common mast cell phenotypes are recognised based on their protease content: mast cells which contain tryptase only (MC_T), and mast cells containing both tryptase and chymase (MC_{TC})¹⁸. MC_{TC} predominate in the skin and connective tissue, and are also found in significant numbers in airway submucosal tissues^{18,19}. MC_T predominate in mucosal epithelia, and are also present in the lamina propria^{18,19}. Their roles remain unclear, but their ability to release different proteases and cytokines^{18,19} suggests some roles which are mutually exclusive. Mast cell phenotype has been investigated in NSCLC before by Ibaraki²⁰, who concluded that MC_{TC} are associated with microvessel count, and thus, angiogenesis.

Tumour Necrosis Factor-alpha (TNF α) is an important cytokine produced by airway mast cells²¹. TNF α plays an important role in host defence and protects against cancer development as revealed by the increased incidence of cancer in patients receiving anti-TNF α therapy^{22,23}. However, TNF α has been described by Szlosarek as having a paradoxical role in cancer, by inducing cell-mediated killing of certain tumours, as well as acting as a tumour promoter²⁴. We have shown previously that increased expression of TNF α in the tumour islets of patients with NSCLC is independently associated with improved survival²⁵. Tumour islet TNF α expression in extended survival patients was localised predominantly to macrophages of the M1 phenotype, and also mast cells identified by tryptase staining^{25,26}. Whether the MC_{TC} mast cell phenotype infiltrates the tumour islets, expresses TNF α , and confers a survival advantage has not been reported.

The microanatomical localisation of mast cells within NSCLC tissue appears critical to their role in disease progression. The primary aims of the present study were therefore to define the phenotype of mast cells within NSCLC stroma and islets in terms of their protease and $TNF\alpha$ content and their state of activation defined by the extent of degranulation.

Materials and Methods

Study Population. The study was approved by the Leicestershire Research Ethics Committee (approval reference number 6529). The methods in our study were carried out in accordance with our internal standardised protocols which are relevant guidelines to our study. The tissue specimens evaluated were from 49 patients with NSCLC who had undergone resection with curative intent at the University Hospitals of Leicester National Health Service Trust (Leicester, UK). These patients had resections during two periods: one dating from 1991–1994 and the second from January to December 1999. This cohort of patients has been described previously¹⁷. The patients from the 1991–1994 cohort had all died at the time of the study. 4 patients from the 1999 cohort were still alive and had provided written informed consent for the use of their tissue in research at the time of surgery.

Patients were selected for the study based on their survival, without knowledge of their previous tumour mast cell counts. 24 patients had extended survival (ES) (mean \pm SEM 86.5 \pm 8.8 months), and 25 patients had poor survival (PS) (8.0 \pm 0.78 months). Patient characteristics are summarised in Table 1.

Immunohistology. The specimens studied were formalin-fixed and paraffin embedded. Only the advancing edge of the tumour was evaluated. Tissue sections of 4 μ m thickness were cut onto glass slides and then de-waxed in xylene and rehydrated through graded alcohols. Antigen retrieval was carried out using Trilogy Antigen Retrieval solution (Cell Marque, Hot Springs, USA) in a pressure cooker (heated to 117.5 °C for 1 min and then cooled to 100 °C for 30 seconds). Antibodies for phenotypic analysis were all mouse antihuman mAb as follows: tryptase (clone AA1; Dakocytomation, Ely, Cambridgeshire, United Kingdom) as a specific marker for all mast cells, chymase (clone CCL1; Abcam, Cambridge, United Kingdom). Immunostaining was performed using the Envision double-stain kit (Dakocytomation) according to the manufacturer's instructions and as described previously¹⁷. Three slides were prepared for each patient: chymase versus tryptase, tryptase versus TNF α , and chymase versus TNF α . Peroxidase and 3,3'-diaminobenzidine tetrahydrochloride (Els expressing tryptase, and TNF α . Sections were then counterstained with haematoxylin and mounted in an aqueous mounting medium (BDH Chemicals Ltd, Poole, United Kingdom). Appropriate isotype controls were performed where the primary antibodies were replaced by irrelevant mouse mAb of the same isotype and at the same concentration as the specific primary mAb.

Analysis and Validation of Immunostaining. Analysis was performed blind with respect to the clinical outcome. The ten most representative high-power fields (x400) per slide were manually selected using an Olympus BX50 microscope (Olympus, Southall, United Kingdom). The respective areas of stroma and of tumour cell islets were then measured at x400 magnification using Scion image analysis software (Based on National Institutes of Health Image for Macintosh, modified for Windows [Scion Corp, Frederick, MD]). The number of nucleated cells with positive staining were then counted manually and expressed as cells/mm² of stroma or tumour islets. Analysis was repeated for 10 patients to assess repeatability and validity. To identify mast cell phenotype, cells positive for chymase were counted as MC_{TC} and all other mast cells were counted as MC_{T} . To assess the number of MC_{T} cells expressing TNF α , the number of MC_{TC} expressing TNF α was subtracted from the total number of tryptase + cells (i.e. the total of MC_{TC} and MC_{TC}) expressing TNF α .

A degranulation index score was established in order to assess the degree of degranulation by each individual mast cell as follows:

- 0 No degranulation
- 1 <33% degranulation
- 2 33–66% degranulation
- 3 >66% degranulation

Characteristic		Extended Survival	Poor Survival	p value
No. of patients		24	25	
Age – years [¥]		65.6 ± 1.9	69.7 ± 1.4	0.0827
Male sex – no. (%)#		17 (70.8)	19 (76)	0.7536
Year of surgery – no. (%)#				
	1991	0 (0)	1 (4)	0.8106
	1992	2 (8)	1 (4)	
	1993	3 (13)	3 (12)	
	1994	6 (25)	4 (16)	
	1999	13 (54)	16 (64)	
Tumour stage – no. (%)#				
	1	12 (50)	15(60)	0.4203
	2	8 (33)	9 (36)	
	3a	4 (17)	1 (4)	
Histology – no. (%)#				
	Squamous	17 (71)	14 (56)	0.7709
	Adenocarcinoma	3 (13)	4 (16)	
	Large cell	2 (8)	4 (16)	
	Other	2 (8)	3 (12)	
Tumour Grade – no. (%)#				
	Well	1 (4)	3 (12)	0.0510
	Moderate	12 (50)	5 (20)	
	Poor	10 (42)	17 (68)	
	Not recorded	1 (4)	0 (0)	
Adjuvant Chemotherapy (%)		1 (5)	0 (0)	
Radiotherapy (%)		2 (10)	2 (10)	
Palliative Radiotherapy (%)		2 (10)	2 (10)	
Survival – months [¥]		86.5 ± 8.8	8.0 ± 0.78	< 0.0001

Table 1. Patient demographics. *Plus-minus values are means* + *SEM*. [¥]Unpaired T test. [#]Fischer's Exact Test.

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Statistical Analysis. Statistical analyses were carried out using the GraphPad Prism software package (v. 6.02; GraphPad Prism Software Inc, San Diego, CA). For categoric analysis, the median value was used as a cut point to dichotomise the series. The χ^2 test was used to test for relationships between categoric variables, and the Mann-Whitney nonparametric test was used to compare categoric with continuous variables. Spearman's test was used to test correlation. Kaplan-Meier survival curves were used to look for correlations with survival and were compared with the use of the log-rank statistic. For the above comparisons, p < 0.05 was considered statistically significant.

Results

Patient Characteristics. Of the 49 patients studied, 45 had died at the time of analysis. 31 tumours were squamous, 7 adenocarcinoma, 6 large cell, and 5 other. 27 were stage I, 17 stage II, and 5 stage IIIa. 1 patient received additional chemotherapy and 4 received additional radiotherapy for later palliation (post surgery). The patient characteristics are summarised in Table 1.

Validation of Analysis. Clear and distinguishable staining was evident for tryptase, chymase and $TNF\alpha$, and double-stained cells were readily identifiable (Fig. 1). Appropriate isotype controls were negative. Cells counts were repeated and the intraclass correlation coefficient was calculated as 0.797 (p < 0.01). This method of analysis has also been validated by our group previously¹⁷. The degranulation index was validated by two separate observers with an intraclass correlation of 0.668 (p < 0.05).

Cellular Distribution. There were significantly more MC_T in the tumour islets of the ES group (median, 20.0 cells/mm² [IQR 5.7–27.9] compared to the PS group (median, 0.0 [IQR 0–1.2], p < 0.0001) (Fig. 2A). In contrast, in the stroma there was no significant difference between the MC_T densities in the ES group (median, 36.0 cells/mm² [IQR 17.9–57.06]) and the PS group (median, 34.1 cells/mm² [IQR 4.6–80.1], p = 0.87) (Fig. 2B).

There were also significantly more MC_{TC} in the tumour islets of the ES group (median, 5.6 cells/mm² [IQR 2.6–16.6]) compared to the PS group (median, 0 [IQR 0–1.7], p = < 0.0001) (Fig. 2C). In contrast, in the stroma there was no significant difference between the MC_{TC} densities in the ES group (median, 9.3 cells/mm² [IQR 4.4–15.8]) and the PS group (median, 6.7 cells/mm² [IQR 2.1–22.6], p = 0.61) (Fig. 2D). The percentage of mast cells which were MC_{TC} or MC_{TC} in the tumour compartments are shown in Table 2.

The median density of MC_T and MC_{TC} expressing $TNF\alpha$ in the tumour islets of patients with ES was significantly greater (median 14.9 [IQR 5.6–33.76] and median 13.8 cells/mm² [IQR 1.2–25.3] respectively) than in

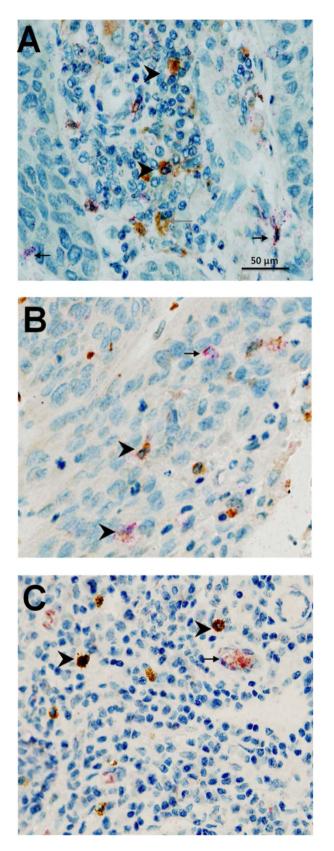


Figure 1. Examples of immunohistochemical double-staining for (**A**) chymase (brown) and tryptase (red) demonstrating the presence of MC_T mast cells (red) and MC_{TC} (reddish brown) (**B**) tryptase (brown) and TNF α (red) demonstrating the presence of TNF α in tryptase + mast cells, and (**C**) chymase (brown) and TNF α (red) demonstrating the expression of TNF α in MC_{TC} mast cells. Arrowhead = double-stain cell. Black arrow = single-stain red cell. Grey arrow = single-stain brown cell.

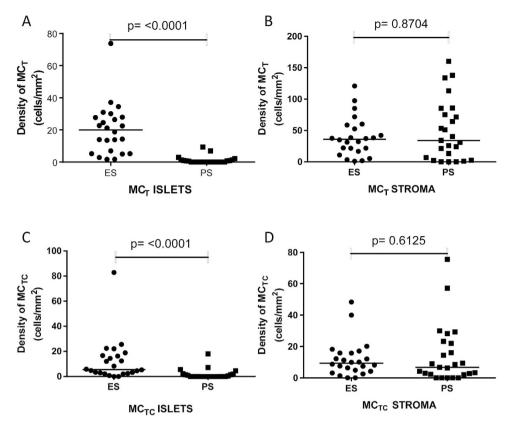


Figure 2. Mast cell densities for MC_T in the islets (**A**) and stroma (**B**) and for MC_{TC} in the islets (**C**) and stroma (**D**) in extended survival (ES) and poor survival (PS) patients.

	ES Islets	PS Islets	ES Stroma	PS Stroma
MC _{TC}	27.06 (0-93.7)	#	23.5 (0-91.7)	17.6 (0-100)
MC _T	72.94 (3.4–100)	#	76.5 (8.3–100)	82.4 (0-100)
MC _{TC} /TNFα	36.8 (0-100)	#	52.6 (0-100)	20.0 (0-100)
$MC_T/TNF\alpha$	43.6 (0-100)	#	40.5 (0-100)	87.5 (0-100)

Table 2. The percentage of total mast cells positive for each phenotype (MC_{TC} or MC_T), and the percentage of each phenotype expressing TNF α in the islets of extended survival patients (ES) and poor survival patients (PS) and stroma of extended survival patients (ES) and poor survival patients (PS). Median values are shown with (range). #Insufficient cells for analysis.

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patients with PS (median 0.1 [IQR 0–7.9] and 0.0 cells/mm² [IQR 0–1.2] respectively) (p=0.0005 for MC_T & p=0.0018 for MC_{TC}) (Fig. 3A and C). The median density of MC_T and MC_{TC} expressing TNF α in the stroma of patients with ES was 4.5 (IQR 0–28.5) and 11.7 (IQR 4.8–19.9) cells/mm² respectively compared to 6.9 (range 0–23.1) and 4.4 (IQR 0–15.2) cells/mm² respectively in with patients with PS (p=0.932 for MC_T and p=0.053 for MC_{TC}) (Fig. 3B and D). The median density of all cells expressing TNF α (mast cells and other cells) in tumour islets of patients with a above median survival was also noted to be significantly greater (71.1 cells/mm²) than in patients with a below median survival (12.7 cells/mm²) (p=0.0035). The proportion of MC_T and MC_{TC} which expressed TNF α in the different tissue compartments are shown in Table 2.

Degranulation Index. In the stroma, MC_T were degranulated to a greater degree in patients with ES than in those with PS (Fig. 4A, median [IQR] degranulation index 2.2 [2.0–2.5] compared to 1.83 [1.3–2.3], p = 0.021). There was no significant difference between ES and PS mast cell degranulation index for MC_{TC} in stroma (Fig. 4B). MC_T in the ES stroma were also more degranulated than MC_T in the ES islets (degranulation index nedian 2.2 [IQR 2.0–2.5] compared to 1.7 [IQR 1.4–1.9], p < 0.0001) (Fig. 4C). There were insufficient cells for analysis of degranulation in the islets of PS patients.

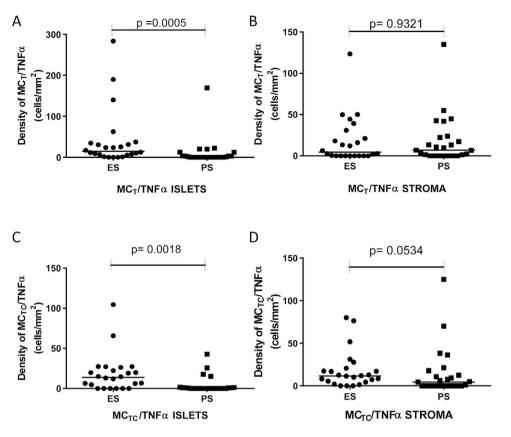


Figure 3. Double-stain densities of $MC_T/TNF\alpha$ in the islets (**A**) stroma (**B**) and $MC_{TC}/TNF\alpha$ in the islets (**C**) and stroma (**D**) in extended survival (ES) and poor survival (PS) patients. $MC_T/TNF\alpha$ densities were calculated by subtracting the chymase (MC_{TC}) count from the tryptase (total mast cells) count.

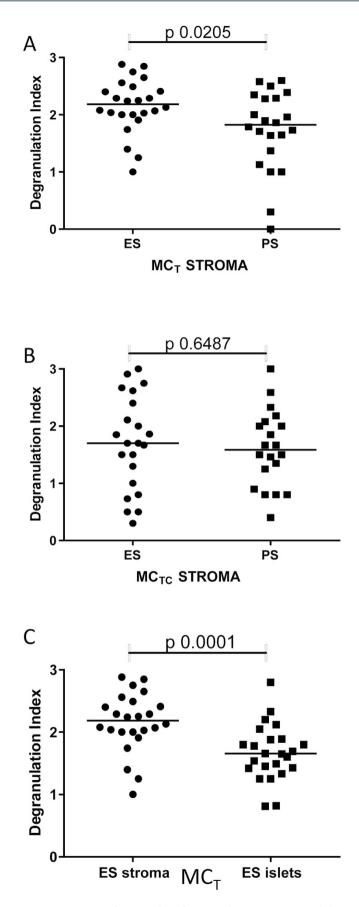
Kaplan-Meier Survival Analysis. For further analysis, the data were divided into two groups above and below the median cell count values. Kaplan-Meier survival curves were plotted to investigate further the association of cell densities with survival. The log rank statistic was used to compare survival rates. In the tumour islets, patients with above median density of both MC_T (Fig. 5A) and MC_{TC} (Fig. 5B) had significantly greater predicted survival (p < 0.0001) but there was no correlation with stromal mast cell densities and survival (Fig. 5A and B). There was a positive association between survival and tumour islet density of mast cells (MC_T and MC_{TC}) expressing TNF α (p = 0.0004) (Fig. 6A and B). In contrast there were no survival differences evident using the same analysis on stromal cell counts (Fig. 6A and B).

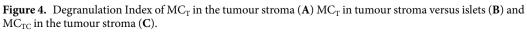
Discussion

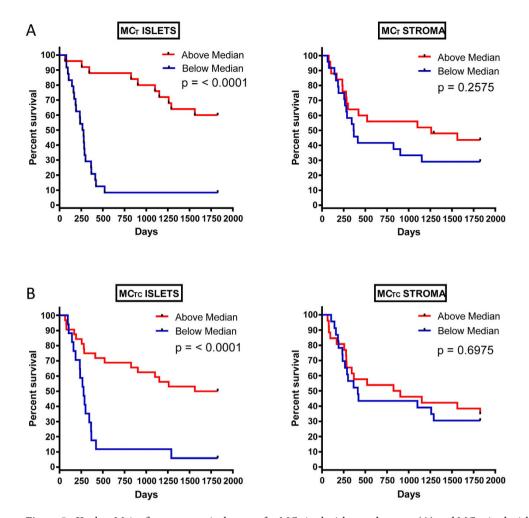
We have shown previously that mast cell infiltration of tumour islets in surgically resected NSCLC confers a marked survival advantage independently of tumour stage¹⁷. This study extends those findings by delineating the phenotype of mast cells within the tumour stroma and epithelial islets, and the extent of their degranulation. Of potential importance, both protease phenotypes are present within the tumour islets of patients with ES, they express $TNF\alpha$, and the presence of both is associated with increased survival following surgical resection.

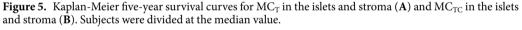
In healthy human airways mast cells are located predominantly in the lamina propria, and the density of mast cells there is similar to the density recorded here in the NSCLC tumour stroma²¹. Furthermore, the normal airway lamina propria ratio of MC_{T} : MC_{TC} is approximately $80:20^{19,27}$, which is again similar to that in the NSCLC stroma. This suggests that the homeostatic mechanisms regulating mast cell density and phenotype is similar in healthy bronchus and NSCLC stroma. Mast cells are rarely found in healthy airway epithelium, but those present have been reported to be almost exclusively of the MC_{T} phenotype¹⁸. In steroid-naïve asthma, mast cells infiltrate the airway epithelium with an MC_{T} : MC_{TC} ratio of about $80:20^{19}$. This presumably occurs under the influence of epithelial-derived chemoattractants which are released in response to inhaled pro-inflammatory stimuli. It is interesting therefore that in NSCLC epithelial islets, mast cells are also present in some patients, that their presence correlates with ES, and that their protease distribution of $80:20 MC_{T}$: MC_{TC} is similar to that seen in asthmatic airway epithelium. This suggests that in patients with ES, the tumour epithelium expresses a repertoire of chemoattractants, growth factors and cell-cell signals for the recruitment, differentiation and survival of both the MC_{T} and MC_{TC} phenotype, and that common mechanisms may exist for the recruitment of these cells in both asthma and NSCLC.

The correlation of improved survival with both MC_T and MC_{TC} mast cell phenotypes within the NSCLC tumour islets suggests that mast cells contribute to the anti-tumour immunological response, which also comprises infiltration by CD68+ macrophages¹⁷, natural killer and regulatory T cells²⁸. There are many mast cell







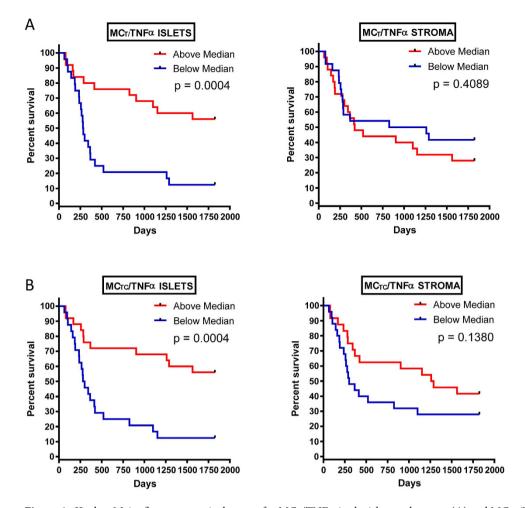


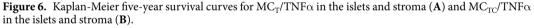
products which may contribute to the recruitment and activation of these other immune cells, but TNF α may be particularly important. This cytokine is stored pre-formed and secreted rapidly by mast cells in response to numerous diverse stimuli including IgE-dependent activation^{29,30}, TLR-dependent activation³¹ and cell-cell contact with T cells³². It is therefore likely that the expression of TNF α by mast cells of both the MC_T and MC_{TC} phenotypes within the tumour islets has important biological consequences.

We have shown previously that the expression of TNF α in the tumour islets is also associated with improved survival²⁵. These findings suggest that TNF α has a protective role when present in the NSCLC tumour islets, contributing to the limitation of tumour growth and dissemination. In mouse models mast cell-derived TNF α significantly increased T cell proliferation and cytokine production³³ and IgE- and antigen-dependent mast cell enhancement of T cell activation required TNF α ³⁴. TNF α also induces cytotoxic activity in macrophages, and so mast cell-derived TNF α may play a pivotal role in the cytokine pathways influencing cytotoxic T cells and macrophages within the tumour islets, and thus have important consequences on cytotoxicity against tumour cells. This would be in keeping with other recently identified protective roles for mast cells in mammalian systems³⁵.

We found no correlation between the density of MC_{TC} and poor survival which conflicts with the results of Ibaraki and colleagues²⁰ who reported a relationship between MC_{TC} density and microvessel density in NSCLC. This difference may be due to the fact that in that study no assessment of the microlocalisation of mast cells within the tumour was made. In addition, we noted that patients with poor survival had low numbers of both phenotypes of mast cell within their tumour islets, in keeping with our previous findings¹⁷.

Our results also demonstrate that patients who have a better outcome have greater degranulation of their MC_T subset in the NSCLC stroma. It is plausible that granular products such as heparin and proteases are able to disrupt the stroma after degranulation, thus inhibiting consequent tumour growth. Mast cell proteases are known to cause cell structural alterations and loss of the extracellular matrix integrity⁶. It is attractive to hypothesise that degranulation is the default and potentially protective mast cell anti-tumour response, but in poor prognosis patients there is inhibition of this with concomitant enhancement of detrimental factors such as pro-angiogenic cytokine production. In support of this, cyclooxygenase-2 and its product PGE₂ are over-expressed in NSCLC^{36,37}, and correlate with poor survival³⁸. PGE₂ is known to inhibit human lung mast cell degranulation³⁹, but increase the synthesis and release of VEGF^{40,41}. In addition, PGE₂ is an inhibitor of human lung mast cell migration⁴², and





so the increased production of PGE_2 by poor prognosis tumours might also explain why these tumours fail to recruit mast cells to the tumour islets. Thus the expression of PGE_2 in NSCLC and other tumours could be a key factor determining whether mast cells are protective or pro-tumorigenic, and explain the discrepancies in previ-

ous studies with regards to their role.

It is recognised that mast cells exhibit heterogeneity with regards to their cytokine content. In the lung, the MC_T phenotype expresses predominantly IL-5 and IL-6, while the MC_{TC} phenotype expresses IL-4 and IL-13¹⁹. This study demonstrates that in lung cancer at least, mast cells of both the MC_T and MC_{TC} phenotype express TNF α . The factors determining the expression of these cytokines in the MC_T versus MC_{TC} phenotype are not known and require further study.

In summary, we have shown that the density of the two mast cell phenotypes MC_T and MC_{TC} is increased in the tumour islets of patients with ES in NSCLC. The production of $TNF\alpha$ by both mast cell phenotypes within the tumour islets, and the degranulation of the MC_T phenotype within the tumour stroma, may be particularly important for their interaction with other immune cells and the associated inhibition of tumour progression.

Manipulating mast cell microlocalisation and functional responses in NSCLC, for example through the inhibition of PGE₂ production using cyclooxygenase-2 inhibitors, may offer a novel approach to the treatment of this disease.

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Acknowledgements

Funding for this study was provided by the James Maxwell Grant Prophit Fellowship awarded to Dr Chandra Ohri by the Royal College of Physicians, London, UK. The work was also supported by the National Institute for Health Research Leicester Respiratory Biomedical Research Unit. The views expressed are those of the author(s) and not necessarily those of the NHS, the NIHR or the Department of Health.

Author Contributions

C.O. carried out the immunohistochemical staining, slide analysis, statistical analysis and prepared the manuscript. A.S. assisted with microtomy and carried out the immunohistochemical staining, slide analysis and statistical analysis. D.W. provided the samples for analysis and prepared the manuscript. P.B. conceived the study, participated in its design and coordination, and prepared the manuscript. All authors have read and approved the final manuscript.

Additional Information

Competing financial interests: The authors declare no competing financial interests.

How to cite this article: Shikotra, A. *et al.* Mast cell phenotype, $TNF\alpha$ expression and degranulation status in non-small cell lung cancer. *Sci. Rep.* **6**, 38352; doi: 10.1038/srep38352 (2016).

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