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Bonding-restricted structure search for novel 2D materials with dispersed C₂ dimers

Cunzhi Zhang^{1,2}, Shunhong Zhang^{1,2} & Qian Wang^{1,2}

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Currently, the available algorithms for unbiased structure searches are primarily atom-based, where atoms are manipulated as the elementary units, and energy is used as the target function without any restrictions on the bonding of atoms. In fact, in many cases such as nanostructure-assembled materials, the structural units are nanoclusters. We report a study of a bonding-restricted structure search method based on the particle swarm optimization (PSO) for finding the stable structures of two-dimensional (2D) materials containing dispersed C₂ dimers rather than individual C atoms. The C₂ dimer can be considered as a prototype of nanoclusters. Taking Si-C, B-C and Ti-C systems as test cases, our method combined with density functional theory and phonon calculations uncover new ground state geometrical structures for SiC₂, Si₂C₂, BC₂, B₂C₂, TiC₂, and Ti₂C₂ sheets and their low-lying energy allotropes, as well as their electronic structures. Equally important, this method can be applied to other complex systems even containing *f* elements and other molecular dimers such as S₂, N₂, B₂ and Si₂, where the complex orbital orientations require extensive search for finding the optimal orientations to maximize the bonding with the dimers, predicting new 2D materials beyond MXenes (a family of transition metal carbides or nitrides) and dichalcogenide monolayers.

Determining the geometrical structures is essential to design new materials. The traditional X-ray diffraction technique for determining the crystal structure requires high quality samples, and is incapable of dealing with materials under extreme conditions such as high pressure, strong electric or magnetic fields. On the other hand, determining a ground-state geometry based on chemical and physical intuitions is difficult due to the complex potential energy surface especially for materials with large unit cells or complicated structural units. Hence many structure prediction methods based on quantum mechanical simulations combined with global optimization algorithms have been developed, such as simulated annealing¹, genetic algorithm^{2,3}, and basin hopping⁴. However, in these currently available methods, energy is used as the target function and there are no restrictions on the bonding of atoms, and atoms are usually manipulated as the elementary units. Thus, they are unsuitable for doing structure search for nanocluster-assembled materials where the structural units are nanoclusters, which are not directly bonded to each other but through linkers in most cases. Therefore, it is highly desirable to develop new search algorithms for doing such bonding-restricted structure search. An earlier work reported a constrained evolutionary search algorithm that enables prediction of polymeric crystal⁵. However, algorithms for motif-based prediction of low dimensional materials are not yet reported.

In this study, we report a dimer-based bonding-restricted search method for finding stable 2D structures with a restriction of no direct bonding between the dimers. C₂ is a well-known pseudohalogen unit with an electron affinity of 3.4 eV⁶, and is the basic structural unit of diverse carbon compounds. It has received increasing attention due to its rich physical and chemical properties. R. Hoffmann⁷ recently made a comment on C₂: “C₂ is one of my favorite molecules, for this diatomic epitomizes many of the fundamental questions of chemistry”. Shaik and co-workers⁸ demonstrated that an unusual quadruple bond may exist in C₂, which is strong enough to be considered as a typical chemical bond. In addition, dimerization of carbon is not only the first stage toward final crystallization, but also plays a vital role in the growth of many carbon related materials^{9,10}. For instance, a C₂ dimer on a Cu surface was identified as the dominant feeding species for graphene growth due to its lower diffusion and attachment barrier as compared to the carbon monomer and trimer^{11,12}. Although the C₂ dimer is

¹Department of Materials Science and Engineering, College of Engineering, Peking University, Beijing 100871, China.

²Center for Applied Physics and Technology, Peking University, IFSA Collaborative Innovation Center, Key Laboratory of High Energy Density Physics Simulation, Ministry of Education, Beijing 100871, China. Correspondence and requests for materials should be addressed to Q.W. (email: qianwang2@pku.edu.cn)

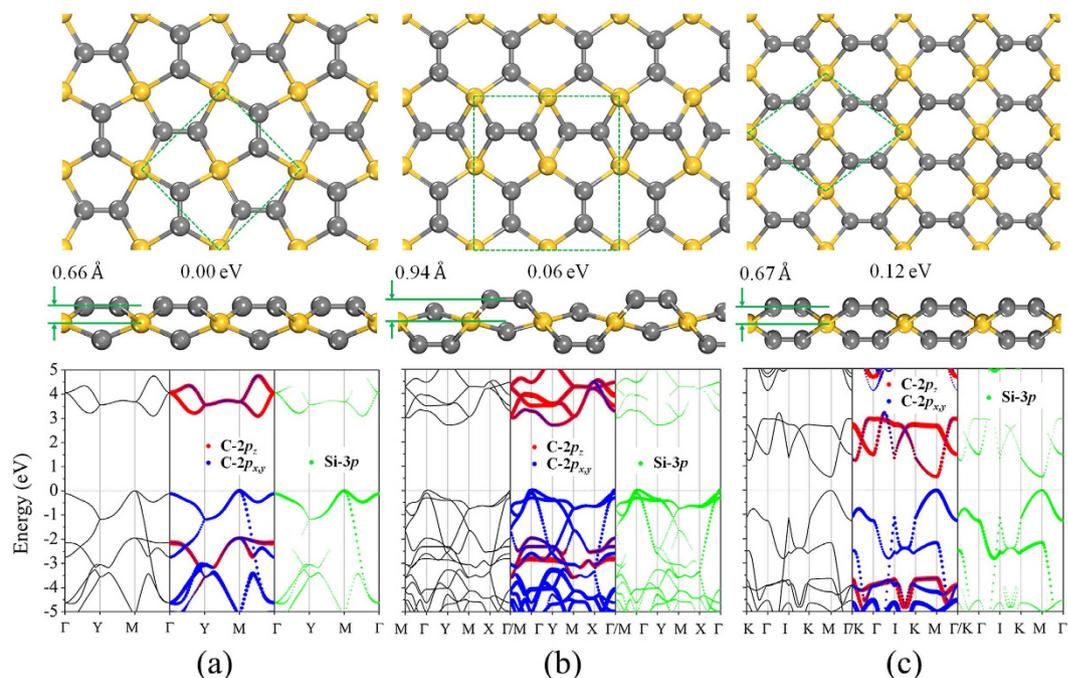


Figure 1. Geometrical and electronic structures. Top and side views of the atomic configurations of C_2 dimer-based 2D SiC_2 isomers and their corresponding electronic band structures. (a) penta- SiC_2 , (b) 456- SiC_2 , and (c) 46- SiC_2 . Grey and yellow spheres represent C and Si atoms, respectively. The relative energy/f.u. with respect to penta- SiC_2 is given below each structure. Decomposed band structures (bottom panel) show the contribution of different orbitals.

quite chemically active and inclined to aggregate, it naturally occurs in the carbon vapor of interstellar medium and electric arcs. Vapor from a 16-V carbon arc was found to contain 28 wt% diatomic carbon¹³. As a result, in molecular dynamics (MD) simulations of growth of carbon-based materials, such as graphene, carbon nanotube and C_{60} , C_2 dimers are usually adopted as the initial carbon source^{14–16}.

Furthermore, the C_2 dimer is the building block of metal-alkynide complexes¹⁷, alkynide complexes¹⁸, metallocarbohedrenes clusters M_mC_n ^{19,20} (usually termed as metcars) and ternary metal carbides ($LiAgC_2$, $KAgC_2$, $CsAgC_2$ and $NaPdC_2$)²¹. In recently studied silicon carbides (Si_xC_y), the dimerization of C in the SiC monolayer reintroduces the Dirac cone into the honeycomb lattice²², while SiC sheets without C_2 dimer are wide-band gap semiconductors^{23–26}. In SiC_2 silagraphene²⁷, each silicon atom binds to four C_2 units in a flat plane, resulting in a metallic sheet. When C_2 dimers are embedded in a 2D porphyrin sheet, the system becomes highly active for oxygen reduction reaction²⁸. In addition, it was demonstrated that the formation of C_2 dimers plays an important role in stabilizing metcar clusters²⁹.

Due to the C_2 dimer's unique properties as well as its importance in forming numerous carbon-based three-dimensional materials and zero-dimensional nanostructures, we focus this study on developing a dimer-based global search algorithm to design 2D materials containing dispersed C_2 dimers to retain its intrinsic properties. We then apply this method to identify the ground state geometries of the 2D $Si-C_2$, $B-C_2$, and $Ti-C_2$ systems containing C_2 dimers as test cases.

Results and Discussion

To test our method, we applied it to three systems where C_2 dimers are linked with Si, B and Ti atoms respectively. New ground state geometries and new low-lying isomers are identified.

2D $Si-C_2$ system. Recently, SiC_2 and Si_2C_2 sheets with dispersed carbon dimers have received some attention. The studies were carried out using *a priori* geometric structures to investigate the properties of 2D $Si-C$ sheets^{22,27,30}. However, a complete understanding of $Si-C_2$ phases is still lacking, and it is unclear whether the studied structures are the ground states under the condition of discrete C_2 dimers. Thus we have conducted a comprehensive structure search to identify the ground state geometry and the low-lying energy isomers of the $Si-C_2$ system.

The most stable structure of Si_2C_2 and three low-lying energy isomers of SiC_2 have been identified using our C_2 dimer-based global search. Because the lowest energy geometry of Si_2C_2 is found to be identical to a recently reported structure²², we only concentrate on the three isomers of the SiC_2 sheet, as shown in Fig. 1. In these structures all carbon atoms are pairwise bonded with each other and covalently bonded with Si atoms. They all are comprised of four-fold coordinated Si with three-fold coordinated C atoms. The structure, shown in Fig. 1(a), is composed of pure pentagons, thus it is named penta- SiC_2 . The other two isomers shown in Fig. 1(b,c) consist of 4-, 5- and 6-rings, and 4- and 6-rings, respectively, labeled as 456- SiC_2 and 46- SiC_2 accordingly. Si-C and C-C

bond lengths are about 1.90 Å and 1.36 Å respectively. The side views show the sandwich-like structures with the four-fold coordinated Si atoms in the middle sandwiched between C₂ dimers. When we consider the Si sublattice and C₂ dimer (treating C₂ as a structural unit) sublattice separately, it turned out that the major difference among these three isomers is the orientation of C₂ dimers, namely the dimers are parallel to each other in 46-SiC₂, while they are perpendicular to each other in penta-SiC₂ (In Supplementary Information, we shortly discuss the kinetic barriers connecting different isomers). It is obvious that the different arrangement of C₂ dimers remarkably alters the geometrical structures, resulting in the different electronic properties of these isomers as demonstrated in the following paragraph.

Total energy calculations reveal that all three isomers are energetically more favorable than the previously proposed SiC₂-silagraphene²⁷ with planar tetracoordinate silicon atoms. Penta-SiC₂, 456-SiC₂ and 46-SiC₂ are 0.63, 0.57, and 0.51 eV/formula unit (f.u.) lower in energy than that of SiC₂-silagraphene, suggesting that the Si-C₂ sheet is more likely to adopt a buckled structure with the partially *sp*³ hybridized Si and the *sp*² hybridized C. Penta-SiC₂ is found to be the lowest energy configuration among the three isomers with the Si-C and C-C bond lengths of 1.90 Å and 1.36 Å respectively, which is identical to that reported recently by Bezanilla *et al.*³⁰, and as 456-SiC₂ lies only 0.06 eV higher in energy than penta-SiC₂, the two structures can be considered as energetically degenerate. The relative energy of 46-SiC₂ with respect to penta-SiC₂ is 0.12 eV. The dynamical stability of the predicted low-lying energy isomers of SiC₂ is verified by calculating their phonon dispersions. The results plotted in Fig. S1 (see Supplementary Information) show that the three structures are all dynamically stable since their vibration modes are real in their entire Brillouin zones, respectively.

To understand the electronic properties of the three isomers, their band structures are calculated using the HSE06 hybrid functional. Furthermore, a more detailed investigation of the contribution from each atomic orbital to the band structure is carried out using the color-mapped bands. The calculated results are presented in Fig. 1, which shows that penta-SiC₂, 456-SiC₂ are large band gap compounds with band gaps of 3.1 eV, 2.7 eV, while the bandgap of 46-SiC₂ is 0.55 eV. We note that 46-SiC₂ is a direct band gap semiconductor and its band gap is much smaller than that of penta-SiC₂ and 456-SiC₂. While the others are indirect band gap semiconductors because the valence band maximum (VBM) and the conduction band minimum (CBM) are not at the same point in their Brillouin Zones. The localized distribution of *p_z* orbitals of the C₂ dimer, above and below the Fermi level, is disclosed in the colored band structure (red dot curves in decomposed band structure). Further analyses of the chemical bonding of the C₂ dimer reveals that the localized distribution originates from the bonding and anti-bonding *p_z* orbital of C₂ dimers. Due to the unique geometrical structure, the alternation of partially *sp*³ hybridized Si and slightly distorted *sp*² C prevents the *p_z* orbitals from forming a delocalized π bond. This could explain, to some degree, why these *p_z* composed bands are less dispersive than those in graphene or other planar silicon carbon sheets.

To understand the origin of the different electronic structures exhibited in the three isomers, the detailed structural configurations of the local C and Si atoms in penta-SiC₂ and 46-SiC₂ are sketched in Fig. S3 (see Supplementary Information). Penta-SiC₂ has higher symmetry subjecting it to the least geometrical distortion among the three isomers. 46-SiC₂'s parallel arrangement of the C₂ dimer imposes a larger geometrical distortion; the angle of C with its neighboring Si is 92.9°, while that in penta-SiC₂ is 109.6°. The Si atoms in 46-SiC₂ also adopt the distorted hybridization as compared to that in penta-SiC₂. Besides, the decomposed band structures (Fig. 1) show that the highest and the second highest occupied bands near the M point in 46-SiC₂ are exclusively from the Si-C σ bonds. The larger geometrical distortion of Si-C σ bonds in 46-SiC₂ leads to a stronger interaction between the relevant orbitals, resulting in the highly dispersive bands and a smaller band gap.

2D B-C₂ system. For the second test system, we study the 2D B-C₂ system due to boron's rich chemistry: for example its electron deficiency and versatile bonding ability. In fact, nanostructures composed of boron and carbon atoms have attracted growing interest both theoretically and experimentally. For instance, a BC₃ honeycomb structure with high crystalline quality was identified by electron diffraction³¹. A B₂C sheet with planar tetra-coordinated carbon (pt-C) moiety was theoretically predicted³². A thorough study of B-C compounds with different stoichiometry was carried out by performing a PSO search³³. However, a study on B-C sheets comprised of discrete C₂ dimers has not been reported. Due to its versatility in forming various chemical bonds and the differing chemical nature of B from Si, the bonding between B and the C₂ dimer is expected to be quite different from that of Si and C₂, leading to B-C₂ systems with different structural and electronic properties.

Using our dimer-based search algorithm, three low-lying energy isomers of B₂C₂ and one stable structure of BC₂ are obtained (Fig. 2). Geometry optimizations and total energy calculations suggest that the structure shown in Fig. 2(a1) has the lowest energy of the three isomers of B₂C₂ with a total energy of 0.04 eV, 0.13 eV lower than that of the structures shown in Fig. 2(a2,a3), respectively. To examine the dynamical stability of these structures, we calculated their phonon dispersions. The results are plotted in Fig. S4. The absence of imaginary modes in the entire Brillouin zone for each of the structures confirms that they are all dynamically stable. However, we note that these structures are energetically metastable as compared to the B-C planar monolayers predicted by Luo *et al.* (B₂C₂-a is 0.23 eV/f.u. higher in energy than the most stable BC sheet).

In order to gain more insight into the chemical bonding in the B-C₂ sheets, we plotted an electron localization function (ELF)³⁴ isosurface (with a relatively large isovalue of 0.75 to reflect the σ bonds between the atoms) in Fig. 3. According to the ELF diagrams, carbon in B₂C₂-a and B₂C₂-b adopt *sp*³ hybridization, and each carbon atom is covalently bonded to three adjacent B and one C atoms. B atoms take a slightly distorted *sp*² configuration. The C-C bond length in Fig. 2(a1,2) are 1.51 Å (close to that of a C-C single bond). While in B₂C₂-c, both the B and C atoms have *sp*² hybridization. B₂C₂-c adopts a planar honeycomb structure similar to graphene³⁵, however, the total energy of B₂C₂-c is 0.13 eV/f.u. higher than that of B₂C₂-a. Multi-center bonds are pretty common in boron-related materials^{36,37}, and contribute a lot to their stability. However, no such bonds are found in B₂C₂-a, B₂C₂-b and B₂C₂-c, which might explain the physical origin of their higher energy.

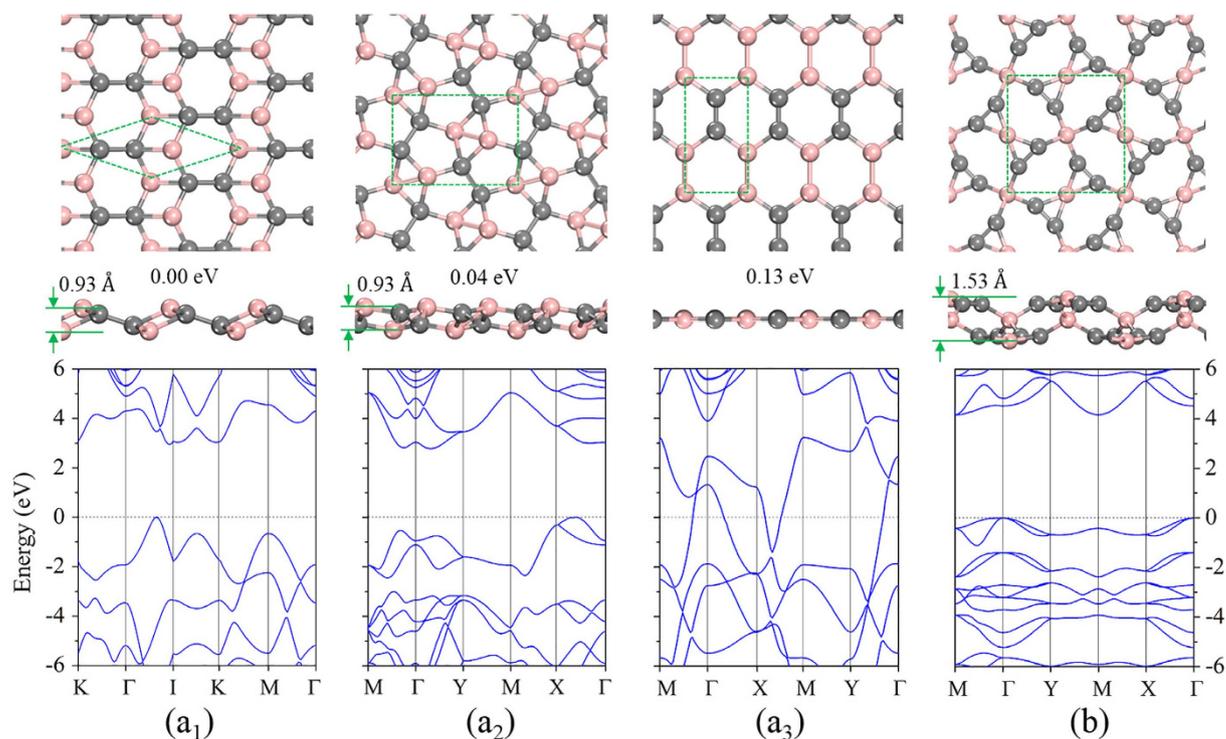


Figure 2. Geometrical and electronic structures. Top and side views of the atomic configurations and their band structures of B-C₂ (a₁) B₂C₂-a, (a₂) B₂C₂-b, and (a₃) B₂C₂-c, and (b) BC₂.

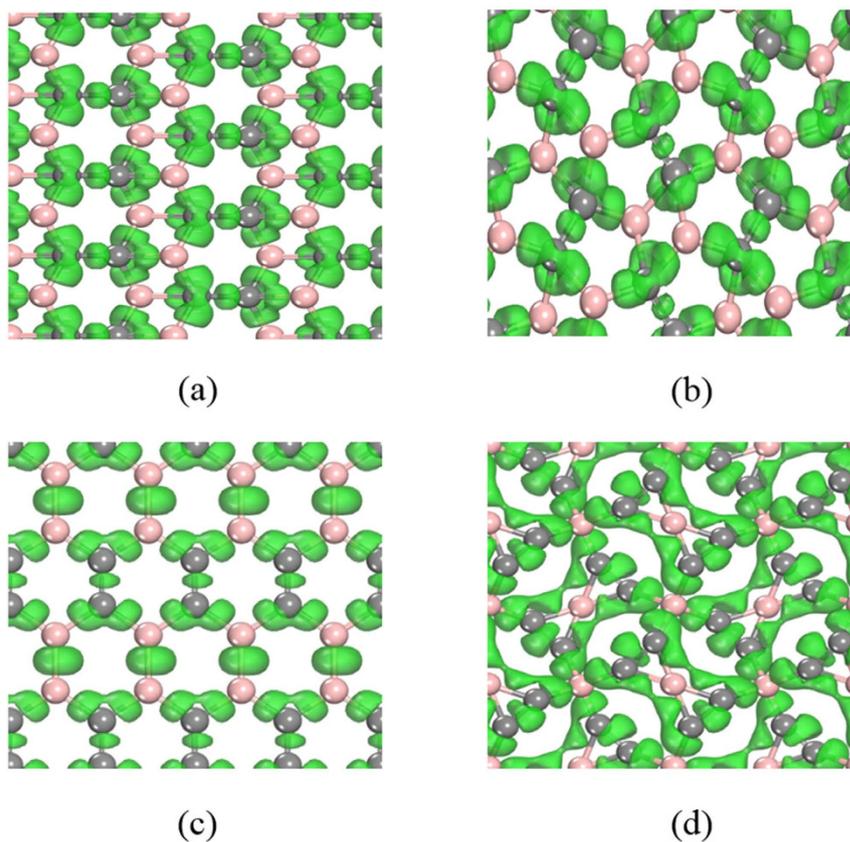


Figure 3. Electron localization function isosurface. Isosurfaces of electron localization function (ELF) with the value of 0.75 for the structures (a) B₂C₂-a, (b) B₂C₂-b, (c) B₂C₂-c and (d) BC₂.

For the BC₂ sheet, only one stable structure without imaginary modes was discovered (Figs 2(b) and S4(b)). In particular, all the BC₂ structures isostructural to those of SiC₂ (namely penta-BC₂ or 46-BC₂) are unstable, reflecting the differing chemical nature of B and Si. In this highly buckled BC₂ sheet (the thickness is 1.53 Å), all C atoms are strongly covalently bonded together; the C-C bond length is 1.31 Å. The calculated electron localization function (ELF) shows that there are sp³ hybridized B and nearly planar tetracoordinate B atoms. In our case, the bonding type of planar tetracoordinate B is closer to the case of planar tetracoordinate Si²⁷ and C³².

Our electronic band structure calculations (Fig. 2) show that the ground state structures of B₂C₂ and BC₂ with the discrete C₂ dimers have indirect band gaps of 2.9, 2.8 and 4.1 eV at the HSE06 level for B₂C₂-a, B₂C₂-b and BC₂, respectively, which is in contrast to the metallic BC sheet³³ where C is not in C₂ form. The reason is, once we restrict the carbon atoms to be pairwise bonded together, the most stable structures (B₂C₂-a and BC₂) discovered by using our dimer-based search are buckled. The separation of C₂ dimers by boron atoms and the buckling nature of the sheets would destroy the delocalization of p_z π electrons of boron and carbon (the main reason for the metallicity of many planar stable B-C compounds). In the B₂C₂-c isomer, the planar geometry, as well as its delocalized π electrons is preserved. Geometrically speaking, B₂C₂-c is similar to honeycomb SiC²². Since boron has few valence electrons it is impossible to fill all the electronic states below the Dirac cone giving rise to metallicity.

2D Ti-C₂ system. To study the interaction between the C₂ dimer and transition metal elements, we have systematically studied Ti-C₂ sheets for the following reasons: (1) both Ti and Si possess four valence electrons, thus it is intriguing to look at the difference between TiC₂ and SiC₂ sheets and Ti₂C₂ and Si₂C₂ sheets. (2) Titanium and carbon have been found to form various kinds of stable compounds ranging from 0D clusters^{19,38}, to 2D sheets^{39,40}, and to 3D bulk materials. Among them, in metcar clusters all carbon atoms exist in the form of a C₂ dimer¹⁹. Therefore, it might be possible to find a family of 2D crystals that consists of transition metal atoms and C₂ dimers. (3) Recently, a group of 2D layered early transition metal carbides termed as MXenes, including Ti₃C₂ and Ti₂C, were experimentally synthesized by exfoliation from their bulk MAX phases^{41–43}. However, in MXenes sheets, C is in atomic form rather than a C₂ dimer. Therefore it is necessary to study the possibility of forming stable 2D titanium carbides containing C atoms that are all in C₂ dimer form.

We concentrated on the 2D titanium carbide sheets with the stoichiometry of TiC₂ and Ti₂C₂ where C is in the form of a C₂ dimer. Recently, a TiC₂ sheet was predicted to have good performance as anode material for a lithium ion battery⁴⁰. However, the geometrical structure was artificially designed. Thus, it is easy to miss some low-lying isomers. In fact, we found, using our dimer-based algorithm, that among the isomers of TiC₂, there is one that is lower in energy by 0.13 eV/f.u. than the recently reported structure⁴⁰. In this lowest energy structure, as shown in Fig. 4(a), due to the different orientations of C₂ dimers, the total thickness of 1.97 Å is less than the 2.02 Å of the reported structure⁴⁰. One can see when going from Si to Ti, although both have four valence electrons, the complex 3d orbitals in Ti require a delicate arrangement of C₂ dimers to match with the d orbital orientations for maximizing the interactions. The stability of the TiC₂ sheet can be traced back to the most stable C_{3v}-like Ti₈C₁₂ cluster⁴⁴, where all carbon dimers bind to Ti in end-on configuration (EOC) and side-on configuration (SOC), which strengthens the bonding between Ti and C and stabilizes the Ti₈C₁₂ cluster. In our 2D TiC₂ sheet EOC and SOC are apparently well preserved. The C-C bond length is 1.33 Å, which is slightly longer than that of a dispersed C₂ molecule (1.31 Å) due to the partial occupation of π_g orbital of C₂ in TiC₂. The bond length of Ti-C in EOC and SOC modes is 2.05 Å and 2.19 Å, respectively, close to 2.1 Å in Ti₂C MXene phase.

We then increased the atomic ratio of Ti from TiC₂ to Ti₂C₂ to study the effect of Ti concentration on the geometry and properties of the Ti-C sheets. The structure shown in Fig. 4(b) is found to have the lowest energy among the isomers of Ti₂C₂ under the requirement of discrete C₂ dimers, and it lies lower in energy by 0.25 eV/f.u. than the t-TiC sheet³⁹. This suggests that when the same atomic ratio of Ti to C exists, C₂ is energetically more favorable than atomic C to bind with Ti in forming a sheet. The C-C bond length is 1.48 Å, close to that of C-C single bond but longer than that in a TiC₂ sheet. This is because the hybridization between the C₂-π_g and Ti-3d is stronger in Ti₂C₂, resulting in more π_g states being occupied, thus leading to the elongated C-C bond.

The calculated band structures and partial DOS are also plotted in Fig. 4, which clearly show that the TiC₂ sheet is metallic, while the Ti₂C₂ sheet, like the t-TiC sheet, is an indirect narrow gap semiconductor with a gap of 0.1 eV at the HSE06 level. It is known that the ground state electronic configuration of a dispersed C₂ is (1σ_g)²(1σ_u)²(2σ_g)²(2σ_u)²(1π_u)⁴ with the higher energy states (3σ_g)(1π_g)(3σ_u) unoccupied, and the (2σ_g)²(2σ_u)²(1π_u)⁴(3σ_g) having much lower energy as compared to the orbitals of Ti-3d. Therefore, a weak interaction between the deep level orbitals of C₂ and Ti-3d is expected. Thus, the electronic states near the Fermi level are mainly from the interplay between C₂-π_g and Ti-3d orbitals.

For the structure of t-TiC₂³⁹ a strong electronic resonance between C-2p and Ti-3d is obvious. Due to the strong hybridization between C-2p and Ti-3d and the low buckled geometry of t-TiC, the bonding states of C-2p and Ti-3d are separated from the unoccupied Ti-3d states forming a band gap. TiC₂ is geometrically analogous to t-TiC with carbon atoms replaced with discrete C₂ dimers. However, replacing C with C₂ leads to drastic change in their electronic structures, namely t-TiC is semiconducting with a strong electronic resonance, while TiC₂ is metallic. This is because the π_g orbitals of C₂ hybridize with the Ti-3d orbitals, forming the energy bands crossing the Fermi level, thus, resulting in metallicity of TiC₂. For the Ti₂C₂ sheet, the electronic states near the Fermi level are also derived from C₂ π_g and Ti 3d orbitals similar to TiC₂, yet the interaction between C₂ π_g and Ti 3d orbitals is stronger as compared to that in TiC₂ due to the higher Ti concentration, which reduces the electronic states near the Fermi level, and thus results in the small band gap as shown in Fig. 4(b).

Based on the above discussions, the following intriguing points can be concluded: (1) compared with the sandwiched structure of the TiC₂ sheet, the Ti atoms are more exposed in the Ti₂C₂ sheet, and hence have higher chemical reactivity; (2) Although having a higher atomic ratio of Ti, the Ti₂C₂ sheet is semiconducting with a band gap of 0.1 eV, while the TiC₂ sheet is metallic; (3) For the t-TiC³⁹ and Ti₂C₂ sheets, although they have the

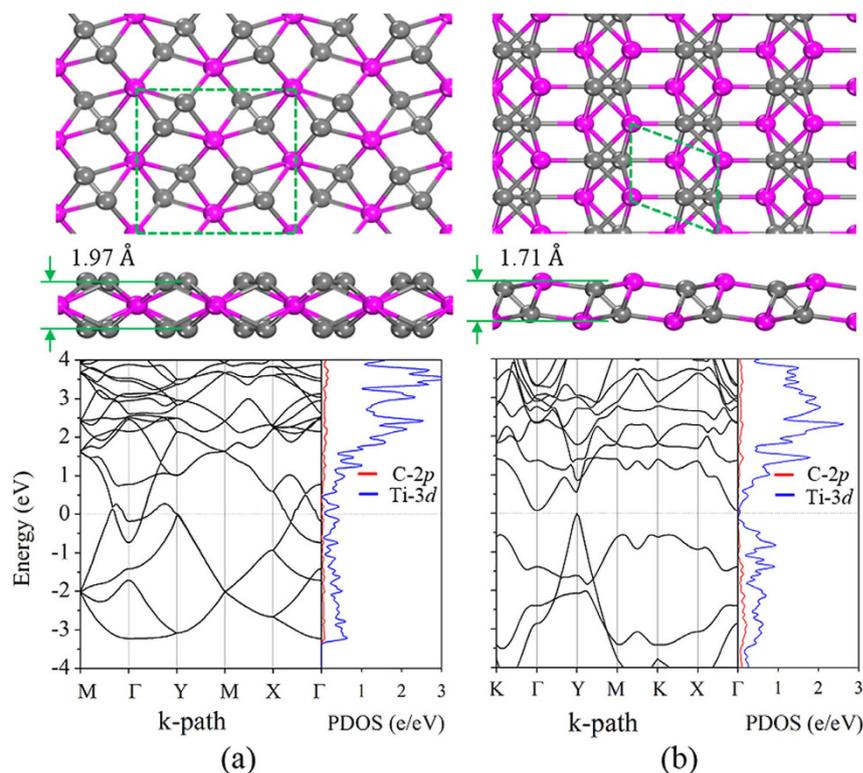


Figure 4. Geometrical and electronic structures. Top and side views of the crystal structures of (a) Ti_2C_2 and (b) Ti_2C_2 , and their corresponding electronic band structures and partial DOS. Magenta and grey spheres represent Ti and C atoms, respectively. Their dynamical stabilities are confirmed by phonon calculations (see Fig. S5 in Supplementary Information).

same composition ratio, when going from atomic C to C_2 dimer, the band gap is reduced from 0.2 eV to 0.1 eV, showing promising applications of the Ti_2C_2 sheet in infrared light-related technology.

Summary. In this study, we developed a dimer-based bonding-restricted structure search method to find the ground state structures of the 2D materials containing C_2 dimers with a restriction of no direct bonded dimers, and then applied this method to three systems composed of discrete C_2 dimers that are coordinated with Si, B and Ti atoms, respectively, as test cases. For the SiC_2 sheet, three energetically nearly degenerate allotropes with very different electronic structures are identified, which also differ from the previously proposed SiC_2 -silagraphene²⁷, showing that tuning the orientation of the C_2 dimers not only can modulate the size of the band gap, but also can induce the transition from an indirect gap to a direct gap. For the B-C system, the ground state structures of both BC_2 and B_2C_2 sheets are determined. Due to the different chemical nature of B and Si, all the possible structures of BC_2 isostructural to those of SiC_2 are unstable. In the ground state configuration of BC_2 , each C_2 dimer is bonded with three B atoms forming a 2D sheet with a thickness is 1.53 Å and a band gap of 4.1 eV. When increasing the number of atomic B to form B_2C_2 , each C_2 dimer is bonded to six B atoms having the maximum number of B-C σ bonds and reducing the band gap to 2.9 eV. Unlike the metallic BC sheet³³ where C atoms do not form any dimers, both the BC_2 and B_2C_2 sheets identified here are semiconducting. The emergence of band gap is attributed to the buckled structures, which prevents the delocalization of p_z orbitals. For the Ti-C system, a new structure of the TiC_2 sheet with a lower energy than the metallic TiC_2 sheet reported recently⁴⁰ was found. A Ti_2C_2 sheet was also found to be energetically more stable than the previously proposed t- TiC sheet³⁹, suggesting it is more favorable for Ti to bind with C_2 dimers in forming 2D structures. Unlike the metallic TiC_2 monolayer⁴⁰, the Ti_2C_2 sheet is an indirect band gap semiconductor. In addition, compared with the structures composed of C_2 dimers and nonmetallic elements (Si, B), both the stable TiC_2 and Ti_2C_2 sheets adopt relatively more compact structures due to the complex orientations of d orbitals, which require a more extensive search to find the optimal orientation of the C_2 dimers. These cases show that our searching algorithm is efficient and indispensable to design new 2D materials beyond the atom-based ones.

Methods

Dimer-based search algorithm. Particle Swarm Optimization (PSO), one of the most popular swarm intelligence algorithms, was originally developed by Kennedy and Eberhart in 1995⁴⁵. The PSO algorithm utilizes the collective intelligence of the whole population rather than a single individual, it is quite efficient in handling various optimization problems. Recently, the PSO algorithm has been successfully applied to crystal structure prediction^{46–48}, another intractable optimization problem. Just like in the natural world where collective

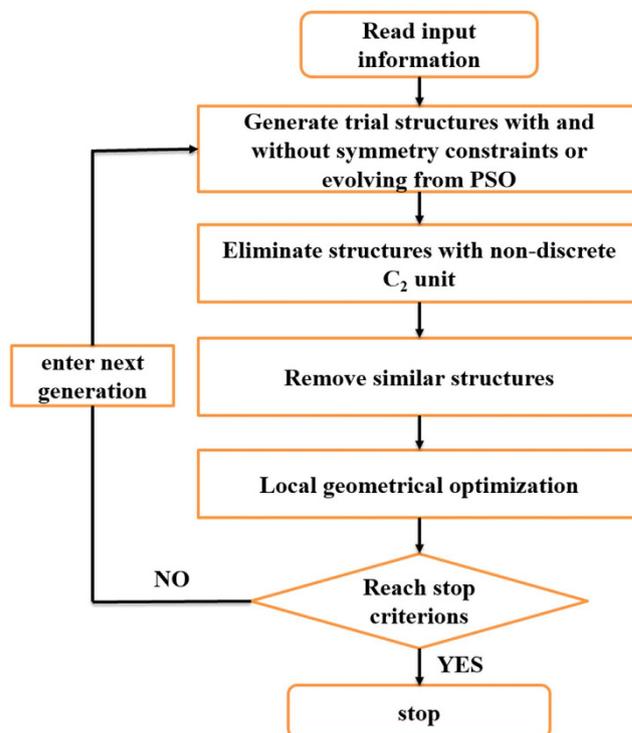


Figure 5. Flowchart of the dimer-based structure prediction code.

intelligence facilitates the locating of food or optimal habitats for insects and fishes, PSO implementation in CALYPSO was proven to be efficient in predicting the most stable structures for undiscovered materials⁴⁸.

Due to the great success of PSO in predicting crystal structures, we have studied a dimer-based structure search program based on the PSO algorithm, where additional constraints and augmented algorithm are added. The main framework of our dimer-based structure search method is illustrated by the flowchart as shown in Fig. 5.

Before outlining the details of the steps given in the flowchart, we will explain the treatment of the C_2 dimer in our constrained search method. The requirements are that all carbon atoms exist in the form of a C_2 dimer and each dimer must be separated from the others. A C_2 dimer can be treated naturally as a “pseudo-atom”. However, general treatments for ordinary atoms are not sufficient for “pseudo atoms”, as an ordinary atom is treated as an isotropic sphere, while a C_2 dimer is considered a pseudo atom exhibiting structural anisotropy along the molecular axis. Therefore, to fully represent a C_2 dimer, extra indices are imperative. As shown in Fig. 6, besides the three coordinates, x , y and z , the dimeric orientation angles φ and θ are required. Therefore, in our augmented PSO algorithm, the coordinates needed are $r_i = (x_i, y_i, z_i, \varphi_i, \theta_i)$, where x_i , y_i and z_i are used to denote the barycenter, φ_i and θ_i are used to represent the orientation of the dimer. Besides, during the PSO-based structure searches, the bond length of C_2 dimer is allowed to vary within the length of C-C single and triple bond. Accordingly, the PSO algorithm is modified to accommodate these changes.

There are four main steps enumerated in the flowchart, some operative techniques are directly adapted from previous works^{46,48}. First of all, most of the trial structures are partially randomly generated under symmetry restrictions^{46,49,50} or evolved *via* the PSO algorithm^{48,51}. Fully randomly generated structures are also used. The reason is in 2D space there are 17 plane groups overall belonging to four different crystallographic systems (oblique, rectangular, square, and hexagonal); one of the groups is selected each time to create a new trial structure. The lattice shape of the new structure is determined by the selected space group and its area. Generally, the positions of the atoms or the barycenter of C_2 dimer are allocated on Wyckoff positions for the chosen plane group. An extra step is needed to generate bond length and orientation for each dimer randomly or partially restricted, which is different from atom-based structure prediction methods. All these steps are sketched in Fig. 7. In order to predict 2D materials with finite thickness, the coordinate z_i of atoms and dimers are assigned randomly within a thickness Δ as shown in Fig. 7(d). However, because the number of 2D plane groups is finite, the generating method for a symmetry constrained structure is prone to produce similar structures. In this case totally randomly generated structures are needed to guarantee the diversity of structures.

Another source of the new trial structures is the PSO evolution which is a population-based algorithm. The population in our case is defined as a group of structures. For generation t , we define a population P_t and every structure in generation t is represented as a particle P_i^t . The evolution of structures is controlled by PSO algorithm:

$$R^{t+1} = R_i^t + v_i^t$$

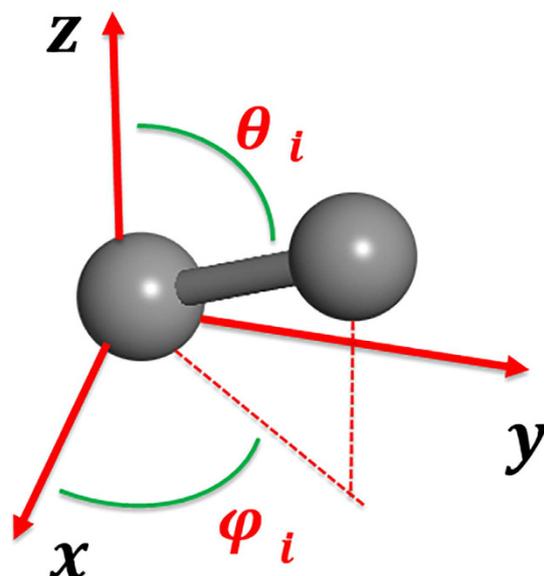


Figure 6. Coordinates $(x_p, y_p, z_p, \varphi_p, \theta_p)$ for describing a dimer. (x_p, y_p, z_p) are for the barycenter of C_2 dimer, with (φ_p, θ_p) for its orientation.

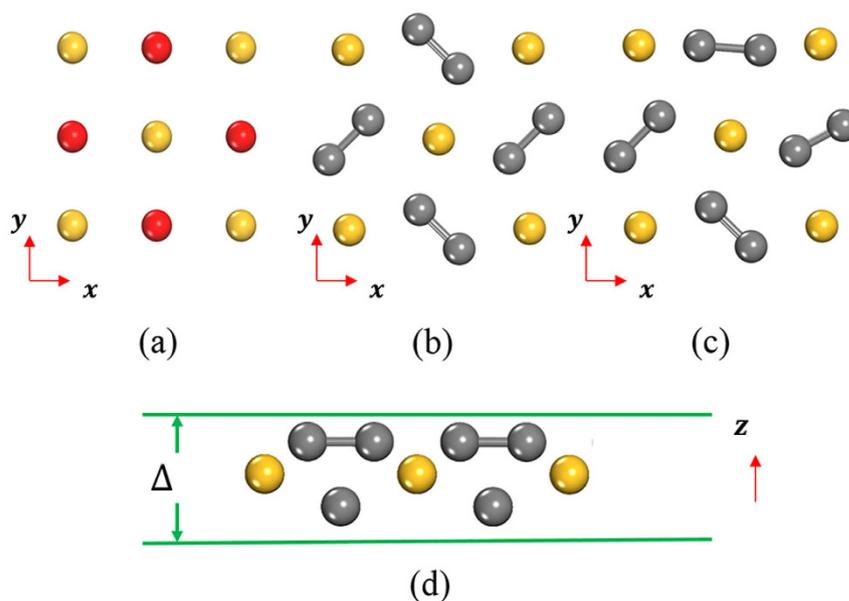


Figure 7. Illustration of procedure for generating dimer based structures. (a) Dimers are considered as pseudo-atoms (in red), and atoms and dimers are allocated to Wyckoff Positions allowed by symmetry. (b,c) for different orientations. (d) Buckled structures with a finite thickness of Δ in the z direction.

where i and t are indexes of particle (structure) and generation, R_i^t represents the structural information of a certain structure including the orientation of dimers and the coordinates of atoms, v_i^t is the velocity or rate of structural change of certain structure i . The velocity of structure i is calculated as follows:

$$v_i^t = \omega v_i^{t-1} + c_1 r_1 (R_{pbest_i}^t - R_i^t) + c_2 r_2 (R_{gbest_i}^t - R_i^t),$$

where ω is the inertia weight and controls the momentum of the particle, $R_{pbest_i}^t$ is the optimized structure of particle i , and $R_{gbest_i}^t$ is the structure with the lowest energy obtained so far for generation t , r_1 and r_2 are two random numbers uniformly distributed in range $[0, 1]$, c_1 and c_2 are set to be $2^{47,52}$. The definition of velocity fully reflects the idea of collective intelligence. The trajectory of each particle is biased, to a certain degree, toward the best structure of whole population and itself at the same time.

The three structure generation approaches work synergistically and serve different purposes during the structure search. The partially random structure generation with symmetry constraints help reduce the degree of freedom and narrow the search space. The fully random structure generation warrants the structural diversity, and the PSO-based structure evolution provides an effective way to explore the configuration space and locate the thermodynamically favorable structures.

It is important to note here that due to the nonequivalent coordinates x_i , y_i , z_i , and φ_i , θ_i in terms of their magnitudes and range, C_2 dimers and ordinary atoms must be manipulated separately. In addition, the newly generated trial structures must satisfy the condition that all C_2 dimers are disconnected from each other, thus the structures with dimer clustering are eliminated. Next, similarities between the different structures are examined. Each structure is characterized by a set of predetermined fingerprinting functions^{48,52}, and the distance between two structures are calculated based on the difference of their fingerprinting functions. If a newly produced structure is similar to the previous one, the new structure is discarded. Finally, local structure optimization is performed to drive the structure to the local minimum on multi-dimensional energy space, which also provides physical and rational structures for future PSO evolution.

First principles calculation. Combined with our bonding-restricted algorithm, first principles calculations within the framework of density functional theory (DFT) are performed for local geometry optimizations during structure search. Calculations are mainly performed by using the Vienna *Ab initio* Simulation Package (VASP)⁵³. The Perdew-Burke-Ernzerhof (PBE) functional⁵⁴ is used to incorporate the exchange-correlation interaction. The HSE06 hybrid functional^{55,56} is used for high accuracy of electronic structure calculations. The projector augmented wave (PAW)⁵⁷ method is used to treat the interactions between ion cores and valence electrons. Plane waves are used to expand the valence electron ($2s^22p^1$ for B, $2s^22p^2$ for C, $3s^23p^2$ for Si, and $3d^34s^1$ for Ti) wave functions. During the massive structure searching stage, in order to reduce the workload, plane waves with a kinetic energy cutoff of 350 eV and Monkhorst-Pack scheme with a sparse grid density ($2\pi \times 0.04 \text{ \AA}^{-1}$) are adopted. To compare the relative stability of different candidate structures, we used the same kinetic energy cutoff, k-point grid density and exchange-correlation functionals to perform geometry optimizations and total energy calculations. Plane waves with a kinetic energy cutoff of 450 eV and Monkhorst-Pack scheme⁵⁸ with a grid density of $2\pi \times 0.02 \text{ \AA}^{-1}$ are used to optimize the structures and calculate their electronic properties. The convergence criteria for total energy and forces are set to be 10^{-4} eV and 10^{-3} eV/Å, respectively. The supercell approach is used to calculate force constants. A vacuum space of 20 Å in the perpendicular direction is added to avoid the interaction between periodic images. Phonon properties are calculated using the finite displacement method as implemented in the Phonopy package^{59,60}.

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Author Contributions

Q.W. designed the project; C.Z. performed the calculations; C.Z., S.Z. and Q.W. analyzed the results and wrote the manuscript.

Additional Information

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