

SCIENTIFIC REPORTS



OPEN

Optical Surface Transformation: Changing the optical surface by homogeneous optic-null medium at will

Received: 02 July 2015
Accepted: 08 October 2015
Published: 30 October 2015

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A new theory on designing electromagnetic/optical devices is proposed, namely, an optical surface transformation (OST). One arbitrary surface can establish the corresponding relationship with another surface entirely optically with an optic-null medium (ONM), (i.e. the electromagnetic wave propagates from one surface to its equivalent surface without any phase delay). Many novel optical devices can be designed by an OST with the help of an ONM. Compared with traditional devices designed by Transformation Optics, our optical surface-reshaping devices have two main advantages. Firstly, the design process is very simple (i.e. we do not need to consider any mathematics on how to make a coordinate transformation, and what we need to do is simply to design the shapes of the input and the output surfaces of the devices). Secondly, we only need one homogeneous anisotropic medium to realize all devices designed by this method. Our method will explore a new way to design novel optical devices without considering any coordinate transformations.

Transformation optics (TO) is a powerful theoretical tool for designing novel electromagnetic/optical devices by a coordinate transformation method^{1–4}. With the help of coordinate transformations, we can establish a corresponding relation between two spaces: the one is a virtual space referred as the reference space, and the other is the real space. Based on the form-invariance of Maxwell's equations under coordinate transformations, a corresponding relationship related with the specific coordinate transformation can also be established between the electromagnetic fields and media in two spaces. Many novel devices have been designed by TO, including invisibility cloaks^{1,5–8}, PEC reshapers^{9,10}, beam splitters^{11,12}, beam compressors^{13,14}, wave front converters^{15,16}, carpet cloaks^{17–19}, novel lenses^{20,21}, optical illusion devices^{22,23} etc. The idea of controlling fields by the coordinate transformation method has also been extended to other physical fields, e.g. DC magnetic field^{24,25}, thermal field^{26,27}, and acoustic field^{28,29}. In recent years, there are still many studies on the theory of TO, e.g., the field transformation method^{30–32}, the triple space-time transformation³³, the conformal/quasi-conformal transformation^{34–36}, the complex transformation^{37,38}, etc. In all these methods, people always need to make some mathematical calculations during the designing process, which makes it difficult to be applied directly in engineering. Furthermore, the media designed by TO and other methods are often very complicated, and have to be simplified before being made into metamaterials (i.e. some artificial media whose electromagnetic properties are mainly determined by the artificial unit structure instead of the chemical component³⁹). Although conformal/quasi-conformal mappings can help eliminate the anisotropy, the devices designed by conformal/quasi-conformal mappings are still inhomogeneous, making them difficult to realize.

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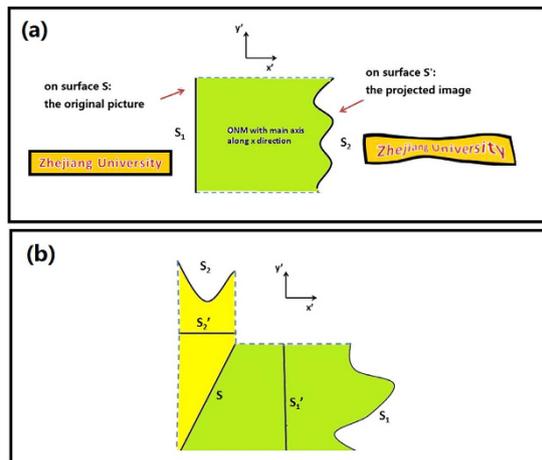


Figure 1. (a) The basic idea of the OST: Surface S_1 (a plane) and S_2 (a curved surface) are connected by the optic-null medium (ONM). Thus, the information (e.g. a picture) on surface S_1 can be projected to surface S_2 optically (a distorted picture). S_1 and S_2 can be treated as input and output surfaces of the device, respectively. Note that S_1 and S_2 can be arbitrarily shaped surfaces (S_1 is not necessarily a plane). (b) A general outline for making two arbitrarily shaped surfaces S_1 and S_2 optically equivalent. We first project the curved surface S_1 to a plane S_1' along the x' direction, and project the curved surface S_2 to another plane S_2' along the y' direction. Next we keep on projecting S_1' along the x' direction and S_2' along the y' direction, and obtain a common plane S that is the diagonal of the rectangle outlined by the shifted S_1' and S_2' . This figure is drawn by one author (Fei Sun).

In this work, we propose a novel method (called Optical Surface Transformation (OST)) to design optical/electromagnetic devices without making any mathematical calculations. All we need to do is simply to design the shapes of the input and the output surface of the devices. The optical designing process becomes much simpler. Another important feature of the devices designed by our method OST is that we only need one homogeneous medium to realize them. After some theoretical calculations, we find that this medium is an optic-null medium (ONM)⁴⁰ (also called an optic void⁴¹ or a null-space medium^{21,42} in other studies).

We should note that previous studies on the ONM are conducted in a Cartesian coordinate or a cylindrical coordinate system^{40–44}. A slab region in a Cartesian coordinate system or a cylindrical ring in a cylindrical coordinate system in the real space is completely compressed into a plane or a cylindrical surface in the reference space. The corresponding medium in the real space is an optic-null medium (a highly anisotropic homogeneous medium), which has been utilized to realize hyper-lenses for super-resolution imaging⁴³. For a DC magnetic field, we can also design such a medium for a magnetic hose⁴⁴ or DC magnetic concentrator⁴⁵. In this work, we explore some other applications of the ONM that can help us to achieve an OST and design many other novel devices that need some irregularly shaped ONM.

Results.

Surface Projecting by ONM. The basic idea of our method is shown in Fig. 1(a): Two arbitrary shaped surfaces can be linked by a single homogeneous anisotropic medium (the ONM). In this case, each point on surface S_1 gets a corresponding relationship with another point on surface S_2 . If we set a picture on surface S_1 , we will get its imaging on surface S_2 . The ONM performs like a projecting transformation (e.g. projecting along the x' direction in Fig. 1) from S_1 to S_2 . The material parameters of an ONM can be given by:

$$\varepsilon_X = \mu_X = \text{diag}(\infty, 0, 0). \quad (1)$$

$$\varepsilon_Y = \mu_Y = \text{diag}(0, \infty, 0). \quad (2)$$

In practice, we just need to make the homogeneous medium highly anisotropic with the permittivity and permeability extremely large along one direction and nearly zero along other orthogonal directions. There have been many studies on how to realize such an ONM (e.g. a holed metallic plate with fractal-like apertures⁴⁰ or a metallic slit array satisfying the Fabry-Pérot resonances condition⁴¹). Note that the label 'X' or 'Y' means the main axis of this ONM is along x' or y' direction, i.e. the projecting transformation is along the x' or y' direction, respectively. Similarly the main axis of the ONM can be in any other directions, e.g. in the radial direction (in this case the permittivity and permeability of the

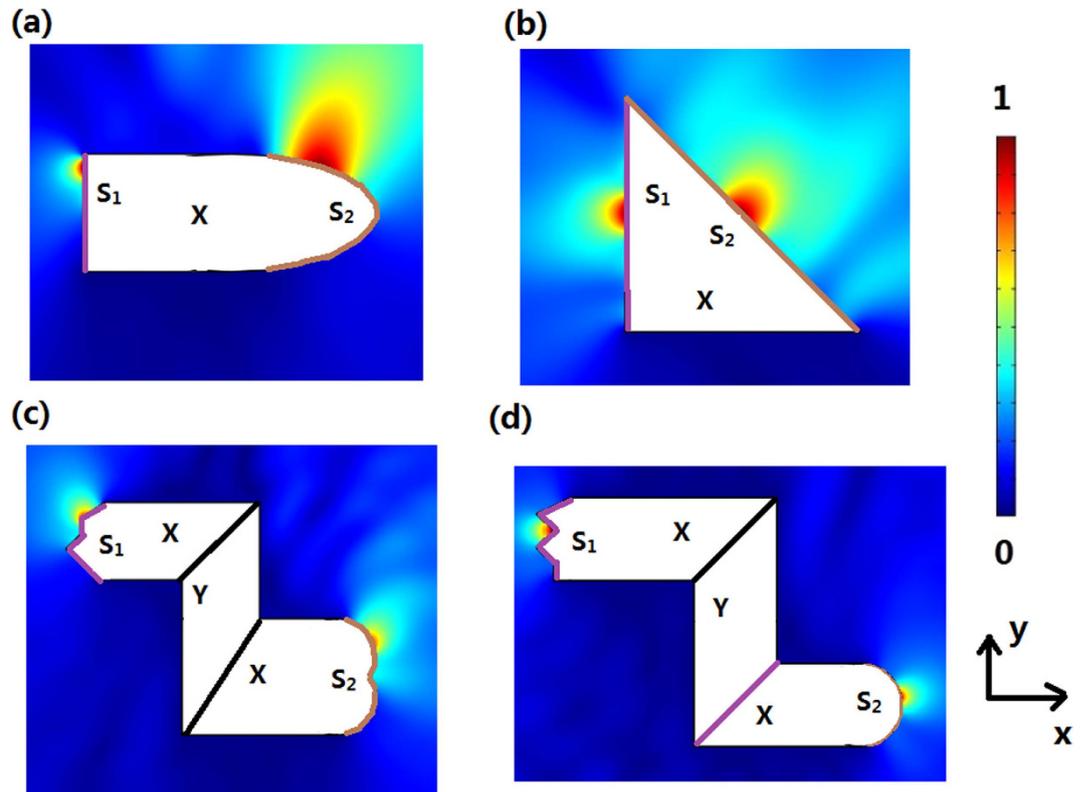


Figure 2. The 2D finite element method (FEM) simulation results: the absolute value of the normalized electric field's z component for the TE wave case. We set a line current source at the input surface S_1 (purple line). The white region is filled with the ONM. We get an image of the line current at the output surface S_2 (brown line). For (a–d), we choose different shapes for the input and output surfaces. The white regions labeled 'X' or 'Y' is the ONM described by Eq. (1) or (2), respectively, throughout the whole paper.

ONM is extremely large along the radial direction and nearly zero along other orthogonal directions, and its function is to project surfaces along the radial direction).

Two arbitrarily shaped surfaces in a 2D space can always have a common projecting plane by projecting along x' and y' direction. As shown in Fig. 1(b), we can first project the arbitrarily shaped surfaces S_1 and S_2 along x' and y' directions to plane S_1' and S_2' , respectively. Then we can further project S_1' and S_2' along x' and y' directions, respectively, to a common surface S , which is the diagonal surface of S_1' and S_2' . Now we have made two arbitrarily shaped surfaces S_1 and S_2 linked by projecting along x' and y' directions, respectively. In the method section, we will show that the ONM with its main axis in x' and y' directions can perform like such a projecting transformation along x' and y' directions, respectively, by TO. From the perspective of TO, two arbitrary surfaces S_1 and S_2 connected by the ONM in Fig. 1(b) correspond to the same surface in the reference space. This means that such two surfaces will perform equivalently to the optical wave (i.e. there will be no phase delay when the wave propagates from S_1 to S_2). This also means that any optical information on surface S_1 can be exactly transformed to surface S_2 . For example, if we put a point source on surface S_1 , we will get its image at the corresponding point on surface S_2 (see Fig. 2).

Note that all media appearing later in this paper are ONM described by Eq. (1) or (2), which are labeled, respectively, by 'X' or 'Y' to indicate that the main axis is along the x' or y' direction.

Transform the focusing plane of the traditional lens at will. Optical conformal mapping (CM) or quasi-conformal mapping (QCM) can be utilized to transform the circular focusing plane of the Luneburg lens or Maxwell's fish eye lens to a plane^{46–48}. However, we have to numerically generate the quasi-conformal spatial transformation first, and then design an inhomogeneous medium to realize it. If we use the idea of the OST, we can simply transform the circular focusing plane of a traditional Luneburg lens or Maxwell's fish eye lens to a plane by setting a homogeneous ONM aside the lens. The designing process is very simple: the input surface S_1 of the optical surface-reshaping device is the original focusing plane of the traditional lens; the output surface S_2 of the optical surface-reshaping device is the new focusing plane with the desired shape. The medium connecting the two surfaces S_1 and S_2 is the optic-null medium described by Eq. (1) or (2).

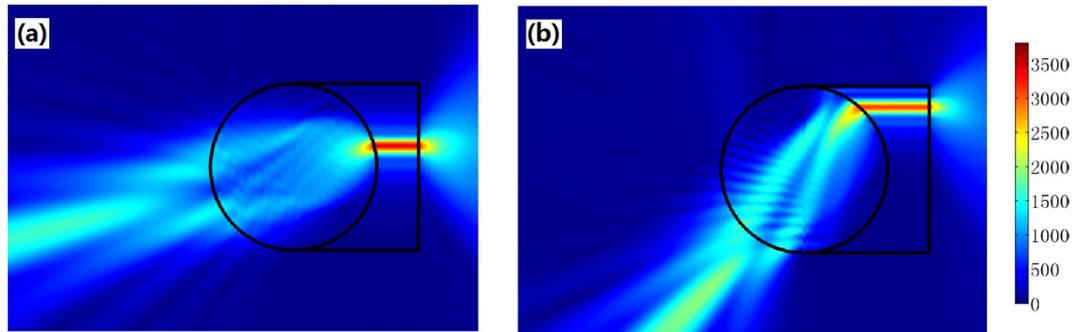


Figure 3. The 2D FEM simulation results: the absolute value of the electric field's z component (the TE wave case). We set an optical surface-reshaping device (the region around the circle) around a traditional Luneburg lens (the circle), so that the focusing plane of the whole system is converted into a plane. From (a,b), we shift the position of a line current with unit amplitude 1A at the focusing plane from the center to the edge. The direction of the output beam changes accordingly.

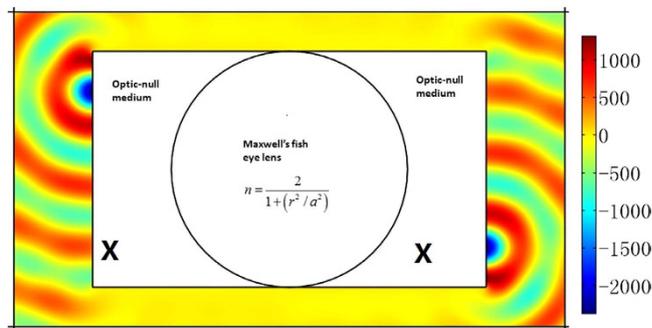


Figure 4. The 2D FEM simulation results: we plot the z component of the electric field for TE wave case. We set a line current with unit amplitude 1A at the left side of the whole system. An image is obtained at the right side of the whole system. The whole imaging system (the white region) is composed by setting two ONM aside a traditional Maxwell's fish eye lens.

As shown in Fig. 3, we put an optical surface-reshaping device (S_1 is a semi-circle and S_2 is a plane) aside a traditional Luneburg lens (the circular shaped region). The optical surface-reshaping device is filled with the optic-null medium whose main axis is along the x' direction. In this case, the focusing surface of the Luneburg lens is transformed from a half-circle to a plane. Similarly we can set two optic-null media aside the Maxwell's fish eye lens to transform two circular focusing planes into two planes (see Fig. 4).

The wave front convertor. As the input surface and output surface of our optical surface-reshaping device correspond to the same surface in the reference space, an incident wave whose wave front has the same shape as the input surface of the device will be transformed to an output wave whose wave front has the same shape as the output surface of the device. The designing process of the wave front convertor is simply to design the shape of the input and output surfaces of the device. In addition, these devices can be simply realized by a single homogenous medium (the ONM described by Eqs. (1) or (2)). For examples, we can easily choose the input surface as a cylindrical surface and the output surface as a plane to perform like a cylindrical-plane wave convertor (e.g. transforming a cylindrical wave produced by a line current to a plane wave, see Fig. 5). If we want to transform a diverging cylindrical wave produced by a line current to a converging cylindrical wave, we can also design an optical surface-reshaping device whose input and output surfaces are both cylinders (see Fig. 6).

Other applications. Our optical surface-reshaping device can also be utilized as a beam controller (e.g. a beam compressor, expander, splitter, etc.). For example, we can first use one surface-reshaper to transform a Gaussian beam with a wide width into a converging cylindrical wave, and then use another surface-reshaper to transform this converging wave to a Gaussian beam with a narrow width (see Fig. 7(a)). We can also simply choose the input surface and output surface of our optical surface-reshaping device as two planes with different areas to make a beam compressor/expander (see Fig. 7(b)). A beam redirection device can also be designed by our optical surface-reshaping device (see Fig. 7(c)). Although these devices can also be designed by TO (e.g. the finite embedded transformation^{11–14}), the required

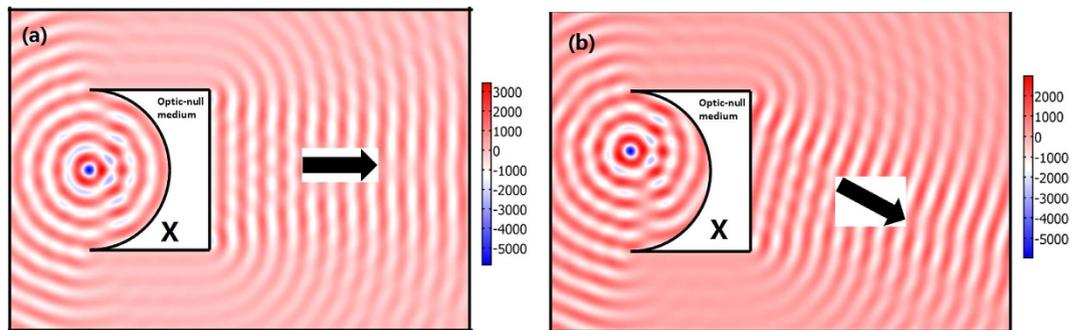


Figure 5. The 2D FEM simulation results: we plot the electric field's z component for the TE wave case. A line current with unit amplitude 1A is set on the center or off the center of the input circle of our device (the white region). The input surface and output surface of our device are the circle and plane, respectively. The wave front of the output beam beyond our device is a plane. If we change the position of the line current, the direction of the output beam (labeled by the black arrow) changes accordingly.

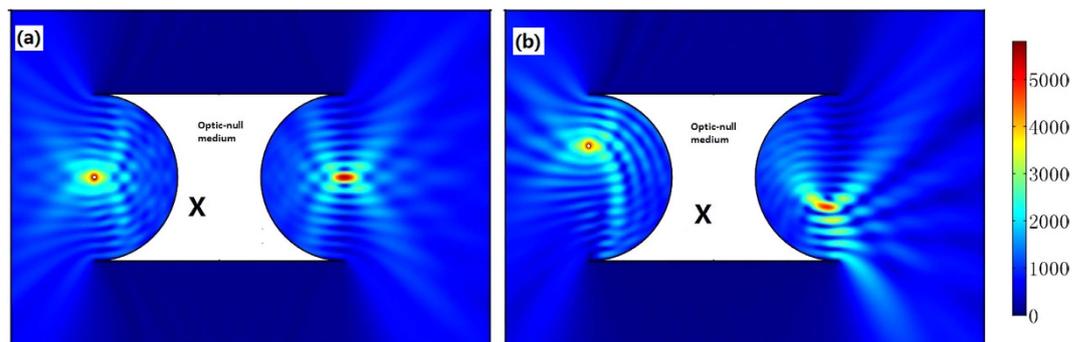


Figure 6. The 2D FEM simulation results: we plot the absolute value of the electric field's z component for the TE wave case. We set a line current with unit amplitude 1A producing a diverging cylindrical wave at the left side of our optical surface-reshaping device (the white region) whose input and output surfaces are both circles. The line current is on the center or off the center of the input circle of our device for (a,b), respectively. A converging cylindrical wave is obtained beyond the output surface of our device.

materials are very complex (e.g. inhomogeneous anisotropic materials). The devices based on OST here can eliminate the inhomogeneity requirement.

As the input and output surfaces of our optical surface-reshaping device correspond to the same surface in the reference space, we can achieve an all-optical pattern combination by the cascade connection of our devices. Figure 8(a) shows the basic idea of this application. In this way, we can directly combine two patterns optically (traditionally we need to use some digital pattern processing technique). Figure 8(b–d) give examples in which we combine the images of two point sources in two patterns into one single surface. With the help of our optical surface-reshaping device, we can simple carry out the optical pattern process entirely optically, which includes combination, scaling, splitting, and projecting. This method may pioneer a new way to all-optical calculation, optical message processing, and optical computing systems.

We can also design some devices that can control the polarization of the electromagnetic waves, e.g. polarization splitters. Our method can be extended to a 3D space, though it may be a bit complicated. In the 3D case, more devices can be designed to e.g. control, transform or convert the polarization and these can be for future work.

Our surface-reshaping device can also change the geometry of a PEC to an arbitrary shape, e.g. the scattering feature of a cylinder PEC is transformed to a rectangular PEC (see Fig. 9).

Discussions

The designing process by OST can be summarized as: first we need to determine the shape of the input surface and the output surface according to a specific application. For example if we want to transform a cylindrical wave to a spherical wave, the shape of the input and output surfaces of the device should be cylindrical and spherical, respectively. If we want to project a picture on a plane to a curved surface, the input and output surfaces of our device should be chosen as the plane and curved surfaces, respectively. Secondly, we need to find a direction that can project the input surface to the output surface.

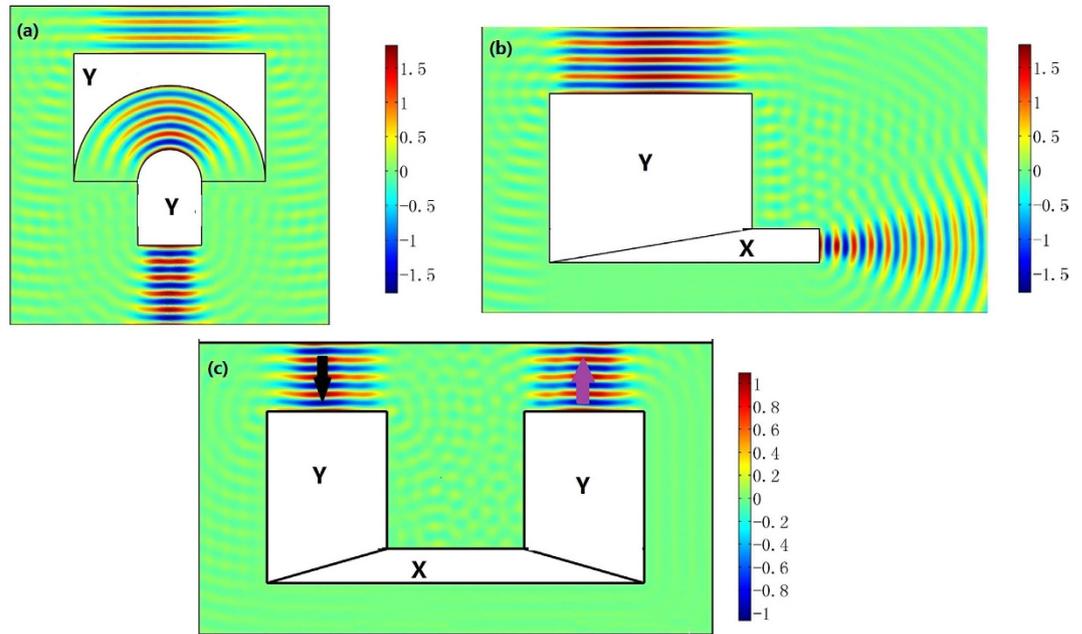


Figure 7. The 2D FEM simulation results: we plot the electric field's z component for the TE wave case. (a) A beam compressor: a Gaussian beam with half waist width $5.5\lambda_0$ is imposed onto two optical surface-reshaping devices from top to bottom. At the output surface of the second device, the half width of the output beam reduces to $2\lambda_0$. (b) Another beam compressor: the half waist width of the incident Gaussian beam is $5.5\lambda_0$. The output beam is compressed to a beam with half width λ_0 at the output surface. As the size of the output beam approaches the size of the wavelength, it quickly diverges beyond the output surface of the device. (c) A beam redirector: a Gaussian beam is incident from the top (the black arrow) onto the device and is redirected back (the purple arrow).

That direction is also the main axis of the ONM filled inside the device. Note that the projecting may be achieved by many times in many different directions. In this case, the device is composed by the combination of many ONMs with different main axes.

There are many papers on studying optical void medium^{40–45}. All previous papers are focused on how to derive the optical void by transformation optics for different applications (e.g. perfect lenses^{40,43}, concentrators^{41,45}). All previous studies still need a mathematical calculation by the standard formula of transformation optics. In our manuscript, we first proposed the idea to design novel electromagnetic/optical devices by using the equivalent surfaces without any mathematical calculation, which is different from the previous studies on the optical void.

There are already many studies on how to realize such a highly anisotropic material (the optic-null medium^{40,41}). A metallic slit array that satisfies the Fabry-Pérot (FP) resonances has been utilized to realize the ONM, which works in a series of frequency bands around the FP resonances⁴¹. The loss of the metal doesn't influence the performance of devices composed by the ONM, which can also be an effective way to realize experimentally the novel devices designed by our proposed OST.

Although the beam redirector in Fig. 7 can also be realized by near-zero-epsilon materials⁴⁹, many other devices designed by OST and realized by ONM simply cannot be realized by near-zero-epsilon materials, e.g., if we replace the ONM in Fig. 2 with a near-zero-epsilon material, no such point-to-point imaging function can be achieved. There is a main axis in an ONM that can be treated as a tunnel/channel linking two equivalent surfaces. Two surfaces of arbitrary shapes linked by zero-epsilon materials cannot perform equivalently, which is essentially different from the ONM. Our method can be extended to a 3D space, though it may be a bit complicated. In the 3D case, more devices can be designed to e.g. control, transform or convert the polarization and these can be for future work.

Traditional design of TO first requires a proper coordinate transformation either analytically or numerically, and the transformation medium is often inhomogeneous (i.e. gradient control is required). In this paper, we propose the idea of OST that can help design novel optical devices easier. Most devices designed by the coordinate transformation method (e.g. wave front converters, PEC reshapers, beam splitters, beam compressors, etc.) can be designed by the OST. The main advantage of OST can be summarized in two points. Firstly, the design process is very simple, only requiring the design of the input and output surfaces of our devices. There is no need to consider what kind of coordinate transformation can be used. Secondly, we only need one homogeneous anisotropic material (i.e. ONM) to realize our optical surface-reshaping device. Our all-optical surface-reshaping device can have many potential

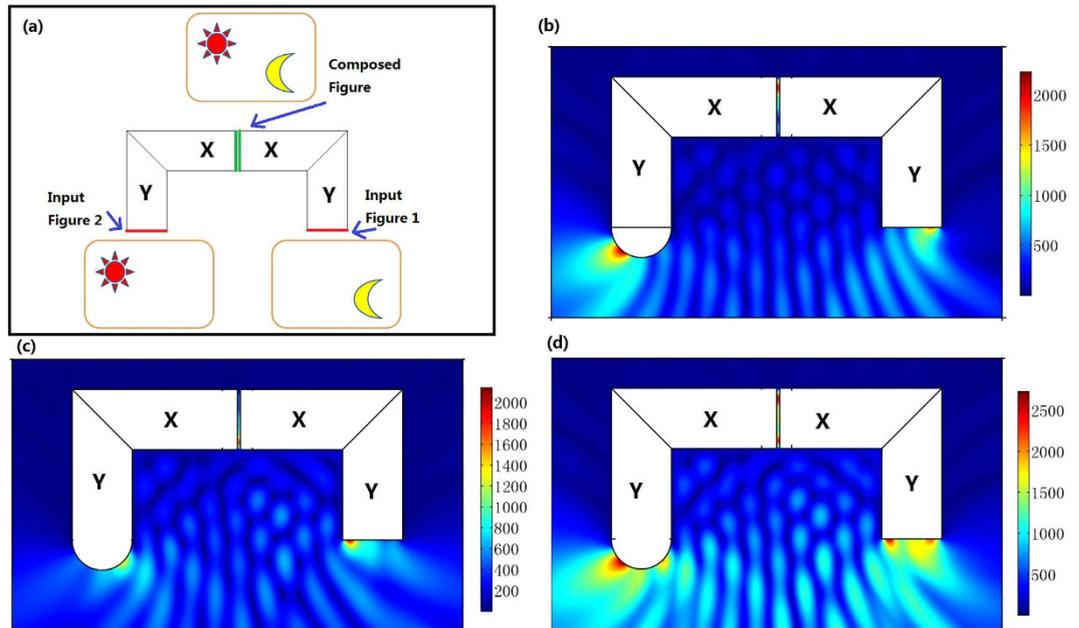


Figure 8. (a) The basic idea of the optical figure combination: we place two figures at two input surfaces of our surface-reshaping devices, and put the two output surfaces very closely. In this case, we can obtain a composed figure entirely optically in the air gap region between the two output surfaces. (b–d) The 2D FEM simulation results: we plot the absolute value of the electric field’s z component. (b) We only set a point source at the left input surface (note the shape of the input surface here is a circle). (c) We only set a point source at the right input surface. (d) We set simultaneously one point source at the left input surface and another point source at the right input surface. In this case, we obtain two point images in the small center air gap region between the two output surfaces. Figure 8(a) is drawn by one author (Fei Sun).

applications in all-optical calculation, all-optical image processes, optical image projecting, beam controlling, wave front reshaping, novel lenses, etc.

Method

We will show that the ONM with its main axis along x' and y' directions can make a projection transformation along x' and y' , respectively by TO^{-4} . We will also explain how to make two arbitrary surfaces in the real space corresponded to the same surface in the reference space by TO. We assume that the quantities with and without primes indicate the quantities in the real and reference space, respectively. For simplicity we only consider the 2D case (i.e., it is infinitely long in the z' direction) in the paper, but the idea can be directly extended to the 3D case. In order to make two arbitrarily shaped surfaces (e.g. S_1 and S_2) in the real space correspond to the same surface in the reference space, we first establish the corresponding relationship between each arbitrary surface ($S_i, i = 1, 2$) and its plane ($S'_i, i = 1, 2$), respectively (see Fig. 1(b)). The next step is to link two planes by another common plane S .

We first show how to make the arbitrarily shaped surface S_1 and the plane S'_1 (linked by the green medium in Fig. 1(b)) corresponded to the same single plane in the reference space. When the arbitrarily shaped surface S_1 is given, we can project it along the x' direction to the plane S'_1 , and then we can divide S_1 and S'_1 into many small plane elements (see Fig. 10(a)). Next we want to establish the corresponding relationship between each divided small plane with different slopes ΔS_i and its projected small plane $\Delta S'_i$ ($i = 1, 2, 3 \dots$). In other words, we need to find a coordinate transformation to make two small planes ΔS_i and $\Delta S'_i$ in the real space correspond to the same small plane in the reference space. The coordinate transformation is given by (see the relation in Fig. 10(b)):

$$x' = \begin{cases} \frac{d}{\Delta}x, & x' \in [0, d] \\ \frac{\tan \theta_0}{\tan \theta}(x - \Delta) + d, & x' \in \left[d, d + \frac{\Delta S'_i}{\tan \theta} \right]; y' = y; z' = z. \\ x & \text{else} \end{cases} \quad (3)$$

The relative permittivity and permeability in each region can be calculated with the help of TO^{-4} :

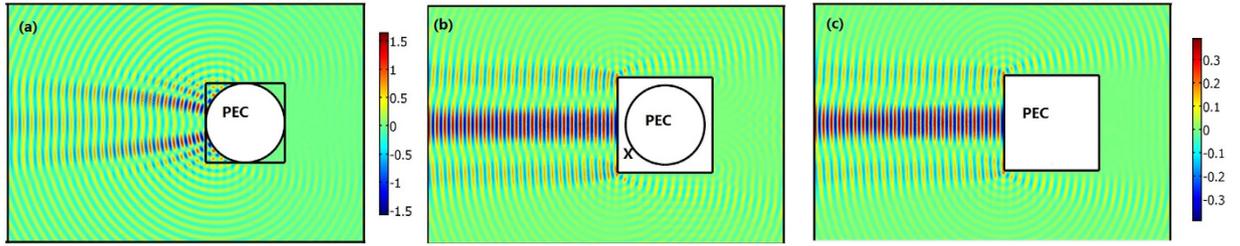


Figure 9. The 2D FEM simulation results: we plot the electric field's z component for the TE wave case. A Gaussian beam with half-waist width $4\lambda_0$ is incident from the $-x'$ direction onto a PEC: (a) a cylinder with radius $5\lambda_0$, (b) the cylinder composed by the optic-null medium with its main axis in the x' direction, and (c) a rectangle with the same size as the composed object in (b). The scattering features of (b,c) are exactly the same.

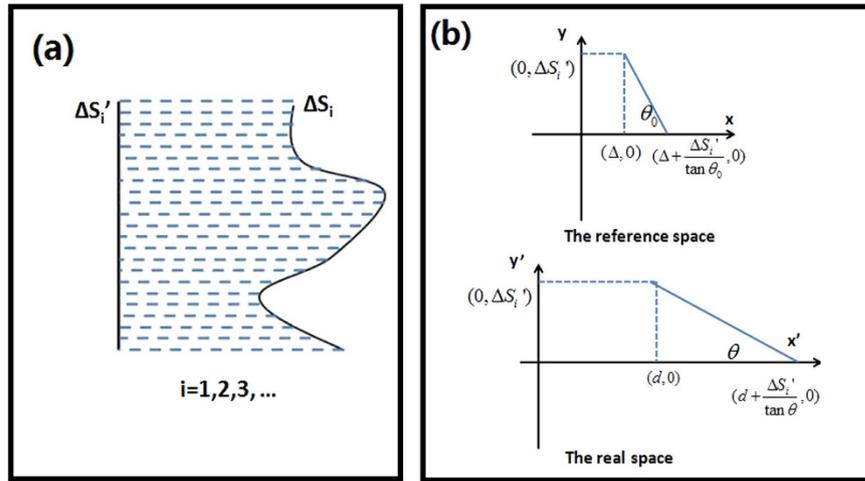


Figure 10. (a) An arbitrarily shaped surface is divided into many small plane elements ΔS_i . Each element is projected along the horizontal direction and is linked with a small element of a plane $\Delta S'_i$. A corresponding relation between the whole arbitrarily shaped surface and a plane is established in this way. **(b)** The two small plane elements ΔS_i and $\Delta S'_i$ in the real space correspond to one common small plane element $\Delta S'_i$ in the reference space when $\theta_0 \rightarrow 90^\circ$ and $\Delta \rightarrow 0$.

$$\epsilon' = \mu' = \begin{cases} \text{diag} \left(\frac{d}{\Delta}, \frac{\Delta}{d}, \frac{\Delta}{d} \right), & x' \in [0, d] \\ \text{diag} \left(\frac{\tan \theta_0}{\tan \theta}, \frac{\tan \theta}{\tan \theta_0}, \frac{\tan \theta}{\tan \theta_0} \right) & x' \in \left[d, d + \frac{\Delta S'_i}{\tan \theta} \right] \\ 1, & \text{else} \end{cases} \quad (4)$$

Note that θ and d can have arbitrary values. When $\theta_0 \rightarrow 90^\circ$ and $\Delta \rightarrow 0$, the trapezoid region in the reference space will be reduced to a single surface element. In this case the medium in the trapezoid region of the real space can be obtained from Eq. (4) by taking $\theta_0 \rightarrow 90^\circ$ and $\Delta \rightarrow 0$:

$$\epsilon' = \mu' = \begin{cases} \text{diag} (\infty, 0, 0), & x' \in \left[0, d + \frac{\Delta S'_i}{\tan \theta} \right] \\ 1, & \text{else} \end{cases} \quad (5)$$

As we can see from Eq. (5), the medium in the trapezoid region of the real space is the ONM with the main axis along the x' direction. The green region between S_1 and S'_1 in Fig. 1(b) can be divided into many small trapezoid regions (see Fig. 10(a)) along the x' direction. If we fill the ONM whose relative permittivity and permeability are given in Eq. (5) in each small trapezoid region, each small trapezoid region corresponds to a single surface in the reference space. Hence surfaces S_1 and S'_1 linked by the

green ONM correspond to a common single surface in the reference space. Note that the medium that links S_1' and S is exactly the same as the medium that links S_1 and S_1' as they are both obtained by projecting along the x' direction. Surface S can be treated as the special case that surface S_1 is chosen as a plane.

Now we show that S_1 and S , linked by the green ONM in Fig. 1(b) are equivalent surfaces in the real space. The green ONM which has infinitely large permittivity and permeability along the x' direction and nearly zero permittivity and permeability along other orthogonal directions, is defined as the ONM of the main axis along the x' direction in Eq. (1). Similarly the yellow optic-null medium in Fig. 1(b) that links surfaces S_2 and S can also be calculated by projecting S_2 to S_2' and S to S_2' along the y' direction (no essential difference in mathematics). The yellow ONM whose main axis is along the y' direction is described in Eq. (2). The surfaces S_2 and S linked by the yellow ONM whose main axis is along the y' direction corresponds to the same single surface in the reference medium, which means that S_2 and S are optically equivalent surfaces.

Now we can summarize the above result: surfaces S_1 and S linked by an ONM with the main axis along the x' direction corresponds to the same single surface in the reference space; surfaces S and S_2 linked by an ONM with the main axis along the y' direction also corresponds to the same surface in the reference space. Now we make two arbitrary surfaces S_1 and S_2 corresponding to the same single surface in the reference space by the ONM. This means that surfaces S_1 and S_2 are equivalent surfaces (e.g. any optical information on surface S_1 can be exactly transformed to surface S_2), which has been verified by many examples in the main text.

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Acknowledgements

This work is partially supported by the National High Technology Research and Development Program (863 Program) of China (No. 2012AA030402), the National Natural Science Foundation of China (Nos. 61178062 and 60990322), the Program of Zhejiang Leading Team of Science and Technology Innovation, Swedish VR grant (#621-2011-4620) and AOARD.

Author Contributions

F.S. did calculations, and made simulations. F.S. and S.H. conceived the idea, wrote the article and revised it together. S.H. supervised this study and finalized the manuscript.

Additional Information

Competing financial interests: The authors declare no competing financial interests.

How to cite this article: Sun, F. and He, S. Optical Surface Transformation: Changing the optical surface by homogeneous optic-null medium at will. *Sci. Rep.* **5**, 16032; doi: 10.1038/srep16032 (2015).



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