



OPEN

# Breaking the Space Charge Limit in Organic Solar Cells by a Novel Plasmonic-Electrical Concept

SUBJECT AREAS:  
NANOPHOTONICS AND  
PLASMONICSSOLAR ENERGY AND  
PHOTOVOLTAIC  
TECHNOLOGY

Wei E. I. Sha, Xuanhua Li &amp; Wallace C. H. Choy

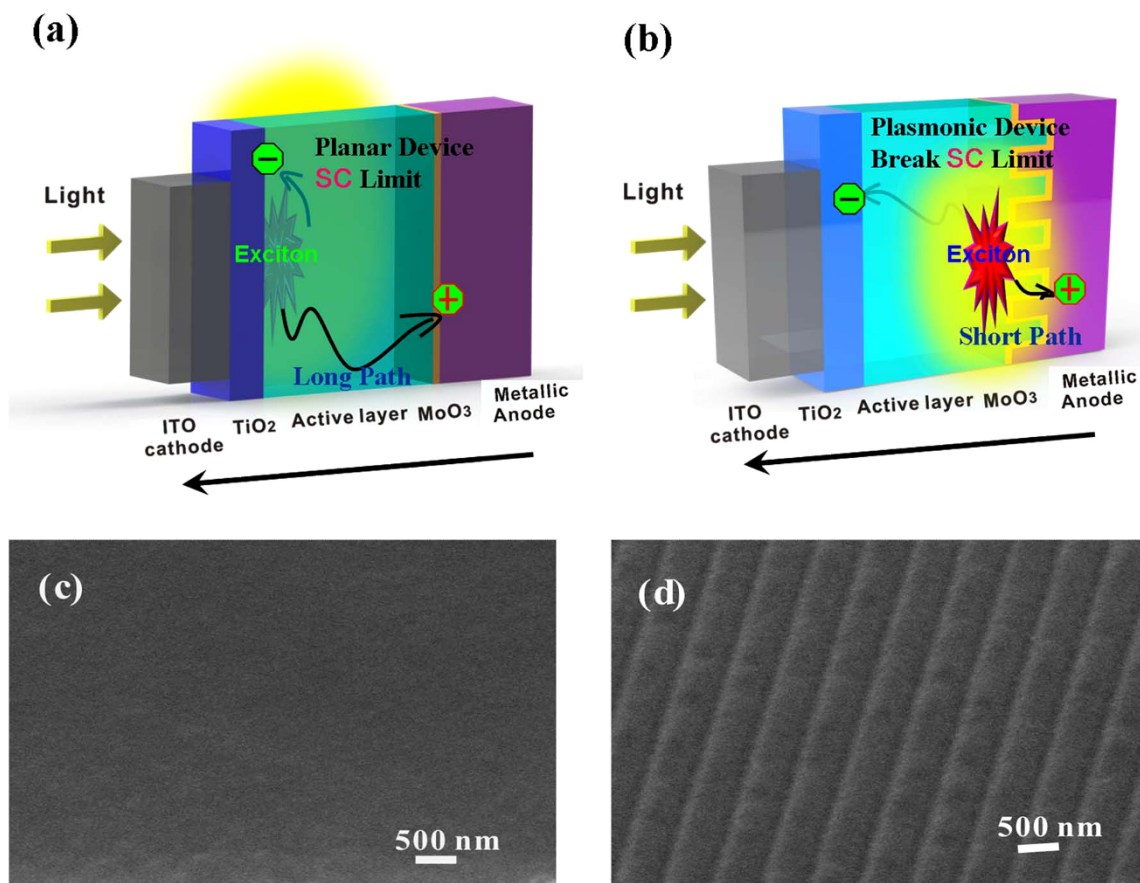
Department of Electrical and Electronic Engineering, the University of Hong Kong, Pokfulam Road, Hong Kong.

Received  
8 May 2014Accepted  
12 August 2014Published  
29 August 2014Correspondence and  
requests for materials  
should be addressed to  
W.C.H.C. (chchoy@  
eee.hku.hk)

As a fundamental electrostatic limit, space charge limit (SCL) for photocurrent is a universal phenomenon and of paramount importance for organic semiconductors with unbalanced photocarriers mobility and high exciton generation. Here we proposed a new plasmonic-electrical concept to manipulate electrical properties of organic devices including photocarriers recombination, transport and collection. As a proof-of-concept, organic solar cells (OSCs) comprising metallic planar and grating electrodes are systematically investigated with normal and inverted device structures. Interestingly, although strong plasmonic resonances induce abnormally dense photocarriers around a grating anode, the grating-inverted OSC is exempt from space charge accumulation (limit) and degradation of electrical properties in contrast to the planar-inverted and planar-normal ones. The particular reason is that plasmonically induced photocarriers redistribution shortens the transport path of low-mobility holes, which are collected by the grating anode. The work demonstrated and explained the SCL breaking with the plasmonic-electrical effect. Most importantly, the plasmonic-electrical concept will open up a new way to manipulate both optical and electrical properties of semiconductor devices simultaneously.

The space charge limit (SCL) effect is a universal phenomenon in semiconductor devices involving light emitting diodes, solar cells, and photodetectors<sup>1–9</sup>. It also sets a fundamental electrostatic limit in electrical properties of organic semiconductor devices with unbalanced photocarriers (electrons and holes) mobility and high exciton generation efficiency<sup>10–14</sup>. With the interesting features of low cost, low-temperature fabrication, semi-transparency, and mechanical flexibility, organic solar cell (OSC) is currently one of emerging optoelectronic devices and shows a bright outlook for green energy industry<sup>12,13,15–18</sup>. Understanding the SCL effect is important to manipulate transport, recombination, and extraction of photocarriers, which will significantly affect the power conversion efficiency (PCE) of OSCs. Typically, the occurrence of SCL<sup>4</sup> satisfies the following conditions: (1) unbalanced hole and electron mobility; (2) thick active layer; (3) high light intensity or dense photocarriers (electrons and holes) generation; and (4) moderate reverse bias. Compared to electron mobility, a low mobility of holes typically occurs in organic semiconductor devices depending on fabrication procedures<sup>19–22</sup> e.g. thermal annealing, solvent annealing, etc; and even occurs in the OSCs with robust active materials such as the polymer blend of poly(3-hexylthiophene):[6,6]-phenyl-C<sub>61</sub>-butyric acid methyl ester (P3HT:PCBM). To investigate SCL characteristics, the inverted OSC with a planar multilayered structure is taken as a representative example. In the planar-inverted OSCs, photocarriers will be generated at the region close to the transparent cathode, such as indium tin oxide (ITO), where incident light will first penetrate. The photogenerated holes with a low mobility will have to transport through the whole active layer, and finally reach the anode (see Figure 1(a)). SCL will occur if the length of active layer is longer than the mean drift length of holes, which is very short because of the low mobility. Meanwhile, holes pile up inside the device to a greater degree than electrons. In other words, positive space charges are accumulated due to the unbalanced photocarriers mobility and a long transport path of holes. As a result, the short-circuit current and fill factor of OSCs will drop significantly due to both the bulk recombination and space charge formation<sup>4,7,9,23,24</sup>. In this work, we will demonstrate the SCL breaking in the OSCs incorporating metallic (Ag or Au) nanostructures, which offers a novel route to eliminate the SCL effect in semiconductor devices.

Having unique features of tunable resonances and unprecedented near-field enhancement, plasmon is an enabling technique for light management<sup>25–28</sup>. Recently, performances of semiconductor devices (such as thin-film solar cells) have been pronouncedly improved by introducing metallic nanostructures<sup>29–45</sup>. The improvements are mainly attributed to the plasmonic-optical effects for manipulating light propagation, absorption, and



**Figure 1** | A schematic pattern of inverted OSC devices. (a) inverted OSCs with a planar metallic anode; (b) inverted OSCs with a grating metallic anode. The device structure of the planar-inverted OSC is: ITO (70 nm)/TiO<sub>2</sub> (20 nm)/P3HT:PCBM (220 nm)/MoO<sub>3</sub> (10 nm)/planar Ag or Au (100 nm); and the device structure of the grating-inverted OSC is: ITO (70 nm)/TiO<sub>2</sub> (20 nm)/P3HT:PCBM grating (220 nm)/MoO<sub>3</sub> (10 nm)/Ag or Au grating (100 nm). The short notation “SC” denotes the space charge. A long transport path of holes in the planar-inverted OSC induces the SCL characteristics. A short transport path of holes manipulated by the plasmonic-electrical effect in the grating-inverted OSC breaks the SCL. (c) 45°-tilt SEM image of the planar P3HT:PCBM film. (d) 45°-tilt SEM image of the P3HT:PCBM film with the grating structure.

scattering. The plasmonic-optical effects could: (1) boost optical absorption of active materials; (2) spatially redistribute light absorption at the active layer due to the localized near-field enhancement around metallic nanostructures<sup>35,46,47</sup>. Except for the plasmonic-optical effects, the effects of plasmonically modified recombination, transport and collection of photocarriers, hereafter named plasmonic-electrical effects, have not been explored systematically particularly in organic semiconductors.

In this paper, through the study of plasmonic OSCs, we will show metallic nanostructures go beyond their optical functions to control recombination, transport, and collection of photocarriers generated from active organic materials. Through spatially redistributing light absorption at the active layer, the proposed plasmonic-electrical concept is fundamentally different from the hot carrier effect<sup>48–50</sup> where photocarriers are generated from metallic nanostructures. The new plasmonic-electrical effect not only lays a physical foundation but also upgrades electrical properties for semiconductor devices. Exploiting both plasmonic-optical and plasmonic-electrical effects via metallic nanostructures will open up a more flexible and integrated way to design high-performance optoelectronic nanodevices.

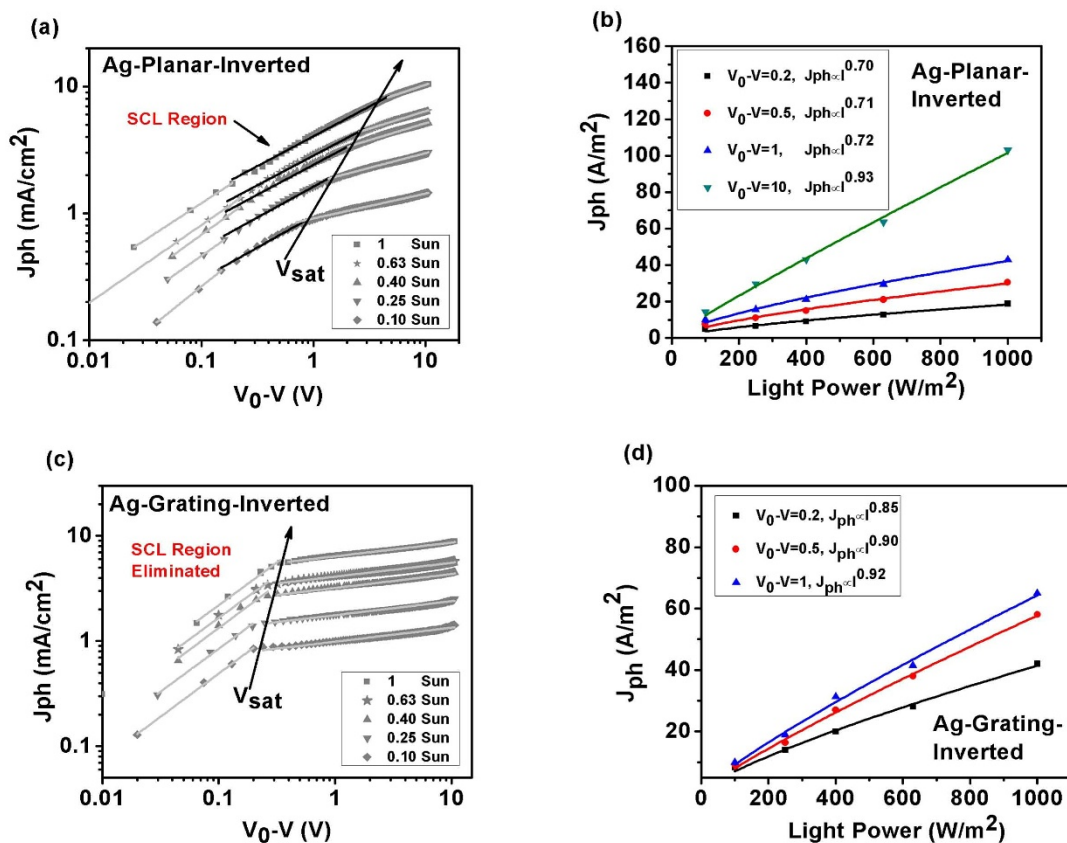
## Results

**OSC structures for investigating plasmonically modified SCL characteristics.** To intuitively show the plasmonic-electrical effects without involving complicated device structures, we identify and integrate three structural and material configurations into OSCs. 1.

Annealed P3HT:PCBM active layer at non-optimized annealing temperature (robust and well-recognized) for unbalanced electron/hole mobility and low hole mobility (to favor SCL effects). 2. Inverted OSC structures for a long hole transport path (to favor SCL effects). 3. Metallic nanograting as an anode shown in Figure 1(b) for demonstrating the plasmonically modified SCL effect. The planar and grating OSCs are inverted device structures of ITO/TiO<sub>2</sub> (20 nm)/active layer (220 nm)/MoO<sub>3</sub> (10 nm)/Ag or Au (with or without grating) (100 nm). For comparative and systematic study, the planar and grating OSCs with normal device structures of ITO/PEDOT:PSS (30 nm)/active layer (220 nm)/Ca (10 nm)/Ag or Au (with or without grating) (100 nm) are also fabricated. All the active layer thicknesses are about 220 nm. Detailed fabrication of OSCs is shown in Methods Section.

The scanning electron microscope (SEM) images of planar and patterned P3HT:PCBM films are shown in Figures 1(c) and (d). According to the atomic force microscopy (AFM) image, the periodicity and depth of the P3HT:PCBM grating are about 750 nm and 40 nm, respectively (See Supplementary Figure S1). When the Ag or Au anode was subsequently evaporated on the nanostructured active-layer surface, the anode/active layer interface closely follows the surface profile of the active layer. Hence the grating feature was preserved on both Ag and Au anodes.

**Elimination of SCL in Ag-grating-inverted (hole-path shortened) OSCs.** According to the Mott-Gurney law, by assuming the number



**Figure 2** | SCL characteristics for Ag-inverted OSCs measured at room temperature ( $T=300$  K). Left graphs are photocurrents versus effective applied voltage at different incident light intensities. (a) Ag-planar-inverted OSCs, and (c) Ag-grating-inverted OSCs. Light intensity is varied from 100, 63, 40, 25, to 10  $\text{mW}/\text{cm}^2$  by using neutral density filters. The black solid lines in (a) represent the square-root dependence of photocurrent on effective applied voltage (SCL region). Right graphs are photocurrents versus incident light intensity at different effective applied voltages. (b) Ag-planar-inverted OSCs, and (d) Ag-grating-inverted OSCs.

of deep localized states is negligible and the mobility is almost field independent, photocurrent ( $J_{ph}$ ) in the SCL regime can be described by<sup>4</sup>

$$J_{ph} = \left( \frac{9\epsilon_0\epsilon_r\mu_h}{8} \right)^{1/4} (qG)^{3/4} V_e^{1/2} \quad (1)$$

where  $G$  is the averaged generation rate,  $V_e$  is the effective voltage drop across the active layer or hole accumulation region,  $\mu_h$  is the hole mobility,  $q$  is the electron charge, and  $\epsilon_0\epsilon_r$  is the dielectric permittivity of the active layer. Differently,  $J_{ph}$  with a sufficiently large reverse bias (recombination is ignorable) is approximated as

$$J_{ph} = qGL \quad (2)$$

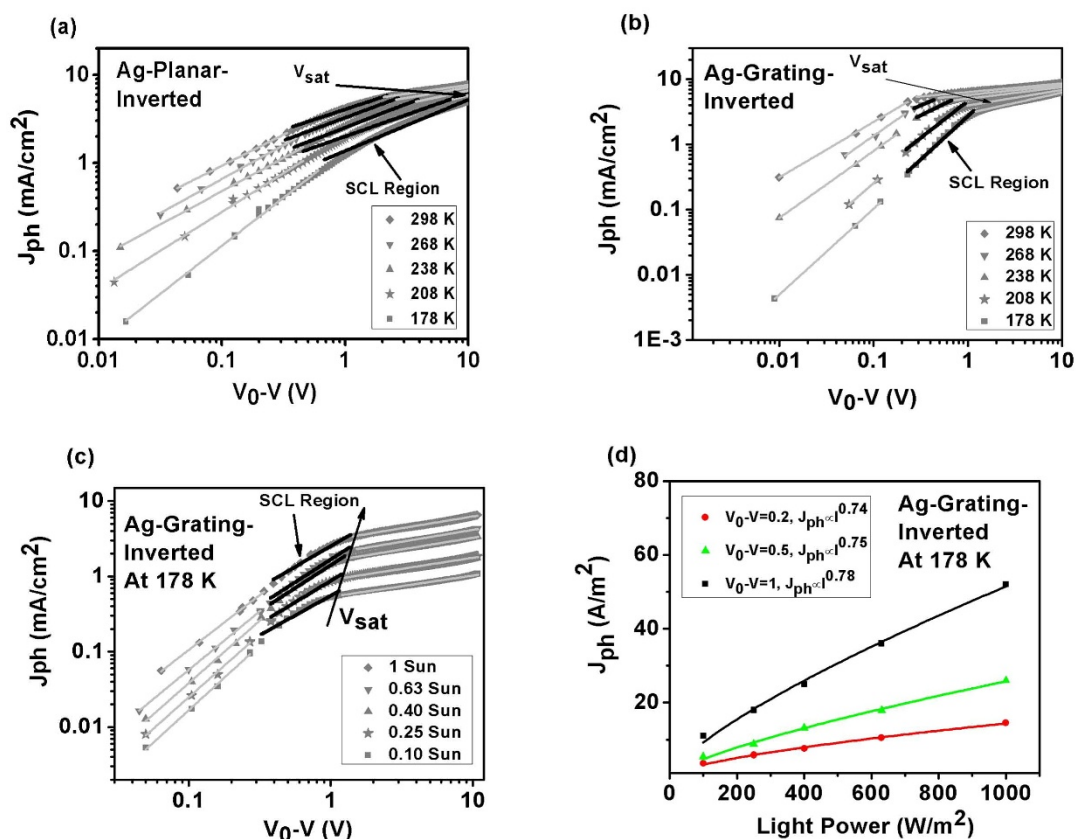
where  $L$  is the thickness of the active layer. The compensation voltage  $V_0$ , which is almost equal to the built-in potential of OSCs, is defined as  $J_{ph}(V_0)=0$ . The effective applied voltage  $V_e$  is given as  $V_0-V$ , where  $V$  is the applied bias voltage.

To comparatively study the SCL characteristics in Ag-planar-inverted and Ag-grating-inverted OSCs, we investigated photocurrent  $J_{ph}$  under various incident light intensity ( $I$ ) and effective applied voltage ( $V_0-V$ ) conditions measured at room temperature as shown in Figure 2. For the Ag-planar-inverted device, as shown in Figure 2(a), when  $V_0-V < 0.2$  V,  $J_{ph}$  is almost linearly proportional to  $V_0-V$ . It is because the dependence of  $J_{ph}$  on  $V_0-V$  at a low internal electrostatic field is governed by both diffusion and drift currents. For intermediate and higher internal fields (see Figure 2(a)),  $J_{ph}$  shows a square-root dependence on  $V_0-V$  covering a wide range of voltages.

At sufficiently high reverse voltage, photocurrent becomes saturated (internal-field independent) and no recombination occurs, as the mean distance ( $w$ ) of free charge carriers becomes equal to or larger than the active layer thickness ( $L$ ). To verify the SCL occurs in the Ag-planar-inverted OSC, we further plot  $J_{ph}$  versus light intensity at three different effective applied voltages (at  $V_0-V = 0.2$  V, 0.5 V, 1 V in the square-root regime, and  $V_0-V = 10$  V in the saturation regime) as depicted in Figure 2(b). We see that  $J_{ph}$  is approximately proportional to  $I^{3/4}$  at the SCL region (1/2 power dependence of  $J_{ph}$  on  $V_0-V$ ). Additionally,  $J_{ph}$  is approximately proportional to  $I^{0.93}$  at the saturation region for sufficiently high  $V_0-V$ . According to Equation (1) and SCL theory, we conclude that the space charge effect occurs, which dominates electrical properties of the Ag-planar-inverted device.

Regarding the Ag-grating-inverted OSCs,  $J_{ph}$  versus  $V_0-V$  at different incident light intensities is shown in Figure 2(c). Amazingly, we found that the SCL characteristics disappear when a metallic grating anode is incorporated. When  $V_0-V < 0.2$  V,  $J_{ph}$  is almost linearly proportional to  $V_0-V$ , which is the same as the Ag-planar-inverted device. However, at higher voltages,  $J_{ph}$  becomes saturated and shows no square-root dependence on  $V_0-V$ . Furthermore, with the help of the curve of  $J_{ph}$  versus the light intensity at different  $V_0-V$  (see Figure 2(d)),  $J_{ph}$  shows a linear dependence on the light intensity. This suggests the eliminated SCL and reduced bulk recombination.

Temperature will strongly affect the mobility of polymer blend and thus SCL characteristics of OSC devices. Regarding the temperature effect, we again study  $J_{ph}$  as a function of  $V_0-V$  for both the Ag-planar-inverted and Ag-grating-inverted OSCs for a temperature range of 178 K–298 K. As shown in Figures 3(a) and (b), the



**Figure 3** | Experimental temperature-dependent SCL characteristics for Ag-inverted OSCs. Top graphs are the temperature dependence of photocurrent versus effective applied voltage for (a) Ag-planar-inverted device and (b) Ag-grating-inverted device. Bottom graphs for Ag-grating-inverted device: (c) incident light intensity dependence of photocurrent versus effective applied voltage. (d) effective applied voltage dependence of photocurrent versus incident light intensity. The black solid lines in (a) – (c) represent the square-root dependence of photocurrent on effective applied voltage (SCL region).

square-root region of  $J_{ph}$  will extend to higher voltage regions as temperature decreases for both types of OSCs. Meanwhile, the mobility difference between electrons and holes will be enlarged when temperature decreases (See Supplementary Figure S2 and Figure S3). Especially, at 178 K the mobility ratio ( $\mu_e/\mu_h$ ) increases to a value of 2000. Thus, an extremely unbalanced transport in these blends is expected. In this case, the SCL will reoccur as depicted in Figures 3(c) and (d).

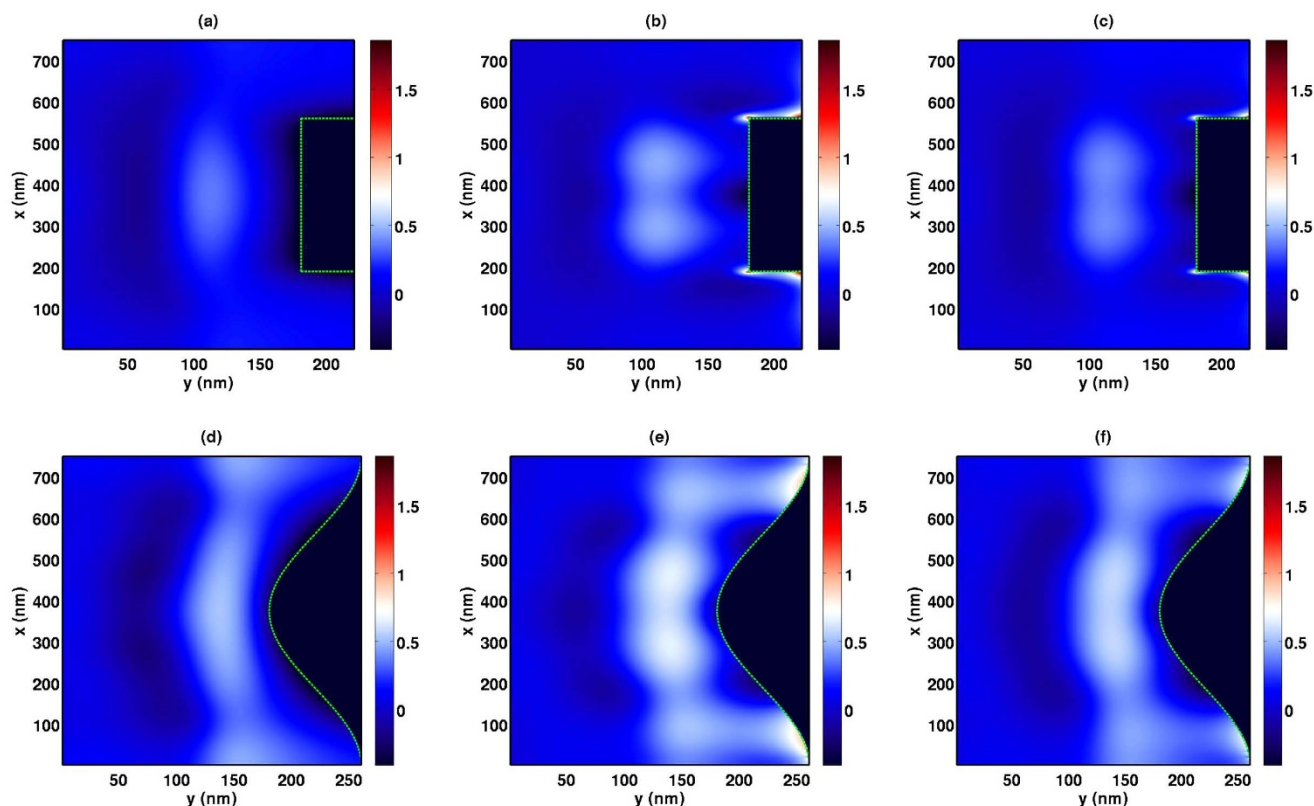
**Origins of SCL elimination and understanding.** To understand above experimental findings particularly for the SCL elimination in the Ag-grating-inverted OSCs at room temperature, the physical process is theoretically modeled with a mechanistic insight. Through rigorously solving Maxwell's equations<sup>51</sup>, we calculate the ratio of exciton generation of the Ag-grating-inverted device to that of the Ag-planar-inverted one, which is plotted in Figure 4 (See Supplementary Note 3 for simulation details). Compared to  $s$  polarized light which cannot excite plasmons,  $p$  polarized light shows a significant enhancement for exciton generation. It was confirmed by the measured polarized absorption ratio ( $p$ -polarized absorption over  $s$ -polarized absorption and See Supplementary Figure S5) with a plasmonic-boosted peak around 670 nm. Exciton generation for unpolarized sunlight [See Figure 4(c,f)], which is the mean value of the  $p$  polarization and  $s$  polarization results, shows a very similar distribution pattern to that for the  $p$  polarized light [See Figure 4(b,e)]. This proves that plasmonic effects play a dominant role in boosting total exciton generation. The polarization-dependent photoluminescence (PL) spectra (See Supplementary Figure S4) excited by both 355 and 532 nm laser undoubtedly suggest the

plasmonic-optical enhancement as well. In view of fabrication pitfalls from the nanoimprinting technique, both a square grating with sharp edges [See Figure 4(a,b,c)] and a sinusoidal grating with smooth edges [See Figure 4(d,e,f)] are modeled to proof the universal optical enhancement by the plasmonic-optical effects. The surface plasmonic band edge (formed by plasmonic standing waves)<sup>41</sup> and surface plasmon coupled waveguide mode<sup>31</sup> contribute to the exciton hot spots. Most importantly, the extraordinarily dense exciton generation can be found very near to the Ag-grating anode. This suggests near-field plasmonic effects (not far-field scattering effects) play a dominant role in the exciton generation.

As shown in Figure 1(b) and Figure 4, the abnormally redistributed holes (resulting from the dense exciton generation) just transport a short path before collected by the anode. To investigate the influence of the short transport path of holes on electrical properties of OSCs, semiconductor equations (Poisson, drift-diffusion, and continuity equations)<sup>52–55</sup> are solved self-consistently (See Theoretical Model at Methods Section). For the Ag-planar-inverted device, the generation rate of exciton is obtained from a rigorous solution of Maxwell's equations. From Supplementary Figures S6(b) and S8, photocarriers are generated at the region close to the transparent cathode. For the Ag-grating-inverted device, the generation rate is set to

$$G_g = \begin{cases} 0, & y \leq 0.8L \\ 5\bar{G}_p, & 0.8L < y \leq L \end{cases} \quad (3)$$

for simulating the nonuniform exciton generation and short transport path of holes. In Equation (3), the Ag-grating anode is



**Figure 4 | Abnormal exciton generation obtained from the theoretical model.** The graphs present the exciton generation of the Ag-grating-inverted devices over that of the Ag-planar-inverted ones, which is defined as  $\log_{10}(G_g(\mathbf{r})/G_p(\mathbf{r}))$ . The active layer thickness for both types of devices is set to be the same. The exciton generation of the Ag-planar device is nonzero at the region corresponding to the nanopatterned anode of the Ag-grating device where zero exciton generation is achieved. (a) *s* polarization for the square grating; (b) *p* polarization for the square grating; (c) unpolarization for the square grating; (d) *s* polarization for the sinusoidal grating; (e) *p* polarization for the sinusoidal grating; (f) unpolarization for the sinusoidal grating.

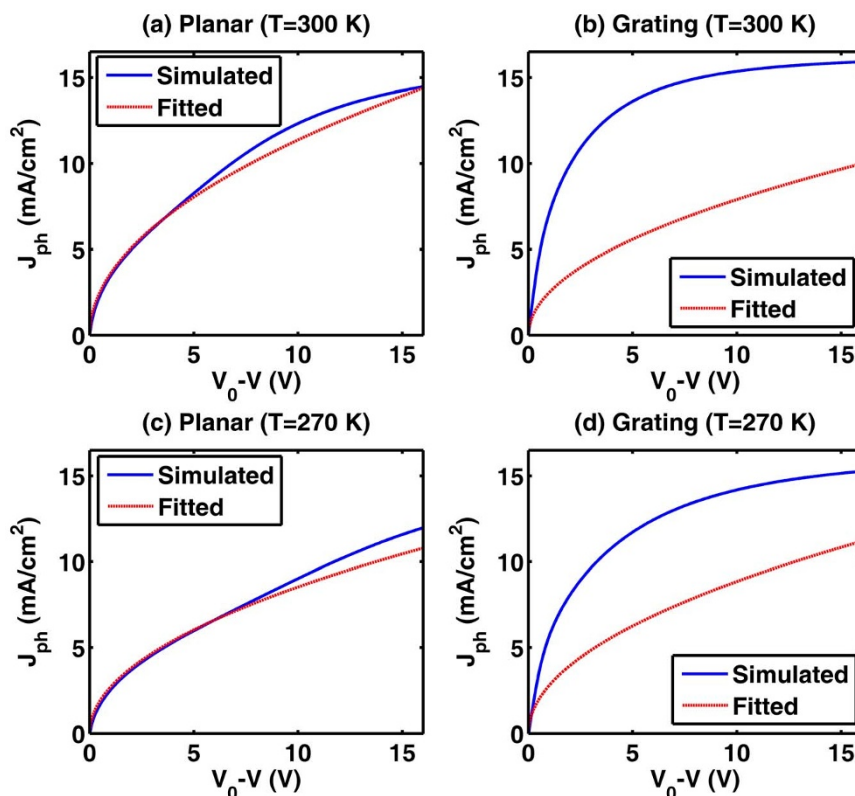
located at  $y = L$  and  $\bar{G}_p$  is the mean value of generation rate of the Ag-planar-inverted OSCs. Mathematically, we trivially get  $\int_{0.8L}^L G_g dy = \int_0^L G_g dy = \int_0^L G_p dy = \bar{G}_p L$ . Physically, light absorption at the active layer is spatially redistributed in the Ag-grating-inverted OSCs by the localized plasmonic-optical effect.

After solving semiconductor equations, Figure 5 shows the dependence of photocurrent  $J_{ph}$  on effective applied voltage  $V_0 - V$ . The red solid curves of  $J_{ph} \sim (V_0 - V)^{0.5}$  are also drawn to identify the SCL regions. At room temperature, the Ag-grating-inverted device is far away from the SCL region while the Ag-planar-inverted device falls into the SCL region extending until 5 V. These results are consistent with the experimental findings as illustrated in Figures. 2(a) and 2(c) [1 Sun case]. As the temperature decreases, the SCL regions dilate for both Ag-planar-inverted and Ag-grating-inverted OSCs, which also has a good agreement with the experimental results by comparing Figures. 2(a, c) to Figures. 3(a, c). At the lower temperature, the simulated  $J_{ph}$  curve draws more close to the fitted square-root curve for the Ag-grating-inverted OSCs. Figure 6 shows the dependence of  $J_{ph}$  on the light intensity ( $V_0 - V$  is fixed). At room temperature, the order  $\alpha$  of 0.9 ( $J_{ph} \sim I^\alpha$ ) achieved by the Ag-grating-inverted device is fundamentally different from that of 0.7 by the Ag-planar-inverted device, which again verifies the elimination of the SCL characteristics and is identical to the fitted experimental order (See Figures. 2(b, d)). Interestingly,  $\alpha = 0.9$  at the room temperature is reduced to  $\alpha = 0.84$  at the lower temperature (See Figure 6) approaching 3/4 for the Ag-grating-inverted device. The theoretical reduction of  $\alpha$  agrees with the experimental demonstrations seen at Figures 2(d) and 3(d). Because the shortened hole transport path

cannot compensate the slower drift velocity of holes with a lower mobility. According to our comparative investigations, all the theoretical results are in agreement with experimental findings well.

A short transport path for the Ag-grating-inverted OSCs can be equivalently regarded as a thin active layer configuration for the Ag-planar-inverted OSCs. It is well known that SCL characteristics disappear in the thin-active-layer OSCs ( $< 100$  nm)<sup>5,24,56</sup>. Hence, with the short transport path of holes, the Ag-grating-inverted device does not favor the SCL effect even if it has the same thickness of active layer as the Ag-planar-inverted one where the SCL effect occurs. The shortened transport path leads to a fast collection of holes with reduced bulk recombination and hole accumulation, which can be also observed in the transient photovoltage results (See Supplementary Figure S7). It is worth to note that if the thickness of active layer extensively increases, plasmonic enhancement will become insignificant. Under this situation, few holes are generated around the grating anode and the SCL effect will reoccur (See Supplementary Note 5). Additionally, the enlarged grating anode contact could facilitate the collection of holes, but it does not shorten hole transport paths if the spatial distribution of light absorption at the active layer is unchanged. Consequently, the enlarged anode area is not the physical origin of the eliminated SCL effect.

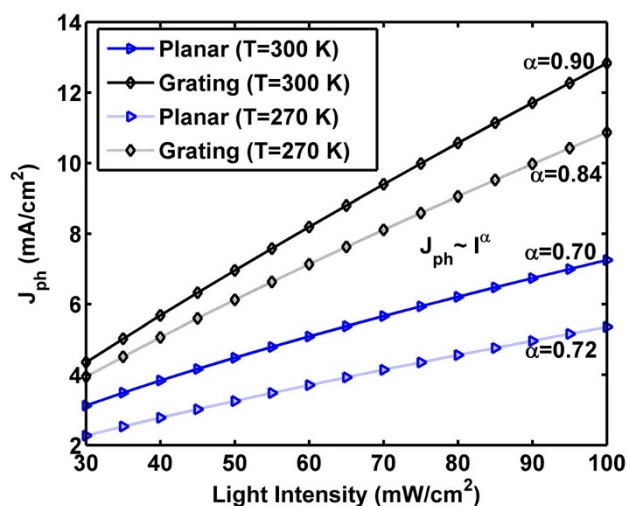
**SCL characteristics in normal (electron-path shortened) OSCs.** In order to further comprehend the role of transport path in manipulating the SCL characteristics, we fabricated the normal P3HT:PCBM device with structures of ITO/PEDOT:PSS (30 nm)/active layer (220 nm)/Ca (10 nm)/Ag (with or without grating) (100 nm). Differently, in the normal devices, the Ag grating as a cathode for collecting electrons and electrons (not holes) transport



**Figure 5** | Theoretical temperature dependence of photocurrent versus effective applied voltage. (a) Ag-planar-inverted device at  $T=300$  K; (b) Ag-grating-inverted device at  $T=300$  K; (c) Ag-planar-inverted device at  $T=270$  K; (d) Ag-grating-inverted device at  $T=270$  K. The overlapped regions between fitted and simulated curves are the square-root regions of OSC devices, i.e.  $J_{ph} \sim (V_0-V)^{0.5}$ . The incident light intensity is  $100$  mW/cm<sup>2</sup>.

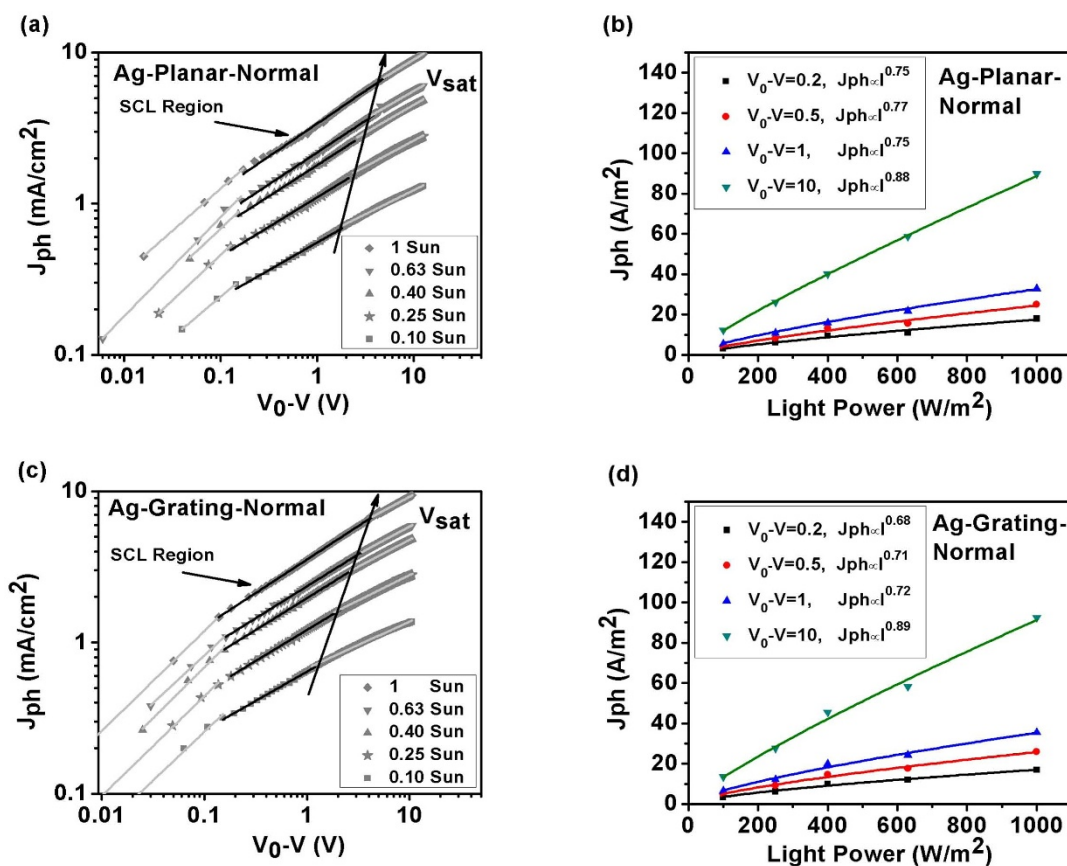
a short path before collected by the cathode. For both Ag-planar-normal and Ag-grating-normal devices, we study  $J_{ph}$  versus  $V_0-V$  at different incident light intensities (Figures 7(a) and (c)) and  $J_{ph}$  versus light intensity at four different  $V_0-V$  (Figures 7(b) and (d)). At the square-root region of  $J_{ph}$ , photocurrent is approximately

proportional to  $I^{3/4}$ . Particularly, the Ag-grating-normal device shows the same SCL characteristics at room temperature as what the Ag-planar-normal device does. The short transport path of high-mobility electrons does not reduce charge accumulation and thus SCL still exists. To improve electrical properties of OSCs, the device architecture with metallic nanostructures should be carefully engineered to redistribute light absorption for shortening the transport path of low-mobility photocarriers.



**Figure 6** | Theoretical temperature dependence of photocurrent versus light intensity. The orders  $\alpha$  of the curves ( $J_{ph} \sim I^\alpha$ ) are 0.70, 0.90, 0.72, and 0.84 respectively for Ag-planar-inverted device at  $T=300$  K, Ag-grating-inverted device at  $T=300$  K, Ag-planar-inverted device at  $T=270$  K, and Ag-grating-inverted device at  $T=270$  K. The effective applied voltage is set to  $V_0-V=4.0$  V.

**Space charge dependent OSC performances.** Electrical characteristic parameters of OSCs with four different device structures are listed in Table 1. The corresponding current density-voltage ( $J-V$ ) curves are given in Supplementary Figure S10 and Figure S11. For the Ag-normal OSCs, the characteristic parameters including short-circuit current ( $J_{SC}$ ) and fill factor (FF) show no significant distinction for both planar and grating devices. After introducing the nanostructured cathode, the increased  $J_{SC}$  and reduced FF are respectively due to the metallic grating enhanced optical absorption and elongated hole (shortened electron) transport path. However, for the Ag-inverted OSCs, the characteristic parameters of the planar and grating devices show considerable differences. For example, FF of the Ag-planar-inverted device drops drastically compared to that of Ag-grating-inverted one. The maximum possible FF at the SCL regime is about 42%<sup>4</sup>. From results in Table 1, FF of the Ag-planar-inverted and Ag-grating-inverted devices are respectively lower and higher than 42%. The OSCs, which achieve a FF significantly larger than 42%, will be exempt from the SCL. The FF of the Ag-planar-inverted device is noticeably smaller than 42% due to the SCL effect with a large recombination loss. Furthermore,  $J_{SC}$  of the Ag-grating-inverted device is improved by 75% in comparison with the Ag-planar-inverted one. On one hand, surface plasmons excited in the metallic grating enhance the optical absorption of OSCs. On the



**Figure 7** | SCL characteristics for Ag-normal OSCs measured at room temperature ( $T=300$  K). Left graphs are photocurrents versus effective applied voltage ( $V_0-V$ ) at different incident light intensities. (a) Ag-planar-normal device, and (c) Ag-grating-normal device. The black solid lines in (a) and (c) represent the square-root dependence of photocurrent on effective applied voltage (SCL region). Right graphs are photocurrents versus incident light intensity at different effective applied voltages. (b) Ag-planar-normal device, and (d) Ag-grating-normal device.

other hand, low-mobility holes, abnormally generated around the grating anode, are collected fast and easily leading to the reduced recombination loss. Hence, the Ag-grating-inverted device improves  $J_{SC}$  much more significantly than the Ag-grating-normal one, although the same optical enhancement can be achieved by the Ag-grating-normal device. Considering the improved FF together with the boosted short-circuit current, the PCE of the Ag-grating-inverted device increases from 0.73% to 1.73%.

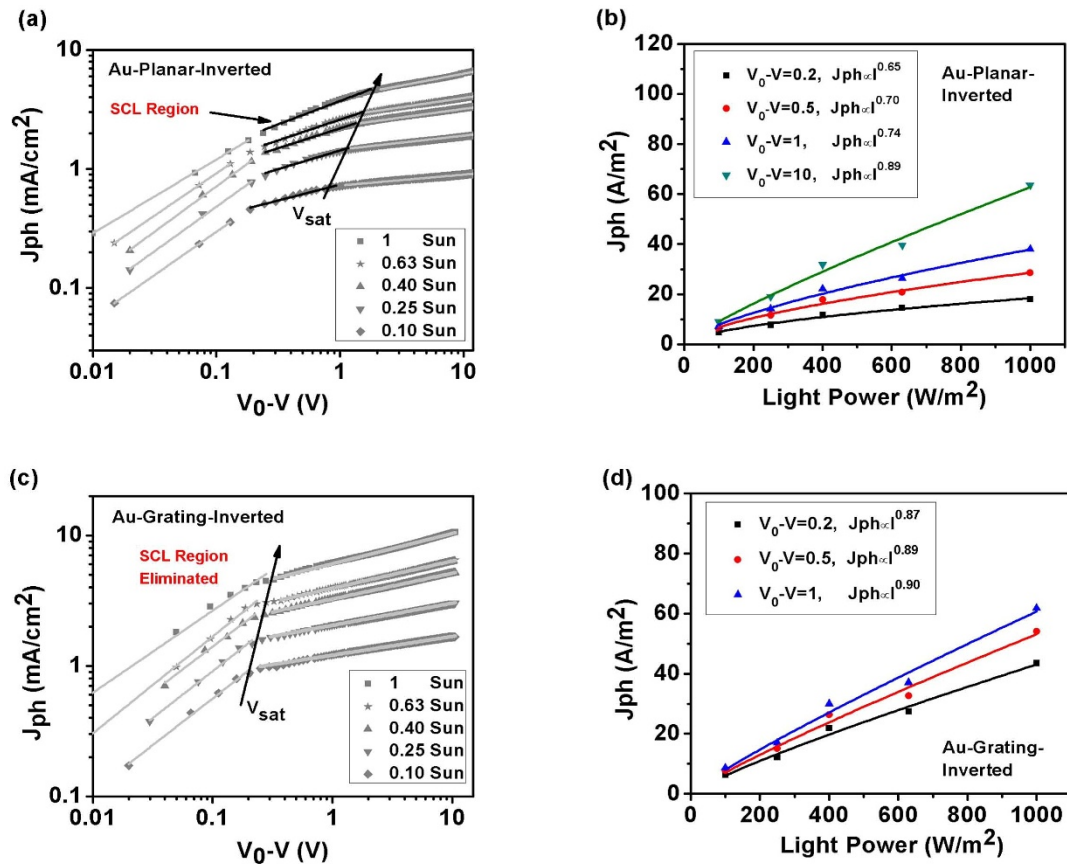
**SCL Characteristics of Au-grating OSCs.** To reconfirm the SCL elimination by the plasmonic-electrical effect, the Au grating has been incorporated into inverted and normal OSCs as the anode and cathode, respectively. Figures 8 and 9 are the corresponding results for the Au-grating devices, which are quite similar to Figures 2 and 7 for the Ag-grating ones. The Au-grating-inverted OSC also avoids SCL due to the same physical reason, i.e. transport

path of low-mobility holes is shortened by plasmonically induced light absorption redistribution at the active layer. Likewise, the plasmonic-optical enhancement by the Au grating can be verified by the PL spectra and absorption ratio results at Supplementary Figures S12 and S13. For comparative and complete studies,  $J-V$  curves for the Au-planar and Au-grating OSCs are presented in Supplementary Figure S14.

In conclusion, the work experimentally and theoretically demonstrated the plasmonic-electrical effect breaks the SCL in inverted OSCs through introducing a metallic nanostructured anode. The linear dependence of photocurrent on light intensity and significantly improved FF are clear proofs for the eliminated SCL characteristics. Plasmonically induced light absorption redistribution shortens the transport path of low-mobility holes; and thus reduces bulk recombination and space charge accumulation. The proposed plasmonic-electrical concept is helpful to improve the performance

**Table 1** | Experimental characteristic parameters of OSCs with different structures. The parameters include open-circuit voltage ( $V_{OC}$ ), short-circuit current ( $J_{SC}$ ), fill factor (FF), and power conversion efficiency (PCE)

Device	$V_{OC}$	$J_{SC}$	FF	PCE
	(V)	(mA/cm <sup>2</sup> )		(%)
Ag-Planar-Inverted	$0.61 \pm 0.02$	$3.30 \pm 0.15$	$0.37 \pm 0.02$	$0.73 \pm 0.10$
Ag-Grating-Inverted	$0.61 \pm 0.01$	$5.76 \pm 0.28$	$0.56 \pm 0.05$	$1.73 \pm 0.21$
Ag-Planar-Normal	$0.61 \pm 0.01$	$2.73 \pm 0.25$	$0.44 \pm 0.02$	$0.74 \pm 0.10$
Ag-Grating-Normal	$0.61 \pm 0.02$	$2.98 \pm 0.21$	$0.41 \pm 0.03$	$0.75 \pm 0.21$



**Figure 8** | SCL characteristics for Au-inverted OSCs measured at room temperature ( $T=300$  K). Left graphs are photocurrents versus effective applied voltage at different incident light intensities. (a) Au-planar-inverted OSCs, and (c) Au-grating-inverted OSCs. Light intensity is varied from 100, 63, 40, 25, to 10  $\text{mW}/\text{cm}^2$  by using neutral density filters. The black solid lines in (a) represent the square-root dependence of photocurrent on effective applied voltage (SCL region). Right graphs are photocurrents versus incident light intensity at different effective applied voltages. (b) Au-planar-inverted OSCs, and (d) Au-grating-inverted OSCs.

of semiconductor devices with active materials of unbalanced mobility. Most importantly, exploring multi-functions of metallic nanostructures offers a new tool to enhance both optical and electrical properties of optoelectronic nanodevices.

## Methods

**Device fabrication.** Devices with inverted structures of ITO/TiO<sub>2</sub> (20 nm)/active layer/MoO<sub>3</sub> (10 nm)/Ag or Au (with or without grating) (100 nm) and normal structures of ITO/PEDOT:PSS (30 nm)/active layer/Ca (10 nm)/Ag or Au (with or without grating) (100 nm) were fabricated (Figures 1 (a) and (b)). ITO glasses were cleaned based on a standard procedure<sup>40,41,43</sup>. A thin layer (20 nm) of TiO<sub>2</sub> or PEDOT:PSS was formed on the ITO by spin-coating followed by annealing at 150 °C for 30 min. Subsequently, a polymer blend of P3HT: PC<sub>60</sub>BM (1 : 1, wt%/wt%, 20 mg/ml, note: P3HT in the current work represents Poly[3-hexylthiophene-2,5-diyl] with heavy molecular weight) in Chloroform was spin-coated at 670 rpm for 30 s on top of the TiO<sub>2</sub> layer or PEDOT:PSS layer. The active layer thickness is about 220 nm. To obtain the grating pattern on the active layer as shown in Figure 1(b), the polydimethylsiloxane (PDMS) nanoimprinted method was applied onto the surface of active layer<sup>40,41,43</sup>, after which the whole sample was thermally treated in 80 °C for 4 min. By the removal of the PDMS mold, MoO<sub>3</sub> (10 nm) or Ca (10 nm) and Ag or Au (100 nm) layers were thermally evaporated onto the active layer pattern at a pressure of  $10^{-6}$  Torr. Similarly, for the planar control device, the flat PDMS mold was also applied on the active layer. It should be noted the coverage of MoO<sub>3</sub> or Ca is used for obtaining an ohmic contact between the Ag or Au electrode and active layer.

**Characterization.** The thickness of the polymer sample was measured using a Dektak alpha-step profiler. The morphology of the sample was characterized using atomic force microscopy (AFM) (Asylum Research MFP-3D) in tapping mode and scanning electron microscopy (SEM) (Hitachi S-4800). Current density ( $J$ )-Voltage ( $V$ ) characteristics were obtained by using a Keithley 2635 sourcemeter and ABET AM 1.5G solar simulator with 100  $\text{mW}/\text{cm}^2$  illumination. The low temperature measurement was conducted in an Oxford Cryostat and the incident light intensity

was changed from 100  $\text{mW}/\text{cm}^2$  to 24  $\text{mW}/\text{cm}^2$  by using a set of neutral density filters.

**Theoretical model.** The electrical properties of OSCs can be modeled by solving organic semiconductor equations involving Poisson, drift-diffusion and continuity equations<sup>52,57</sup>

$$\nabla \cdot (\epsilon \nabla \phi) = -q(p - n) \quad (4)$$

$$\frac{\partial n}{\partial t} = \frac{1}{q} \nabla \cdot (q\mu_n n \mathbf{E}_n + qD_n \nabla n) + G(t) - R(n, p) \quad (5)$$

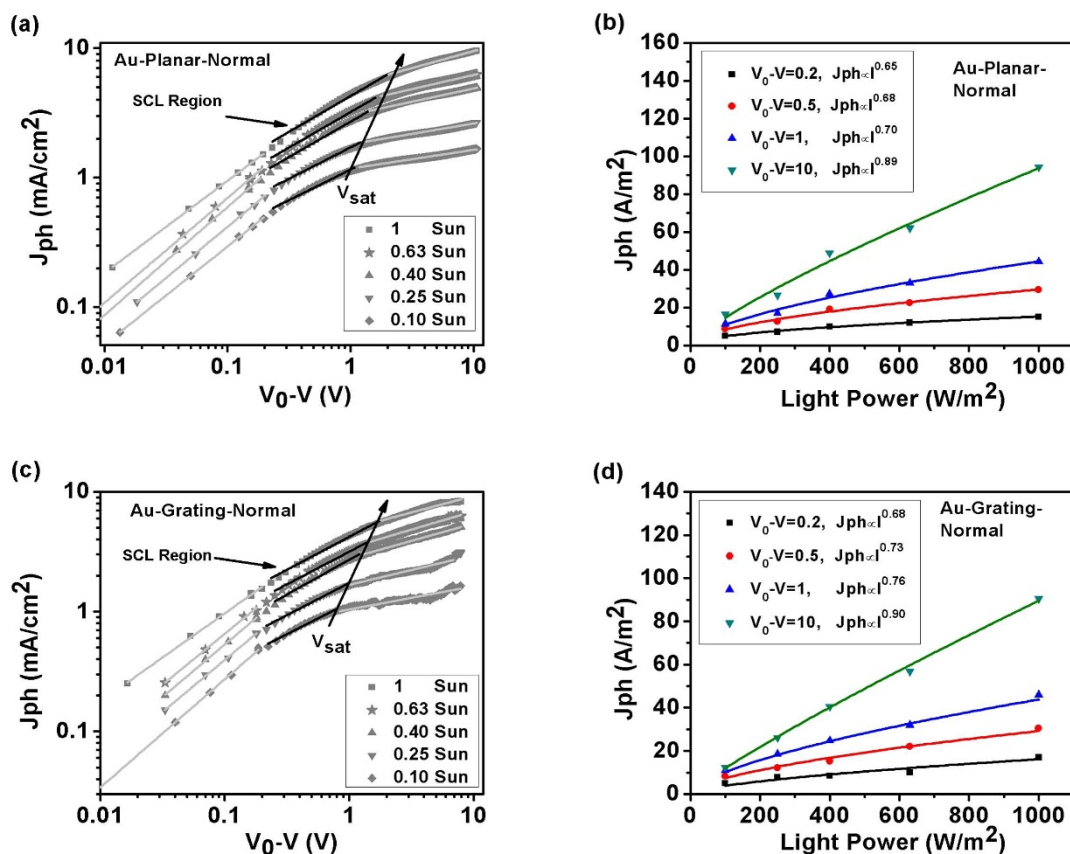
$$\frac{\partial p}{\partial t} = -\frac{1}{q} \nabla \cdot (q\mu_p p \mathbf{E}_p - qD_p \nabla p) + G(t) - R(n, p) \quad (6)$$

where  $q$  is the electron charge,  $\phi$  is the potential, and  $n$  and  $p$  are electron and hole densities, respectively. Moreover,  $\mu_n$  and  $\mu_p$  are the mobility of electrons and holes respectively, which are taken from measurement results (See Supplementary Figures S2 and S3). Furthermore,  $D_n$  and  $D_p$  are the diffusion coefficients of electrons and holes respectively, which are accessible by the Einstein relations and mobility.  $J_n = -q\mu_n n \nabla \phi + qD_n \nabla n$  and  $J_p = -q\mu_p p \nabla \phi + qD_p \nabla p$  are respectively electron and hole current densities, and  $G$  is the exciton generation rate that is proportional to the incident light intensity. Here, the recombination rate  $R$  is taken as the Langevin bimolecular form<sup>58</sup>. To characterize and understand the SCL effect for photocarriers (i.e., free electrons and holes), we ignored: (1) deep localized states. These states play little role in accumulating space charges; (2) exciton diffusion and dissociation process (described by the exciton diffusion equation and Onsager-Braun theory<sup>59,60</sup>). Due to the quantum nature of wave functions for polymer materials, most excitons generated in bulk heterojunction OSCs are delocalized to support ultrafast charge transfer<sup>61</sup>.

The potential boundary condition at the electrodes is given by

$$\phi = V - \frac{W_m}{q} \quad (7)$$





**Figure 9** | SCL characteristics for Au-normal OSCs measured at room temperature ( $T=300$  K). Left graphs are photocurrents versus effective applied voltage ( $V_0-V$ ) at different incident light intensities. (a) Au-planar-normal device, and (c) Au-grating-normal device. The black solid lines in (a) and (c) represent the square-root dependence of photocurrent on effective applied voltage (SCL region). Right graphs are photocurrents versus incident light intensity at different effective applied voltages. (b) Au-planar-normal device, and (d) Au-grating-normal device.

where  $V$  is the applied bias voltage and  $W_m$  is the work function of the electrode. The electrodes are assumed to be ohmic contacts for studying the SCL effect, i.e.

$$n = N_c, \quad p = N_v, \quad (8)$$

where  $N_c$  and  $N_v$  are effective density of states for bulk heterojunction active materials.

- Goodman, A. M. & Rose, A. Double extraction of uniformly generated electron-hole pairs from insulators with noninjecting contacts. *J Appl Phys* **42**, 2823–2830 (1971).
- Juska, G., Viliunas, M., Arlauskas, K. & Kocka, J. Space-charge-limited photocurrent transients—the influence of bimolecular recombination. *Phys Rev B* **51**, 16668–16676 (1995).
- Koster, L. J. A., Mihailetschi, V. D., Xie, H. & Blom, P. W. M. Origin of the light intensity dependence of the short-circuit current of polymer/fullerene solar cells. *Appl Phys Lett* **87**, 203502 (2005).
- Mihailetschi, V. D., Wildeman, J. & Blom, P. W. M. Space-charge limited photocurrent. *Phys Rev Lett* **94**, 126602 (2005).
- Lenes, M., Koster, L. J. A., Mihailetschi, V. D. & Blom, P. W. M. Thickness dependence of the efficiency of polymer: fullerene bulk heterojunction solar cells. *Appl Phys Lett* **88**, 243502 (2006).
- Dacuna, J., Xie, W. & Salleo, A. Estimation of the spatial distribution of traps using space-charge-limited current measurements in an organic single crystal. *Phys Rev B* **86**, 115202 (2012).
- Nicolai, H. T. *et al.* Unification of trap-limited electron transport in semiconducting polymers. *Nat Mater* **11**, 882–887 (2012).
- Zhang, X. G. & Pantelides, S. T. Theory of space charge limited currents. *Phys Rev Lett* **108**, 266602 (2012).
- Di Nuzzo, D. *et al.* Evidence for space-charge-limited conduction in organic photovoltaic cells at open-circuit conditions. *Phys Rev B* **87**, 085207 (2013).
- Mihailetschi, V. D., Koster, L. J. A., Hummelen, J. C. & Blom, P. W. M. Photocurrent generation in polymer–fullerene bulk heterojunctions. *Phys Rev Lett* **93**, 216601 (2004).
- Mihailetschi, V. D. *et al.* Charge transport and photocurrent generation in poly(3-hexylthiophene): Methanofullerene bulk-heterojunction solar cells. *Adv Funct Mater* **16**, 699–708 (2006).
- Blom, P. W. M., Mihailetschi, V. D., Koster, L. J. A. & Markov, D. E. Device physics of polymer: fullerene bulk heterojunction solar cells. *Adv Mater* **19**, 1551–1566 (2007).
- Li, G., Zhu, R. & Yang, Y. Polymer solar cells. *Nat Photonics* **6**, 153–161 (2012).
- Small, C. E. *et al.* High-efficiency inverted dithienogermole-thienopyrrolo-dione-based polymer solar cells. *Nat Photonics* **6**, 115–120 (2012).
- He, Z. C. *et al.* Enhanced power-conversion efficiency in polymer solar cells using an inverted device structure. *Nat Photonics* **6**, 591–595 (2012).
- Guo, X. G. *et al.* Polymer solar cells with enhanced fill factors. *Nat Photonics* **7**, 825–833 (2013).
- You, J. B. *et al.* A polymer tandem solar cell with 10.6% power conversion efficiency. *Nat Commun* **4**, 1446 (2013).
- Chen, H. Y. *et al.* Polymer solar cells with enhanced open-circuit voltage and efficiency. *Nat Photonics* **3**, 649–653 (2009).
- Li, G. *et al.* High-efficiency solution processable polymer photovoltaic cells by self-organization of polymer blends. *Nat Mater* **4**, 864–868 (2005).
- Li, G. *et al.* "Solvent annealing" effect in polymer solar cells based on poly(3-hexylthiophene) and methanofullerenes. *Adv Funct Mater* **17**, 1636–1644 (2007).
- Azimi, H. *et al.* Charge transport and recombination in low-bandgap bulk heterojunction solar cell using bis-adduct fullerene. *Adv Energy Mater* **1**, 1162–1168 (2011).
- Sun, Y. M. *et al.* Inverted polymer solar cells integrated with a low-temperature-annealed Sol-Gel-derived ZnO film as an electron transport layer. *Adv Mater* **23**, 1679–1683 (2011).
- Wagenpahl, A. *et al.* S-shaped current-voltage characteristics of organic solar devices. *Phys Rev B* **82**, 115306 (2010).
- Kirchartz, T. *et al.* Understanding the thickness-dependent performance of organic bulk heterojunction solar cells: the influence of mobility, lifetime, and space charge. *J Phys Chem Lett* **3**, 3470–3475 (2012).
- Kawata, S. & Shalae, V. M. *Nanophotonics with Surface Plasmons*. Elsevier (2007).
- Maier, S. A. *Plasmonics: Fundamentals and Applications*. Springer (2007).
- Gramotnev, D. K. & Bozhevolnyi, S. I. Plasmonics beyond the diffraction limit. *Nat Photonics* **4**, 83–91 (2010).
- Schuller, J. A. *et al.* Plasmonics for extreme light concentration and manipulation. *Nat Mater* **9**, 193–204 (2010).



29. Catchpole, K. R. & Polman, A. Plasmonic solar cells. *Opt Express* **16**, 21793–21800 (2008).
30. Ferry, V. E., Sweatlock, L. A., Pacifici, D. & Atwater, H. A. Plasmonic nanostructure design for efficient light coupling into solar cells. *Nano Lett* **8**, 4391–4397 (2008).
31. Pala, R. A. *et al.* Design of plasmonic thin-film solar cells with broadband absorption enhancements. *Adv Mater* **21**, 3504–3509 (2009).
32. Atwater, H. A. & Polman, A. Plasmonics for improved photovoltaic devices. *Nature Materials* **9**, 205–213 (2010).
33. Kulkarni, A. P. *et al.* Plasmon-enhanced charge carrier generation in organic photovoltaic films using silver nanoprisms. *Nano Lett* **10**, 1501–1505 (2010).
34. Min, C. J. *et al.* Enhancement of optical absorption in thin-film organic solar cells through the excitation of plasmonic modes in metallic gratings. *Appl Phys Lett* **96**, 133302 (2010).
35. Sha, W. E. I., Choy, W. C. H., Liu, Y. G. & Chew, W. C. Near-field multiple scattering effects of plasmonic nanospheres embedded into thin-film organic solar cells. *Appl Phys Lett* **99**, 113304 (2011).
36. Wu, J. L. *et al.* Surface Plasmonic Effects of Metallic Nanoparticles on the Performance of Polymer Bulk Heterojunction Solar Cells. *ACS Nano* **5**, 959–967 (2011).
37. Yang, J. *et al.* Plasmonic Polymer Tandem Solar Cell. *ACS Nano* **5**, 6210–6217 (2011).
38. Gather, M. C. A rocky road to plasmonic lasers. *Nat Photonics* **6**, 708–708 (2012).
39. John, S. Why trap light? *Nat Mater* **11**, 997–999 (2012).
40. Li, X. H. *et al.* Dual Plasmonic Nanostructures for High Performance Inverted Organic Solar Cells. *Adv Mater* **24**, 3046–3052 (2012).
41. Li, X. H. *et al.* Efficient inverted polymer solar cells with directly patterned active layer and silver back grating. *J Phys Chem C* **116**, 7200–7206 (2012).
42. Spinelli, P. *et al.* Plasmonic light trapping in thin-film Si solar cells. *J Opt* **14** (2012).
43. You, J. B. *et al.* Surface plasmon and scattering-enhanced low-bandgap polymer solar cell by a metal grating back electrode. *Adv Energy Mater* **2**, 1203–1207 (2012).
44. Gan, Q. Q., Bartoli, F. J. & Kafafi, Z. H. Plasmonic-enhanced organic photovoltaics: Breaking the 10% efficiency barrier. *Adv Mater* **25**, 2385–2396 (2013).
45. Li, X. H. *et al.* Efficiency enhancement of organic solar cells by using shape-dependent broadband plasmonic absorption in metallic nanoparticles. *Adv Funct Mater* **23**, 2728–2735 (2013).
46. Fung, D. D. S. *et al.* Optical and electrical properties of efficiency enhanced polymer solar cells with Au nanoparticles in a PEDOT-PSS layer. *J Mater Chem* **21**, 16349–16356 (2011).
47. Wang, C. C. D. *et al.* Optical and electrical effects of gold nanoparticles in the active layer of polymer solar cells. *J Mater Chem* **22**, 1206–1211 (2012).
48. Reineck, P. *et al.* A Solid-State Plasmonic Solar Cell via Metal Nanoparticle Self-Assembly. *Adv Mater* **24**, 4750–4755 (2012).
49. Westphalen, M. *et al.* Metal cluster enhanced organic solar cells. *Sol Energy Mater Sol Cells* **61**, 97–105 (2000).
50. Zhang, D. *et al.* Plasmonic electrically functionalized TiO<sub>2</sub> for high-performance organic solar cells. *Adv Funct Mater* **23**, 4255–4261 (2013).
51. Sha, W. E. I., Choy, W. C. H. & Chew, W. C. A comprehensive study for the plasmonic thin-film solar cell with periodic structure. *Opt Express* **18**, 5993–6007 (2010).
52. Koster, L. J. A., Smits, E. C. P., Mihailetchi, V. D. & Blom, P. W. M. Device model for the operation of polymer/fullerene bulk heterojunction solar cells. *Phys Rev B* **72** (2005).
53. Kotlarski, J. D. *et al.* Combined optical and electrical modeling of polymer: fullerene bulk heterojunction solar cells. *J Appl Phys* **103**, 084502 (2008).
54. Li, X. F. *et al.* Bridging electromagnetic and carrier transport calculations for three-dimensional modelling of plasmonic solar cells. *Optics Express* **19**, A888–A896 (2011).
55. Sha, W. E. I., Choy, W. C. H., Wu, Y. M. & Chew, W. C. Optical and electrical study of organic solar cells with a 2D grating anode. *Optics Express* **20**, 2572–2580 (2012).
56. Kotlarski, J. D. & Blom, P. W. M. Impact of unbalanced charge transport on the efficiency of normal and inverted solar cells. *Appl Phys Lett* **100**, 013306 (2012).
57. Selberherr, S. *Analysis and Simulation of Semiconductor Devices*. Springer (1984).
58. Langevin, P. Recombinaison et mobilités des ions dans les gaz. *Ann Chim Phys* **28**, 433–530 (1903).
59. Onsager, L. Initial recombination of ions. *Phys Rev* **54**, 554–557 (1938).
60. Braun, C. L. Electric-field assisted dissociation of charge-transfer states as a mechanism of photocarrier production. *J Chem Phys* **80**, 4157–4161 (1984).
61. Heeger, A. J. 25th Anniversary Article: Bulk Heterojunction Solar Cells: Understanding the Mechanism of Operation. *Adv Mater* **26**, 10–28 (2014).

## Acknowledgments

This work is supported by the General Research Fund (grants: HKU711813 and HKU711612E), the National Natural Science Foundation of China (NSFC)/Research Grants Council (RGC) grant (N\_HKU709/12) and Ministry of Education (MOE)/Research Grants Council (RGC) (M-HKU703/12) from RGC of Hong Kong Special Administrative Region, China. This project is also supported in part by Collaborated Research Fund (CUHK1/CRF/12G) of RGC, NSFC grant (No. 61201122), and UGC of Hong Kong (No. AoE/P-04/08).

## Author contributions

W.E.I.S. and X.L. contributed equally to the work. W.C.H.C. planned and supervised the project.

## Additional information

**Supplementary information** accompanies this paper at <http://www.nature.com/scientificreports>

**Competing financial interests:** The authors declare no competing financial interests.

**How to cite this article:** Sha, W.E.I., Li, X. & Choy, W.C.H. Breaking the Space Charge Limit in Organic Solar Cells by a Novel Plasmonic-Electrical Concept. *Sci. Rep.* **4**, 6236; DOI:10.1038/srep06236 (2014).



This work is licensed under a Creative Commons Attribution-NonCommercial-NoDerivs 4.0 International License. The images or other third party material in this article are included in the article's Creative Commons license, unless indicated otherwise in the credit line; if the material is not included under the Creative Commons license, users will need to obtain permission from the license holder in order to reproduce the material. To view a copy of this license, visit <http://creativecommons.org/licenses/by-nc-nd/4.0/>