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Anisotropic vanadium dioxide sculptured thin films with superior thermochromic properties

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VO₂ (M) STF through reduction of V₂O₅ STF was prepared. The results illustrate that V₂O₅ STF can be successfully obtained by oblique angle thermal evaporation technique. After annealing at 550°C/3 min, the V₂O₅ STF deposited at 85° can be easily transformed into VO₂ STF with slanted columnar structure and superior thermochromic properties. After deposition SiO₂ antireflective layer, T_{lum} of VO₂ STF is enhanced 26% and ΔT_{sol} increases 60% compared with that of normal VO₂ thin films. Due to the anisotropic microstructure of VO₂ STF, angular selectivity transmission of VO₂ STF is observed and the solar modulation ability is further improved from 7.2% to 8.7% when light is along columnar direction. Moreover, the phase transition temperature of VO₂ STF can be depressed into 54.5°C without doping. Considering the oblique incidence of sunlight on windows, VO₂ STF is more beneficial for practical application as smart windows compared with normal homogenous VO₂ thin films.

Monoclinic/rutile (M/R)-phase VO₂, due to its reversible metal-insulator (MIT) phase transitions, has attracted great attention in many fields, such as resistive switching elements¹, smart windows², light modulators³ and optical storage devices⁴, etc. With the energy supply tension and the rise of energy-saving technology, VO₂-based smart window becomes the focus due to its optical modulation ability in infrared spectra region. However, a low luminous transmittance (T_{lum}) and solar modulating ability (ΔT_{sol}) are the two major drawbacks for the practical application of VO₂-base smart windows⁵. The T_{lum} and T_{sol} values were obtained from $T_{lum, sol}(\tau) = \int \varphi_{lum, sol}(\lambda) T(\lambda, \tau) d\lambda / \int \varphi_{lum, sol}(\lambda) d\lambda$, where φ_{lum} is the spectral sensitivity of the light-adapted eye and φ_{sol} is the solar irradiance spectrum for an air mass 1.5 (corresponding to the sun standing 37° above the horizon). ΔT_{sol} is obtained from $\Delta T_{sol} = T_{sol, l} - T_{sol, h}$, where l and h denote low- and high-temperature, respectively.

Different strategies are investigated to improve T_{lum} and ΔT_{sol}, including Mg- or F-doping^{6,7}, multilayer-stack design^{8,9}, composite film construction^{10,11}, nanoporous incorporation¹². Mg- or F-doping causes both the depression of transition temperature and a blue shift in the absorption edge of VO₂ films (from around 445 to 415 nm⁶). A blue shift of absorption edge results in a significant increase of luminous transmittance with the expense of infrared modulating ability⁷. The competitive relation between luminous transmittance and solar modulation ability has also been observed in VO₂ based multilayer films⁸. A VO₂-SiO₂ composite films show a high luminous transmittance but weak infrared modulating ability¹⁰. Optical calculations suggest that VO₂ nanoparticles distributed in a transparent dielectric matrix can show higher T_{lum} and ΔT_{sol}¹³. Gao et al.¹¹ have proved that VO₂-based composite thin films show superior luminous transmittance and solar modulation ability. However, compared with other dielectric matrix, porosity shows much more advantages, such as low refractive index, good compatibility and flexible adjustability. Kang et al.¹² have proved that nanoporous VO₂ thin films prepared by spinning method can enhance the luminous transmittance and solar modulation ability. However, investigation on nanoporous VO₂ thin films prepared by physical vapor deposition is seldom.

Oblique angle deposition (OAD) technique is a common technique to adjust the porosity and microstructure of thin films, which is named sculptured thin films (STF)¹⁴. Sculptured thin films with controllable porosity and microstructure can show gradual optical constants and special physical properties, which can be used in many fields, such as rugate filters¹⁵, sensors¹⁶, phase retarder¹⁷, etc. The adjustable optical constants of STF are beneficial for the optical design of multilayer thin films and the dielectric layer is more optional. The anisotropic

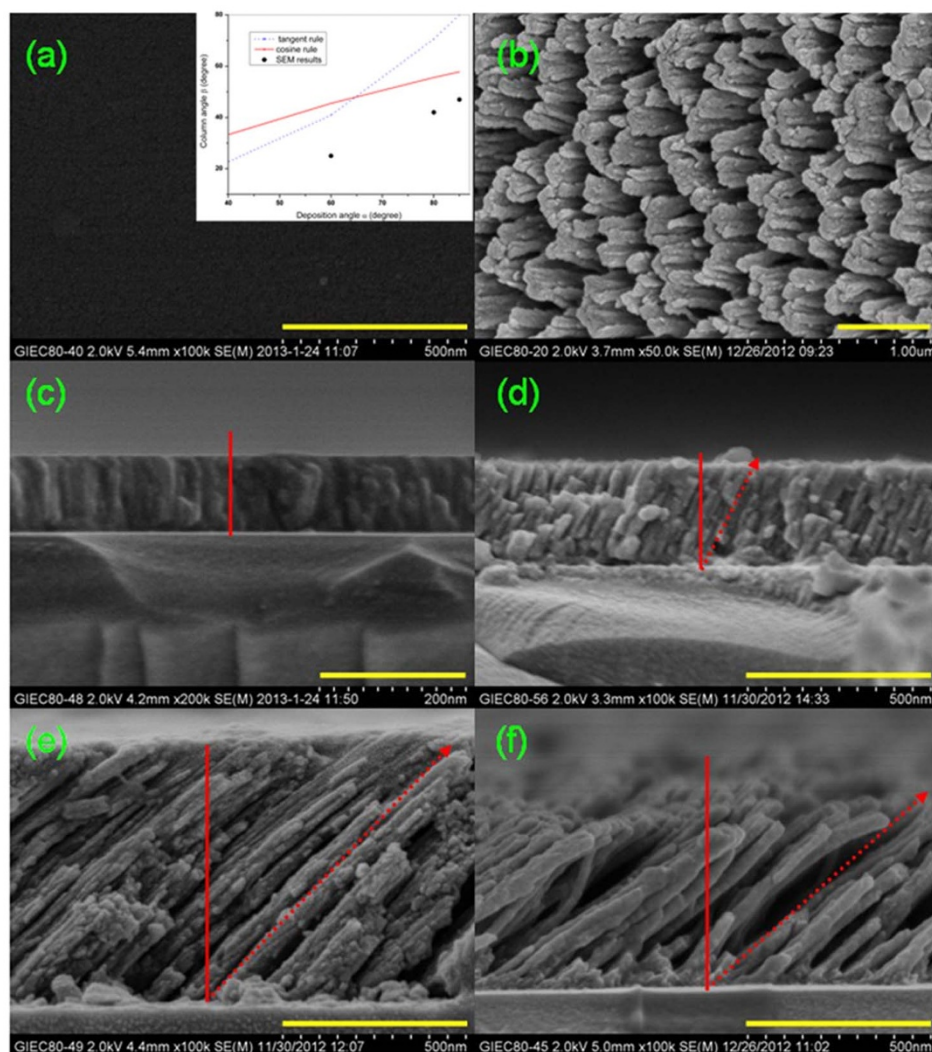


Figure 1 | SEM surface and cross-sectional images of as-deposited V_2O_5 sculptured thin films deposited at different oblique angle. (a, c) 0° ; (b, f) 85° ; (d) 60° ; (e) 80° . The scale bar in Fig. 1 (c) is 200 nm. The other scale bar is 500 nm.

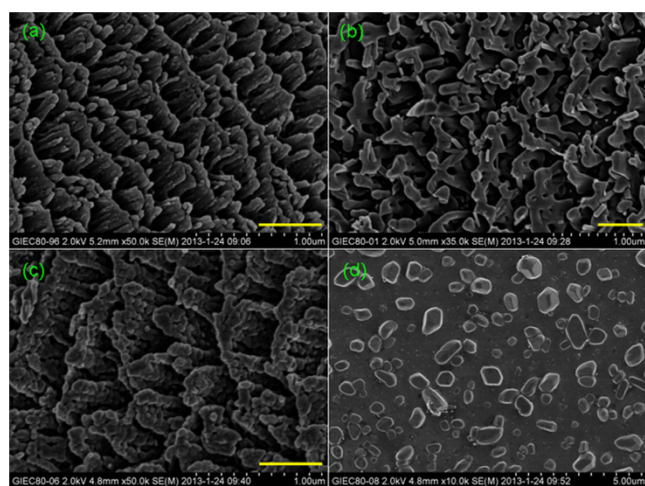


Figure 2 | SEM images of V_2O_5 sculptured thin films deposited at 85° after annealing at different temperature for 5 min. (a) 500°C ; (b) 550°C ; (c) 600°C ; (d) 700°C . The scale bar is 500 nm.

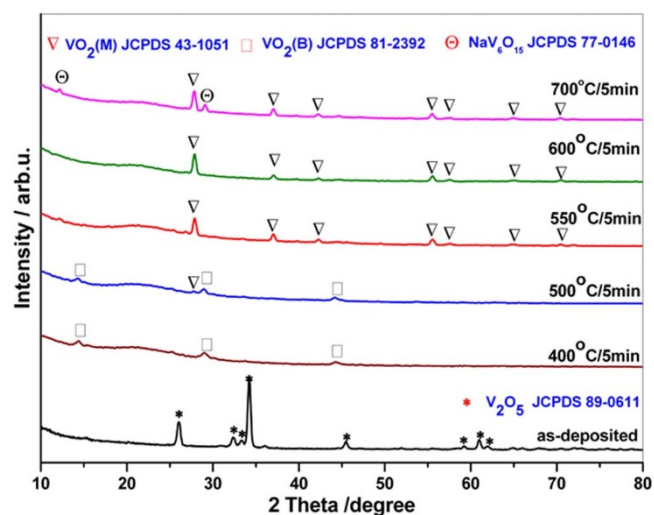


Figure 3 | XRD patterns of V_2O_5 sculptured thin films deposited at 85° after annealing at different temperature for 5 min.

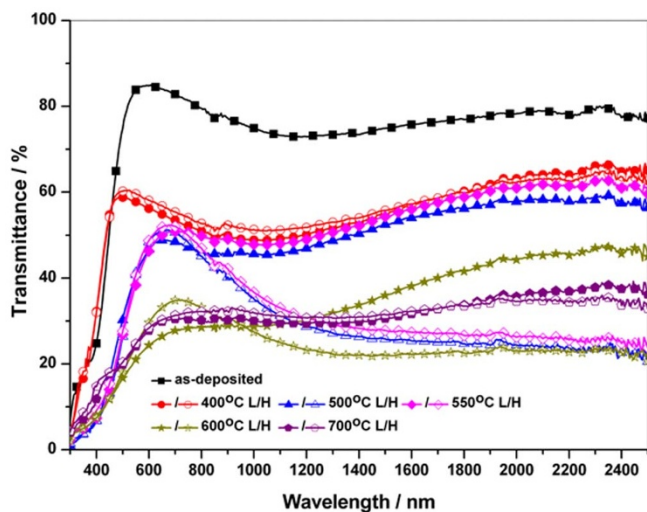


Figure 4 | Transmittance spectra of V_2O_5 sculptured thin films deposited at 85° after annealing at different temperature for 5 min.

microstructure of STF can show angular selectivity transmission. Combination with VO_2 thin films and OAD technique, it is supposed that VO_2 STF can show superior luminous transmittance and solar modulation ability. In present paper, the optical properties and phase transition process of VO_2 STF were investigated. The results illustrated that VO_2 STF with slanted columnar structure can show superior thermo-chromic properties and angular selectivity transmission, which can be improved by antireflective layer design. The phase transition temperature of VO_2 STF can be depressed into $54.5^\circ C$ without doping, which is superior to normal VO_2 film without doping. Due to the porosity, the refractive index of antireflective layer can be smaller than 2. Combination of SiO_2 antireflective layer and angular selectivity transmittance, ΔT_{sol} can be enhanced from 4.5% to 8.7%. Considering the oblique incidence of sunlight on windows, VO_2 STF is supposed as the most suitable candidate as smart windows.

Results

V_2O_5 sculptured thin films. SEM images of as-deposited V_2O_5 STF deposited at different angles are shown in Fig. 1. It can be found that the thin films are porous with OAD technique (see Fig. 1(a, b)). With the increase of deposition angle, films are with slanted columnar structure. The columns incline towards the direction of the incoming flux. The higher the deposition angle is, the greater the column inclination is. The highly orientated nanostructure of the slanted columns indicates that V_2O_5 films are anisotropic¹⁹, with the long axis parallel to the columnar growth direction. The anisotropic structure will introduce the anisotropic dependence into the thermal, electrical, magnetic and optical properties of thin films²⁰.

Column angle β , defined as the angle between substrate surface normal and the long axis of slanted columns, is a significant structural parameter. As can be seen, the column angle increases with the

increase of deposition angle. There are two empirical formulae developed to estimate column angle at oblique incidence: (1) tangent rule ($\tan\beta = 0.5 \tan\alpha$, $\alpha < 70^\circ$)²¹; (2) cosine rule ($2\sin(\alpha - \beta) = 1 - \cos\alpha$, $\alpha > 70^\circ$)²². However, the experimental column angle measured from SEM images is about 25° , 42° , 47° for $\alpha = 60, 80, 85^\circ$, respectively, which are rather lower than the value estimated by the tangent rule ($\beta = 40.9^\circ$ at $\alpha = 60$) and cosine rule ($\beta = 55.6^\circ$ at $\alpha = 80^\circ$ and $\beta = 57.8^\circ$ at $\alpha = 85^\circ$). The origin of the deviation may be due to the great surface curvature of nanostructure films grown by OAD. This will distinctly change the direction of column angle compared to that of micro-sized thin films. Additionally, the column angle also depends on the deposited material. Hodgkinson and Wu proposed the modified tangent rule ($\tan\beta = E_1 \tan\alpha$) and the parameter E_1 is variable with different materials²³. As to V_2O_5 STF, the relationship between β and α obeys exponential function fitted by the results of SEM measurements (see the insert of Fig. 1 (a)).

The effect of annealing temperature. It is well-known that deposition angle is an important factor to sculpture films structure by OAD technique. However, in our experiment, VO_2 (M) STF is indirectly obtained through V_2O_5 STF. It can be deduced that annealing conditions can play a great effect on the microstructure of VO_2 (M).

To obtain VO_2 STF, V_2O_5 STF was reduced in hydrogen atmosphere. After annealing, the morphology and structure of STF were greatly changed just as shown in Fig. 2. According to the SEM images of V_2O_5 STF annealed at different temperature, it can be found that the columnar structure become aggregation. The gap between columns in the same row is decreasing after annealing at $500^\circ C$. When the annealing temperature is up to $550^\circ C$, the intact columnar structure can not be observed and conglutination appears between columns. When the annealing temperature reaches $600^\circ C$, distinct particles on columns are observed and the single column becomes thick. When annealing temperature is up to $700^\circ C$, no column and porosity is observed and only polygonal particles disperse on the film surface. It means the sculptured thin films are completely destroyed at $700^\circ C$.

The XRD patterns of V_2O_5 STF annealed at different temperature are shown in Fig. 3. It can be found that as-deposited thin film is polycrystalline with apparent peaks in XRD pattern, which is different from other oxide STF by evaporation method¹⁸. The peaks are ascribed to V_2O_5 (JCPDS 89-0611). After annealing at hydrogen atmosphere, peaks ascribed to V_2O_5 disappear and some peaks ascribed to VO_2 (B) (JCPDS 81-2392) are observed. With the increase of annealing temperature, the peaks of VO_2 (B) are firstly enhanced at $500^\circ C$ and then disappear at $550^\circ C$. When annealing temperature is higher than $550^\circ C$, no peaks of VO_2 (B) is observed and peaks ascribed to VO_2 (M) (JCPDS 43-1051) appear. It is known that VO_2 (B) can be transformed into VO_2 (M) at high temperature²⁴. However, the transformation temperature is higher than that observed in VO_2 (B) powder at inert atmosphere²⁵. According to our previous results on VO_2 (B) prepared by hydrothermal method, lower crystallinity of VO_2 (B) needs low transformation temperature²⁵. In present paper, the higher transformation temperature of VO_2 (B) may be resulted from the different structure of thin film and

Table 1 | T_{lum} , ΔT_{lum} , T_{sol} , ΔT_{sol} , T_{2000} , ΔT_{2000} of V_2O_5 STF deposited at 85° after annealing at different temperature

	$T_{lum}[\%]$			$T_{sol}[\%]$			$T_{2000}[\%]$		
	30°C	100°C	ΔT_{lum}	30°C	100°C	ΔT_{sol}	30°C	100°C	ΔT_{2000}
400°C	57.3	59.1	-1.8	52	53.4	-1.4	63.1	62.3	0.8
500°C	40.7	40.9	-0.2	40.6	34.3	6.3	57.8	24.4	33.3
550°C	39	41.1	-2.1	41.5	35.7	5.8	61.0	26.2	34.8
600°C	21.4	25.1	-3.7	25	24.8	0.2	44.3	23.2	21.1
700°C	24.7	25.2	-0.5	26.5	27.6	-1.1	35.7	34.5	1.2

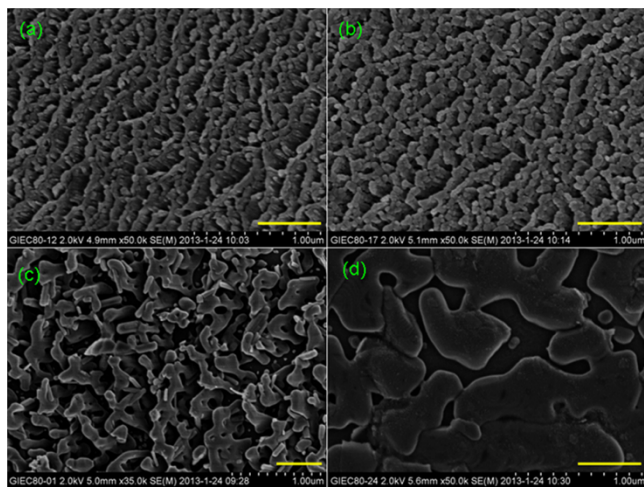


Figure 5 | SEM images of V_2O_5 sculptured thin films deposited at 85° after annealing at $550^\circ C$ for different time. (a) 1 min; (b) 3 min; (c) 5 min; (d) 8 min. The scale bar is 500 nm.

preparation process. With the increase of annealing temperature, the peaks ascribed to VO_2 (M) are enhanced. When V_2O_5 STF is annealed at $700^\circ C$, two peaks at 12.2° and 29.2° appear, which can be ascribed to NaV_6O_{15} (JCPDS 77-0146). It is inferred that sodium is from the glass substrate and high temperature is beneficial for the diffusion of Na^+ ions, which finally result in the formation of NaV_6O_{15} .

Transmission spectra of V_2O_5 STF after annealing are shown in Fig. 4. It can be found that as-deposited V_2O_5 STF show high transmission. After annealing, the transmission is reduced and the UV cut-off edge shifts to longer wavelength, which is resulted from the transformation of V_2O_5 to VO_2 . According to the high (H) and low (L) temperature transmission spectra of VO_2 STF, the MIT transition is clearly observed as a dramatic infrared-transmittance change with temperature. The change of transmittance value is compiled in Table I.

It can be found that STF annealing at $400^\circ C$ shows high T_{lum} and weak ΔT_{sol} . With the increase of annealing temperature, the T_{lum} is gradually decreasing while the ΔT_{sol} is firstly increasing and then decreasing. It is known that the VO_2 (B) with broad band gap can show relatively high visible transmittance and T_{lum} , while it cannot produce reversible phase transition below $100^\circ C$. Thus, V_2O_5 STF annealing at $400^\circ C$ mainly containing VO_2 (B) does not show apparent infrared-transmittance change (see Fig. 2). The decreasing of T_{lum} and increasing of ΔT_{sol} is resulted from the increasing content of VO_2 (M). Theoretically, the improved crystallinity and content of VO_2 (M) can result in the increase of ΔT_{sol} . However, the ΔT_{sol} is decreasing for V_2O_5 STF annealing at $600^\circ C$ and $700^\circ C$. For V_2O_5 STF annealing at $600^\circ C$, the aggregation of columns augment the distance of columns and minify the columnar angle, which result in the decreasing of columnar density and effective content of VO_2 (M) in fixed light spot size of transmittance testing. Thus, the ΔT_{sol} is still decreasing for thin films with superior crystallinity. For STF annealed at $700^\circ C$, VO_2 (M) particles are much more dispersive and the existence of NaV_6O_{15} reduces the content of VO_2 (M). Therefore, STF annealed at $700^\circ C$ show weak ΔT_{sol} . Conventionally, the transmittance change at wavelength of 2000nm is another factor to evaluate the spectral modulation ability. According to the transmittance spectra, ΔT_{2000} is also shown in Table I. The change of ΔT_{2000} is consistent with the change of ΔT_{sol} , which is further proved our above mentioned analysis. According to the optical properties and microstructure of thin films, it can be deduced that the optimum annealing temperature is about $550^\circ C$.

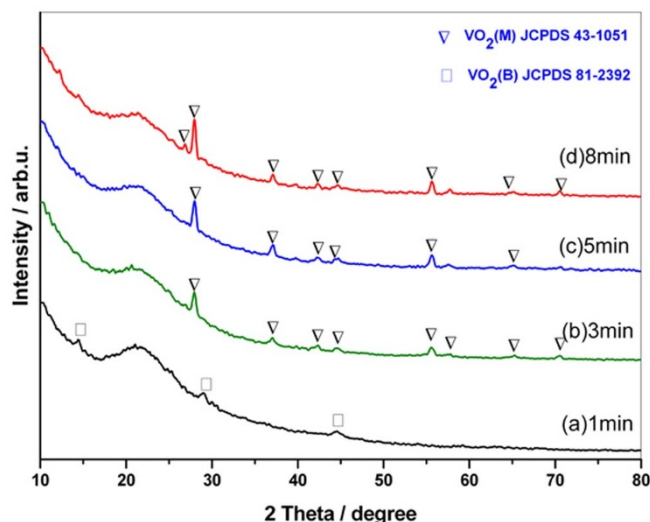


Figure 6 | XRD patterns of V_2O_5 sculptured thin films deposited at 85° after annealing at $550^\circ C$ for different time. (a) 1 min; (b) 3 min; (c) 5 min; (d) 8 min.

The effect of annealing time. SEM images of V_2O_5 STF after annealing at $550^\circ C$ for different time are shown in Fig. 5. It can be found that short time heat treatment does no effect on the morphology of thin films, which are still porous with slanted columns. With the elongation of annealing time, the particles grow up and some columns begin aggregation. When annealing time is up to 5 min, some columns begin to melt and become coarse. The pores between columns are enlarging. When annealing time reaches 8 min, no columns can be observed. Only abnormal bulk-like structures with big pores are existent on the film surface, which means that the regular porous columnar structure is completely destroyed after long time heat treatment.

The XRD patterns of V_2O_5 STF annealing at $550^\circ C$ for different time are shown in Fig. 6. It can be found that only several weak peaks appear in the XRD pattern of V_2O_5 STF annealing for 1 min, which are ascribed to VO_2 (B). When the annealing time reaches 3 min, thin films completely transform into VO_2 (M).

The transmission spectra of V_2O_5 STF annealing at $550^\circ C$ for different time are shown in Fig. 7. It can be found that the transmission is decreasing with the increase of annealing time and the UV

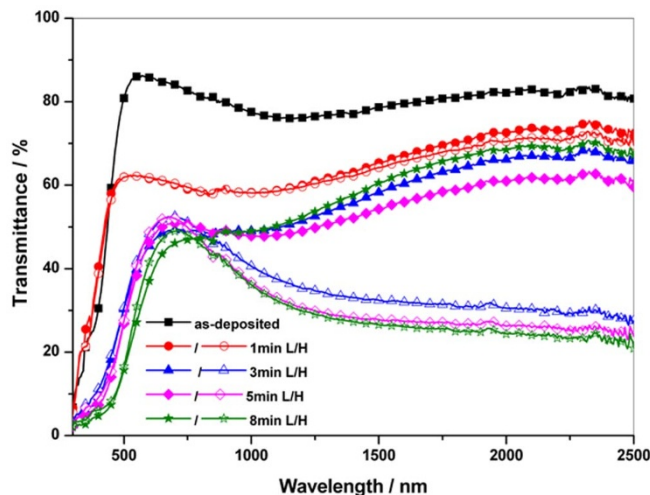


Figure 7 | Transmittance spectra of V_2O_5 sculptured thin films deposited at 85° after annealing at $550^\circ C$ for different time.


Table II | T_{lum} , ΔT_{lum} , T_{sol} , ΔT_{sol} , T_{2000} , ΔT_{2000} of V_2O_5 STF deposited at 85° after annealing at $550^\circ C$ for different time

	T_{lum} [%]		ΔT_{lum}	T_{sol} [%]		ΔT_{sol}	T_{2000} [%]		
	30°C	100°C		30°C	100°C		30°C	100°C	ΔT_{2000}
	1 min	61.8	61.9	-0.1	59	58.6	0.4	72.6	70.4
3 min	40	42.1	-2.1	42.8	38.2	4.6	66.2	30.6	35.6
5 min	39	41.1	-2.1	41.5	35.7	5.8	61.0	26.2	34.8
8 min	28.7	32.1	-3.4	38.4	31.9	6.5	68.5	24.4	44.1

cut-off edge shifts to longer wavelength, which means that the content of VO_2 (M) is increasing with annealing time. According to transmission spectra, the T_{lum} and ΔT_{sol} are compiled in Table II. The T_{lum} is decreasing with the increasing annealing time and ΔT_{sol} is increasing with annealing time, which is consistent with the result in XRD patterns (see Fig. 6). It means that long annealing time is beneficial for high crystallinity of VO_2 (M) and superior solar modulation ability. However, the columnar structure can be destroyed when annealing time is longer than 5 min. Therefore, in the following works, we choose a moderate annealing time of 3 min to investigate the microstructure and optical properties of thin films.

The effect of deposition angle. The morphology of V_2O_5 STF deposited at different oblique angles after annealing at $550^\circ C/3$ min are shown in Fig. 8. It can be found that the morphology was greatly changed after annealing. For V_2O_5 thin films deposited at 0° , the film is compact (see Fig. 1). After annealing, the films become porous with abnormal structure on the surface. While for V_2O_5 thin films deposited at 60° , the columnar structure is completely destroyed after annealing and only some belt like particles are existent on the surface. For V_2O_5 thin films deposited at 80° , the surface is like melted after annealing and the columns aggregates which make the column more inclined with higher column angle. The column angle is about 60° , but the arrangement of columns is not regular compared with as-deposited V_2O_5 STF at 80° . For V_2O_5 thin films deposited at 85° , the morphology and column structure is almost constant after annealing except for the increased column angle. It is known that large column angle can result in large anisotropy and high porosity, which can result in low refractive index and high visible transmission.

Transmittance spectra of V_2O_5 STF deposited at different oblique angles after annealing at $550^\circ C/3$ min are shown in Fig. 9. It can be

found that the transmittance is remarkably enhancing with the increase of deposition angle. It is proposed that the increase of deposition angle can increase the porosity in films and reduce the refractive index of films, which can result in the increase of transmission¹⁸. For film deposited at 85° , the ultraviolet cut-off edge of VO_2 (M) STF is even smaller than 400 nm, which means that optical band gap is increased and the refractive index is sharply reduced by deposition angle adjustment¹⁸. The optical properties of T_{lum} and ΔT_{sol} are compiled in Table III.

Discussion

It can be found that T_{lum} is increasing with deposition angle while the variation of ΔT_{sol} is not consistent with T_{lum} in Table III. Generally, the visible transmittance at $30^\circ C$ is lower than that at $100^\circ C$ (see Table I and II). However, the visible transmittance at $30^\circ C$ of 0° deposited film exceeds that at $100^\circ C$ (see Table III). Xu et al.²⁶ and Kang et al.¹² proposed that film thickness dependent interference effects can result in the reversion of ΔT_{lum} . In view of the fact that solar energy is mainly in the visible region with a peak at 550 nm, the ΔT_{lum} reversion across the MIT greatly influences ΔT_{sol} . Thus, film deposited at 0° shows larger ΔT_{sol} than that of films deposited at other deposition angle. However, the absolute value of ΔT_{lum} reversion is decreasing with the increase of deposition angle and the ΔT_{sol} rises up after a fall. Factually, the solar modulation ability (ΔT_{sol}) is related with both ΔT_{lum} and infrared modulation (ΔT_{2000}). The variation of ΔT_{2000} is resulted from the crystallinity, content and film structure of VO_2 (M). The increase of deposition angle can result in the high porosity and relative low content of VO_2 (M) as well as low infrared modulation. Thus, films deposited at 85° show high visible transmittance and relative low solar ability.

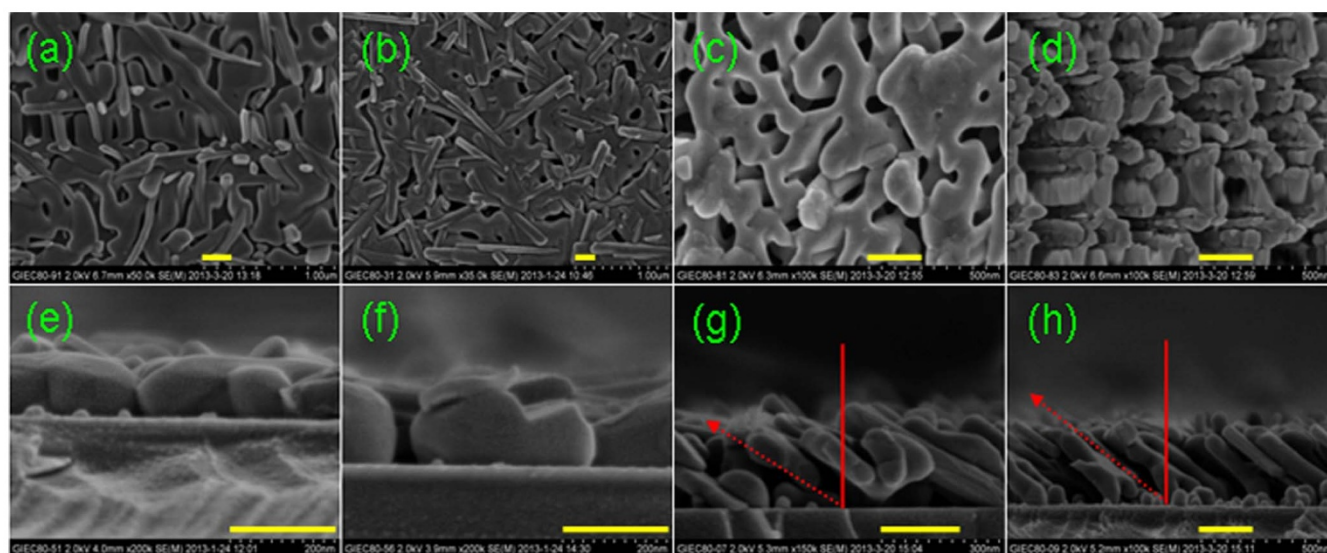


Figure 8 | SEM surface and cross-sectional images of V_2O_5 sculptured thin films deposited at different oblique angles after annealing at $550^\circ C/3$ min. (a, e) 0° ; (b, f) 60° ; (c, g) 80° ; (d, h) 85° . The scale bar is 200 nm.

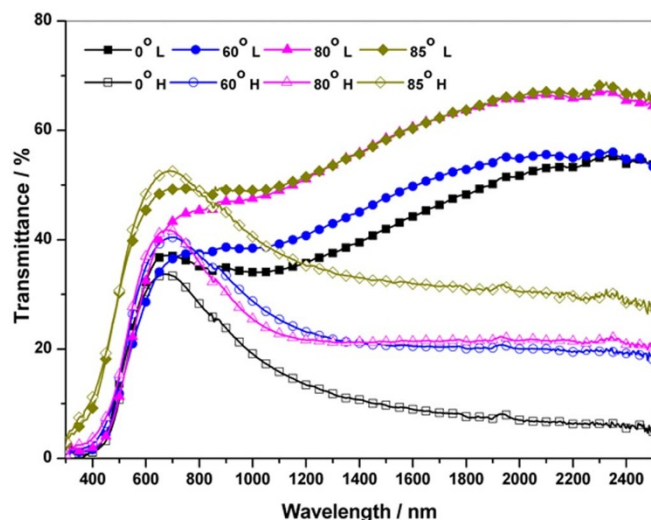


Figure 9 | Transmittance spectra of V_2O_5 sculptured thin films deposited at different oblique angles after annealing at $550^\circ\text{C}/3$ min.

To determine the phase-transition temperature of the VO_2 STF, hysteresis loops of pure and tungsten-doped VO_2 STF were measured by recording transmittances and temperatures of STF at a fixed optical wavelength (2000 nm). As seen in Fig. 10, pure VO_2 film shows hysteresis loop width of 6°C at mean transmittance, which is consistent with that of pure VO_2 film on glass¹⁰. The mean phase transition temperature (T_t) is about 54.5°C , which is much lower than that of pure VO_2 films (68°C) deposited by other methods^{10,27}. It is known that phase transition temperature can be depressed by doping, finite size and inhomogeneous strain^{28,29}. For VO_2 STF without doping, films are porous with tilted columnar structure and the residual stress is huge³⁰. It can be deduced that the depressed phase transition temperature may be resulted from inhomogeneous strain in film. After tungsten doping, VO_2 films exhibited a hysteresis loop centered at 35.8°C with a width of 9.7°C , implying a decrease in the phase transition temperature of about 17.7°C . This decreasing efficiency is less than that described in other reports^{31,32}, likely because the tungsten ions were not completely incorporated into the final VO_2 films. The width widening of the hysteresis loop can be attributed to the states of grain boundaries and defects introduced by tungsten doping. It is known that tungsten doping can result in both the decrease of temperature and infrared modulation ability. The VO_2 STF without doping can show superior infrared modulation ability and equal transition temperature compared with VO_2 thin films with doping.

Deposition antireflective layer is an important factor to improve luminous transmittance. Generally, antireflective layer with refractive index about $2 \sim 2.5$ is proposed as the superior material for common VO_2 film⁹. Considering VO_2 STF deposited by OAD technique with gradient refractive index, refractive index of antireflective layer can be lower than 2. Though the real refractive index of VO_2 STF was not tested due to the limit of instrument, silicon dioxide with refractive index about 1.46 ($\lambda = 550$ nm) was used as antireflective

layer to prove our supposition. The transmission spectra of V_2O_5 STF deposited at 0° and 85° after annealing at $550^\circ\text{C}/3$ min before and after SiO_2 antireflective layer deposition are shown in Fig. 11. It can be found that the transmission spectra are greatly changed after antireflective layer deposition with the same thickness. For films deposited at 0° , besides the increase of transmission, the position of interference peaks was not changed after SiO_2 layer deposition. While for films deposited at 85° , the position of interference peak shifts to shorter wavelength accompanying with the enhanced transmittance. The reason may be that relatively smaller equivalent thickness and the low refractive index of VO_2 films deposited at 85° show strong interferences with SiO_2 antireflective layer, which results in the blue shift of interferences peaks. The T_{lum} and ΔT_{sol} are compiled in Table IV. After SiO_2 deposition, T_{lum} of VO_2 film deposited at 0° increases 20% (32.7% to 39.5%) and ΔT_{sol} increases 15% (6.6% to 7.6%), while T_{lum} of VO_2 film deposited at 85° is enhanced 26% (36.9% to 46.6%) and ΔT_{sol} increases of 60% (4.5% to 7.2%), which means that SiO_2 antireflective layer is much more effective to improve luminous transmission and solar modulation ability of VO_2 STF than normal VO_2 films.

It is known that VO_2 STF with slanted columnar structure can show anisotropy optical properties. The transmission of STF along and perpendicular to column direction is obviously different. For convenience to prove, transmission spectra of 0° and $\pm 30^\circ$ incidence are shown in Fig. 12. T_{lum} and ΔT_{sol} according to transmission spectra are compiled in Table V. For VO_2 deposited at 0° , T_{lum} and ΔT_{sol} of $+30^\circ$ light incidence are almost the same with that of -30° light incidence, but it is much smaller than that of 0° incidence. For the homogeneous film, transmission of light oblique incidence is smaller than that of normal incidence according to interference optics. However, the result is absolutely different for anisotropic VO_2 STF. For VO_2 deposited at 85° , T_{lum} of $+30^\circ$ light incidence is smaller than that of -30° and 0° light incidence, but ΔT_{sol} is higher than that of -30° and 0° incidence. The reason may be that $+30^\circ$ light incidence is along columnar direction and the effective thickness of VO_2 (M) is larger than that of -30° and 0° light incidence. Thus, T_{lum} is relatively smaller than that of -30° and 0° light incidence and ΔT_{sol} is higher than that of -30° and 0° light incidence. For VO_2 deposited at 85° with SiO_2 antireflective layer, ΔT_{sol} of $+30^\circ$ light incidence is enhanced 20% with only 4% decrease of T_{lum} compared with normal incidence. Factually, sunlight is oblique incidence onto windows, the angle selective transmission of VO_2 STF is more beneficial for practical application.

Considering the polarization effect and anisotropy of STF films, the polarization transmittance spectra are shown in Fig. 13. For VO_2 films deposited at 0° , films are homogenous. There is no polarization effect observed when polarization light is 0° incidence just as see in Fig. 13 (a). While the transmission spectra of P-light and S-light are divided and polarization effect happens when incidence angle is 30° . For VO_2 deposited at 85° , films are anisotropy with slanted columns. The P and S lights are separated and the transmission spectra cannot be overlapped when polarization incidence is 0° (see Fig. 13 (d)), which means the optical anisotropy is observed in VO_2 STF and is consistent with the results in other oxides films^{18,23}. When incident light is oblique, the polarization effect is amplified just as see in

Table III | T_{lum} , ΔT_{lum} , T_{sol} , ΔT_{sol} , T_{2000} , ΔT_{2000} of V_2O_5 STF deposited at different angles after annealing at $550^\circ\text{C}/3$ min

Deposition angle(α)	T_{lum} [%]			T_{sol} [%]			T_{2000} [%]		
	30°C	100°C	ΔT_{lum}	30°C	100°C	ΔT_{sol}	30°C	100°C	ΔT_{2000}
0°	25.1	23.3	1.8	28.9	19	10	51.7	7.0	44.7
60°	22.1	27.3	-5.2	29.6	25.9	3.7	54.9	20.0	34.9
80°	24.1	28.8	-4.7	35.6	25.9	9.7	65.7	21.5	44.2
85°	40	42.1	-2.1	42.8	38.2	4.6	66.2	30.6	35.6

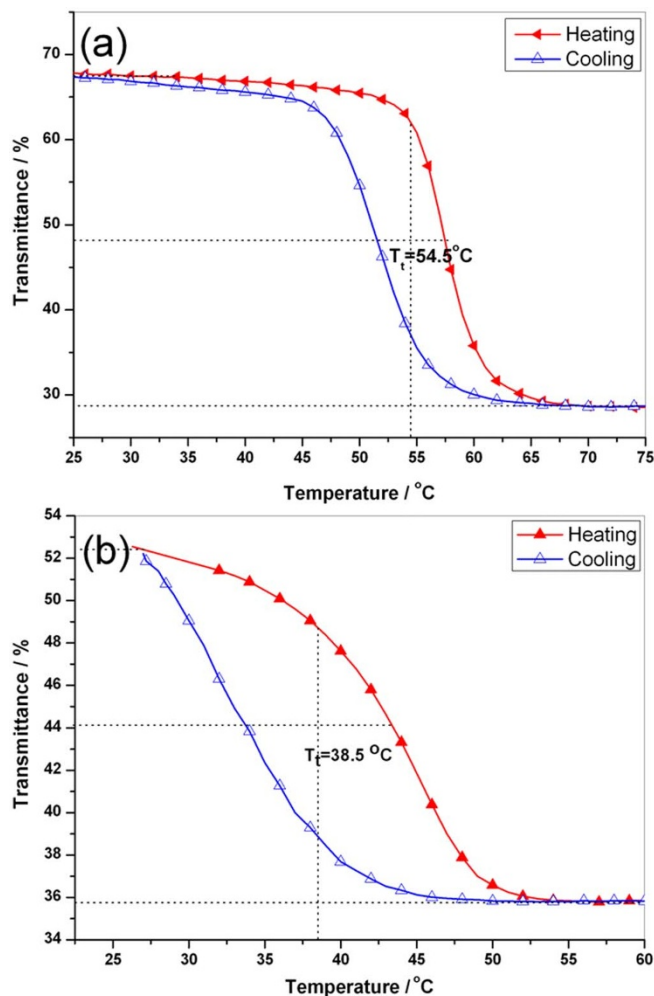


Figure 10 | Thermal hysteresis loops of V_2O_5 thin films deposited at 85° after annealing at $550^\circ C/3$ min. (a) without doping; (b) with tungsten doping.

Fig. 13 (e, f). Simultaneously, the polarization effect is more distinct when light is along the direction of columns. For VO_2 deposited at 85° with a layer of SiO_2 , the structure of films is changed and the anisotropy is reduced. Thus, the polarization effect and optical anisotropy is not apparent (see Fig. 13 (i, g, h)). Due to phase transition of VO_2 films at high and low temperature, the polarization effects need further study in future.

In conclusion, V_2O_5 STF with slanted column structure was prepared by oblique angle thermal evaporation technique. After annealing, V_2O_5 STF can be transformed into VO_2 STF with the melting and aggregation of columnar structure. VO_2 STF with slanted columnar structure can be obtained at $550^\circ C/3$ min for V_2O_5 STF deposited at 85° . Due to the porous structure and low

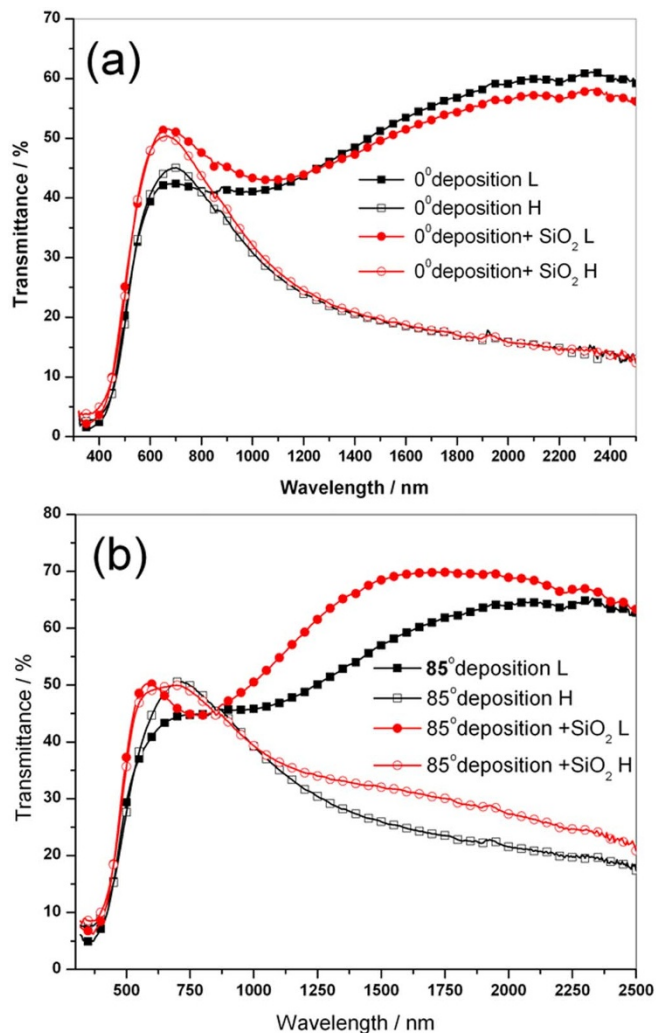


Figure 11 | Transmittance spectra of V_2O_5 STF deposited at 0° and 85° after annealing at $550^\circ C/3$ min before and after SiO_2 deposition.

refractive index, SiO_2 can be used as effective antireflective layer to improve luminous transmittance and solar modulation ability. After SiO_2 deposition, T_{lum} of VO_2 films deposited at 85° is enhanced 26% (36.9% to 46.6%) and ΔT_{sol} increases 60% (4.5% to 7.2%). Due to the anisotropic column structure, angle selective transmittance is observed for VO_2 (M) STF. The solar modulation ability is further improved for light along the columnar direction especially for VO_2 (M) STF with SiO_2 antireflective layer (7.2% to 8.7%). Moreover, the phase transition temperature of VO_2 STF is greatly depressed into $54.5^\circ C$ without doping. Considering the oblique incidence of sunlight on windows, VO_2 (M) STF is more suitable as smart window compared with normal homogeneous VO_2 (M) films.

Table IV | T_{lum} , ΔT_{lum} , T_{sol} , ΔT_{sol} , T_{2000} , ΔT_{2000} of V_2O_5 STF deposited at 0° and 85° after annealing at $550^\circ C/3$ min before and after SiO_2 deposition

	T_{lum} [%]			T_{sol} [%]			T_{2000} [%]		
	30°C	100°C	ΔT_{lum}	30°C	100°C	ΔT_{sol}	30°C	100°C	ΔT_{2000}
$0^\circ VO_2$	32.7	33.1	-0.4	35.2	28.6	6.6	59.1	15.9	43.2
$0^\circ VO_2 + SiO_2$	39.5	39.5	0	39.3	31.7	7.6	56.4	15.8	40.6
$85^\circ VO_2$	36.9	38.6	-1.7	39.7	35.2	4.5	63.9	21.5	42.4
$85^\circ VO_2 + SiO_2$	46.6	45.3	1.3	45.1	37.9	7.2	68.9	27.3	41.6

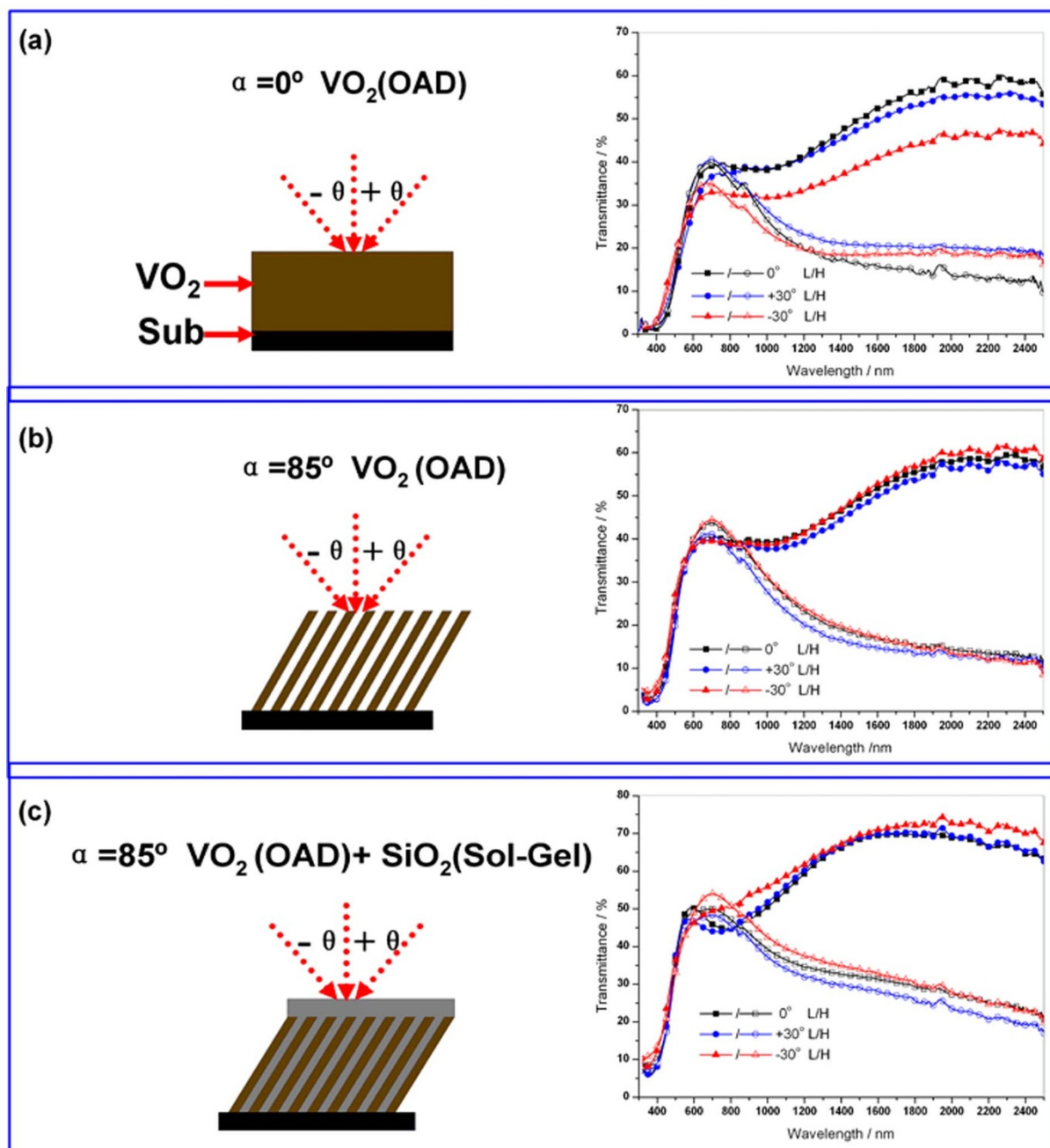


Figure 12 | Transmittance spectra of V_2O_5 STF deposited at 0° and 85° after annealing at $550^\circ\text{C}/3$ min with testing light incidence angle of 0° and $\pm 30^\circ$. (a) VO_2 deposited at 0° ; (b) VO_2 deposited at 85° ; (c) VO_2 deposited at 85° with a layer of SiO_2 .

Table V | T_{lum} , ΔT_{lum} , T_{sol} , ΔT_{sol} , T_{2000} , ΔT_{2000} of V_2O_5 STF deposited at 0° and 85° after annealing at $550^\circ\text{C}/3$ min with 0° and $\pm 30^\circ$ incidence testing

Deposition angle (α)	Testing angle (θ)	T_{lum} [%]		ΔT_{lum}	T_{sol} [%]		ΔT_{sol}
		30°C	100°C		30°C	100°C	
0°	0°	24.7	27.5	-2.7	31.3	24.4	6.9
	$+30^\circ$	22.1	27.3	-5.2	30	25.9	4.1
	-30°	25.1	28	-2.9	27.7	23.3	4.4
85°	0°	33.9	33.6	0.3	34.8	28.4	6.4
	$+30^\circ$	32.2	32.5	-0.3	33.3	26.3	7.0
	-30°	34.5	34.3	0.2	35.1	29.9	6.1
$85^\circ + SiO_2$	0°	46.6	45.3	1.3	45.1	37.9	7.2
	$+30^\circ$	44.6	43	1.3	44.7	35.9	8.7
	-30°	42.8	43.2	-0.4	47.3	39.7	7.6

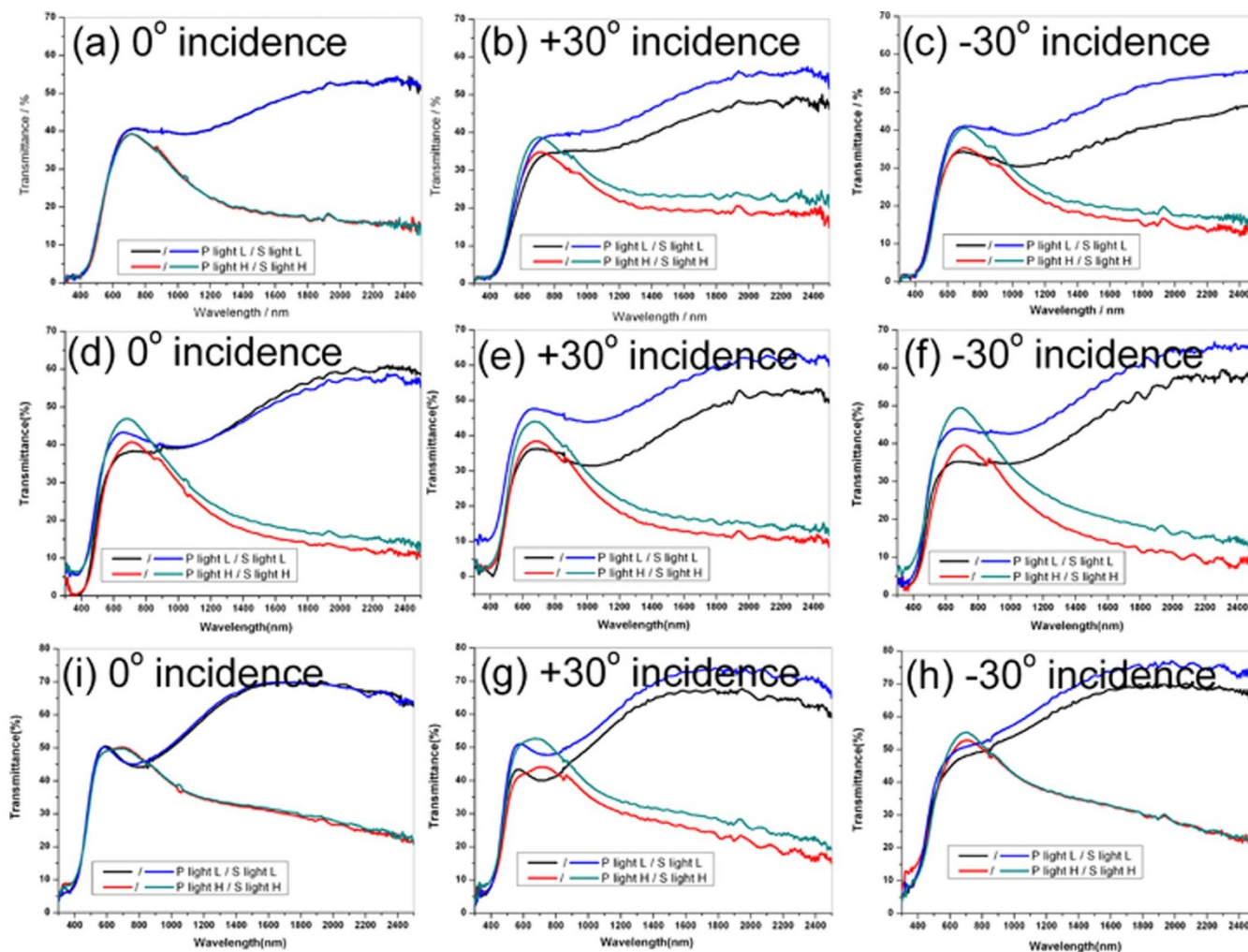


Figure 13 | Polarization transmittance spectra of VO_2 STF deposited at 0° and 85° after annealing at $550^\circ\text{C}/3$ min with testing light incidence angle of 0° and $\pm 30^\circ$. (a, b, c) VO_2 deposited at 0° ; (d, e, f) VO_2 deposited at 85° ; (i, g, h) VO_2 deposited at 85° with a layer of SiO_2 .

Methods

Preparation. VO_2 sculptured thin films were deposited by thermal evaporation at base pressure of 5×10^{-3} Pa with OAD technique. BK7 glass was ultrasonically cleaned in acetone and ethanol before introducing into the vacuum system. VO_2 powder (purity: 99.5%) were evaporated from a tungsten boat located 20 cm from the substrate. The deposition equipment was similar to our previous work¹⁸. The substrate tilted angle α was measured as the direction of the incident flux with respect to the substrate normal. In our experiment, the deposition angle α was fixed to be 0° , 60° , 80° , 85° without substrate rotation. During deposition, substrate was kept at room temperature. After deposition, VO_2 STF was annealed in an infrared lamp heating system (Mila-5000, ULVAC) with heating rate about $50^\circ\text{C}/\text{s}$ in hydrogen atmosphere. For antireflective layer deposition, SiO_2 sol was spinning on the surface of VO_2 films at speed of 2000 rpm.

Characterization. The X-ray diffraction (XRD) patterns were obtained on X'Pert Pro MPD diffractometer, using $\text{Cu K}\alpha$ radiation at a scan rate of $0.02^\circ \cdot 20^\circ\text{S}^{-1}$. A Hitachi S-4800 scanning electron microscope (SEM) equipped with energy dispersive spectrum (EDS, HORIBA EX-250) was used to acquire SEM images. The thermochromic property was monitored on a PerkinElmer Lambda 750 spectrophotometer equipped with a film heating unit in the wavelength range of 300–2500 nm. Temperature was measured by a temperature sensor in contact with the films and was controlled by a temperature controlling unit. The temperature errors were less than $\pm 2^\circ\text{C}$. Transmittance spectra before and after phase transition were recorded at low (L) temperature of 30°C and high (H) temperature of 100°C . For the polarization measurement, the polarizer was introduced into the light path. The incident light was two-orthogonal polarization light named as P-light and S-light. The incident light was parallel to the deposition plane.

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Author contributions

X.D.X. proposed and guided the overall project. Y.M.S., G.X., G.P.D., G.Q.C., H.Z., P.Y.L., H.M.Z. and Y.J.Z. performed all the experiments and analyzed the results. All the authors discussed the results. X.D.X. and Y.M.S. wrote the manuscript, with discussion from G.X.

Additional information

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