

Delivering alcohol screening and alcohol brief interventions within general dental practice: rationale and overview of the evidence

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IN BRIEF

- Alcohol consumption is a major risk factor associated with harm to health.
- Oral cancer constitutes the condition that might most reasonably link the provision of alcohol-related advice with dentistry.
- Dental primary care is potentially an ideal environment to engage in discussions about alcohol consumption.
- Further research is needed to help develop a consistent approach to delivering alcohol advice in dentistry across the UK.

Alcohol consumption and affordability in the UK has increased over the last 50 years and is associated with a range of adverse oral health outcomes, the most serious of which, oral cancer, is also increasing in incidence. Despite this, routine screening and intervention relating to alcohol consumption within general dental practice remains uncommon. This review of the literature describes the background and outlines the evidence base for undertaking alcohol screening and delivering brief interventions in general dental practice. Consideration will be given to the rationale for, and range of issues related to, introducing this into general dental practice.

INTRODUCTION

Consumption of alcohol in the UK has almost doubled since 1950, with the rate of increase proliferating in the early 1990s.¹ Alcohol is also now 70% more affordable than it was in 1980.² Parallel to this rise in consumption and affordability has been an increase in those drinking at harmful levels. There has been a similar concomitant increase in the mortality rates from liver cirrhosis such that Scotland now has one of the highest rates of liver cirrhosis mortality in Western Europe at a time when the rates in many other European countries are decreasing.³ Recent Scottish data suggest that 44% of men and 34% of women are drinking above the recommended daily guidelines (3–4 units for men, 2–3 units for women) on their heaviest drinking day in the

past week⁴ and that one in 20 deaths is attributable to alcohol.⁵

Alcohol consumption, alongside smoking, increases the risk of developing oral cancer^{6–8} and periodontal disease.^{9,10} Oral cancer is undoubtedly the most serious oral health disease associated with alcohol. The incidence of oral cancer in the UK is increasing, with oral cancer in males now more common than cervical cancer in females; indeed, no other cancer has shown such a significant increase in incidence.¹¹ Despite improvements in the management and treatment outcomes for many other cancers, the long-term survival rates for oral cancer remain poor. While oral cancer is traditionally associated with older age groups, the recent increases in incidence are also significant across younger age groups and in both sexes.¹² The incidence of oral cancer is also strongly related to social and economic deprivation,¹³ particularly for men, and this widening inequalities gap makes it all the more important that all avenues to address possible risk factors are explored. The recent increases in incidence have also been linked to the increases in alcohol consumption over the last 50 years, with one study confirming an increased likelihood of developing oral cancer for those drinking in excess of 20 units per week.⁶

Alcohol alone is associated with a range of other adverse oral health outcomes such as dental trauma, facial injury¹⁴ and

non-carious tooth surface loss.¹⁵ Oral disease can also be a sign of poorer general health and wellbeing. In addition, excessive alcohol consumption causes a variety of medical problems, most notably liver disease, which can affect safe dental treatment and prescribing. Knowledge of a patient's alcohol status is therefore of direct relevance to the general dental practitioner (GDP). Despite this, routine screening and intervention relating to alcohol consumption within general dental practice is uncommon.^{16–20}

POLICY CONTEXT

The British Dental Association Oral Health Inequalities Policy²¹ states that improving oral health should be part of the government's wider public health strategy across the UK, as many of the key factors for poor oral health are key risk factors for other conditions. One of these key factors is alcohol. The policy highlights that dentists and the dental team are ideally placed to provide preventive advice and health promotion messages to patients, as long as they are properly resourced to do so. Working collaboratively with other health and social care professionals on inequalities, dentists may go some way towards tackling not just oral health inequalities, but health inequalities in general.

The Department of Health formally recognised the widening of public health

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measures for dentists as a key strategic target in 2000;²² this included tobacco and alcohol misuse. In addition, the role of dental practitioners in identifying and addressing alcohol problems within their patient populations was proposed by the Scottish Executive in 2002.²³ A national clinical guideline²⁴ was then published (SIGN 74: The management of harmful drinking and alcohol dependence in primary care) which suggested that all healthcare professionals have a role to play in identifying harmful and hazardous drinkers. It also recommended the delivery of alcohol brief interventions (ABIs) for harmful and hazardous drinkers in primary care more generally. However, limited implementation of the national guideline²⁵ and continuing increases in alcohol related harm in Scotland¹ prompted the Scottish Government (SG) to create a set of targets for the delivery of ABIs (149,449 in the priority settings of primary care, emergency medicine and maternity services by 2011) supported by a substantial increase in funding for alcohol treatment and support services.

Using ABIs alone to reduce population alcohol consumption and health inequalities would have only limited effects. An effective national strategy that can facilitate such action needs to offer a multi-dimensional approach: building healthy public policy, creating supportive environments, strengthening community action, developing personal skills and re-orienting health services, as outlined in the five principles of the Ottawa Charter for Health Promotion.²⁶ Indeed, adoption of such an approach has proved hugely successful in tackling tobacco use in the UK. A similar national strategy to tackle excessive alcohol consumption is already being implemented in Scotland²⁷ and has been proposed elsewhere in the UK.²⁸

SCREENING

Evidence shows that the detection of alcohol-related problems and subsequent treatment is facilitated by use of appropriate screening tools.²⁹ Despite this, few general dental practices in Scotland are systematically using a validated alcohol screening tool and there is no formally recognised screening tool specifically designed for use within general dental practice. The Alcohol Use Disorders

Identification Test (AUDIT), a ten-item screening tool with high reliability, sensitivity and specificity,^{30,31} has been validated as an accurate and reliable screening questionnaire for alcohol misuse detection in primary care.³² It has also been established that general dental practice is a similarly suitable primary care environment.³³ Research in Scotland using the AUDIT screening tool reported 31% of dental patients drinking at hazardous, harmful or dependent levels,^{34,35} above the estimated 25% expected to screen positive in the primary medical care environment.³⁶ An abbreviated version of AUDIT, the three-item 'AUDIT-C', was used in an American study, which estimated that 25% of patients attending dental practice were drinking at hazardous levels.¹⁸

The Scottish Dental Clinical Effectiveness Programme (SDCEP) is developing guidance³⁷ that emphasises the need to collect better social history data (including alcohol consumption), which can help inform the dentist as to which information to give on health benefits, as well as highlighting risk factors for oral disease. As part of this guidance the SDCEP recommends, at a basic level, asking each patient about their alcohol consumption and mentions use of validated alcohol screening tools (SDCEP, emailed personal communication, 28 October 2010).

ALCOHOL BRIEF INTERVENTIONS (ABIs)

An alcohol brief intervention is a short, evidence-based, structured conversation about alcohol consumption that seeks in a non-confrontational way to motivate and support an individual to think about and/or plan changes in their drinking behaviour in order to reduce their consumption and/or their risk of harm.²⁴ ABIs offer more than simply giving advice. They typically use specific techniques for helping people to change their behaviour. Generally these involve motivational interviewing approaches and FRAMES (Feedback, Responsibility, Advice, Menu, Empathic, Self-efficacy) for the delivery of an effective alcohol brief intervention.^{24,29} ABIs can take as little as 5-10 minutes to deliver and there is no strong evidence to suggest that multiple sessions or even follow-up sessions

to discuss alcohol consumption are more effective in reducing consumption than single sessions.³²

A substantial body of research supports the conclusion that ABIs are effective in reducing alcohol consumption among hazardous and harmful drinkers. Indeed, a WHO review of 32 alcohol strategies and interventions found them to be among the most effective alcohol policies.³⁸ The majority of this evidence base has been derived from studies conducted in the primary care setting within general medical practice and, to a lesser extent, Accident & Emergency settings. There is no evidence of ABIs' effectiveness in reducing alcohol consumption among those who are alcohol dependent^{24,29} and this would remain the reserve of specialist alcohol services.

Evidence supporting the effectiveness of ABIs in general dental practice is currently limited in comparison to other primary care medical services.³⁹ However, recent research highlighting its potential in the primary care dental environment is beginning to emerge,^{17,19,34-36} with NICE public health guidance⁴⁰ on preventing harmful drinking recommending dental surgeries as an appropriate setting for 'brief advice' about alcohol.

Moreover, there is significant plausible theory as to why ABIs should be delivered in this setting. With reference to ethical principles,⁴¹ ABIs can improve not only oral health, but also health more generally, they are equitable in that every dental patient who attends can be screened and offered an ABI, and they are sustainable because of their quick delivery and low implementation costs after initial training is completed. They also have the potential to impact on health inequalities, with recent figures showing that 65% of adults in Scotland are currently registered with a dentist,⁴² and other estimates showing that almost 80% of adults have had access to NHS general dental services over a six-year period.⁴³ Therefore ABIs delivered in dental practice can potentially impact not only on inequalities in oral health, but also more broadly on excessive alcohol consumption and the plethora of problems associated with it.

Currently, the involvement of GPs in non-dental health promotion and preventative medicine is growing and there is willingness by dentists to increase their

participation in this area²⁰ and to develop appropriate counselling skills.⁴⁴ Previous research⁴⁵ reported a sizable majority (86%) of Scottish dentists having already expanded their remit to include advice to patients on smoking cessation, with over half seeing a specific role for dentists in counselling patients to stop. Although there are some barriers to carrying out such interventions in general dental practice, dentists consider smoking cessation advice to be within their remit, as do the majority of their patients.^{45,46}

The frequency and length of a typical dental appointment puts the dental team in a potentially ideal position to identify harmful/hazardous drinkers opportunistically and promote and/or deliver appropriate interventions.¹⁷ Dentists are familiar with early detection of oral cancer in practice, with visual screening of the oral mucosa (and onward referral) a routine procedure for the majority.^{47,48} A study in England also reported that dentists viewed that providing alcohol consumption advice would be of relevance to their practice.²⁰

BARRIERS

Despite an acceptance by GDPs that provision of alcohol advice is beneficial, relevant and useful to their role, adoption into practice remains limited.¹⁷ In common with other healthcare professionals,^{49,50} some dentists have reported a reluctance and unwillingness to discuss alcohol consumption with their patients and provide advice on alcohol moderation,^{16,17,19,35,47,51} with GDPs less likely to provide advice than specialists.⁴²

They report a range of barriers^{16–20,35,51} to routinely discussing alcohol with their patients. These range from lack of time to lack of funding and also a lack of sufficient training; these are not insignificant, as the way in which general dental practice is funded in Scotland does not emphasise preventive activities, which are not remunerated. They also highlight a lack of confidence, embarrassment and a fear of offending patients when discussing alcohol with their patients. Patients, however, have indicated that they are supportive of their dentist asking about their alcohol consumption and, where appropriate, advising them to cut down.^{19,34,35}

Some of the issues identified as barriers for alcohol advice mirror those mentioned

previously for tobacco smoking. This reluctance was in part overcome by a focus towards prevention of oral disease at both undergraduate and postgraduate level that is now more anchored in the dental curriculum than in previous years. Since the oral side effects of alcohol excess are largely linked with tobacco use, one way of overcoming a potential barrier towards alcohol advice is to link it with that of tobacco (where relevant).

Equipping young dentists properly with the skills to raise and deal with alcohol issues will address any role legitimacy and role adequacy issues they may have in relation to providing alcohol advice. Postgraduate education will also be needed to equip those dentists for whom this was not part of their undergraduate education and also to build on any existing training.

Funding issues may remain a problem, and in Scotland where dentists are paid on a fee-per-item scale we would recommend the addition of alcohol screening and ABIs to the fee scale. This may encourage some dentists to provide these services. However, financial incentives alone may not be sufficient to change professional practice.⁵² Time barriers are much more difficult to overcome; however, an investment of a few minutes in a one-off opportunistic intervention has huge cost/efficiency savings in the long term. It is also worth highlighting that the process of screening for alcohol misuse alone can have an effect and that this can take as little as 20 seconds.^{53,54} The value of screening as a brief intervention in its own right merits further exploration in terms of its applicability to general dental practice. The use of dental care professionals (DCPs) has also been suggested as a potential way to deliver health interventions in this setting and this may be more cost-effective than using dentists to provide this service.²⁰

The potential of screening and ABIs within general dental practice opens up numerous avenues for future research. There is now very good evidence to support the efficacy of ABIs in various healthcare settings; however, there is a need to develop a screening and ABI specifically for use in general dental practice and to evaluate its feasibility, process and implementation as well as its effectiveness. In addition, elicitation studies have suggested that a key barrier to GDPs' involvement

in the provision of ABIs is their perception that patients will not accept it as their role.¹⁹ Further clarification of Miller's work¹⁸ on alcohol consumption levels of dental patients and barriers to implementation of screening and ABI among GDPs may allay many of those anxieties.

CONCLUSIONS

Alcohol is a key risk factor for oral cancer and is associated with poor oral health in general. General dental practices are attended by the majority of the adult population over time, therefore dental care settings offer significant potential as a setting where harmful and hazardous drinkers can be identified and offered an ABI.

The limited research to date has identified similar proportions of harmful and hazardous drinkers attending both general dental practice and general medical practice. There is a reported willingness from the dental workforce to become more involved in health promotion and prevention programmes, and similar support emerging from investigating patient views.

Barriers in relation to time, funding, training and attitude do exist and must be addressed before screening and intervention programmes for alcohol can be implemented. The success in using dental professionals to identify smokers and provide cessation advice/signposting is a positive example of how these barriers can be overcome and used to the advantage of public health. Therefore ABIs in general dental practice, delivered as part of a multi-dimensional approach to tackling excessive alcohol consumption, may offer significant potential to improve the oral and general health of the population.

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