# SCIENTIFIC REPORTS

### OPEN

Received: 23 November 2016 Accepted: 17 July 2017 Published online: 17 August 2017

## Intradialytic Central Venous Oxygen Saturation is Associated with Clinical Outcomes in Hemodialysis Patients

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Central venous oxygen saturation  $(ScvO_2)$  in the superior vena cava is predominantly determined by cardiac output, arterial oxygen content, and oxygen consumption by the upper body. While abnormal  $ScvO_2$  levels are associated with morbidity and mortality in non-uremic populations,  $ScvO_2$  has received little attention in hemodialysis patients. From 1/2012 to 8/2015, 232 chronic hemodialysis patients with central venous catheters as vascular access had their  $ScvO_2$  monitored during a 6-month baseline period and followed for up to 36 months. Patients were stratified into upper and lower two tertiles by a  $ScvO_2$  of 61.1%. Survival analysis employed Kaplan-Meier curves and adjusted Cox proportional hazards models. Patients in the lower tertiles of  $ScvO_2$  were older, had longer hemodialysis vintage, lower systolic blood pressure, lower ultrafiltration rates, higher leukocyte counts and neutrophilto-lymphocyte ratios. Kaplan-Meier analysis indicated a shorter survival time in the lower tertiles of  $ScvO_2$  (P = 0.005, log-rank test). In adjusted Cox analysis, a 1 percent point decrease in mean  $ScvO_2$  was associated with a 4% increase in mortality (HR 1.04 [95% CI 1.01–1.08], P = 0.044), indicating that low  $ScvO_2$  is associated with poor outcomes. Research on the relative contributions of cardiac output and other factors is warranted to further elucidate the pathophysiology underlying this novel finding.

The mortality rate of hemodialysis (HD) patients is elevated compared to the normal population<sup>1</sup>. The primary cause of mortality is cardiovascular disease (CVD), and there is evidence that the mechanism for CVD in HD patients differ from the traditional CVD risk factors in the general population<sup>2, 3</sup>. High ultrafiltration rates (UFR), episodes of intradialytic hypotension, presence of congestive heart failure (CHF) and left ventricular hypertro-phy (LVH) are some of the factors that have been associated with increased mortality<sup>4, 5</sup>. Additionally nocturnal hypoxemia in HD patients has been demonstrated to be associated with worse cardiovascular outcomes<sup>6, 7</sup>.

Mixed venous oxygen saturation (SmvO<sub>2</sub>) and central venous oxygen saturation (ScvO<sub>2</sub>) have been used in critical care to guide fluid resuscitation<sup>8</sup>. SmvO<sub>2</sub> is the oxygen saturation in the pulmonary artery, which receives blood from the superior vena cava, the inferior vena cava, and the coronary sinus, and therefore reflects – in the absence of arterial venous shunts – the aggregated effects of oxygen delivery to and utilization by the entire body. ScvO<sub>2</sub> from upper body central venous catheters (CVC) is the oxygen saturation of blood in the superior vena cava, which reflects the aggregate of oxygen delivery to and utilization by the entire SmvO<sub>2</sub> and ScvO<sub>2</sub> differ due to the higher oxygen extraction in the upper body, the time trends of SmvO<sub>2</sub> and ScvO<sub>2</sub> are comparable under most circumstances<sup>9-11</sup>. While the measurement of SmvO<sub>2</sub> requires pulmonary artery catheterization, ScvO<sub>2</sub> can be more easily obtained from a CVC.

 $ScvO_2$  is determined by oxygen delivery to and oxygen consumption of the arms, head, and upper portion of the torso; the former depends on the arterial blood oxygen content and the cardiac output (CO). At rest with stable arterial oxygen saturation (SaO<sub>2</sub>), hemoglobin, and tissue oxygen consumption,  $ScvO_2$  can serve as a surrogate of CO. Poor oxygen delivery can be caused by decreased CO, e.g. from CHF or reduced cardiac preload, or decreased arterial oxygen content, e.g. due to anemia or hypoxemic states. Oxygen consumption is determined by metabolic status and is altered in sepsis, fever, exercise and sedation<sup>12</sup>.  $ScvO_2$  in the general population is poorly

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defined, as obtaining this measurement requires a CVC, and patients who require CVC placement are generally significantly ill. One study in healthy subjects found a  $\text{ScvO}_2$  of 76.8 ± 5.2% during cardiac catheterization<sup>13</sup>.

Studies in non-uremic populations, have found that abnormal  $ScvO_2$  levels are associated with worse morbidity and mortality<sup>8, 14–18</sup>.  $ScvO_2$  levels in HD patients have not been well described. In patients who have ESRD with CVC as vascular access,  $ScvO_2$  can be easily and continuously obtained during HD treatments by using the Crit-Line monitor<sup>TM</sup> (CLM). The CLM is used routinely in Renal Research Institute HD units, which allowed us to investigate the  $ScvO_2$  in maintenance HD patients. The goals of our study were to evaluate the baseline characteristics of patients with different levels of intradialytic  $ScvO_2$  and to examine the associations between  $ScvO_2$  and mortality.

#### Results

**Baseline patient characteristics.** The final analytical cohort comprised of 232 patients with 6,042 HD treatments and was derived after a deliberate step-by-step data cleaning process at the treatment level. Patients were only excluded in the event that they did not contribute sufficient data during baseline, either because of end of study, death, treatment modality change, recovery of renal function, or transfer to another dialysis facility (Fig. 1).

The initial population comprised of 579 patients with CVC as dialysis access, with a total of 15,792 HD treatments with  $ScvO_2$  measurements from January 1, 2012 until August 31, 2015. We excluded 3,650 treatments (23%) as they had a mean  $ScvO_2$  of greater than 85% and 25 treatments (0.16%) as they had a mean  $ScvO_2$  of less than or equal to 25%. We also excluded 4,185 treatments (26.5%) that occurred after the 6-month baseline period. This left us with 579 patients and 7,937 HD sessions, from which we excluded 347 patients with 1,895 HD treatments from the subsequent analysis because they had less than the required 10 HD treatments with  $ScvO_2$ recordings and/or less than 6 months of follow up (Fig. 1). Out of the 155 patients excluded for not having 6 months of follow up time, 79 were due to death.

In our study population, the mean age was  $62.7 \pm 15.7$  years, dialysis vintage was  $2.9 \pm 4.6$  years, 56% were white, 48.3% were male, 59% had diabetes mellitus (DM), 22% had CHF, and 10.3% had chronic obstructive pulmonary disease (COPD) (Table 1). Median follow-up time was 431 days.

During baseline,  $\text{ScvO}_2$  was recorded in  $26 \pm 13.3$  HD treatments per patient. On a population level the  $\text{ScvO}_2$  was normally distributed with a mean of  $58.7 \pm 7.3\%$ . Analysis of intradialytic  $\text{ScvO}_2$  dynamics across all patients indicated that on average  $\text{ScvO}_2$  slightly increased over the first 60 minutes of treatment, and then progressively declined below starting levels towards the end of HD (Fig. 2).

**ScvO<sub>2</sub> as a dichotomous outcomes.** Comparison of baseline characteristics between upper and lower tertiles. Patients were stratified into upper tertile (N = 78) and lower two tertiles (N = 154); a mean ScvO<sub>2</sub> level below 61.1% during baseline period separated the two groups. A comparison of baseline characteristics between upper and lower tertiles is presented in Table 1. The patients in the lower tertiles were older (66.0 ± 13.8 years vs 56.2 ± 17.3 years, P < 0.001), had longer dialysis vintage ( $3.3 \pm 5.1$  years vs  $2.0 \pm 3.6$  years, P = 0.031),

Variables	All patients Mean ± SD	Lower Two Tertiles Mean $\pm$ SD	Upper Tertile Mean ± SD	Group Difference Mean (95% CI)	P- value					
Patients [N]	232	154	78							
Number of eligible HD treatments during baseline [per patient]	$26.1 \pm 13.3$	$26.1 \pm 13.1$	26.1±13.8	0.0 (-3.8 to 3.6)	0.953 <sup>a</sup>					
Demographics										
Age [years]	$62.7\pm15.7$	$66.0 \pm 13.8$	$56.2 \pm 17.3$	9.8 (5.3 to 14.2)	0.001 <sup>a</sup>					
Race [% white]	56.0	53.9	60.3	-6.4	0.357 <sup>b</sup>					
Gender [% male]	48.3	48.1	48.7	-0.6	0.924 <sup>b</sup>					
Vintage [years]	$2.9\pm4.6$	$3.3 \pm 5.1$	$2.0\pm3.6$	1.3	0.0136 <sup>c</sup>					
BMI [kg/m <sup>2</sup> ]	$28.1\pm 6.9$	$28.6 \pm 7.0$	$27.3 \pm 6.5$	1.2 (-0.7 to 3.2)	0.207 <sup>a</sup>					
ScvO <sub>2</sub> saturation [%]										
Mean ScvO <sub>2</sub>	$58.7\pm7.3$	$54.9\pm5.3$	$66.3 \pm 4.2$	-11.4 (-12.6 to -10.1)	n.a.					
Median ScvO <sub>2</sub>	$59.1\pm7.3$	$55.2 \pm 5.3$	$66.6 \pm 4.2$	-11.4 (-12.7 to -10.1)	n.a.					
Minimum ScvO <sub>2</sub>	$48.4 \pm 9.7$	$44.4 \pm 8.9$	$56.3\pm5.7$	-11.9 (-13.8 to -10.0)	n.a.					
Maximum ScvO <sub>2</sub>	$65.2\pm6.2$	$62.0 \pm 4.6$	$71.5 \pm 3.6$	-9.5 (-10.6 to -8.4)	n.a.					
SD ScvO <sub>2</sub>	$3.4 \pm 1.1$	$3.6 \pm 1.1$	$2.9\pm0.8$	0.7 (0.4 to 0.9)	<0.001 <sup>a</sup>					
Start ScvO <sub>2</sub>	$59.1\pm7.4$	$55.4 \pm 5.6$	$66.5 \pm 4.4$	-11.1 (-12.4 to -9.8)	n.a.					
End ScvO <sub>2</sub>	$57.3\pm7.8$	$53.5 \pm 6.2$	$64.8 \pm 4.8$	-11.3 (-12.7 to -9.8)	n.a.					
End – Start ScvO <sub>2</sub>	$-1.8 \pm 3.6$	$-1.9\pm3.7$	$-1.7\pm3.5$	-0.2 (-1.2 to 0.8)	0.62					
Comorbidities [%]										
Diabetes	59.0	60.4	56.4	4.0	0.560 <sup>b</sup>					
CHF	22.0	21.4	23.1	-1.7	0.775 <sup>b</sup>					
COPD	10.3	11.0	9.0	2.0	0.626 <sup>b</sup>					
Treatment parameters										
Pre-dialysis SBP [mmHg]	$146.4 \pm 22.0$	$143.7 \pm 22.9$	$151.7 \pm 19.1$	-8.0 (-14.0 to -2.1)	0.009 <sup>a</sup>					
Post-dialysis SBP [mmHg]	$140.3\pm20.1$	$137.8 \pm 20.5$	$145.5\pm18.2$	-7.6 (-13.0 to -2.2)	0.006 <sup>a</sup>					
Peridialytic SBP change [mmHg]	$-6.1 \pm 11.9$	$-6.0 \pm 11.7$	$-6.4 \pm 12.4$	0.4 (-2.9 to 3.7)	0.820 <sup>a</sup>					
IDWG [kg]	$2.0\pm0.8$	$1.9 \pm 0.8$	$2.1\pm0.8$	-0.12 (-0.3 to 0.1)	0.249 <sup>a</sup>					
IDWG relative to post-dialysis weight [%]	$2.6\pm0.9$	$2.5 \pm 0.9$	$2.8\pm1.0$	-0.3 (-0.6 to -0.1)	0.007 <sup>a</sup>					
UFV [L]	$1.9\pm0.8$	$1.9 \pm 0.79$	$2.0\pm0.8$	-0.1 (-0.4 to 0.1)	0.173 <sup>a</sup>					
Normalized UFV [mL/kg]	$25.3\pm9.7$	$24 \pm 8.9$	$28\pm10.7$	-4 (-6.6 to -1.4)	0.003 <sup>a</sup>					
Post-dialysis weight [kg]	$77.4\pm20.4$	$79.0 \pm 21.4$	$74.3 \pm 18.1$	4.6 (-0.9 to 10.2)	0.102 <sup>a</sup>					
Treatment time [minutes]	$219.0\pm23$	$217.7 \pm 23.8$	$221.5 \pm 21.1$	-3.7 (-10.1 to 2.5)	0.235ª					
Equilibrated Kt/V	$1.5\pm0.3$	$1.5 \pm 0.3$	$1.5\pm0.2$	0.0 (-0.1 to 0.1)	0.610 <sup>a</sup>					
Laboratory parameters										
Hgb [g/dL]	$10.6\pm0.9$	$10.6\pm0.9$	$10.6\pm0.96$	0.0 (-0.3 to 0.3)	0.962ª					
Serum sodium [mmol/L]	$138.6\pm3.1$	138.6±3.2	$138.7\pm2.8$	-0.1 (-0.9 to 0.7)	0.782 <sup>a</sup>					
Serum potassium [mmol/L]	$4.7\pm0.6$	$4.6 \pm 0.6$	$4.7\pm0.4$	-0.1 (-0.2 to 0.1)	0.292ª					
Intact PTH [pg/mL]	$518.3 \pm 481.1$	$538.3 \pm 498.3$	$478.6 \pm 445.4$	59.7 (-72.9 to 192.2)	0.376 <sup>a</sup>					
Serum bicarbonate [mmol/L]	$23.4 \pm 2.2$	$23.3 \pm 2.3$	$23.7\pm2.2$	-0.4 (-1.0 to 0.2)	0.165 <sup>a</sup>					
Leukocytes [1000/µL]	$7.0\pm2.0$	$7.2 \pm 2.1$	$6.6\pm1.7$	0.6 (0.1 to 1.1)	0.019 <sup>a</sup>					
Platelets [1000/µL]	$212.9 \pm 63.9$	$216.9 \pm 65.1$	$205.1 \pm 61.3$	11.8 (-6.5 to 30.1)	0.204 <sup>a</sup>					
NLR	$4.4 \pm 2.6$	4.6±2.8	$3.8 \pm 2.0$	0.79 (0.2 to 1.4)	0.015 <sup>a</sup>					
Serum albumin [g/dL]	$3.8\pm0.4$	3.7±0.4	$3.8\pm0.4$	-0.1 (-0.2 to 0.04)	0.165 <sup>a</sup>					
Ferritin [ng/mL]	$780.5 \pm 510.2$	798.8±487.9	$744.7\pm552.9$	54.1 (-86.8 to 195.0)	0.45 <sup>a</sup>					
Transferrin saturation [%]	30.7±9.5	29.8±8.9	32.6±10.5	-2.8(-5.4  to  -0.2)	0.036 <sup>a</sup>					

**Table 1.** Baseline characteristics of all patients, lower tertiles and upper tertile. 95% CI, 95% confidence interval; SD, standard deviation; ScvO<sub>2</sub>, central venous oxygen saturation; BMI, body mass index; CHF, congestive heart failure; COPD, chronic obstructive pulmonary disease; SBP, systolic blood pressure; UFV, ultrafiltration volume; IDWG, interdialytic weight gain; Hgb, hemoglobin; PTH, parathyroid hormone; NLR, neutrophil-to-lymphocyte ratio; n.a., not applicable. <sup>a</sup>t test. <sup>b</sup>Chi-square test. <sup>c</sup>Wilcoxon test.

lower pre-dialysis systolic blood pressure (SBP) (143.7  $\pm$  22.9 mmHg vs 151.7  $\pm$  19 mmHg, P = 0.009), lower post-dialysis SBP (137.8  $\pm$  20.5 mmHg vs 145.5  $\pm$  18.2 mmHg, P = 0.006), and had lower normalized UFR (6.8  $\pm$  2.4 mL/kg/hr vs 7.7  $\pm$  2.9 mL/kg/hr, P = 0.015). Furthermore, lower tertile subjects had higher leukocyte counts (7.2  $\pm$  2.1 \* 1000/µL vs 6.6  $\pm$  1.7 \* 1000/µL, P = 0.019) and higher neutrophil-to-lymphocyte ratios (NLR) (4.6  $\pm$  2.8 vs 3.8  $\pm$  2.0, P = 0.015). There was no statistically significant difference in comorbidities of DM, CHF or COPD.

Central Venous Oxygen Saturation in 232 Chronic HD Patients







Figure 3. Kaplan-Meier estimates for survival probabilities in the lower two tertiles (red) and the upper tertile (blue), respectively. Median follow up for the lower two tertiles was 428 days while the median follow up time for the upper tertile was 432 days. The number of patients at risk is indicated in the table below the graph. The time to death differs significantly between the two groups (P = 0.0051, log-rank test).

Mortality between upper and lower tertiles. During the 36-month follow-up period, there were a total of 54 deaths, 45 in the lower two tertiles and 9 in the upper tertile. Mortality rate was 24.1/100 patient years in lower two tertiles and 9.0/100 patient years in upper tertile (P = 0.005). Univariate Kaplan-Meier analysis indicated a significantly shorter survival among lower tertile patients (P = 0.0051, log-rank test) (Fig. 3).

ScvO<sub>2</sub> as a continuous variable. In unadjusted Cox analysis, for every 1 percent point decrease in mean  $ScvO_2$  there was an associated 6% increase in mortality (HR 1.06 (1.03–1.10)). There was no material change in the results after adjustment for age, gender, comorbidities (COPD and CHF), log vintage, inflammatory markers (albumin, NLR), hemoglobin and erythropoietin dose (HR 1.04 (1.01–1.08)) (Table 2).

Correlates of ScvO<sub>2</sub>. Figure 4 depicts the relationship between ScvO<sub>2</sub> and patient characteristics that were found to differ between the two groups. Mean ScvO<sub>2</sub> across patients was plotted against age, log vintage, body mass index (BMI), interdialytic weight gain (IDWG) relative to post-HD weight, post-HD SBP, and NLR. As vintage was not normally distributed, it was log transformed. Age, BMI, log vintage and NLR were negatively associated with ScvO<sub>2</sub>, while post-HD SBP and IDWG were positively correlated with ScvO<sub>2</sub>. While all correlates were statistically significant except for  $ScvO_2$  and log vintage (P = 0.19), correlation coefficients were relatively low.

		Crude <sup>a</sup>		Minimally Adjusted <sup>b</sup>		Fully Adjusted <sup>c</sup>	
Outcome	Events	HR (95% CI)	P Value	HR (95% CI)	P Value	HR (95% CI)	P Value
All-cause mortality	54	1.06 (1.03 to 1.10)	< 0.001	1.05 (1.02 to 1.09)	0.003	1.04 (1.01 to 1.08)	0.0437

**Table 2.** Crude and adjusted hazard ratios for all-cause mortality for a 1% decrease in central venous oxygen saturation. HR, hazard ratio. <sup>a</sup>Unadjusted model. <sup>b</sup>Adjusted for age, gender, chronic obstructive pulmonary disease and congestive heart failure. <sup>c</sup>Adjusted for age, gender, chronic obstructive pulmonary disease, congestive heart failure, albumin, hemoglobin, erythropoietin dose, neutrophil to lymphocyte ratio, and log vintage.



**Figure 4.** Correlates of central venous oxygen saturation with respect to patient characteristics. Each point represents one patient; the depicted data points represent the respective parameter averages during the 6-month baseline period. (A) Age; (B) Log vintage; (C) Body mass index; (D) Interdialytic weight gain relative to post-dialysis body weight; (E) Post-dialysis systolic blood pressure; (F) Neutrophil-to-lymphocyte ratio.

#### Discussion

Our study indicates that in chronic HD patients with CVC as vascular access, lower ScvO<sub>2</sub> levels are associated with poorer survival.

Despite the relative ease with which  $ScvO_2$  can be obtained in HD patients with CVC as access, to date only small studies have examined this key indicator of cardiac function. Cordtz *et al.*<sup>19</sup> in 2008 evaluated 20 HD patients and classified them as either hypotension prone or hypotension resistant and measured their  $ScvO_2$  at treatment initiation and end. The authors found a significant decrease in  $ScvO_2$  in hypotension prone patients. Harrison *et al.*<sup>20</sup> investigated 18 HD patients and found a strong inverse correlation between  $ScvO_2$  at the end of

dialysis and ultrafiltration volume normalized to post-HD body weight. A recent review of intradialytic oxygen saturation did not identify any previous research examining the association between ScvO<sub>2</sub> and patient survival<sup>21</sup>.

In the study by Harrison *et al.* the mean  $ScvO_2$  was  $63.5 \pm 13\%$  pre-HD and  $56.4 \pm 8\%$  post-HD<sup>20</sup>, whereas in the study by Cordtz *et al.* the initial  $ScvO_2$  was  $52.2 \pm 6.7\%$  in hypotension prone and  $49.7 \pm 6.9\%$  in hypotension resistant patients<sup>19</sup>. While these studies focused on  $ScvO_2$  at HD start and end, we examined  $ScvO_2$  continuously throughout the HD session. The  $ScvO_2$  levels found in our study are below the levels of ~70% observed in healthy subjects<sup>13</sup>, but consistent with those reported in HD patients. The exact etiology of low intradialytic  $ScvO_2$  in HD patients is not well established, but may be partially explained by the lower hemoglobin levels, and the higher prevalence of cardiac dysfunction and pulmonary hypertension in HD patients.

In our cohort, when  $ScvO_2$  was assessed throughout the entire HD treatment, on average  $ScvO_2$  increased slightly over the first hour and then progressively declined during the remaining treatment time. The determinants of  $ScvO_2$  can be visualized by rearrangement of the familiar form of Fick's law and replacement of  $SmvO_2$  with  $ScvO_2$ , and CO with upper body blood flow (UBBF), which results in the following equation

$$ScvO2 = SaO2 - \frac{100 * oxygen consumption}{K * Hgb * UBBF}$$
(1)

with  $ScvO_2$  and  $SaO_2$  in %, upper body oxygen consumption in mL/min, Hgb in g/L, UBBF in L/min, and K being 1.34, the amount of oxygen (in mL) bound per g of hemoglobin.

Therefore, there are four components which may change during HD and that will cause a decrease in ScvO<sub>3</sub>; (i) increased tissue oxygen consumption; (ii) a decrease in SaO<sub>2</sub>, (iii) a decrease in hemoglobin concentration, and (iv) a decrease in upper body blood flow. An increase in oxygen consumption can occur due to an increase in metabolic rate. A small study done in maintenance HD patients found that whole body energy expenditure, measured by indirect calorimetry, increased during HD<sup>22</sup>. However, to what extent the upper body energy expenditure changes during HD is currently unknown. It would be of interest to know if oxygen consumption by the brain, by far the largest consumer of oxygen in the upper body, changes during HD. Intradialytic SaO<sub>2</sub> has been demonstrated to decrease during the first hour of HD; unfortunately we do not have SaO<sub>2</sub> levels in our patients<sup>23</sup>. While HD patients have lower hemoglobin levels than the general population, during HD as UF occurs and the relative blood volume decreases, hemoglobin levels generally rise due to hemoconcentration<sup>24</sup>. We suspect that a reduction in CO and consequently a decrease in upper body blood flow is the predominant driving factor leading to a drop in ScvO<sub>2</sub>. The almost linear relation between cerebral perfusion and CO has been recently reviewed<sup>25</sup>. When faced with any of the other possibilities in a patient with intact cardiac function, there should be a compensatory response in  $CO^{26}$ . There is growing literature on depressed CO during HD treatment due to poor vascular refill and regional wall motion abnormalities (RWMA)<sup>27, 28</sup>. In fact, a recent study using intradialytic magnetic resonance imaging of the heart demonstrated that systolic contractile function fell during HD, with all 12 patients experiencing some degree of segmental left ventricular dysfunction along with evidence of decreased intravascular volume and an inadequate heart rate response<sup>29</sup>.

 $\text{ScvO}_2$  in patients with sepsis, post-surgery, and trauma has been examined, with studies finding that abnormal  $\text{ScvO}_2$  levels are associated with increased morbidity and mortality<sup>15, 16, 18</sup>. However, the ESRD population is unique in many aspects, and the results of prior studies in other populations therefore may not be fully applicable.

We and others have observed a left shift of the  $ScvO_2$  distribution in HD patients compared to healthy subjects, possibly related to anemia and lower CO. Therefore we refrained from defining comparison groups based on  $ScvO_2$  levels obtained in healthy subjects but rather used  $ScvO_2$  tertiles obtained from our large HD population, where a  $ScvO_2$  of 61.1% separated the top from the bottom two tertiles<sup>13, 30</sup>. In a review of literature,  $ScvO_2$  levels below 64.4% were associated with morbidity post-surgery, and values below 62% were associated with mortality in patients with pulmonary hypertension<sup>18, 31</sup>. In the trauma setting,  $ScvO_2 < 65\%$  on initial evaluation in the emergency room predicted higher blood loss and greater severity of injuries<sup>16</sup>. We complemented this binary analysis with a continuous spline analysis of the association between  $ScvO_2$  and hazard ratio for all-cause mortality; that analysis indicated that mean  $ScvO_2$  levels below 63% were associated with increased mortality.

Our finding that patients with lower  $ScvO_2$  were older may reflect the poorer cardiac function expected in older subjects. Of note, the prevalence of CHF increases with age, as does CHF mortality<sup>32</sup>. On univariate analysis, age was an independent risk factor for mortality. However, even after adjustment for age in our analysis,  $ScvO_2$  as a continuous variable remained a significant predictor of mortality.

While the correlation coefficients were low, we identified several significant correlations between  $ScvO_2$  and patient variables such as the association between lower  $ScvO_2$  levels and longer dialysis vintage. We speculate that this finding may be related to recurrent hemodynamic stress and cardiac injury caused by HD. McIntyre *et al.* demonstrated that HD induced RWMA in a subset of maintenance HD patients. While at baseline there was no difference in left ventricular ejection fraction (LVEF) between patients who developed RWMA and those that did not, at 1 year follow-up, the group of patients that developed RWMA during HD had significantly lower resting LVEF<sup>5</sup>.

In our study, lower tertile patients had lower pre-HD and lower post-HD SBP, a finding possibly related to low CO. Of note, an association between low pre-HD SBP and mortality has been repeatedly shown<sup>33, 34</sup>. It is interesting to note that in our study the prevalence of CHF did not differ between lower and upper tertiles. Unfortunately, no routine echocardiography assessments were available in our patients, so we cannot comment on the possibility of deficient documentation, classification or misdiagnosis of CHF. One intriguing possibility is that we may be identifying a group of patients without clinically overt signs and symptoms of CHF at rest, who however have reduced cardiac reserve or autonomic dysfunction and are unable to mount the necessary increase in sympathetic response and CO when faced with the hemodynamic stress of HD<sup>27, 35</sup>.



**Figure 5.** Data were reviewed starting from January 1, 2012. Due to the staggered deployment of Crit-line monitors to dialysis units, patients were enrolled into the study on a rolling basis. The first hemodialysis treatment with ScvO<sub>2</sub> measurements marked the beginning the 6-month baseline period. Follow-up ended with either end of study (August 31, 2015), death, treatment modality change, recovery of renal function, or transfer to another dialysis facility. ScvO<sub>2</sub>: central venous oxygen saturation.

The main limitation of our study is its observational nature, which prevents any conclusions related to causality. As mentioned earlier, routine echocardiograms are unfortunately not available in our study population, making potentially very insightful correlational analyses of  $ScvO_2$  and cardiac structure and function impossible. Lastly, we appreciate that  $ScvO_2$  measurements may be altered by changes in catheter tip position due to changes in body position; however, we have no indication that this may affect one of the two groups disproportionally and created any bias.

Considering a recent review of this topic, we believe that this is the largest study to date examining the epidemiology of  $ScvO_2$  in maintenance HD patients<sup>21</sup>. CVC are used as vascular access in the majority of U.S. patients starting HD<sup>1</sup>. While this situation is certainly not desirable, the presence of a CVC allows us to measure  $ScvO_2$ , a vitally important physiological parameter. This additional diagnostic opportunity may be particularly important in the incident period, the time with the highest cardiovascular morality rate<sup>1</sup>. In fact, a recent study published by Mancini *et al.* demonstrates that variability in  $SaO_2$  is associated with intradialytic hypotension<sup>36</sup>. This supports the potential role of oxygen saturation monitoring during dialysis.

In conclusion, our research shows that routine measurement of ScvO<sub>2</sub> during HD provides a novel window into patients' biology that may help to improve our care for this vulnerable patient population.

#### Method

**Population and study design.** This is a retrospective multi-center study of a cohort of maintenance HD patients from 17 facilities of the Renal Research Institute (RRI) across the United States between January 2012 and August 2015. In these clinics, CLM use is part of standard care. All patients were treated with bicarbonate dialysate and polysulfone membranes. Over 80% of patients had a prescribed dialysate temperature of 37 °C. All patients who received HD via a CVC and had at least 6 months of clinical data and 10 dialysis treatments with eligible ScvO<sub>2</sub> recordings (definition of eligibility see below) were eligible for inclusion into the study. Therefore our study included both incident and prevalent HD patients. The CLM was rolled out into dialysis units in a staggered manner, and we used the first treatment with CLM data as start date of the patients' 6 month baseline period. Since eligible patients had to contribute 6 months' worth of data, by design only those patients who survived for at least 6 months were included into the study (Fig. 1). Patient characteristics were assessed over the baseline period, and mortality was assessed during a follow-up period for a maximum duration of three years. Figure 5 summarizes the study design. For group comparison patients were stratified based on the population ScvO<sub>2</sub> that separated the top tertile from the bottom two tertiles. Descriptive statistics of the ScvO<sub>2</sub> distribution showed a  $ScvO_2$  of 61.1% to be the cut-off between these two groups. Patients were censored in the event of kidney transplantation, transfer to a non-RRI facility, dialysis treatment modality change, recovery of kidney function, or end of follow-up.

The study was approved by the New England Institutional Review Board (14–446) and conducted in accordance with the Declaration of Helsinki. Informed consent was not obtained as this was determined not to be human subject research, and we were working with de-identified data.

This study has been registered at clinicaltrials.gov (NCT02501044).

**Measurement of ScvO<sub>2</sub>.** Intradialytic ScvO<sub>2</sub> measurements were obtained by the CLM. The CLM has been approved by the U.S. Food and Drug Administration (FDA) for the measurement of hematocrit, relative blood volume, and oxygen saturation in the extracorporeal dialysis circuit. The CLM measures oxygen saturation 9,000 times per minute and reports the mean of these measurements every minute. The manufacturer reported accuracy for oxygen saturation measurement is 2%. Patients' mean, median, minimum, maximum, standard deviation, start-HD, end-HD ScvO<sub>2</sub> was calculated per treatment and then averaged across all treatments per patient and subsequently across patients. We chose to do our analysis using the mean ScvO<sub>2</sub> as there was low variability across treatments for each patient (mean coefficient of variability of  $7.5 \pm 4\%$ ).

**Clinical and laboratory data.** Laboratory measurements were done at Spectra East Laboratories (Rockleigh, NJ, USA). The results were downloaded to the RRI data warehouse and extracted to the study database. Continuous variables were averaged during the baseline period. BMI was calculated using post-HD dry weight.

**Data eligibility.** To ensure appropriate data quality, we included only treatments where mean  $ScvO_2$  was below 85%, as higher values are incompatible with central venous blood<sup>13</sup>. Mean  $ScvO_2$  measurements less than 25% were excluded because they are considered incompatible with life<sup>37</sup>. Additionally, data points with relative blood volume measurements above 102% were considered very unlikely, potentially due to saline administration, and hence excluded. This constituted 3% of all data points.

**Comorbidities.** CHF, DM, and COPD were defined using International Classification of Diseases - 9 (ICD-9) codes.

**Statistical analysis.** Continuous variables are presented as mean  $\pm$  standard deviation (SD) if normally distributed and as median (25<sup>th</sup>, 75<sup>th</sup> percentile) otherwise. Categorical variables are presented as percentages of the respective group. Statistics of ScvO<sub>2</sub> variables were calculated on a HD treatment level and then aggregated on a patient level.

Baseline characteristics of exposed and unexposed were compared using chi-square test for categorical variables and two-sample *t* test for continuous variables, Wilcoxon Rank-Sum test were used for non-parametric variables. Survival characteristics were compared using Kaplan-Meier plots, log-rank test, and Cox proportional hazards models.

Statistical analyses were performed using SAS version 9.3 (SAS Institute Inc., Cary, NC) and R 3.0.2 (libraries ggplot2, splines, survival, pspline; R Foundation for Statistical Computing, Vienna, Austria).

**Disclosure.** P.K. holds stock in Fresenius Medical Care. L.C. is supported in part by the NIH (5T32DK007757-18). The other authors declared no competing interest. The results presented in this paper have not been published previously in whole or part, except in abstract format.

**Data Availability.** Consolidated data may be shared with other scientists at their request.

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#### Acknowledgements

We thank Dr. Jeroen Kooman and Frank van der Sande (both Maastricht University, The Netherlands) and Drs. Steven Coca and Girish Nadkarni (both from Mount Sinai Hospital, New York) for discussions related to this research. We also thank the staff of the Renal Research Institute clinics for facilitating the recording of the oxygen saturation measurements. This work was funded by the Renal Research Institute.

#### **Author Contributions**

P.K., S.T., and H.Z. designed the study. L.C., A.M.W., I.C., and D.F. were instrumental in the interpretation of the results. L.C. wrote the main manuscript text. H.Z. acquired data and performed all the statistical analysis. All authors contributed to the manuscript and approved the final version.

#### **Additional Information**

Competing Interests: The authors declare that they have no competing interests.

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