



Choroidal thickness and the retinal ganglion cell complex in chronic Leber's hereditary optic neuropathy: a prospective study using swept-source optical coherence tomography

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Received: 17 March 2019 / Revised: 12 September 2019 / Accepted: 1 October 2019 / Published online: 5 December 2019

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Abstract

Background/Objectives Choroidal thinning has been suggested in Leber's hereditary optic neuropathy (LHON). No study has been conducted of the choroid in relation to the retinal ganglion cell-inner plexiform layer (RGC-IPL). We sought to measure choroidal thickness in chronic LHON and to correlate thickness changes with the RGC-IPL.

Subjects/Methods Chronic LHON, 11778 mitochondrial DNA (mtDNA) mutation, patients (26 eyes; mean age: 35.1 ± 16.1 years) were prospectively recruited at Doheny Eye Center, University of California Los Angeles from March 2016 to July 2017. Age-matched healthy controls (27 eyes; mean age: 32.4 ± 11.1 years) were enrolled for comparison. Swept-source optical coherence tomography (SS-OCT) imaging was performed in chronic LHON patients and compared with age-matched healthy controls.

Results The macular choroid was significantly thinner in chronic LHON (250.5 ± 62.2 μm) compared with controls (313.9 ± 60.2 μm; $p < 0.0001$). The peripapillary choroid was also significantly thinner in chronic LHON (135.7 ± 51.4 μm) compared with controls (183.0 ± 61.8 μm, $p < 0.001$). Choroidal thickness strongly correlated with retinal nerve fibre layer (RNFL) thickness in both the macular ($R^2 = 0.72$; 95% CI, 0.57–0.84) and peripapillary regions ($R^2 = 0.53$; 95% CI, 0.31–0.70). Choroidal thickness was also significantly correlated with macular RGC-IPL thickness ($R^2 = 0.51$; 95% CI, 0.26–0.73).

Conclusions Choroidal thinning in chronic LHON correlated strongly with both RNFL and RGC-IPL thicknesses. These findings may suggest a pathophysiological mechanism involving vascular pathology of the choroid in relation to the retinal ganglion cell complex in LHON.

Introduction

Leber's hereditary optic neuropathy (LHON) is a rare, maternally inherited mitochondrial disease that may be characterized by bilateral loss of vision with a gender distribution

ratio as high as 9:1 between males and females, respectively [1–4]. Three mitochondrial mutations at nucleotide positions m.11778G > A, m.3460G > A m.11484T > C result in defective subunit expression of complex I protein in the electron transport chain, and account for ~90% of LHON cases [4–8]. Characteristic patterns of retinal nerve fibre layer (RNFL) thickening from nerve fibre swelling in early or acute stages of LHON followed by RNFL thinning from decreased nerve fibre swelling in late or chronic stages of LHON have been observed [9–11]. Acute LHON has been characterized by significant nerve fibre thickening in the superior and inferior quadrants followed later by swelling of nasal fibres, while in chronic LHON there is differential preservation of the nasal nerve fibres [4–6].

Aside from these structural nerve fibre changes, optical coherence tomography (OCT) studies have also suggested vascular pathology in LHON. Our laboratory recently demonstrated that RNFL thickening in acute LHON is accompanied by choroidal thickening, and RNFL thinning

Supplementary information The online version of this article (<https://doi.org/10.1038/s41433-019-0695-5>) contains supplementary material, which is available to authorized users.

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in chronic LHON is similarly accompanied by choroidal thinning [11]. This study also showed a direct correlation between RNFL and choroidal thicknesses in LHON, suggesting that RNFL thickness may be associated with changes in the choroid [11]. These findings suggest that while LHON has been shown to involve the retinal ganglion cells (RGCs) and their axons in the optic nerve [5, 6, 12, 13], a vascular aetiology may also be involved. More recent studies conducted by our group revealed that the macular RGC-inner plexiform layer (RGC-IPL) thickness changes may precede the wave of peripapillary RNFL swelling classically seen in acute LHON [14]. Given this earlier involvement, we proposed that the RGC-IPL might serve as a more sensitive marker for assessing and monitoring disease progression [14, 15]. These retinal and choroidal studies were predominantly performed using a spectral domain OCT (SD-OCT) device. Recent advances in ocular imaging with the introduction of swept-source OCT (SS-OCT) have enabled clinicians to visualize the retinal and choroidal structures with greater detail, resolution, and penetrance compared with previous OCT imaging modalities [16]. In the present study, we explored the relationship between inner retinal layer thicknesses, mainly RGC-IPL, and the choroid in chronic LHON using SS-OCT. We hypothesized that in the chronic disease stages of LHON, choroidal thickness would be significantly reduced and these thickness changes would correlate with that of the macular RGC-IPL and RNFL thickness.

Methods

Twenty-six LHON patients (mean age: 35.1 ± 16.1 years) with disease duration >12 months were recruited for the study at Doheny Eye Center, University of California Los Angeles (UCLA) from March 2016 to July 2017. The study was approved by the Institutional Review Board at UCLA. Informed consent was obtained from all subjects and the study was conducted in accordance with the Declaration of Helsinki.

All LHON subjects included in this study had a genetically confirmed diagnosis of LHON, 11778 mitochondrial DNA (mtDNA) mutation. Subjects with confounding retinal pathology, optic nerve disease besides LHON, or systemic conditions potentially affecting the vascular system (e.g. autoimmune condition, diabetes, and uncontrolled systemic hypertension) were excluded. All subjects underwent extensive ophthalmologic characterization, including best corrected visual acuity (BCVA) measurement with the Early Treatment Diabetic Retinopathy Study chart, expressed as a logarithm of the minimum angle of resolution (logMAR), slit-lamp biomicroscopy, intraocular pressure (IOP) measurement, and dilated fundus examination. For each LHON patient, images of both eyes were initially acquired. Given the symmetry of

disease and statistical indifference upon inclusion of both eyes in the analysis, we randomly selected and included only the right eye for analysis. Twenty-seven age-matched healthy controls (27 eyes) (mean age: 32.4 ± 11.1 years) were identified and enrolled for comparison. Control subjects had normal ocular examination including an IOP lower than 20 mm Hg, BCVA of 0.0 logMAR with a refractive error between -3.00 and $+3.00$ spherical equivalent, no evidence of optic disk or retinal disease, or systemic conditions that may affect the vascular system (e.g. autoimmune condition, diabetes, and uncontrolled systemic hypertension).

Instrumentation and procedures

OCT images were taken using SS-OCT (DRI-OCT, Triton, Tokyo, Japan). The instrument uses a wavelength of 1050 nm with a scan speed of 100,000 A-scans per second. The images were obtained using a 3-dimensional (3D) wide scan protocol with a size of 12×9 mm consisting of 256 B-scans, each comprising 512 A-scans. This allowed obtaining images of the macular and optic nerve head region in a single scan. The total acquisition time was 1.3 s per 12×9 mm scan. Following proper seating and alignment of each patient, the iris was brought into view using the mouse-driven alignment system, and the ophthalmoscopic image was focused. Automated measurements of the peripapillary and macular choroid were recorded using a 360° 6-mm diameter circle. The choroid analysis algorithm processed data from 3D volume scans with measured thicknesses of the macular and peripapillary choroid. Choroidal thickness was measured across eight sectors for both the macular and peripapillary choroid. Subfoveal choroidal thickness was measured from centring the elliptical annulus on the fovea (Fig. 1a, b). Mean thickness values for each layer were calculated for the topographical retinal areas of the standard grid template centred at the fovea. This included the central 1 mm region along with the four quadrants of the inner annular ring (1–3 mm radius), and the four quadrants of the outer annular ring (3–6 mm radius) (Fig. 1c, d). RNFL and RGC-IPL thicknesses were measured using a 360° 3.4-mm diameter circle scan with thicknesses measured across the superior, nasal, inferior, and temporal sectors. All images were acquired by an experienced operator. The built-in SS-OCT eye-tracking system was used to provide reproducible measurements. The quality of each scan and accuracy of the segmentation algorithm were reviewed by an experienced, blinded examiner. Images with segmentation failures, significant motion artefacts, or signal strength less than 6 were excluded.

Statistical methods

Statistical analysis was performed using the Statistical Package for Social Sciences (version 21 SPSS Inc. Chicago, IL, USA). A Shapiro–Wilk test was used to evaluate the

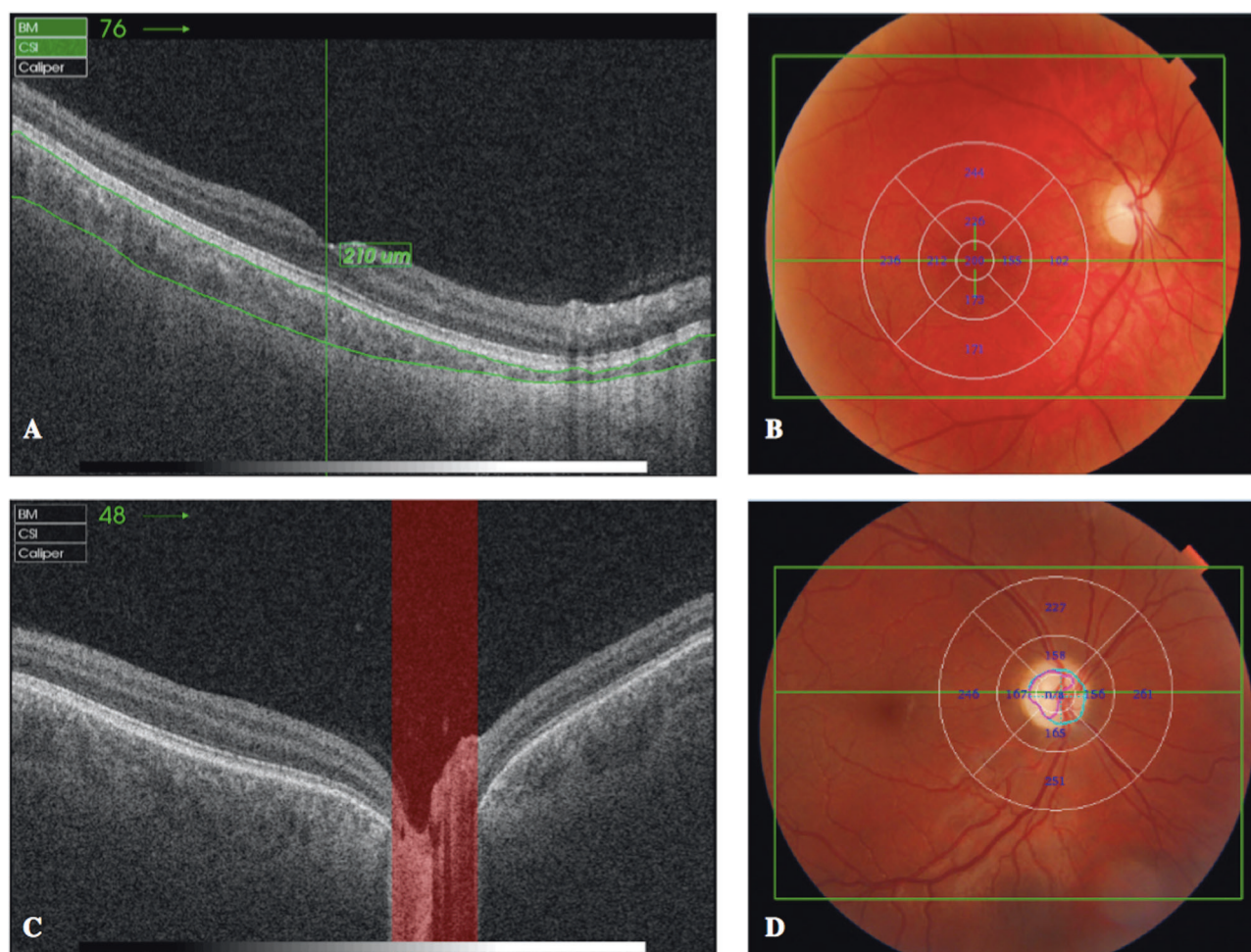


Fig. 1 Imaging of choroidal thickness for the macular and peripapillary regions using swept-source optical coherence tomography (SS-OCT). **a** Representative OCT B-scan with **b** corresponding 360°, 6 mm diameter circle scan centred on the fovea, from a healthy subject

(control group). **c** Representative OCT B-scan with **d** corresponding 360°, 6 mm diameter circle scan centred on the optic disk, from a healthy subject (control group)

normal distribution of the variables. All variables were reported as a mean \pm standard deviation and compared using one-way analysis of covariance, including age and gender as covariates. Given the data did not follow a normal distribution, a non-parametric Mann–Whitney U test (independent Student's t test) was performed for pair-wise comparisons. Pearson's correlation was performed between choroidal thickness and RGCL or RNFL thickness. Statistical significance was selected at a $p < 0.05$.

Results

Demographic data

Table 1 shows the demographic data of chronic LHON patients and healthy controls. One eye from the LHON group and two eyes from the age-matched control group were excluded from analysis due to poor scan quality.

Table 1 Study participants' demographic and clinical features

	Chronic LHON	Healthy controls
Number of participants (number of eyes)	26 (26)	27 (27)
Gender (number of males)	21	19
Number of smokers	0	0
Age, years	35.1 \pm 16.0	32.4 \pm 11.1 ($p = 0.45$)
Disease duration, years	4.5 \pm 2.5	–
Visual acuity, logMAR	1.6 \pm 0.8	0

Data are shown as mean \pm SD

RNFL and RGC-IPL

Mean total RNFL thickness was 50.4 \pm 17.8 μ m in chronic LHON patients and 111.3 \pm 11.8 μ m in control patients ($p < 0.005$). Mean total RGCL thickness was 43.0 \pm 7.1 μ m

Table 2 Comparison of macular choroidal thickness between LHON patients and controls as measured by swept-source OCT

Sector	Chronic LHON	Healthy Controls	Difference	<i>p</i> value
Subfoveal	262.7 ± 73.9	334 ± 71.7	-71.3 ± 15.9	<i>p</i> < 0.0001
IS	260.8 ± 72.1	332.4 ± 67.1	-71.6 ± 15.2	<i>p</i> < 0.0001
IN	248.9 ± 67.4	308.1 ± 73.1	-59.2 ± 15.4	<i>p</i> < 0.0001
II	264.3 ± 74.6	323.1 ± 69.2	-58.8 ± 15.9	<i>p</i> < 0.0001
IT	259.0 ± 70.6	325.9 ± 63.5	-66.9 ± 14.6	<i>p</i> < 0.0001
OS	258.0 ± 73.3	324.6 ± 64.6	-66.6 ± 15.1	<i>p</i> < 0.0001
ON	195.9 ± 61.8	259.2 ± 68.9	-63.3 ± 14.3	<i>p</i> < 0.0001
OI	254.7 ± 69.4	313.2 ± 75.2	-58.6 ± 15.8	<i>p</i> < 0.0001
OT	250.4 ± 64.3	304.4 ± 59.1	-54.0 ± 13.5	<i>p</i> < 0.0001
Average	250.5 ± 62.2	313.9 ± 60.2	-63.4 ± 13.3	<i>p</i> < 0.0001

Data are shown as mean ± SD

IS inner superior, IN inner nasal, II inner inferior, IT inner temporal, OS outer superior, ON outer nasal, OI outer inferior, OT outer temporal

in chronic LHON patients and $86.0 \pm 4.1 \mu\text{m}$ in control patients ($p < 0.005$). RNFL and RGC-IPL sectoral analyses are shown in the Supplementary material.

Choroidal analyses

The macular choroid was markedly thinner in LHON relative to controls across all measured sectors. As seen in Table 2, thinning was most pronounced in the subfoveal and inner superior regions and least pronounced in the outer temporal region. Similarly, the peripapillary choroid was significantly thinner in LHON relative to controls across all measured sectors. As seen in Table 3, thinning was most pronounced in the superior sector and least pronounced in the nasal sector.

Pearson correlation testing for the average measurements of the RNFL and the choroid showed a shared pattern of thinning in LHON, which was more apparent in the macular region (Fig. 2a: $R^2 = 0.72$; 95% CI, 0.57–0.84) than in the peripapillary region (Fig. 2b: $R^2 = 0.53$; 95% CI, 0.31–0.70). The RGC-IPL was also significantly correlated with the choroid, although specifically within the macular region (Fig. 2c: $R^2 = 0.51$; 95% CI, 0.26–0.73). The RGC-IPL and the peripapillary choroid were weakly correlated (Fig. 2d: $R^2 = 0.34$; 95% CI, 0.10–0.55).

Discussion

Our group recently showed a direct in vivo correlation between RNFL and choroidal thickness in both the macular and peripapillary regions using an SD-OCT device [11]. The current prospective study uses an SS-OCT device to quantitatively investigate and compare both RNFL and RGC-IPL thickness profiles with that of the choroid in patients with chronic LHON mtDNA 11778. We expand

Table 3 Comparison of peripapillary choroidal thickness between LHON patients and controls as measured by swept-source OCT

Sector	Chronic LHON	Healthy controls	Difference	<i>p</i> value
IS	105.4 ± 62.3	164.6 ± 73.0	-59.2 ± 14.8	<i>p</i> < 0.0001
IN	107.2 ± 55.0	134.6 ± 58.22	-27.4 ± 12.4	<i>p</i> < 0.006
II	100.8 ± 44.8	149.3 ± 74.6	-48.5 ± 13.5	<i>p</i> < 0.0001
IT	113.8 ± 54.2	160.4 ± 68.5	-46.6 ± 13.5	<i>p</i> < 0.0001
OS	172.6 ± 61.3	232.3 ± 62.2	-59.7 ± 13.5	<i>p</i> < 0.0001
ON	161.2 ± 57.0	196.3 ± 48.8	-35.1 ± 11.6	<i>p</i> < 0.02
OI	139.8 ± 51.1	187.69 ± 74.6	-47.9 ± 14.0	<i>p</i> < 0.001
OT	184.7 ± 62.3	239 ± 71.2	-54.3 ± 14.6	<i>p</i> < 0.01
Average	135.7 ± 51.4	183.01 ± 61.8	-47.4 ± 12.4	<i>p</i> < 0.0007

Data are shown as mean ± SD

IS inner superior, IN inner nasal, II inner inferior, IT inner temporal, OS outer superior, ON outer nasal, OI outer inferior, OT outer temporal

upon our previous work by demonstrating that, in addition to the RNFL, choroidal thinning is accompanied by, and directly correlated with, RGC-IPL thinning.

The RNFL and the choroid were both significantly thinner and directly correlated in LHON patients. This is consistent with our previous study, which showed that RNFL thinning likely starts earlier relative to the choroid, and that the two entities are directly correlated over the course of the disease [11]. We found that RNFL thickness directly correlated with both the macular and peripapillary choroid, and this correlation was more significant in the macular region. This further supports our proposed theory of continual axonal loss in LHON over time [12]. Our laboratory and others have previously shown that in LHON, mtDNA point mutations affecting Complex I of the mitochondrial respiratory chain impair electron transfer in oxidative phosphorylation. This impairment leads to decreased energy or ATP production and consequent reactive oxygen

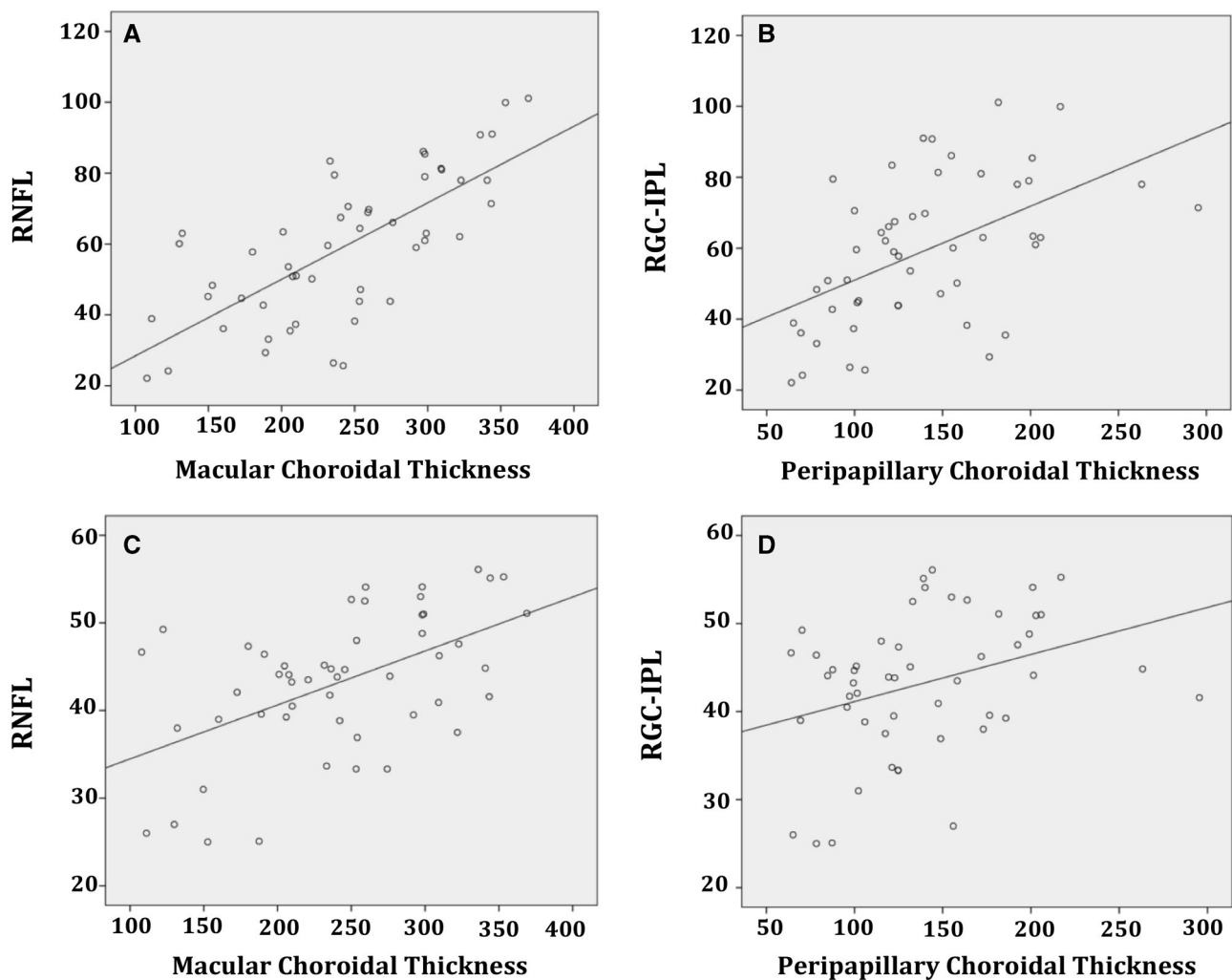


Fig. 2 Pearson correlation tests between: **a** retinal nerve fibre layer (RNFL) and macular choroid thickness, and **b** RNFL and peripapillary choroid thickness in chronic LHON patients. **c** Retinal ganglion cell-

inner plexiform layer (RGC-IPL) and macular choroid thickness. **d** RGC-IPL and peripapillary choroid thickness in chronic LHON patients

species (ROS) overproduction [12–15, 17, 18]. Studies conducted by Bonello et al. have demonstrated that ROS directly link hypoxia inducible factor 1 (HIF-1)-alpha and nuclear factor kappa light chain enhancer of activated B cells (NF-kappaB), suggesting the importance of this pathway in disorders associated with elevated levels of ROS such as LHON [19]. Given these findings, we propose that the overproduction of ROS seen with axonal degeneration in LHON may promote the signalling of angiogenic factors and contribute to vascular changes of the choroid. Specifically, a low rate of ongoing axonal degeneration and overproduction of ROS likely continues vascular signalling, which may explain the prolonged choroidal remodelling seen in chronic LHON [11–15, 17–19]. This is surprising in the peripapillary region insofar as the RNFL is inner retina and the choroid supplies the outer retina. Alternatively, but less likely, there was an additional vascular pathology that paralleled events in the inner retina.

The current study also assessed RGC-IPL thickness in relation to the choroid. We found that the RGC-IPL was both significantly thinner and directly correlated with choroidal thickness in LHON patients. Similar to the RNFL, RGC-IPL thickness was more closely correlated with choroidal thickness in the macular region relative to the peripapillary region. Balducci et al. recently showed that macular RGC-IPL thickness changes likely precede the wave of peripapillary RNFL swelling in acute LHON [20, 21]. Taken together, our findings suggest that a similar sequence of events continues to occur even in chronic LHON. In particular, choroidal thinning possibly begins in the macular region and is later followed by thinning in the peripapillary region. This notion may likely explain the greater thickness reduction exhibited in the macular choroid relative to the peripapillary choroid in chronic LHON. Alternatively, the central macula, not only having a retinal blood supply, is more dependent on the choroid.

Vascular changes including transient peripapillary microangiopathy and telangiectasias have been well characterized in LHON. However, these features are hallmarks primarily seen in acute stages of LHON [9–11]. In chronic stages of LHON, our laboratory recently showed significant vascular attenuation in the macula using OCT angiography (OCTA) [22]. Using OCTA in chronic LHON, Borrelli et al. observed a decrease in retinal perfusion that was most pronounced in areas of extensive neuronal damage [22]. Previous studies have described a coupling between blood supply and the energy demands of the retina such that blood flow is regulated towards functionally active areas of the retina and diverted away from inactive or damaged areas of the retina [23–25]. This pairing of blood supply and retinal metabolism may explain Borrelli et al. findings in chronic LHON whereby extensive neuronal damage in the retina may be associated with a reduced metabolic demand and a corresponding reduction in retinal perfusion [22–25]. Intriguingly, our results demonstrated that the greater choroidal thickness reduction seen in the macular region was topographically associated with the region occupied by the papillomacular bundle, which is where mitochondrial dysfunction and ganglion cell loss first occur in LHON [11, 26–30]. Studies have shown that the overproduction of ROS from complex I deficiency in LHON leads to the upregulation of trophic factors as similarly seen in hypoxic ischaemic conditions [12, 15, 18, 19, 31]. Therefore, choroidal thickness changes in LHON may represent mitochondrial angiopathy involving angiogenic trophic factors as a compensatory response to a “pseudo-hypoxic” state perhaps mediated by ROS [11, 15, 18, 19, 28, 31]. Given a reduced metabolic demand of the macula in chronic LHON, as previously suggested [22–25], a greater thickness reduction for the macular choroid was expected and observed in our study. Since we exclusively assessed chronic LHON patients exhibiting an advanced loss of macular ganglion cells, the significant correlation with the choroid further supports a vascular aetiology involved in the complex pathophysiology of this disorder.

Conclusions and future directions

The current study provides clues to a more complete understanding of LHON mechanisms in relation to vascular pathology. We used SS-OCT to assess the choroid at a greater resolution and depth compared with previous studies using SD-OCT. In addition, choroidal thickness measurements were acquired in an automated fashion with reliable repeatability. Morphological assessment of the choroid using SS-OCT may offer certain clinical advantages given vascular abnormalities are not only observed in asymptomatic LHON carriers, but also are associated

with an increased risk of converting to the disease state [8–10]. The current results may be used as a basis for future studies evaluating the natural disease course. Nevertheless, additional studies of choroidal vascular measures in conjunction with RGC-IPL measurements are warranted to demonstrate their utility as useful biomarkers for monitoring the efficacy of purported therapies in patients with LHON. Since this prospective study was exploratory and cross-sectional in nature, a power calculation was not performed and proper characterization of LHON natural history requires a long-term longitudinal follow-up study. Future studies may benefit from investigating correlations between structure and function to further support choroidal thinning as an important measure of treatment effect.

Summary

What was known before

- Choroidal thinning has been suggested in LHON.
- This thinning may be related to the neural retina. However, choroidal thinning in relation to the RGC-IPL has not previously been studied.

What this study adds

- Choroidal thickness correlates strongly with both RNFL and RGC-IPL thicknesses in chronic disease.
- Vascular pathology may be involved in LHON pathophysiology.

Acknowledgements We would like to thank the Doheny Eye Center and UCLA for providing a collaborative effort in understanding a unique cohort of participants affected with LHON.

Compliance with ethical standards

Conflict of interest The authors declare that they have no conflict of interest.

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