

REVIEW ARTICLE

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# Autophagy-targeted nanoparticles for effective cancer treatment: advances and outlook

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## Abstract

Autophagy enables the maintenance of nutrient recycling and metabolic homeostasis through a multistep lysosomal degradation pathway, and it has been demonstrated that autophagy can act as a tumor suppressor or tumor promoter, depending on the tumor microenvironment (TME). The dual role of autophagy in tumorigenesis results in two opposing therapeutic strategies, namely, inhibition versus promotion. However, due to the protective mechanisms of tumor cells and the absence of specific strategies for autophagy regulation, the modulation of autophagy has become a major consideration in cancer treatment. Owing to their unique properties, nanoparticles (NPs) have demonstrated excellent potential for overcoming these limitations. Here, we provide a summary of the latest progress in autophagy-targeting NPs for effective cancer treatment, and we conclude with recent advances in relevant clinical and preclinical studies. This summary of typical autophagy-targeted nano-drug delivery systems aims to provide references and expand ideas for researchers intending to explore this field. Finally, we provide an outlook on the potential of autophagy modulation in cancer treatment, and several key objective problems are carefully highlighted.

## Introduction

Cancer is one of the main diseases adversely impacting the survival and health of human beings. According to the latest statistics, ~19 million people were affected by cancer in 2020, and there were ~10 million cancer deaths<sup>1</sup>. There is, therefore, an urgent need for effective cancer treatments. Autophagy has undergone continuous and in-depth research since its discovery by Klionsky<sup>2</sup>, and its role in cancer has attracted extensive attention. Autophagy is the main cellular pathway for the degradation of long-lived proteins and cytoplasmic organelles, thereby providing protective mechanisms for maintaining cell homeostasis and resisting adverse external environments<sup>3,4</sup>. Depending on the tumor microenvironment

(TME) context, autophagy exhibits different characteristics in cancer. In well-balanced cells, autophagy is a powerful barrier to tumor development. Autophagy regulates many important physiological processes to ensure the survival of normal cells<sup>5</sup>. However, autophagy plays a dual role in established tumors<sup>6,7</sup>. A moderate level of autophagy (i.e., a stage involving the accumulation of autophagosomes and the degradation of harmful foreign substances in the autophagolysosome before the critical threshold) protects the tumor from an unfavorable external environment and promotes its growth<sup>8</sup>. Once the level of autophagy exceeds the critical threshold (i.e., once extensive cytoplasmic vacuolization occurs, culminating in phagocytic uptake and consequent lysosomal degradation<sup>9</sup>), this overactivation can trigger autophagic death of tumor cells<sup>10</sup>. The dual role of autophagy in tumors has led to the emergence of two opposite anticancer strategies, namely, inhibition versus promotion. The most appropriate strategy needs to be based on the existing situation.

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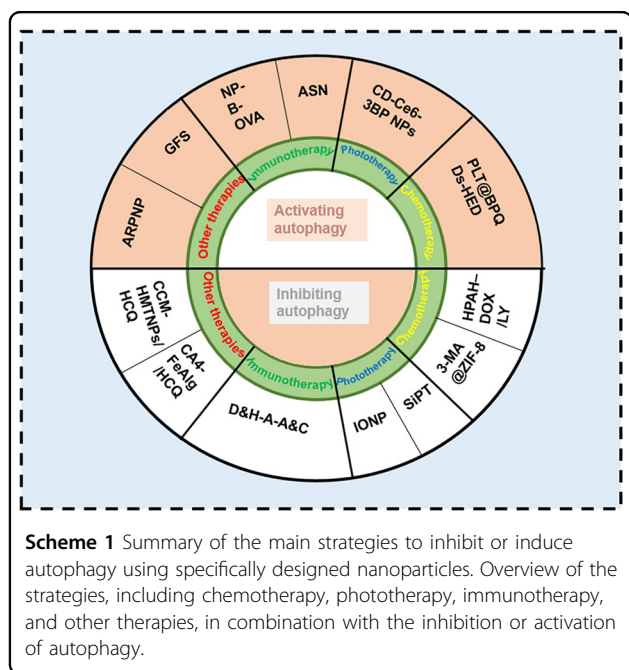
However, because of the protective mechanisms of tumors, such as multidrug resistance (MDR) (the resistance of cancer cells to multiple chemotherapeutic drugs with different structures and mechanisms of action<sup>11</sup>), tumor immune escape<sup>12</sup> and even the protection conferred by autophagy<sup>8</sup>, proper application of autophagy modulation has become a major consideration in cancer treatment. Currently, nonspecificity and off-target effects of autophagy-related drugs limit their use. With the continuous advances in nanotechnology, the excellent performance of nanoparticles (NPs, referring to particulate materials with 50% or more of the constituent particles having one or more external dimensions ranging from 1–100 nanometers<sup>13</sup>) in the fields of tumor diagnosis and treatment have been extensively studied<sup>14,15</sup>. Novel and efficient nano-drug delivery systems have demonstrated excellent potential for overcoming the limitations due to nonspecificity and off-target effects to regulate the TME and synergize multiple therapeutic modalities<sup>16–18</sup>. It has been shown that properly modified NPs possess superior targeting performance and good biological safety profiles, both of which are beneficial in regard to the modulation of autophagy. This review summarizes the findings of recent research, including clinical and preclinical studies, on autophagy-targeting NPs and the inhibition or promotion of autophagy in cancer treatment (Scheme 1) to facilitate the exploration of autophagy modulation in cancer treatment. By enumerating the various autophagy-targeting nano-drug delivery systems, this paper provides reference cases for researchers with an interest in autophagy and cancer treatment, and it provides valuable insights regarding the

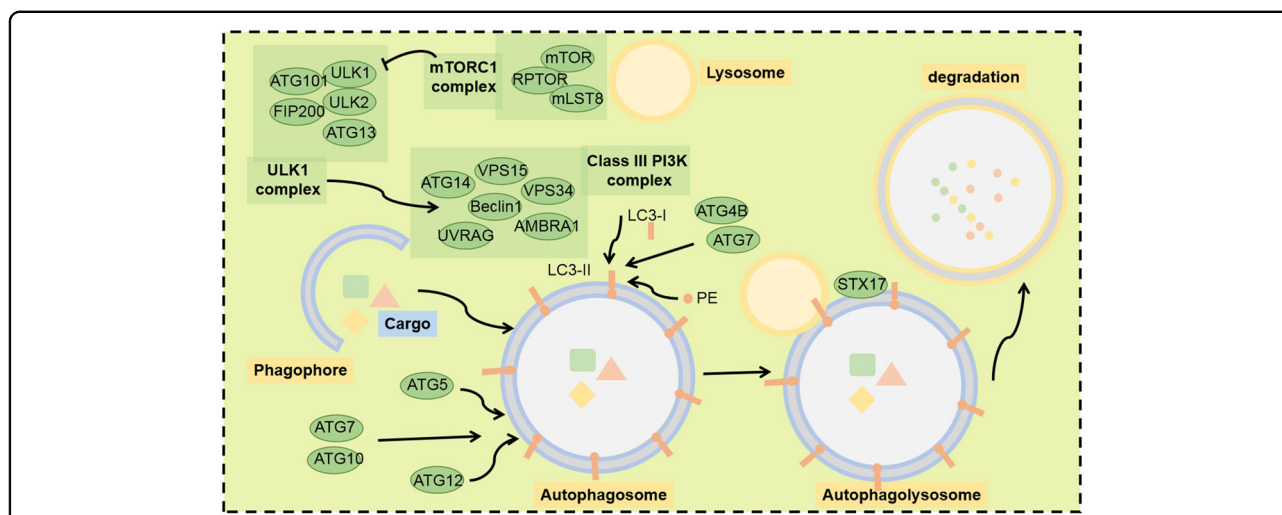
emergence of novel and more advanced autophagy-targeting nano-drug delivery systems.

The potential of autophagy modulation in tumor treatment is well recognized, but this does not mean that the modulation of autophagy is limited to this domain. It is also of considerable relevance to other diseases that have long plagued human beings, such as influenza<sup>19</sup>, neurodegenerative diseases<sup>20,21</sup>, and inflammation<sup>22</sup>.

### Autophagy, cancer, and nanoparticles

Autophagy is a highly conserved catabolic process that maintains cellular homeostasis by transporting waste or hazardous substances to the lysosome. The level of autophagy is significantly enhanced under harsh conditions, such as starvation, in which engulfment of the cytoplasm and other organelles occurs to ensure that the cells have sufficient nutrients for survival<sup>3,23</sup>. Based on how autophagy substrates are delivered to the lysosome, autophagy can be divided into three types: microautophagy, chaperone-mediated autophagy (CMA), and macroautophagy<sup>3</sup>. Microautophagy relies on the direct uptake of cytoplasmic materials by invagination of the lysosomal membrane<sup>24</sup>. CMA involves the translocation of lysosomal-associated membrane protein 2-dependent autophagy substrates that bind to the cytoplasmic chaperone of the heat shock protein family across the lysosomal membrane<sup>25</sup>. Macroautophagy involves special double-membrane vesicles, known as autophagosomes, which gradually isolate autophagic cargo and deliver the cargo to lysosomes by membrane fusion. An organelle formed by the fusion of an autophagosome and a lysosome is often called an autophagolysosome<sup>26</sup>. Macroautophagy is by far the most common form of autophagy. Therefore, unless specified otherwise, the term autophagy in this article refers to macroautophagy. Several molecular signaling pathways are involved in autophagy, including the mechanistic target of rapamycin kinase (mTOR), which is the primary negative regulator of autophagy, as well as 5' AMP-activated protein kinase and class III phosphatidylinositol-3-kinase (PI3K), which are the two types of autophagy-promoting kinases. As shown in Fig. 1, the process of autophagy involves the initiation, formation, and expansion of autophagosomes, fusion between autophagosomes and lysosomes, and the degradation of capsule contents. Autophagy begins with the activation of the ULK1 (also known as autophagy-related gene ATG1) complex, which includes several components, such as ULK1, ULK2, ATG13, ATG101, and FIP200, and activates a class III PI3K complex composed of VPS34, VPS15, ATG14, Beclin1, UVRAG (also known as p63), and AMBRA1<sup>27</sup>. Expansion of the autophagosome membrane depends on the incorporation of ATG5-ATG12 complexes and ATG16 with the help of ATG7 and ATG10. ATG4B, along with ATG7, conjugates LC3-I and lipid





**Fig. 1 Schematic diagram of autophagy signaling and related molecular pathways.** The main processes of autophagy include the initiation of autophagy, the formation of phagophores, the formation of autophagosomes, the fusion of autophagosomes and lysosomes, and the degradation of their contents.

phosphatidylethanolamine to form LC3-II. Ultimately, the autophagosome fuses with the lysosome with the assistance of syntaxin 17 (STX17), the contents are degraded, and the macromolecular precursors are recycled or used to fuel metabolic pathways<sup>28</sup>.

Many studies have shown that reduced expression of autophagy-related genes (such as Beclin1) can increase cancer in mice. Moreover, enhanced expression of these genes (such as Beclin1 and Atg5) can inhibit the occurrence of breast cancer in tumor-bearing mice. Autophagy deficiency may lead to tumor formation<sup>29</sup>, while autophagy may prevent cancer. Autophagy is intimately involved in cancer, and its function becomes more complicated as cancer develops and depends on the availability of nutrients, the stress level of the microenvironment, and the presence of immune surveillance<sup>30–32</sup>. The loss of the ability of a cell to stop proliferating when it comes into contact with neighboring cells is a hallmark of the malignant transformation, growth, invasion, and metastasis of tumors. It has been reported that contact with inhibitory cells (as occurs at high cell density) impairs the formation of autophagosomes<sup>33</sup>. Moreover, a study has shown that the inhibition of autophagy enhances chemotherapeutic drug-induced apoptosis<sup>34</sup>. The rapid proliferation of tumor cells causes a high demand for nutrients. In the limited nutrient environment of the TME, autophagy can promote interaction between the tumor and the matrix, thereby promoting tumor growth. However, when the level of autophagy exceeds the critical threshold, overactivated autophagy no longer exerts a protective effect and instead kills tumor cells by triggering autophagic cell death<sup>35</sup>. When the normal autophagic catabolism of cells is altered

(whether interrupted or enhanced), the normal physiological functioning of cells will be affected, which can lead to cell death. Hence, both the inhibition and promotion of autophagy are considered feasible strategies in cancer treatment, and the specific choice should be based on the actual situation.

There is, however, currently no intervention to regulate autophagy. Although rapamycin, chloroquine (CQ), hydroxychloroquine (HCQ), and several other drugs licensed for human use can activate or inhibit autophagy, they have not been developed for this purpose. Some challenges hinder the development of regulators of clinical autophagy. Many chemical reagents that can be used to activate or inhibit autophagy have inherently low pharmacological specificity for their targets. For example, acute rapamycin administration leads to the relatively specific inhibition of mTORC1 through FK506 binding protein 1A, and prolonged rapamycin exposure can promote the decomposition of mTORC2<sup>36</sup>. Another problem related to specificity stems from the complex structure of tissues, which generally contain several different cell types and participate in a wide range of homologous and heterologous interactions. Most of the currently available autophagy regulators have poor specificity because they do not preferentially target a single cell type. Moreover, several components of the autophagy mechanism operate at the interface of multiple cellular processes; that is, they also mediate autophagy-independent functions. For instance, rapamycin results in strong immunosuppression because it blocks T-cell proliferation<sup>37</sup>. These issues limit the application of autophagy modulation in cancer treatment. Due to their unique properties, NPs offer significant advantages in overcoming these challenges: they can

improve the therapeutic index of drugs by increasing efficacy or by reducing toxicity; by allowing more effective targeting of tissues, cells, or organelles; and by enhancing the pharmaceutical properties of therapeutic molecules (such as stability, solubility, plasma half-life, and tumor accumulation)<sup>14</sup>. Researchers have designed and generated nano-drug delivery systems with different properties according to their respective purposes. For example, the solubility and stability of NPs are increased by the modification of special groups; the timely and accurate release of drugs is achieved by the addition of various stimulation-sensitive groups (such as disulfide, hydrazine, hydrazone, and thioketal bonds), and different types of NPs (such as polymer micelles, liposomes, and metal-organic frameworks) are generated to meet different treatment needs. The emergence of nano-drug delivery systems with different functions has led to very significant advances in cancer treatment, solving many of the problems that have stymied conventional pharmacological agents. There is also a unique connection between NPs and autophagy. Because of their size, NPs are readily taken up by cells, thereby leading to autophagy<sup>38,39</sup>. The mechanism of autophagy induction by nanomaterials is thought to be mediated mainly by intracellular oxidative stress. Under external stress, the phagocytosis of foreign bodies increases, while under some pathological conditions, mitochondrial respiration is enhanced, and a large number of incompletely reduced oxygen atoms accumulate, resulting in the generation of a large number of reactive oxygen species (ROS) and apoptosis<sup>40,41</sup>. Autophagy induced by NPs may be a cellular defense mechanism against NP toxicity<sup>42</sup>. A new study of CQ has found that it can reduce the immunological clearance of NPs by resident macrophages in the liver, increase the tumor accumulation of nanodrugs, and improve drug delivery and efficacy by suppressing autophagy<sup>43</sup>. This means that the combination of autophagy and NPs has great potential for tumor therapy. Some researchers have already devised different forms of autophagy-targeting nano-drug delivery systems to treat tumors, leading to breakthroughs in cancer treatment. The therapeutic strategy of autophagy-targeting nano-drug delivery systems has several advantages. First, these entities can be accurately directed to tumor cells, thereby reducing the nonspecific function of autophagy regulators, enhancing the accumulation of drugs at tumor sites, and consequently enhancing the antitumor efficacy. At the same time, this strategy allows strong interference with the normal autophagy process, such as direct interruption of a certain link or promotion of the catabolism process of autophagy, resulting in disruption of the normal physiology of cells, which can eventually lead to tumor cell death. The different effects of interference with autophagy to different treatment strategies: induction of autophagy versus inhibition of autophagy.

In practice, the choice between inhibition and promotion of autophagy is controversial, as it may depend on the role of autophagy in tumor development. As long as autophagy exerts a positive effect on the treatment of certain cancers, strategies that promote autophagy remain desirable. However, when autophagy adversely affects cancer treatment, inhibition of autophagy is the appropriate strategy. Depending on the type of cancer, therapy should involve an appropriate treatment in combination with autophagy. For example, superficial tumors, such as skin cancer, are more amenable to treatment with phototherapy. Recurrent tumors can be treated with immunotherapy to reduce recurrence and tumor metastasis and to improve prognosis. Chemotherapy is suitable for the treatment of most tumors. As tumors have a variety of survival regulatory mechanisms, comprehensive therapies are becoming increasingly necessary. The choice of therapy should ensure that the treatment is as effective, safe, and convenient as possible.

The following are a number of specific treatment strategies relevant to autophagy. To make the information clearer, key aspects of the strategies below are also listed in Table 1. Thus, Table 1 provides basic information, and more detailed descriptions of the strategies are provided in the text below.

### Strategies for autophagy inhibition

Autophagy is a protective mechanism when tumor cells have not reached the critical autophagy threshold; in this situation, the inhibition of autophagy greatly promotes tumor cell apoptosis. Thus, the inhibition of autophagy can enhance antitumor response when combined with other therapies (such as chemotherapy, phototherapy or immunotherapy).

### Chemotherapeutic nanoparticles for autophagy inhibition

Chemotherapy is the most commonly used cancer treatment. However, the side effects of chemotherapeutics against normal cells are significant due to nonspecific cytotoxicity. Moreover, most antitumor drugs are small hydrophobic molecules, and their solubility, biological metabolism, and other properties are often unsatisfactory, which severely restricts their clinical application. As mentioned above, there have been significant recent advances in nanotechnology<sup>44–46</sup>. Nano-drug delivery systems can overcome the challenges related to solubility, biological metabolism, and nonspecific cytotoxicity. However, other issues cannot readily be addressed, including MDR and the immunological clearance of NPs by resident macrophages. Recent studies have shown that there is a close relationship between autophagy and chemotherapy. It has been shown that antitumor drugs can induce mild autophagy and thus protect tumor cells, which is also one of the reasons underlying MDR<sup>47</sup> and

**Table 1 Excerpts of autophagy-related nanoparticle strategies.**

Strategy	Therapy	Nanoparticle	Autophagy regulator	Benefit	Drawback	Sensitivity	Target	Ref.
Inhibition	Chemotherapy	HPAH-DOX/LY	LY294002 (LY)	Overcome MDR	Low drug-loading rate	pH	PI3K	56
	Phototherapy	3-MA@ZIF-8 NPs	3-MA	High drug-loading capacity	No active tumor targeting	pH	PI3K	59
Immunotherapy		SIPT	SIPT + laser 532 nm	Overcome MDR	No active tumor targeting	pH and 532 nm laser	Lysosome	69
		IONP	CQ	Enhanced photothermal efficacy	No active tumor targeting	808 nm laser	Autophagolysosome	70
Other therapies		D&H-A-A&C	HCQ	Overcome MDR and prevent recurrence.	No active tumor target	Legumain and pH	Autophagolysosome	79
		CA4-FeAlg/HCQ	HCQ	Antiangiogenesis	No active tumor target	pH, glutathione and H <sub>2</sub> O <sub>2</sub>	Autophagolysosome	82
Promotion		CCM-HMTNPs/HCQ	HCQ	Active targeting and deep penetration	Unknown side effects	Ultrasound	Autophagolysosome	83
	Chemotherapy	PLT@BPQDs-HED	BPQDs	Active targeting and safety	Relatively weak stability	pH	PI3K	88
Phototherapy		CD-Ce6-3BP NPs	3-bromopyruvate	Alleviate Tumor hypoxia and inhibit tumor metastasis	No active tumor target	pH and 660 nm laser	HK-II and GAPDH	89
	Immunotherapy	ASN	STF-62247	Autophagy cascade amplification	Lasting time of immune response is limited.	ATG4 enzyme, glutathione and hyaluronidase	PI3K and Golgi trafficking	91
Other therapies		NP-B-OVA	Beclin1	Stronger immune response	An unexpected immune rejection	pH	PI3K	92
		GFS	SNX-2112	Low-temperature photothermal	Relatively weak antitumor efficacy	808 nm NIR	HSP90	93
		ARNP	Rapamycin	Breakdown of tumor cellular homeostasis	Relatively poor biosecurity	Glutathione	mTOR	94

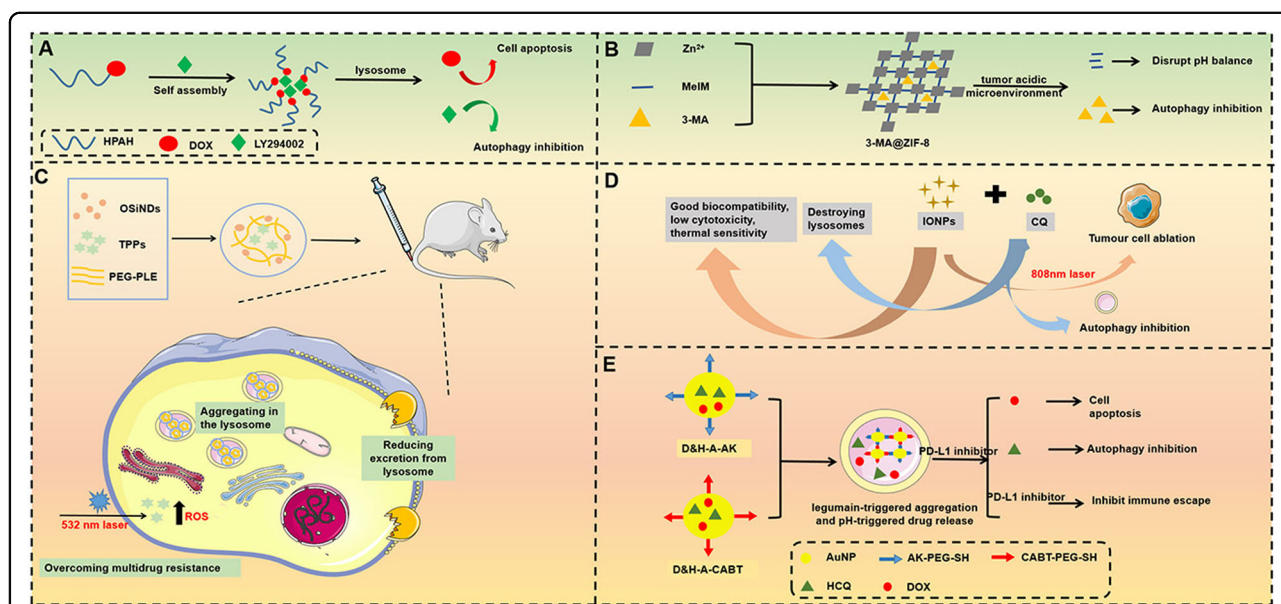
Each type of nanoparticle is summarized in terms of its strategy, therapy, name, autophagy regulator, advantages, disadvantages, sensitivity, and target.



immunological clearance by macrophages<sup>43</sup>. Traditional chemotherapy still suffers from this problem, and researchers have generated nanocomposites to increase drug accumulation in tumor cells to offset the effect of MDR<sup>48–51</sup>. However, this approach can only alleviate but not completely overcome MDR. Due to the negative effects of mild autophagy on chemotherapy, inhibitory strategies have become a promising approach, and the use of autophagy inhibitors is proving to be the most convenient and effective method<sup>52–54</sup>.

As a new material, stimulus-responsive amphiphilic polymers have attracted increasing attention for cancer treatment. Previous studies have shown that these stimulus-responsive nanocarriers can minimize side effects and greatly improve therapeutic effectiveness by responding to changes in the TME over time<sup>55</sup>. Some researchers have already used amphiphilic polymers with autophagy inhibitors and chemotherapeutics to overcome MDR in cancer treatment. For example, as illustrated in Fig. 2A, Wuliji et al.<sup>56</sup> generated polymeric micelles based on an amphiphilic polymer hyperbranched poly-acylhydrazone (HPAH) conjugated with doxorubicin (DOX), which encapsulated the autophagy inhibitor LY294002 (LY) for the treatment of oral squamous cell carcinoma. HPAH has a large number of acylhydrazine groups for further conjugation and has good water

solubility and low cytotoxicity, making it an excellent drug delivery vector. LY is an autophagy inhibitor that inhibits PI3K signaling pathways<sup>57</sup>. The chemotherapeutic drug DOX can bind to HPAH through the hydrazone bond and form hydrophobic terminals of amphiphilic micelles. The autophagy inhibitor LY can then be encapsulated in the core of the self-assembled HPAH-DOX micelles. Then, when HPAH-DOX is endocytosed by tumor cells, the pH-sensitive hydrazone bond is cleaved, releasing LY and DOX. LY helps to reduce the immunological clearance of NPs by macrophages, and it makes tumor cells more sensitive to DOX as MDR is reduced. Based on the 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT) assay, the HPAH-DOX/LY group had lower cell viability than the HPAH-DOX group, demonstrating that the polymer codelivery system combining chemotherapeutic drugs with autophagy inhibitors resulted in an enhanced anticancer effect. According to the fluorescence images, the HPAH-DOX group exhibited stronger fluorescence intensity than the free Rh123 group (hydrophobic fluorescent probe Rh123 was loaded into micelles to replace LY, as LY had no discernible fluorescence). This means that the encapsulation of Rh123 in HPAH-DOX micelles increases their solubility and enhances their delivery to cells. However, conjugation of the prodrug by covalent bonds suffers from a limitation: a low graft rate



**Fig. 2** Examples of chemotherapeutic nanoparticles, phototherapeutic nanoparticles and immunotherapeutic nanoparticles for autophagy inhibition. **A** Schematic diagram of HPAH-DOX/LY, which was designed to overcome multidrug resistance through the combination of autophagy inhibition and chemotherapy. **B** Schematic diagram of 3-MA@ZIF-8 NPs, a controllable drug delivery system consisting of MOF nanoparticles encapsulating autophagy inhibitors. **C** Schematic diagram of lysosome-retained supramolecular nanogel SiPT to overcome multidrug resistance. SiPT is composed of ultrabright organosilica nanodots (OSINDs), the photosensitizer tetraphenylporphinesulfonate (TPPs), and methoxy-poly(ethylene glycol)-poly(L-glutamic acid sodium salt) (PEG-PLGA). **D** Schematic diagram of photothermal therapeutic iron oxide nanoparticle (IONP) used to block autophagic flux. **E** Schematic diagram of D&H-A-A&C nanoparticles combined with a PD-L1 inhibitor to inhibit autophagy for enhanced cancer immunotherapy.

leads to a weak loading capacity, and overcoming this limitation is the key to further development.

Metal-organic frameworks (MOFs) are excellent drug delivery systems due to their high porosity, large surface area, and adjustable functionalities. MOFs are made of metal ions and organic ligands and have been frequently used in oncology therapy<sup>58</sup>. Autophagy has also been explored in relation to the use of MOFs as delivery vectors in tumor treatment. Chen et al.<sup>59</sup> used a type of MOF called a zeolitic imidazole framework (ZIF-8) crystal as the drug vehicle for the autophagy inhibitor 3-methyladenine (3-MA), which is pH-sensitive and has a high drug-loading capacity (Fig. 2B). 3-MA@ZIF-8 dissociates the bonding between zinc and 2-methylimidazole (MeIM), resulting in a loss of its characteristic crystalline nature and release of 3-MA in the acidic environment of the tumor. The release of MeIM from ZIF-8 can lead to an alkaline environment and disrupt the pH balance in the target cells, thereby causing cell toxicity. 3-MA, as an autophagy inhibitor, can inhibit the class III PI3K (Vps34)/Beclin-1 complex and interfere with the formation of autophagosomes, thus enhancing the sensitivity of tumor cells and consequently enhancing apoptosis. The MTT assay showed that 3-MA@ZIF-8 exhibited the highest cytotoxicity, indicating the superiority of the combination of autophagy inhibitors with MOFs. Unfortunately, despite passive targeting due to the low particle size, the absence of active targeting may still lead to nonspecific toxicity. The biodistribution results confirmed that 3-MA@ZIF-8 accumulated in the organs of the reticuloendothelial system, including the lung, liver, and spleen.

#### Phototherapeutic nanoparticles for autophagy inhibition

Studies have shown that the inhibition of autophagy can enhance the sensitivity of tumor cells to radiotherapy<sup>60</sup>. Despite the enhanced toxicity of radiotherapy toward cancer cells, side effects such as the killing of normal cells that proliferate rapidly and increase the incidence of cancer limit the use of radiotherapy. Phototherapy is a new method for tumor ablation and a safe model for cancer treatment<sup>61</sup>. It is commonly used due to its non-invasive nature and the ease of temporospatial control. Phototherapy can be categorized into photodynamic therapy (PDT) and photothermal therapy (PTT), both of which can induce autophagy, causing repression of tumor apoptosis<sup>62,63</sup>. Hence, the inhibition of autophagy can sensitize tumor cells to phototherapy and enhance anti-tumour efficiency.

PDT photosensitizers can kill tumor cells by generating cytotoxic ROS with near-infrared radiation, and the residual tumor cell debris can be released as antigens to induce the maturation of dendritic cells (DCs) and promote infiltration of cytotoxic T lymphocytes into the

tumor site, which is beneficial for tumor treatment<sup>64,65</sup>. PTT takes advantage of thermal-sensitive materials that can convert light energy into heat to ablate tumors<sup>66–68</sup>.

There are several connections between autophagy and phototherapy. As mentioned above, phototherapy can induce autophagy and reduce tumor cell apoptosis. Therefore, many researchers have inhibited autophagy to sensitize tumor cells to phototherapy. Autophagy inhibitors are the most commonly used tools for this, although there are other ways to attenuate autophagy. For instance, Zhang et al.<sup>69</sup> devised a new strategy to inhibit autophagy that involves the destruction of lysosomes (Fig. 2C). Specifically, they generated a supramolecular nanogel SiPT composed of ultrabright organosilica nanodots (OSiNDs), the photosensitizer tetraphenylporphinesulfonate (TPPS), and methoxy-poly(ethylene glycol)-poly(L-glutamic acid sodium salt) (PEG-PLE). OSiNDs allow long-term lysosomal imaging by aggregating in acidic lysosomes. The photosensitizer TPPS can generate ROS and enhance apoptosis in tumor cells. The copolymer PEG-PLE has the advantages of biocompatibility and water solubility. When SiPT reached the tumor cells, they aggregated in the lysosome to form larger aggregates and downregulated the exocytosis of lysosomes from the cells. TPPS could then induce apoptosis by irradiation of the cells with a 532 nm laser, and the combination of SiPT and laser led to inhibition of autophagy by the destruction of lysosomes. Transmission electron microscopy (TEM) images showed that SiPT formed small aggregates comprising two or three NPs in a weakly acidic environment (pH = 6.0) and further clustered in a more acidic medium (pH = 4.5), thus demonstrating their ability to accumulate in acidic environments. Confocal fluorescence images obtained with LysoBlue indicated that the lysosomes were damaged, and autophagy was probably involved. Western blotting was performed to verify the regulation of autophagy in this process. Lower p62 expression levels and higher LC3-II/LC3-I ratios were observed in free TPPS in the laser group, which indicated the enhancement of autophagy. The combined application of SiPT and laser irradiation increased the expression of p62 and LC3-II, suggesting that fusion between autophagosomes and lysosomes was blocked and that autophagy was inhibited due to lysosome damage after PDT treatment. In conclusion, SiPT exhibited potential for resistance to MDR. However, the *in vivo* fluorescence images showed that although SiPT was better than free TPPS at targeting tumors, its distribution in other sites could still be observed, indicating the disadvantages of nonactive targeting.

PTT has also been associated with autophagy inhibition. As shown in Fig. 2D, Ren et al.<sup>70</sup> generated iron oxide NPs (IONPs) that were used in combination with the autophagy inhibitor CQ for cancer treatment. IONPs have good biocompatibility, low cytotoxicity, MRI imaging features,

and, most importantly, thermal sensitivity, thus allowing tumor cell ablation by laser irradiation<sup>71</sup>. It has also been reported that IONPs induce autophagy and resistance to apoptosis<sup>72</sup>. The study used IONPs and CQ with irradiation with an 808 nm laser, and the results of cytotoxicity and cell apoptosis assays showed that the IONP + CQ group exhibited the strongest effect. Compared with the IONP group without CQ, the IONP + CQ group had lower cell viability, demonstrating that autophagy inhibition boosted the efficacy of phototherapy. With the ability to be actively targeted, this type of NP may exhibit improved performance.

Although phototherapy has some advantages, as mentioned above, its poor penetration restricts its clinical application to superficial cancer. Finding new methods to achieve deeper penetrability is an ideal research direction.

### **Immunotherapeutic nanoparticles for autophagy inhibition**

Autophagy is also involved in supporting the survival of dormant tumors, and it may be crucial for the regeneration of these tumor cells. A recent study has shown that dormant tumors from autophagy-deficient animals are reactivated when transplanted into an animal with uncompromised autophagy. This shows that autophagy in the TME is crucial for the regeneration of dormant tumors, which suggests that the inhibition of autophagy could be combined with immunotherapy to prevent tumor recurrence and metastasis<sup>73</sup>.

Immune-deficient mice are more likely to develop cancer than mice with a normal immune system, indicating that cancer is not only a genetic disease but also an immune disease<sup>74</sup>. The immune system is a natural barrier against tumors. However, tumors have developed effective measures for immune evasion. Tumors evade immune surveillance in two ways: (1) immunoselection, the growth of poorly immunogenic tumor cells, and (2) immunosubversion, the destruction of the immune system<sup>12</sup>. Therefore, enhancing targeted recognition of tumors by the immune system is a key objective in tumor immunotherapy.

With the rapid development of immunotherapy, a variety of tumor suppression methods have been developed, including tumor vaccines, immune checkpoint blockade, and chimeric antigen receptor T cells<sup>75,76</sup>. The relationship between autophagy and the immune system is complicated, and some studies have shown that autophagy probably facilitates tumor escape from immune surveillance, leading to resistance to antitumor immunotherapy<sup>77</sup>. Therefore, autophagy inhibition has a salutary effect on tumor immunotherapy.

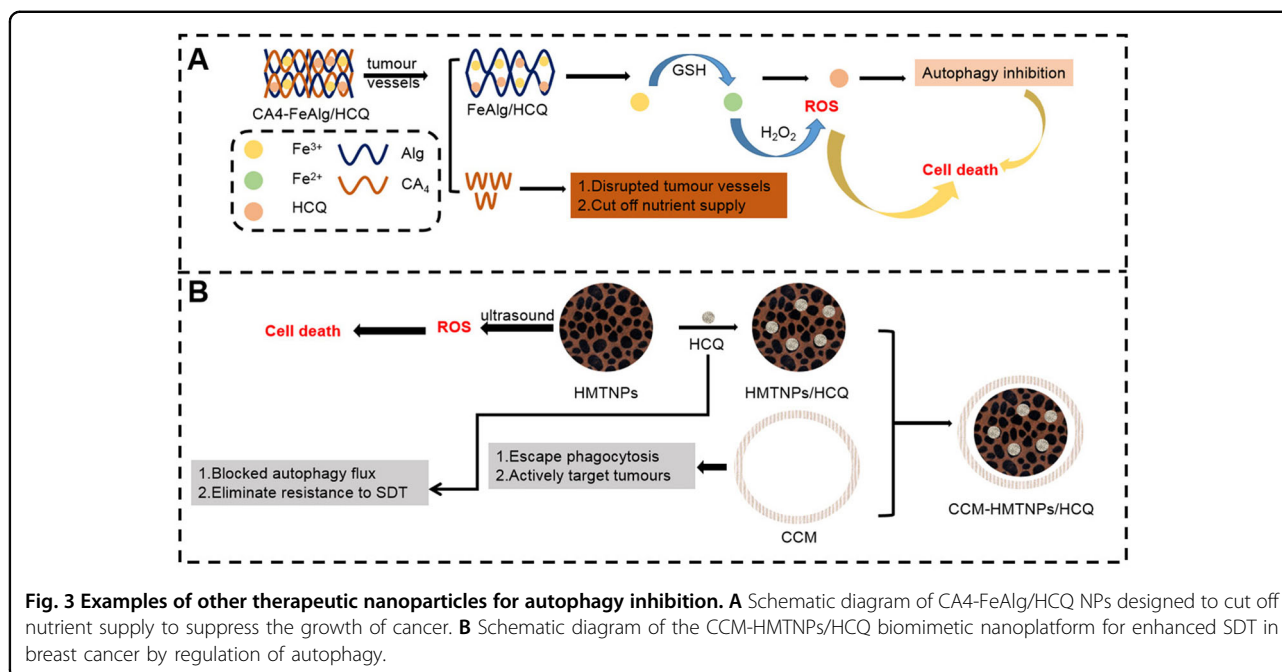
Glioma, the most common primary cancer of the human central nervous system, can ensure its survival by upregulating the expression of PD-L1 and increasing autophagy. Ruan et al. demonstrated that agminated gold

nanoparticles (AuNPs) activated by legumain increased DOX accumulation in glioma sites<sup>78</sup>. Despite the enhancement of the efficacy of chemotherapy, glioma cells could still devise several mechanisms to survive. Therefore, they further devised a drug vehicle, referred to as D&H-A-A&C, accompanied by immunotherapy and autophagy inhibition, to treat glioma<sup>79</sup> (Fig. 2E). D&H-A-A&C is a combination of two NPs: D&H-A-AK and D&H-A-CABT. D&H-A-AK is composed of Ala-Ala-Asn-Cys-Lys-polyethylene glycol-thiol (AK-PEG-SH)-modified AuNPs with pH-sensitive DOX and HCQ as prodrugs. D&H-A-CABT is composed of 2-cyano-6-amino-benzothiazole-polyethylene glycol-thiol (CABT-PEG-SH)-modified AuNPs coloaded with DOX and HCQ prodrugs. When D&H-A-A&C reaches the tumor site by passive targeting and enters the cells, in the presence of legumain, D&H-A-AK can be hydrolyzed to expose the 1,2-thiolamino groups and form AuNP aggregates, which occurs by a click cycloaddition with the contiguous cyano group on D&H-A-CABT. The AuNP aggregates can block the exocytosis of NPs, and more DOX and HCQ are then released in tumor cells through the stimulation of the acidic tumor environment. While DOX exerts its cytotoxic effect, it induces an increase in the expression of PD-L1 and the level of autophagy by inhibiting the mTOR pathway. At this point, HCQ inhibits the formation of autolysosomes by destroying lysosomes, thus inhibiting autophagy. The involvement of PD-L1 inhibitors can inhibit the DOX-induced immune escape mechanism of tumor cells, thus enhancing the immune response. Finally, the three agents synergistically enhanced the antitumor effect, and the *in vivo* TUNEL results showed that D&H-A-A&C had the greatest apoptosis-inducing ability.

### **Other therapeutic nanoparticles for autophagy inhibition**

In addition to the more familiar therapies, there are also a number of novel antitumor therapies. Ferroptosis is another form of regulated cell death characterized by the accumulation of lethal lipid hydroperoxides and could conceivably be used as a new strategy for cancer treatment<sup>80</sup>. Ferroptosis can be induced by the excess ROS produced through the Fenton reaction between  $\text{Fe}^{2+}$  and  $\text{H}_2\text{O}_2$ , leading to tumor cell death<sup>81</sup>. This mechanism of cell death was explored recently. Zhang et al.<sup>82</sup> generated  $\text{CA}_4$ -FeAlg/HCQ nanogels, and they combined ferroptosis with autophagy inhibition (Fig. 3A). When the  $\text{CA}_4$ -FeAlg/HCQ nanogels reached the tumor vascular sites, the vascular blocker combretastatin  $\text{A}_4$  ( $\text{CA}_4$ ) was released, which disrupted tumor vessels. The results of the immunofluorescence assay of PE-CD31 showed that the  $\text{CA}_4$ -FeAlg/HCQ group had the lowest tumor vascular density. However, it caused a lack of nutrition in tumors and an increase in the level of autophagy. The latter provided nutrients by breaking down cell contents,





thereby resisting the therapeutic effects of CA<sub>4</sub>. The FeAlg/HCQ was subsequently broken down into small nanogel particles, which facilitated deep penetration into the tumor. When entering tumor cells, because Fe<sup>3+</sup> was reduced to Fe<sup>2+</sup> by excess glutathione (GSH) in tumor cells, the connection between sodium alginate (Alg) and the Fe<sup>3+</sup> of FeAlg/HCQ was cleaved, and HCQ was rapidly released to inhibit CA<sub>4</sub>-induced autophagy by alkalinizing lysosomes. Lysosomal damage by CA<sub>4</sub>-FeAlg/HCQ demonstrated a powerful inhibitory effect. At the same time, Fe<sup>2+</sup> catalyzed the conversion of hydrogen peroxide in the tumor cells into cytotoxic hydroxyl radicals and enhanced the antitumor effect. However, its distribution in the liver, lung, and kidney *in vivo* suggests that there is still a degree of nonspecific distribution, which may cause adverse side effects.

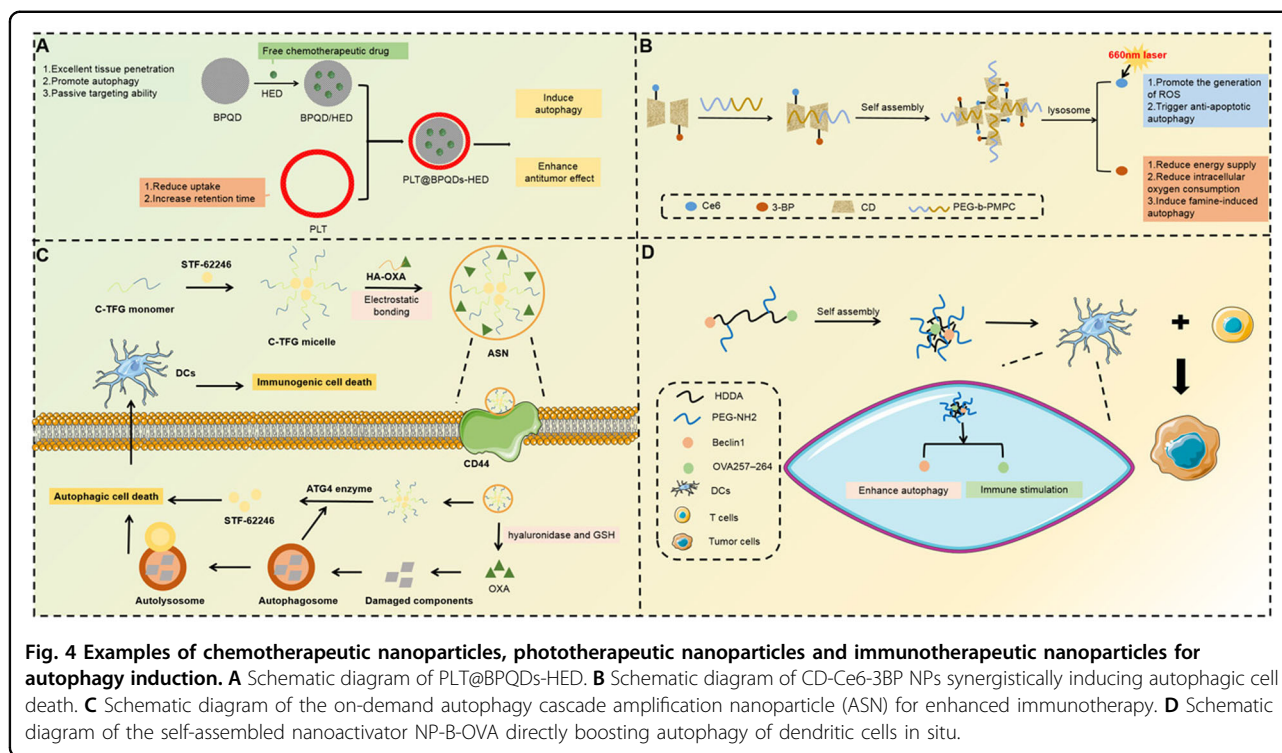
Sonodynamic therapy (SDT), a novel emerging treatment, can achieve deeper tissue penetration than phototherapy and has been used for cancer treatment. However, SDT can induce autophagy and render tumor cells resistant to SDT-mediated apoptosis. Feng et al.<sup>83</sup> devised a biomimetic CCM-HMTNPs/HCQ nanoplatform, which uses a cancer cell membrane as the outer membrane and hollow porous titanium dioxide nanoparticles (HMTNPs) as the basic framework (Fig. 3B). The cancer cell membrane enabled the nanoplatform to escape phagocytosis by macrophages and actively target tumors through homologous targeting ability. Western blotting and cellular uptake assays indicated that CCM-HMTNPs retained CD44 and CD47 to prevent macrophage

phagocytosis, actively targeted cancer cells, and promoted cell uptake. HMTNPs generated ROS by ultrasound activation and induced apoptosis. HCQ, an internally loaded autophagy inhibitor, blocked autophagic flux and cut off the nutrient supply from damaged organelles to eliminate resistance to SDT. Cell viability assays demonstrated that the cytotoxicity of CCM-HMTNPs/HCQ was twofold higher than that of CCM-HMTNPs, indicating that autophagy inhibition enhances the therapeutic effect of SDT. However, the involvement of cancer cell membranes may cause unknown side effects, and this approach should hence be used with caution, even though it may enhance the active targeting of tumors.

In addition to these innovative therapies, there are also other methods for improving the antitumor effects associated with autophagy inhibition, such as homeostatic perturbation therapy<sup>84</sup>, pharmacophore hybridization<sup>85</sup>, and calcium interference<sup>86</sup>. These methods are not discussed further in this review.

### Strategies for autophagy induction

Although autophagy inhibition is effective for tumor treatment, it is difficult to ensure the complete suppression of autophagy using autophagy inhibitors. Previous studies have shown that once the level of autophagy exceeds the critical threshold, overactivation leads to autophagic cell death, enhanced antigen presentation, and increased immune cell recruitment<sup>87</sup>. Based on this, researchers have already used autophagy overactivation to induce tumor cell death, which has been found to yield impressive results.



**Fig. 4** Examples of chemotherapeutic nanoparticles, phototherapeutic nanoparticles and immunotherapeutic nanoparticles for autophagy induction. **A** Schematic diagram of PLT@BPQDs-HED. **B** Schematic diagram of CD-Ce6-3BP NPs synergistically inducing autophagic cell death. **C** Schematic diagram of the on-demand autophagy cascade amplification nanoparticle (ASN) for enhanced immunotherapy. **D** Schematic diagram of the self-assembled nanoactivator NP-B-OVA directly boosting autophagy of dendritic cells in situ.

### Chemotherapeutic nanoparticles for autophagy induction

Similar to the inhibition of autophagy, inducers of autophagy are also used to promote this cellular process. Shang et al.<sup>88</sup> generated PLT@BPQDs-HED NPs that combine chemotherapeutic drugs with the activation of autophagy (Fig. 4A). Hederagenin (HED) is a free drug used for cancer treatment that has poor targeting ability and weak antitumour activity in vivo. Therefore, it has been modified with a platelet membrane to improve its shortcomings. The platelet membrane (PLT) targets tumor cells by binding to the CD44 receptor and P-selectin. Moreover, PLTs are biological membranes that exist naturally in organisms, and their antigenicity is weak, which can reduce uptake by macrophages and increase the retention time of drugs in vivo. Black phosphorus quantum dots (BPQDs) are a type of quantum dot with excellent tissue penetration, biocompatibility, and passive targeting ability. The acidic conditions in tumor cells increase the degradation of BPQDs, accelerating the release of chemotherapeutic drugs. Most importantly, BPQD can promote autophagy by upregulating Beclin1 expression and by promoting the conversion of LC3-I to LC3-II to induce autophagic cell death. The safety, targeting ability, and efficacy of the drug delivery platforms were confirmed by hemolysis, TEM, and CCK-8 assays. At a BPQD concentration of 2.0 mg/ml, the hemolysis ratio was only ~0.5%, which is considered safe, and PLT considerably enhanced the biocompatibility of BPQDs. TEM images showed a significant accumulation of

PLT@BPQDs-HED NPs, confirming their targeting ability. At the same concentration, the cell viability was lowest with PLT@BPQDs-HED, indicating that it had the strongest antitumour effect. As a biomolecule, the blood cell membrane is more prone to denaturation and is more difficult to preserve than polymer materials and MOFs, which limits the clinical application.

### Phototherapeutic nanoparticles for autophagy induction

Autophagy induction can facilitate PDT. Hypoxia is a prominent feature in many solid tumors, and the hypoxic TME is the main obstacle in PDT. However, PDT can be used if the hypoxia in tumor cells is improved. The strategy usually involves decreasing the consumption of oxygen or increasing the supply of oxygen. ROS-triggered autophagy simultaneously exhibits an anti-apoptotic effect in PDT, thus enabling tumor cells to survive. Researchers usually use autophagy inhibitors to overcome this problem, although it is very difficult to completely inhibit autophagy. Deng et al.<sup>89</sup> devised a supramolecular nanoplatform, CD-Ce6-3BP NPs (Fig. 4B), comprising 3-bromopyruvate (3-BP) and chlorin e6 (Ce6) conjugated with  $\alpha$ -cyclodextrin (CD) to form prodrugs through pH-sensitive hydrazone bonds. CD-based prodrugs and poly(ethylene glycol)-b-poly(2-methacryloyloxyethyl phosphorylcholine) (PEG-b-PMPC) constitute the final CD-Ce6-3BP NPs through host-guest interactions. The modification of CD and PEG-b-PMPC increased the solubility, biocompatibility, and plasma half-life in vivo.

The pH-sensitive hydrazone bond is cleaved in acidic lysosomes at pH 5.5, and 3-BP and Ce6 are released. 3-BP, which is an inhibitor of hexokinase-II (HK-II) and glyceraldehyde-3-phosphate dehydrogenase (GAPDH), can reduce the energy supply, significantly reducing intracellular oxygen consumption and prompting famine-induced autophagy by inhibiting glycolysis and mitochondrial respiration. The oxygen consumption of CD-Ce6-3BP NPs was 50% lower than that of CD-Ce6 NPs without 3-BP. The photosensitizer Ce6 promoted the generation of ROS and triggered antiapoptotic autophagy under irradiation with a 660 nm laser. Finally, ROS-induced autophagy and starvation-induced autophagy synergistically led to overactivated autophagy in tumor cells and promoted antitumour effects. Moreover, alleviating tumor hypoxia may be an effective way to inhibit tumor metastasis. The bioluminescence imaging photographs of the 4T1 lung metastases in mice showed that CD-Ce6-3BP NPs had the greatest ability to inhibit tumor metastasis.

#### Immunotherapeutic nanoparticles for autophagy induction

According to several studies, particularly by Kroemer and colleagues, the inhibition of autophagy is not necessarily a good strategy in cancer treatment because it reduces the immune response of antitumour T cells<sup>90</sup>. The rationale is that autophagy in dead tumor cells is necessary for the occurrence of immunogenic cell death (ICD), which leads to effective recognition by the immune system and activation of durable antitumour immune responses.

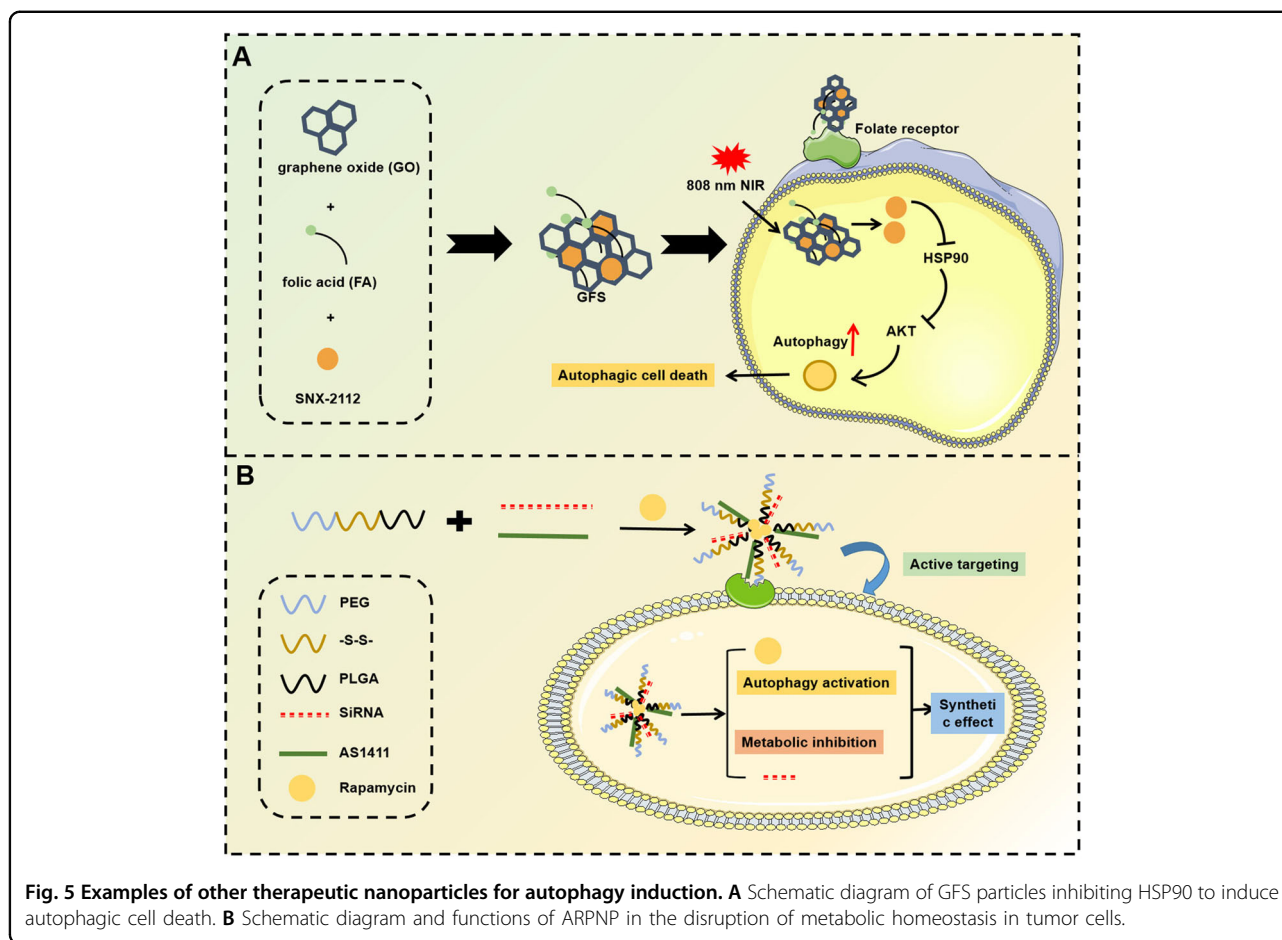
Due to the positive role of autophagy in immunotherapy, taking advantage of augmented autophagy is common. He et al.<sup>91</sup> designed on-demand autophagy cascade amplification nanoparticles (ASNs), which were very effective at taking advantage of different TME to achieve a hierarchical release function (Fig. 4C). ASNs are prepared by self-assembling C-TFG monomers, which are sensitive to the autophagy enzyme ATG4 and coated with oxaliplatin (OXA)-grafted hyaluronic acid (HA) prodrug (HA-OXA) by electrostatic binding. When ASNs enter the blood circulation, they are able to actively target tumor sites. After entering tumor cells, OXA is first dissociated from HA-OXA by hyaluronidase and recovers its active form as a result of the reduction effect of GSH. Free OXA induces mild autophagy, which can contribute to the secretion of ATG4 in tumor cells and enhance cancer immunotherapy by the secretion of ATP, which recruits DCs and triggers ICD. C-TFG micelles are cleaved, and the autophagy inducer STF-62247 is released to induce autophagic cell death. The MTT assay showed that the  $IC_{50}$  of ASN was  $6.477 \pm 0.811 \times 10^{-6}$  M, which is 3.81-fold lower than that of OXA alone, which indicated the

strongest antitumour effect. When the autophagy inhibitor 3-MA was added, the cell viability of the OXA group decreased because the protective autophagy induced by OXA was suppressed and the antitumour efficacy was enhanced. There was no significant difference between the AIN (the ASN analog unable to respond to only ATG4) and the AIN + 3-MA groups. In contrast, the cell viability of the ASN group incubated with 3-MA increased, indicating a higher level of autophagy, which induced autophagic cell death instead of continually protecting the cells. These findings highlight the role of autophagy cascade amplification, and this study is an outstanding example of immediate autophagy-responsive NPs, which is a useful conceptual framework for the further construction of autophagy-targeting NPs. However, the immune response is not long-lasting, which is a limitation common to immunotherapy.

Wang et al.<sup>92</sup> adopted another strategy to enhance immunotherapy by activating DCs *in situ*. As depicted in Fig. 4D, they generated NP-B-OVA nanoactivators based on polymers made of a hydrophobic monomer (HDDA), a pH-responsive monomer (DBPA), and hydrophilic amino-terminated polyethylene glycol (PEG-NH<sub>2</sub>). The autophagy promoter Beclin1 and antigen peptide OVA<sub>257–264</sub> were conjugated with the polymers. With immune stimulation induced by OVA<sub>257–264</sub>, Beclin1 enhanced DC autophagy, increased the immune response, and improved the antitumour efficacy. DCs stimulated *in vitro* were injected into C57BL/6 mice, and the flow cytometry results indicated that NP-B-OVA showed an approximately twofold increase in CD8<sup>+</sup> T cells compared with the non-Beclin1 group as a result of autophagy promotion. However, exogenous antigens triggered unexpected immune rejection.

#### Other therapeutic nanoparticles for autophagy induction

There are additional ways to promote autophagy in cancer treatment. It should be kept in mind that conventional PTT is limited by the use of high temperature, which causes severe pain. However, a new therapy, ultrafast low-temperature photothermal therapy (LTPTT), induces PTT at 38–43 °C to overcome this drawback. Osteosarcoma is a common malignant tumor in adolescents and is prone to relapse and metastasis. Deng et al.<sup>93</sup> generated GFS particles composed of the tumor-targeting ligand folic acid, the photothermal material graphene oxide (GO), and the heat shock protein 90 (HSP90) inhibitor SNX-2112 with LTPTT (Fig. 5A). When GFS particles enter tumor cells, GO breaks down and releases SNX-2112 under 808 nm laser light. It has been shown that autophagy can protect tumor cells in the presence of HSP90 but kills tumor cells in its absence. Therefore, the released SNX-2112 can inhibit HSP90, which leads to downregulation of the AKT pathway and



consequently to autophagic cell death at low temperatures and thermal pain relief. The main cause of death was studied using flow cytometry. The cell viability of free SNX-2112 under 808 nm laser light was ~80%, and that of the non-SNX-2112 GF group was ~60%. This means that LTPTT without autophagy modulation and SNX-2112 alone are incapable of killing tumor cells. The combination of the two strategies provides the required efficacy, and it may be necessary to assess the relationship between safety and clinical efficacy.

The regulation of tumor metabolism is potentially an effective way to kill tumors. The rapid proliferation of tumor cells requires that they optimize the utilization of nutrients to adapt to nutrient-deficient conditions. Researchers have inhibited tumor growth and metastasis by disrupting the metabolic reprogramming of tumor cells. However, this nutritional restriction may lead to metabolic flexibility in tumors, which involves filling the metabolic pool of one nutrient with another nutrient to maintain growth and survival. Autophagy also plays a role in nutrient metabolism in tumors. Under nutrient-deficient conditions, autophagy degrades cellular components and provides nutrients for tumor cells to ensure

their survival. Although excessive autophagy can lead to tumor cell death, the effect of conventional autophagy inducers is not sufficient. Therefore, Guo et al.<sup>94</sup> devised a codelivery system, ARPNP, which is composed of the autophagy inducer rapamycin, anti-PFKFB4 siRNA, and a nucleoprotein targeting aptamer AS1411 (Fig. 5B). PFKFB4 is a metabolic enzyme of fructose-2,6-bisphosphatase-4, which can promote aggressive metastatic tumors and synthesize glycolytic stimulating factors. Downregulation of PFKFB4 can inhibit the SRC3/Akt/mTOR pathway, which impedes tumor cell killing and promotes autophagy to induce tumor cell apoptosis. ARPNP can synchronously regulate glycolysis and autophagy, ensuring that autophagy plays an antitumor role while inhibiting tumors from reprogramming metabolism and disrupting cancer cell homeostasis. 4T1 cell uptake experiments showed that the fluorescence intensity gradually increased with an increase in aptamer modification. However, there did not appear to be a significant difference between 20 and 40% modification, which may be due to the saturation of nucleolin. Either way, modification of the aptamer AS1411 increased the accumulation of ARPNP in tumor cells. As expected,



ARNPN showed the strongest ability to inhibit PFKFB4 expression and initiate autophagy, according to western blot and acridine orange staining. However, the 40-day mouse survival rate showed that only 50% of the mice in the ARPNP group survived, which suggests that more attention should be given to its biological safety.

### Clinical and preclinical studies

Preclinical and clinical studies of autophagy-related tumor therapies are ongoing. Many preclinical studies have demonstrated that suppressing autophagy during tumor therapy appears to be a good approach. Since the initial finding of Amaravadi et al.<sup>95</sup>, numerous *in vitro* models, genetically engineered mouse models, and patient-derived xenograft mouse models have shown that the combination of different anticancer drugs and autophagy inhibition can produce better therapeutic results<sup>30,96</sup>. HCQ and CQ are currently the only drugs used in clinical practice to inhibit autophagy. They are also thought to improve the prognosis. For example, in an institutional study, routine chemotherapy for glioblastoma was found to extend median survival and reduce mortality in the CQ treatment group, thus demonstrating the safety of CQ and the potential for extended survival<sup>97</sup>. Moreover, an experiment involving HCQ in combination with the chemotherapy drug doxorubicin to treat canine lymphoma proved the safety of this combination strategy and provided useful evidence for clinical research on autophagy targeting<sup>98</sup>. In clinical trials, the results obtained by the use of autophagy inhibitors vary significantly. Although it has been shown that treatment with CQ can prolong the median survival time of glioblastoma, the results of HCQ combined with chemotherapy and radiotherapy in phase I/II trials showed that the survival rate of patients with glioblastoma was not significantly improved<sup>99</sup>. The different results may be ascribed to the dose-limiting toxicities, which prevent the full efficacy of HCQ from being reached.

Kroemer and colleagues have shown that it may not be a good idea to inhibit autophagy in tumor treatment, especially as it can reduce the antitumor T-cell response<sup>100,101</sup>. They have also shown that enhanced

autophagy can promote tumor immunotherapy<sup>102</sup>. However, there is a caveat, as they focused on tumor models with high immunogenicity, such as the CT26 colon cancer mouse model, which may have affected the results. For example, in one experiment, poorly immunogenic mouse B16 melanoma and 4T1 breast cancer cells were used, and it was found that the immune response of tumor-bearing mice with high autophagy levels was equivalent to that of mice with normal autophagy levels<sup>103</sup>.

In cancer treatment, the inhibition or promotion of autophagy is not specifically aimed at tumor cells, which makes it necessary to consider the systemic toxicity of inhibition or promotion of autophagy. In a preclinical study, researchers knocked out the necessary genes for autophagy in adult mice, which led to all of the mice dying<sup>104</sup>. This indicates that it is more meaningful to specifically target tumor autophagy, and the advantages of nano-drug delivery systems should be kept in mind in this regard. Table 2 summarizes a number of autophagy-targeting NPs in clinical and preclinical studies.

Few NP constructs that target autophagy are suitable for preclinical and clinical trials. As mentioned above, the autophagy modulators in preclinical and clinical trials are generally not specific to autophagy. There is, therefore, a need for further concerted research efforts to create state-of-the-art nano-drug delivery systems to improve the application of autophagy modulation in tumor therapy.

### Conclusions and outlook

Owing to their unique characteristics, nano-drug delivery systems have shown potential for tumor treatment. As tumor cells grow more quickly than normal cells and the TME differs from the normal physiological environment, it is possible to devise NPs that selectively target tumors. With increased understanding of the mechanisms of autophagy from physiological and medical studies, it is now recognized that autophagy plays a remarkable role in tumor therapy as a physiological phenomenon that occurs broadly in eukaryotic cells. Autophagy-targeting NPs have become a new research field, and they exhibit opposing effects depending on the tumor status (i.e., inhibition versus promotion). This

**Table 2** Clinical and preclinical studies on autophagy-targeted nanoparticles.

Name	Nanotechnology	Autophagy regulator	Status	Target	Ref.
Nab-rapamycin (ABI-009)	Albumin NP	rapamycin	Phase I	mTORC1 inhibitors	14
Lf-MDCs	Iron oxide NP	curcumin	preclinical	Polyphenol	105
SWNT-PEI/siRNA/NGR	Carbon nanotube	siRNAs	preclinical	Autophagic proteins mRNA	106
LCP	Liposomes or lipid-based NPs	siRNAs	preclinical	Autophagic proteins mRNA	107
PPSTs	Polymeric micelles or NPs	siRNAs	preclinical	Autophagic proteins mRNA	108

review summarizes the various strategies devised to date to inhibit or promote autophagy. Researchers have utilized different types of nanomaterials, such as polymeric micelles and MOFs, to regulate autophagy in a timely and accurate manner. Compared with traditional therapies (such as chemotherapy, phototherapy and immunotherapy), the combination of NPs with autophagy modulators exhibits stronger antitumor effects. The results of clinical and preclinical studies suggest that the development of autophagy-targeting NPs needs to advance toward tumor targeting, biosafety and combination strategies.

From this summary of autophagy-targeting NPs, it can be seen that the three main ways to influence autophagy in cancer treatment are the direct use of autophagy-related proteins or their coding sequences; the use of autophagy inhibitors or activators confirmed by clinical or preclinical studies; and the synthesis of materials whose physical or chemical characteristics have been proven to regulate the level of autophagy. Although this approach has already achieved surprising results, there are still some challenges associated with the development of autophagy-targeting NPs. First, many scientific questions remain unanswered because research on the regulation of autophagy by nanomaterials is still in its infancy. Due to the complexity of the TME, there is still a long way to go before NPs are suitable for clinical applications, such as the precise targeting of tumor cells. Assessment of the critical threshold between mild autophagy and acute autophagy requires more precise and quantitative criteria rather than a simple qualitative and malleable distinction. This may require new and more advanced intracellular markers, which can only be expected from further advances in this area of research. It is thought that overcoming these limitations will contribute to the improvement of strategies for the use of autophagy-targeting NPs in the field of tumor therapy.

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#### Author contributions

Y.L. conceived and designed the topic of this review. X.L. and J.Y. collected the literature and wrote the article. Z.Z. and J.C. collected the literature and summarized the insert figures and tables. B.H. and Y.S. reviewed and revised this review. All authors read and approved the final manuscript.

#### Conflict of interest

The authors declare no competing interests.

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