## ARTICLE

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# Metformin exerts multitarget antileukemia activity in JAK2<sup>V617F</sup>-positive myeloproliferative neoplasms

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### Abstract

The recurrent gain-of-function JAK2<sup>V617F</sup> mutation confers growth factor-independent proliferation for hematopoietic cells and is a major contributor to the pathogenesis of myeloproliferative neoplasms (MPN). The lack of complete response in most patients treated with the JAK1/2 inhibitor ruxolitinib indicates the need for identifying novel therapeutic strategies. Metformin is a biguanide that exerts selective antineoplastic activity in hematological malignancies. In the present study, we investigate and compare effects of metformin and ruxolitinib alone and in combination on cell signaling and cellular functions in JAK2<sup>V617F</sup>-positive cells. In JAK2<sup>V617F</sup>-expressing cell lines, metformin treatment significantly reduced cell viability, cell proliferation, clonogenicity, and cellular oxygen consumption and delayed cell cycle progression. Metformin reduced cyclin D1 expression and RB, STAT3, STAT5, ERK1/2 and p70S6K phosphorylation. Metformin plus ruxolitinib demonstrated more intense reduction of cell viability and induction of apoptosis compared to monotherapy. Notably, metformin reduced Ba/F3 JAK2<sup>V617F</sup> tumor burden and splenomegaly in Jak2<sup>V617F</sup> knock-in-induced MPN mice and spontaneous erythroid colony formation in primary cells from polycythemia vera patients. In conclusion, metformin exerts multitarget antileukemia activity in MPN: downregulation of JAK2/STAT signaling and mitochondrial activity. Our exploratory study establishes novel molecular mechanisms of metformin and ruxolitinib action and provides insights for development of alternative/complementary therapeutic strategies for MPN.

### Introduction

Philadelphia chromosome-negative myeloproliferative neoplasms (MPN), including essential thrombocythemia (ET), polycythemia vera (PV) and primary myelofibrosis (PMF), are characterized by excessive myeloid

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proliferation and have heightened risk for acute myeloid leukemia (AML) transformation<sup>1</sup>. Constitutive activation of the JAK2/STAT signaling pathway is a hallmark of these diseases and plays an important role for MPN pathogenesis. Ruxolitinib is a selective JAK1/2 inhibitor approved by the FDA for the treatment of intermediate and high-risk PMF, and PV patients with inadequate response or intolerant to hydroxyurea. In PMF patients, ruxolitinib is well tolerated, reduces inflammatory cytokines and splenomegaly, and ameliorates constitutional symptoms<sup>2–4</sup>. In PV patients, ruxolitinib controls the

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hematocrit, reduces the spleen volume, and improves symptoms<sup>5</sup>. However, ruxolitinib treatment does not reverse bone marrow fibrosis and does not lead to elimination of the malignant clone, suggesting the need for new therapeutic approaches to further improve patient responses.

Metformin (1,1-dimethylbiguanide) is a biguanide widely prescribed for the treatment of type II diabetes and

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**Fig. 1 Metformin potentiates ruxolitinib-induced cell viability reduction in JAK2**<sup>V617F</sup> **cells. a** Dose-response and time-response cytotoxicity curves analyzed by methylthiazoletetrazolium (MTT) assay for HEL and SET2 cells treated with metformin for 24, 48 and 72 h. Values are expressed as the percentage of viable cells for each condition relative to untreated controls. Results are shown as the mean  $\pm$  SD of four independent experiments. **\*\*\*** *p* < 0.0001 for metformin-treated cells vs. untreated cells; ANOVA test and Bonferroni post-test, all pairs were analyzed and statistically significant differences are indicated. **b** Cell viability was determined by MTT assay in HEL or SET2 cells treated, or not, with the indicated concentrations of ruxolitinib and/or metformin for 48 h and normalized to corresponding untreated cells. Bar graphs represent the mean  $\pm$  SD of at least four independent experiments. **c** Apoptosis was detected by flow cytometry in HEL or SET2 cells treated with ruxolitinib and/or metformin for 48 h using an annexin V/PI staining method. Representative dot plots are shown for each condition; the upper and lower right quadrants (Q2 plus Q3) cumulatively contain the apoptotic population (annexin V+ cells). **d** Bar graphs represent the mean  $\pm$  SD of at least four independent experiments equantifying apoptotic cell death. The *p* values and cell lines are indicated in the graphs. \**p* < 0.05 for metformin-treated and/or ruxolitinib-treated cells vs. combination treatment at the corresponding doses; ANOVA test and Bonferroni post-test, all pairs were analyzed and statistically significant differences are indicated

metabolic syndromes. In recent years, studies using cancer cell lines and murine models have provided evidence for potential anticancer activity of metformin<sup>6,7</sup>. Some molecular mechanisms for this activity have been proposed, including inhibition of energetic metabolism, cell proliferation and survival signaling pathways, which may occur in an AMPK-dependent or AMPK-independent manner<sup>8–10</sup>. In addition, preclinical studies testing the combination of chemotherapeutic agents with metformin have appeared promising in the treatment of some solid tumors<sup>11</sup>.

Considering that metformin has been proposed to be selective for hematological malignant cells<sup>12–16</sup> and that metformin has been used for a long time for the treatment of metabolic diseases, preclinical studies to assess the effect metformin may be interesting in MPN, since these findings have potential for incorporation in clinical practice. In the present study, we investigate the cellular and molecular effects of treatment with metformin alone and in combination with ruxolitinib in JAK2<sup>V617F</sup> MPN models.

### Results

### Metformin reduces cell viability, proliferation, clonogenicity and cell cycle progression in HEL and SET2 cells

To characterize the potential efficacy of metformin in human JAK2<sup>V617F</sup>-positive cells, we first investigated the effects of metformin treatment on cell viability in HEL and SET2 cells. In both JAK2<sup>V617F</sup> cell lines analyzed, metformin reduced cell viability in a dose-dependent and time-dependent manner (Fig. 1a). The IC<sub>50</sub> values for metformin in HEL and SET2 cells were 18 and 10 mM at 72 h, respectively. Based on previous studies using leukemia cell lines<sup>17</sup> and our IC<sub>50</sub> results, we decided to use metformin at 5 and/or 10 mM for in vitro studies. Next, we evaluated the effects of metformin alone and in combination with ruxolitinib on JAK2<sup>V617F</sup> cell lines by methylthiazoletetrazolium (MTT) assay. In HEL and SET2 cells, treatment with either ruxolitinib or metformin alone significantly reduced the cell viability (*p* < 0.05), and

the combination of ruxolitinib (300 nM) plus metformin (10 mM) significantly decreased cell viability when compared with monotherapy (p < 0.05) (Fig. 1b). Synergy analysis indicates that the combination of ruxolitinib ≥300 nM with metformin presented synergistic effects in HEL cells (all combination index (CI) values <0.60). In SET2 cells, combinations of ruxolitinib ≤300 nM or metformin ≤15 mM varied from slight synergism (CI: 0.85–0.90), moderate synergism (CI: 0.70–0.85), to synergism (CI < 0.60); the combinations of ruxolitinib >300 mM or metformin >15 mM showed no synergistic effects (Supplementary Figure 1).

Given these findings, the effects of metformin combined with ruxolitinib were further assayed with respect to cell death and proliferation. Similar to findings for cell viability, SET2 cells subjected to metformin (5 and 10 mM) or ruxolitinib (300 mM) treatment significantly induced apoptosis, and combined treatment (10 mM metformin plus 300 nM ruxolitinib) presented higher levels of apoptosis compared with monotherapy (p < 0.05). By contrast, in HEL cells only the combination of metformin (10 mM) plus ruxolitinib (300 nM) significantly induced apoptosis (Fig. 1c,d). Ki-67 analysis revealed that treatment with metformin or ruxolitinib alone or in combination significantly reduced cell proliferation, but no additive effect of the combination was observed in HEL and SET2 cells (Fig. 2a).

In both JAK2<sup>V617F</sup> cell lines, metformin and/or ruxolitinib resulted in a delay of cell cycle progression, with significant reduction in the percentage of cells in  $G_2/M$ phase (Fig. 2b); long-term exposure to metformin or ruxolitinib also strongly decreased clonogenicity. Interestingly, combined treatment (10 mM metformin plus 300 nM ruxolitinib) completely inhibited colony formation (Fig. 2c).

# Metformin modulates the JAK2/STAT signaling pathway in HEL and SET2 cells

We next sought to compare the effects of metformin and ruxolitinib on activation of the JAK2/STAT and



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**Fig. 2 Metformin and ruxolitinib reduce cell proliferation and delay cell cycle progression in HEL and SET2 cells. a** Ki-67 mean fluorescence intensity (MFI) was determined by flow cytometry after incubation of HEL or SET2 cells treated with ruxolitinib and/or metformin for 48 h; histogram traces are illustrated. The bar graphs represent the Ki-67 M.F.I normalized to the respective untreated control cells, and results are shown as mean  $\pm$  SD of four independent experiments; \*p < 0.05, ANOVA test and Bonferroni post-test, all pairs were analyzed and statistically significant differences are indicated. **b** Cell cycle progression was determined by BD Cycletest<sup>TM</sup> Plus DNA Reagent Kit in HEL or SET2 cells treated with the indicated concentrations of ruxolitinib and/or metformin for 48 h. A representative histogram for each condition is illustrated. Bar graphs represent the mean  $\pm$  SD of the percent of cells in G<sub>0</sub>/G<sub>1</sub>, S and G<sub>2</sub>/M phase upon ruxolitinib (300 nM) and/or metformin (10 mM) for 48 h and represent at least four independent experiments. The *p* values and cell lines are indicated in the graphs. \*p < 0.05 for metformin-treated and/or ruxolitinib-treated cells vs. untreated cells; ANOVA test and Bonferroni post-test, all pairs were analyzed and statistically significant differences are indicated. **c** Colonies containing viable cells were detected by MTT after 10 days of culture of HEL and SET2 cells treated with ruxolitinib and/or metformin and normalized to the corresponding untreated controls. Colony images are shown for one experiment and the bar graphs show the mean  $\pm$  SD of at least four independent experiments. The *p* values and cell lines are indicated in the graphs: \*p < 0.05 for metformin-treated and/or ruxolitinib-treated cells vs. untreated cells, #p < 0.05 for metformin-treated cells vs. combination treatment at the corresponding doses; ANOVA test and Bonferroni post-test, all pairs were analyzed and statistically significant differences are indicated cells vs. untreated cells

mTOR/p70S6K/4EBP1 signaling pathways. As expected, western blot analysis revealed that ruxolitinib (300 nM) was able to reduce STAT3, STAT5, ERK1/2, mTOR, 4EBP1 and p70S6K phosphorylation in HEL and SET2 cells. Surprisingly, treatment with metformin (5 and 10 mM) neither increased AMPK activation nor decreased mTOR phosphorylation in HEL and SET2 cells. Metformin reduced activation of STAT3, STAT5, ERK1/2, 4EBP1 and p70S6K, albeit to a lesser extent than ruxolitinib (Fig. 3a and Supplementary Figure 2). High levels of cleaved caspase 3 and cleaved PARP1 were observed following treatments with metformin and ruxolitinib alone and in combination in SET2 cells (Fig. 3a and Supplementary Figure 2).

# Metformin and ruxolitinib modulate PI3K/AKT-related genes in HEL and SET2 cells

Next, using PCR array, we investigated the effects of metformin alone and in combination with ruxolitinib on expression of PI3K/AKT-related genes in HEL cells. A total of 21 different genes demonstrated a change in expression of  $\geq$ 1.5-fold in either direction compared to untreated cells: five downregulated genes (CCND1, FASLG, ITGB1, PDGFRA and TLR4) and six upregulated genes (AKT3, FOXO1, ILK, MTOR, RBL2 and WASL) by metformin treatment alone; 11 downregulated genes (CCND1, FASLG, FOS, FOXO1, GRB10, IGF1R, MAP2K1, PDGFRA, PIK3CG, PRKCB and TLR4) and three upregulated genes (ILK, ITGB1 and TSC2) by ruxolitinib treatment alone; and ten downregulated genes (CCND1, FASLG, FOS, GRB10, IGF1R, MAP2K1, PDGFRA, PIK3CG, PRKCB and TLR4) and seven upregulated genes (CDKN1B, ILK, IRAK1, ITGB1, MTOR, *PRKCA* and *WASL*) by the combination (Fig. 3b; Supplementary Table 1). Based on our findings indicating a cytostatic effect of metformin, we choose two genes (CCND1 and CDKN1B) involved in cell proliferation to validate in a larger number of experiments using HEL and SET2 cells, by qPCR. Metformin and ruxolitinib treatment alone or in combination significantly reduced *CCND1* expression (p < 0.05) in both JAK2<sup>V617F</sup> cell lines. Ruxolitinib alone or in combination with metformin increased *CDKN1B* expression in HEL cells. In contrast, treatment with either metformin or ruxolitinib alone increased *CDKN1B* expression in SET2 cells, and this effect was enhanced by combined treatment (p < 0.05; Fig. 3c). In conjunction with these findings, we observed that phosphorylation of RB, a cyclin D1 target and a key cell cycle progression-related protein<sup>18</sup>, was reduced following metformin, ruxolitinib, or combination treatment in both JAK2<sup>V617F</sup> cell lines, though more prominently in SET2 cells (Fig. 3d).

# Metformin reduces cell viability and potentiates ruxolitinib effects in JAK2<sup>V617F</sup>-driven cellular and allograft models

Ba/F3 cells are an IL3-dependent line which, when expressing the  $JAK2^{V617F}$  mutant gene, acquire IL3independent growth<sup>19</sup>. Using Ba/F3 JAK2<sup>WT</sup> and Ba/F3 JAK2<sup>V617F</sup> models, we evaluated the effect of metformin and/or ruxolitinib on cell viability and apoptosis in the presence or absence of IL-3-rich Wehi-3B-conditioned medium. With or without supplemented Wehi-3B conditioned medium, both metformin and ruxolitinib were able to reduce cell viability and induce apoptosis to similar levels in both Ba/F3 JAK2<sup>WT</sup> and Ba/F3 JAK2<sup>V617F</sup> cells, and combined treatment presented more prominent efficacy (p < 0.05; Supplementary Figure 3). Based on these results, we performed subsequent experiments using only IL3-deprived Ba/F3 JAK2<sup>V617F</sup> cells, which are a model where cell viability is supported by the JAK2  $^{\rm V617F}$ mutant's oncogenic potential. Treatment with metformin, ruxolitinib, or the combination induced cell cycle arrest, reduced cell proliferation and clonogenicity, and downregulated STAT3, STAT5 and p70S6K phosphorylation (Fig. 4a-d). Metformin treatment (125 mg/kg/day) was able to reduce the tumor burden of Ba/F3 JAK2<sup>V617F</sup> cells implanted into NSG mice (p < 0.05; Fig. 4e and Supplementary Figure 4).





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Fig. 4 Metformin delays cell cycle progression, reduces colony formation, downregulates JAK2/STAT activation and decreases tumor burden in Ba/F3 JAK2<sup>V617F</sup> cells. a Cell cycle phase profiling was determined by BD Cycletest<sup>TM</sup> Plus DNA Reagent Kit in Ba/F3 JAK2<sup>V617F</sup> cells treated with ruxolitinib and/or metformin for 24 h. A representative histogram for each condition is illustrated. Bar graphs represent the mean ± SD of the fraction of cells in  $G_0/G_1$ , S and  $G_2/M$  phase for each treatment condition across at least four independent experiments. **b** Ki-67 MFI was determined by flow cytometry after incubation of Ba/F3 JAK2<sup>V617F</sup> cells treated with the indicated concentrations of ruxolitinib and/or metformin for 24 h. The Ki-67 M.F.I was normalized to the respective untreated control cells and results are shown as the mean ± SD of four independent experiments. c Colonies containing viable cells were detected by MTT after 10 days of culture of Ba/F3 JAK2<sup>V617F</sup> cells treated with ruxolitinib and/or metformin and normalized to the corresponding untreated controls. Colony images are shown for one experiment and the bar graphs show the mean ± SD of at least four independent experiments. d Western blot analysis for p-Stat3<sup>Y705</sup>, p-Stat5<sup>Y694</sup>, p-Erk1/2<sup>T183/Y185</sup>, p-4ebp1<sup>T70</sup>, p-p70s6k<sup>T421</sup>, <sup>5424</sup> and caspase 3 (total and cleaved) levels in total cell extracts from Ba/F3 JAK2<sup>V617F</sup> cells treated with ruxolitinib and/or metformin for 24 h; membranes were reprobed with the antibody for the detection of the respective total protein or actin, and developed with the SuperSignal<sup>TM</sup> West Dura Extended Duration Substrate system and a Gel Doc XR+ system. e Images and volumes (mean ± SEM) of tumors induced by subcutaneous injection of Ba/F3 JAK2<sup>V617F</sup> cells in NSG mice, treated with vehicle (PBS) (n = 4) or metformin (125 mg/kg/day) (n = 4). Tumor volume (V) was calculated using the formula ( $V = W^2 \times L \times 0.52$ ), where W and L represent the smallest and largest diameters, respectively. Images of individual animal tumors are shown; Scale Bar: 10 mm. The p values and cell lines are indicated in the graphs. \*p < 0.05, \*\*p < 0.01, \*\*\*p < 0.001 for metformin-treated and/or ruxolitinib-treated cells vs. untreated-cells, #p < 0.05 for metformin-treated or ruxolitinib-treated cells vs. combination treatment at the corresponding doses; ANOVA test and Bonferroni post-test, all pairs were analyzed and statistically significant differences are indicated

# Metformin reduces the oxygen consumption in JAK2<sup>V617F</sup> cells

Metformin has been described as a mitochondrial complex I inhibitor in cancer cells<sup>13,20</sup>. Thus, we investigated the impact of metformin alone or in combination with ruxolitinib treatment on oxygen consumption in JAK2<sup>V617F</sup>-positive cell lines using a high-resolution respiratory assay. We observed a strong reduction in cellular oxygen consumption at the state supported by exogenous substrates in culture media (ROUTINE state), at the non-phosphorylating state (LEAK State) and at the maximum respiratory capacity (ETS state) in metformintreated and metformin plus ruxolitinib-treated HEL, SET2 and Ba/F3 JAK2<sup>V617 $\hat{F}$ </sup> cells (*p* < 0.05). Unexpectedly, ruxolitinib also significantly reduced oxygen consumption as a single agent at ROUTINE, LEAK and ETS states in SET2 and Ba/F3 JAK2<sup>V617F</sup> cells, and at LEAK state in HEL cells (Fig. 5).

# Metformin reduces splenomegaly in a Jak $2^{V617F}$ knockin-induced MPN mouse model

In order to formally assess the effect of metformin treatment using an in vivo model of MPN-like disease, we induced MPN phenotype by transplantation of cells from Jak2<sup>WT/V617F</sup> knockin mice into Pep boy recipient mice (Fig. 6a). In Jak2<sup>V617F</sup> knockin-induced MPN animals, metformin treatment (125 mg/kg/day) was well-tolerated, reduced splenomegaly (p = 0.02, Fig. 6b,c) and improved splenic architecture (Fig. 6d). Erythroid progenitors in the spleen and bone marrow did not differ between control and metformin-treated groups, but the smaller spleens (from metformin-treated mice #1 and #3) presented a low percentage of erythroid progenitors (4.2 and 5.4%, respectively) (Fig. 6e,f). Hemoglobin and hematocrit were not modulated by metformin treatment (Fig. 6g,h). In Pep

boy recipient mice transplanted with Jak2<sup>WT/WT</sup>, metformin treatment (125 mg/kg/day) was well-tolerated and altered neither hematological parameters nor spleen size or architecture (Supplementary Figure 5).

# Metformin inhibits erythropoietin-independent colony formation of primary PV cells

Spontaneous erythroid colony formation in the absence of erythropoietin is a common finding in cells from PV patients cultured in methylcellulose<sup>21,22</sup>. We performed erythropoietin-independent colony assays to verify the effects of metformin (2.5 mM) with and without ruxolitinib (50 nM) in primary cells from PV patients. We observed that metformin was able to reduce spontaneous erythroid colony formation in cells from all PV patients tested. Ruxolitinib presented a strong reduction in erythropoietin-independent colony formation and no additional effects were observed with the combined treatment (Fig. 7).

### Discussion

Herein, we have characterized in vitro and in vivo efficacy of metformin in the context of JAK2<sup>V617F</sup>-driven MPN models. Our results show that metformin reduces cell viability, proliferation, cell cycle progression and clonogenicity in JAK2<sup>V617F</sup> cells. Metformin is a biguanide used in the treatment of type II diabetes and metabolic syndromes, and epidemiological studies suggest that the drug exerts an antineoplastic activity in humans<sup>23</sup>. In addition, preclinical studies already include a range of evidence indicating a potential therapeutic use for metformin in cancer, and clinical trials are currently in pro-(www.clinicaltrials.gov)<sup>6</sup>. gress In hematological neoplasms, antineoplastic effects of metformin have been described in chronic myeloid leukemia<sup>17,24</sup>, AML<sup>9,12,25,26</sup>,



acute lymphoblastic leukemia (ALL)<sup>8,16,24,27,28</sup>, chronic lymphocytic leukemia<sup>29,30</sup>, lymphoma<sup>15</sup> and multiple myeloma<sup>13</sup>. Importantly, metformin effects appear to act selectively in cancer cells, since cytotoxicity was observed only on leukemia cells and not for colonies from normal hematopoeitic cells<sup>12</sup>, culture of normal peripheral blood mononuclear cells<sup>13,14</sup>, normal cord blood CD34<sup>+</sup> cells<sup>15</sup> or normal T lymphocytes<sup>16</sup>.

In the present study, AMPK activation was not modulated by metformin treatment in JAK2<sup>V617F</sup> cells. Several mechanisms have been proposed to underlie metformin activity and are commonly grouped into (i) AMPKdependent or (ii) AMPK-independent, both of which have already been described in hematological malignancies. Leclerc and colleagues<sup>8</sup> observed that metformin induces apoptosis in ALL cell lines, which was abrogated by AMPK silencing, indicating that metformin-induced apoptosis in these cell lines was AMPK-dependent. Scotland and colleagues<sup>9</sup>, using AML cell lines, reported that metformin inhibits cell proliferation and increases apoptosis in an AMPK-independent manner.

Notably, a modest effect was observed with the combination of metformin and ruxolitinib, suggesting that both drugs may share some similarities in mechanisms of action in these cells. In our study, metformin was able to inhibit STAT3 and STAT5 phosphorylation even in the presence of the JAK2<sup>V617F</sup> mutation in HEL, SET2 and Ba/F3 JAK2<sup>V617F</sup> cell lines. The inhibitory effect of



**Fig. 6 Metformin reduces splenomegaly in Jak2**<sup>V617F</sup> **knockin-induced MPN mice. a** Experimental design for induction of MPN phenotype in mice. Bone marrow cells from Jak2<sup>V617F</sup> mice were transplanted into lethally irradiated Pep boy mice. After chimerism evaluation at 4 weeks, mice were randomized and daily treated with vehicle (n = 4) or metformin (125 mg/kg) (n = 5) for 6 weeks. **b** Spleen images and **c** weight of vehicle and metformin-treated MPN mice. Scale Bar: 10 mm.\*p < 0.05, Mann–Whitney test. **d** Representative histopathology H&E sections of spleen from vehicle and metformin-treated mice. Magnification of 40× (upper panel) and 100× (lower panel). **e** Illustrative dot plots of erythroid progenitor analysis in the spleen. **f** Dispersion graphs showing the percentage of early erythroid progenitors (CD71<sup>+</sup>/Ter119<sup>+</sup> cells) in spleen and bone marrow. **g** Dispersion graphs showing the hemoglobin and **h** hematocrit levels

metformin on STAT3 phosphorylation was reported in breast cancer<sup>31</sup>, esophageal squamous cell carcinoma<sup>32</sup>, lung cancer<sup>33–35</sup>, bladder cancer<sup>36,37</sup> and pancreatic cancer<sup>38</sup>. There are no reports of metformin effects on STAT5 phosphorylation in solid tumors. Recently, Kawashima and Kirito<sup>39</sup> showed that metformin reduces cell viability and downregulates the JAK2/STAT5 axis in an AMPK-dependent and PP2A activation-dependent manner in HEL and SET2 cells. In contrast, our results suggest that STAT5 downregulation occurs independently of AMPK activation in JAK2<sup>V617F</sup> cells.

We also observed downregulation of cyclin D1 (*CCND1*) and upregulation of p27 (*CDKN1B*) by PCR array and qPCR in metformin-treated and/or ruxolitinib-treated HEL and SET2 cells, which may explain the delay in the cell cycle, the reduction of RB phosphorylation and

n vation leads to p27 inhibition, promoting cell cycle progression in HEL cells<sup>40</sup>, and that STAT3 and/or STAT5 activation induces cyclin D1 expression in several types of cells<sup>41,42</sup>. Reduced ERK1/2 activation may also contribute to metformin-induced and/or ruxolitinibinduced cell cycle delay, since the MAPK pathway directly acts on the cell cycle machinery by regulating the expression and/or localization of cyclin D1 and p27<sup>43,44</sup>. The CDK4/6-cyclin D complex phosphorylates RB protein, which represents a critical gatekeeper of cell cycle progression from G<sub>1</sub> to S phase<sup>18</sup>. In our study, cyclin D1 y reduction was consistent with the reduction of RB phosphorylation in JAK2<sup>V617F</sup> cells upon treatment with

the cytostatic effect, highlighting our findings regarding

JAK2/STAT signaling inhibition by metformin. Supporting these results, it is well established that STAT5 acti-



significant differences are indicated. **g** Representative images of erythropoietin-independent colony formation at 14 days of culture from two PV patients are illustrated

metformin, ruxolitinib, or the combination. Particularly, SET2 cells showed lower levels of RB phosphorylation and more intense cell cycle arrest in the  $G_0/G_1$  phase. Further studies are needed to determine whether other cyclin D isoforms (e.g., CCND2, CCND3), or even small amounts of CCND1 are sufficient to induce cell cycle progression in HEL cells. Indeed, differences in the response to metformin and/or ruxolitinib between HEL and SET2 cells were observed. HEL cells are derived from a patient with erythroleukemia<sup>45</sup>, featuring faster growth in culture conditions and less sensitivity to ruxolitinib, even at doses that are able to inhibit the JAK2/STAT activation. SET2 cells are derived from a patient with leukemic transformation of ET and shows slower growth, better response to ruxolitinib, and requirement for high fetal bovine serum (FBS) concentration for growth<sup>46</sup>. These differences among HEL and SET2 cell lines may affect cell response to metformin.

Metformin has been described as a mitochondrial complex I inhibitor<sup>20,47</sup>, which has been implicated as an important mechanism involved in its antineoplastic effects on hematological malignancies<sup>13,26</sup>. Mitochondria play a key role in the activation of oncogenic signaling pathways as the effector organelle for cellular energy generation (adenosine triphosphate, ATP) by coupling the tricarboxylic acid cycle with oxidative phosphorylation<sup>48,49</sup>. Our findings indicate that metformin-mediated reduction of mitochondrial activity contributes to decreased cell viability in MPN cells, highlighting the importance of increased energy demand for the proliferation of cancer cells<sup>50</sup>.

Metformin treatment at a dose of 125 mg/kg/day effectively reduced tumor burden in Ba/F3 JAK2<sup>V617F</sup> allograft and splenomegaly in Jak2<sup>V617F</sup> knockin-induced MPN murine models. In humans, this dose is equivalent to 10.4 mg/kg/day according to the coefficient of conversion proposed by Freireich et al.<sup>51</sup>, which represents a pharmacological dose lower than that used for type II diabetes treatment. Metformin also reduced spontaneous erythroid colony formation in primary cells from patients with PV. Additionally, although metformin and/or ruxolitinib treatment had modest effects on the induction of apoptosis and/or cell cycle arrest in JAK2<sup>V617F</sup> cell lines, prolonged exposure to these drugs (in monotherapy or in combination), in the absence of growth factors, strongly reduced autonomous growth, which is a characteristic that is usually associated with aggressiveness in leukemia cells<sup>52,53</sup>. Using U937 cells, we also confirm that metformin reduces cell viability in JAK2<sup>WT</sup> cells (Supplementary Figure 6), corroborating the multitarget potential of metformin in hematological malignancies<sup>9</sup>. Based on our in vitro studies, we speculate that the current clinically indicated dose of metformin may provide better improved clinical results for such patients.

In summary, metformin exerts an antileukemic activity and downregulates JAK2/STAT signaling in JAK2<sup>V617F</sup> cells. PCR-array identified cyclin D1 and p27 as contributors to the mechanism of metformin and ruxolitinib efficacy, corroborating cell cycle and proliferation findings. Our exploratory study establishes novel molecular mechanisms of metformin action alone and in combinations with ruxolitinib on JAK2<sup>V617F</sup> aberrant signaling and provides insights for development of alternative/complementary therapeutic strategies for MPN.

### Materials and methods Cell culture and inhibitors

HEL and U937 cells were obtained from ATCC (Philadelphia, PA, USA) and SET2 cells were kindly provided by Prof. Dr. Fabíola Attié de Castro (School of Pharmaceutical Sciences of Ribeirão Preto, University of São Paulo, Ribeirão Preto, Brazil). HEL and SET2 cells harboring JAK2<sup>V617F</sup> mutation, and U937 cells (JAK2 wildtype) were tested and authenticated by Short Tandem Repeat (STR) matching analysis using the PowerPlex<sup>®</sup> 16 HS system (Promega, Madison, WI, USA) and the ABI 3500 Sequence Detector System (Life Technologies, Foster City, CA, USA). Ba/F3 cells expressing murine ervthropoietin receptor and JAK2 wild-type or JAK2<sup>V617F</sup> (named as Ba/F3 JAK2<sup>WT</sup> and Ba/F3 JAK2<sup>V617F</sup>, respectively), and Wehi-3B cells were kindly provided by Prof. Dr. Susumu Kobayashi (Division of Hematology/Oncology, Beth Israel Deaconess Medical Center, Harvard Medical School, Boston, MA, USA). Cell culture conditions were performed in accordance with the recommendations of ATCC and DSMZ. All cell lines were mycoplasma free. Ruxolitinib was obtained from Novartis Pharmaceuticals (Basel, Switzerland). Metformin was obtained from Sigma-Aldrich (St. Louis, MO, USA).

#### Cell viability assay

Cell viability was measured by MTT assay. HEL or U937  $(2 \times 10^4 \text{ cells/well})$  and SET2 cells  $(4 \times 10^4 \text{ cells/well})$ were cultured in a 96-well plate in RPMI medium containing 10 or 20% FBS, respectively, in the presence of ruxolitinib (300 nM) and/or metformin (5 and 10 mM) for 48 h. For time-response and dose-response curves, HEL and SET2 cells were cultured as described above in 96well plates in the presence of graded concentrations of metformin (0, 2.5, 5, 7.5, 10, 15 and 20 mM) for 24, 48 and 72 h. IC<sub>50</sub> values were calculated using a nonlinear regression analysis on GraphPad Prism 5 (GraphPad Software, Inc., San Diego, CA, USA). For experiments involving Ba/F3 JAK2<sup>WT</sup> or Ba/F3 JAK2<sup>V617F</sup> cell lines,  $2 \times 10^4$  cells per well were cultured in RPMI medium supplemented with 10% FBS in a 96-well plate with or without Wehi-3B-conditioned medium, ruxolitinib (300 nM) and/or metformin (5 and 10 mM) for 48 h. At the

end of each culture period, 10  $\mu$ L of a 5 mg/mL solution of MTT was added to each well followed by incubation at 37 °C for 4 h. The reaction was stopped using 100  $\mu$ L of 0.1 N HCl in anhydrous isopropanol. Cell viability was evaluated by measuring the absorbance at 570 nm, using an iMark<sup>TM</sup> Microplate Absorbance Reader (Bio-Rad, Richmond, CA, USA). For synergism analysis, HEL and SET2 cells were treated with graded doses of ruxolitinib (3, 10, 30,100, 300 and 1000 nM) and metformin (2.5, 5, 7.5, 10, 15 and 20 mM) alone or in combination with each other for 48 h. The CI was calculated using CompuSyn software (ComboSyn, Inc., Paramus, NJ, USA), and the data obtained were interpreted according to Chou<sup>54</sup> and illustrated using multiple experiment viewer (MeV) 4.9.0 software (http://www.tm4.org/mev/).

#### Apoptosis assay

HEL, SET2, Ba/F3 JAK2<sup>WT</sup>, Ba/F3 JAK2<sup>V617F</sup> and U937 cells were seeded in 24-well plates and treated with ruxolitinib (300 nM) and/or metformin (5 or 10 mM) for 48 h. Cells were then washed twice with ice cold PBS and resuspended in binding buffer containing 1  $\mu$ g/mL propidium iodide (PI) and 1  $\mu$ g/mL APC-labeled annexin V. All specimens were acquired by flow cytometry (FACSCalibur; Becton Dickinson) after incubation for 15 minutes at room temperature in a light-protected area and analyzed using FlowJo software (Treestar, Inc., San Carlos, CA, USA).

#### Assessment of cell proliferation by Ki-67 staining

HEL and SET2 cells were treated with ruxolitinib (300 nM) and/or metformin (5 or 10 mM) for 48 h, fixed with 70% ethanol and stored at -20 °C. Ba/F3 JAK2<sup>V617F</sup> cells were treated with ruxolitinib (300 nM) and/or metformin (5 or 10 mM) for 24 h, fixed with 70% ethanol and stored at -20 °C. Ki-67 staining was performed following the manufacturer's instructions (Ki-67 FITC clone B56; BD Bioscience, San Jose, CA, USA) and the mean of fluorescence intensity (MFI) was obtained by flow cytometry using a FACSCalibur instrument (Becton-Dickinson). IgG isotype was used as negative control for each condition.

### Cell cycle analysis

Cell cycle phases were determined using BD Cycletest<sup>TM</sup> Plus DNA Reagent Kit (Becton-Dickinson, Mountain View, CA, USA) according to the manufacturer's instructions. In brief, HEL and SET2 cells were cultured in the presence of ruxolitinib (300 nM) and/or metformin (10 mM) for 48 h. Ba/F3 JAK2<sup>V617F</sup> cells were cultured without Wehi-3B-conditioned medium, in the presence of ruxolitinib (300 nM) and/or metformin (10 mM) for 24 h. DNA content distribution was acquired in a FACSCalibur cytometer (Becton-Dickinson) and analyzed using FlowJo software (Treestar, Inc.).

#### Colony formation assay

Colony formation capacity was evaluated out in semisolid methylcellulose medium  $(1 \times 10^3 \text{ cells/mL} \text{ for HEL cells}, 2.5 \times 10^3 \text{ cells/mL} \text{ for SET2 cells and } 1 \times 10^3 \text{ cells/mL} \text{ for Ba/F3 JAK2}^{V617F}$  cells; MethoCult 4230; StemCell Technologies Inc., Vancouver, BC, Canada). Colonies were detected after 10 days of culture by adding 1 mg/mL of MTT reagent and scored by Image J quantification software (US National Institutes of Health, Bethesda, MD, USA).

#### Western blot analysis

Equal amounts of protein were used as total extracts, followed by SDS-PAGE, Western blot analysis with the indicated antibodies and imaging using the SuperSignal<sup>™</sup> West Dura Extended Duration Substrate System (Thermo Fisher Scientific, San Jose, CA, USA) and Gel Doc XR+ system (Bio-Rad, Hercules, CA, USA) or ImageQuant LAS 4000 (GE Healthcare Life Sciences, Piscataway, NJ, USA). Antibodies against STAT3 (sc-7179), STAT5 (sc-835), p-p70S6K<sup>T421/S424</sup> (sc-7984), p70S6K (sc-8418), PARP1 (sc-56197) and actin (sc-1616) were purchased from Santa Cruz Biotechnology (Santa Cruz, CA, USA). Antibodies against p-RB<sup>S807/811</sup> (#9308), RB (#9309), p-(#9131S), p-STAT5<sup>Y694</sup> STAT3<sup>Y705</sup> (#9359S), p-AMPK<sup>T172</sup> (#2535S), AMPK (#2532S), p-mTOR<sup>S2448</sup> (#2971), mTOR (#2972), p-4EBP1<sup>T70</sup> (#9455S), 4EBP1 (#9452S) and caspase 3 (#9665) were obtained from Cell Signaling Technology (Danvers, MA, USA). Antibodies against p-ERK1/2<sup>T183/Y185</sup> (700012) and ERK1/2 (44654G) were from Life Technologies. Cropped gels retain important bands, but whole gel images are available in Supplementary Figure 7A-C. Band intensities were determined using UN-SCAN-IT gel 6.1 software (Silk Scientific; Orem, UT, USA).

#### PI3K/AKT signaling pathway profile by PCR array

Total RNA from HEL cells treated with metfomin (10 mM) and/or ruxolitinib (300 nM) was obtained using TRIzol reagent (Thermo Fisher Scientific). The cDNA was synthesized from 1 µg of RNA using High-Capacity cDNA Reverse Transcription Kit (Thermo Fisher Scientific). PCR array was performed using the PI3K-AKT Signaling Pathway  $RT^2$  Profiler PCR Array kit (#PAHS-058A; SA Biosciences, Frederick, MD, USA) according to the manufacturer's instructions. mRNA levels were normalized to those detected in untreated cells, and genes that presented a fold change  $\geq$ 1.5-fold in any treatment were included in the heatmap using Heatmap builder software (The Ashley Lab, Stanford University, CA, USA). Amplification was performed in an ABI 7500 Sequence Detector System (Life technologies).

#### **Quantitative PCR**

Total RNA from HEL and SET2 cells treated with metfomin (10 mM) and/or ruxolitinib (300 nM) was

obtained using TRIzol reagent (Thermo Fisher Scientific). The cDNA was synthesized from 1 µg of RNA using High-Capacity cDNA Reverse Transcription Kit (Thermo Fisher Scientific). Quantitative PCR (qPCR) was performed with an ABI 7500 Sequence Detector System (Life Technologies) with specific primers for CCND1 (Cyclin D1, forward: CTCGGTGTCCTACTTCAAATG; reverse: AGCGGTCCAGGTAGTTCAT), CDKN1B (cvclindependent kinase inhibitor 1B, also known as p27, for-ACTCTGAGGACACGCATTTGGT; reverse: ward: TCTGTTCTGTTGGCTCTTTTGTT) and HPRT1 (hypoxanthine phosphoribosyltransferase 1; forward: GAACGTCTTGCTCGAGATGTGA; reverse: TCCAG-CAGGTCAGCAAAGAAT). The relative guantification value was calculated using the equation  $2^{-\Delta\Delta CT}$ . A negative "no template control" was included for each primer pair.

### Ba/F3 JAK2<sup>V617F</sup> tumor formation in NSG mice

NSG (NOD.Cg-Prkdc<sup>scid</sup> Il2rg<sup>tm1Wjl</sup>/SzJ) mice were purchased from The Jackson Laboratory (Bar Harbor, Maine, USA). Experimental groups consisted of 8-10 week-old female mice that received a 100 µL (50 µL PBS plus 50 µL matrigel) dorsal subcutaneous injection of  $2 \times$ 10<sup>6</sup> Ba/F3 JAK2<sup>V617F</sup> cells and daily treatment by intraperitoneal injection of vehicle (PBS, n = 9) or metformin (125 mg/kg, n = 9) for 13 days. Tumor volume (V) was obtained using the formula ( $V = W^2 \times L \times 0.52$ ), where W and L are the smaller and larger diameters, respectively. The dose of metformin at 125 mg/kg/day used for mouse experiments was based on a previous study<sup>13</sup>, and represents a dose equivalent to 10.4 mg/kg/day for humans<sup>51</sup>. All experiments were approved by the Institutional Animal Care and Use Committee of Oregon Health & Science University and the Animal Ethics Committee of the University of São Paulo, and were performed according to IACUC guidelines.

#### Assessment of oxygen consumption

Viable HEL, SET2 and Ba/F3 JAK2<sup>V617F</sup> cells  $(2 \times 10^6)$ were cultured alone or in the presence of metformin (10 mM) and/or ruxolitinib (300 nM) for 24 h and submitted to oxygen consumption evaluation by high-resolution respirometry assay (Oxygraph-2k, Oroboros Instruments, Innsbruck, Austria). After determination of respiratory rates supported by exogenous substrates in culture media (ROUTINE state), modulators of mitochondrial function were sequentially added: oligomycin (1 mg/mL), an ATP svnthase inhibitor that establishes the nonphosphorylating respiration (LEAK state); protonophore carbonyl cyanide m-chlorophenyl hydrazone (2 µM), which determines the state of maximum capacity of the electron transport system (ETS state); and antimycin A (3  $\mu$ M), a mitochondrial complex III inhibitor that establishes the residual oxygen consumption due to oxidative side reactions (rox state). The DatLab software package (Oroboros) was used for data acquisition and analysis.

# Generation of JAK2<sup>V617F</sup> knockin-induced MPN mice by bone marrow transplantation

For MPN phenotype induction,  $5 \times 10^6$  bone marrow cells from Jak $2^{V617F}$  knockin (Jak $2^{WT/V617F}$ ) mice<sup>55</sup> were transplanted into lethally irradiated Pep boy mice (B6.SJL-Ptprc<sup>a</sup> Pepc<sup>b</sup>/BoyJ, The Jackson Laboratory). A total of  $5 \times 10^{6}$  bone marrow cells from Jak2<sup>WT/WT</sup> were transplanted into lethally irradiated Pep boy mice as a experimental control group. After 4 weeks, chimerism was evaluated by CD45.1 and CD45.2 markers (Becton-Dickinson) by flow cytrometry in peripheral blood. Mice with ≥70% CD45.2 cells were randomized and treated daily by intraperitoneal injection of vehicle (PBS) or metformin (125 mg/kg) for 6 weeks. At the conclusion of the experiment, animals were harvested and subjected to analysis of spleen, bone marrow and hematological parameters. Erythroid progenitors in the spleen and bone marrow were evaluated by CD71 and Ter119 markers (Becton-Dickinson) by flow cytometry. All experiments were approved by the Animal Ethics Committee of the University of São Paulo.

#### Erythropoietin-independent colony formation

Mononuclear cells were isolated from peripheral blood or bone marrow from PV patients (n = 5, median age 52 years [range 24–74]) by Ficoll-gradient centrifugation. A total of  $2 \times 10^5$  cells were plated onto methocult H4535 (supplemented with cytokines and without erythropoietin, StemCell Technologies) in the presence or not of metformin (2.5 mM) and/or ruxolitinib (50 nM)<sup>21</sup>. Each condition was performed in duplicate. Erythroid colonies (burst-forming units plus colony-forming units-E) were counted after 14 days.

#### Statistical analysis

Statistical analyses were performed using GraphPad Prism 5 (GraphPad Software, Inc.). For comparisons, Mann–Whitney test or ANOVA test and Bonferroni posttest was used. A p value < 0.05 was considered as statistically significant. All pairs were analyzed and statistically significant differences are indicated.

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J.A.M-N. designed the study and experiments, performed all the experiments, statistical analyses, manuscript preparation, completion and final approval. B.A. F., R.S-R., J.L.C-S., J.C.F., A.P.N.R.A. and L.L.F.P. contributed with inputs on overall design of study, participated in experiments using animal models and primary human cells, manuscript editing and final approval. P.S.S. participated in flow cytrometry experiments, in the analysis of the data and final approval. C.R.P.D. and L.C.A. participated in oxygen consumption experiments, in the analysis of the data and final approval. C.A.E., B.P.S., C.E.T., B.J.D. and E.M.R. participated in the interpretation of manuscript data, in manuscript editing and final approval. F.T. was the principal investigator and participated in overall design of study and experiments, statistical analyses, manuscript preparation, editing, completion and final approval.

#### Conflict of interest

The authors declare that they have no conflict of interest.

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