ARTICLE





In cervical spondylotic myelopathy spinal cord motion is focally increased at the level of stenosis: a controlled cross-sectional study

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Abstract

Study design Level-, age-, and gender-matched controlled cross-sectional cohort study.

Objectives To investigate alterations of spinal cord (SC) motion within cervical spondylotic myelopathy (CSM) across the cervical spinal segments and its relation to cerebrospinal fluid (CSF)-flow, anatomic conditions, and clinical parameters. **Setting** University Hospital Balgrist, Zurich, Switzerland.

Methods Overall, 12 patients suffering from CSM at level C5 and 12 controls underwent cardiac-gated 2D phase-contrast-MRI at level C2 and C5 and standard MRI sequences. Parameters of interest: Velocity measurements of SC and CSF (area under the curve = total displacement (normalization for duration of the heart cycle), total displacement ratio (C5/C2; intraindividual normalization for confounders)), spinal canal diameters, clinical motor- and sensory scores, and performance measures.

Results Interrater reliability was excellent for SC motion at both levels and for CSF flow at C2, but not reliable for CSF flow at C5. Within controls, SC motion at C2 positively correlated with SC motion at C5 (p = 0.000); this correlation diminished in patients (p = 0.860). SC total displacement ratio was significantly increased in patients (p = 0.029) and correlated with clinical impairment (p = 0.017). Morphometric measures of the extent of stenosis were not related to SC motion or clinical symptoms. **Conclusion** The findings revealed physiological interactions of CSF flow and SC motion across the cervical spine in healthy controls while being diminished in CSM patients. Findings of focally increased SC motion at the level of stenosis were related to clinical impairment and might be promising as a diagnostic and prognostic marker in CSM. **Sponsorship** CRPP Neurorehab of the University of Zurich, Switzerland.

Introduction

Phase-contrast MRI (PC-MRI) allows in vivo velocity measurements [1] using cardiac-gated data acquisition that

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can be mapped over the heart cycle resulting in a flowprofile. 2D PC-MRI assesses motion in one spatial direction per time. This non-invasive, dynamic-diagnostic approach enabled first pathophysiological insights within a variety of diseases presenting alterations in cerebrospinal fluid (CSF) dynamics [2]. Among patients with cervical spondylotic myelopathy (CSM), several studies applying 2D PC-MRI reported decreased CSF flow at level of stenosis [3, 4]. In addition, two groups focusing on spinal cord dynamics have described increased spinal cord motion at level of a cervical

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spinal stenosis [3, 5], related to sensory deficits in one study [3]. These studies were stimulated by the need of improving the diagnostic work-up in CSM beyond clinical and anatomical (i.e., static) MRI measures by evaluating dynamic factors contributing to the development of CSM [6]. A better insight into pathophysiological processes contributing to spinal cord dysfunction may be fundamental for understanding different disease courses that have been proven to be challenging when only relying on morphometric measures of stenosis. However, readily applicable clinical protocols using standard MRI scanners for 2D PC-MRI analysis of CSF flow and spinal cord motion have not yet been adopted for clinical diagnostic purposes. The relation of CSF- and spinal cord motion dynamics across the cervical spine and biometric factors (as age, sex, and body size) remains unclear [7, 8]. A strong effect of CSF dynamics within the cervical spinal canal due to venous outflow of the brain depending on breathing, central venous pressure, etc., has been demonstrated but is not yet quantified [9, 10]. The applied velocity data implemented in former studies (e.g., means, maximum velocity, amplitudes) did not account for confounders. Therefor higher maximum velocities among patients might have been induced by manifold intraindividual biometric conditions (e.g., change of heart rates, blood pressure, morphology of cervical spinal segments) at time of investigation.

The aim of this study was to investigate the dynamics of spinal cord motion across the cervical spine and its relation to CSF dynamics in CSM patients in respect to individual biometric confounders as well as its correlation to clinical presentation. CSM patients with a stenosis at the cervical level C5, as the most common level of spinal canal stenosis [11], were investigated. We hypothesized that normalized dynamic spinal cord motion and CSF flow measures will reveal specific pathophysiological alterations in CSM patients, and these alterations may relate to the deterioration of spinal cord function.

Methods

Study design

This cross-sectional study was prospectively performed (2013–2015) and approved by the ethics committee (KEK-ZH 2012-0343). In total 12 patients and 12 age-matched and gender-matched healthy controls were enrolled, each group consisting of 7 males and 5 females. Inclusion criteria for patients were focal spinal canal narrowing with compression of the spinal cord at C5 and clinical symptoms consistent with CSM. Stenosis was defined as a loss of CSF signal in axial T2 MRI imaging. Clinical symptoms of CSM comprises pain, sensory or motor deterioration, gait

problems, or bladder dysfunction. Any co-existing neurological disorder responsible for clinical symptoms was prospectively excluded by an independent neurologist. Controls were required to have no neurological symptoms nor relevant stenosis in MRI. Age for inclusion was 18–80 years. All participants gave their written informed consent prior to study enrollment. Exclusion criteria consisted of general MRI-contraindications, epileptic seizures, mental illness, severe medical illness, and pregnancy. If controls showed an unexpected stenosis at level C2 or C5 in MRI as diagnosed by an independent and blinded radiologist they were considered as drop-outs.

All patients received a standardized clinical work-up assessing the mJOA score [12]. For more detailed information, motor and sensory scores of the upper limbs according to the International Standard for the Neurological Classification of Spinal Cord Injury (ISNCSCI) [13] were assessed. Motor assessment consisted of rating muscle strength of 5 upper extremity key muscles of each side according to the British Medical Research Council (MRC) strength grades from 0 to 5 resulting in total motor score with a maximum of 50. Sensory assessment was conducted rating light touch and pin prick perception from 0 to 2 (0 =abolished perception, 1 = impaired perception, 2 = normalperception) in the 7 dermatomal key points C2-T2 for each side, resulting in a maximum total light touch (tot LT) and pin prick score (tot PP) of a maximum of 32 points, respectively. The Graded Redefined Assessment of Strengths, Sensibility and Prehension (GRASSP Version 1) [14] was performed as a detailed clinical assessment tool to measure the degree of upper limb impairment and dysfunction in the domains strength, sensation, and prehension. Therefore, testing key muscle strength, neurofilament sensory assessment, different grip types, and different tests for grasping function and fine motor skills (e.g., pouring water into a glass, screwing a nut, placing coins into slot) were performed. With the Spinal Cord Independence Measure (SCIM Version 3) [15], a functional assessment comprising of different subscores relating to body function in self-care (20 points), mobility (40 points) as well as bladder, bowel and breathing function (40 points) with a total score of 100 points was included. Healthy subjects all had mJOA score of 18, were required to show full motor (50/50), sensory (32/32), and SCIM (100) scores and were assumed to show full GRASSP scores (232).

Imaging parameters and analysis

MRI-scans were performed at a 3T whole body MRI scanner (MAGNETOM Verio, Siemens Healthcare, Erlangen). Standard diagnostic T1w/T2w sequences were applied (T1 sagittal—Fov 220 × 220 mm, Matrix 288 × 384, TR 600 ms, TE 10 ms, slice thickness 3 mm, averages 2, Flip

angle 150°, Bandwidth 220 Hz/px; T2 axial—Fov 160× 160 mm, Matrix 256 × 320, TR 3120 ms, TE 93 ms, slice thickness 3 mm, averages 3, Flip angle 150°, Bandwidth 285 Hz/px; T2 sagittal—Fov 220/220 mm, Matrix 288 × 384, TR 3760 ms, TE 87 ms, slice thickness 2.5 mm, averages 1, Flip angle 160°, Bandwidth 260 Hz/px). A retrospectively cardiac-gated commercially available phasecontrast sequence for craniocaudal velocity imaging was implemented (spatial resolution $0.5 \times 1.1 \times 5.0 \text{ mm}^3$, FoV $140 \times 140 \text{ mm}^2$, matrix 256×128 , TR = 11.5 ms, TE = 8.7 ms, flip angle 10°, bandwidth 488 Hz/px). Velocity encoding of the sequence was set at ± 5 cm/s for optimal CSF flow and spinal cord motion analysis in expectation of low CSF dynamics at level of stenosis as previously reported [3]. Phase-contrast MRI was acquired perpendicular to the spinal cord at level C2 and C5 consecutively with an acquisition time of ~1.3 min per level depending on the heart rate. Flow velocities higher than the applied velocity encoding value (±5cm/s) lead to inversed or "wrapped" velocity values. For correction of these values the program "••• UN" [16] was applied to regain true velocity values. An in-house Matlab script was used to analyze phase-contrast data. For each subject and level a standardized region of interest (ROI) was superimposed over the left and right CSF-space (1.17 mm^2) that has been demonstrated to present highest CSF velocities [16, 17], and represents the assessable CSF-space within the comprised spinal canal. SC dynamics were analyzed by an into the spinal cord midcentered ROI (19.68 mm²). The averaged velocities of the voxels within the ROI were given at 20 time points within one cardiac cycle. Axial diameters of the spinal canal in ventro-dorsal (A) and left-to-right (B) directions were measured by clinically accustomed software tools in T2w imaging (AGFA IMPAX Viewing software).

Data calculations and statistics

The interrater reliability was examined by intraclass correlation coefficients (ICCs, single measures, two-way mixed effects model). The ICC values were characterized as follows: "fair" for 0.41–0.6, "moderate" for 0.61–0.8, and "substantial" for 0.81–1 [18]. ICC \leq 0.6 was not accepted for further analysis.

A deformity index (change from round to oval shape) of the spinal canal was calculated by division of ventro-dorsal over left-right diameter and expressed as "compression ratio" (A/B) [19]. Spinal cord motion was assessed by calculation of the area under the curve (AUC) using stepwise integration of the 20 velocity values (cm/s) over one heart cycle (s) per level. In case of negative velocities, the value was inversed before integration [6]. The AUC represents a normalization for the heart rate, which influences the duration of the diastole and therefore parameters like maximum velocity. The AUC illustrates the absolute motion (mm) regardless to its cranial or caudal orientation over one heart cycle (SC total displacement; CSF total displacement). A ratio of SC total displacement between C5 and C2 (SC total displacement ratio) per subject was implemented for intraindividual normalization in regard to intraindividual unknown biometric confounders (e.g., breathing, blood pressure, body height). Maximum (max.) velocities were assessed in regard to the analysis of intraindividual dynamics across the cervical spine but not used within interindividual comparisons (due to influence of the mentioned confounders). Statistical tests were performed by IBM SPSS software (Version 22). Values were reported as mean ± standard deviation. For comparison of means the Student's t-test, for bivariate correlations the Pearson and Spearman- ρ correlation coefficient was calculated and indicated as linear or monotone relationship respectively including age as confound of no interest. Significance was set to p < 0.05.

Results

Study characteristics

The mean age was 46.05 ± 10.05 years in controls resp. 52.37 ± 14.51 years in patients (p = 0.228). Owing to the MRI-artifacts (1 case) and late notice of non-uniform acquisition of PC-MRI data at C5 (18 velocity measurements instead of 20 velocity measurements over one cardiac cycle; 3 patients), these incomplete MRI-datasets were excluded from analysis.

Reliability

The interrater reliability of all spinal cord motion measurements in controls and patients was excellent on both levels C2 and C5 (ICC > 0.8). CSF flow analysis at C2 was also reliable (ICC > 0.8) but unreliable at C5 (ICC < 0.4). Therefore, CSF analysis at C5 was excluded from further evaluation. ICC of each morphometric spinal canal assessment was acceptable at >0.61 (detailed ICCs see Table 1).

Spinal cord motion and CSF flow—interactions across the cervical spine in physiological conditions and pathophysiological alterations in CSM

There was no significant difference in spinal cord motion and CSF flow at the level C2 between controls and patients (Table 2). While in controls a strong trend for a positive correlation of CSF total displacement to SC total displacement at C2 could be observed, this relationship became significant in patients (Pearson's correlation coefficient =

Level	Parameter	ICC	<i>p</i> -value
C2	Compression ratio	0.63	4.10×10^{-4}
	Anterior-dorsal spinal canal diameter	0.83	1.76×10^{-7}
	Max. CSF flow	0.86	4.46×10^{-8}
	AUC CSF flow	0.99	0.00
	Max. SC motion	0.81	8.56×10^{-7}
	AUC SC motion	0.90	1.68×10^{-9}
C5	Compression ratio	0.79	3.00×10^{-6}
	Anterior-dorsal spinal canal diameter	0.97	2.33×10^{-15}
	Max. CSF flow	0.35	0.07
	AUC CSF flow	0.35	0.06
	Max. SC motion	0.89	1.70×10^{-8}
	AUC SC motion	0.97	1.38×10^{-13}

 Table 1
 Summary of the intraclass correllation coefficients (two-way mixed model, single measures)

ICC intraclass correlation coefficient, *max*. maximal, *CSF* cerebrospinal fluid, *AUC* area under curve, *SC* spinal cord

0.857, p = 0.001) (Fig. 1), pointing to a preserved physiological relationship in patients at this level remote from stenosis.

In controls, there was no relevant increase of SC total displacement in craniocaudal direction (C2 to C5, p = 0.862) (Table 2). Accordingly, the SC total displacement ratio, was about 1 (1.01 ± 0.07), pointing to little difference between these segments. In patients, the SC total displacement ratio between C2 and C5 was significantly increased (controls: 1.01 ± 0.07 , patients: 1.51 ± 0.33 ; p = 0.029), indicating an increased SC motion at the focal compression site at level C5 (Fig. 2).

Relationships of CSF flow and SC motion at C2 and C5 observed in controls revealed interactions of spinal cord motion and CSF flow in physiological conditions across different segments (positive correlation of CSF maximum velocity at C2 to SC maximum velocity at C5 (Pearson's correlation coefficient = 0.728, p = 0.011); positive correlation of SC total displacement between C2 and C5 (Pearson's correlation coefficient = 0.953, p = 0.000)) was not evident in patients (Pearson's correlation coefficients <0.5, p > 0.5) (Supplementary material: full dataset of all subjects see supplements, Table 3; video-examples of phase-contrast MRI of spinal cord motion at C5 of a control subject (video 1) and a patient (video 2)).

Age and gender

Within controls, but not within patients, age correlated positively with SC total displacement at C2 (Spearman's ρ correlation coefficient = 0.618, p = 0.043). Gender did not correlate to any dynamic parameter.

Spinal canal stenosis

At level C2, there was no difference of spinal canal morphometry between controls and patients, whereas at C5 compression ratio and ventro-dorsal diameter were significantly decreased among patients (p = 0.000, resp.). Among controls, CSF total displacement at C2 was negatively (linear) correlated with ventro-dorsal spinal canal diameter at C2 (Pearson's correlation coefficient = -0.676, p = 0.022) and C5 (Pearson's correlation coefficient = -0.704, p = 0.023). There was no correlation of spinal cord motion measures with spinal canal diameters or compression ratio among controls. Among patients, there was no correlation of spinal cord motion or CSF flow to spinal canal diameters or compression ratio.

Clinical status

A negative correlation of SC total displacement ratio to the light touch score (ISNCSCI) was observed among patients (Spearman's ρ correlation coefficient = -0.838, p = 0.009) (Fig. 3), and a trend towards negative relations to SCIM (Spearman's ρ correlation coefficient = -0.632, p = 0.09). There was no other significant relation among other clinical parameters (Pearson's correlation coefficient < ± 0.5).

Discussion

The present study focused on physiological relations of CSF flow and spinal cord motion across the cervical spine in healthy controls and pathophysiological changes in CSM patients. The interaction of CSF flow and SCM is rather unknown and has not yet sufficiently distinguished between systemic and focal dynamic changes across different spinal levels in CSM.

Interrater reliability of the dynamic measurements was excellent for spinal cord motion across all cervical segments while measures of CSF flow were less reliable at C5 level. This might be mostly attributed to small ROIs in CSF measurements according to minor remaining subarachanoidal space and inhomogeneous CSF flow compared to solid spinal cord motion measurements. In viscous fluids, higher velocities occur in the center of the fluid due to traction effects at its boundaries [3]. Minor asynchronous multidirectional flows within the upper cervical spine have been shown in healthy controls [17]. Bunck et al. [20] described anterolateral jets and turbulences at the level of spinal canal compression in one case using 4D PC-MRI supporting the presumption of complex CSF dynamics at level of stenosis and the limitations of 2D PC-MRI by only assessing craniocaudal motion. Also, poorer MRI signal to noise ratio in lower cervical segments have to be taken into

Table 2	CSF	and	spinal	cord	(SC)	total	displacement	(area	under	the	curve)	1
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	Mean ± standard de		
	Controls	Patients	<i>p</i> -value
CSF and spinal cord (SC) total displacement (= area under the curve)			
CSF total displacement C2 (mm)	13.71 ± 4.03	12.07 ± 6.34	0.48
SC total displacement C2 (mm)	3.09 ± 0.51	3.17 ± 0.86	0.79*
SC total displacement C5 (mm)	3.34 ± 0.62	3.80 ± 0.91	0.19*
SC total displacement ratio (C5/C2)	1.01 ± 0.07	1.51 ± 0.33	0.029*
Maximal (max.) velocities (= non-normalized values)			
Max. CSF flow C2 (cm/s)	2.46 ± 0.83	2.18 ± 0.99	0.49
Max. SC movements C2 (cm/s)	0.52 ± 0.08	0.59 ± 0.08	0.07*
Max. SC movements C5 (cm/s)	0.63 ± 0.19	0.86 ± 0.10	0.004*

SC spinal cord, CSF cerebrospinal fluid, max. maximal

*Significant value





Fig. 1 In patients there is a positive (linear) relation of CSF total displacement at C2 to total spinal cord (SC) total displacement at C2; among controls there is a strong trend (controls: Pearson's correlation coefficient = 0.598, p = 0.052; patients: Pearson's correlation coefficient = 0.857, p = 0.001)

Fig. 2 Spinal cord (SC) total displacement ratio: within controls, the relation of the spinal cord total displacement between C5 and C2 is close to one pointing to undisturbed movement dynamics between these segments. Within patients, the spinal cord total displacement ratio is significantly altered, showing a specifically local increase in spinal cord motion at the stenotic level (p = 0.029)

account, whereas this did not influence spinal cord motion reliability at C5 in our study. In contrast to the complex dynamic properties of the CSF, the motion of the spinal cord as a solid morphological structure could be shown to be reliably assessable.

Physiological CSF flow within the spinal canal derives mostly from external pressure gradients [21]. Therefore different influence factors as possible confounders have to be taken into account in measuring CSF flow and spinal cord motion. In normal breathing conditions, CSF shifts towards the brain during the diastolic phase and inspiration resulting in an upward CSF flow within the cervical spine [22]. Recent 2D PC-MRI-studies confirmed, that deep abdominal respiration results in highest CSF velocities in cranial direction [9, 10] while increased heart rates result in shorter diastolic phases and therefore decreased CSF flow [2]. A physiological increase of CSF velocities in craniocaudal direction across the cervical spinal levels has been noticed before [17], with a maximum at level C5 (revealed by 4D PC-MRI-techniques (3 spatial directions × time) [20]. This might be attributed to the decrease of subarachnoidal space according to the law of Hagen–Poiseuille.

Physiological, pulse-synchronic oscillations of the spinal cord have been observed and described in prior studies [23–25]. In physiological conditions, the origin of spinal cord motion was diversely attributed, mostly to remote factors like intracranial CNS- and CSF-pulsation within the cardiac cycle [23, 25] and breathing [26].



Fig. 3 CSM patients show a negative (monotone) relation of spinal cord (SC) total displacement ratio (ratio of area under the curve (AUC) at C5 to AUC at C2) to clinical presentation (ISNCSCI—light touch upper limb); Spearman's ρ correlation coefficient = -0.802, p = 0.017

Interestingly, also focal influences at the spinal segments due to expansion of segmental arteries (oscillations became diminished after transection of the local vascular support (canine-model) [24] and due to local CSF flow alterations were discussed to influence spinal cord motion [11, 27–29].

As spinal cord motion and CSF flow can be influenced by many individual confounders (e.g., breathing, blood pressure, heart rate), a correction for these factors seems to be required for comparison between subjects. The AUC accounts for the duration of the heart cycle, as it was shown that shorter diastolic phases cause decreased CSF flow [2]. For comparison between different subjects, also different unknown and varying confounders have to be taken into account. Therefore, we introduced a normalization method calculating a ratio for SC motion with the reference level C2. This segment is very seldom affected by cervical stenosis, and confounders are likely to influence both segments in the same amount within the same subject. The implemented SC total displacement ratio is therefore the most valuable parameter within the presented results. Uncorrected absolute velocity values (e.g., maximum velocity, amplitude of velocity signal, mean velocity) might falsely indicate relevant findings.

Among controls, a negative correlation between CSF total displacement and the size of the spinal canal (ventrodorsal diameter) follows the law of Hagen–Poiseuille and is in accordance to a previous report [20]. A positive relation of age and spinal cord motion among controls was confirmed [30], but could not be shown in this patient population, possibly due to small patient numbers.

Within our results, a physiological relationship between spinal cord motion across different segments respectively between CSF flow and spinal cord motion could be observed in controls, and in patients at the non-affected segment C2. In controls, the observed trend of a slight increase of spinal cord motion towards C5 might underline the close interaction of CSF flow and spinal cord motion as an increase of CSF flow across the cervical spine towards C5 was previously reported [20]. While physiological correlations were preserved at C2 level in patients, they diminished at the stenotic level C5, emphasizing focal dynamic changes at the level of stenosis. Our results demonstrated a specifically focal increase of spinal cord motion at the level of stenosis in CSM patients but preserved dynamics rostral the stenotic segment.

Among CSM patients, our results indicated a pathophysiological imbalance of the dynamic connectivity across the cervical spine most likely due to the locally decreased subarachnoidal space. Analog to the theory of focal dynamic influences at the segmental levels [29], it could be hypothesized, that due to decreased subarachnoidal space, local pressures can no longer be compensated by CSF and therefore are transduced directly to spinal cord tissue resulting in increased motion and therefor elevated mechanical stress beyond compression due to anatomical stenosis. Elevated dynamic stress is therefore supposed to subsequently lead to deterioration of spinal cord function.

The focal pathologic increase of spinal cord motion at the level of stenosis in CSM patients was also related to clinical impairment. A correlation of increased SC displacement ratio to sensory deficits and a trend towards functional impairment could be observed [22]. Therefore, elevated mechanical stress due to increased spinal cord motion is supposed to lead to deterioration of the spinal cord.

Measuring spinal cord motion as a correlate of dynamic stress onto spinal cord tissue might serve as parameter to monitor the further disease course within future studies. Excellent reliability of spinal cord motion measurements by 2D phase-contrast MRI underlines the value for further clinical studies. As axial phase-contrast MRI sequences last only about 1.3 min per level, it is implementable without any difficulty into clinical protocols.

This study had some limitations. The small number of subjects and unfortunately some incomplete datasets. Despite small numbers, results were significant. Owing to these small group sizes, further, large prospective studies are needed towards more conclusive insights of normal values and towards a more profound understanding of the pathomechanisms.

Conclusion

This is the first study to show that increased spinal cord motion occurs specifically at the level of stenosis while dynamics at level C2 rostral from stenotic segments remain physiological in CSM patients. Increased spinal cord motion relates to clinical impairment revealing a relevant impact on spinal cord integrity in CSM patients. Spinal cord motion can be easily assessed in routine protocols (2D PC-MRI) with minor additional scanning time (about 1.3 min per level) and excellent reliability. Normalization procedures for different confounders were introduced for the first time. Therefore, measures of spinal cord motion may be considered in diagnostic work-up to reveal the impact of CSM on spinal cord function complementing measures of spinal canal stenosis.

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Compliance with ethical standards

Conflict of interest MK is employed by Siemens Healthcare AG, Zürich, Switzerland. The remaining authors declare that they have no conflict of interest.

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