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Chemogenetic inhibition of central amygdala CRF-expressing neurons decreases alcohol intake but not trauma-related behaviors in a rat model of post-traumatic stress and alcohol use disorder

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Post-traumatic stress disorder (PTSD) and alcohol use disorder (AUD) are often comorbid. Few treatments exist to reduce comorbid PTSD/AUD. Elucidating the mechanisms underlying their comorbidity could reveal new avenues for therapy. Here, we employed a model of comorbid PTSD/AUD, in which rats were subjected to a stressful shock in a familiar context followed by alcohol drinking. We then examined fear overgeneralization and irritability in these rats. Familiar context stress elevated drinking, increased fear overgeneralization, increased alcohol-related aggressive signs, and elevated peripheral stress hormones. We then examined transcripts of stress- and fear-relevant genes in the central amygdala (CeA), a locus that regulates stress-mediated alcohol drinking. Compared with unstressed rats, stressed rats exhibited increases in CeA transcripts for *Crh* and *Fkbp5* and decreases in transcripts for *Bdnf* and *Il18*. Levels of *Nr3c1* mRNA, which encodes the glucocorticoid receptor, increased in stressed males but decreased in stressed females. Transcripts of *Il18* binding protein (*Il18bp*), *Glp-1r*, and genes associated with calcitonin gene-related peptide signaling (*Calca*, *Ramp1*, *Crlr-1*, and *lapp*) were unaltered. *Crh*, but not *Crhr1*, mRNA was increased by stress; thus, we tested whether inhibiting CeA neurons that express corticotropin-releasing factor (CRF) suppress PTSD/AUD-like behaviors. We used *Crh*-Cre rats that had received a Cre-dependent vector encoding hM4D(Gi), an inhibitory Designer Receptors Exclusively Activated by Designer Drugs. Chemogenetic inhibition of CeA CRF neurons reduced alcohol intake but not fear overgeneralization or irritability-like behaviors. Our findings suggest that CeA CRF modulates PTSD/AUD comorbidity, and inhibiting CRF neural activity is primarily associated with reducing alcohol drinking but not trauma-related behaviors that are associated with PTSD/AUD.

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INTRODUCTION

Post-traumatic stress disorder (PTSD) commonly is comorbid with substance use disorders, including alcohol use disorder (AUD) [1–3]. Symptoms of comorbid PTSD/AUD include excessive generalized fear and anxiety, drinking-related aggression, and alcohol drinking to cope with emotional dysregulation (i.e., negative reinforcement) [4–7]. Individuals with PTSD also develop AUD more rapidly than non-PTSD individuals, with heightened negative affective phenotypes, such as suicidal ideation and social isolation [6, 8, 9]. Pharmacotherapies to treat both PTSD/AUD remain limited. Thus, identifying shared neural mechanisms of PTSD/AUD may reveal new therapeutic avenues to treat these comorbid disorders.

Stress-mediated alcohol drinking is influenced by neuroendocrine stress responses via the hypothalamic-pituitary-adrenal (HPA) axis [10–12]. Stress canonically activates the HPA axis via

the release of corticotropin-releasing factor (CRF) into portal blood, and it also orchestrates an array of additional behavioral and physiological responses to stress and alcohol [13] via the paracrine/autocrine release of diverse peptides into non-neuroendocrine circuits. One such region, the central amygdala (CeA), contains extrahypothalamic CRF neurons that release CRF locally within and outside the CeA, and this CeA CRF system can modulate stress and alcohol drinking in rodents [13, 14]. In animal models, CRF is released in the CeA during alcohol withdrawal [15], and CRF₁ receptor blockade decreases escalated drinking [16–18] and negative affective behaviors that are associated with alcohol withdrawal [15, 19]. Importantly, the inhibition of CeA CRF-expressing neurons reduces escalated alcohol drinking and physical signs of alcohol withdrawal in rats [20].

Much literature has broadened our understanding of the involvement of CeA CRF in stress and alcohol drinking. Newly

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emerging studies have provided new insights into other neuropeptides and proteins in the CeA that are also regulated by stress and alcohol. Recent work demonstrated that stress and alcohol drinking influence glucocorticoid receptor (GR) signaling, increasing the abundance of FK-binding protein 51 (FKBP5), a cochaperone that is key for GR transcriptional activity [21-25]. Another neuropeptide that is influenced by stress and alcohol is calcitonin gene-related peptide (CGRP). CGRP immunofluorescence increases in cortical and limbic brain regions following alcohol exposure and withdrawal, and CGRP+ neurons from the parabrachial nucleus synapse onto CeA neurons [26, 27]. Furthermore, the role of neuroinflammatory signaling has also gained interest in stress-mediated alcohol drinking. Alcohol intoxication increases interleukin-18 (IL-18). Recent work from our group found that IL-18 signaling in the CeA is impaired after traumatic stress and alcohol drinking [28-30]. Thus, it is important to understand whether these molecular systems play a role in PTSD/AUD.

We recently developed a comorbid model of PTSD/AUD-like behavior [31]. This is an avoidance-based model of traumatic stress that combines re-experiencing footshock in a familiar context with alcohol drinking. This procedure results in fear overgeneralization and irritability-like behaviors post-alcohol abstinence and greater alcohol drinking and anxiety-like behavior that resemble symptoms of comorbid PTSD/AUD [31, 32]. The present study employed this model to compare effects of familiar "2-hit" stress vs. no stress in male and female rats. We then assessed CeA expression of stress-related genes, including CRF (Crh), CRF₁ receptor (Crhr1), Fkbp5, brain-derived neurotrophic factor (Bdnf), and nuclear receptor subfamily 3 group C member 1 (Nr3c1; which encodes the GR), which are known to modulate anxiety and alcohol drinking [23, 33]. We also evaluated transcripts for CGRP system-related gene targets, such as calcitonin-related polypeptide α (Calca), receptor activity modify protein 1 (Ramp1), calcitonin receptor-like receptor 1 (Crlr-1), glucagon-like peptide 1 (Glp-1r), and islet amyloid polypeptide (lapp), and neuroinflammatory targets, including 1/18 and 1/18 binding protein (1/18bp) [30, 34-37]. Among the transcript changes, we observed a significant increase in Crh, but not Crhr1, mRNA induced by stress, thus, we tested whether chemogenetic inhibition of CeA CRFexpressing neurons modulates phenotypes of comorbid PTSD/ AUD in Crh-Cre male and female rats and examined sex differences. The overall aim of targeting CRF is to provide deeper insight into CeA CRF pro-stress role in modulating PTSD associated alcohol drinking.

METHODS AND MATERIALS Animals

A total of n=71 wildtype Wistar and n=50 heterozygous *Crh-*Cre (Wistar background) male and female rats were used in this study [38]. All rats were pair-housed, separated by a perforated clear Plexiglas divider, and had access to food and water *ad libitum* before the experiments began. All experimental procedures were approved by The Scripps Research Institute (TSRI) Institutional Animal Care and Use Committee (protocol no. 09-0006). All procedures followed the National Institutes of Health *Guide for the Care and Use of Laboratory Animals* (8th edition).

Overall approach

This report consisted of three studies that were conducted in separate cohorts of rats. **Study 1** (n=35 rats) examined effects of stress and alcohol in an established paradigm of comorbid PTSD/AUD [31, 32]. This study utilized familiar inhibitory avoidance shock stress procedures, followed by alcohol drinking, fear overgeneralization, bottle bush irritability, and assessments of peripheral stress hormones (corticosterone [CORT] and adrenocorticotropic hormone [ACTH]). Unstressed controls underwent similar procedures, except they did not receive footshocks. To understand the role of other stress-related peptide genes, **Study 2** (n=36 rats) examined transcript levels for *Crh*, *Crhr1*, *Fkbp5*, *Bdnf*, *Nr3c1*, *Calca*, *Il18*, *Il18bp*, *Ramp1*, *Crlr-1*, *lapp* (which encodes pro-amylin), and *Glp-1r* in a

separate cohort of rats that underwent a similar comorbid PTSD/AUD 2-hit model procedure. A separate cohort of rats (n=25) was tested for changes in these genes under shock stress but non-drinking conditions. In **Study 3** (n=50), CeA CRF-expressing neurons in *Crh*-Cre rats were transduced for Cre-dependent Designer Receptors Exclusively Activated by Designer Drugs (DREADDs) or control vector (Cre-dependent) to selectively inhibit these CRF neurons. Surgeries occurred after footshock stress. The rats were allowed to recover from surgery and then received access to alcohol drinking, followed by testing for fear overgeneralization and irritability-like behavior. The administration of clozapine-N-oxide (CNO; 2 mg/kg, i.p.) or vehicle (5% dimethylsulfoxide [DMSO] with 0.9% saline) was given on the last day of drinking and on each behavioral test day.

Familiar footshock stress

The "2-hit" footshock stress procedures were conducted using a familiar context in an inhibitory avoidance procedure as previously reported [31, 32, 39]. Footshock stress (3.0 mA for 2 s) was administered on two single shock instances after crossing from a lit compartment to a dark compartment in the same contextual environment (familiar), separated by 48 h. The latency to cross (in seconds) into the dark compartment was measured. Unstressed controls underwent similar procedures without the presence of footshock.

Voluntary alcohol regimen

Two weeks after the initial shock, all rats received an initial 48 h acclimation to the alcohol ($20\% \ v/v$) bottle in addition to the water bottle, followed by intermittent (Monday, Wednesday, Friday), two-bottle choice (2BC) limited access (2 h) for 4 weeks in their home cage as previously described [31, 32, 39]. Blood alcohol levels (BALs) were measured in the middle of week 4 (Day 11 of 2BC), 30 min into their 2 h session before rats began their abstinence period. To validate BALs, intake and preference in rats that showed detectable levels within the 30 min were compared to rats that displayed non-detectable levels (see Fig. S1a, b) as previously reported [39].

Fear overgeneralization

All rats were tested in a fear overgeneralization paradigm that consisted of a modified inhibitory avoidance box and were given a maximum of 10 min to enter the dark compartment as previously described [31, 32, 39]. The latency to cross (in seconds) into the dark compartment was recorded, and animals that never crossed were recorded as having a latency of 600 s (10 min).

Bottle-brush irritability

Bottle-brush irritability was assessed by scoring aggressive-like behaviors (biting, boxing, following, and mounting), defensive behaviors (startling, digging, freezing, climbing cage walls, vocalizing, and attempting to escape), and general explorative behaviors (grooming, rearing, and exploring) as previously described [31, 39].

Stress hormone analysis

Plasma samples were analyzed using commercially available MilliPlex kits (Millipore Sigma) that are specific for CORT, ACTH, and melatonin as previously reported [39]. Trunk blood was collected in **Study 1** in ethylenediamine tetraacetic acid (EDTA) tubes (BD Vacutainer K2 EDTA) then placed on ice 1 week after the last behavioral test during the rats active phase 2 h into their dark cycle (between 10 AM and 2 PM). The centrifuged plasma samples were analyzed on a MAGPIX system using xPONENT software. The intra-assay coefficients of variation were < 10%.

Quantitative polymerase chain reaction

Rats were anesthetized with isoflurane and rapidly decapitated. Brains were harvested, flash frozen in dry ice-cooled isopentane, and stored at $-80\,^{\circ}$ C until analysis. The CeA was dissected from coronal cryostat sections (400 µm) using a stainless-steel punch. mRNA was isolated from the CeA using Trizol (Invitrogen; catalog no. 15596026) and RNA extraction kits (Zymo Research; catalog no. NC9972645). cDNA synthesis was conducted using SuperScript IV exDNAse kits (Invitrogen; catalog no. 11766050). cDNA was amplified using SYBR green PowerTrack master mix (Applied Biosystems; catalog no. A46109) and quantified by quantitative polymerase chain reaction (qPCR) on the QuantStudio 5 system. *Crh*, *Crhr1*, *Fkbp5*, *Bdnf*, *Nr3c1*, *Calca*, *Il18*, *Il18bp*, *Ramp1*, *Crlr-1*, *lapp*, and *Glp-1r* mRNA fold changes are expressed relative to unstressed controls and were normalized

Table 1. Primer sequences.

Gene	Abbreviation	Primer sequences
Corticotropin-releasing hormone	Crh	Forward: 5'-TGCTCGGCTGTCCCCCAACT-3' Reverse: 5'-CTGCAGCAACACGCGGAAAAA-3'
Corticotropin-releasing hormone receptor 1	Crhr1	Forward: 5'-TGC CAG GAG ATT CTC AAC GAA-3' Reverse: 5'-AAA GCCGAG ATG AGG TTC CAG-3'
Fk-506 binding protein 1	Fkbp5	Forward: 5'-GCCGGCAAGAAACACGAGAG-3' Reverse: 5'-GAGGAGGGCCGAGTTCATT-3'
Brain-derived neurotrophic factor	Bdnf	Forward: 5'-GGTCGATTAGGTGGCTTCATAG-3' Reverse: 5'-CGAACAGAAACAGAGAGAGAGATT-3'
Nuclear receptor subfamily 2 group C member 1	Nr3c1	Forward: 5'-GAAGGGAACTCCAGTCAGAAC-3' Reverse: 5'-AATGTCTGGAAGCAGTAGGTAAG-3'
Calcitonin related polypeptide α	Calca	Forward: 5'-CAGT CTCAGCTCCAAGTCATC-3' Reverse: 5'-TTCC AAGGTTGACCTCAAAG-3'
Receptor activity modifying protein 1	Ramp1	Forward: 5'-AGC CGC TTC AAA GAG GAC ATG-3' Reverse: 5'-GCC AAT CTT GTT TGC CAC GA-3'
Calcitonin receptor-like receptor 1	Crlr-1	Forward: 5'-AGAGCCTAAGTTGCCAACGG-3' Reverse: 5'CCACTGCCGTGAGGTGAATG-3'
Islet amyloid polypeptide (amylin)	Іарр	Forward: 5'-ACATGTGCCACACAACGTCT-3' Reverse: 5'-ACAAACACAGCAAGCACAGG-3'
Glucagon-like peptide-1 receptor	Glp-1r	Forward: 5'-CCGGGTCATCTGCATCGT-3' Reverse: 5'-AGTCTGCATTTGATGTCGGTCTT-3'
Interleukin 18	II18	Forward: 5'-CAAAAGAAACCCGCCTGTGT-3' Reverse: 5'-TCACAGCCAGTCCTCTTACTTCAC-3'
Interleukin 18 binding protein	ll18bp	Forward: 5'-ATAGTCGGGAGCTTTCCTAGA-3' Reverse: 5'-CTGGTGCCTAACTGTGACTT-3'
β-actin	Actb	Forward: 5'-ATCTGGCACCACACCTCC-3' Reverse: 5'-AGCCAGGTCCAGACGCA-3'

Genes were expressed relative to unstressed controls and were normalized to the validated and stable housekeeping gene, β-actin.

to the validated and stable housekeeping gene, β -actin (Actb) [40]. Cycling conditions were the following: 95 °C denaturation temperature for 15 s, 60.3 °C annealing temperature for 15 s, and 72 °C extension temperature for 15 s. Primers were obtained from Integrated DNA Technologies (Coralville, IA, USA). See Table 1 for all forward and reverse primer sequences. Specificity was confirmed as a single product in the melt curve analysis.

Stereotaxic surgery

For the chemogenetic study, rats were anesthetized with an isoflurane/oxygen mixture (1–3%) and placed on a stereotaxic apparatus. Credependent viral vectors that contained the inhibitory DREADD (AAV8-hSyn-DIO-hM4D[Gi]-mCherry; titer: 1×10^{13} vg/ml) or reporter control (AAV8-hSyn-DIO-mCherry; titer: 2×10^{13} vg/ml) were purchased from Addgene (catalog no. 4462 and 50459). Viral vectors were injected bilaterally in the CeA (anterior/posterior: -2.16 mm; medial/lateral: ± 4.3 mm; dorsal/ventral: -8.5 mm from flat skull). The infusion rate was 150 nl/min for 5 min. After the infusion, the injectors remained in place for 10 min before removal. Rats recovered in their home cage for 5-7 days until the initial 48 h acclimation period to alcohol.

Validation

For the site injection and expression validation of viral vectors, rats were anesthetized with isoflurane and transcardially perfused with ice-cold phosphate-buffered saline (PBS), followed by Z-fix zinc formalin fixative (Fisher Scientific, Waltham, MA, USA). Brains were dissected, immersion fixed in Z-fix at 4 °C for 24 h, cryoprotected in 30% sucrose in PBS at 4 °C for 24–48 h, flash frozen in isopentane, and stored at -80 °C. Brains were then sliced on a cryostat into 20 µm thick sections, mounted on SuperFrost Plus slides (Fisher Scientific, Waltham, MA, USA), and stored at -80 °C until use. The CeA was imaged using a Vectra Polaris Imaging system (CLS143455).

For the functional validation of viral vectors, rats were exposed to brief anesthesia (3–5% isoflurane), after which brains were rapidly extracted, placed in ice-cold sucrose solution that contained 206.0 mM sucrose, 2.5 mM KCl, 0.5 mM CaCl₂, 7.0 mM MgCl₂, 1.2 mM NaH₂PO₄, 26 mM NaHCO₃, 5.0 mM glucose, and HEPES 5 mM, and coronally sectioned

(300 µm) on a Leica VT1000S (Leica Microsystems). After sectioning, slices were incubated in an oxygenated (95% O₂/5% CO₂) artificial cerebrospinal fluid (aCSF) solution that contained 130 mM NaCl, 3.5 mM KCl, 1.25 mM NaH₂PO₄, 1.5 mM MgSO₄, 2 mM CaCl₂, 24 mM NaHCO₃, and 10 mM glucose for 30 min at 37 °C, followed by 30 min equilibration at room temperature (21-22 °C) as described previously [41, 42]. Electrophysiological recordings were obtained using a Multiclamp 700B amplifier, Digidata 1440 A digitizer, and pClamp 10 software (all from Molecular Devices) at a sampling rate of 20 kHz and low-pass filtered at 10 kHz. CeA neurons that contained mCherry were identified and differentiated from unlabeled neurons using fluorescent optics and brief (<2 s) episcopic illumination. To compare effects of hM4D(Gi) and control virus on spontaneous action potential firing, loose cell-attached recordings were obtained in voltage-clamp mode, and CNO (1 µM) was bath applied for 8-12 min. Four rats were excluded from this analysis because cell-attached recording was unattainable.

Drugs

Ethyl alcohol (200 proof; 20%) was purchased from Pharmco (Brookfield, CT, USA). CNO was purchased from Cayman Chemical (Ann Arbor, MI, USA), dissolved in 5% DMSO and diluted with 0.9% sterile saline as described previously [43, 44], and administered 1 h before testing. The dose was 2 mg/kg (1 mg/mL, i.p.) based on prior work that showed a reduction of fear conditioning, anxiety-like behavior, and CeA specificity in the same *Crh*-Cre rat line [43, 44].

Statistical analysis

Behavioral and gene expression data in **Study 1** and **Study 2** were analyzed using analysis of variance (ANOVA), with Stress (unstress vs. stress) and Sex (male vs. female) as between-subjects factors. Inhibitory footshock stress data were analyzed using repeated-measures ANOVA, with Shock Day (hit 1 vs. hit 2) as the within-subjects factor and Stress as the between-subjects factor. Drinking data in **Study 1** were analyzed using repeated-measures ANOVA, with Drinking across weeks (weeks 1-4) as the within-subjects factor and Stress and Sex as between-subjects factors. Behavioral data in **Study 3** were analyzed using ANOVA, with

Molecular Psychiatry SPRINGER NATURE

Virus (AAV8-hSyn-DIO-mCherry vs. AAV8-hSyn-DIO-hM4D[Gi]-mCherry), Drug (vehicle vs. CNO), and Sex as between-subjects factors. In cases of significant interactions, post hoc analyses were conducted using Bonferroni correction adjusted for multiple comparisons. Pearson's correlation was used to examine relationships between total mean alcohol intake and aggressive and defensive signs as well as peripheral stress hormones. Validation was analyzed using a one sample t-test for within-cell percent baseline changes. For all experiments, sample size was pre-determined based on prior work effect sizes using a similar model [31, 39]. Simple randomization for treatment conditions occurred prior to the start of the experiments. Rats were arbitrarily assigned via a numbering system to different treatment groups. All experimenters were blind to the subjects' treatment condition during testing and sample preparations. All data were analyzed using SPSS 29 software. All graphs were generated using GraphPad Prism 8 software.

RESULTS

Study 1 employed an established model of familiar context stress and alcohol drinking that exhibits symptomology of comorbid PTSD/AUD. All shocked rats exhibited familiar context avoidance on the second day of shock vs. unstressed rats, reflected by a longer latency to cross into the dark side of the familiar shock apparatus (Fig. 1b: Stress: $F_{1,33} = 18.36$, p < 0.01, Shock Day \times Stress: $F_{1,33} = 19.36$, p < 0.001). Stressed rats specifically developed avoidance-like behavior for the shocked paired side on Day 2 vs. Day 1 of shock (p < 0.001). This effect was not observed in unstressed rats (p = 0.998). Beginning 2 weeks after the shock stress procedures, we measured 4 weeks of 2BC intermittent alcohol drinking. These data are expressed as average weekly intake (weeks 1-4) during 2BC alcohol sessions. Familiar shock stress overall increased both alcohol intake and preference vs. unstressed controls (Fig. 1c: Stress: $F_{1,31} = 12.97$, p = 0.001; Sex: $F_{1,31} = 11.91$, p < 0.001; Stress × Sex: $F_{1,31} = 0.61$, p = 0.438; Stress \times Weeks: $F_{3,93} = 0.60$, p = 0.613; Stress \times Sex \times Weeks: $F_{3.93} = 0.96$, p = 0.415; Fig. 1d: Stress: $F_{1.31} = 6.06$, p = 0.02; Sex: $F_{1,31} = 13.43$, p < 0.001; Stress × Sex: $F_{1,31} = 0.006$, p = 0.939, Stress \times Weeks: $F_{3,93} = 1.09$, p = 0.357; Stress \times Sex \times Weeks: $F_{3.93} = 0.57, p = 0.633$).

One week after the end of the 2BC drinking procedures, we tested unstressed and stressed rats for fear overgeneralization and irritability-like behavior. Familiar shock stress increased the latency to cross (in seconds) and number of fecal boli (secondary fear response index) vs. unstressed control rats in the unfamiliar avoidance apparatus (Fig. 1e: Stress: $F_{1.31} = 14.85$, p < 0.01; Sex: $F_{1,31} = 0.34$, p = 0.563; Stress × Sex: $F_{1,31} = 0.33$, p = 0.569; Fig. 1f: Stress: $F_{1,31} = 27.31$, p < 0.001; Sex: $F_{1,31} = 1.04$, p = 0.315; Stress \times Sex: $F_{1,31} = 0.17$, p = 0.677). No significant differences were observed in aggressive or defensive signs (Fig. 1g: Stress: $F_{1.31} = 2.08$, p = 0.159; Sex: $F_{1.31} = 0.84$, p = 0.365; Stress × Sex: $F_{1,31} = 0.006$, p = 0.939; Fig. 1h: Stress: $F_{1,31} = 2.35$, p = 0.135; Sex: $F_{1,31} = 0.01$, p = 0.906; Stress \times Sex: $F_{1,31} = 0.04$, p = 0.842). However, the amount of total alcohol intake across the 4 weeks positively correlated with the expression of aggressive signs but not defensive signs (Fig. 1i: r = 0.42, 95% confidence interval [CI] = 0.11-0.67, p = 0.010; Fig. S2: r = -0.19, 95% CI = -0.50-0.15,

We measured enduring changes in peripheral stress hormones in a subset of unstressed vs. stressed rats 9 weeks after the initial familiar shock exposure. Familiar shock stress increased plasma CORT and ACTH vs. unstressed control rats (Fig. 1j: Stress: $F_{1,26} = 5.88$, p = 0.023; Sex: $F_{1,26} = 0.49$, p = 0.487; Stress \times Sex: $F_{1,26} = 7.90$, p = 0.842; Fig. 1k: Stress: $F_{1,29} = 5.59$, p = 0.025; Sex: $F_{1,29} = 8.78$, p = 0.006; Stress \times Sex: $F_{1,29} = 0.98$, p = 0.329). No changes were observed in plasma melatonin levels across stress groups (Fig. S3: Stress: $F_{1,25} = 0.12$, p = 0.731; Sex: $F_{1,25} = 10.71$, p = 0.003; Stress \times Sex: $F_{1,25} = 1.19$, p = 0.286). Pearson r correlation was also performed for plasma CORT and ACTH on total alcohol intake. Plasma CORT or ACTH did not correlate with the

levels of total alcohol intake across groups (Fig. S4a: r = 0.29, 95% CI = -0.07–0.60, p = 0.109; Fig. S4b: r = -0.12, 95% CI = -0.45–0.23, p = 0.501).

In Study 2, CeA gene expression was analyzed for several stress- and fear-relevant peptides in a separate cohort of rats that were subjected to familiar shock stress and alcohol drinking 11-weeks after their initial shock exposure. Familiar shock stress increased mRNA levels of Crh (Fig. 2b: Stress: $F_{1,24} = 4.46$, p = 0.048; Sex: $F_{1,24} = 1.07$, p = 0.310; Stress × Sex: $F_{1,24} = 0.24$, p = 0.623) and Fkbp5 (Fig. 2d: Stress: $F_{1,26} = 8.53$, p = 0.007; Sex: $F_{1,26} = 0.004$, p = 0.948; Stress × Sex: $F_{1,26} = 0.004$, p = 0.947) and decreased mRNA levels of *Bdnf* (Fig. 2e: Stress: $F_{1,28} = 6.18$, p = 0.019; Sex: $F_{1.28} = 0.53$, p = 0.472; Stress × Sex: $F_{1.28} = 0.28$, p = 0.598) and II18 (Fig. 2I: Stress: $F_{1,25} = 8.58$, p = 0.007; Sex: $F_{1,25} = 2.16$, p = 0.154; Stress × Sex: $F_{1,25} = 1.66$, p = 0.209) vs. unstressed rats. Furthermore, we observed a significant difference in mRNA levels of Nr3c1 across males and females (Fig. 2f: Stress: $F_{1,25} = 8.60$, p = 0.007; Sex: $F_{1,25} = 61.59$, p < 0.001; Stress × Sex: $F_{1.25} = 52.56$, p < 0.001). Specifically, familiar shock stress increased Nr3c1 mRNA levels in males vs. their unstressed controls (Fig. 2f; p = 0.005), whereas it decreased Nr3c1 mRNA levels in females vs. their respective unstressed controls (Fig. 2f; p < 0.001). We observed no significant differences in Crhr1 or Calca gene expression across familiar shock stressed groups (Fig. 2c: Stress: $F_{1,27} = 0.53$, p = 0.469; Sex: $F_{1,27} = 0.45$, p = 0.507; Stress × Sex: $F_{1,27} = 0.49$, p = 0.490; Fig. 2g: Stress: $F_{1,24} = 3.37$, p = 0.078; Sex: $F_{1,24} = 0.02$, p = 0.871; Stress × Sex: $F_{1,24} = 0.04$, p = 0.836).

We also measured the expression of genes that have been more recently associated with fear that might be linked to PTSD/AUD. Familiar shock stress did not significantly alter CeA mRNA levels of *Ramp1* (Fig. 2h: Stress: $F_{1,28} = 0.02$, p = 0.869; Sex: $F_{1,28} = 1.25$, p = 0.272; Stress × Sex: $F_{1,28} = 1.50$, p = 0.230), CrIr-1 (Fig. 2i: Stress: $F_{1,28} = 0.002$, p = 0.968; Sex: $F_{1,28} = 2.67$, p = 0.113; Stress × Sex: $F_{1,28} = 2.75$, p = 0.108), Glp-1r (Fig. 2j: Stress: $F_{1,27} = 1.64$, p = 0.214; Sex: $F_{1,27} = 0.02$, p = 0.886; Stress × Sex: $F_{1,27} = 0.45$, p = 0.505), lapp (Fig. 2k: Stress: $F_{1,26} = 1.10$, p = 0.303; Sex: $F_{1,26} = 0.21$, p = 0.644; Stress × Sex: $F_{1,26} = 0.20$, p = 0.654), or Il18bp (Fig. 2m: Stress: $F_{1,25} = 1.07$, p = 0.309; Sex: $F_{1,25} = 0.002$, p = 0.965; Stress × Sex: $F_{1,25} = 0.002$, p = 0.965).

To examine whether changes in gene expression were altered by stress only (without subsequent alcohol access), we measured a subset of genes in a separate cohort of rats that were only subjected to familiar context stress 48 h after the last shock day. There were no significant differences in CeA mRNA levels of *Crh* (Fig. S5a: Stress: $F_{1,24} = 0.10$, p = 0.748; Sex: $F_{1,24} = 0.02$, p = 0.872; Stress × Sex: $F_{1,24} = 0.62$, p = 0.437), *Crhr1* (Fig. S5b: Stress: $F_{1,25} = 2.82$, p = 0.105; Sex: $F_{1,25} = 1.49$, p = 0.233; Stress × Sex: $F_{1,25} = 1.36$, p = 0.253), *Fkbp5* (Fig. S5c: Stress: $F_{1,24} = 2.27$, p = 0.112; Sex: $F_{1,24} = 1.04$, p = 0.317; Stress × Sex: $F_{1,24} = 0.48$, p = 0.493), or *Bdnf* (Fig. S5d: Stress: $F_{1,25} = 0.55$, p = 0.465; Sex: $F_{1,25} = 0.07$, p = 0.793; Stress × Sex: $F_{1,24} = 0.21$, p = 0.649) across groups.

In Study 3, we used a chemogenetic approach to test whether selectively inactivating CRF-expressing neurons in the CeA reduces comorbid phenotypes of PTSD and alcohol drinking. All Crh-Cre rats were stressed and exhibited inhibitory avoidance-like behavior on the second day of shock vs. day one (Fig. 3b: Shock Day: $F_{1,48} = 31.43$, p < 0.001; Shock Day × Sex: $F_{1,48} = 4.079$, p = 0.049; post hoc: p < 0.001). Furthermore, our analysis revealed a significant difference in alcohol intake across Virus and CNO administration (Fig. 3c: Virus: $F_{1,42} = 16.14$, p < 0.001; Drug: $F_{1,42} = 0.11$, p = 0.736; Sex: $F_{1,42} = 10.14$, p = 0.003; Virus × Drug: $F_{1,42} = 6.59$, p = 0.014; Virus × Drug × Sex: $F_{1,42} = 2.32$, p = 0.135). Specifically, CNO administration (2 mg/kg) in stressed hDM4(Gi)expressing rats decreased 2 h alcohol intake vs. their respective vehicle-treated controls (p = 0.044) and viral vector controls (p < 0.001). We observed no significant differences in alcohol preference (Fig. 3d: Virus: $F_{1,42} = 0.17$, p = 0.713; Drug: $F_{1,42} = 0.16$,

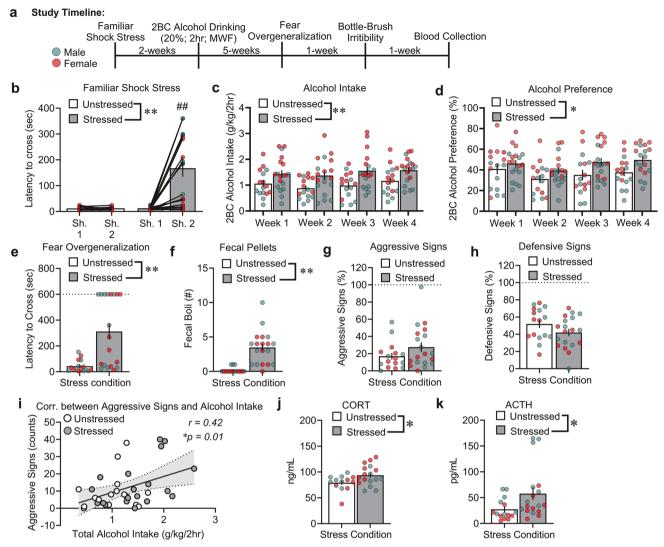


Fig. 1 Familiar shock stress increases alcohol drinking, fear overgeneralization, peripheral stress hormones, and alcohol-associated irritability-like behavior. a Timeline of experiments in unstressed and stressed male and female rats. b Latency to cross (sec) for the "2-hit" familiar shock stress paradigm. c, d Alcohol intake and preference across the 4 weeks of 2BC alcohol drinking (20%; Monday, Wednesday, Friday; 2 h). e Latency to cross (sec) in the fear overgeneralization test. f Fecal boli counts (secondary fear response index) during the fear overgeneralization test. g, h Percent (%) aggressive and defensive signs during the bottle-brush irritability test. i Pearson correlation analysis between aggressive signs and total alcohol intake averaged across the 4 weeks of 2BC in unstressed and stressed rats. j, k Plasma CORT and ACTH levels 1 week after the last behavioral test. $^{\#}p < 0.01$, significant difference from shock day; $^{\#}p < 0.05$, $^{\#}p < 0.01$, significant difference from unstressed rats.

p=0.689; Sex: $F_{1,42}=2.48$, p=0.123; Virus \times Drug: $F_{1,42}=0.23$, p=0.630; Virus \times Drug \times Sex: $F_{1,42}=0.89$, p=0.351). Lastly, no significant differences were observed in water consumption after CNO administration across groups on test day (Fig S6. Virus: $F_{1,42}=0.12$, p=0.728; Drug: $F_{1,42}=0.004$, p=0.952; Sex: $F_{1,42}=6.14$, p=0.017; Virus \times Drug \times Sex: $F_{1,42}=0.54$, p=0.465).

One week after the last 2BC alcohol session, we tested for fear overgeneralization and irritability-like behavior following CNO administration (2 mg/kg). Our analysis revealed no significant differences that were produced by CNO administration in either the latency to cross in the fear overgeneralization test (Fig. 3e: Virus: $F_{1,42} = 0.15$, p = 0.696; Drug: $F_{1,42} = 0.69$, p = 0.411; Sex: $F_{1,42} = 0.28$, p = 0.598; Virus × Drug: $F_{1,42} = 1.46$, p = 0.233; Virus × Drug × Sex: $F_{1,42} = 0.22$, p = 0.636) or fecal boli (Fig. 3f: Virus: $F_{1,42} = 4.37$, p = 0.043; Drug: $F_{1,42} = 1.75$, p = 0.193; Sex: $F_{1,42} = 1.08$, p = 0.304; Virus × Drug: $F_{1,42} = 0.32$, p = 0.573; Virus × Drug × Sex: $F_{1,42} = 1.08$, p = 0.304). Lastly, we found no significant differences in irritability-like behavior for aggressive

signs (Fig. 3g: Virus: $F_{1,42}=1.73$, p=0.195; Drug: $F_{1,42}=0.03$, p=0.850; Sex: $F_{1,42}=0.11$, p=0.738; Virus × Drug: $F_{1,42}=1.16$, p=0.287; Virus × Drug × Sex: $F_{1,42}=0.27$, p=0.602). However, we observed significant differences in defensive signs following CNO administration (Fig. 3h: Virus: $F_{1,42}=1.73$, p=0.195; Drug: $F_{1,42}=0.03$, p=0.850; Sex: $F_{1,42}=0.64$, p=0.426; Virus × Drug: $F_{1,42}=4.56$, p=0.039; Virus × Drug × Sex: $F_{1,42}=0.006$, p=0.937). Specifically, the Virus × Drug interaction reflected a trend toward an increase in defensive signs after CNO administration (2 mg/kg) in stressed hDM4(Gi)-expressing rats vs. their respective vehicle-treated controls (p=0.052).

Viral vectors for control and Cre-dependent inhibitory DREADD expression and functional activity were validated at the end of the study in stressed *Crh*-Cre rats. Representative images of viral expression and site injections were measured (Fig. 4a). To assess the functional activity of CRF CeA neurons and specificity of CNO, we recorded mCherry- and mCherry- neurons in CeA slices from both AAV8-hSyn-DIO-mCherry- and

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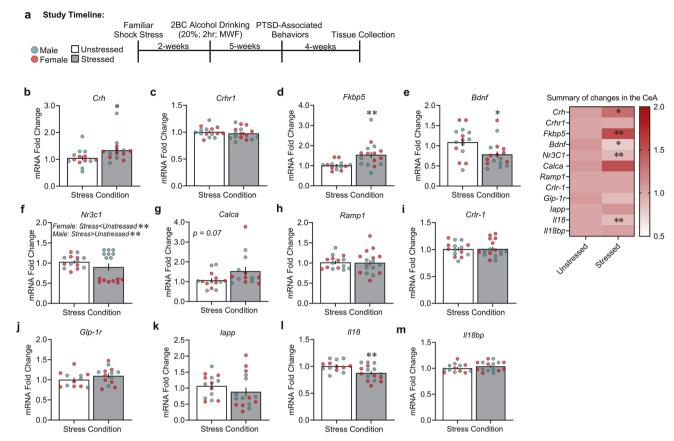


Fig. 2 Familiar shock stress and alcohol history disrupt stress- and fear-relevant CeA gene expression in rats. a Timeline and tissue collection endpoint. CeA mRNA levels for (b). Crh, (c) Crhr1, (d) Fkbp5, (e) Bdnf, (f) Nr3c1, (g) Calca, (h) Ramp1, (i) Crlr-1, (j) Glp-1r, (k) Iapp, (l) Il18, and (m) Il18bp in unstressed and stressed males and females. *p < 0.05, **p < 0.01, significant difference from unstressed controls.

AAV8-hSyn-DIO-hM4D(Gi)-mCherry-injected rats. In AAV8-hSyn-DIO-mCherry-injected (control) rats, CNO (1 μ M) did not produce changes in the firing rate of either mCherry- (t=1.98, p=0.145) or mCherry+ (t=0.66, p=0.575) cells (Fig. 4c). Importantly, CNO (1 μ M) significantly decreased the firing rate of mCherry+ (t=9.818, p=0.0006) but not mCherry- (t=0.19, p=0.853) CeA neurons from AAV8-hSyn-DIO-hM4D(Gi)-mCherry-injected rats (Fig. 4f).

DISCUSSION

The present study examined potential CeA gene targets and functional circuit mechanisms that may be involved in comorbid PTSD/AUD in rats. We found similar increases in alcohol drinking, fear overgeneralization, peripheral stress hormone levels, and drinking-related irritability-like behavior after familiar shock stress as previously described [31, 32, 39]. Familiar shock stress and alcohol drinking disrupted the expression of several stress- and fear-relevant genes (including *Crh*) in CeA tissue. Using a chemogenetic approach, we found that the selective inhibition of CRF CeA neurons significantly decreased alcohol intake but not trauma-associated avoidance- or aggressive-like behaviors. Overall, our findings support a role for CeA CRF systems in post-traumatic drinking and add further evidence that other stress targets, including CeA *Fkbp5*, *Bdnf*, and *Nr3c1*, are involved in comorbid PTSD/AUD.

Our findings revealed significant increases in *Crh* and *Fkbp5*, decreases in *Bdnf*, but no change in *Crhr1* gene expression in the CeA that were produced by our comorbid stress and drinking model. We recognize the historical evidence of *Crh* upregulation in the CeA following chronic alcohol withdrawal and stress exposure [45–50]. Our changes in gene expression in the CeA appeared to

be driven by the combination of familiar shock stress and alcohol because a subset of stress-only rats, measured 48 h after stress exposure, did not exhibit changes in these genes (see Supplementary Results). However, we note that the lack of changes after 48 h may be attributable to differences in the timing of tissue collection (i.e., 48 h vs. 11 weeks) after the initial shock stress exposure. Importantly, significant increases in CeA *Fkbp5* gene expression were also observed in stressed rats. This finding is consistent with several studies that reported that alcohol withdrawal severity positively correlates with *Fkbp5* levels, PTSD increases DNA methylation for *Fkbp5*, and alcohol administration increases *Fkbp5* mRNA in rats [21–23, 51, 52]. Importantly, recent work from our group showed that inhibiting FKBP5 via broadacting and selective FKBP5 inhibitors has efficacy in decreasing comorbid PTSD/AUD-like behaviors in rats [39, 53].

CeA Nr3c1 displayed distinct transcript expression that increased in stressed males and decreased in stressed females vs. controls. This finding suggests possible sex differences in the regulation of GR signaling in stressed and alcohol-exposed rats. A recent study from our group found that naive female rats exhibited higher CeA Nr3c1 gene expression than males, and stress exposure or alcohol may differentially change Nr3c1 gene transcript levels after a history of stress and alcohol exposure [54]. Parameters in the latter study used in situ hybridization methods, which can account for cell type-selective changes that are not controlled in bulk qPCR gene expression methods. A report that used a high drinking strain of mice (HDID-1) found similar decreases in striatal Nr3c1 [23]. Alcohol withdrawal also decreases GR mRNA levels in multiple stress- and reward-associated regions [23, 24, 55]. These studies suggest that stress combined with alcohol drinking history may differentially recruit GR systems

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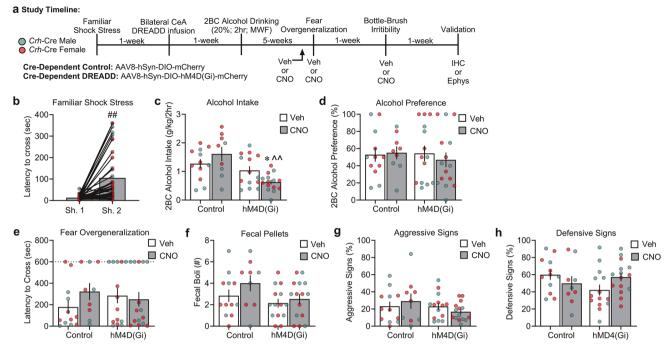


Fig. 3 Cre-dependent chemogenetic inhibition of CeA CRF-expressing neurons reduces alcohol intake after familiar shock stress but not fear overgeneralization or irritability-like behavior. a Timeline of experiments in CeA viral infused with AAV8-hSyn-DIO-mCherry or AAV8-hSyn-DIO-hM4D(Gi)-mCherry in stressed *Crh*-Cre males and females. **b** Latency to cross (sec) for the "2-hit" familiar shock stress paradigm. **c**, **d** Alcohol intake and preference across the 4 weeks of 2BC alcohol drinking (20%; Monday, Wednesday, Friday; 2 h). **e** Latency to cross (sec) in the fear overgeneralization test. **f** Fecal boli counts (secondary fear response index) during the fear overgeneralization test. **g**, **h** Percent (%) aggressive and defensive signs during the bottle-brush irritability test. *#p < 0.001, significant difference from shock day 1; *p < 0.05, significant difference from respective vehicle-treated controls; ^^p < 0.01, significant difference from CNO (2 mg/kg) mCherry control groups.

between males and females. Future studies are needed to assess the sensitivity of GR-targeting compounds and sex differences for the treatment of comorbid PTSD/AUD [30, 39, 54].

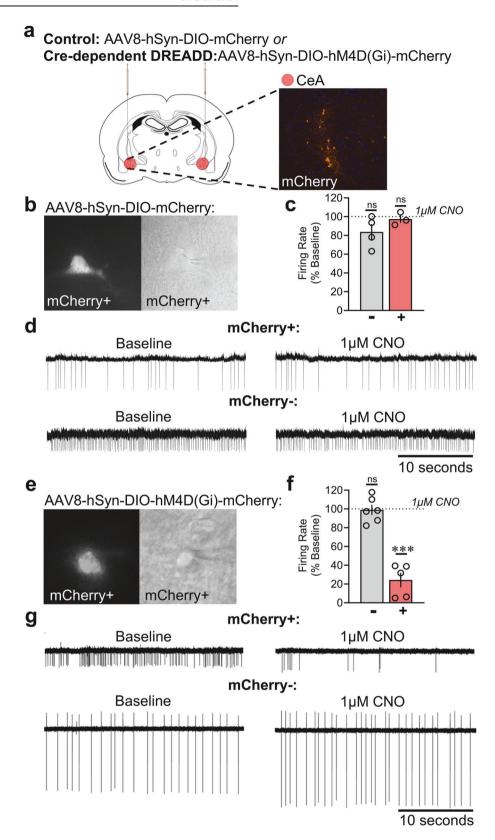
We found no significant changes in CeA gene transcript levels for Ramp1, Crlr-1, lapp, Glp-1r, or Il18bp. However, transcript levels for Calca showed the largest mean-fold change of all studied targets (Fig. 2, summary; p = 0.07). This lack of changes does not support their known role for encoding molecules in stress, fear, and drinking behavior [37, 56-58]. Additionally, Glp-1r and lapp were shown to play important roles in alcohol drinking and dependence using other rodent models and in other brain areas [36, 59, 60]. Given the trend for altered Calca mRNA expression, we cannot exclude changes in CeA expression of this calcitoninsystem precursor in our comorbid PTSD/AUD model. Future studies will examine expression of splice-processed transcripts that encode calcitonin or CGRP, given that these systems are linked to threat perception, aversive memories, and alcohol intake. [26, 61]. To our knowledge, these genes have not been explored in the CeA in comorbid PTSD/AUD-like rodent models.

Prior work showed that predator odor stress and chronic alcohol exposure increased CRF expression in the CeA in rodents [14, 48, 50]. We found that *Crh* mRNA was elevated in the CeA in stressed rats. Thus, we examined whether inhibiting CRF-expressing neurons in the CeA reduces behavioral phenotypes of comorbid PTSD/AUD in *Crh*-Cre rats [20, 38]. We found that the chemogenetic inhibition of CRF CeA neurons decreased alcohol intake but not fear overgeneralization or irritability-like behavior. Our results for alcohol consumption are similar to results from a prior study with this same transgenic line, showing that the optogenetic inhibition of CRF CeA neurons decreased the escalation of alcohol intake and somatic signs of withdrawal in alcohol-dependent rats [20]. A surprising finding was that chemogenetic inhibition did not reduce fear overgeneralization

or irritability because prior work found that chemogenetic inhibition or caspase3 genetic ablation decreased anxiety-like behavior following immobilization stress in *Crh*-Cre rats [43, 44]. We also acknowledge prior work that showed that the chemogenetic stimulation or inhibition of CeA CRF-expressing neurons did not affect alcohol drinking or negative affective behavior in dependent *Crh*-IRES-Cre mice [48]. We suggest that species differences (*Crh*-Cre rats *vs. Crh*-IRES-Cre mice) can yield non-parallel results, particularly for alcohol drinking or differences in the engagement of stress systems (e.g., by shock stress) before alcohol drinking. The lack of changes in trauma-related behaviors might also be mediated by CRF projections outside the CeA, such that the inhibition of CeA CRF neurons alone is insufficient to impact these behaviors.

The neurobiological mechanisms in this report require further assessments. We speculate that comorbid PTSD/AUD are mediated by CeA y-aminobutyric acid (GABA)-ergic signaling because prior work identified an increase in CeA GABAergic signaling in stressed rats, possibly through CRF, FKBP5 (GR cochaperone), and Nr3c1 (which encodes the GR) [31, 39, 62-64]. Indeed, the chemogenetic or optogenetic stimulation of CeA CRF neurons increased GABA transmission (via an increase in spontaneous inhibitory postsynaptic current frequency) in a subset of CeA neurons in Crh-Cre rats and Crh-IRES-Cre mice, mimicking the increases in GABAergic transmission following familiar stress and alcohol drinking [38, 65]. Another possibility is that familiar shock stress and alcohol drinking may synergistically affect CeA GABAergic transmission, possibly through FKBP5/GR mechanisms, by facilitating the ligand activation of GR and its subsequent translocation into the nucleus [66]. This possibility is supported by work that showed that a GR antagonist decreased CeA GABAergic signaling in alcohol-dependent rats, as well as Fkbp5 gene expression [63, 67]. Importantly, GR antagonists

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prevent and reverse the increase in alcohol self-administration that is associated with alcohol dependence and in genetically selected, alcohol-preferring rodent models [24, 25, 55, 68–70]. Future studies are needed to disentangle the mechanisms in stress-mediated alcohol drinking and trauma-induced anxiety.

We note that systemic administration of CNO in DREADD-infused animals can inhibit both local CRF and CRF outside the CeA influencing our behavioral results. Optogenetic inhibition of local CeA CRF neurons prevents escalation of alcohol drinking in *Crh*-Cre rats [20]. Downstream neuronal inhibition of CeA CRF

Fig. 4 Verification of hM4D(Gi)-DREADD expression and function in the CeA. a Site injection and representative expression of mCherry for either control (AAV8-hSyn-DIO-mCherry) or inhibitory DREADD (AAV8-hSyn-DIO-hM4D[Gi]-mCherry) virus transduction in the CeA. **b** Fluorescence image from control vector of an mCherry+ neuron (left), and the same neuron that was patched under differential interference contrast (right). **c** Firing rates from control vector-injected rats were recorded from mCherry+ (n = 3) and mCherry- (n = 4) CeA neurons. No significant changes in firing rate that were produced by CNO application (1 μM) in either mCherry+ or mCherry- CeA neurons from rats that were injected with control virus. **d** Representative traces of control vector-injected rats before and during CNO application (1 μΜ). **e** Fluorescence image from inhibitory DREADD vector of an mCherry+ neuron (left), and the same neuron that was patched under differential interference contrast (right). **f** Firing rates of inhibitory DREADD-injected rats were recorded from mCherry+ (n = 5) and mCherry- (n = 6) CeA neurons. A decrease in firing rate (% Baseline) was produced by CNO application (1 μM) in mCherry+ cells only. **g** Representative traces of DREADD-injected rats before and during CNO application (1 μM).

projections to the bed nucleus stria terminalis (BNST) decreases alcohol intake [20]. This inhibition of CRF CeA-BNST pathway is dependent upon the CRF-CRF receptor 1 system with R121919 (CRF1 receptor antagonist) blocking the optogenetic inhibition of CRF CeA input neurons [20]. The putative role of CRF outside the extended amygdala structures has also been explored. Excitation of CRF CeA to locus coeruleus (LC) pathway increases anxiety-like behavior, an effect blocked by the administration of antalarmin (CRF1 receptor antagonist) [71]. Hypothalamic CRF deficiency in KO mice and inhibition of CRF1 in rats decreases anxiety-like behaviors versus controls [72].

In conclusion, we found that familiar shock stress increased phenotypes of comorbid PTSD/AUD, including increases in alcohol drinking, fear overgeneralization, peripheral stress hormone levels, and alcohol-associated irritability-like behavior, recapitulating our prior work and the work of others [31, 32, 39, 73, 74]. Familiar stress and alcohol drinking dysregulate stress and fear-based gene expression in the CeA, providing a region of interest to study the underlying deficits of PTSD/AUD. The selective inhibition of CeA CRF neurons reduced alcohol intake but not fear overgeneralization or irritability-like behavior, suggesting that the modulation of trauma-related behavior may include circuits outside the CeA or other molecular targets. It is essential to examine the expression profiles of other targets such as Fkbp5 (encodes for GR cochaperone) or Nr3c1 (encodes for GR) in the CeA upon CRF inhibition that may provide insight into CRF-related mechanisms. Collectively, these data provide insight into the contribution of CeA CRF and related molecular mechanisms on susceptibility to PTSD/AUD.

DATA AVAILABILITY

Data is available upon request.

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11

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AUTHOR CONTRIBUTIONS

BC and MR designed the project. BC, VV, VB, PCB, RB, MB, and RV collected behavioral, blood plasma, imaging and functional validation data. BC, VV, VB, MB and MR performed analysis of data. BC drafted the figures. EPZ, LBB, DK, and ROM provided critical interpretation of the data. BC and MR wrote the manuscript. ROM generated *Crh*-Cre transgenic line. All authors revised and approved the manuscript.

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