In Vivo Measurement of Phenylalanine in Human Brain by Proton Nuclear Magnetic Resonance Spectroscopy

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ABSTRACT

Disorders of the CNS are the major causes of morbidity and mortality observed in untreated subjects with phenylketonuria (PKU). A method to measure cerebral concentrations of phenylalanine (Phe) in vivo would greatly enhance the ability to investigate both the pathophysiology and the efficacy of therapy of this aminoacidopathy. Twelve image-guided localized proton nuclear magnetic resonance spectroscopic studies were performed in seven subjects with PKU using pulse sequences optimized to detect the aromatic protons of Phe. Ten control studies were also performed using a 2.1-Tesla Bruker Biospec spectrometer. Plasma Phe was measured at the time of the spectroscopic examination in the PKU patients. A Phe signal was observed in all 12 studies performed on the group with PKU, and in five studies cerebral Phe concentrations were measured to be 480 to 780 μ mol/g. Plasma Phe concentrations were 0.7 to 3.3 mM (10.8 to 54.8 mg/dL) in the subjects with PKU. Human cerebral Phe concentrations can be measured noninvasively using proton nuclear magnetic resonance spectroscopy. A simultaneous measure of Phe and several other cerebral metabolites is obtained with this innovative technology. Adaptations of this technique can be

Hyperphenylalaninemia due to a deficiency of phenylalanine 4-monooxygenase (EC 1.14.16.1) activity is the most common treatable disorder of amino acid metabolism in man (1). Several mutations in the gene for this enzyme mapped to chromosome 12q have been identified as causing this autosomal recessive disorder (2). Recent studies are beginning to deterused to investigate PKU and other neurometabolic disorders with modifications of current clinical magnetic resonance imaging systems. (*Pediatr Res* 37: 244–249, 1995)

Abbreviations

Cho, choline-containing compounds Cr, creatine ¹H, proton IR, inversion recovery MRI, magnetic resonance imaging NA, N-acetyl-containing compounds NMR, nuclear magnetic resonance Phe, phenylalanine PKU, phenylketonuria ppm, parts per million TMA, trimethylamine-containing compounds T1, spin-lattice relaxation time TE, total echo time TR, repetition time

mine the molecular basis for the phenotypic heterogeneity of this disorder (3, 4). Classic PKU is observed in 1 in 7000 to 1 in 15 000 births in most white populations. If Phe intake is not restricted, PKU leads to mental retardation, epilepsy, and other neurologic disorders. The advent of newborn screening has produced an enlarging cohort of young adults for whom there is a need to optimize therapy. Two recognized problems facing these individuals are progressive neuropsychologic deterioration after discontinuation of dietary therapy and increased risk of mental retardation in offspring of affected women resulting from fetal exposure to high maternal blood Phe.

Attempts to correlate blood levels of Phe, its metabolites, or genotype with neurologic complications have been enigmatic (5, 6). There has been much controversy regarding the mechanism by which hyperphenylalaninemia results in neurologic

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disturbances (7, 8). Discontinuation of dietary therapy can result in increased incidence of neuropsychologic problems (9, 10). A link between neurologic status and dietary Phe control is now emerging from recent neuroimaging studies demonstrating abnormalities of white matter on MRI (11-13). However, the pathophysiology and the timing of the development of these white matter changes is unknown. Despite these controversies, several groups have recommended strict adherence to dietary therapy (14). A method for noninvasive measurement of Phe and other metabolites in the human brain would permit better management of these problems, and it would provide a better understanding of the pathogenesis of the neurologic disorders observed in PKU. After investigating an animal model of this disorder using ¹H NMR spectroscopy (15), we used this noninvasive technique to investigate humans with this aminoacidopathy. Subjects with classic PKU were investigated by a modification of the NMR technique used in our animal study.

METHODS

Twelve ¹H NMR spectroscopic studies were performed on three male and four female subjects aged 13 to 28 y with classic PKU. Seven studies were performed on five PKU subjects using one NMR pulse sequence, and five studies were performed on four PKU subjects using a second NMR pulse sequence designed to permit quantitation of cerebral Phe. Ten control studies were obtained. Five studies on five control subjects aged 22 to 38 y using the first NMR pulse sequence and five studies on five control subjects aged 24 to 37 y using the second quantitative NMR pulse sequence were also performed. Plasma Phe levels were measured at the time of the spectroscopic study in the subjects with PKU.

NMR spectroscopy. ¹H spectra were obtained using an extensively modified Biospec spectrometer (Bruker, Billerica, MA) with a 1-m bore, 2.1-Tesla magnet. For studies using the second pulse sequence (see below), the system was equipped with shielded gradients. A home-built 8-cm circular surface coil was used for both radiofrequency transmitting and receiving. An MRI of the head was acquired with the surface coil using an IR sequence (TR = 3s, TE = 40 ms, IR = 800 ms). The first NMR spectroscopic pulse sequence was designed to optimize water suppression and provide maximum excitation at the aromatic protons of Phe using a semiselective excitation pulse. This study was initially designed to determine whether Phe could be observed and to determine the optimum experimental parameters that would be required for quantitation of the Phe signal. A second NMR pulse sequence was then designed to quantitate cerebral Phe concentration. Spectra were acquired from a 15- to 32-mL volume using one of the following pulse sequences.

In the first sequence with which initial studies were performed, the volume of interest was localized in the left hemisphere centered 3 to 4 cm deep from the scalp in the temporoparietal region using the image selected *in vivo* spectroscopy (ISIS) localization technique (16). Surface suppression was achieved using both sinc and depth pulses followed by dephasing gradients. Semiselective excitation and refocusing pulses with the maximum excitation centered at the aromatic protons of Phe at 7.3 ppm and a spin echo sequence were used to suppress water. Additionally, either a 2-s presaturation pulse or a modified water eliminated Fourier transform sequence using a 256-point phase-swept hyperbolic secant pulse centered on water was used to enhance the water suppression. TE of 10 to 20 ms and TR of 3 or 6 s were used. Shimming was performed using the surface suppression sequence and a nonselective spin echo. One hundred twenty-eight free induction decays were acquired for each spectrum in approximately 6 min. Two to four proton spectra were acquired in each study.

In the second sequence, a 15-mL volume was localized in the occipital cortex using image selected in vivo spectroscopy, and selective excitation centered in the midline. Localized automatic shimming was performed on all first- and secondorder shim coils according to a recently published procedure (17). The line widths of the singlets (e.g. total Cr) were usually 5 to 7 Hz after this procedure. Technical details of localization and water suppression are given elsewhere (18). The semiselective pulse delay was chosen to provide maximum excitation at the aromatic protons of Phe at 7.3 ppm. The TE time was 15 ms and the TR was 4.1 s. Two spectra consisting of 128 free induction decays were obtained in each study. In addition, spectra were acquired using the same pulse sequence preceded by an 8-ms hyperbolic secant inversion pulse with a delay of 1.01 s before the excitation. The delay of this IR pulse sequence was chosen to suppress the signals from metabolites with long T1 relaxation times and leave signals that arise predominantly from macromolecules and exchangeable protons that have relatively short T1 relaxation times (19).

Processing and quantitation. Spectra from the data acquired using the first pulse sequence were obtained by zero filling from 4 000 to 32 000 points, Gaussian or exponential multiplication, and convolution difference of the time domain data. Baseline correction was performed on each spectrum before measurement of the peak height. The ratio of the peak height at \sim 7.3 ppm (Phe) and 7.85 ppm (amide protons of N-acetylaspartate) was compared in both control subjects and subjects with PKU. If the peak height ratio was at least 30% greater than that observed in controls, the study was deemed positive for the presence of Phe.

For quantitation of data obtained from the second pulse sequence, the time domain data were zero filled from 2 000 to 32 000 points and filtered with a Lorentzian to Gaussian function for optimization of signal-to-noise ratio, which resulted in a 3-Hz line broadening after Fourier transformation. Difference spectra were obtained by subtraction of the time domain data obtained with and without the preceding IR pulse and then processed as just described. Zero and first-order polynomial baseline correction (10 to 6.0 ppm for Phe and 4.0 to 0 ppm for Cr) of the spectra was performed before they were used to quantitate the Phe concentrations by measuring the peak height of the resonance at 7.34 ppm and comparing it to the height of the Cr resonance at 3.04 ppm. In the spectra obtained *in vivo*, the half-height line width of the Cr resonance was from 7 to 10 Hz after line broadening. The Phe concentration was calculated using the following formula:

$$[Phe] = (Phe^*/Cr^*) \times CF_P \times CF_L \times [Cr],$$

where Phe* and Cr* are the peak heights of the Phe and Cr signals. CF_P is the correction factor for the semiselective pulse and differences in line widths determined from performing the same localized ¹H NMR experiment on a phantom of 10 mM Phe and 10 mM Cr in phosphate buffer (150 mEq KCl/ K_2 HPO₄; pH = 7.1; temperature = 37°C). The line width of Cr in the phantom was line broadened to match the in vivo data for each subject. CF_L is the correction factor for the differences in line width of the Phe and Cr signals observed in vivo. This was determined from the difference spectra obtained from the subject with the most intense Phe resonance and a control subject. The [Cr] is the in vivo concentration of Cr in the occipital lobe, which was assumed to be 10 mM from in vitro studies performed on human cortical tissue (20). The peak height ratios of NA/Cr and NA/TMA resonances were also measured in the difference spectra obtained in both controls and subjects with PKU. These were compared using t test.

RESULTS

Figure 1 shows a T1-weighted MRI, the ¹H spectrum of a normal subject, the T1-weighted ¹H spectrum showing the signals that arise predominantly from macromolecules, and the difference spectrum using the second pulse sequence. Note the

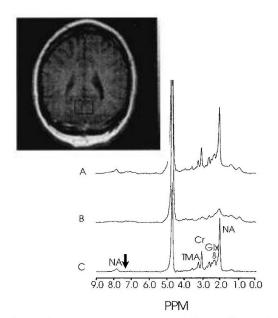


Figure 1. Localized proton NMR spectra and image of control subject. Localized proton spectra from the occipital region of a control subject from the area depicted in T1-weighted MRI (*inset*). Spectrum A was acquired over a 6-min period. Spectrum B is a T1-weighted ¹H spectrum showing signals from metabolites with short T1 relaxation times, which are primarily macromolecules. Spectrum C is the result of subtracting spectrum B from spectrum A and shows the proton signals of compounds with relatively longer T1 relaxation times. The labeled metabolites include NA, glutamate and glutamine (*Glx*), total Cr, TMA, and the amide protons of N-acetylaspartate. The residual water signal is observed at ~4.7 ppm, and the downfield region of the spectrum where the signal from the aromatic protons of Phe are observed is depicted by the *arrow*.

relative intensities of the signals from the other metabolites versus the signals in the downfield region of the spectra. Figure 2 depicts ¹H spectra of the downfield regions of subjects with PKU, controls, and a phantom of Phe using both pulse sequences. Both the control spectra and spectra from subjects with PKU show signals from an unassigned resonance at 7.05 ppm, a peak at \sim 7.3 ppm, and the amide ¹H of N-acetylaspartate at 7.85 ppm. In the subjects with PKU, the height of the peak at \sim 7.3 ppm is greater and shifted further downfield compared with that in normal subjects (7.36 for PKU subjects versus 7.30 for normal subjects). The T1-weighted spectrum demonstrates that there is a significant

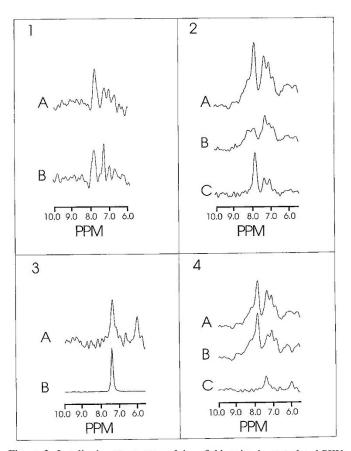


Figure 2. Localized proton spectra of downfield region in control and PKU subjects. A series of ¹H spectra from the downfield region magnified 16 to 20 times the spectra shown in Figure 1 is depicted in four panels. Panel 1 shows spectra using the first pulse sequence described in the text. Spectrum A is from a control subject and spectrum B is from a subject with PKU whose serum Phe was 1.83 mM at the time of the study. Panel 2 shows three proton spectra obtained using the second pulse sequence described in text and is from a subject with PKU whose serum Phe was 1.96 mM. Spectrum A shows proton signals from all compounds in this region, and B shows peaks from compounds with short T1 relaxation times. Spectrum C is the difference spectrum from A and B and shows the peak of the amide protons of N-acetyl aspartate at 7.85 ppm, an as yet unidentified signal at 7.05 ppm, and the peak from the aromatic protons of Phe at 7.34 ppm. Panel 3 shows the in vivo difference spectrum from a control and a subject with PKU in A and the proton spectrum from a solution of Phe and Cr (B) obtained using the same NMR experiment. The spectrum in B was obtained by line broadening the signal so that the line width of the Cr signal in the phantom solution matched that of the in vivo ¹H difference spectrum from the subject with PKU. Panel 4 shows ¹H spectra from a subject with PKU whose serum Phe was 1.96 mM in A and a control subject in B. Spectrum C is the difference (A minus B) and demonstrates the residual peak from the aromatic protons of Phe at 7.34 ppm.

contribution of signals from compounds with short longitudinal relaxation times in this region of the spectrum. Subtraction of the T1-weighted spectrum eliminated most of the intensity at 7.3 ppm in the control subjects. In contrast, in subjects with PKU a resonance was observed at 7.34 ppm. The difference spectrum from a subject with PKU and a normal subject shows a signal that has a chemical shift similar to that of the spectrum from the phantom with Phe and Cr. The *in vivo* Phe resonance was broadened by \sim 7 Hz.

Due to the presence of underlying short T1 signals and greater variation in shimming and water suppression in the initial studies, quantitation of the Phe signal was performed only with data obtained using the second pulse sequence. The serum Phe concentrations of the subjects with PKU ranged from 10.8 to 54.8 mg/dL (0.7 to 3.3 mM) at the time the spectra were acquired. Table 1 shows the age of the subjects and blood levels of Phe in the PKU subjects at the time of the study and the measured brain concentrations of Phe. The brain Phe concentrations were 0.48 to 0.78 mM in the subjects with PKU and 0.21 ± 0.02 mM in controls, which may represent overlap from neighboring peaks. There was a poor correlation between blood and brain concentrations in this study.

In addition to measurement of Phe, ¹H NMR spectroscopy permits assessment of several other metabolites including NA, glutamate, glutamine, Cr, and trimethylamines in both subjects and controls. The peak height ratios of NA/Cr, NA/TMA, and Cr/TMA were 2.67 \pm 0.07, 5.1 \pm 0.3, and 1.9 \pm 0.2 for subjects with PKU and 2.6 \pm 0.1, 5.4 \pm 0.5, and 2.1 \pm 0.1 for controls. We observed no statistically significant difference between the NA/Cr, NA/TMA, and Cr/TMA ratios in spectra from controls and subjects with PKU. The concentrations of the other amino acids were not quantitated because the pulse sequence was not optimized to measure these amino acids as we have previously described (21); however, qualitatively we observed no differences between spectra from control and PKU subjects. The inversion recovery or T1-weighted spectrum permits assessment of changes in macromolecules. Again we observed no qualitative differences in the spectra of subjects with PKU.

 Table 1. Age, serum Phe levels at time of study, and brain concentrations of Phe in subjects with PKU

Study	Subject	Age (y)	Plasma [Phe]		Brain [Phe]
			mg/dL	mM	(mM)
1	А	22	46.40	2.81	+
2	в	18	54.80	3.32	+
3	С	22	47.60	2.88	+
4	D	22	52.80	3.20	+
5	D	23	36.00	2.18	+
6	Е	18	22.90	1.39	+
7	A	23	30.30	1.83	+
8	F	13	10.80	0.65	0.48
9	G	26	17.60	1.07	0.67
10	А	28	32.40	1.96	0.53
11	Н	14	25.60	1.55	0.78
12	А	28	38.00	2.30	0.74

The brain concentration of Phe in controls (n = 5) was 0.21 ± 0.02 mM. The + indicates that the Phe signal at ~7.35 ppm was observed in these subjects.

DISCUSSION

NMR spectroscopy has been used to investigate human cerebral metabolism for more than a decade. For technical reasons, early human studies were restricted to investigations using ³¹P NMR techniques to measure high-energy phosphates in brain and muscle (22). The ¹H nucleus provides the greatest sensitivity of all NMR spectroscopic techniques and permits measurement of metabolites with concentrations on the order of 0.1 µmol/g, which include lactate, NA, Cr, TMA, and myo-inositol. Use of short echo times has permitted measurement of glucose (23), glutamate (21), glutamine (24), y-aminobutyric acid (18), and taurine (25), which are present at high enough concentrations in the normal human brain to be measured by ¹H NMR. At the magnetic fields available for most clinical studies, the resolution of the ¹H spectrum is restricted and makes accurate quantitation of these metabolites difficult. In addition, the signals of the metabolites are also superimposed on ¹H signals of macromolecules (19). In some cases, these problems have been overcome by development of editing techniques to permit measurement of specific metabolites that have certain ¹H NMR spectroscopic characteristics (18, 26). In many neuropathologic disorders, particularly neurometabolic and neurodegenerative diseases, the concentrations of many metabolites increase to a level that can be measured by ¹H NMR techniques. Many of the leukodystrophies such as Canavan's disease, adrenoleukodystrophy, and metachromatic leukodystrophy have been shown to have characteristic changes in metabolites (27-29). Disorders of intermediary and energy metabolism elevate tissue levels of lactate into a range that can be readily measured with ¹H NMR spectroscopy (30). The aminoacidopathies and hyperammonemias cause elevations in cerebral concentrations of several amino acids that are measurable by this technique (24). An early animal study in rats with histidinemia suggested that ¹H NMR spectroscopy could be used to study this and other aminoacidopathies including PKU (31). A study in rabbits with chemically induced hyperphenylalaninemia demonstrated that both Phe and metabolites of Phe were measurable in the brain (15).

This study demonstrates that ¹H NMR spectroscopy can be used to measure Phe in localized brain regions of subjects with PKU at the concentrations expected in untreated individuals with this metabolic disorder. The brain concentrations measured *in vivo* in this study are comparable to those measured by *in vitro* methods in postmortem brain specimens of untreated PKU patients (32, 33). A significant finding in our study is that the brain concentration is significantly lower than the blood concentration, and there is a poor correlation between the blood and brain concentrations.

Three other ¹H NMR studies have been performed on subjects with PKU (13, 34, 35). Two studies used a spin echo pulse sequence where the echo time was too long to permit observation of Phe, which has a short apparent spin-spin relaxation time secondary to the complex J modulation of this multiplet (13, 34). The latter study suggested that there were alterations in ratios of NA/Cho and Cho/Cr in subjects with PKU compared with controls in ¹H spectra obtained from a 2-cm³ volume in the right posterior hemisphere. Another study

using a stimulated echo pulse sequence with a short echo time (TE = 20 ms) and a volume coil was also unable to locate Phe or any of the metabolites downfield of water observed in controls (35). This study agreed with our results in that it reported no changes in the ratios of Cho/Cr, NA/Cr, or NA/Cho between subjects with PKU and controls. The neurobiologic significance of the findings in these studies remains to be explained. The possibility that the changes were due to normal intrasubject variation needs to be explored. Despite the use of a short echo time, Phe was not observed *in vivo* in the latter study. This may be explained by the use of a volume coil, the lower field strength of the magnet, and the stimulated echo pulse sequence, all of which decrease sensitivity of the NMR study. A recent abstract from Kreis *et al.* (36) has reported similar findings to the present study.

The Phe resonance at 7.3 ppm in the ¹H spectrum includes signals from all five aromatic protons of Phe molecules. The technique cannot distinguish the signal from metabolites of Phe in which the chemical environment of the aromatic protons is not significantly altered. The signal of Phe molecules that are bound to proteins or other macromolecules is reduced in intensity (37). Phenylacetate, phenylpyruvate, and phenyllactate are Phe metabolites that have been observed in the brains of animal models of PKU. The signals from the aromatic protons in these metabolites are shifted significantly away from the 7.3-ppm region. Any alteration of the aromatic ring will also cause a significant shift of the signal. Addition of other chemical moieties on the amino group may not result in a shift of the aromatic proton signal. γ -Glutamylphenylalanine has been observed in the urine of subjects with PKU (38). This compound may also be in the brain, inasmuch as there is a similar enzyme system in the endothelium of the blood brain barrier which is involved with transport of amino acids and other metabolites. This compound may contribute to the ¹H NMR signal observed in vivo and could account for the greater observed in vivo line width. In both PKU and control subjects, a large fraction of the 7.3 signal was from short T1 compounds. The presence of these resonances in this region is consistent with our previous demonstration of large short T1 resonances in the upfield region of the spectrum (19). Quantitation of Phe by subtraction of the IR spectrum was compared with difference spectra from PKU and control subjects and found to give similar results. The IR difference method has the advantages of separating changes in Phe from potential changes in the short T1 background as well as not depending on the assumption that Cr or some other endogenous compound is the same in both groups.

¹H NMR spectroscopy can be used to determine the correlation of cerebral Phe levels with neuropsychologic dysfunction and neurophysiologic measurements (39), which is especially important if blood and brain levels of Phe correlate poorly, as we observed. Because multiple serial measurements can be made noninvasively, the technique can be used to monitor the efficacy of and compliance with therapeutic interventions. This will be particularly useful in monitoring the efficacy of alternative dietary therapies and somatic cell gene therapy (40). The transport of Phe into the nervous system can also be measured. ¹H NMR studies on transport of this amino acid into the nervous system will permit investigations of how an individual adapts to chronic hyperphenylalaninemia and determine whether certain individuals may alter Phe transport across the blood-brain barrier.

This study demonstrates that ¹H NMR spectroscopy can now measure cerebral metabolites in the downfield region in ¹H spectra from the human brain. This complements the previously demonstrated capability of ¹H NMR to quantitatively measure other compounds. A measure of signals from macromolecules can also be obtained using T1-weighted spectroscopic methods (19). This technique will be particularly useful for the investigations of neurometabolic and neurodegenerative diseases in which the biochemical and molecular changes diffusely affect the CNS. Another major advantage of the NMR technique is that it can be applied to investigations of cerebral metabolism in infants and children.

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