

Experimental Biology of Cerebral Hypoxia-Ischemia: Relation to Perinatal Brain Damage

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ABSTRACT. Cerebral hypoxia-ischemia remains a major cause of acute perinatal brain injury, leading ultimately to neurologic dysfunction manifest as cerebral palsy, mental retardation, and epilepsy. Research in experimental animals over the past 10 or more years has expanded greatly our understanding of the cellular and molecular events that occur during a hypoxic-ischemic insult to brain, and recent discoveries have suggested that metabolic perturbations arising in the recovery period after resuscitation contribute substantially to the nature and extent of neuronal destruction. The review focuses on those neurochemical processes responsible for the maintenance of cellular homeostasis and how these mechanisms fail in hypoxia-ischemia to culminate in brain damage. Knowledge of these critical events has opened new avenues of potential therapy for the fetus and newborn infant subjected to cerebral hypoxia-ischemia to prevent the serious delayed effects of perinatal brain injury. (*Pediatr Res* 27: 317-326, 1990)

Abbreviations

CBF, cerebral blood flow
~P, anhydride phosphate bond
CPK, creatine phosphokinase
PFK, phosphofructokinase
PCr, phosphocreatine
·O₂⁻, superoxide anion
·OH⁻, hydroxyl radical
H₂O₂, hydrogen peroxide
NMDA, N-methyl-D-aspartate
IP₃, inositol-1,4,5-trisphosphate
DAG, diacylglycerol
ER, endoplasmic reticulum
VSCC, voltage-sensitive calcium channel
AOCC, agonist-operated calcium channel
FADH, flavin adenine dinucleotide, reduced form

Perinatal cerebral hypoxia-ischemia typically is initiated by compromised placental or pulmonary gas exchange which leads to systemic hypoxia/anoxia with or without concurrent hypercapnia (asphyxia) (1). Hypoxia/hypercapnia increases CBF adequate to maintain brain metabolism stable until cerebral ischemia supervenes owing to cardiac depression with secondary bradycardia and systemic hypotension. With the neuronal oxygen and glucose debt arising from ischemia, oxidative metabo-

lism shifts to anaerobic glycolysis with its inefficient generation of high-energy phosphate reserves necessary to maintain cellular ionic gradients and other metabolic processes. Ultimately, cellular energy failure occurs, which, if not promptly reversed, results in death of the cell.

Over the past decade, a wealth of research has expanded our knowledge of those critical cellular metabolic events that eventually lead to tissue injury arising from hypoxia-ischemia. Investigations have shown that hypoxia-ischemia sets in motion a cascade of biochemical alterations that are initiated during the course of the insult and that proceed well into the recovery period after resuscitation. This review will highlight those cellular processes involved in this metabolic cascade and how they evolve into perinatal hypoxic-ischemic brain damage.

CELLULAR ENERGY TRANSFORMATIONS

ATP is the primary energy modulator of the cell (2-4). Its two ~P exist at an energy level capable of providing the necessary driving force for innumerable biochemical reactions and physiologic processes. ATP not only promotes energy consuming reactions but also drives physiologic processes (*e.g.* ion pumping) by acid hydrolysis. As such, the compound provides the cellular free energy necessary to maintain neuronal viability with its specialized function.

Under physiologic conditions, cellular ATP is maintained remarkably stable, as the rate of energy consumption by endergonic reactions is exactly balanced by the rate of ATP production. The cell's ability to maintain ATP constant, even under situations of increased energy expenditure, is dependent on those biochemical processes that generate ATP. The first and most important is the oxidative phosphorylation of NADH, which takes place within mitochondria. Mitochondrial oxidation is a highly efficient process which couples molecular oxygen to the hydrogen ion of NADH (and FADH) to form water coincident with the phosphorylation of ADP to form ATP. A small amount of ATP also is produced by so-called substrate phosphorylation, which occurs within mitochondria as well as the cytosol (3, 5-7).

In addition to substrate and oxidative phosphorylation, which are net energy producing processes, two other mechanisms exist to maintain cellular ATP constant (3, 4). These include the CPK and adenylate kinase equilibria, biochemical reactions that simply transfer energy (~P) from one compound to another. CPK catalyzes a reversible transfer of ~P between phosphocreatine (PCr) and ATP:



The adenylate kinase reaction catalyzes the conversion of ADP to ATP:



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Owing to their equilibrium constants, both reactions serve to maintain an optimal intracellular concentration of ATP even under situations of reduced ATP synthesis by oxidative phosphorylation.

As mentioned previously, ATP is the critical regulator of cell function owing to its role in energy transformations. One especially vital function involves the work required to preserve ionic gradients across plasma and intracellular membranes. The major ions whose movements consume ATP are Na^+ , K^+ , and Ca^{++} (3, 4). It has been concluded that under normal conditions ion pumping required 50–60% of total energy expenditure (or oxygen consumption) by the cell, the major fraction of which is used for Na^+/K^+ exchange (4).

Tissue hypoxia denotes a cellular oxygen debt, owing typically to inadequate oxygen delivery ($\text{CBF} \times \text{SaO}_2$) via nutrient arteries. When the tissue (mitochondrial) partial pressure of oxygen falls below a critical value (<0.1 mm Hg), the cytochrome system of mitochondria becomes unsaturated, and reducing equivalents (NADH, FADH) begin to accumulate (8, 9). ATP production by oxidative phosphorylation is curtailed, with concurrent increases in cellular ADP and AMP as cytosolic ATP hydrolysis continues to drive endergonic reactions (3). The elevations in ADP and AMP serve to stimulate glycolysis, through activation of its key regulatory enzyme, PFK. Unlike oxidative phosphorylation, which produces 36 mol of ATP for every mol of glucose consumed, glycolysis is an inefficient method to generate ATP by substrate phosphorylation, with the net production of only 2 mol of ATP/mol of glucose consumed. To produce the amount of ATP equivalent to that of oxidative phosphorylation, glycolysis would need to increase to a rate 18 times its basal flux. In reality, glycolysis, even when maximally stimulated by total cerebral ischemia, is capable of increasing only 4- to 5-fold, owing in part to the concurrent accumulation of H^+ ions derived from the accumulated NADH, which serves to inhibit PFK activity (10, 11). Thus, glycolysis can never completely substitute for mitochondrial oxidation, although its stimulation can supplement oxidative phosphorylation under conditions of partial oxygen debt.

Cerebral hypoxia-ischemia severe enough to produce irreversible tissue injury is always associated with major perturbations in the energy status of the brain (3, 12, 13). Alterations occur not only in the adenine nucleotides but also in PCr, which changes actually precede those of ATP, ADP, and AMP. These perturbations have been well-characterized in an experimental model of perinatal hypoxic-ischemic brain damage, developed in our laboratory (14). In this model, 7-d postnatal rats are subjected to unilateral common carotid artery ligation followed by exposure to hypoxia with 8% oxygen, an insult that produces permanent injury to the cerebral hemisphere ipsilateral to the carotid artery occlusion in the vast majority of animals. During hypoxia-ischemia, changes in the tissue concentrations of the high-energy phosphate reserves occur early during the course of the metabolic insult and persist well into the recovery period (15, 16). As expected, greater depletions in PCr occur relative to ATP as the cell attempts to maintain optimal levels of ATP through the CPK equilibrium reaction driven also by the accumulation of ADP and H^+ ions (Fig. 1). With the eventual decline in tissue ATP, ADP and AMP accumulate in proportion to the loss of ATP. Ultimately, the total adenine nucleotide pool (ATP + ADP + AMP) also decreases, as AMP is catabolized slowly to adenosine and further breakdown products. The concentrations of ATP and the total adenylate compounds never completely recover after resuscitation, and their persisting partial depletions reflect the presence and severity of tissue destruction (16).

Of necessity, the loss of cellular ATP during hypoxia-ischemia severely compromises those metabolic processes that require energy for their completion. Thus, ATP-dependent Na^+ extrusion through the plasma membrane in exchange for K^+ is curtailed with a resultant intracellular accumulation of Na^+ and Cl^- as well as water (cytotoxic edema). Equally vital to cellular

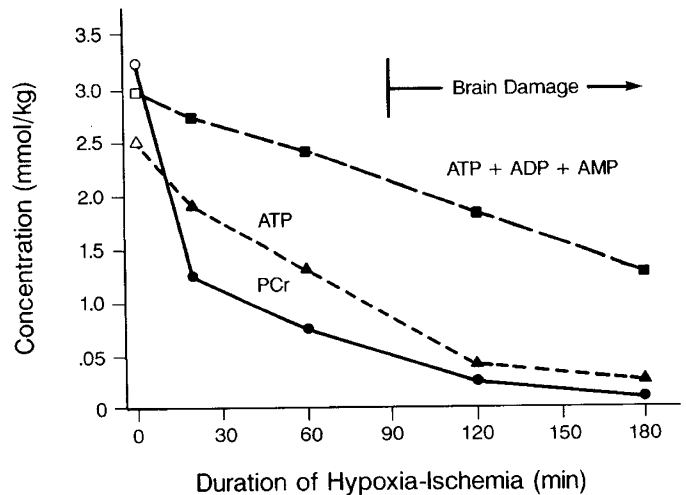


Fig. 1. Changes in cerebral high-energy phosphate reserves during hypoxia-ischemia in the immature rat. Seven-d postnatal rats were subjected to unilateral common carotid artery ligation followed by exposure to hypoxia with 8% oxygen at 37°C (14). Symbols represent means for ATP, PCr, and total adenine nucleotides (ATP + ADP + AMP). All values are significantly different from control (zero time point). Histologic brain damage commences after 90 min of hypoxia-ischemia, with increasing severity thereafter. Derived from data of Welsh *et al.* (15).

function is the prompt restoration of high-energy phosphate reserves during and after resuscitation. Without regeneration of ATP, endergonic reactions cannot resume, especially those involving ion pumping at plasma and intracellular membranes. Intracellular Na^+ and Cl^- ions and water will continue to accumulate, and electrochemical gradients cannot be reestablished. Just how long the cell can survive under this situation is not known, but other factors are called into play that prominently influence ultimate cellular integrity.

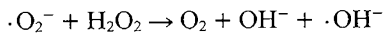
The mechanism(s) by which ATP disruption persists into the recovery period relates to a lingering alteration in the function of mitochondria. In this regard, the pathologic studies of Brown and Brierley (17) and Brown (18) indicate that the earliest morphologic alteration of the neuron arising from hypoxia-ischemia is a dilation of mitochondria with an accompanying separation of their cristae. Biochemical studies support the morphologic alterations to the extent that following hypoxia-ischemia *in vitro* analysis of mitochondria reveals a disturbance in substrate oxidation, suggesting an "uncoupling of oxidative phosphorylation" (19–21). It is assumed that reducing equivalents are oxidized in the presence of oxygen but ATP is not formed from the energy generated; such energy is consumed internally (not transferred to the cytosol) or is lost as heat. That oxidative phosphorylation is compromised after hypoxia-ischemia is confirmed also by studies that show that the brain can be well oxygenated concurrent with a persistent depletion in ATP (15, 22, 23). The question remains as to what factors perpetuate the condition of uncoupled oxidative phosphorylation.

FREE RADICAL FORMATION

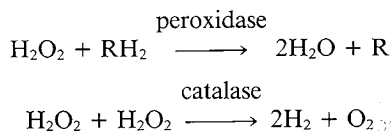
A free radical is an atom or molecule that contains an uneven number of electrons in its outer most orbit (24–26). The presence of an open or half bond renders the atom or molecule chemically reactive. A radical can react with another radical which eliminates both, or a radical can combine with a nonradical, the result of which is a new free radical. The latter characteristic enables free radicals to initiate and perpetuate chain reactions, the peroxidation of unsaturated fatty acids being a prominent example.

All biologic systems that consume (animals) or generate (plants) oxygen form free radicals (6, 25). For aerobic cells, oxygen-free radicals, *i.e.* ($\cdot\text{O}_2^-$), are produced in many oxidation-

reduction reactions within the cytoplasm and also by electron transport within mitochondria. Once formed, $\cdot\text{O}_2^-$ reacts immediately with H^+ ions, derived from NADH or FADH, to produce H_2O_2 in the presence of the enzyme, superoxide dismutase. Metal ions, Fe^{+++} and Cu^{++} , promote the formation of highly reactive $\cdot\text{OH}^-$:



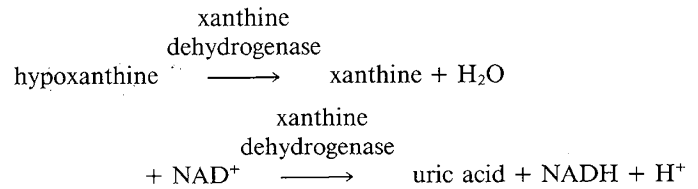
Other enzymes are present which protect cellular constituents from the oxidizing effect of H_2O_2 ; these enzymes include endoperoxidase and catalase. Thus:



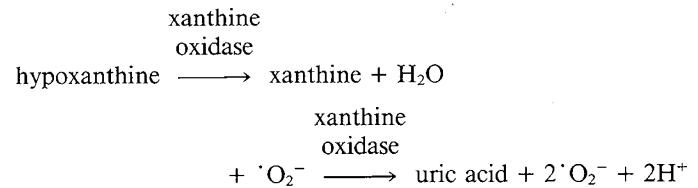
Additional defenses against the potential damaging effect of free radicals are provided by endogenous scavengers; which include cholesterol, α -tocopherol (vitamin E), ascorbic acid (vitamin C), and thiol-containing compounds, notably glutathione. Thus, cells are capable of rapidly destroying free radicals, once formed, via both enzymatic and nonenzymatic quenching.

Oxygen-free radicals are generated during and after hypoxia-ischemia in several ways (24–26). During partial ischemia when at least a small amount of oxygen is available to the tissue, the low oxygen concentration at the site of cytochrome oxidase will impede the acceptance of electrons, thereby liberating free radicals at more proximal steps. These oxygen-free radicals cannot be consumed further within mitochondria and “leak” out into the cytoplasm. Two other potential sources of oxygen-free radicals are as by-products in the synthesis of prostaglandins (Fig. 2) and of xanthine and uric acid during hypoxia-ischemia and upon reoxygenation of the tissue.

As mentioned previously, during the course of hypoxia-ischemia, the adenine nucleotides, ATP, ADP, and AMP, are partially depleted (Fig. 1) with the formation of adenosine, inosine, and hypoxanthine that accumulate in the tissue. Under aerobic conditions, hypoxanthine is converted to xanthine and hence to uric acid by the enzyme, xanthine dehydrogenase:



However, under the pathologic condition of hypoxia-ischemia, xanthine dehydrogenase is converted to xanthine oxidase through the activation of a specific protease by calcium. The oxidase uses molecular oxygen rather than NAD^+ upon reperfusion of the tissue, producing oxygen-free radicals:



The manner in which oxygen-free radicals cause or contribute to tissue injury presumably relates to their ability to attack the fatty acid moiety of cellular membranes (13, 25, 26). Polyunsaturated fatty acids seem especially prone to peroxidative attack by free radicals that initiate and perpetuate chain reactions within the hydrophobic core of the lipid bilayer leading ultimately to membrane fragmentation. The chain reaction is promoted by excessive concentrations of intracellular free fatty acids and calcium ions.

Although there is evidence to support the concept of free radical-induced injury of many organs, including liver, lung, and the myocardium (26–30), the role of oxygen-free radicals in the genesis of hypoxic-ischemic brain damage remains controversial (31). In dependent investigations, Chan *et al.* (32) and Patt *et al.* (33) have shown an association between xanthine oxidase activity or H_2O_2 concentrations in brain and the vasogenic edema that precedes or accompanies hypoxic-ischemic cerebral infarction. Xanthine oxidase is confined largely to the endothelium of brain capillaries (34). Increased activity of the enzyme during and after hypoxia-ischemia might enhance free radical formation; leading, in turn, to endothelial disruption, breakdown of the blood-brain barrier and the formation of vasogenic edema with its deleterious effect on neuronal and glial integrity.

Presently, there is little information available regarding the relationship between free radical formation and perinatal hypoxic-ischemic brain damage. Armstead *et al.* (35) have demonstrated the appearance of oxygen-free radicals in the perfused subarachnoid space (cranial window technique) of newborn piglets after total cerebral ischemia. However, the extent of free radical generation was not correlated with the presence of brain damage in these animals. Rosenberg *et al.* (36) recently have shown that pretreatment of newborn sheep with superoxide dismutase and catalase, enzymes that promote the breakdown of H_2O_2 to inactive compounds, prevents the secondary hypoperfusion of the brain that follows asphyxia. As in the study of Armstead *et al.* (35), the preservation of cerebral perfusion after hypoxia-ischemia was not correlated with any amelioration of brain damage in these animals, because neuropathologic studies were not conducted in parallel with the physiologic investigations.

Indirect evidence of a role for oxygen-free radicals in perinatal hypoxic-ischemic brain damage is derived from the investigation of Palmer *et al.* (37) in immature rats. These investigators injected 7-d postnatal rats with the xanthine oxidase inhibitor, allopurinol, 30 min before the onset of hypoxia-ischemia. The results indicated that the allopurinol-treated animals exhibited less severe cerebral edema at 42 h of recovery compared to saline-treated control littermates. Chronic neuropathologic alterations also were less severe in the allopurinol-treated rats. Only two of

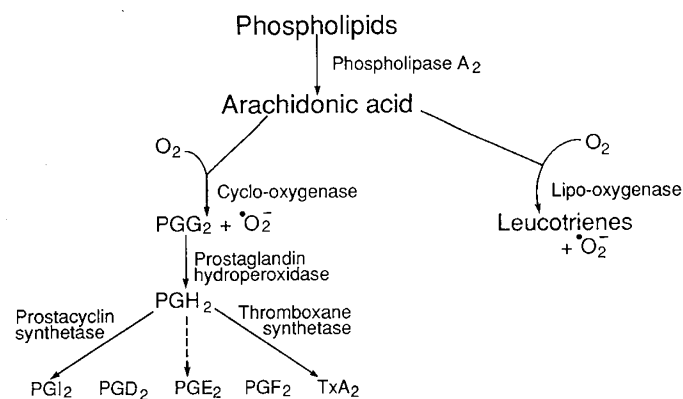


Fig. 2. Eicosanoid biosynthesis and oxygen-free radical formation. During hypoxia-ischemia, there is a rapid accumulation of free fatty acids (27–29), especially arachidonic acid, arising presumably from increased turnover of membrane phospholipids combined with a calcium-induced activation of phospholipase A_2 . Arachidonic acid stimulates the enzyme, cyclooxygenase, which catalyzes the formation of the prostaglandin intermediate, PGG_2 , in a reaction requiring oxygen and liberating $\cdot\text{O}_2^-$. Once formed, PGG_2 is converted to PGH_2 by the action of prostaglandin hydroperoxidase. Other prostaglandins, notably prostacyclin (PGI_2) and thromboxane (TxA_2), also are formed via specific endoperoxidases with the generation of $\cdot\text{O}_2^-$. Finally, arachidonic acid also is the precursor of a class of compounds called leucotrienes, the formation of which involve hydroperoxidase and free radical production.

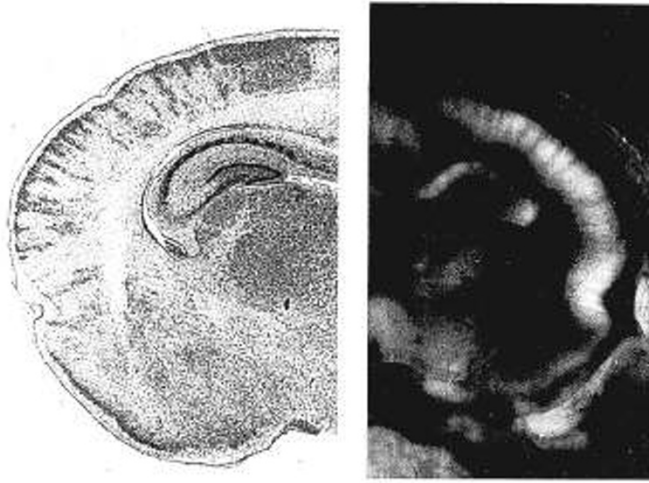


Fig. 3. Coronal section of immature rat brain showing alterations in NADH fluorescence (*right*) during hypoxia-ischemia and histologic alterations (*left*) at 24 h of recovery. Seven-d postnatal rats were subjected to unilateral common carotid artery ligation followed by hypoxia with 8% oxygen (14). During hypoxia-ischemia, the rat brains were frozen *in situ* and coronal sections illuminated with UV light (366 nm); the fluorescent image (450 nm) then was recorded photographically (15). Note the columnar pattern of NADH fluorescence (*lighter areas*) in cerebral cortex and the enhanced fluorescence in the CA1 and CA3 sectors of the hippocampus, which correspond closely to the distribution of selective neuronal necrosis seen histologically (14).

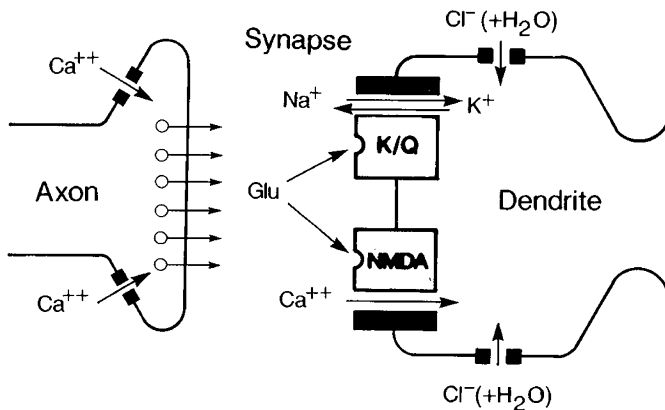


Fig. 4. Schematic representation of the cell surface glutamate receptor. Two separate channels have been proposed to be gated by the subtypes of the glutamate receptor; one for monovalent ions (Na^+ in; K^+ out) gated by kainate (K) and quisqualate (Q) receptors, and one allows predominantly Ca^{++} entry into cells, gated by NMDA receptor. Despite the fact that the Ca^{++} channel is agonist-operated, it can be blocked by Mg^{++} in a voltage-dependent manner. Depolarization reverses the block, whereupon glutamate activation of the receptor leads to Ca^{++} influx. Glycine, although an inhibitory neurotransmitter in spinal cord, appears to potentiate the action of glutamate at the NMDA receptor in brain. Thus, glutamate secretion from the nerve terminal into the synaptic cleft leads ultimately to Ca^{++} entry into adjacent neurons by a sequential mechanism initiated by activation of the K/Q receptor leading to Na^+ / K^+ exchange; which, in turn, depolarizes the membrane with secondary activation of the NMDA receptor and Ca^{++} influx. From Siesjö and Bengtsson (62), with permission.

13 brains from the allopurinol group sustained gross infarction compared to 10 of 14 brains of the saline-treated animals. The findings indicate that allopurinol is beneficial in reducing the severity of perinatal hypoxic-ischemic brain damage, mediated either by the drug's inhibition of xanthine oxidase activity or via its role as a free radical scavenger.

Hypoxia-ischemia of a degree sufficient to produce neuronal injury is always associated with an increase in cerebral lactate concentrations and an associated decrease in tissue pH (12, 13, 25). The cellular lactacidosis results from a shift in glucose utilization from oxidative metabolism to partial or total anaerobic glycolysis. The question remained as to whether or not lactacidosis causes or contributes to neuronal injury, when Myers and Yamaguchi (38) demonstrated in juvenile monkeys that the brain damage that arises from cardiopulmonary arrest is influenced by the nutritional status of the animal. Specifically, monkeys fasted before cerebral ischemia exhibited far less brain damage than animals that received an i.v. infusion of glucose before arrest. Myers (39) attributed the difference in neuropathologic outcome to a varying degree of cerebral lactacidosis in the two groups, the fasted animals having substantially lower tissue lactate levels than either the fed or glucose-infused animals. Controlled studies from several other research laboratories have confirmed and extended the original observation that glucose pretreatment of mature animals accentuates hypoxic-ischemic brain damage (40, 41). Indeed, Pulsinelli *et al.* (41) have demonstrated that glucose supplementation of rats subjected to severe forebrain ischemia converts selective neuronal necrosis into infarction. Clinical studies in adult stroke patients also support the notion that hyperglycemia accentuates ischemic neuronal injury (42).

The pathophysiologic mechanism by which glucose accentuates brain damage has been attributed to excessive production of tissue lactic acid or to an associated derangement in pH homeostasis (39, 43–45). Some investigators have suggested that brain lactacidosis enhances hypoxic-ischemic injury in vulnerable regions and that a minimum concentration of 15 to 20 mmol lactate/kg brain wt is required for irreversible injury to occur (39, 43, 44). Presumably, excessive lactate production during hyperglycemic cerebral hypoxia-ischemia relates to a greater acceleration of anaerobic glycolytic flux than that which occurs when the circulating glucose concentration is not increased.

From the perinatal point of view, the proposal that hyperglycemia accentuates hypoxic-ischemic brain damage is surprising, because research conducted many years ago demonstrated that pretreatment of perinatal animals with glucose prolongs their survival when subjected to systemic hypoxia or asphyxia (2) and may reduce permanent brain damage as well (46, 47). The mechanism underlying the hypoxic-anoxic resistance of perinatal animals rendered hyperglycemic is a prolongation of respiratory effort (gasping) combined with improved cardiovascular function (46, 48, 49). Thus, glucose appears to have a paradoxical role in hypoxia-ischemia; prolonging hypoxic survival of immature animals on the one hand, and increasing brain damage in adults on the other.

To ascertain whether glucose is protective or deleterious to the perinatal brain undergoing hypoxia-ischemia, Voorhies *et al.* (50) devised a series of experiments in the immature rat. In a preliminary study, 7-d postnatal rats either were rendered hyperglycemic with 50% glucose or received a saline solution, after which they were exposed to the hypoxia produced by the inhalation of 8% oxygen. The glucose-supplemented rat pups survived more than twice as long as their normoglycemic littermates. Specifically, the duration of hypoxia for LD_{50} of the saline-treated animals was 3.5 h compared to an LD_{50} of 8 h for the glucose-treated rat pups.

In further experiments, glucose pretreated and control immature rats were exposed to cerebral hypoxia-ischemia for 2 h, after which they were reared with their dams until 30 d of postnatal age. Neuropathologic analysis at that time failed to reveal any quantitative difference in the extent of brain damage in the glucose and saline-treated groups. The finding indicates that, unlike adults, glucose supplementation and its associated hyperglycemia in the immature animal does not accentuate the severity

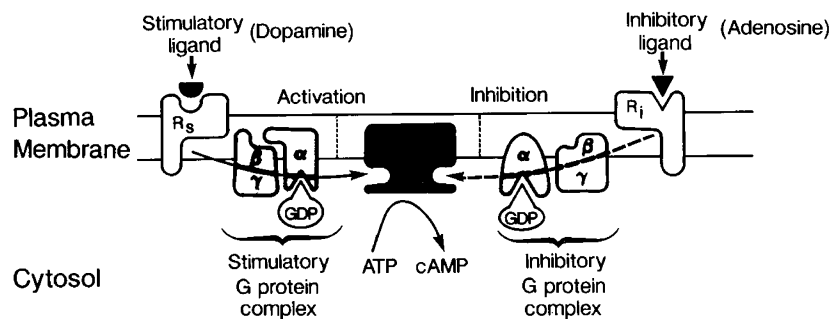


Fig. 5. Schematic representation of the adenylate cyclase signal transduction system. The adenylate cyclase activation system involves a series of reactions within the matrix of the plasma membrane, beginning with a specific receptor at the cell surface. When the receptor is stimulated, *i.e.* by dopamine or norepinephrine, a secondary, membrane-bound protein (so-called G_s protein) is activated; which, in turn, stimulates the conversion of GDP to GTP. The GTP- G_s protein complex stimulates adenylate cyclase activity, which drives the reaction:



The final product is cyclic AMP, a second messenger that modulates several biochemical reactions within the cell, including glycogen synthesis (inhibition) and glycogenolysis (stimulation). The production of cAMP continues until the original transmitter (ligand) is dissociated from its receptor, at which time the G_s protein assumes its original configuration and GDP is regenerated. Inhibitory G proteins (G_i) also exist, which are activated by receptors different from those which activate G_s protein, and which serve to inhibit adenylate cyclase activity. Courtesy of S. J. Vannucci.

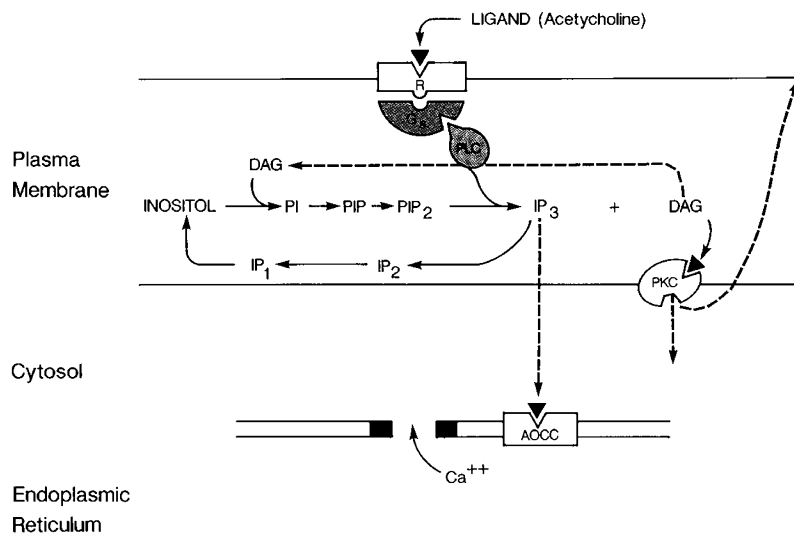


Fig. 6. Schematic representation of the phosphoinositide cycle. The phosphoinositide second messenger system involves the biologic turnover of phospholipids within the plasma membrane (85, 86). According to currently accepted concepts, neurotransmitter (*e.g.* acetylcholine or glutamate) interaction with a membrane receptor triggers the activation of phospholipase C (*PLC*), possibly acting through a specific G protein, to hydrolyze the membrane phospholipid, phosphatidylinositol-4,5-bisphosphate (*PIP*₂). The products of the hydrolysis are *IP*₃ and DAG, both of which serve second messenger functions. Thereafter, additional reactions ultimately form inositol that condenses with DAG to regenerate *PIP*₂, thereby completing the cycle. The second messenger functions of *IP*₃ and DAG are undergoing intense investigation (88). The primary role of *IP*₃, a highly charged, water-soluble compound, appears to be the release of Ca^{++} from sequestered stores into the cytosol. In contrast, DAG is highly lipophilic and remains within the plasma membrane where it binds to activate protein kinase C (*PKC*). Ca^{++} is also required for *PKC* activation. *PKC* stimulation affects a wide range of physiologic responses, including neurotransmitter release, synaptic sensitivity, and cellular contractility as well as feedback regulation of the phosphoinositide cycle.

of brain damage over that seen in the normoglycemic animal subjected to the same duration of hypoxia-ischemia.

The mechanism for the production of more extensive brain damage in glucose treated adult but not immature animals required clarification. One explanation might relate to the age-specific difference in the rate of cerebral glucose uptake and metabolism. In the newborn animal, the carrier that transports glucose from blood into brain is immature. Under normoxic conditions, glucose penetrates newborn rat brain at one-fifth the rate of adult rat brain (51). Therefore, it would be anticipated that during hypoxia in immature animals, brain glucose concentrations after glucose supplementation would be minimally increased over that of normoglycemic animals (52). In addition to a relative decrease in glucose transport across the blood-brain barrier, the immature brain has a lower rate of glucose utilization

compared with the adult. During normoxia, cerebral glucose utilization and energy consumption for the 7-d postnatal rat is approximately one-tenth that of the adult (11, 53). Therefore, a less pronounced acceleration in glycolytic flux during hypoxia would be anticipated in the perinatal animal relative to the adult; this blunting of anaerobic glycolytic activity, in turn, would result in less tissue lactacidosis and less ultimate brain damage (52). It follows that glucose supplementation in the perinatal animal would minimally influence the extent of hypoxic-ischemic brain damage.

As mentioned previously, the proposed biochemical mechanism responsible for the deleterious effect of glucose on the brains of adult animals during hypoxia-ischemia relates to an excessive accumulation of tissue lactic acid or to an associated derangement in H^+ ion homeostasis. Indeed, numerous investi-

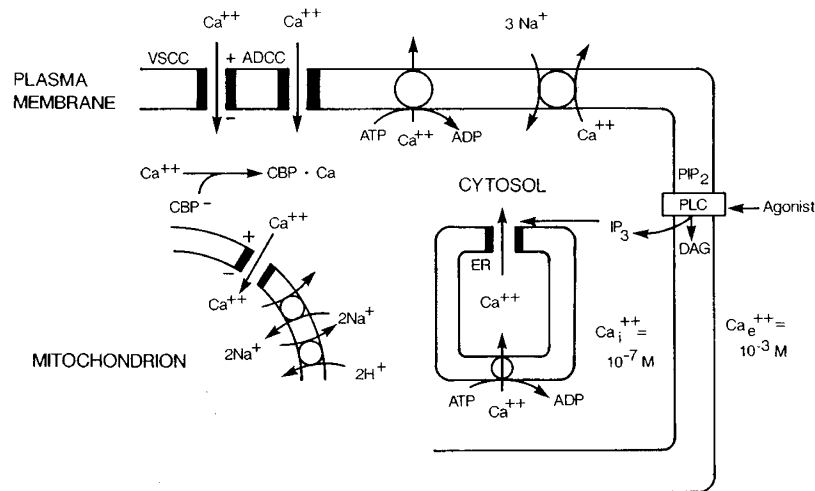


Fig. 7. Transcellular and intracellular calcium fluxes. Ca^{++} influx from the extracellular space to the cytosol occurs via both VSCC and AOC. Ca^{++} efflux from the cytosol to the extracellular fluid occurs via an energy-dependent uniporter system and an antiporter system involving Na^+ . Intracellular Ca^{++} sequestration occurs primarily within mitochondria and the ER. Ca^{++} is also bound via specific calcium-binding proteins (CBP $^-$). Ca^{++} release from the ER occurs upon stimulation by IP_3 , whereas Ca^{++} release from mitochondria involves an antiporter system with Na^+ , influenced by H^+ . Modified from Siesjö and Bengtsson (62), with permission.

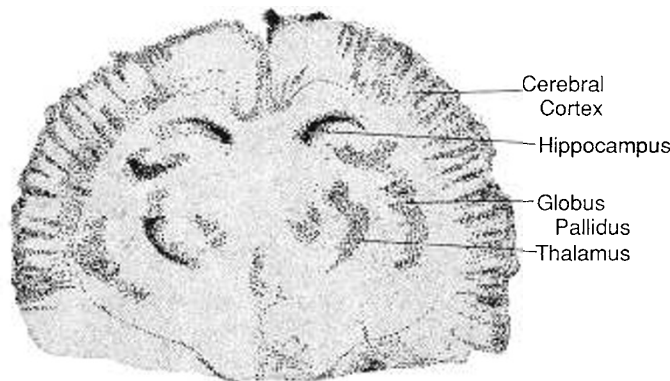


Fig. 8. Schematic representation of a coronal section of immature rat brain showing radioactive calcium accumulation during hypoxia-ischemia. Seven-d postnatal rats were subjected to hypoxia-ischemia (14), before which they received an s.c. injection of $^{45}\text{Ca}\text{Cl}_2$. During hypoxia-ischemia, their brains were prepared for ^{45}Ca autoradiography. Radioactivity (darker areas) is prominent in cerebral cortex as columns perpendicular to the pial surface. Radioactivity also is seen in the CA1 and CA3 sectors of hippocampus, in the lateral regions of the thalamus, and in globus pallidus. Note the similarity of the calcium distribution to that of NADH fluorescence during hypoxia-ischemia and histologic alterations at 24 h of recovery (Fig. 3).

gations in adult animals have demonstrated a direct correlation between the level of circulating glucose during hypoxia-ischemia and the extent of lactate accumulation in brain (39, 44, 45, 54). Furthermore, the more severe the lactacidosis, the more profound the blood flow and metabolic alterations that occur after cessation of the insult (44, 54), portending greater ultimate brain damage. However, investigations in immature rats tend to refute the notion that lactacidosis plays a critical role in determining the presence and extent of tissue injury, at least in perinatal animals. In the model of hypoxic-ischemic brain damage in the immature rat, widespread infarction and edema are confined to one cerebral hemisphere that is ipsilateral to the common carotid artery occlusion (14). In metabolic studies, conducted in parallel with the original neuropathologic investigation, lactate concentrations in the cerebral hemisphere destined to be damaged were always less than 18 mmol/kg and were usually in the range of 10–14 mmol/kg (13/14 brains) (15). Furthermore, lactate in the ischemic hemisphere never exceeded by more than 4 mmol/kg

the accumulated lactate in the contralateral, nonischemic hemisphere; and the difference between the two sides was usually less than 2 mmol/kg (nine/14 brains). The relative uniformity of lactate accumulation in the two cerebral hemispheres, only one of which ultimately shows ischemic change, suggests that lactic acid per se is not the primary factor causing tissue injury.

It must be emphasized that hypoxia-ischemia leads to cellular acidosis via sources of H^+ ions in addition to lactic acid. Possibly the major origin of reducing equivalents is NADH ($+ \text{H}^+$) which accumulates during cellular oxygen debt (55). In this regard, Welsh *et al.* (15) examined the redox state of immature rat brain undergoing hypoxia-ischemia by a technique of reflectance fluorometry. Alterations in regional fluorescence, representing the intracellular accumulation of NADH (56, 57), were prominent in cerebral cortex and the CA1 sector of the hippocampus (Fig. 3). A columnar pattern of NADH fluorescence was apparent in neocortex, which mimicked closely the distribution of neuronal necrosis seen in this model of perinatal brain injury. The close correspondence between altered NADH fluorescence and neuropathologic outcome suggests an important role for intracellular acidosis in the pathogenesis of hypoxic-ischemic brain damage, albeit not necessarily lactacidosis.

EXCITATORY NEUROTRANSMITTERS

To maintain brain function normal requires a delicate balance between excitatory and inhibitory neurotransmitter activity. Well-established excitatory neurotransmitters include acetylcholine and the monoamines, dopamine, norepinephrine, and serotonin; whereas transmitters known to inhibit neuronal activity include γ -aminobutyric acid and glycine. There is evidence that the amino acid, glutamate, also functions as an endogenous excitatory neurotransmitter (58, 59).

The manner in which glutamate exerts its action on neurons recently has been elucidated. The presence of specialized receptors responsive to glutamate have been identified in specific regions of immature and adult brain, including the middle layers of cerebral cortex, the striatum, and the CA1 sector of the hippocampus (60, 61). Investigations have shown that at least three membrane receptors can be activated by glutamate; they are named after derivatives that individually excite them: kainate, quisqualate, and NMDA (Fig. 4). These receptors subservise agonist-operated channels, through which ions can pass independent of the electrochemical (voltage) gradient across the plasma membrane (59, 62).

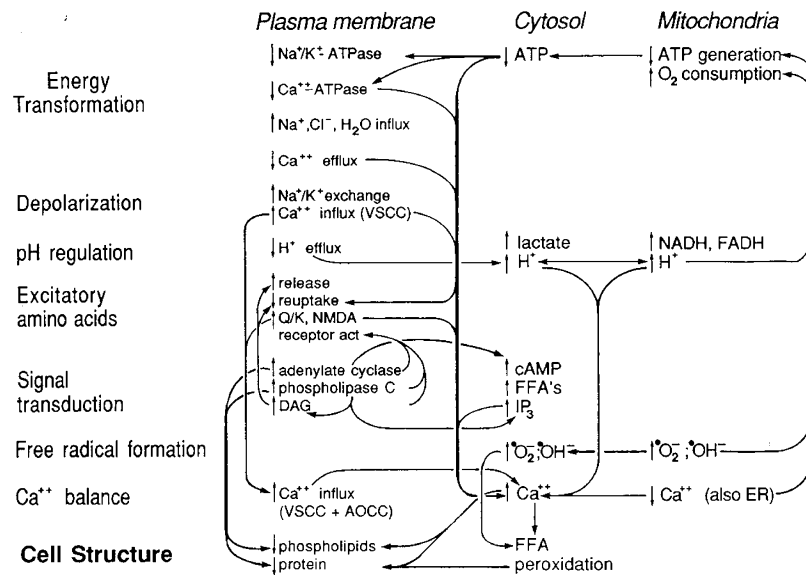


Fig. 9. Interrelationships between cellular and molecular events leading to hypoxic-ischemic brain damage. Hypoxia-ischemia or ischemia alone sets in motion a cascade of biochemical events commencing with a shift from oxidative to anaerobic metabolism, which leads to an accumulation of NADH, FADH, and lactic acid plus H^+ ions. Anaerobic glycolysis cannot keep pace with cellular energy demands, resulting in a depletion of high-energy phosphate reserves, including ATP. Transcellular ion pumping fails, leading to an accumulation of intracellular Na^+ , Ca^{++} , Cl^- , and H_2O (cytotoxic edema). Hypoxia-ischemia also stimulates release of excitatory amino acids (glutamate) from axon terminals, enhanced by DAG activation of protein kinase C. The glutamate release, in turn, activates kainate/quisqualate (K/Q) and NMDA cell surface receptors, resulting in an influx of Na^+ and Ca^{++} ions. Within the cytosol, free fatty acids (FFA) accumulate from increased membrane phospholipid turnover and thereafter undergo peroxidation by oxygen-free radicals that arise from reductive processes within mitochondria and as by-products in the synthesis of prostaglandins, xanthine, and uric acid. Ca^{++} ions accumulate within the cytosol as a consequence of increased plasma (cellular) membrane influx via VSCC and AOCC and of decreased efflux across the plasma membrane combined with release from mitochondria and the ER; the latter process is stimulated by IP_3 . The combined effects of cellular energy failure, acidosis, free radical formation, Ca^{++} accumulation, and lipid peroxidation serve to disrupt structural components of the cell (phospholipids, proteins) with its ultimate death.

The proposal that glutamate is excitotoxic to neurons stems from investigations spanning 30 years. Lucas and Newhouse (63) showed that systemically administered glutamate is capable of damaging the retina of newborn mice, and several years later Olney (64) produced damage in developing mouse brain by subjecting the animals to a diet rich in glutamate. With this and other experiments, Olney (64) championed the "excitotoxic" nature of glutamate and its analogs. More recent *in vitro* and *in vivo* studies have confirmed the earlier experiments. Indeed, several lines of research implicate glutamate toxicity as a major factor in the production of hypoxic-ischemic injury of selectively vulnerable neurons, *i.e.* those nerve cells predominantly innervated by glutaminergic neurons. First, glutamate is directly toxic to mature neurons in culture (59). Second, neurons in culture and hippocampal slices die upon exposure to anoxia, but death can be prevented or attenuated by the presence of Mg^{++} , which blocks NMDA receptors, or by glutamate antagonists (65–69). Third, direct injection of glutamate or glutamate agonists into specific regions of brain *in vivo* produces neuronal injury identical to that seen following hypoxia-ischemia (70–72), to which the immature brain appears especially vulnerable (73, 74). Fourth, deafferentation of the glutaminergic excitatory input into the hippocampus reduces the damage produced by hypoxia-ischemia (75). Finally, specific glutamate antagonists, including phencyclidine and MK-801, ameliorate hypoxic-ischemic brain damage *in vivo* (76–79). These studies provide convincing evidence that excessive exposure of neurons to glutamate, as occurs during hypoxia-ischemia (80, 81), leads to morphologic alterations characteristic of ischemic neuronal necrosis.

The role of excitatory neurotransmitters, and specifically glutamate, in the susceptibility of the immature brain to hypoxic-ischemic brain damage has undergone extensive investigation, predominantly by Silverstein and coworkers (73, 74). These investigators have shown that glutamate receptor agonists exhibit preferential toxic effects on specific regions (striatum and hip-

pocampus) of brain that is dependent on the age of the animal. In the immature rat, the hierarchy of neurotoxicity is NMDA > quisqualic acid > kainic acid, while that of the adult rat is kainic acid > NMDA \geq quisqualic acid. Furthermore, intracerebral injections of NMDA produces far greater damage of vulnerable neurons in immature rat brain than equivalent or larger doses of the analog in adult rat brain (74). These age-specific differences in the sensitivity of the brain to excitatory neurotransmitter toxicity presumably relates to developmental alterations in the density and distribution of glutamate receptor subtypes (60, 61), in glutamate binding to its receptors, or in transmembrane biochemical events (cation fluxes or signal transduction) initiated by receptor activation.

The mechanism by which excitatory amino acids exert their toxic effect has not been entirely clarified, but altered ion fluxes across the plasma membrane undoubtedly play a role (62, 82). Based primarily on their investigations in neuronal cell cultures, Rothman and Olney (59) have proposed two mechanisms of ion-mediated neuronal injury. The first or early toxicity relates to glutamate-induced Na^+ influx into neurons during depolarization. Depolarization disturbs the intra-/extracellular balance of Cl^- , and the anion flows down its electrochemical gradient into the cell. The entry of Na^+ and Cl^- increases cell osmolality, necessitating the influx of water. Subcellular edema ensues, which if severe enough leads to lysis of the neuron. A delayed neurotoxicity also occurs, as has been observed *in vivo* in selected neurons of the hippocampus in immature and adult animals (79, 83, 84). This delayed neuronal necrosis presumably relates to excessive Ca^{++} entry into the cell via NMDA receptor-mediated channels. Calcium, in turn, sets in motion a cascade of biochemical events that culminate in the death of the neuron.

SIGNAL TRANSDUCTION AND SECOND MESSENGERS

For hormones and neurotransmitters to influence intracellular metabolic processes requires a transfer of information from

outside to inside the cell across the plasma membrane (signal transduction). One mechanism for the transfer of information is via specific ion channels. The other mechanism is via activation of membrane-bound protein receptors, whereupon reactions are initiated that ultimately lead to the production within the cytosol of a biochemically active substance (second messenger). At present, two major signal transduction systems are known to exist in brain; specifically, the adenylate cyclase and the phosphoinositide cycles (Figs. 5 and 6) (6, 85).

The extent to which signal transduction is altered during hypoxia-ischemia and the nature of its metabolic consequences have yet to be fully delineated. It has been known for several years that cAMP levels in brain increase during hypoxia-ischemia (87–89), suggesting an activation of the adenylate cyclase signal transduction system. More recent experiments have shown that phosphatidylinositol turnover also is stimulated during and after hypoxia-ischemia (90–92), resulting in the formation of inositol phosphates (IP₃ and DAG) as well as the release of free fatty acids from membranes and their accumulation in the cytosol. The formation of IP₃ stimulates Ca⁺⁺ release from intracellular stores; whereas DAG activates protein kinase C, which, in turn, stimulates the release of specific neurotransmitters, including glutamate, from nerve terminals (93). If unimpeded, the continued production of these second messengers would set in motion a vicious cycle of catabolic reactions ultimately devastating to cell integrity.

CALCIUM HOMEOSTASIS

Owing to its ubiquitous functions, Ca⁺⁺ is often considered an intracellular second messenger. The divalent cation is intimately involved as a cofactor in numerous cellular reactions, some of which have been discussed. Therefore, it is not surprising that a disruption of intracellular Ca⁺⁺ homeostasis has wide-ranging effects on neuronal metabolism and function.

Given the cation's strategic role in metabolic regulation, it is important that concentrations of Ca⁺⁺ are tightly regulated within the cell (Fig. 7). Indeed, it is likely that almost 100% of intracellular Ca⁺⁺ is bound within subcellular organelles and that free Ca⁺⁺ normally exists in very low concentrations (<10⁻⁷ M). This means that there is an enormous gradient for free Ca⁺⁺ across the plasma membrane that tends to drive the ion into cells. The sites of intracellular Ca⁺⁺ binding include primarily mitochondria and the ER and to a lesser extent the nucleus and plasma membrane. Binding occurs by both energy-dependent (ATP) and independent processes that also is influenced by intracellular pH. Lastly, specific Ca⁺⁺-binding proteins, dispersed within the cytosol, serve to maintain free Ca⁺⁺ concentrations low (6, 25, 62, 94).

In addition to Ca⁺⁺ sequestration into subcellular organelles, the free cytosolic concentration of the cation is closely regulated by fluxes across the plasma membrane (Fig. 7). Specific ion channels for Ca⁺⁺ exist in all cells, which either are VSCC or AOCC at membrane receptors predominantly of the NMDA (glutamate) type. These channels allow for Ca⁺⁺ flux into the cell under conditions of membrane depolarization or receptor activation. A network of ion channels also exists for the extrusion of intracellular Ca⁺⁺; these channels operate via either Ca⁺⁺-ATPase or a 3 Na⁺/Ca⁺⁺ exchange (antiport) system with energy derived from the transmembrane Na⁺ gradient.

Under physiologic conditions, any rise in intracellular Ca⁺⁺ occurring from entry via ion channels is rapidly reversed by its extrusion through the plasma membrane or its sequestration into subcellular organelles. Of the latter, the ER appears most important. Ca⁺⁺ binding to the ER occurs via an energy-dependent mechanism that involves ATP. Ca⁺⁺ release from the ER into the cytosol occurs in response to stimulation by IP₃, a second messenger derived from the plasma membrane. Ca⁺⁺ sequestration by mitochondria involves a "uniport" mechanism that efficiently moves Ca⁺⁺ into the matrix of the organelle using

energy stored in the voltage gradient across the inner membrane. Ca⁺⁺ release from mitochondria into the cytosol occurs via a pathway separate from the uptake system and probably involves Na⁺/Ca⁺⁺ exchange, influenced by the H⁺ ion gradient across the membrane (Fig. 7).

Hypoxia-ischemia increased the free cytosolic concentration of Ca⁺⁺ (95–97). It is presumed that the elevation arises from two sources, specifically, 1) release of intracellular stores and 2) increased influx (or decreased efflux) across the plasma membrane. The release of intracellular bound Ca⁺⁺ into the cytosol results from increased intracellular levels of IP₃ influenced by cellular acidosis that promotes unbinding of Ca⁺⁺ from the microsomes of the ER. Additionally, increased extrusion and decreased entry of Ca⁺⁺ from and into mitochondria occur, owing to a change in the electrochemical gradient across the matrix membrane influenced also by acidosis. Increased Ca⁺⁺ flux across the plasma membrane occurs in response to depolarization, opening VSCC, as well as to stimulation of NMDA receptor-operated Ca⁺⁺ channels (AOCC) by glutamate. Finally, Ca⁺⁺ efflux through the plasma membrane is disrupted by the energy failure which accompanies hypoxia-ischemia, upon which Ca⁺⁺-ATPase is dependent, and by a curtailment or even reversal of the Na⁺/Ca⁺⁺ antiport system. These events, occurring in concert, serve to increase free cytosolic Ca⁺⁺ to a potentially toxic level.

That cytosolic Ca⁺⁺ increases in both immature and adult brain during hypoxia-ischemia has been substantiated by several lines of research in animal models. Using the oxalate-pyromonate technique for the electron microscopic visualization of intracellular Ca⁺⁺, Van Reempts and Borgers (98) showed that Ca⁺⁺ increases rapidly in neurons of adult rats during hypoxia-ischemia, but the ion actually dissipates during the early recovery period. Thereafter, a secondary "calcium overload" ensues, which is associated with neuronal necrosis in the hippocampus. Dienel (99) injected [⁴⁵Ca]Cl₂ into adult rats undergoing severe forebrain ischemia and found substantial uptake of radiolabeled Ca⁺⁺ into cerebral cortex, striatum, and hippocampus. These and other studies testify to the major alterations in cellular Ca⁺⁺ balance that occur during cerebral hypoxia-ischemia.

Relevant to the immature brain, Stein and Vannucci (100) conducted experiments to ascertain the presence and extent of altered Ca⁺⁺ homeostasis in an experimental model of perinatal cerebral hypoxia-ischemia (14). Before and after hypoxia-ischemia in 7-d postnatal rats, the animals received a subcutaneous injection of [⁴⁵Ca]Cl₂ and their brains were subjected to autoradiography at specific intervals for up to 15 d postinsult. During hypoxia-ischemia, calcium flux into brain was prominent in cerebral cortex, hippocampus, striatum, and thalamus. During the first 5 h of recovery, [⁴⁵Ca]Cl₂ radioactivity in all brain regions was low but thereafter increased progressively over 72 h. As during hypoxia-ischemia, the distribution of radioactivity was most prominent in those structures that are known to be vulnerable to hypoxic-ischemic injury (Fig. 8). The investigators concluded that hypoxia-ischemia is associated with enhanced calcium uptake into the immature brain, which temporarily dissipates, but then progressively accumulates during the recovery period. The findings implicate a disruption of intracellular Ca⁺⁺ homeostasis as a major factor in the evolution of perinatal hypoxic-ischemic brain damage.

The mechanisms by which altered Ca⁺⁺ balance threatens the cell continues to be elucidated but undoubtedly relates to disturbances in those biochemical reactions subserved by Ca⁺⁺. As mentioned previously, Ca⁺⁺ activates numerous intracellular reactions, the continued stimulation of which would compromise severely the viability of the neuron. Among these reactions include the activation of several lipases, proteases, and endonucleases, all of which attack the structural integrity of the cell. Important also is the continued activation of phospholipase C which promotes a progressive breakdown in the phospholipid components of the plasma (and possibly subcellular) membrane.

Ca⁺⁺ also contributes to the formation of oxygen-free radicals via the formation of xanthine and prostaglandins; such radicals are capable of peroxidizing the free fatty acid moiety of membranes. Finally, high concentrations of intracellular free Ca⁺⁺ lead to an uncoupling of oxidative phosphorylation within mitochondria, as the energy formed during recovery from hypoxia-ischemia is immediately consumed in an attempt to reverse and then maintain the electrochemical (ion) gradient across the mitochondrial membrane. This "futile" cycling of ions restricts the production and transfer of ATP into the cytosol to be used for structural repair and the reestablishment of ionic gradients across the plasma membrane. Taken together, the toxic effects of excessive Ca⁺⁺ accumulation are adequate to cause membrane desintegration and the death of the neuron. Thus, altered calcium homeostasis may represent the "final common pathway" not only for hypoxia-ischemia but for other forms of acute brain damage as well (28).

SYNOPSIS

The preceding sections attest to the complexity of the biologic processes that operate to maintain neuronal function and how these processes are compromised during and after hypoxia-ischemia. An intimate relationship exists among those mechanisms that serve to control cellular homeostasis; therefore, it is not surprising that multiple systems fail when hypoxia-ischemia exceeds the threshold of biochemical and morphologic reversibility (Fig. 9). An excessive accumulation of intracellular Ca⁺⁺ may be the critical factor that determines whether or not death of the neuron ultimately occurs. Further research is required to confirm or deny the key role of Ca⁺⁺ in initiating neuronal necrosis and how the process can be prevented, or at least retarded, through therapeutic intervention.

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