

# Anemia Blunts the Thermogenic Response to Environmental Cold Stress in Newborn Piglets

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**ABSTRACT.** We tested the hypothesis that isovolemic anemia blunts the thermogenic response to environmental cold stress in 3 to 4-day-old newborn piglets. Eight animals were studied in both thermoneutral (31.6–32.8° C) and cold (19.6–20.2° C) environments, before and after an isovolemic, partial volume exchange transfusion which reduced the hematocrit from 26 to 15 %. In the nonanemic phase of study, deep rectal temperatures declined but had plateaued by 30 minutes after onset of cold stress and remained within normal limits for newborn piglets. In the anemic phase of study, deep rectal temperature declined continuously throughout cold stress with true body core hypothermia (<38° C) observed at all measurement points beyond 15 min of cold stress. Baseline oxygen consumption did not differ between the two study phases (17.6 ± 1.8 versus 16.7 ± 2.1 ml/kg<sup>-1</sup>/min<sup>-1</sup>, mean ± SEM). However, during environmental cold stress, oxygen consumption increased by 64% over baseline in the nonanemic phase of study ( $p < 0.05$ ) whereas 21% increase over baseline was observed in the anemic phase ( $p$  NS). We conclude that isovolemic anemia limited oxygen consumption and heat production during environmental cold stress, resulting in body core hypothermia. (*Pediatr Res* 21: 482–486, 1987)

## Abbreviations

$\dot{V}O_2$ , oxygen consumption  
CaO<sub>2</sub>, arterial oxygen content  
CvO<sub>2</sub>, mixed venous oxygen content  
T<sub>0</sub>, baseline deep rectal temperature  
T<sub>x</sub>, cold stress deep rectal temperature  
HCT, hematocrit

The homeothermic response of newborn animals and humans to environmental cold stress includes increased oxygen consumption with concomitant heat production (1–3). Thus, successful thermogenesis constitutes a state of increased oxygen demand and, without a proportionate increase in oxygen availability, will decrease the oxygen availability-to-demand ratio (4). In this situation, a reduction in oxygen availability may prevent a successful thermogenic response to environmental cold stress, resulting in body core hypothermia. Anemia or hypoxemia reduces oxygen-carrying capacity and may result in such a limitation of oxygen consumption and heat production. Isovolemic anemia, where hemoglobin levels have been reduced to less than

5 g/dl, has been shown to decrease basal oxygen consumption in newborn lambs (5). Similar decreases in oxygen consumption have been demonstrated in adult dogs when the hematocrit was less than 10% (6–8). Scopes and Ahmed (9), in a study of infants with hypoxemia, observed a blunting of the thermogenic response to cold stress when the pO<sub>2</sub> was less than 50 mm Hg and complete ablation when the pO<sub>2</sub> was less than 30 mm Hg. All of these studies depict severe reductions in oxygen availability. The effect of isovolemic anemia, of a magnitude which does not alter basal oxygen consumption, on the thermogenic response to environmental cold stress has not been tested. Therefore, the purpose of this study was to test the hypothesis that anemia blunts the thermogenic response to environmental cold stress, resulting in body core hypothermia.

## MATERIALS AND METHODS

Eight, 2- to 3-day-old, farm-bred piglets were obtained from a local breeder who provided accurate time of farrowing. The weight of the piglets was 1.29 ± 0.2 kg (mean ± SD).

**Surgical procedure.** Twenty-four h before study, each animal underwent surgery using 70% nitrous oxide-30% oxygen inhalation anesthesia and 1% xylocaine local anesthesia. Polyvinyl catheters were placed in the upper abdominal aorta via one femoral artery, the lower abdominal aorta via the opposite femoral artery, the right atrium via the femoral vein, and the left ventricle via the left carotid artery. Previous work has shown that use of the carotid artery for catheterization of the left ventricle does not alter brain blood flow (10). An 8 French gastroesophageal feeding catheter was placed and secured, and a vinyl-covered, flexible, electronic thermistor probe inserted into the rectum to a depth of 7 cm. Postoperatively, 5% dextrose 10 ml/kg and Ampicillin 100 mg/kg were given intravenously and each vascular catheter was filled with a solution containing heparin 100 U/ml. Placement of catheters was verified at autopsy.

**Animal preparation.** Immediately following surgery, each animal was placed in plexiglass test chamber with wall 3-mm thick. The floor was covered with a gauze mattress. The dimensions of the chambers were 10 cm wide × 22.5 cm long × 30 cm high. This space allowed the animal adequate room to lie prone, stand, or stretch without touching the walls of the chamber. An electronic air temperature probe was placed at the rear of the chamber, near the top where it was not touching either the animal or the walls of the chamber. Catheters and probe wires were directed through a central porthole in the top of the chamber. Air was entrained through open seams and portholes in the chamber by means of a vacuum pump. (Thomas Ind., Sheboygan, WI) connected by Tygon tubing to a porthole in the front wall. Air flow was regulated with a flowmeter (Labcrest Century 100, Warminster, PA) which had been calibrated against a water-sealed spirometer over a range of 1–24 liter/min. Air flow was maintained at 7 liter/min to avoid the accumulation of expired

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gases within the chamber. The test chamber was placed inside an incubator (Air Shields Model C-35, Hatboro, PA) and the air temperature maintained at 30 to 33° C. This range of air temperatures allowed the piglets to maintain deep rectal temperatures between 38.5 and 39.5° C, the normal core temperature of the newborn piglet (1, 11, 12). The incubator was covered with dark sheets to minimize external stimuli and prevent agitation of the animal. The study apparatus provided a thermoneutral, quiet environment while allowing for nondisruptive surveillance and feeding.

Animals were fed with 5% dextrose 30 ml/kg via the gastroesophageal catheter every 4 to 6 h with the last feeding given 3 to 4 h before the onset of study so as to avoid the effect of diet-induced thermogenesis on the study observations.

**Experimental protocol.** Each animal was studied during two consecutive phases; a nonanemic phase and an anemic phase. Two series of determinations were made in each phase of study; each series included measurements of deep rectal and environmental temperatures, cardiac output, arterial and mixed-venous oxygen contents, arterial blood gases (corrected for body temperature), and hematocrit. Arterial oxygen content was sampled from the catheter in the upper abdominal aorta (13). Mixed venous oxygen content was sampled from the right atrial catheter (14, 15). Additional deep rectal and environmental temperatures as well as mean arterial blood pressure and heart rate were measured every 15 min during both phases of study. In the first phase of study (nonanemic), baseline measurements were made while in a thermoneutral environment. The environmental temperature was then lowered to 18 to 22° C over 20–30 min. This range of cold air temperatures was expected to elicit a 100% increase in oxygen consumption in piglets 3 to 4 days old (1). Repeat measurements as described were made 30 min after reaching the nadir of the air temperature (60 min after onset of cold stress). The environmental temperature was then returned to baseline levels and the animals recovered for 1 h. At that point, an isovolemic, partial volume exchange transfusion was performed with the goal of reducing the hematocrit to approximately 15%. The amount of blood exchanged for plasma was calculated for each piglet using the equation:

$$\text{Volume exchange} = \frac{(95 \text{ ml/kg})(\Delta\text{HCT})}{\text{Preexchange HCT}}$$

Care was taken during each exchange transfusion to infuse and withdraw blood at equivalent rates (approximately 2 ml/min) to avoid transient hypo/hypervolemia. Heart rate, mean arterial blood pressure, and deep rectal temperature were monitored continuously and remained stable throughout each procedure. Following each exchange transfusion, a 2-h time period elapsed before entry into the second phase of study. In this second phase, (anemic), experimental measurements and manipulations were identical to the first phase and included measurements in a baseline, thermoneutral environment, and after 30 min at the nadir of the air temperature; *i.e.* after 60 min of environmental cold stress. The total elapsed time for each study was 5 h. All blood withdrawn for sampling (5 ml per measurement point) was replaced with donor piglet blood having a similar hematocrit. The donor piglets were 2 to 4 days old, the same age as the study piglets. Previous work has shown that no significant changes occur in the P50 of piglet blood obtained between 2 and 4 days of age (16). At the end of each study, the animal was killed by intravenous injection of sodium thiamylal.

In order to determine the effect of the exchange transfusion alone on the responses to environmental cold stress, three piglets (3 days old, weight  $1.23 \pm 0.10$  kg) completed the experimental protocol before and after a sham exchange transfusion during which the hematocrit remained unchanged (26.2 *versus* 27.3%, *p* NS). The sham exchange transfusion consisted of removal of whole blood and infusion of whole blood having the same hematocrit, following the previously outlined procedure. No

differences were observed between the phases in either baseline or the cold stress measurements (data not shown). We concluded that the exchange transfusion, *per se*, did not affect the experimental observations in the second phase of the study.

**Methodology.** Cardiac output was measured with radiolabeled microspheres using established techniques (17, 18). Oxygen contents were measured in duplicate on a Lex-O<sub>2</sub>-Con instrument (Lexington Instruments, Waltham, MA). Blood pressure and heartrate were measured using a pressure transducer (Hewlett-Packard, model 1280C, Lexington, MA) from a catheter placed in the lower abdominal aorta. Measurements so obtained were recorded on a polygraph (Hewlett-Packard, 7754 series). Deep rectal temperature was measured with an electronic, thermistor probe (Yellow Springs Instruments, model 402, Yellow Springs, OH). Environmental temperature was measured with an electronic, air temperature probe (YSI model 408). Both probes were connected to a six-channel, electronic telethermometer (YSI 46TUC). Each probe had been tested against a certified mercury thermometer (National Bureau of Standards) in a water bath. Linear regression equations were individually derived for both probes to predict true temperature for the range tested (30–40° C for the rectal probe and 10–40° C for the air probe).

**Data analysis.**  $\dot{V}O_2$  and oxygen extraction were calculated from measured values using the following equations:

$$\dot{V}O_2 \text{ (ml/min)} = \text{cardiac output} \cdot (\text{CaO}_2 - \text{CvO}_2) \quad (19)$$

$$\text{Oxygen extraction (\%)} = (\text{CaO}_2 - \text{CvO}_2) \cdot \text{CaO}_2^{-1} \cdot 100$$

All data were analyzed using one-way blocked analysis of variance for repeated measures. If a significant difference was found, Dunnett's or Neuman-Keul's *post hoc* test was used to compare the experimental mean to the baseline value in each measurement phase (nonanemic or anemic) and to compare the nonanemic *versus* the anemic phase at each measurement point (baseline or cold stress) (20). A value of *p* < 0.05 was considered significant. All data are displayed as the mean  $\pm$  SEM.

## RESULTS

Measured and calculated experimental values appear in Tables 1, 2, and 3, respectively. As shown, cardiac output did not change during cold stress in either study phase despite a significant increase in heartrate (Table 1). Mean arterial blood pressure did not change during environmental cold stress in either phase of study. The arterial and mixed venous oxygen contents decreased along with the reduction in hematocrit. The mixed venous oxygen content declined during cold stress in both phases of study.

$\dot{V}O_2$  increased significantly during environmental cold stress in the nonanemic phase (Table 2). However, in the anemic phase of the study, the increase in  $\dot{V}O_2$  was limited during environmental cold stress and, despite increased oxygen extraction, was significantly lower than the  $\dot{V}O_2$  observed during cold stress in the nonanemic phase of study.

While the pH did not change during cold stress in either study phase, the pCO<sub>2</sub> declined significantly during cold stress with anemia (Table 3). The arterial pO<sub>2</sub> did not change during cold stress in either study phase although the pO<sub>2</sub> during cold stress with anemia was higher than seen in the nonanemic phase. Base excess decreased during cold stress in both study phases.

Environmental and deep rectal temperatures during both phases of the study are displayed in Figures 1 and 2, respectively. As shown, there were no differences in the magnitude or rate of decline in environmental temperature between the study phases (Fig. 1). While deep rectal temperature was significantly decreased after 30, 45, and 60 min of cold stress in both study phases, the decline in temperature with anemia was significantly greater after 60 min of cold stress (Fig. 2). Figure 3 depicts the rate of change in deep rectal temperatures for each phase by plotting the difference ( $T_0 - T_x$ ) between  $T_0$  and  $T_x$  *versus* time.

Table 1. Measured experimental values (mean  $\pm$  SEM,  $n = 8$ )

	Nonanemic		Anemic	
	Baseline	Cold stress	Baseline	Cold stress
Cardiac output (ml/kg/min)	503 $\pm$ 28	584 $\pm$ 54	550 $\pm$ 56	618 $\pm$ 49
Mean arterial blood pressure (mm Hg)	71 $\pm$ 3	79 $\pm$ 5	65 $\pm$ 4	65 $\pm$ 5*
Heart rate (bpm)	190 $\pm$ 9	253 $\pm$ 18†	210 $\pm$ 15	273 $\pm$ 10†
Arterial O <sub>2</sub> content (ml/dl)	9.1 $\pm$ 0.4	8.8 $\pm$ 0.3	5.1 $\pm$ 0.2*	4.9 $\pm$ 0.2*
Mixed-venous O <sub>2</sub> content (ml/dl)	5.6 $\pm$ 0.5	4.0 $\pm$ 0.5†	2.3 $\pm$ 0.3*	1.4 $\pm$ 0.3*†
Hematocrit (%)	26.2 $\pm$ 0.6	25.8 $\pm$ 0.7	14.9 $\pm$ 0.2*	14.8 $\pm$ 0.2*

\*  $p < 0.05$  versus nonanemic for same environmental temperature.

†  $p < 0.05$  versus baseline for same study phase.

Table 2. Calculated experimental values (mean  $\pm$  SEM,  $n = 8$ )

	Nonanemic		Anemic	
	Baseline	Cold stress	Baseline	Cold stress
$\dot{V}O_2$ (ml/kg/min)	17.6 $\pm$ 1.8	28.8 $\pm$ 1.3*	16.7 $\pm$ 2.1	21.1 $\pm$ 1.7†
O <sub>2</sub> extraction (%)	39.5 $\pm$ 4.7	56.1 $\pm$ 3.0	56.2 $\pm$ 4.2†	70.3 $\pm$ 4.2*†

\*  $p < 0.05$  versus baseline for same study phase.

†  $p < 0.05$  versus nonanemic for same environmental temperature.

Table 3. Arterial blood gases (mean  $\pm$  SEM,  $n = 8$ )

	Nonanemic		Anemic	
	Baseline	Cold stress	Baseline	Cold stress
pH	7.47	7.45	7.48	7.46
pCO <sub>2</sub> (mm Hg)	40.5 $\pm$ 0.9	39.5 $\pm$ 1.4	38.0 $\pm$ 1.8	34.5 $\pm$ 1.7*†
pO <sub>2</sub> (mm Hg)	86.0 $\pm$ 2.1	78.1 $\pm$ 2.5	89.5 $\pm$ 3.1	87.2 $\pm$ 3.6†
BE (mmol/liter)	6.65 $\pm$ 0.8	3.59 $\pm$ 0.7*	5.20 $\pm$ 0.8	1.70 $\pm$ 1.4*

\*  $p < 0.05$  versus baseline for same study phase.

†  $p < 0.05$  versus nonanemic for same environmental temperature.

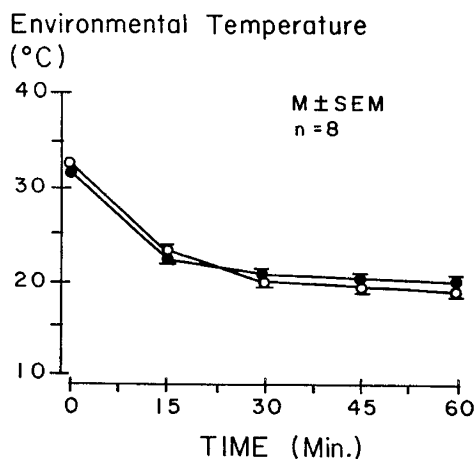


Fig. 1. Environmental temperatures during the nonanemic (●) and anemic (○) study phases.

As shown, the rate of decline in deep rectal temperature with anemia was continuous and differed significantly from the nonanemic study phase at both points beyond 30 min of environmental cold stress.

#### DISCUSSION

We chose the newborn piglet as an animal model because of its thermoinsulative similarities to the human infant. These

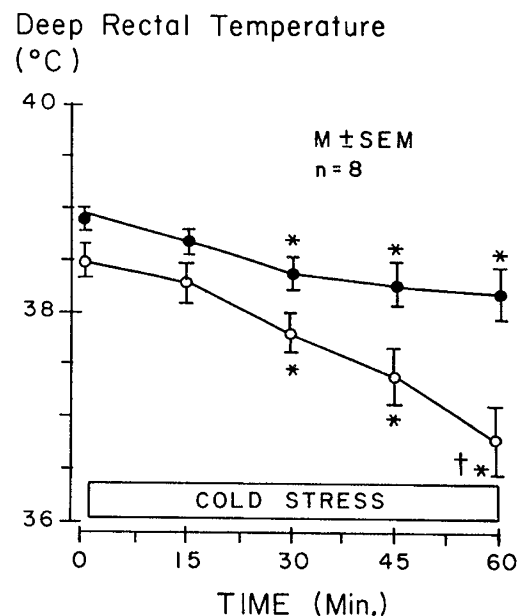


Fig. 2. Deep rectal temperatures during the nonanemic (●) and anemic (○) study phases. Time zero measurements were obtained while in a warm environment. Measurements at 15, 30, 45 and 60 min were obtained while in a cold environment. \*  $p < 0.05$  versus time zero (warm environment) for same study phase. †  $p < 0.05$  versus nonanemic for same measurement time.

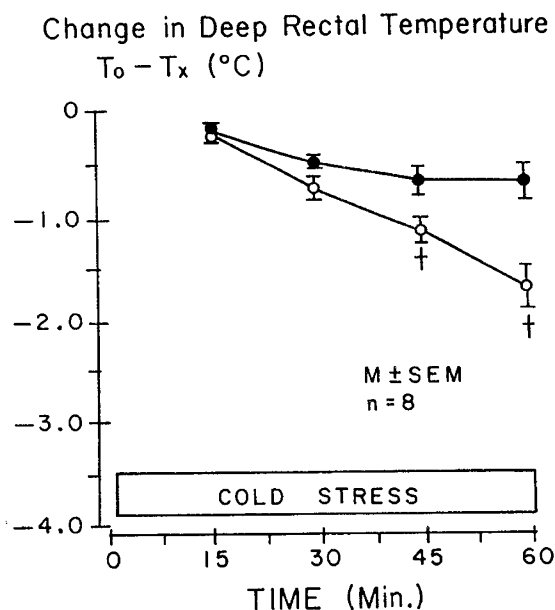


Fig. 3. Rate of change in deep rectal temperature during the nonanemic (●) and anemic (○) study phases, plotted as the difference ( $T_0 - T_x$ ) between time zero ( $T_0$ ) and cold stressed ( $T_x$ ) deep rectal temperatures versus time. †  $p < 0.05$  versus nonanemic for same measurement time.

similarities include the lack of a thick hair coat, a large surface area to mass ratio, low body fat, and the ability to increase tissue insulation with peripheral vasoconstriction (21, 22). These characteristics result in a range of thermoneutral air temperatures which is slightly lower than the human, preterm infant (23, 24). Thermal differences with the human infant relate primarily to the nature and magnitude of heat production. Piglets have a basal oxygen consumption of 15–20 versus 5–8 ml/kg/min in newborn infants (23, 25). Moreover, piglets lack brown adipose tissue, relying on shivering thermogenesis when in a cold environment (3, 26). The latter property might seem to preclude the use of the piglet as a model during thermal stress. However, the intent of our study was to provoke heat production with an attendant increase in oxygen demand in the thermogenic tissue of the piglet. The site of heat production, therefore, became less important than its magnitude of change.

The mean thermoneutral air temperature in both study phases for our piglets was lower than the expected critical air temperature for newborn piglets (31.6–32.8° C versus 34° C). However, the difference might be explained by our use of a plexiglass study chamber which would have the effect of a radiant heat shield. A radiant heat shield does not reduce total heat loss when in a thermoneutral environment; rather it reduces radiant heat loss, necessitating a decrease in air temperature in order to increase convective heat loss and avoid increasing body temperature (27). Thus, the air temperature necessary to maintain a thermoneutral mean operative temperature is reduced with a radiant heat shield.

We noted a significant decrease in deep rectal temperature during cold stress in the nonanemic phase of the study (Fig. 2). However, the mean deep rectal temperature remained within normal limits for piglets of this age (1, 11, 12). Moreover, deep rectal temperature had plateaued by 30 min after the onset of cold stress (the nadir of the air temperature) and did not further change during the remainder of the nonanemic study phase. Conversely, deep rectal temperature declined continuously during cold stress in the anemic study phase with true body core hypothermia (<38° C) observed at all measurement points beyond 15 min of cold stress (Fig. 2).

The magnitude of cold stress imposed in our study was expected to increase oxygen consumption to 40–70% of the summit

metabolic response in newborn piglets (1). Thus, under typical circumstances for piglets of this postnatal age and weight, heat production should have been adequate to maintain body core temperature during an environmental cold stress of 18–22° C (1). Indeed, environmental temperatures as low as 5° C have been tolerated without hypothermia for up to 8 h in newborn piglets (1). The latter results were obtained under conditions where oxygen-carrying capacity was not limited. While limitation of resting  $\dot{V}O_2$  with anemia has been previously demonstrated in newborn lambs (5), the decrease in red cell mass was less marked in our experiments, and a normal heart rate, mean arterial blood pressure, and  $\dot{V}O_2$  were observed with anemia while in a thermoneutral environment. During cold stress, we observed thermogenic failure with anemia; the expected 64% increase in  $\dot{V}O_2$  and heat production was limited to a 21% increase (Table 2). Thus, reduction of oxygen-carrying capacity was not compensated by proportionate increases in cardiac output or oxygen extraction. The decrease in the oxygen availability-to-demand ratio resulted in limited  $\dot{V}O_2$  and heat production with a resultant fall in deep rectal temperature to hypothermic levels. This suggests that total specific insulation in the piglet is at or near maximum at an environmental temperature 14–18° C above the lower limit of tolerance without hypothermia. Thus, one might speculate that heat production is the more critical factor in the maintenance of thermoregulatory stability when environmental temperatures are well below the thermoneutral zone. While this is not a novel concept, recognition is particularly important in light of a recent study which suggests that the thermogenic response of low birth weight infants is impaired during early postnatal life (28). The latter study suggests to us that low birth weight infants in the first weeks of life may be quasi-poikilothermic, depending heavily on total specific insulation and the temperature of the thermal environment for maintenance of a normal, core body temperature. This, in turn, emphasizes the importance of carefully controlling environmental temperatures during early postnatal life in this vulnerable cohort of patients.

Evidence exists to suggest that low birth weight infants who are chronically exposed to low grade environmental cold stress have increased subcutaneous fat accumulation (29) and may have increased thermogenic potential (30). Moreover, advancing neuromuscular maturity with increasing postnatal age improves the ability of these infants to assume postures of increasing flexion, reducing the skin surface area available for heat exchange with the environment. Thus, the 6-wk-old, low birth weight infant is an adequate thermoregulator under the circumstance of normal oxygen-carrying capacity. However, the thermal environment, which is well controlled in the first days of postnatal life, may be less rigorously attended to during the convalescent phase of illness, particularly if air temperature servocontrol is used (25). Moreover, there is a natural, postnatal decline in red cell mass which reached a nadir at 6–8 wk, depending on gestational age as well as other clinical correlates such as the occurrence of hemorrhagic events, amount of phlebotomy, number of transfusions, etc. (31). As low birth weight infants approach the nadir in red cell mass, the major criterion for transfusion therapy has generally been the emergence of symptoms such as apnea, tachycardia, or a heart murmur (32). Thus, it is not uncommon to allow the hematocrit to drift downward, as low or lower than 25%, a 40% reduction from a "normal" hematocrit of 40%. In our study, a 40% reduction in the hematocrit from baseline levels in newborn piglets resulted in a limitation of heat production during cold stress. While the duration of cold stress in our study was probably longer than would be seen in a clinical setting with human infants (30 min at the nadir of cold stress), the magnitude of cold stress was comparable to exposing a human infant to typical nursery room air temperature (33). Thus, anemic, low birth weight infants could potentially be exposed to a thermal environment within which they cannot mount a successful thermogenic response. This remains speculative and must be viewed

in terms of our experimental model. We produced an acute, isovolemic decline in red cell mass which differs from the insidious development of anemia seen in newborn infants at 6–8 wk of age. Compensatory mechanisms in the latter case (increased plasma volume, changes in P50) may differ from acute anemia. Any clinical correlation to the human infant is, thus, limited by the nature of our experimental model. Nonetheless, further study should be made of the convalescing, anemic, low birth weight infant to determine if a significant risk of hypothermia exists under typical nursery conditions. Moreover, further consideration should be given to the effects of reduced iron stores on energy expenditure. Studies of iron-deficient, nonanemic animals have suggested that oxidative phosphorylation is impaired in both skeletal muscle (34) and brown adipose tissue (35). The effect of iron deficiency on the human thermogenic response is unknown.

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