

## ORIGINAL ARTICLE

# Aggregation behavior in water of amphiphilic diblock copolymers bearing biocompatible phosphorylcholine and cholesteryl groups

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**Poly(2-(methacryloyloxy)ethyl phosphorylcholine)-*block*-poly(cholesteryl 6-methacryloyloxyhexanoate) (PMPC<sub>82</sub>-*b*-PChM<sub>*n*</sub>)** copolymers with different PChM block lengths were prepared via reversible addition–fragmentation chain transfer controlled/living radical polymerization using a PMPC-based macro-chain transfer agent. The subscript number and *n* (= 3 and 6) refer to the degree of polymerization of the PMPC and PChM blocks, respectively. PMPC<sub>82</sub>-*b*-PChM<sub>*n*</sub> cannot dissolve in water directly due to the strong hydrophobic nature of the PChM block. To prepare the aqueous solution, the diblock copolymer was dissolved in an organic solvent and then dialyzed against pure water. These diblock copolymers formed spherical and rod-like micelles in water, depending on the composition of cholesteryl (Chol) group in the polymer. The prepared aggregates were characterized using static light scattering, dynamic light scattering, transmission electron microscopy and fluorescence probe techniques. The characterization results suggest that the morphology of the polymer aggregates can be controlled from spherical to rod-like micelles by increasing the number of Chol groups in the polymer.

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## INTRODUCTION

Self-assembled nanometer-sized aggregates from polymers in solution have been widely investigated recently. The aggregates can be formed via various intermolecular interactions such as hydrophobic, electrostatic, hydrogen bonding and van der Waals interactions.<sup>1–3</sup> Our studies focused on the nanometer-sized aggregates formed via hydrophobic interactions in aqueous solution. Amphiphilic block copolymers can form various types of aggregates through hydrophobic interactions in water once the critical aggregation concentration (CAC) is exceeded.<sup>4</sup> Structures of the formed aggregates strongly depend on the balance of the molecular weights of the hydrophobic and hydrophilic parts in the polymer. It has been reported in the literature that the structure of the formed aggregates changed from spherical to rod-like micelles and to lamellae when the ratio of hydrophobic to hydrophilic part in the polymer was increased.<sup>5</sup> For example, a hydrophobic cholesteryl (Chol) group has a planar and rigid steroid backbone. When Chol groups are introduced onto a flexible alkyl chain, the Chol groups show a liquid crystalline nature.<sup>6</sup> Furthermore, pendant Chol groups in amphiphilic polymers preferably align with lamellae structure in water.<sup>7</sup> Therefore, an amphiphilic polymer containing Chol groups as a hydrophobic unit forms aggregates of various shape, rather than simply spherical micelles, depending on the molecular weighing of the hydrophobic Chol groups.<sup>8,9</sup> Boissé *et al.*<sup>10</sup> have reported that amphiphilic diblock

copolymers composed of hydrophilic poly(*N,N*-diethylacrylamido) and hydrophobic Chol group-containing blocks showed fiber structures in water. An ellipsoidal vesicle morphology was observed from amphiphilic diblock copolymers composed of hydrophilic poly(ethylene glycol) (PEG) and hydrophobic Chol group-containing blocks in a work by Lia *et al.*<sup>11</sup> Venkataraman *et al.*<sup>12</sup> have reported that amphiphilic diblock copolymers composed of hydrophilic PEG and hydrophobic polycarbonate with pendant Chol groups formed aggregates exhibiting various shapes in water depending on the degree of polymerization (DP) of the hydrophobic block. Specifically, disk-like micelles and stacked-disk morphology are observed from the amphiphilic diblock copolymers when the DP of the hydrophobic block is 4 and 11, respectively.

2-(methacryloyloxy)ethyl phosphorylcholine (MPC) has become a hot research topic due to the unique characteristic of its hydrophilic phosphorylcholine group possessing the same chemical structure as the hydrophilic part of the phospholipids that form cell membranes.<sup>13</sup> Hydrophilic MPC monomers can be used to copolymerize various types of functional vinyl monomers, with excellent biocompatibility and antithrombogenicity. More importantly, properties and functions for the MPC-containing polymer can be precisely controlled by adjusting the comonomers. Various types of homopolymers and copolymers containing MPC were prepared via atom transfer radical polymerization in water or methanol.<sup>14–16</sup> For example, Xu *et al.*<sup>17</sup>

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reported that the hydrophilic poly(2-(methacryloyloxy)ethyl phosphorylcholine) (PMPC) containing a Chol group at the polymer chain end forms spherical micelles in water. However, the remaining transition metal catalyst in PMPC prepared via atom transfer radical polymerization limited its application in the biomedical field because the remaining transition metal catalysts are difficult to be completely removed by column chromatography. Consequently, a new polymerization method, reversible addition-fragmentation chain transfer controlled radical polymerization, is applied in the preparation of PMPC by adding a chain transfer agent (CTA) containing a dithioester group, thereby eliminating the usage of the unwanted transition metal catalyst.

In this study, amphiphilic diblock copolymers (PMPC<sub>82</sub>-*block*-poly(cholesteryl 6-methacryloyloxyhexanoate) (PChM<sub>*n*</sub>)) composed of hydrophilic PMPC and hydrophobic PChM blocks were prepared via reversible addition-fragmentation chain transfer controlled radical polymerization (Figure 1). The diblock copolymer aqueous solutions were prepared by a dialysis method because the PMPC<sub>82</sub>-*b*-PChM<sub>*n*</sub> cannot dissolve in water directly due to the hydrophobic PChM block. The hydrophobic/hydrophilic balance was adjusted by changing the composition of Chol groups (*n*) in PMPC<sub>82</sub>-*b*-PChM<sub>*n*</sub>. Effects of the hydrophobic/hydrophilic balance for association behavior of the diblock copolymers in water were studied using dynamic light scattering (DLS), static light scattering (SLS), transmission electron microscopy (TEM) and fluorescence probe techniques.

## EXPERIMENTAL PROCEDURE

### Materials

ChM was synthesized according to the method reported by Shannon.<sup>18</sup> MPC was synthesized as previously reported and recrystallized from acetonitrile.<sup>13</sup> 4-Cyanopentanoic acid dithiobenzoate (CPD) was synthesized according to the method reported by Mitsukami *et al.*<sup>19</sup> 4,4'-Azobis-(4-cyanopentanoic acid) (V-501, >98%) from Wako Pure Chemical (Osaka, Japan) was used as received. 2,2'-Azobis (2-methylpropanitrile) (AIBN) was purified by recrystallization from methanol. *N*-Phenyl-1-naphthylamine (PNA, >98.0%) was purchased from Tokyo Chemical Industry (Tokyo, Japan). Methanol, ethanol

and tetrahydrofuran (THF) were dried by molecular sieves 4 Å and purified by distillation. Water was purified using a Millipore (Billerica, MA, USA) Milli-Q system. Other reagents were used as received.

### Preparation of PMPC<sub>82</sub>

MPC (12.0 g, 40.6 mmol) was dissolved in water (9.06 ml). CPD (0.11 g, 0.41 mmol) and V-501 (56.9 mg, 0.20 mmol) were dissolved in methanol (36.3 ml), which was added to the aqueous solution. The solution was deoxygenated by purging with Ar gas for 30 min. Polymerization was carried out at 70 °C for 2 h followed by <sup>1</sup>H NMR analysis. The <sup>1</sup>H NMR result indicated that the conversion is determined to 97.2%. The reaction mixture was dialyzed against pure water for 2 days and subsequently freeze dried to recover the MPC homopolymer (PMPC<sub>82</sub>; 11.1 g, 92.4%). Number-average molecular weight (*M<sub>n</sub>*(GPC)) and molecular weight distribution (*M<sub>w</sub>*/*M<sub>n</sub>*) estimated from gel-permeation chromatography (GPC) were 1.75 × 10<sup>4</sup> and 1.16, respectively. *M<sub>n</sub>* (NMR) and DP were determined from the <sup>1</sup>H NMR peak integral intensity ratio of the pendant methine proton at 3.6 p.p.m. and terminal phenyl protons at 7.5–7.9 p.p.m. *M<sub>n</sub>*(NMR) and DP were calculated to be 2.45 × 10<sup>4</sup> and 82, respectively. The obtained PMPC<sub>82</sub> was used as a CTA to prepare block copolymers.

### Preparation of amphiphilic diblock copolymer (PMPC<sub>82</sub>-*b*-PChM<sub>*n*</sub>)

ChM (0.164 g, 0.288 mmol) was dissolved in THF (7.39 ml). PMPC<sub>82</sub> (1.04 g, 0.0424 mmol, *M<sub>n</sub>*(NMR) = 2.45 × 10<sup>4</sup>, *M<sub>w</sub>*/*M<sub>n</sub>* = 1.16) and AIBN (2.70 mg, 0.0164 mmol) were dissolved in ethanol (3.94 ml), which was then added to the THF solution. The solution was deoxygenated by purging with Ar gas for 30 min. Polymerization was carried out at 60 °C for 16 h followed by <sup>1</sup>H NMR analysis. After the reaction, <sup>1</sup>H NMR analysis indicated that conversion was 72.5%. The reaction mixture was dialyzed against THF for 2 days and against pure water for an additional 2 days. The diblock copolymer (PMPC<sub>82</sub>-*b*-PChM<sub>3</sub>) was recovered by freeze drying (0.839 g, 69.9%). *M<sub>n</sub>*(NMR) for the diblock copolymer and DP for the PChM block were determined from the <sup>1</sup>H NMR peak integral intensity ratio of the methyl protons at 0.7 p.p.m. and pendant methine protons at 3.6 p.p.m. in the PChM and PMPC blocks, respectively. *M<sub>n</sub>*(NMR) and DP were calculated to be 2.59 × 10<sup>4</sup> and 3, respectively.

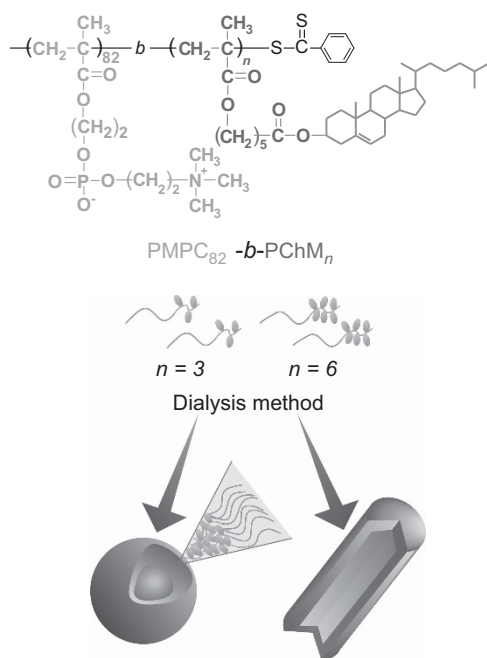
PMPC<sub>82</sub>-*b*-PChM<sub>6</sub> was prepared via a similar procedure of preparation and purification of PMPC<sub>82</sub>-*b*-PChM<sub>3</sub>. The conversion was 74.2% as estimated from <sup>1</sup>H NMR analysis. *M<sub>n</sub>*(NMR) for PMPC<sub>82</sub>-*b*-PChM<sub>3</sub> and DP for the PChM block were calculated to be 2.79 × 10<sup>4</sup> and 6, respectively.

### Measurements

<sup>1</sup>H NMR spectra were obtained with a Bruker BioSpin (Billerica, MA, USA) DRX-500 spectrometer. GPC measurements were performed using a refractive index detector equipped with a Shodex (Tokyo, Japan) Asahipak GF-1G guard column and 7.0 μm bead size GF-7 M HQ column working at 40 °C under a flow rate of 0.6 ml min<sup>-1</sup>. A phosphate buffer (pH 9) containing 10 vol% acetonitrile was used as the eluent. The values of *M<sub>n</sub>*(GPC) and *M<sub>w</sub>*/*M<sub>n</sub>* were calibrated with standard sodium poly(styrenesulfonate) samples. SLS and DLS measurements were performed at 25 °C with an Otsuka Electronics Photal (Osaka, Japan) DLS-7000 light scattering spectrometer equipped with an ALV (Langen, Germany) 5000E multi-τ digital time correlator. Sample solutions were filtered with a 0.2-μm pore size membrane filter. A He-Ne laser (10 mW at 632.8 nm) was used as a light source. The weight-average molecular weight (*M<sub>w</sub>*), *z*-average radius of gyration (*R<sub>g</sub>*) and second virial coefficient (*A<sub>2</sub>*) values were estimated from equation (1):

$$\frac{KC_p}{R_0} = \frac{1}{M_w} \left( 1 + \frac{1}{3} \langle R_g^2 \rangle q^2 \right) + 2A_2 C_p \quad (1)$$

where *R<sub>0</sub>* is the Rayleigh ratio;  $K = 4\pi^2 n^2 (dn/dc_p) 2/N_A \lambda^4$ , with *n* being the refractive index of the solvent, *dn/dc<sub>p</sub>* the refractive index increment against *C<sub>p</sub>*, *C<sub>p</sub>* the polymer concentration, *N<sub>A</sub>* the Avogadro number and *λ* the wavelength (= 632.8 nm);  $q = (4\pi n/\lambda) \sin(\theta/2)$ , with *θ* being the scattering angle. By measuring *R<sub>0</sub>* for a set of *C<sub>p</sub>* and *θ*, values of *M<sub>w</sub>*, *R<sub>g</sub>* and *A<sub>2</sub>* can be estimated from Zimm plots.<sup>20</sup> Toluene was used for the calibration of the instrument. Values of *dn/dc<sub>p</sub>* were determined with an Otsuka Electronics Photal



**Figure 1** Schematic representation of PMPC<sub>82</sub>-*b*-PChM<sub>*n*</sub> aggregates. A full color version of this figure is available at *Polymer Journal* online.

DRM-1020 differential refractometer at a wavelength of 632.8 nm. DLS measurements were also performed using a Malvern (Worcestershire, MA, UK) Instruments Zetasizer Nano ZS equipped with a He-Ne laser (4 mW at 633 nm). Data were taken at a 173° scattering angle. In the DLS measurements, inverse Laplace transform analysis was performed using the algorithm REPES<sup>21</sup> to obtain the relaxation time distribution,  $\tau A(\tau)$ .

$$g^{(1)}(t) = \int \tau A(\tau) \exp(-t/\tau) d \ln \tau \quad (2)$$

where  $\tau$  is the relaxation time and  $g^{(1)}(t)$  is the normalized autocorrelation function. The diffusion coefficient ( $D$ ) is calculated from  $D = \Gamma/q^2$ , where  $\Gamma$  is relaxation rate ( $\Gamma = \tau^{-1}$ ). The hydrodynamic radius ( $R_h$ ) is given by the Stokes–Einstein equation,  $R_h = k_B T / (6\pi\eta D)$ , where  $k_B$  is the Boltzmann constant,  $T$  is the absolute temperature and  $\eta$  is the solvent viscosity. TEM measurements were performed with a JEOL (Tokyo, Japan) TEM-1200 electron microscope operated at an accelerating voltage of 200 kV. Samples for TEM were prepared by placing one drop of the aqueous solution on a copper grid coated with thin films of Formvar. Excess water was blotted using filter paper. The samples were stained by sodium phosphotungstate and dried under vacuum for 1 day.

### Critical aggregation concentration

Fluorescence spectra were recorded on a Hitachi High-Technologies (Tokyo, Japan) F-2500 fluorescence spectrophotometer. The polymer aqueous solution was mixed with a PNA-saturated aqueous stock solution. The polymer concentration of the mixed aqueous solution was diluted using PNA aqueous solution. The PNA concentration was constant, whereas  $C_p$  was diluted. The solutions were excited at 330 nm, and the excitation and emission slit widths were maintained at 20 and 5 nm, respectively.

### Preparation of PMPC<sub>82</sub>-*b*-PChM<sub>*n*</sub> aggregates

PMPC<sub>82</sub>-*b*-PChM<sub>*n*</sub> was dissolved in a mixed solution of THF and ethanol (THF/ethanol = 3/7, v/v) at  $C_p = 1.0 \text{ g l}^{-1}$ . The solution was transferred to a

dialysis bag, which was dialyzed against pure water for 24 h at room temperature. The final  $C_p$  of the aqueous solution after dialysis was adjusted to  $0.2 \text{ g l}^{-1}$  by dilution with pure water.

## RESULTS AND DISCUSSION

### Characterization of PMPC<sub>82</sub>-*b*-PChM<sub>*n*</sub>

Figure 2 compares the <sup>1</sup>H NMR spectra for PMPC<sub>82</sub> and PMPC<sub>82</sub>-*b*-PChM<sub>6</sub> in methanol-*d*<sub>4</sub> at 60 °C. The DP and  $M_n$ (NMR) for PMPC<sub>82</sub> are 82 and  $2.45 \times 10^4$ , respectively, and were estimated by comparing the integral intensity area of the terminal phenyl protons at 7.5–7.9 p.p.m. and the pendant methylene protons at 3.6 p.p.m. The DP,  $M_n$ (NMR),  $M_n$ (GPC) and  $M_w/M_n$  values for PMPC<sub>82</sub> are summarized in Table 1. If the polymerization was assumed to be an ideally living process, the theoretical number-average molecular weight ( $M_n$ (theo)) can be calculated from the following equation:

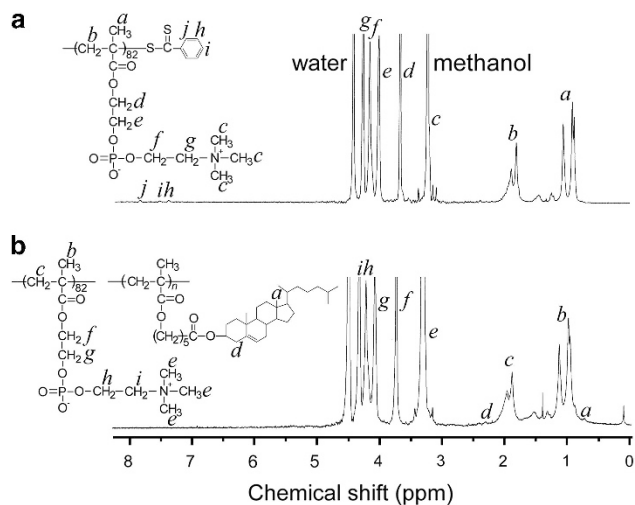
$$M_n(\text{theo}) = \frac{[M]_0}{[CTA]_0} \frac{\chi_m}{100} M_m + M_{CTA} \quad (3)$$

where  $[M]_0$  is the initial monomer concentration,  $[CTA]_0$  is the initial CTA concentration,  $\chi_m$  is the percent conversion of the monomer,  $M_m$  is the molecular weight of the monomer and  $M_{CTA}$  is the molecular weight of CTA. The  $M_n$ (theo) value was found to be near to the  $M_n$ (NMR) value for PMPC<sub>82</sub> and the  $M_w/M_n$  for PMPC<sub>82</sub> was narrow (= 1.16). These observations indicate that the prepared PMPC<sub>82</sub> possessed a well-controlled structure. The DP values (=  $n$ ) of the PChM block and  $M_n$ (NMR) for PMPC<sub>82</sub>-*b*-PChM<sub>*n*</sub> were estimated by comparing integral area intensities of the pendant methyl protons in the PChM block at 0.7 p.p.m. and the pendant methylene protons in the PMPC block at 3.6 p.p.m. The DP values were also estimated by comparing integral area intensities of the PChM block at 0.7 p.p.m. and the terminal phenyl protons at 7.5–7.9 p.p.m. These DP values were the same. These results are summarized in Table 1.

### Aggregation of PMPC<sub>82</sub>-*b*-PChM<sub>*n*</sub> in water

The diblock copolymers cannot dissolve in water directly due to the strong hydrophobic nature of the PChM block. To obtain an aqueous solution, the diblock copolymer was dissolved in a mixed organic solvent of THF and ethanol (3/7, v/v) and then dialyzed against pure water to change the organic solvent to an aqueous solution. The diblock copolymers are expected to form the aggregates in the aqueous solution. After dialysis, the  $C_p$  was adjusted to be  $0.2 \text{ g l}^{-1}$  by diluting the solution using pure water.

Immediately after preparing the aqueous solution, DLS measurements were performed to measure the  $R_h$  values. Figure 3a shows unimodal  $R_h$  distributions for PMPC<sub>82</sub>-*b*-PChM<sub>*n*</sub>. The  $R_h$  values for PMPC<sub>82</sub>-*b*-PChM<sub>3</sub> and PMPC<sub>82</sub>-*b*-PChM<sub>6</sub> in water were 22.8 and 36.4 nm, respectively (Table 2). It can be read that the value of  $R_h$  is directly proportional to the PChM block length. Furthermore, the measured  $R_h$  values remained unchanged after 1 week. Figure 3b shows the  $R_h$  value as a function of  $C_p$  from 0.3 to  $0.01 \text{ g l}^{-1}$ .



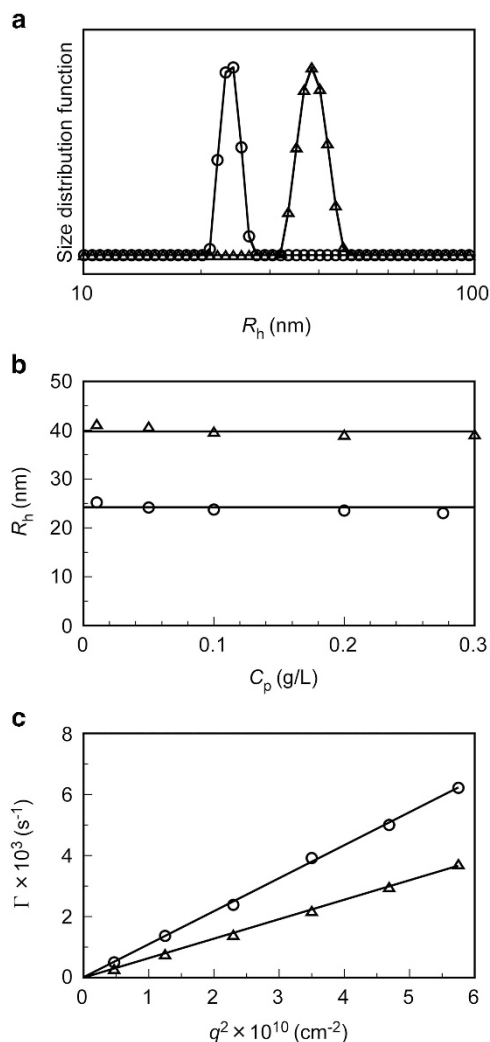
**Figure 2** <sup>1</sup>H NMR spectra for (a) PMPC<sub>82</sub> and (b) PMPC<sub>82</sub>-*b*-PChM<sub>6</sub> in methanol-*d*<sub>4</sub> at 60 °C.

**Table 1**  $M_n$ , DP and  $M_w/M_n$  of the polymers

Polymer	DP of MPC	DP of ChM	$M_n(\text{theo}) \times 10^4$	$M_n(\text{NMR}) \times 10^4$	$M_n(\text{GPC}) \times 10^4$	$M_w/M_n$
PMPC <sub>82</sub>	82	—	2.86	2.45	1.75	1.16
PMPC <sub>82</sub> - <i>b</i> -PChM <sub>3</sub>	82	3	2.73	2.59	—	—
PMPC <sub>82</sub> - <i>b</i> -PChM <sub>6</sub>	82	6	3.04	2.79	—	—

Abbreviations: ChM, cholesteryl 6-methacryloyloxyhexanoate; DP, degree of polymerization;  $M_n$ (GPC), number-average molecular weight estimated from gel-permeation chromatography;  $M_n$ (NMR), number-average molecular weight estimated from <sup>1</sup>H NMR;  $M_n$ (theo), number-average molecular weight estimated from Equation 3; MPC, 2-(methacryloyloxy)ethyl phosphorylcholine;  $M_w/M_n$ , molecular weight distribution.

0.01 g l<sup>-1</sup> was chosen as the lower limit as the scattering intensity at  $C_p < 0.01$  g l<sup>-1</sup> was too low to determine the  $R_h$  values. It could be read that the  $R_h$  values for PMPC<sub>82</sub>-*b*-PChM<sub>*n*</sub> did not change on diluting the  $C_p$  from 0.3 to 0.01 g l<sup>-1</sup>. This observation indicated that the aggregates were stable and do not dissociate above  $C_p = 0.01$  g l<sup>-1</sup>. The relaxation rate ( $\Gamma$ ) and square of the magnitude of the scattering vector ( $q^2$ ) for the aggregates formed from PMPC<sub>82</sub>-*b*-PChM<sub>*n*</sub> are shown in Figure 3c. The  $\Gamma$ - $q^2$  plots for the diblock copolymers are straight lines passing through the origin, thus indicating that the DLS data correspond to a translational diffusive mode.<sup>22,23</sup>



**Figure 3** (a) Typical examples of hydrodynamic radius ( $R_h$ ) distributions for aggregates in water at  $C_p = 0.2$  g l<sup>-1</sup>, (b) relationship between  $R_h$  and  $C_p$  for aggregates and (c) relationship between the relaxation rate ( $\Gamma$ ) and square of the magnitude of the scattering vector ( $q^2$ ) for aggregates at  $C_p = 0.2$  g l<sup>-1</sup>: PMPC<sub>82</sub>-*b*-PChM<sub>3</sub> (○) and PMPC<sub>82</sub>-*b*-PChM<sub>6</sub> (Δ).

### Characterization of PMPC<sub>82</sub>-*b*-PChM<sub>*n*</sub>

SLS measurements were performed in the  $C_p$  range of 0.01–0.1 g l<sup>-1</sup>, where  $R_h$  did not change by DLS measurements as determined in the previous section. Figure 4 shows Zimm plots for the diblock copolymers in water. From the chemical structure of the diblock copolymer, it is expected that PMPC<sub>82</sub>-*b*-PChM<sub>*n*</sub> diblock copolymers form core-shell-type aggregates composed of the hydrophobic PChM core and hydrophilic PMPC shell in water. The  $dn/dC_p$  values for PMPC<sub>82</sub>-*b*-PChM<sub>3</sub> and PMPC<sub>82</sub>-*b*-PChM<sub>6</sub> are 0.201 and 0.212 ml g<sup>-1</sup>, respectively. The SLS data were analyzed using the  $dn/dC_p$  values, which are summarized in Table 2. The  $N_{agg}$  values were calculated using the ratio between  $M_w$  of the aggregate estimated from the SLS and  $M_w$  of the unimer estimated from NMR ( $M_n$ (NMR)) and GPC ( $M_w/M_n$ ) data, respectively. The  $N_{agg}$  values of the aggregates formed for PMPC<sub>82</sub>-*b*-PChM<sub>3</sub> and PMPC<sub>82</sub>-*b*-PChM<sub>6</sub> were determined to be 224 and 376, respectively. The apparent  $M_w$ ,  $N_{agg}$  and  $R_g$  for the aggregate increased with increasing the chain length of the hydrophobic PChM block as presented in Table 2. Moreover, the  $A_2$  values for PMPC<sub>82</sub>-*b*-PChM<sub>3</sub> and PMPC<sub>82</sub>-*b*-PChM<sub>6</sub> are  $4.09 \times 10^{-4}$  and  $1.61 \times 10^{-4}$  cm<sup>3</sup> mol g<sup>-2</sup>, respectively. This finding suggested that the amphiphilic diblock copolymer with long hydrophobic PChM block showed smaller  $A_2$  value, thus indicating that the solubility in water for PMPC<sub>82</sub>-*b*-PChM<sub>6</sub> is lower than that for PMPC<sub>82</sub>-*b*-PChM<sub>3</sub>.<sup>24,25</sup>

The  $R_g/R_h$  value is largely related to the shape and polydispersity of aggregates. For example, the  $R_g/R_h$  values for rigid hard spheres and spherical shape aggregates are theoretically 0.78 and 1.0, respectively, but these values for random coil and ellipsoidal aggregates are 1.3–1.5. Thread-like and low-density aggregates with high polydispersity index usually exhibit larger  $R_g/R_h$  values.<sup>26–28</sup> In the current study, the  $R_g/R_h$  value for PMPC<sub>82</sub>-*b*-PChM<sub>3</sub> was calculated to be 0.81, which indicated that the aggregate featured as a rigid hard sphere. By contrast, the  $R_g/R_h$  value for PMPC<sub>82</sub>-*b*-PChM<sub>6</sub> is 1.02. These observations indicated that the aggregate formed from PMPC<sub>82</sub>-*b*-PChM<sub>6</sub> is close to spherical shape and the density of the aggregate is lower than that formed from PMPC<sub>82</sub>-*b*-PChM<sub>3</sub>.

Assuming that the diblock copolymers formed spherical core-shell micelle in water, the core radius ( $R_c$ ) was calculated using the core volume ( $V_c$ ) for the aggregate from the equation (4):

$$R_c = \left( \frac{3V_c}{4\pi} \right)^{1/3} = \left( \frac{3}{4\pi N_A} \left( \frac{M_{n,PChM}}{\rho_{PChM}} \right) \times N_{agg} \right)^{1/3} \quad (4)$$

where  $M_{n,PChM}$  is the number-average molecular weight of the PChM block,  $\rho_{PChM}$  is the density of the PChM block. The cholesterol bulk density (1.05 g cm<sup>-3</sup>) was used as a  $\rho_{PChM}$  value. The  $R_c$  values for PMPC<sub>82</sub>-*b*-PChM<sub>3</sub> and PMPC<sub>82</sub>-*b*-PChM<sub>6</sub> are 5.3 and 9.7 nm, respectively. The density ( $d$ ) of the aggregate was calculated using the following equation (5):

$$d = \frac{3M_w}{4\pi N_A R_h^3} \quad (5)$$

**Table 2** Dynamic and static light scattering data for PMPC<sub>82</sub>-*b*-PChM<sub>*n*</sub> in water

	$M_w \times 10^6$ (g mol <sup>-1</sup> )	$R_g$ (nm)	$R_h$ (nm)	$R_g/R_h$	$N_{agg}$	$A_2 \times 10^{-4}$ (cm <sup>3</sup> mol g <sup>-2</sup> )	$R_c$ (nm)	$d$ (g cm <sup>-3</sup> )
PMPC <sub>82</sub> - <i>b</i> -PChM <sub>3</sub>	5.81	18.5	22.8	0.81	224	4.09	5.3	0.19
PMPC <sub>82</sub> - <i>b</i> -PChM <sub>6</sub>	10.5	37.1	36.4	1.02	376	1.61	9.7	0.09

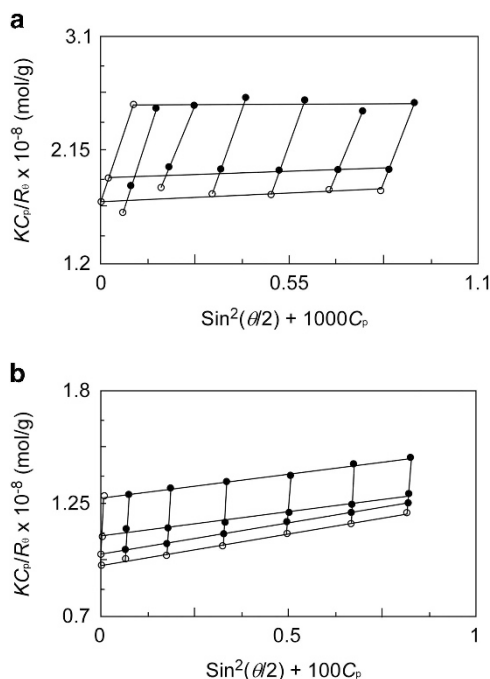
Abbreviations:  $A_2$ , the second virial coefficient;  $d$ , density of the aggregate estimated from Equation 5;  $M_w$ , weight-average molecular weight;  $N_{agg}$ , aggregation number for one aggregate;  $R_c$ , core radius estimated from Equation 4;  $R_g$ , z-average radius of gyration;  $R_h$ , hydrodynamic radius.

where  $M_w$  is the weight-average molecular weight estimated from SLS measurements. The  $d$  values are summarized in Table 2 and will be discussed along with a result of TEM data in the following section.

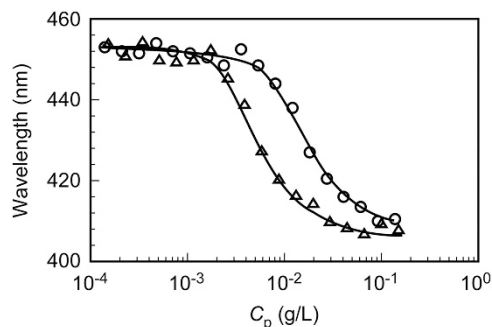
TEM observations were performed (Figure 5) to investigate the morphologies of the aggregates formed in water. Almost uniform spherical micelles, with an averaged diameter of 25 nm (estimated from TEM data), were observed for PMPC<sub>82</sub>-*b*-PChM<sub>3</sub>. The average diameter estimated from TEM results were noticed to be smaller than that estimated from DLS measurements. This is probably due to the fact that the diameter estimated from TEM data is a number-average value, whereas the  $R_h$  value is an intensity-average value. Furthermore, the samples may shrink during the preparation of the TEM sample. From sample PMPC<sub>82</sub>-*b*-PChM<sub>6</sub>, TEM observation showed a mixture of morphologies, with some spherical micelles and some rod-like micelles. From TEM data from PMPC<sub>82</sub>-*b*-PChM<sub>6</sub> aggregates, the existence number ratio of spherical and rod-like micelles was estimated to be 1:1. The average diameter for the spherical micelles is 25 nm. The minor and major axes for the rod-like micelles are 25 and 50–80 nm, respectively. The formation of the rod-like micelles could be attributed to the increased content of the hydrophobic Chol

groups in the diblock copolymer.<sup>29</sup> The rod-like micelles may be formed by intermicellar interactions between the spherical micelles, because PMPC<sub>82</sub>-*b*-PChM<sub>6</sub> micelles have less stability and lower dispersity than PMPC<sub>82</sub>-*b*-PChM<sub>3</sub> micelles. By contrast, the DLS distribution for PMPC<sub>82</sub>-*b*-PChM<sub>6</sub> is unimodal (Figure 3a) because the DLS distribution data for spherical and rod-like micelles cannot be separated as these micelles featured similar sizes. Despite a unimodal DLS distribution, the mixture morphology of spherical and rod-like micelles can be evidenced from the large polydispersity index and small  $d$  values. From DLS data, the polydispersity index values for PMPC<sub>82</sub>-*b*-PChM<sub>3</sub> and PMPC<sub>82</sub>-*b*-PChM<sub>6</sub> are 0.05 and 0.10, respectively. This observation indicated that the aggregates formed from PMPC<sub>82</sub>-*b*-PChM<sub>6</sub> were a mixture with different sizes. The  $d$  values for PMPC<sub>82</sub>-*b*-PChM<sub>3</sub> and PMPC<sub>82</sub>-*b*-PChM<sub>6</sub> are 0.19 and 0.09 g cm<sup>-3</sup>, respectively. The  $d$  value for PMPC<sub>82</sub>-*b*-PChM<sub>6</sub> suggests that the aggregate formed from PMPC<sub>82</sub>-*b*-PChM<sub>6</sub> was a mixture of spherical and low-density rod-like micelles. Furthermore, the low  $d$  value for PMPC<sub>82</sub>-*b*-PChM<sub>6</sub> may suggest an existence of low-density micelle in the aggregates.

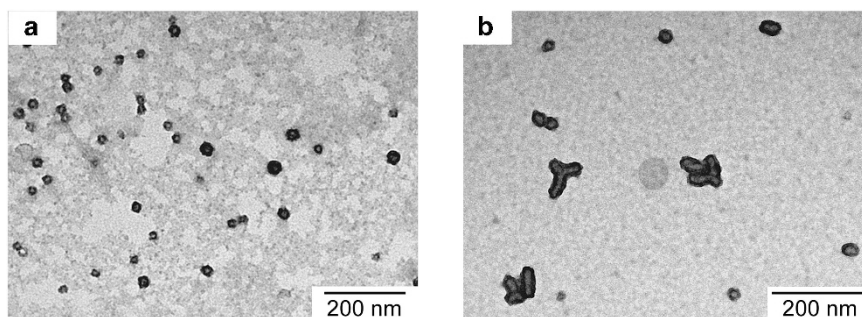
The CAC for PMPC<sub>82</sub>-*b*-PChM<sub>n</sub> was measured using a fluorescence probe technique using PNA as a hydrophobic small guest molecule. It is well known that the maximum fluorescence emission wavelength of PNA blue shifts in hydrophobic microenvironment.<sup>30,31</sup> PNA fluorescence probe experiments cannot confirm the core-shell structure that would further determine the CAC values. The PNA fluorescence experiments can only confirm the formation of hydrophobic microdomains, which can incorporate PNA molecules. If a blue shift of the maximum fluorescence wavelength of PNA is observed when increasing the  $C_p$ , then the diblock copolymer is assumed to form aggregates incorporating hydrophobic PNA molecules. Figure 6 shows the maximum fluorescence wavelength of PNA



**Figure 4** Zimm plots for (a) PMPC<sub>82</sub>-*b*-PChM<sub>3</sub> and (b) PMPC<sub>82</sub>-*b*-PChM<sub>6</sub> in water.



**Figure 6** PNA fluorescence emission maxima as a function of the polymer concentration ( $C_p$ ) for PMPC<sub>82</sub>-*b*-PChM<sub>3</sub> (○) and PMPC<sub>82</sub>-*b*-PChM<sub>6</sub> (Δ) in aqueous solutions.



**Figure 5** TEM images of (a) PMPC<sub>82</sub>-*b*-PChM<sub>3</sub> and (b) PMPC<sub>82</sub>-*b*-PChM<sub>6</sub> in water.

plotted as a function of  $C_p$ . The  $C_p$  value at which the maximum wavelength of PNA starts to blue shift was defined as CAC. The CAC values for PMPC<sub>82</sub>-*b*-PChM<sub>3</sub> and PMPC<sub>82</sub>-*b*-PChM<sub>6</sub> were observed to be  $5.38 \times 10^{-3}$  and  $1.73 \times 10^{-3} \text{ g l}^{-1}$ , respectively. That is to say the CAC value decreases when the hydrophobic Chol content in the diblock copolymer increases.

## CONCLUSION

PMPC<sub>82</sub>-*b*-PChM<sub>*n*</sub> with a well-controlled structure was prepared via reversible addition–fragmentation chain transfer controlled/living radical polymerization using PMPC<sub>82</sub> macro-CTA. To obtain aqueous solutions of PMPC<sub>82</sub>-*b*-PChM<sub>*n*</sub>, the PMPC<sub>82</sub>-*b*-PChM<sub>*n*</sub> was first dissolved in organic solvent and then dialyzed against pure water. The spherical micelles were formed from PMPC<sub>82</sub>-*b*-PChM<sub>3</sub> in water and a morphology of mixtures of spherical and rod-like micelles was formed from PMPC<sub>82</sub>-*b*-PChM<sub>6</sub> in water, as evidenced by the TEM observation results. The molecular weight ratio between hydrophilic PMPC and hydrophobic PChM is the dominant factor affecting the shape of the aggregates in water. When the Chol content in the polymer was increased, the shape of the aggregates changed from spherical to rod like. From the fluorescence probe study, it was observed that the aggregates formed from the diblock copolymer could incorporate hydrophobic small guest molecules into the hydrophobic domain, PChM blocks. The aggregates possessed a well-defined core-shell shape surrounded with biocompatible and hydrophilic PMPC shells. Therefore, it is expected that the aggregates studied can be a promising candidate for biocompatible drug delivery systems.

## ACKNOWLEDGEMENTS

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