

Acceleration Mechanism of Nucleation of Polymers by Nano-sizing of Nucleating Agent

Tsuyoshi URUSHIHARA,^{1,†} Kiyoka OKADA,² Kaori WATANABE,² Akihiko TODA,²
 Etsuo TOBITA,¹ Naoshi KAWAMOTO,¹ and Masamichi HIKOSAKA²

¹ADEKA Corporation, 5-2-13 Shirahata, Minami-ku, Saitama 336-0022, Japan

²Graduate School of Integrated Arts and Sciences, Hiroshima University,
 1-7-1 Kagamiyama, Higashihiroshima 739-8521, Japan

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ABSTRACT: Role of nucleating agent (NA) in nucleation mechanism of polymers was solved based on kinetic study. Theoretical prediction in our previous study that $I \propto C_{\text{NA}} a_{\text{NA}}^{-1}$ (1) was experimentally confirmed by changing C_{NA} and a_{NA} , where I is nucleation rate of polymers, C_{NA} is a concentration of NA in the mixture of NA and a polymer and a_{NA} is lateral size of a NA crystal. As the eq 1 is formulated by assuming an important role of epitaxy between NA and polymer crystals, the confirmation of eq 1 confirmed the essential role of the epitaxy in acceleration mechanism of nucleation of polymers. a_{NA} was decreased from the order of μm to nm and narrow distribution of a_{NA} ($f(a_{\text{NA}})$) was obtained by improving “bottom up” method. We have an important conclusion in polymer science and industries that decreasing a_{NA} from the order of μm to nm and narrowing $f(a_{\text{NA}})$ are the most effective methods to improve the performance of NA. [doi:10.1295/polymj.PJ2006040]

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Nucleating agent (NA) is widely used industrially for improving performance of semicrystalline polymer materials, such as isotactic polypropylene (iPP).^{1,2} NA accelerates nucleation rate I and improves mechanical and optical properties of polymers. Reduction of processing time is also attributed to the effect of NA. Hikosaka *et al.*³ showed that the number density of crystals of polyethylene (PE) increased by 10^4 times after adding NA, which enabled direct observation of nucleation by means of small angle X-ray scattering (SAXS). In this study sodium 2,2'-methylene-bis-(4,6-di-*t*-butylphenylene)phosphate (ADEKA Corp., NA-11) was mixed with PE. The concentration of NA was 3 wt %. Improvement of properties of polymers by NA is the most effective way, because that is achieved by adding small amount of NA without modifying processing facilities. But we have not had a criterion for designing high-performance NA, because acceleration mechanism of nucleation of polymers by NA has not been solved well based on kinetic study. Clarification of the mechanism by NA is significant and interesting for polymer science and industries.

Studies of “Epitaxy” between NA and Polymers

Most nucleation from the bulky melt is heterogeneous nucleation.⁴ We will adopt in this study one possible definition of NA that the NA is a special heterogeneity which shows significantly strong epitaxy between NA crystal and nucleus. It is to be noted that all commercial NA are made of crystalline materials.^{5–10}

Epitaxy between NA and polymer crystals was rather well structurally studied,^{11–15} but it has not been studied by kinetic study. Kawaguchi *et al.*¹⁵ showed the epitaxy between iPP and NA-11 by means of electron microscope and diffraction. They crystallized iPP crystals from the melt on a large single crystal of the NA. They showed a kind of fiber pattern of α form of iPP. The fiber axis was c axis which was parallel to the b axis of the NA crystal. Therefore a and b axes of iPP crystal were not oriented.

ΔT Dependence of I

Classical nucleation theory¹⁶ shows that I is generally expressed by

$$I = I_0 \exp\left(-\frac{\Delta G^*}{kT_c}\right) \quad (1)$$

where I_0 is a prefactor, ΔG^* is a free energy for forming a critical nucleus, k is Boltzmann constant and T_c is crystallization temperature. The ΔG^* s of the heterogeneous three dimensional (3D) and two dimensional (2D) nucleation⁴ are given by

$$\Delta G^* = \frac{16\sigma\sigma_e\Delta\sigma}{\Delta g^2} \quad \text{for } \Delta g < 2\Delta\sigma \quad (2)$$

and

$$\Delta G^* = \frac{4\sigma\sigma_e}{\Delta g - \Delta\sigma} \quad \text{for } \Delta g \geq 2\Delta\sigma, \quad (3)$$

[†]To whom correspondence should be addressed (Tel: +81-48-838-2244, Fax: +81-48-838-2250, E-mail: urushi@adeka.co.jp).

respectively, where σ and σ_e are lateral and end surface free energy, respectively, $\Delta\sigma$ is defined by

$$\Delta\sigma \equiv \sigma + \sigma_{0s} - \sigma_s \quad (4)$$

where σ_{0s} is interfacial free energy between the NA crystal and the nucleus, σ_s is surface free energy between the NA crystal and the melt, and Δg is free energy of fusion. Δg is given by

$$\Delta g = \frac{\Delta h \Delta T}{T_m^0} \quad (5)$$

where Δh is enthalpy of fusion, T_m^0 is equilibrium melting temperature, and ΔT is the degree of supercooling defined by

$$\Delta T \equiv T_m^0 - T_c. \quad (6)$$

In this paper, σ , σ_e , $\Delta\sigma$, Δg and Δh are defined per repeating unit.

All interactions between NA and polymer crystal, *i.e.*, “epitaxy,” should be reflected in I_0 and ΔG^* in eq 1. The purpose of this study is to confirm I_0 dependence of I . I_0 will be formulated in the next section. As we will focus on the important role of epitaxy in I_0 in this study, the following approximation on ΔG^* was adopted. $\Delta G^*(\Delta\sigma)$ is related to efficiency of epitaxy which is basically controlled by lattice matching of NA and polymer crystals. Important role of epitaxy in ΔG^* dependence of I on iPP with different NAs will be reported in the succeeding paper.

Eq 3 indicates that heterogeneous 2D nucleation takes place when ΔT is so large that Δg is much larger than $2\Delta\sigma$. As observed ΔT is several tens K in most polymer crystallization, the condition $\Delta g > 2\Delta\sigma$ is usually satisfied. For example, as usual range of ΔT of iPP is between 30 and 60 K, Δg becomes 6 to 12 times as large as $\Delta\sigma$.

When $\Delta g \gg \Delta\sigma$ is satisfied, we can roughly approximate the eq 3 by

$$\Delta G^* \cong \frac{4\sigma\sigma_e}{\Delta g} \quad \text{for } \Delta g \gg \Delta\sigma. \quad (7)$$

As the ΔG^* in eq 7 is not a function of $\Delta\sigma$, the epitaxy does not significantly affect the ΔG^* . Therefore it is concluded that the epitaxy of NA affect I_0 , while it does not significantly do ΔG^* . In this study approximated eq 7 is used.

Insertion of eqs 5 and 7 into eq 1 leads the final formula,

$$I \cong I_0 \exp\left(-\frac{C}{\Delta T}\right) \quad (8)$$

where

$$C = \frac{4\sigma\sigma_e T_m^0}{kT_c \Delta h}. \quad (9)$$

The Prediction of the Effect of NA on I

In our previous study,¹⁷ we predicted theoretically

$$I \propto C_{NA} a_{NA}^{-1} \quad (10)$$

where C_{NA} is a concentration of NA in the mixture of NA and polymer and a_{NA} is the longest lateral size of NA crystal. The eq 10 is formulated by assuming the important role of epitaxy in heterogeneous nucleation. In this case, I_0 in eq 8 should be in proportion to surface area of a NA crystal (A) and number density of NA crystal (ν_{NA}), *i.e.*,

$$I \propto I_0 \propto A \nu_{NA}. \quad (11)$$

When we assume that all NAs have similar shape, A is given by

$$A \propto a_{NA}^2. \quad (12)$$

ν_{NA} is defined by

$$\nu_{NA} \equiv M_{NA}/m_{NA} \quad (13)$$

where M_{NA} is total weight of NA per unit volume and m_{NA} is weight of one NA crystal. M_{NA} and m_{NA} is written as

$$M_{NA} \propto C_{NA} \quad \text{and} \quad m_{NA} \propto a_{NA}^3. \quad (14)$$

From the eqs 13 and 14, ν_{NA} is given by

$$\nu_{NA} \propto C_{NA}/a_{NA}^3. \quad (15)$$

Substituting eqs 12 and 15 into eq 11 leads eq 10.

The purpose of this study is to confirm the prediction of eq 10 by controlling a_{NA} from the order of nm to μm , which clarifies the assumption that the important role of epitaxy in heterogeneous nucleation. When a_{NA} is smaller (larger) than $0.1 \mu\text{m}$, the NA is named “nano NA” (“macro NA”) in this study.

High-Performance NA for Polymers

Eq 10 predicts that

$$I \propto a_{NA}^{-1} \quad \text{for } C_{NA} = \text{const.}, \quad (16)$$

i.e., the ability of NA increases in proportion to a_{NA}^{-1} . Therefore the ability of conventional NA for iPP is inefficient, because a_{NA} is in order μm .¹⁸ If a_{NA} could be decreased from $1 \mu\text{m}$ to 1 nm , it is predicted that I of iPP mixed with nano NA ($I(\text{nano NA})$) ($a_{NA} = 1 \text{ nm}$) will become 10^3 times as large as that mixed with macro NA ($I(\text{macro NA})$) ($a_{NA} = 1 \mu\text{m}$). The third purpose of this study is to develop significantly high-performance NA by producing nano-sized NA with sharp distribution.

Nano NA

Nano-sized crystal is usually crystallized by “bottom up” method,¹⁹ which means making crystals by crystallizing atoms or molecules from isotropic phase such as gas, solution or the melt. Two typical types of

“bottom up” methods, spray drying and solution crystallization methods, were adopted in this study.

Spray Drying Method. Spray drying method is widely used for the particle production in the various industries such as chemicals, foods and medicines. Spray drying method can produce particles whose size is from the order of nm to μm by controlling concentration of solution or droplet size.²⁰

Solution Crystallization Method. Solution crystallization can widely control a_{NA} from the order of nm to μm by controlling degree of supersaturation or diffusion of solutes.

Macro NA

Commercial NA is usually made of sufficiently large crystal by “top down” method. Top down method is usually mechanical grinding such as ball mill, jet mill and so on. The range of a_{NA} of commercial NA is between several sub μm and several tens μm . It is difficult to decrease a_{NA} smaller than 0.1 μm , i.e. nano NA is difficult to be obtained.

Purpose

The purposes of this study are 1) to confirm the prediction experimentally in the previous study¹⁷ that the nucleation rate I of polymers obeys the formula $I \propto C_{\text{NA}} a_{\text{NA}}^{-1}$ (eq 1) by controlling lateral size of NA a_{NA} from the order of nm to μm , 2) to confirm the important role of epitaxy in acceleration mechanism of nucleation of polymers and 3) to develop significantly high performance NA by making nano-sized NA with sharp distribution of a_{NA} , $f(a_{\text{NA}})$.

EXPERIMENTAL

Materials

Typical NA of sodium 2,2'-methylene-bis-(4,6-di-*t*-butylphenylene)phosphate (ADEKA Corp., NA-11)

Table I. Parameters of iPP

$\Delta\sigma/J\text{rep.u.}^{-1}$	1.71×10^{-22} ²¹
$\Delta h/J\text{rep.u.}^{-1}$	1.53×10^{-20} ²²
T_m^0/K	455.8 ^{23–25}

was used. Hereafter this crystalline material will be simply named “NA”. Unit cell structure of NA belongs monoclinic system¹⁵ which we will name α form. Lattice parameters are $a = 2.6438\text{ nm}$, $b = 0.608\text{ nm}$, $c = 3.7172\text{ nm}$, $\beta = 93.65^\circ$.¹⁵ As the most typical polymer, iPP ($M_n = 6.4 \times 10^4$, $M_w = 3.0 \times 10^5$, $M_w/M_n = 4.6$ and $[\text{mmmm}] = 97\%$) was used. Parameters of iPP crystals used in this study are shown in Table I.^{21–25} Repeating unit of iPP was assumed that the direction of the folding is along (110).

Preparation of NA

a_{NA} was controlled by applying the following methods. Names of NA were listed in Table II.

Bottom Up Method 1 (Spray Drying Method). Figure 1 shows schematic illustration of spray drying method. Methanol solution of NA was sprayed into a tube by coaxial atomizing nozzle (Spraying Systems Co., 1/4JAU with PF1050 fluid cap and PA64 air cap). As the temperature in the tube was kept at 70 °C and droplets were readily vaporized, NA crystallized from the solution during drying due to increase of the concentration of NA in the mixture of NA and MeOH ($C_{\text{NA/MeOH}}$). Assuming that one single crystal generates from one droplet, a_{NA} is given by

$$a_{\text{NA}} = \left(\frac{\rho_{\text{MeOH}} C_{\text{NA/MeOH}}}{\rho_{\text{NA}}} \right)^{\frac{1}{3}} x \quad (17)$$

where ρ_{MeOH} , ρ_{NA} and x represent density of methanol (MeOH) and NA and droplet size, respectively. a_{NA} can be decreased from the order of μm to nm by decreasing $C_{\text{NA/MeOH}}$ and/or x . In the coaxial atomizing

Table II. Name and characteristics of NA prepared by various methods

Method	Name of NA	$\overline{a_{NA}}/\text{nm}$	$s^{\text{b)}}$	Comments	
Bottom up 1	Spray drying	Nano NA-1	26 ^{a)}	0.73	$C_{\text{NA/MeOH}} = 10^{-5} \text{ wt } \%$
		Nano NA-2	53 ^{a)}	0.8	$C_{\text{NA/MeOH}} = 10^{-4} \text{ wt } \%$
		Macro NA-1	$1.5 \times 10^2 \text{ a)}$	0.33	$C_{\text{NA/MeOH}} = 10^{-2} \text{ wt } \%$
		Macro NA-2	$4.5 \times 10^3 \text{ c)}$	0.44	$C_{\text{NA/MeOH}} = 34 \text{ wt } \%$
Bottom up 2	Solution crystallization	Nano NA-3	5 ^{d)}	—	$C_{\text{NA}/(n\text{-BuOH}+\text{xylene})} = 0.38 \text{ wt } \%$ $n\text{-BuOH}/\text{xylene} = 7/19(\text{w/w})$
		Macro NA-3	$4.1 \times 10^4 \text{ a)}$	2.7	$C_{\text{NA}/(n\text{-BuOH}+\text{xylene})} = 0.61 \text{ wt } \%$ $n\text{-BuOH}/\text{xylene} = 7/19(\text{w/w})$
Top down	Ball mill	Macro NA-4	$2.3 \times 10^2 \text{ a)}$	2.3	
		Macro NA-5	$7.9 \times 10^2 \text{ a)}$	1.5	
	Nanomizer	Macro NA-6	$3.9 \times 10^2 \text{ a)}$	2.1	
	Jet mill	Macro NA-7	$1.4 \times 10^3 \text{ a)}$	1.3	

a) Measured by means of SEM. b) Degree of deviation, defined by eq 24. c) Measured by means of OM. d) Measured by WAXS.

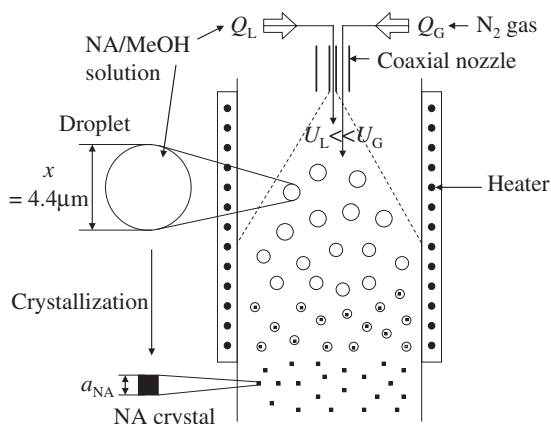


Figure 1. Schematic illustration of crystallization process of NA from NA/MeOH solution by spray drying method. $x = 4.4 \mu\text{m}$.

nozzle system,²⁶ a droplet size x is expressed by

$$x = \frac{c_1}{U_G - U_L} + c_2 \left(\frac{Q_L}{Q_G} \right)^{1.5} \quad (18)$$

where c_1 and c_2 are constants, U_G and U_L are the flow velocity of gas and liquid, and Q_L and Q_G are the mass flow of liquid and gas, respectively.

In this study x was fixed by selecting the following conditions,

$$Q_G \gg Q_L \quad \text{and} \quad U_G \gg U_L. \quad (19)$$

Therefore x is approximated by

$$x \cong \frac{c_1}{U_G}. \quad (20)$$

As $U_G = 340 \text{ ms}^{-1}$, $U_L = 2 \text{ ms}^{-1}$, $Q_G = 3.4 \times 10^{-4} \text{ m}^3 \text{ s}^{-1}$ and $Q_L = 3.4 \times 10^{-7} \text{ m}^3 \text{ s}^{-1}$ were fixed in this study, and x became $4.4 \mu\text{m}$. It will be shown that nano or macro NAs will be crystallized by controlling $C_{\text{NA/MeOH}}$. Typical $C_{\text{NA/MeOH}}$ for formation of nano NAs (named nano NA-1 and nano NA-2) and that of macro NAs (named macro NA-1 and macro NA-2) will be listed in Table II.

Bottom Up Method 2 (Solution Crystallization Method). NAs were crystallized from the solution of NA, *n*-butyl alcohol (*n*-BuOH) and xylene. The ratio of weight of *n*-BuOH and xylene was 7:19. Xylene was mixed to suppress diffusion of NA molecules. In the solution crystallization,²⁷ driving force of crystallization is free energy of dissolution (Δg) given by

$$\begin{aligned} \Delta g &= kT_c \ln \left(\frac{C_{\text{NA}/(n\text{-BuOH}+\text{xylene})}}{C_e} \right) \\ &= kT_c \ln(1 + \Sigma) \end{aligned} \quad (21)$$

where $C_{\text{NA}/(n\text{-BuOH}+\text{xylene})}$ is concentration of NA in the solution of NA, *n*-BuOH and xylene, C_e is saturated concentration of NA in the solution and Σ is degree of supersaturation defined by

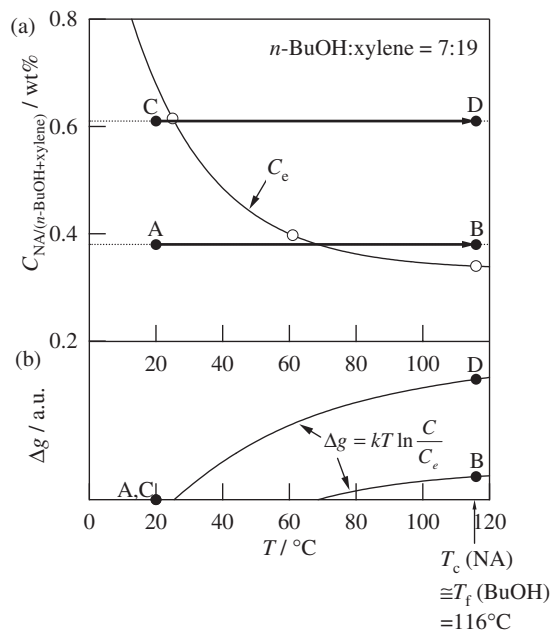


Figure 2. (a) T dependence of C_e and crystallization processes in solution crystallization method, a $A \rightarrow B$ of nano NA (nano NA-3) at $C_{\text{NA}/(n\text{-BuOH}+\text{xylene})} = 0.38 \text{ wt}\%$ and a $C \rightarrow D$ of macro NA (macro NA-3) at $C_{\text{NA}/(n\text{-BuOH}+\text{xylene})} = 0.61 \text{ wt}\%$. (b) T dependence of Δg .

$$\Sigma \equiv \frac{C_{\text{NA}/(n\text{-BuOH}+\text{xylene})} - C_e}{C_e}. \quad (22)$$

Observed C_e was plotted in Figure 2a. Δg is schematically illustrated in Figure 2b. a_{NA} decreased by decreasing $C_{\text{NA}/(n\text{-BuOH}+\text{xylene})}$. It will be shown that nano NA (named nano NA-3) or macro NA (macro NA-3) will be crystallized by increasing temperature T from room temperature T_R to $T_c = 116^\circ\text{C}$, when $C_{\text{NA}/(n\text{-BuOH}+\text{xylene})}$ will be controlled to 0.38 wt % or 0.61 wt %. The crystallization processes were indicated by $A \rightarrow B$ and $C \rightarrow D$ in Figure 2a and 2b, respectively. The macro NA (macro NA-3) was filtered at $T_c = 116^\circ\text{C}$ and then dried, while a_{NA} of nano NA will be shown to be too small to be filtered. Therefore nano NA was filtered and dried after coating by iPP crystals. For the coating, solution of iPP and xylene was added at $T_c = 116^\circ\text{C}$ and then quenched to T_R .

Top Down Method (Mechanical Grinding Method). Large macro NA (macro NA-3) crystallized from solution was grinded by using conventional ball mill, jet mill (SEISHIN ENTERPRISE Co., Ltd., Co-JET System α -mkIII) or nanomizer (Nanomizer Co., Ltd., LA-31NS). It will be shown that only macro NAs (named macro NA-4 ~ 7) will be obtained by grinding.

Uniform Mixing of NA and iPP

NA was uniformly mixed with solution of iPP and xylene at $T = 130^\circ\text{C}$. The suspension was quenched to T_R , and dried.

Measurements

Morphology of NA. Morphology of NA was observed by optical microscope (OM), OLYMPUS BX-51, and scanning electron microscope (SEM), Topcon SM-520.

Crystallization of iPP. Crystallization behaviors of iPP with NA were observed by using OM, OLYMPUS BX-51, with hotstage, LINKAM LK-600PM, under nitrogen flow of 50 mL/min. Temperature of hotstage was calibrated by using melting temperature of standard materials, indium (156.6 °C) and tin (232 °C). Sample was once melted at 210 °C for 1 min, and crystallized in the range of $T_c = 135\text{--}158\text{ }^\circ\text{C}$. I was evaluated from time t dependence of the number density of iPP crystals larger than 2 μm in lateral size.

Unit Cell Structures of NA and iPP Crystals Mixed with Nano NA. Wide angle X-ray scattering (WAXS) measurement was conducted with Rigaku's RINT 2100 X-ray generator. The X-ray beam was Cu K α radiation ($\lambda = 1.5418\text{ \AA}$) from a rotating anode generator. The beam was monochromatized using a graphite crystal and focused by pin hole collimator ($\phi 0.3\text{ mm}$). The X-ray diffraction pattern was recorded on the imaging plate (Fuji Photo Film Co., Ltd, FDL-IP UR-V) which was read by imaging plate reader (Rigaku Denki Co., Ltd, R-AXIS DS3). The obtained image was analyzed by using the software (Rigaku Denki Co., Ltd, R-AXIS Display). After subtraction of background scattering from the air and amorphous of iPP, scattering intensity $I_x(q)$ was obtained, where q is wave vector.

Mean Lateral Size $\overline{a_{\text{NA}}}$ of NA Crystals. a_{NA} and distribution of a_{NA} ($f(a_{\text{NA}})$) were measured by means of SEM, OM or WAXS. Mean lateral size ($\overline{a_{\text{NA}}}$) is defined by

$$\overline{a_{\text{NA}}} \equiv \frac{\int a_{\text{NA}} f(a_{\text{NA}}) da_{\text{NA}}}{\int f(a_{\text{NA}}) da_{\text{NA}}} \quad (23)$$

Degree of deviation (s) of a_{NA} is defined by

$$s \equiv \frac{a_{\text{max}} - \overline{a_{\text{NA}}}}{\overline{a_{\text{NA}}}} \quad (24)$$

where a_{max} is the value of a_{NA} which satisfies following relation,

$$\int_{a_{\text{max}}}^{\infty} f(a_{\text{NA}}) da_{\text{NA}} / \int_0^{\infty} f(a_{\text{NA}}) da_{\text{NA}} \geq 1\%. \quad (25)$$

It will be shown that observation of a_{NA} of nano NA-3 by means of SEM or OM will be impossible. Therefore $\overline{a_{\text{NA}}}$ was obtained by WAXS by using Sherrer's method,²⁸

$$\overline{a_{\text{NA}}} = \frac{0.9\lambda}{B \cos \left\{ \sin^{-1} \left(\frac{q\lambda}{4\pi} \right) \right\}} \quad (26)$$

where B is the net half width of a reflection given by

$$B = (B_{\text{obs}}^2 - B_s^2)^{\frac{1}{2}} \quad (27)$$

where B_{obs} and B_s are the half width of reflection of the sample and collimator system, respectively. B_s was determined by the reflection of the powder of lithium fluoride macroscopic crystals.

C_{NA} . C_{NA} of nano NA-3 was determined from $I_x(q)$ s of NA and iPP crystals. C_{NA} is given by eq A.3,

$$C_{\text{NA}} = \frac{1}{\gamma \frac{I_x(\text{iPP})_{\text{NA/iPP}}}{I_x(\text{NA})_{\text{NA/iPP}}} + 1} \quad (28)$$

where $I_x(\text{iPP})_{\text{NA/iPP}}$ and $I_x(\text{NA})_{\text{NA/iPP}}$ are $I_x(q)$ of 110 of iPP and 200 of NA in the mixture of nano NA-3 and iPP. γ is shown in eq A.5.

RESULTS AND DISCUSSION

Size and Morphology of NA

Bottom Up Method 1 (Spray Drying Method). a_{NA} observed by means of SEM or OM apparently increased from the order of 10 nm to 1 μm with increase of $C_{\text{NA/MeOH}}$ from 10^{-5} to 34 wt %, that showed formation of nano NA (nano NA-1) and macro NA (macro NA-2) as shown in Figure 3. The nano and macro NAs showed square plate like morphology. $\overline{a_{\text{NA}}}$ of them obtained from eq 23 were 26 nm and 4.5 μm , respectively. $f(a_{\text{NA}})$ of them were $s = 0.73$ and 0.44 as shown in Figure 3c and 3d, which showed much narrower distribution than that prepared by "top down" method which will be shown in Figure 7c and 7d.

$\overline{a_{\text{NA}}}$ was plotted against $C_{\text{NA/MeOH}}$ in Figure 4. The plots well fitted a straight line and the slope was 1/3. Therefore eq 17 was confirmed experimentally.

Bottom Up Method 2 (Solution Crystallization Method). When $C_{\text{NA/(n-BuOH+xylene)}}$ was increased from 0.38 to 0.61 wt %, formation of nano NA (nano NA-3) and macro NA (macro NA-3) were confirmed by means of WAXS and SEM, respectively, as shown below. When the nano NA was mixed with iPP, any NAs could not be detected within the melt of iPP by means of OM at $T = 210\text{ }^\circ\text{C}$ as shown in Figure 5a, which indicates that the a_{NA} should be less than 0.1 μm in order. Therefore $\overline{a_{\text{NA}}}$ of nano NA-3 was obtained by WAXS applying eq 26 from half width (B_{obs}) of 200 reflection as indicated in Figure 6a. The $\overline{a_{\text{NA}}}$ was as small as 5 nm.

Morphology and $f(a_{\text{NA}})$ of macro NA were thin long plate like and broad as shown in Figure 5b and

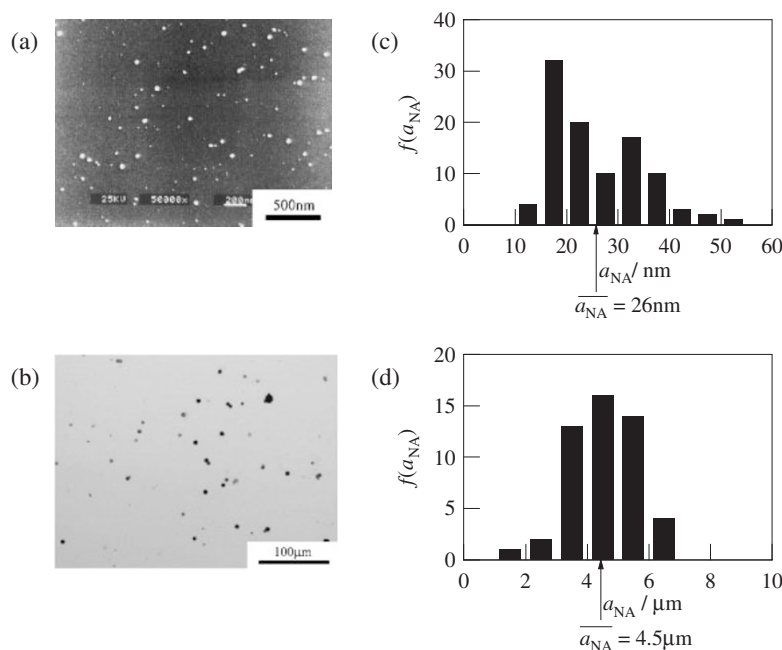


Figure 3. Morphology and $f(a_{NA})$ of nano NA (nano NA-1) and macro NA (macro NA-2) crystallized by spray drying method. $U_G = 340 \text{ ms}^{-1}$, $U_L = 2 \text{ ms}^{-1}$, $Q_G = 3.4 \times 10^{-4} \text{ m}^3 \text{ s}^{-1}$ and $Q_L = 3.4 \times 10^{-7} \text{ m}^3 \text{ s}^{-1}$ were fixed. Nano NA and macro NA were crystallized by controlling $C_{NA/MeOH} = 10^{-5}$ and 34 wt %, respectively. (a) Typical scanning electron micrograph of nano NA. (b) Typical optical micrograph of macro NA. (c) $f(a_{NA})$ and $\overline{a_{NA}}$ of nano NA. (d) $f(a_{NA})$ and $\overline{a_{NA}}$ of macro NA.

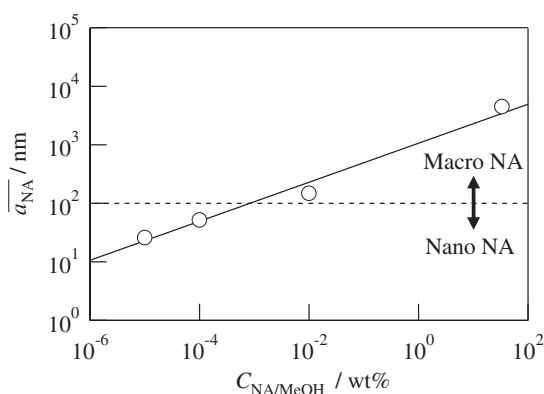


Figure 4. Plots of $\overline{a_{NA}}$ of nano and macro NAs (nano NA-1, nano NA-2, macro NA-1 and macro NA-2) crystallized by spray drying method against $C_{NA/MeOH}$. s was 4.4 μm .

5c, respectively. $\overline{a_{NA}} = 41 \mu\text{m}$ was obtained. Aspect ratio between length and width was as large as 20–40.

Top Down Method (Mechanical Grinding Method). Only macro NAs (named macro NA-4 ~ 7) were obtained, *i.e.*, nano NAs were hardly obtained, by grinding large macro NA single crystals (macro NA-3). This is shown in Table II. Figure 7a and 7b show typical SEM photographs of them (macro NA-4 and macro NA-5). $f(a_{NA})$ of them showed broad distribution as shown in Figure 7c and 7d, and $s = 2.3$ and 1.5, respectively. Therefore it is concluded that the $f(a_{NA})$ prepared by top down method was much broader than that of NAs crystallized by bottom up method ($s = 0.33$ –0.8) as shown in Table II. $\overline{a_{NA}}$ was from the order of sub μm to μm . It is to be noted that morphology

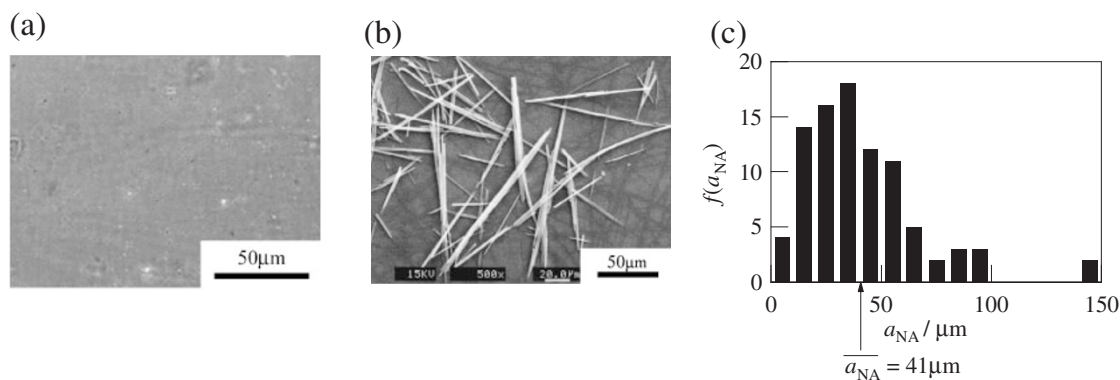


Figure 5. Morphologies and $f(a_{NA})$ s of nano NA (nano NA-3, $C_{NA/(n\text{-BuOH}+\text{xylene})} = 0.38 \text{ wt } \%$) and macro NA (macro NA-3, $C_{NA/(n\text{-BuOH}+\text{xylene})} = 0.61 \text{ wt } \%$) crystallized by solution crystallization method. (a) Typical optical micrograph of iPP with nano NA was observed in the melt of iPP at $T = 210^\circ\text{C}$. (b) Typical scanning electron micrograph of macro NA and (c) $f(a_{NA})$ and $\overline{a_{NA}}$ of macro NA.

of macro NAs was rectangular shape with smooth facet. Aspect ratio was about 1 to 8, which was 1/10 in order as small as that of large macro NA. This indicates that the long NA single crystals were easily broken by grinding perpendicular to the long axis and cleaved along the long axis showing smooth facet by grinding. Therefore epitaxial lattice planes of macro NA single crystals should be conserved.

Unit Cell Structures of Nano NA and iPP Crystals. Unit cell structure of nano NA (nano NA-3) was determined as α form¹⁵ by WAXS, because the diffraction pattern of nano NA was the same as that of macro NA (macro NA-4) in the range of $q = 0.3$ to 0.7 \AA^{-1} , which are shown in Figure 6a and 6b. Magnified diffraction pattern is shown at the upper left in Figure 6a. Unit cell structure of iPP mixed with nano NA was α form, because the diffraction pattern was the same as that of α form crystals of iPP in the range of $q = 0.7$ to 1.8 \AA^{-1} , which is shown in Figure 6a and 6c. The additional reflections were attributed to those of NA.

C_{NA} of Nano NA (Solution Crystallization Method). C_{NA} of iPP mixed with nano NA (nano NA-3) was determined by WAXS applying eq 28. $I_x(q)$ s of 200 of NA and 110 of iPP ($I_x(NA)_{NA/iPP}$ and $I_x(iPP)_{NA/iPP}$, respectively) were obtained from hatched area in Figure 6a. $C_{NA} = 1.4 \text{ wt \%}$ was obtained.

Crystallization Behaviors of iPP Mixed with Nano and Macro NAs

$I(\text{nano NA})$ and $I(\text{macro NA})$ were compared by means of OM for the same C_{NA} and ΔT , $C_{NA} = 1.4$

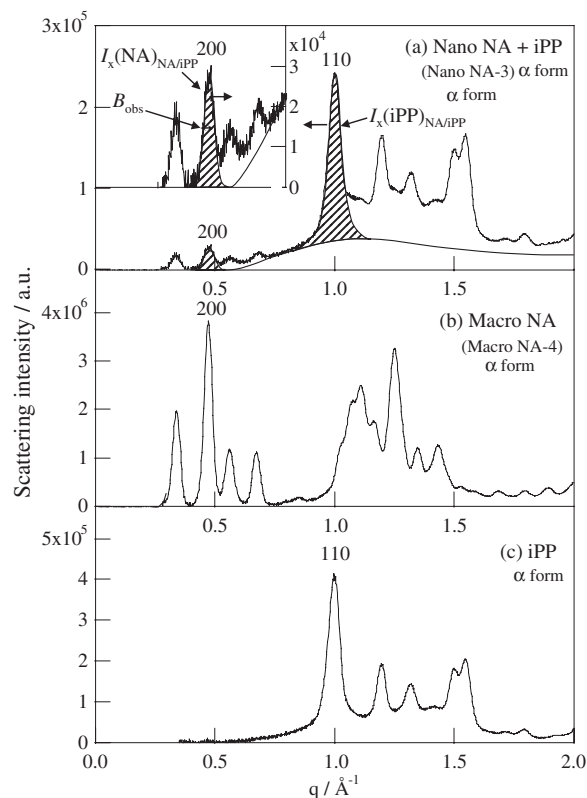


Figure 6. WAXS diffraction patterns at T_R of (a) nano NA (nano NA-3) in the mixture of NA and iPP, (b) α form of macro NA (macro NA-4) and (c) α form of iPP. The hatched reflections were analyzed to determine $\overline{a_{NA}}$ and C_{NA} .

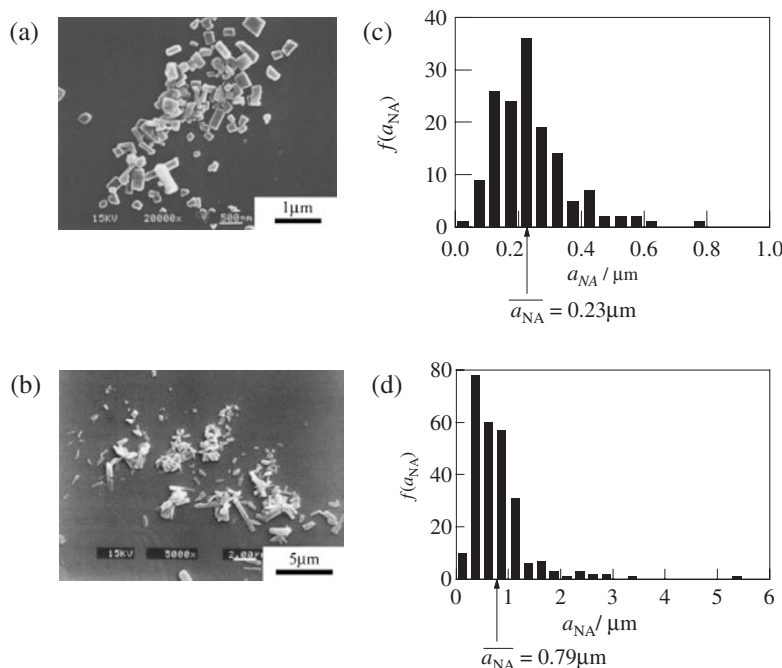


Figure 7. Morphologies and $f(a_{NA})$ of macro NAs prepared by top down method. Scanning electron micrographs of (a) macro NA-4 and (b) macro NA-5, and $f(a_{NA})$ and $\overline{a_{NA}}$ of (c) macro NA-4 and (d) macro NA-5.

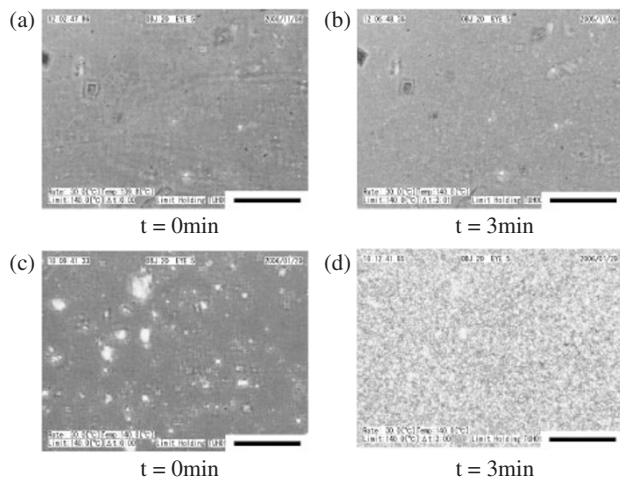


Figure 8. Typical isothermal crystallization behaviors of iPP mixed with the same $C_{NA} = 1.4$ wt%. (a) and (b) show before and after crystallization of nano NA (nano NA-3) and (c) and (d) do that of macro NA (macro NA-4) at $T_c = 138.8$ °C. t indicates crystallization time. Scale bar is $50\mu\text{m}$.

wt % and $\Delta T = 43.8$ K ($T_c = 138.8$ °C) as shown in Figure 8. In the case of crystallization of iPP mixed with nano NA (nano NA-3), OM photograph of after completion of crystallization ($t = 3$ min) shown in Figure 8b did not change in comparison with that of supercooled melt ($t = 0$ min) shown in Figure 8a. This indicates that the size of iPP crystals a should be smaller than the wave length of light λ ($\lambda = 0.1$ μm in order). Details of the crystallization behavior will be reported in the subsequent paper. In the case of iPP mixed with macro NA (macro NA-4), obvious difference was observed in OM photographs in Figure 8c and 8d, which shows before and after completion of crystallization. The size of iPP crystals was larger than μm in order. Therefore it is concluded qualitatively that

$$I(\text{nano NA}) \gg I(\text{macro NA}). \quad (29)$$

C_{NA} Dependence of I_0

The above conclusion of eq 29, *i.e.*, $I(\text{nano NA}) \gg I(\text{macro NA})$, was quantitatively confirmed. $\log I$ of iPP mixed with nano or macro NAs (nano NA-3 or macro NA-4, respectively) was plotted against ΔT^{-1} as a parameter of C_{NA} in Figure 9. Upper horizontal axis indicates T_c . All plots fitted straight lines. As all slopes of the lines were almost the same, averaged slope was applied to all the fitted lines. I_0 was obtained from an intercept of the vertical axis. The lines shifted to upward at a ΔT^{-1} with increase of C_{NA} for a constant $\overline{a_{NA}}$.

$\log I_0$ s were plotted against C_{NA} in Figure 10 for nano and macro NAs (nano NA-3 and macro NA-4). Both plots well fitted straight lines and the slopes of

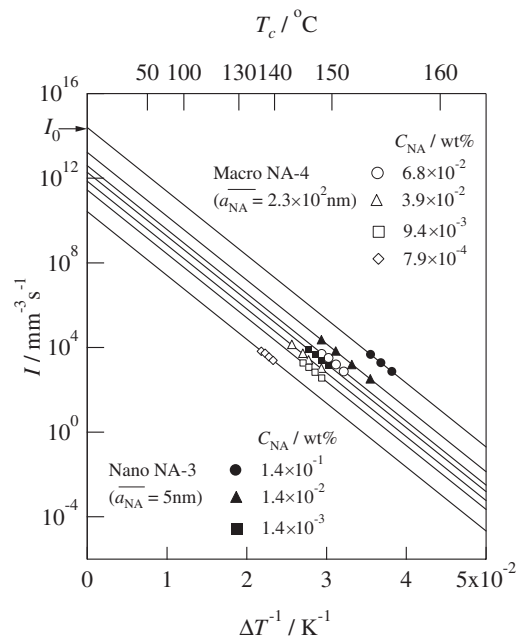


Figure 9. Plots of I_s against ΔT^{-1} as a parameter of C_{NA} of iPP mixed with nano NA (nano NA-3, $\overline{a_{NA}} = 5$ nm) and macro NA (macro NA-4, $\overline{a_{NA}} = 2.3 \times 10^2$ nm). Upper axis indicates T_c .

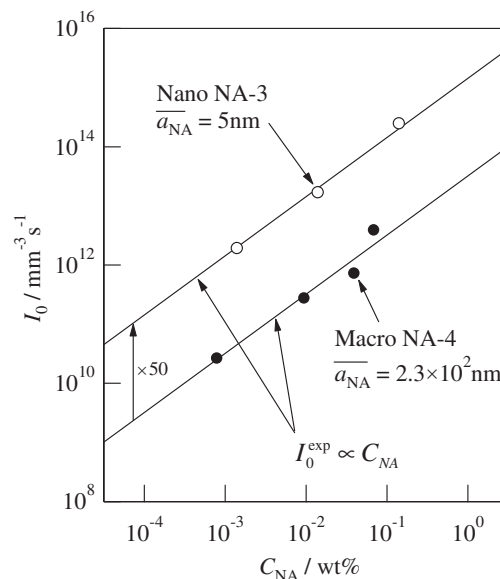


Figure 10. Plots of I_0 s against C_{NA} of iPP mixed with nano NA (nano NA-3) and macro NA (macro NA-4). Experimental formula, $I_0^{\text{exp}} \propto C_{NA}$ was obtained.

them were 1. Therefore experimental formula of I_0 (I_0^{exp}),

$$I_0^{\text{exp}} \propto C_{NA} \quad (30)$$

was obtained. This satisfies eq 10. I_0 of iPP mixed with nano NA ($I_0(\text{nano NA-3})$) was 50 times as large as that mixed with macro NA ($I_0(\text{macro NA-4})$) for the same C_{NA} . As $\overline{a_{NA}}$ of macro NA ($\overline{a_{NA}}(\text{macro NA-4})$) was 50 times as large as that of nano NA

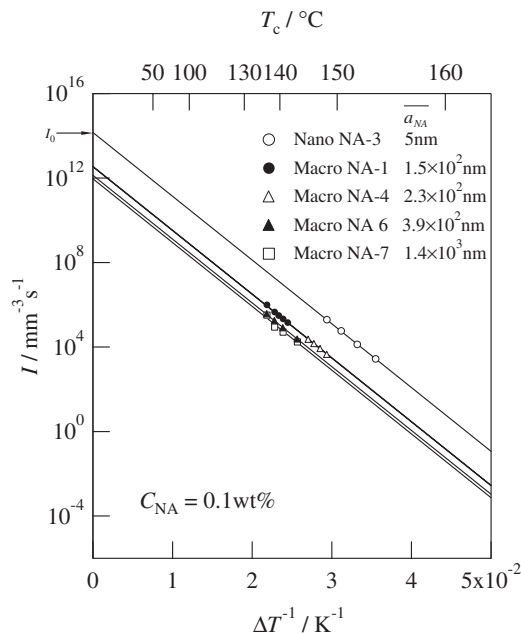


Figure 11. Plots of I_0 s against ΔT^{-1} for $C_{\text{NA}} = 0.1 \text{ wt \%}$ as a parameter of $\overline{a_{\text{NA}}}$. Nano NA (nano NA-3) and macro NAs (macro NA-1, macro NA-4, macro NA-6 and macro NA-7) were used. Upper axis indicates T_c .

($\overline{a_{\text{NA}}}(\text{nano NA-3})$), we have

$$\begin{aligned} I_0(\text{nano NA-3})/I_0(\text{macro NA-4}) \\ = \overline{a_{\text{NA}}}(\text{macro NA-4})/\overline{a_{\text{NA}}}(\text{nano NA-3}) = 50. \end{aligned} \quad (31)$$

Thus we have experimental relationship $I_0 \propto \overline{a_{\text{NA}}}^{-1}$, which satisfies eq 10.

It was reported by part of present authors (Hikosaka *et al.*) that I of PE mixed with 3 wt % NA is ten thousand times as large as I of PE without mixing NA.³ Therefore the proposed relationship in eq 10 is expected to be valid for any polymers. The universality of eq 10 for any NAs will be soon reported in our succeeding paper by showing increase of I of iPP mixed with three NAs.

a_{NA} Dependence of I_0 . $\log I$ was plotted against ΔT^{-1} as a parameter of $\overline{a_{\text{NA}}}$ for $C_{\text{NA}} = 0.1 \text{ wt \%}$ in Figure 11. Upper horizontal axis indicates T_c . The plots well fitted straight lines. As all fitted lines were nearly parallel, averaged slope was applied. I_0 was obtained from an intercept of the vertical axis. As the lines shifted upward at a ΔT^{-1} with decrease of $\overline{a_{\text{NA}}}$ for a constant C_{NA} , I_0 increased with decrease of $\overline{a_{\text{NA}}}$.

$\log I_0$ was plotted against $\overline{a_{\text{NA}}}$ for $C_{\text{NA}} = 0.1 \text{ wt \%}$ in Figure 12. The plots well fitted a straight line and the slope of the line was -1 . Experimental formula of I_0^{exp} ,

$$I_0^{\text{exp}} \propto \overline{a_{\text{NA}}}^{-1} \quad (32)$$

was obtained. This formula satisfies the eq 10. A typical example shows in Figure 12A and 12B that

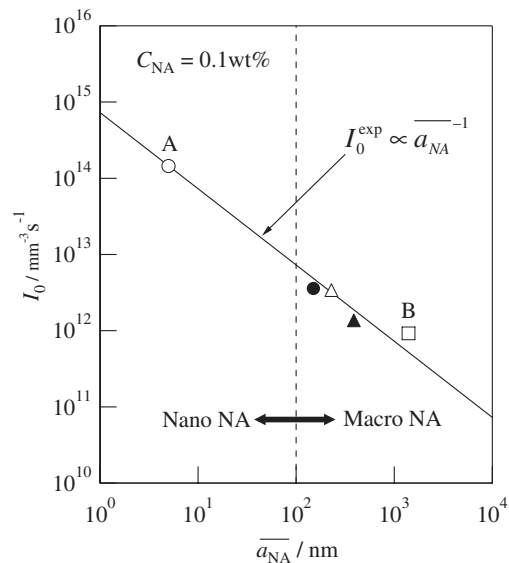


Figure 12. Plots of I_0 s against $\overline{a_{\text{NA}}}$ for $C_{\text{NA}} = 0.1 \text{ wt \%}$. Nano NA (nano NA-3) and macro NAs (macro NA-1, macro NA-4, macro NA-6 and macro NA-7) were used. Experimental formula, $I_0^{\text{exp}} \propto \overline{a_{\text{NA}}}^{-1}$ was obtained.

$I_0(\text{nano NA-3})$ ($\overline{a_{\text{NA}}} = 5 \text{ nm}$) was 300 times as large as $I_0(\text{macro NA-7})$ ($\overline{a_{\text{NA}}} = 1.4 \mu\text{m}$).

Confirmation of the Prediction

Combination of experimental formulae of I_0^{exp} , eqs 30 and 32 gives

$$I_0^{\text{exp}} \propto \frac{C_{\text{NA}}}{\overline{a_{\text{NA}}}}. \quad (33)$$

Therefore the prediction of eq 10 was experimentally confirmed. I_0 of iPP mixed with nano NA ($\overline{a_{\text{NA}}} = 5 \text{ nm}$) was 50 to 10^3 times as large as that mixed with conventional commercial NA ($\overline{a_{\text{NA}}} = 0.2\text{--}5 \mu\text{m}$). Therefore nano-sizing of NA is significantly effective method to improve the nucleating ability of NA.

CONCLUSIONS

1. Theoretical prediction proposed in our previous study³ that $I \propto C_{\text{NA}} \overline{a_{\text{NA}}}^{-1}$ was experimentally confirmed on iPP, where I is nucleation rate of polymer crystals, C_{NA} is concentration of NA in the mixture of NA and polymers and $\overline{a_{\text{NA}}}$ is the longest lateral size of NA crystal. $\overline{a_{\text{NA}}}$ and C_{NA} were changed in order from nm to μm and from 10^{-3} to 1 wt %, respectively. The above confirmation clarified our proposal that epitaxy between NA and polymer crystals takes the most important role in acceleration mechanism of nucleation of polymers.

2. Decreasing $\overline{a_{\text{NA}}}$ from μm to nm in order and narrowing distribution of $\overline{a_{\text{NA}}}$ $f(\overline{a_{\text{NA}}})$ are effective methods to develop high-performance NA, which is an important guidance to polymer industry.

3. Nano-sized NA with sharp distribution could be obtained by bottom up methods, but could not be done by top down methods. Therefore it is concluded that bottom up method is the best method to making nano-sized NA with sharp $f(a_{\text{NA}})$.

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APPENDIX

Determination of C_{NA} by WAXS

C_{NA} is defined by

$$C_{\text{NA}} \equiv \frac{W(\text{NA})_{\text{NA/iPP}}}{W(\text{NA})_{\text{NA/iPP}} + W(\text{iPP})_{\text{NA/iPP}}}, \quad (\text{A.1})$$

where $W(\text{NA})_{\text{NA/iPP}}$ and $W(\text{iPP})_{\text{NA/iPP}}$ are weight of NA and iPP in mixture of NA and iPP where X-ray irradiated. They are estimated from scattering intensity of NA and iPP, $I_{\text{x}}(\text{NA})_{\text{NA/iPP}}$ and $I_{\text{x}}(\text{iPP})_{\text{NA/iPP}}$,

$$W(\text{NA})_{\text{NA/iPP}} = \alpha I_{\text{x}}(\text{NA})_{\text{NA/iPP}}$$

and

$$W(\text{iPP})_{\text{NA/iPP}} = \beta I_{\text{x}}(\text{iPP})_{\text{NA/iPP}} \quad (\text{A.2})$$

where α and β are constants. Combination of (A.1) and (A.2) gives,

$$C_{\text{NA}} = \frac{1}{\gamma \frac{I_{\text{x}}(\text{iPP})_{\text{NA/iPP}}}{I_{\text{x}}(\text{NA})_{\text{NA/iPP}}} + 1} \quad (\text{A.3})$$

where $\gamma = \beta/\alpha$. As the scattering intensity of NA and iPP ($I_{\text{x}}(\text{NA})$ and $I_{\text{x}}(\text{iPP})$) and the weight of NA and iPP ($W(\text{NA})$ and $W(\text{iPP})$) where X-ray irradiated satisfy the same equation as eq A.2,

$$\frac{I_{\text{x}}(\text{NA})}{I_{\text{x}}(\text{iPP})} = \frac{\frac{1}{\alpha} W(\text{NA})}{\frac{1}{\beta} W(\text{iPP})} \equiv \gamma \frac{W(\text{NA})}{W(\text{iPP})} \quad (\text{A.4})$$

is obtained. Thus we have

$$\gamma = \frac{I_{\text{x}}(\text{NA})}{I_{\text{x}}(\text{iPP})} \frac{W(\text{iPP})}{W(\text{NA})}. \quad (\text{A.5})$$