

Synthesis and Chiroptical Properties of (*S*)-(–)-*N*- α -Methylbenzylmaleimide Polymers Containing Crystallinity

Hua ZHOU, Kenjiro ONIMURA, Hiromori TSUTSUMI,
and Tsutomu OISHI[†]

Department of Applied Chemistry and Chemical Engineering,
Faculty of Engineering, Yamaguchi University,
2-16-1 Tokiwadai, Ube, Yamaguchi 755-8611, Japan

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ABSTRACT: Chiral (*S*)-(–)-*N*- α -methylbenzylmaleimide ((*S*)-(–)-MBZMI) was polymerized with chiral complexes of (–)-sparteine (Sp) or (*S*, *S*)-(1-ethylpropylidene)bis(4-benzyl-2-oxazoline) (Bnbox) with organometal in toluene or tetrahydrofuran (THF). Specific rotations $[\alpha]_{435}^{25}$ of the polymers obtained by Et₂Zn/Sp and Et₂Zn/Bnbox were +20.5° to +466.2° and +9.4° to +39.5°, respectively. In the asymmetric anionic polymerization of (*S*)-(–)-MBZMI, Sp was found a more effective chiral ligand than Bnbox. Chirality of the polymers was mainly attributed to different ratios between stereogenic centers (*S*, *S*) and (*R*, *R*) in the main chain produced by asymmetric induction. Crystalline poly((*S*)-(–)-MBZMI)s obtained with Et₂Zn/Sp at lower temperatures in toluene having specific rotations $[\alpha]_{435}^{25}$ more than +200° showed higher stereoregularity, compared to that of poly((*R*)-(+)-MBZMI) under the same conditions, judging from X-Ray diffraction diagrams and ¹³C NMR spectra. Chiroptical properties of these polymers may partially be due to helical conformation.

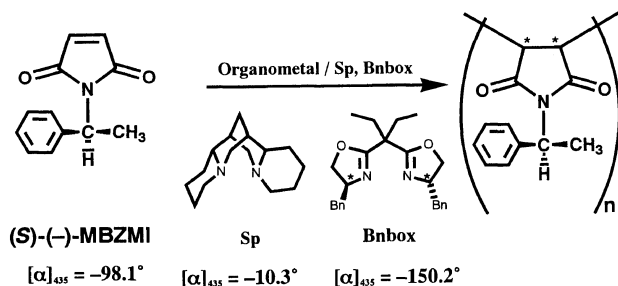
KEY WORDS Chiral *N*-Substituted Maleimide / Chiral Ligand / Asymmetric Anionic Polymerization / Optically Active Polymer / Crystallinity /

Asymmetric anionic polymerizations of bulky methacrylate, isocyanate and acetylene derivatives with chiral ligand-organometal complexes were systematically carried out by Okamoto *et al.* to give optically active polymers with one handed-helical conformation.^{1–3}

Up to now, asymmetric anionic polymerizations of achiral *N*-substituted maleimide (RMI)^{4–11} have been studied using chiral ligand-organometal complexes to obtain optically active poly(RMI). More recently, the authors obtained optically active poly(RMI) with higher specific rotations.^{5–10} Chirality of the polymers was attributed to excess chiral stereogenic centers (*S*, *S*) and (*R*, *R*) in the polymer main chain based on threo-diisotactic structures formed from asymmetric polymerization. Polymerizations and copolymerizations of chiral RMI have been studied by the authors.^{12–16} A new chirality was introduced onto the main chain of poly(RMI) in spite of poly(RMI) having small specific rotation. Asymmetric anionic polymerizations of chiral (*R*)-(+)-*N*- α -Methylbenzylmaleimide ((*R*)-(+)-MBZMI)¹⁷ using chiral complexes of Sp or (*S*, *S*)-(1-ethylpropylidene)-

bis(4-benzyl-2-oxazoline) (Bnbox) with organometal were found to afford optically active polymers having higher specific rotations than those of poly((*R*)-(+)-MBZMI) obtained with radical and anionic initiators.¹⁸ However, there have been no investigations on asymmetric anionic polymerizations of chiral (*S*)-(–)-MBZMI.

This paper describes asymmetric anionic polymerizations of chiral (*S*)-(–)-MBZMI with Et₂Zn/Sp or Et₂Zn/Bnbox complexes as initiator in toluene or tetrahydrofuran (THF), as shown in Scheme 1. Polymers obtained with Et₂Zn/Sp at lower temperatures in toluene had fairly high specific rotation and exhibited higher crystallinity, compared with poly((*R*)-(+)-MBZMI) obtained under the same conditions. The effects of temperature, time and ratio of ligand to organometal on asymmetric anionic polymerization of (*S*)-(–)-MBZMI were investigated in detail. Asymmetric induction to the polymer main chain is discussed by circular dichroism spectra (CD), and GPC analysis with UV and polarimetric detectors. The structure and crystallinity of poly((*S*)-(–)-MBZMI) are discussed based on measurements of ¹³C NMR spectra and X-Ray diffraction spectra.



Scheme 1.

EXPERIMENTAL

Materials

(*S*)-(–)-MBZMI was synthesized by reported previously.¹⁹

(*S*)-(–)-MBZMI: bp 177–178°C / 6 mmHg; $[\alpha]_{435}^{25} = -98.1^\circ$ ($c = 1.0 \text{ g dL}^{-1}$, THF, $l = 10 \text{ cm}$). ¹H NMR spectrum: chemical shift, δ in ppm from Si(CH₃)₄ in CDCl₃: 7.45–7.15 (m, 5H, phenyl), 6.65 (s, 2H, CH=CH), 5.35 (q, 1H, $J = 7.6 \text{ Hz}$, CH), 1.85 (d, 3H, $J = 7.6 \text{ Hz}$, CH₃). ¹³C NMR: δ in ppm from Si(CH₃)₄ in CDCl₃: 170.53 (C=O), 140.30, 128.44, 127.62, 127.12 (phenyl), 133.92 (CH=CH), 49.49

[†]To whom all correspondence should be addressed.

(CH), 17.90 (CH₃).

A model compound, (*S*)-(-)-*N*- α -methylbenzylsuccinimide ((*S*)-(-)-MBZSI): bp 163–165°C / 5 mmHg; mp 59–61°C; $[\alpha]_{435}^{25} = -142.6^\circ$ ($c = 1.0$ g dL⁻¹, THF, $l = 10$ cm), ¹H NMR spectrum: chemical shift, δ in ppm from Si(CH₃)₄ in CDCl₃: 7.50–7.20 (m, 5H, phenyl), 5.43 (q, 1H, $J = 7.3$ Hz, CH), 2.65 (m, 4H, CH₂-CH₂), 1.85 (d, 3H, $J = 7.3$ Hz, CH₃). ¹³C NMR: δ in ppm from Si(CH₃)₄ in CDCl₃: 176.73 (C=O), 139.39, 128.00, 127.33, 127.19 (phenyl), 49.78 (CH), 27.68 (CH₂-CH₂), 16.18 (CH₃).

Solvents in the reaction and polymerization were purified by usual methods.²⁰ Commercially available *n*-butyllithium (*n*-BuLi) hexane solution (1.53 mol L⁻¹) and diethylzinc hexane solution (1.02 mol L⁻¹) were used without further purification. *n*-BuLi and Et₂Zn were determined from titration using HCl solution. (*S*, *S*)-(1-Ethylpropylidene)bis(4-benzyl-2-oxazoline) (Bnbox) was prepared as reported previously.²¹ ($[\alpha]_{435}^{25} = -150.7^\circ$, $c = 1.0$ g dL⁻¹, THF, $l = 10$ cm). Commercially available (-)-sparteine (Sp) was purified by distillation under reduced pressure: bp 93–94°C / 5×10^{-2} mmHg; ($[\alpha]_{435}^{25} = -10.3^\circ$ ($c = 1.0$ g dL⁻¹, THF, $l = 10$ cm)).

Anionic Polymerizations

(*S*)-(-)-MBZMI was placed in a schlenk reaction tube and toluene or THF was added to dissolve it. This procedure was performed under nitrogen atmosphere. The monomer solution was cooled to polymerization temperature, and catalyst solution was added using a cannula. Polymerization was completed by the addition of methanol solution containing a small amount of hydrochloric acid. Polymerization solution was poured into excess methanol to precipitate the polymers. The polymers were purified three times by precipitation from a small amount of THF solution to excess methanol. The obtained pure polymers were dried *in vacuo* at r.t. for 2 days.

Radical Polymerization

Radical polymerizations were conducted with AIBN as initiator in toluene in a sealed tube at 60°C. After polymerization, the polymer solution was poured into a large amount of methanol to precipitate the polymer. The polymer was purified by reprecipitation twice from THF solution to excess methanol, filtered and dried *in vacuo* at r.t. for 2 days.

Measurements

Specific rotation was measured in THF at 25°C using a quartz cell (5 and 10 cm) with a JASCO DIP-140

(JASCO Co. Ltd.). CD spectra were measured in THF at 25°C using a quartz cell of 0.1 mm with a JASCO J20C (JASCO Co. Ltd.). UV spectra were obtained by measurement at 25°C in THF using a quartz cell of 1 mm. Number-average molecular weights (M_n s) of the polymers were measured by gel permeation chromatography (GPC) using THF as eluant and polystyrene as standard at 50°C with a Shimadzu LC-10A instrument equipped with a UV-visible photo spectrometer SPD-10A, polarimetric detector (JASCO OR-990) and data processor. ¹H and ¹³C NMR spectra were obtained with a JEOL-EX 270 (Nippon Electric Co., Ltd.). X-Ray diffraction patterns were measured using an X-Ray diffractometer (SHIMADZU XD-D1).

RESULTS AND DISCUSSION

Anionic and Radical Polymerizations of (*S*)-(-)-MBZMI

Table I shows the results of anionic and radical polymerizations of (*S*)-(-)-MBZMI initiated by *n*-BuLi and Et₂Zn in toluene or THF. Specific rotations of the polymers were influenced by different organometal initiators. The polymers obtained with *n*-BuLi exhibited levo optical rotation. Using Et₂Zn as initiator, the polymers showed dextro specific rotation except for run 3 in Table I. The polymer obtained with Et₂Zn in toluene at r.t. showed higher absolute specific rotation than that at 0°C. In THF, the polymer initiated by Et₂Zn exhibited higher specific rotation at 0°C than that at r.t.

Racemization of optically active moiety in chiral (*S*)-(-)-MBZMI hardly occurred in the polymerization with BuLi under the above conditions due to the mechanism of anionic polymerization. Butyl anions preferentially attack carbons on double bonds by nucleophilic reaction because Li cation coordinates with oxygen of the carbonyl group resulting in transfer of electrons of carbon on double bonds. Specific rotations of poly((*S*)-(-)-MBZMI) obtained with BuLi support these results. In the polymerization of (*S*)-(-)-methylbenzylmethacrylate ((*S*)-(-)-MBMA) with BuLi under the same conditions as for (*S*)-(-)-MBZMI, no racemization of (*S*)-(-)-MBMA took place.^{22,23} When (*RS*)-(\pm)-MBMA was enantiomerically polymerized, the optically active monomer was recovered and no racemization of chiral MBMA was observed.^{24,25}

Specific rotations of the polymers formed by the same organometal initiators were influenced by different solvents. The polymers obtained with Et₂Zn in THF showed larger dextro specific rotation, yield and M_n than those with the same initiator in toluene. Poly((*S*)-(-)-MBZMI)

Table I. Anionic or radical polymerizations of (*S*)-(-)-MBZMI^a

Run	Initiator mol%	Polym. solvent mL	Polym. temp. °C	Polym. time h	Yield ^b %	$M_n^c \times 10^{-3}$	M_w / M_n^c	$[\alpha]_{435}^{25}$ ^d deg.
1	<i>n</i> -BuLi(10)	Tol.(5)	0	24	58.9	3.1	1.6	-94.5
2	<i>n</i> -BuLi(10)	THF(5)	0	24	38.9	5.2	1.3	-7.8
3	Et ₂ Zn(10)	Tol.(5)	r.t.	120	61.4	3.1	1.4	-106.9
4	Et ₂ Zn(10)	THF(5)	r.t.	120	76.4	17.9	1.3	+66.6
5	Et ₂ Zn(10)	Tol.(5)	0	120	52.8	9.1	6.2	-22.0
6	Et ₂ Zn(10)	THF(5)	0	120	74.5	4.5	2.2	+74.5
7	AIBN(5)	Tol.(5)	60	24	88.1	3.0	1.9	-30.1

^a [Monomer] = 0.5 mol L⁻¹. ^b MeOH-insoluble. ^c By GPC. ^d $c = 1.0$ g dL⁻¹, $l = 10$ cm, THF.

obtained with Et₂Zn in toluene at 0°C had poor yield, M_n and lower specific rotation.

The polymer obtained with AIBN showed specific rotation -30.1° (Run 7 in Table I) and M_n of 3.0×10^3 , which were similar to those reported previously.¹⁸

Specific rotations ($[\alpha]_{435}^{25} -94.5^\circ$ to $+1.5^\circ$) of the polymers obtained by only an organometal initiator were quite different from those of the starting monomer (S)-(-)-MBZMI ($[\alpha]_{435}^{25} -98.1^\circ$) and model compound (S)-(-)-MBZSI ($[\alpha]_{435}^{25} -142.6^\circ$), suggesting a new chirality of the polymer may be introduced onto the polymer main chain by optically active groups of (S)-(-)-MBZMI during polymerization. These results were similar to those reported previously.¹⁷

Asymmetric Anionic Polymerization of (S)-(-)-MBZMI

Tables II and III show the results of asymmetric anionic polymerizations of chiral (S)-(-)-MBZMI with Sp/organometal complexes in toluene or THF at different temperatures. Poly((S)-(-)-MBZMI) formed by *n*-BuLi/Sp exhibited levo specific rotations ($[\alpha]_{435}^{25} -17.7^\circ$ to -77.8°). M_n s of the polymers were between 2.6×10^3 and 8.2×10^3 . Yields and M_n s of the polymers obtained with *n*-BuLi/Sp in THF were higher than those in toluene.

Specific rotations ($[\alpha]_{435}^{25}$) of the polymers prepared by Et₂Zn/Sp were $+20.5^\circ$ to $+466.2^\circ$, opposite those of polymers obtained with *n*-BuLi/Sp. The same was observed in the polymerization of (R)-(+)-MBZMI reported previously.¹⁷ Crystalline poly((S)-(-)-MBZMI)s having higher specific rotation ($[\alpha]_{435}^{25}$: $+242.2^\circ$ to $+466.2^\circ$) were synthesized with the Et₂Zn/Sp complex in toluene at 0°C and -35°C . In these cases, polymerizations proceeded in a heterogeneous system during polymerization, which results from low solubility of (S)-(-)-MBZMI monomer in toluene at low temperatures. The poly((S)-(-)-MBZMI)s were partly insoluble in toluene. Yields and specific rotations of the polymers ob-

tained by Sp increased by long reaction periods. The reason may be as follows. The poly((S)-(-)-MBZMI) growth-end very slowly and selectively attacks a monomer at lower temperatures. Since the obtained poly((S)-(-)-MBZMI) was not soluble in organic solvents such as THF and DMF, M_n could not be measured by GPC. Polymerization time exceeded 120 h, and specific rotations of the polymers THF-insoluble remarkably increased. Poly((S)-(-)-MBZMI) obtained at -35°C for 144 h exhibited the highest positive specific rotation of $+466.2^\circ$ (Run 4 in Table III). THF-insoluble parts of the polymer showed specific rotation ($[\alpha]_{435}^{25}$) = $+551.7^\circ$ in CHCl₃, Run 4 in Table III). The specific rotation of THF-insoluble parts of the polymer increased with polymerization time, but the yields decreased. These specific rotations of polymers were quite different from those of optically active monomer (S)-(-)-MBZMI ($[\alpha]_{435}^{25} = -98.1^\circ$), model compound (S)-(-)-MBZSI ($[\alpha]_{435}^{25} = -142.6^\circ$) and poly((S)-(-)-MBZMI) ($[\alpha]_{435}^{25} = -106.9^\circ$ to $+74.5^\circ$) obtained with only Et₂Zn. Chirality of the polymers may be introduced into polymer main chain by asymmetric induction by chiral ligand-organometal complexes. However, no polymerizations of (S)-(-)-MBZMI initiated by Et₂Zn/Sp proceeded in toluene at -78°C .

Using low basic organometals such as Et₂Zn, specific rotations of the polymers were efficiently influenced by different ligands. Table IV shows the results of asymmetric anionic polymerizations of (S)-(-)-MBZMI with Et₂Zn/Bnbox complex in toluene or THF. All polymers showed dextro specific rotations ($+9.4^\circ$ to $+39.5^\circ$) and higher M_n (8.9×10^3 to 2.7×10^4). At r.t., the polymers obtained in THF showed the highest specific rotation ($+39.5^\circ$) and higher M_n (2.7×10^4 ; Run 4 in Table IV), possibly due to less bulkiness of Bnbox than Sp.⁶ That is, the Et₂Zn/Sp complex builds better asymmetric fields at poly((S)-(-)-MBZMI) growth-end than Et₂Zn/Bnbox. Chirality of the polymers prepared with Et₂Zn/Sp may

Table II. Asymmetric anionic polymerizations of (S)-(-)-MBZMI^a with sparteine

Run	Initiator ^a mol%	Polym. solvent mL	Polym. temp. °C	Polym. time h	Yield ^c %	$M_n^d \times 10^{-3}$	M_w/M_n^d	$[\alpha]_{435}^{25 e}$ deg.
1	Et ₂ Zn/Sp(10)	Tol.(5)	0	72	100	74.8 ^f	10.0	$+242.2^g(206.0^f)$
2	Et ₂ Zn/Sp(10)	THF(5)	0	72	100	16.7	2.8	$+84.8$
3	Et ₂ Zn/Sp(10)	Tol.(5)	r.t.	72	89.2	8.9	3.2	$+20.5$
4	Et ₂ Zn/Sp(10)	THF(5)	r.t.	72	95.6	11.4	2.2	$+33.8$
5	<i>n</i> -BuLi/Sp(10)	Tol.(5)	0	24	78.0	2.6	1.6	-57.5
6	<i>n</i> -BuLi/Sp(10)	THF(5)	0	24	83.4	8.2	1.9	-17.7
7	<i>n</i> -BuLi/Sp(10)	Tol.(5)	r.t.	24	82.3	3.2	1.9	-70.0
8	<i>n</i> -BuLi/Sp(10)	THF(5)	r.t.	24	54.8	2.8	1.5	-77.8

^a [Monomer] = 0.5 mol L⁻¹. ^b [Et₂Zn]/[Ligand] = 1.0/1.2. ^c MeOH-insoluble part. ^d By GPC. ^e $c = 1.0$ g dL⁻¹, $l = 10$ cm, THF. ^f THF-soluble. ^g $c = 1.0$ g dL⁻¹, $l = 10$ cm, CHCl₃.

Table III. Asymmetric anionic polymerizations of (S)-(-)-MBZMI with sparteine^a for -35°C

Run	Polym. time h	Polym. Yield ^c %	$M_n^d \times 10^{-3}$	M_w/M_n^d	$[\alpha]_{435}^{25 f}$ deg.	THF-soluble		THF-insoluble	
						Yield %	$[\alpha]_{435}^{25 e}$ deg.	Yield %	$[\alpha]_{435}^{25 f}$ deg.
1	72	14.8	10.5	13.4	$+346.9$				
2	98	19.1	—	—	$+409.4$				
3	120	79.5	—	—	$+410.6$	76.5	$+145.1$	23.5	$+488.4$
4	144	80.5	—	—	$+466.2$	81.1	$+111.9$	18.9	$+551.7$

^a [Monomer] = 0.5 mol L⁻¹, in toluene. ^b [Et₂Zn]/[Ligand] = 1.0/1.2. ^c MeOH-insoluble part. ^d By GPC. ^e $c = 1.0$ g dL⁻¹, $l = 10$ cm, THF. ^f $c = 1.0$ g dL⁻¹, $l = 10$ cm, CHCl₃.

Table IV. Anionic polymerizations of (*S*)-(–)-MBZMI with Bnbox^a

Run	Initiator ^b	Polym. solvent	Polym. temp.	Polym. time	Yield ^c	$M_n^d \times 10^{-3}$	M_w/M_n^d	$[\alpha]_{435}^{25}$ ^e
	mol%	mL	°C	h	%			
1	Et ₂ Zn/Bbox(10)	Tol.(5)	0	72	99.6	13.2	2.1	+9.4
2	Et ₂ Zn/Bnbox(10)	THF(5)	0	72	100	8.9	2.4	+36.1
3	Et ₂ Zn/Bnbox(10)	Tol.(5)	r.t.	72	100	10.9	2.9	+36.5
4	Et ₂ Zn/Bnbox(10)	THF(5)	r.t.	72	100	27.2	2.5	+39.5

^a [Monomer] = 0.5 mol L⁻¹. ^b [Et₂Zn]/[Ligand] = 1.0/1.2. ^c MeOH-insoluble part. ^d By GPC. ^e $c = 1.0$ g dL⁻¹, $l = 10$ cm, THF.

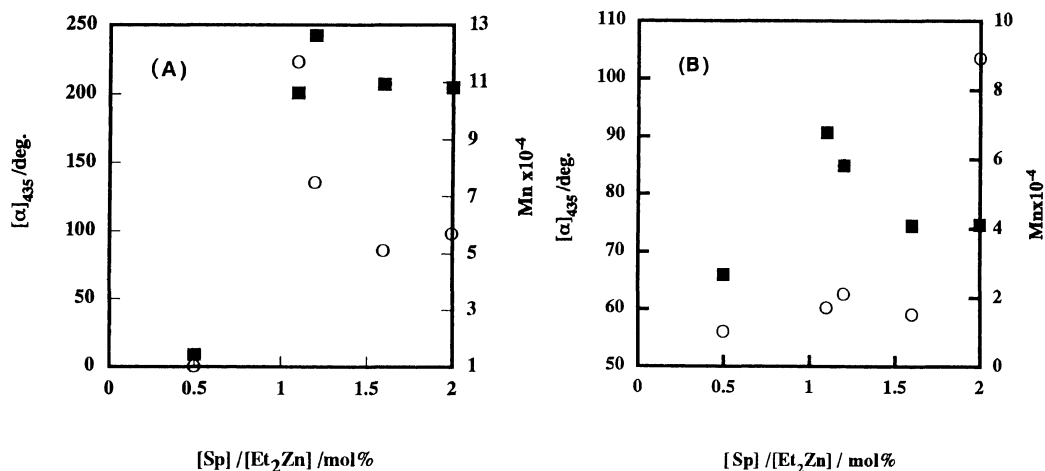


Figure 1. Effects of [Sp]/[Et₂Zn] on specific rotations (■) and M_n (○) of poly((*S*)-(–)-MBZMI) obtained with Et₂Zn/Sp in (A) toluene and (B) THF at 0°C.

be greatly introduced into main chain of poly((*S*)-(–)-MBZMI), compared with Et₂Zn/Bnbox.

The effects of molar ratios of Sp and Et₂Zn on the specific rotations and M_n s of poly((*S*)-(–)-MBZMI) obtained in toluene or THF at 0°C are summarized in Figure 1. Using toluene (A in Figure 1), the specific rotation was greatest when the molar ratio of Sp and Et₂Zn was 1.2/1.0. M_n was largest when the molar ratio of Sp and Et₂Zn was 1.0/1.0. Polymerizability of (*S*)-(–)-MBZMI initiated by the Et₂Zn/Sp complex was higher than that by only Et₂Zn, as shown in Tables I and II. Polymerizations were carried out by the molar ratio of Sp and Et₂Zn in 0.5/1.0 to give poly((*S*)-(–)-MBZMI) having lower specific rotation. By excess Sp, the polymer obtained with the molar ratio of Sp and Et₂Zn in the range of 1.6/1.0 to 2.0/1.0 exhibited lower specific rotations. In these cases, Et₂Zn/Sp complexes were considered difficult to build better asymmetric fields at the polymer growth-end. In case of THF, when the ratio of Sp and Et₂Zn was 1.2/1.0, the polymer showed the highest specific rotation. But the polymer exhibited the highest M_n when the molar ratio of Sp and Et₂Zn was 1.0/2.0. The reason for this is not clear at present.

To observe directly changes in optical rotation in toluene or THF during asymmetric anionic polymerizations of (*S*)-(–)-MBZMI, polymerizations of (*S*)-(–)-MBZMI were carried out with Et₂Zn/Sp in a 1.0 cm quartz cell in toluene or THF at 25°C. Figure 2 shows changes of optical rotation in toluene (or THF) during polymerization at [initiator]/[(*S*)-(–)-MBZMI] = 10%, [Et₂Zn]/Sp = 1.0/1.2, and 0.5 mol L⁻¹. Using toluene as solvent, the initial optical rotation of only monomer (*S*)-(–)-MBZMI was -1.14° ($[\alpha]_{435}^{25}$) at then decreased in a positive direc-

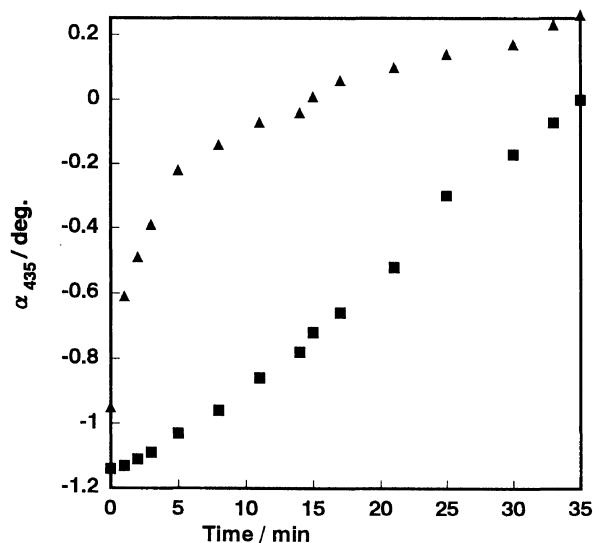


Figure 2. Change in optical rotations for polymerization systems of (*S*)-(–)-MBZMI with Et₂Zn/Sp in toluene (■) and THF (▲) at 25°C in a quartz cell.

tion as polymerization proceeded. Optical rotation of the solution became positive after 35 min. It was difficult to measure optical rotation of the solution after 50 min because of dark red color of the polymerization solution. For THF, the same was observed. But the initial optical rotation of only monomer (*S*)-(–)-MBZMI was -0.95° . Optical rotation of the solution became positive after *ca.* 15 min from the start of polymerization, and the optical rotation reached a constant value ($[\alpha]_{435}^{25} + 0.25^\circ$) after *ca.* 25 min from the initiation polymerization. The rate

of polymerization of (*S*)-(-)-MBZMI in THF is thus larger than in toluene.

Figure 3 shows the effects of polymerization temperatures on specific rotation and M_n of poly(*S*)-(-)-MBZMI obtained by $\text{Et}_2\text{Zn}/\text{Sp}$ in toluene or THF. The polymer obtained in toluene at -35°C for 72 h showed the highest positive specific rotation ($[\alpha]_{435}^{25} = +346.9^\circ$), but M_n was highest at 0°C . In THF, the polymer obtained at 0°C showed higher specific rotation and M_n ($[\alpha]_{435}^{25} = +84.8^\circ$; $M_n = 1.7 \times 10^4$). The obtained polymers at r.t. showed lower specific rotation and poor M_n , suggesting polymerization temperature to be essential for the asymmetric anionic polymerization. These results can be explained by the same reason as for polymeriza-

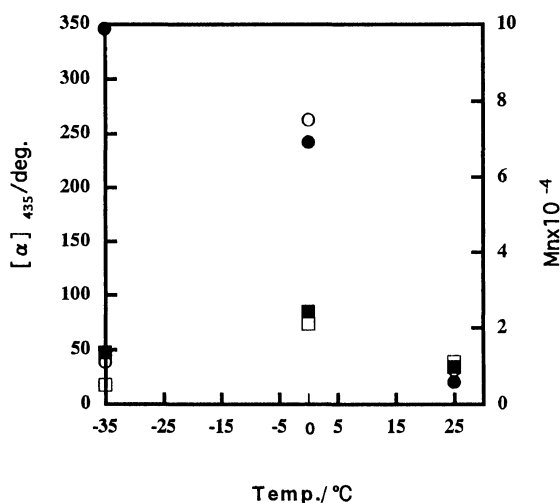


Figure 3. Effects of polymerization temperatures on specific rotation (■, ●) and M_n (□, ○) of poly(*S*)-(-)-MBZMI obtained with $\text{Et}_2\text{Zn}/\text{Sp}$ in solvents: THF: ■, □; toluene: ●, ○.

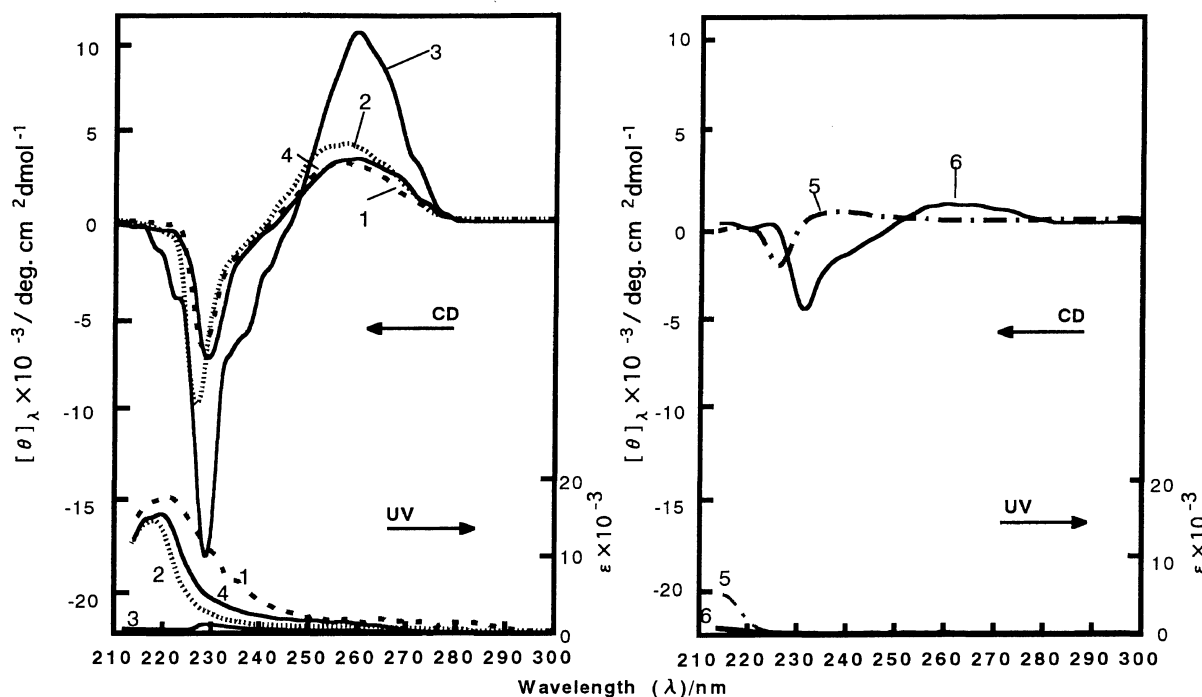


Figure 4. CD and UV spectra for poly(*S*)-(-)-MBZMI obtained with (1) *n*-BuLi (Run 2 in Table I), (2) $\text{Et}_2\text{Zn}/\text{Sp}$ (Run 1 in Table II), (3) $\text{Et}_2\text{Zn}/\text{Sp}$ (Run 4 in Table III), (4) AIBN (Run 6 in Table I), (5) (*S*)-(-)-MBZSI, and (6) (*S*)-(-)-MBZMI.

tion of (*R*)-(+)-MBZMI.¹⁷

CD and UV spectra for the polymers, model compound and monomer are shown in Figure 4. Negative and positive peaks of the poly(*S*)-(-)-MBZMI formed by *n*-BuLi (1), $\text{Et}_2\text{Zn}/\text{Sp}$ (2) (3), AIBN (4) appeared at 230 nm and 255 nm, respectively, due to $n \rightarrow \pi^*$ electric transition of carbonyl groups in the maleimide ring and $\pi \rightarrow \pi^*$ electric transition of phenyl groups in (*S*)-(-)-MBZMI units of the polymer main chain. Positive CD peaks of the poly(*S*)-(-)-MBZMI_s obtained by $\text{Et}_2\text{Zn}/\text{Sp}$, *n*-BuLi and AIBN at 255 nm have mirror images to those of poly(*R*)-(+)-MBZMI¹⁷ obtained under the same conditions, which were different from that of model compound poly(*S*)-(-)-MBZSI (5), but similar to that of monomer (*S*)-(-)-MBZMI (6). It is clear that the chirality of obtained polymers depends on that of chiral MBZMI. In other words, opposite chirality of poly(*S*)-(-)-MBZMI to poly(*R*)-(+)-MBZMI may be due not only to asymmetric induction by chiral ligand-organometal complexes but also to chiral α -methylbenzyl group of (*S*)-(-)-MBZMI. Optically active ligand may significantly influence chirality of poly(*S*)-(-)-MBZMI, because the poly(*S*)-(-)-MBZMI_s obtained with $\text{Sp}/\text{Et}_2\text{Zn}$ showed sharper peaks in the ¹³C NMR and ¹H NMR, and higher crystalline, judging by XRD. CD spectral patterns of poly(*S*)-(-)-MBZMI_s obtained by BuLi, AIBN and chiral ligand-organometal complexes were similar. These polymers may thus have similar structures.¹⁷ In the polymerization with $\text{Et}_2\text{Zn}/\text{Sp}$ ((2), (3), in Figure 4), the larger the positive specific rotation, the higher the peak at 255 nm appeared (positive "Cotton Effect"), suggesting asymmetric induction to more effectively take place in polymer main chains.

Figure 5 shows GPC curves of (+)-poly(*S*)-(-)-MBZMI (Run 1 in Table II) and (+)-poly(*S*)-(-)-MBZMI (Run 1 in Table IV) by GPC equipped with UV

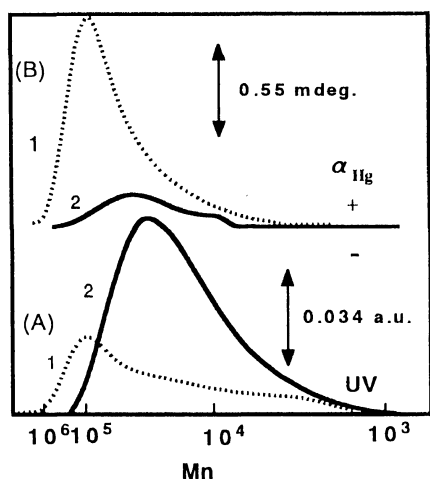


Figure 5. GPC curves of poly((*S*)-(-)-MBZMI) prepared with (1) Et₂Zn/Sp (run 1 in Table II), (2) Et₂Zn/Bnbox (run 1 in Table IV). Top chromatogram was obtained by (A) polarimetric detection (α_{Hlg}) and the one on bottom by (B) UV detector.

(1) and polarimetric detector (2). In the GPC curves of poly((*S*)-(-)-MBZMI) prepared with Et₂Zn/Sp by a UV detector (A-1), the polymer included only one high molecular weight fraction and the peak appeared in the same region as that by polarimetric detector (B-1), which suggests that whole polymer main chains are optically active. GPC curves (A-2, B-2) of the poly((*S*)-(-)-MBZMI) obtained by Et₂Zn/Bnbox exhibited similar peaks, compared with those obtained with Et₂Zn/Sp. The whole polymer main chain was optically active, and the curve (B-2) by polarimetric detector also indicated a positive sign in the whole region. But M_n of the polymer obtained with Et₂Zn/Sp was higher than that of the polymer obtained with Et₂Zn/Bnbox. Intensity of the positive peak by a curve (B-1) was larger than that by a curve (B-2), which was in an agreement with the magnitude and sign of the specific rotation of polymer. Sp influenced the stereoregularity of the polymer main chain more than Bnbox. This was supported by the fact that the specific rotation of the polymer obtained with Et₂Zn/Sp was larger than that of the polymer with Et₂Zn/Bnbox although absolute specific rotation of Bnbox was larger than that of Sp.

Polymer Structures

¹H NMR spectra for the polymers obtained with Et₂Zn/Sp, BuLi and AIBN were different, as shown in Figure 6. The different peaks at 3–4 ppm assigned to methine protons (a) of the main chain suggest that polymer main chains may contain different configuration units after trans-opening of double bonds.

Expanded ¹³C NMR spectra for poly((*S*)-(-)-MBZMI) obtained with Et₂Zn/Sp (1), *n*-BuLi (2), and AIBN (3) are shown in Figure 7. Peaks at 43 ppm were assigned to main chain carbons of poly((*S*)-(-)-MBZMI). Peaks at about 17 and 176 ppm were due to methyl carbons and carbonyl carbons, respectively. Peaks for poly((*S*)-(-)-MBZMI) formed by BuLi (2) and AIBN (3) were different from those with Et₂Zn/Sp (1). All poly((*S*)-(-)-MBZMI) obtained with Et₂Zn/Sp showed sharper peaks than those with other initiators under the same conditions.

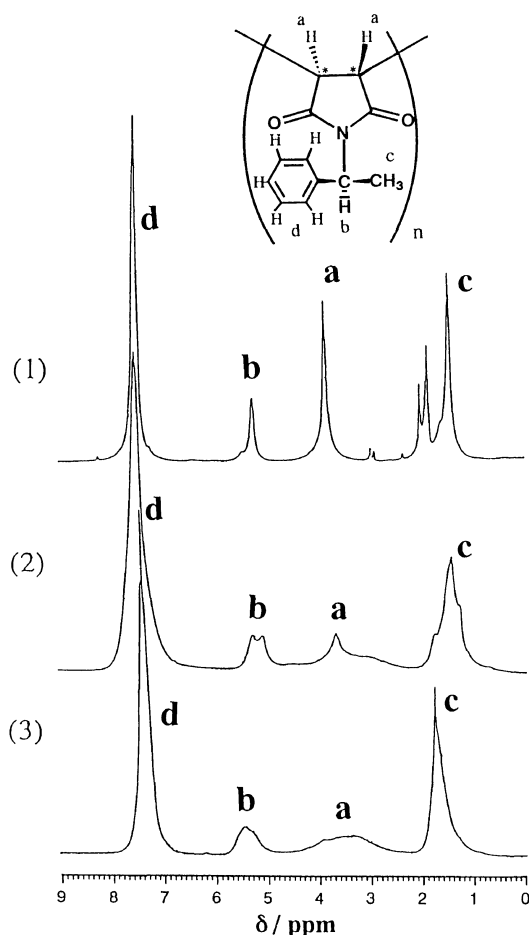


Figure 6. ¹H NMR spectra for poly((*S*)-(-)-MBZMI) obtained with (1) Et₂Zn/Sp: $[\alpha]_{435}^{25} + 551.7^\circ$, (2) *n*-BuLi: $[\alpha]_{435}^{25} - 7.8^\circ$, and (3) AIBN: $[\alpha]_{435}^{25} - 30.1^\circ$.

Asymmetric induction may thus take place in the polymer main chain and polymers obtained with Et₂Zn/Sp (1) may show higher stereoregularity than that obtained with other anionic (2) and radical (3) initiators. But poly((*S*)-(-)-MBZMI) obtained by AIBN (3) showed a similar peak to that with BuLi (2), but a different peak from that of poly((*R*)-(+)-MBZMI) obtained with AIBN under the same conditions, because the poly((*S*)-(-)-MBZMI) obtained with AIBN had lower M_n and polydisperse degree than that of poly((*R*)-(+)-MBZMI) with AIBN.

To clarify the dependence of temperature on specific rotation of optically active poly((*S*)-(-)-MBZMI) ($[\alpha]_{435}^{25} + 551.7^\circ$, Run 4 in Table III) (1), ($[\alpha]_{435}^{25} + 466.2^\circ$, Run 4 in Table III) (2) and ($[\alpha]_{435}^{25} + 346.9^\circ$, Run 1 in Table III) (3), specific rotations were measured in CHCl₃ at several temperatures. Figure 8 shows the results of changes in specific rotations at various temperatures. The temperature coefficients ($|\Delta[\alpha] / \Delta T|$) of the polymers (1), (2), and (3) were 0.94, 0.65, and 0.33, respectively, and increased with specific rotation of the polymers. Polymers with higher specific rotation may thus contain much more of a helical structure.

Figure 9 shows X-Ray diffraction (XRD) diagrams for poly((*S*)-(-)-MBZMI)s obtained with Et₂Zn/Sp (1) Et₂Zn/Bnbox (2) *n*-BuLi (3) and AIBN (4). Width of XRD peaks is related to crystallinity degree.^{26,27} A small crystallinity degree results in a broaden peak. A larger crys-

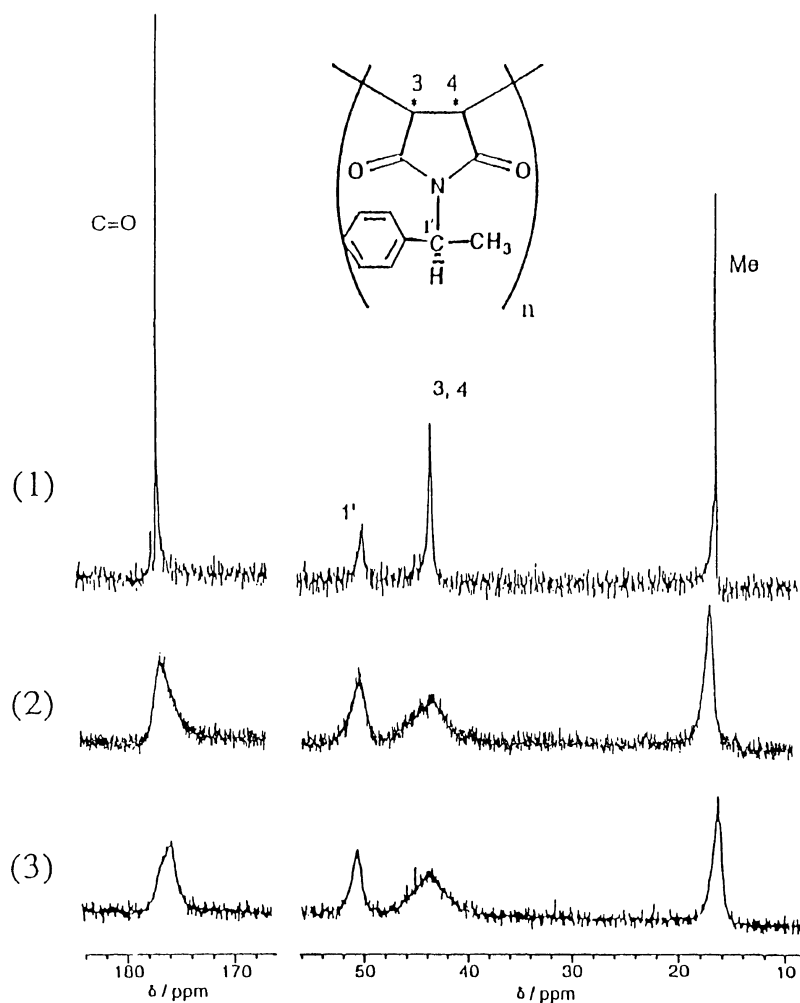


Figure 7. Expanded ^{13}C NMR spectra for poly((*S*)-(–)-MBZMI) obtained with (1) $\text{Et}_2\text{Zn}/\text{Sp}$ (Run 4 in Table III), (2) *n*-BuLi (Run 1 in Table I), and (3) AIBN (Run 7 in Table I).

tallinity degree leads to a narrow peak.^{25,26} From our results, crystallinity degrees of the polymers increased in proportion to specific rotations of the polymers, as shown in Figure 9. The higher the specific rotations of polymers, the greater was the crystallinity were, suggesting that polymers with higher specific rotation contain higher stereoregularity. Poly((*S*)-(–)-MBZMI) formed by $\text{Et}_2\text{Zn}/\text{Sp}$ at -35°C for 144 h had the most crystallinity (28.6%). The polymer may contain partially helical structures, due to threo-diisotactic configuration with occasional syndiotactic configuration which disrupts crystallinity. XRD curves of poly((*S*)-(–)-MBZMI)s obtained from $\text{Et}_2\text{Zn}/\text{Bnbox}$, *n*-BuLi, and AIBN showed no characteristic crystalline peaks, as shown in Figure 9, indicating that the polymers are not crystalline but complete amorphous. In these polymerizations, syndiotactic additions possibly took place, resulting in lower stereoregularity and specific rotations.

For poly((*S*)-(–)-MBZMI)s having higher specific rotations (Run 3 and Run 4 in Table III), THF-insoluble (a) and THF-soluble (b) parts exhibited crystalline peaks, as shown in Figure 10. The peak of THF-soluble part (b) of polymer showed a more disordered crystalline pattern than that of the THF-insoluble one (a). The crystallinity of the THF soluble part was relatively small. THF-

insoluble parts had larger crystallinity, and XRD exhibited two stronger peaks at 4.5 Å and 8.0 Å. These results are consistent with those reported by Cubbon previously.²⁸ The poly(RMI) model made by Cubbon²⁸ indicated threo-diisotactic polymers to form the 3_1 helix with a repeat distance of 4.7 Å regardless of the substituent on nitrogen. But the diameter of helix vary with substituent on the nitrogen. Our result suggests that part of crystallinity in the polymer arose from helix produced by the threo-diisotactic configuration. Poly((*S*)-(–)-MBZMI) having higher dextro specific rotation may contain (*S*, *S*) more than (*R*, *R*) configuration, judging from results reported previously.⁸ The poly((*S*)-(–)-MBZMI) had higher stereoregularity, compared with poly(*R*-(+)-MBZMI).¹⁷

CONCLUSIONS

1. Asymmetric anionic polymerizations of chiral (*S*)-(–)-MBZMI were carried out with $\text{Et}_2\text{Zn}/\text{Sp}$ and $\text{Et}_2\text{Zn}/\text{Bnbox}$ to obtain optically active polymers. Poly((*S*)-(–)-MBZMI) obtained with $\text{Et}_2\text{Zn}/\text{Sp}$ in toluene at -35°C for 144 h showed the highest dextro specific rotation of $+466.2^\circ$. Poly((*S*)-(–)-MBZMI) obtained with $\text{Et}_2\text{Zn}/\text{Bnbox}$ at r.t. in THF showed the highest dextro specific

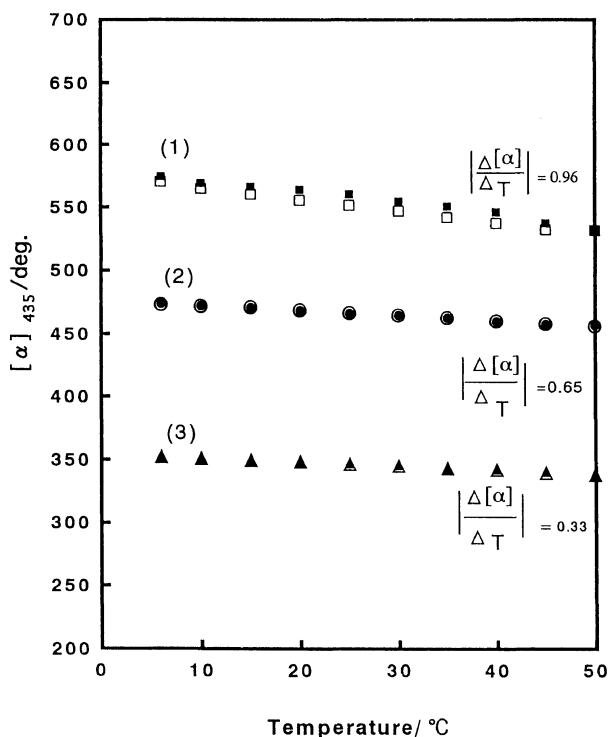


Figure 8. Effects of temperatures on $[\alpha]_{435}^{25}$ of poly((*S*)-(-)-MBZMI in solvent: CHCl_3 , $c = 1 \text{ g dL}^{-1}$, $l = 5 \text{ cm}$. (1) ($[\alpha]_{435}^{25} + 571.2^\circ$): \square cooling, \blacksquare heating, (2) ($[\alpha]_{435}^{25} + 466.2^\circ$): \circ cooling, \bullet heating, (3) ($[\alpha]_{435}^{25} + 346.9^\circ$): \triangle cooling, \blacktriangle heating.

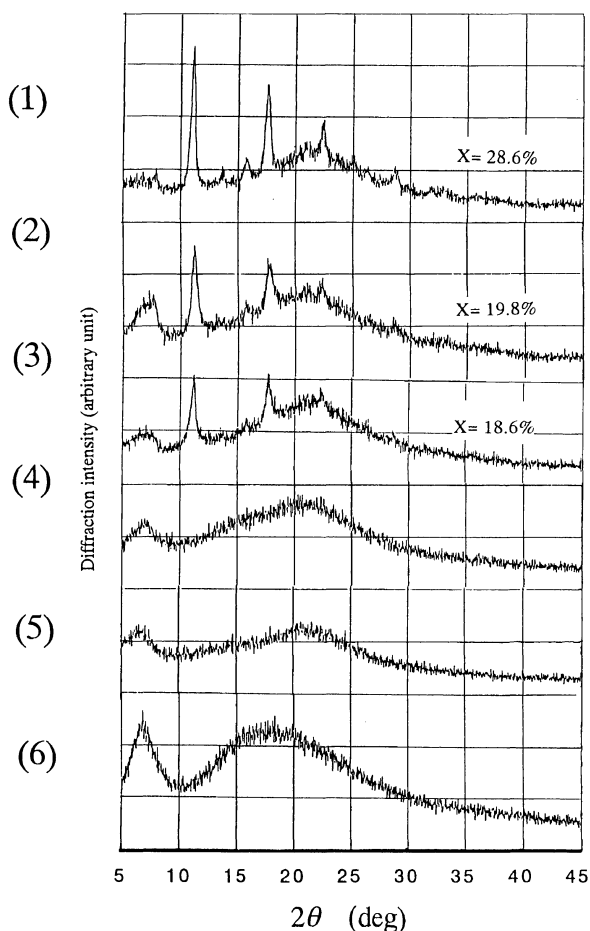


Figure 9. X-Ray diffraction diagrams for poly((*S*)-(-)-MBZMI obtained with (1) $\text{Et}_2\text{Zn/Sp}$: $[\alpha]_{435} + 466.2^\circ$, (2) $\text{Et}_2\text{Zn/Sp}$: $[\alpha]_{435} + 410.6^\circ$, (3) $\text{Et}_2\text{Zn/Sp}$: $[\alpha]_{435} + 409.4^\circ$, (4) Bnbox : $[\alpha]_{435} + 39.5^\circ$, (5) $n\text{-BuLi}$: $[\alpha]_{435} - 7.8^\circ$ and (6) AIBN : $[\alpha]_{435} - 30.1^\circ$.

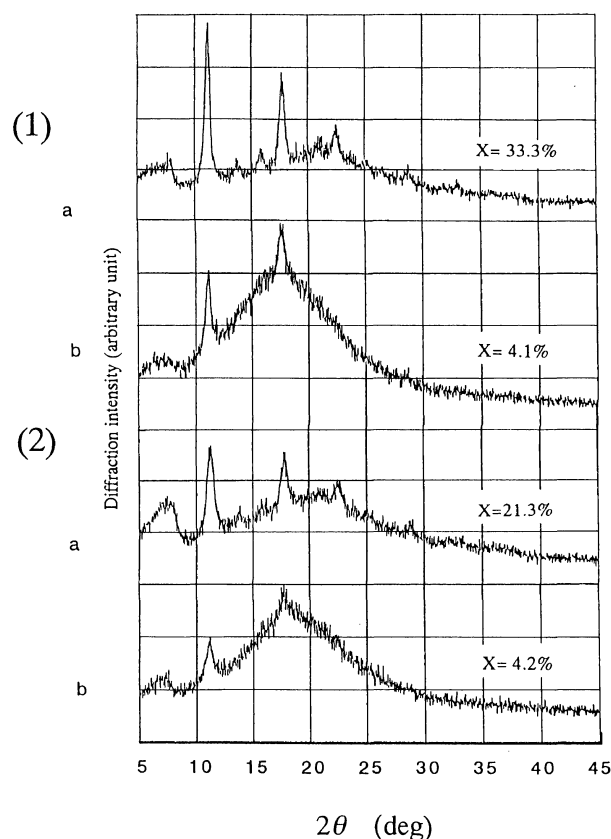


Figure 10. X-Ray diffraction diagrams for poly((*S*)-(-)-MBZMI obtained with $\text{Et}_2\text{Zn/Sp}$: (1) a: $[\alpha]_{435} + 551.7^\circ$, b: $[\alpha]_{435} + 111.9^\circ$ (Run 4 in Table III); and (2) a: $[\alpha]_{435} + 488.4^\circ$, b: $[\alpha]_{435} + 145.1^\circ$ (Run 3 in Table III).

rotation of $+39.5^\circ$.

2. Those polymers exhibited different specific rotations and CD patterns from that of model compounds (*S*)-(-)-MBZSI, suggesting that asymmetric induction take place in the main chain of the polymers. Chirality of poly((*S*)-(-)-MBZMI) was attributed to new asymmetric carbons in the main chain induced by chiral ligands and original chiral carbons in the side chain.

3. The polymers obtained with $\text{Et}_2\text{Zn/Sp}$ in toluene at lower temperatures had higher stereoregularity and larger crystallinity, judging from ^1H NMR, ^{13}C NMR, and XRD spectra of poly((*S*)-(-)-MBZMI)s. These may partially contain the helical conformation.

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