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Group

Asthma management: Are GINA guidelines appropriate for daily clinical practice?

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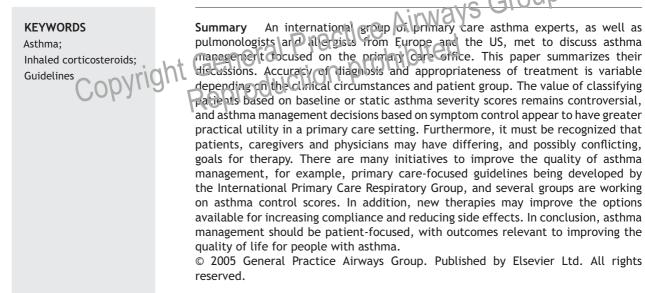
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Introduction

An estimated 1 in 20 people in the world now have asthma [1]. The prevalence of asthma is

increasing in line with growing urbanization; there may be an additional 100 million people with asthma by 2025 [1]. In Europe, the prevalence of asthma has doubled in the last 10 years, and total asthma costs are approximately \$21.65 billion/year [2]. The majority of these costs relate to lost productivity (55%), with out-patient care (22%), anti-asthma drugs (20%), and in-patient care (3%)

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responsible for the remainder [2]. This increase in asthma prevalence, and the dependence on out-patient care, makes asthma an increasingly important priority for the primary care physician.

In April 2004, an international group of experts in asthma care and asthma clinical research were invited by Altana Pharmaceuticals to meet in Amsterdam, The Netherlands. The group met to discuss concerns regarding the difficulties encountered by primary care physicians when trying to incorporate current asthma care guidelines, such as the Global Initiative for Asthma (GINA) and the National Heart Lung and Blood Institute guidelines (USA) [3,4], into their practices [5–8] Participants included primary care asthma experts as well as pulmonologists and allergists from Europe and the US (Table 1). This paper summarizes the discussions.

Goals of asthma therapy

The GINA and other national asthma guidelines recommend similar goals for asthma management

(Table 2) [3,4]. In order to meet these goals, patients need adequate and appropriate therapy. Inhaled corticosteroids (ICS) are the cornerstone of asthma pharmacologic therapy, and have the potential to reduce significantly asthma morbidity and mortality [3,4]. However, undertreatment of asthma with ICS is a considerable problem [5]. Undertreatment includes patients not receiving ICS therapy at all, receiving ICS therapy at too low a dose, or choosing to take less of their ICS medication than they have been prescribed.

Table 2 Goals of asthma m	anagement.
Minimal chronic symptoms	Minimal exacerbations
No emergency visits	 Minimum need for as-required β-agonists
 No limitations to daily activities 	Near-normal peak expiratory flow
• Peak expiratory flow circadian variation of less than 20%	• Minimal adverse effect of asthma medication
Adapted from [3]	

The Asthma Insights and Reality surveys were conducted between 1998 and 2001 in 29 countries in Western Europe, Central and Eastern Europe, Asia-Pacific, Japan and the USA, and included responses from 7786 adults and 3153 children with asthma [5]. The survey respondents were identified through national patient care registries or random digit dialing (USA). These surveys found that the majority of asthma patients, across all regions, did not achieve the GINA or NHLBI goals: little or no interference with activities; few symptoms; and very limited need for rescue medications. [3,4] For example, in Western Europe and in the USA, many patients had significant symptoms with continued adverse impact on daily activities, and only approximately 23% and 15%, respectively, were receiving ICS (Figure 1) [5]. In comparison, over 60% of patients in both regions were using shortacting β -agonists. For patients with severe asthma, only 26% and 20%, respectively, were receiving ICS therapy [5]. A large proportion of the morbidity identified in these surveys could be avoided with regular use of appropriate anti-inflammatory therapy (ICS) in patients with persistent asthma [4,5].

Estimated asthma mortality rates vary considerably by region: 36.7/100,000 in China, 5.2/100,000 in the USA and between 0.54/100,000

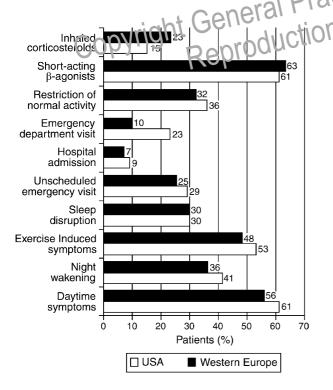


Figure 1 Findings of the Asthma Insights and Reality surveys for Western Europe and the USA in respect to symptoms, healthcare use and short-acting β -agonist and inhaled corticosteroid therapy. Adapted from [5].

(The Netherlands) and 8.7/100,000 (Portugal) in Western Europe [1,2]. The majority of these deaths would be preventable with adequate treatment. For example, the low mortality rates seen in the Netherlands can be attributed to a comprehensive physician training scheme for asthma and COPD instigated in 1986. Since then, rates of asthma-related hospital admission and asthma mortality have declined for most patient groups [9]. A similarly successful translation of the GINA guidelines into practical office-based programs has also lowered rates of morbidity and mortality in Finland [10].

The GINA and NHBLI goals of asthma (Table 2) are currently not being met [5], often due to the under use of anti-inflammatory medications such as ICS in patients with persistent disease. Primary care physicians treat the whole spectrum of asthma severity, and the experiences of The Netherlands and Finland indicate that targeted interventions in the office setting can be successful in reducing asthma morbidity and mortality [9,10].

Asthma diagnosis

A correct diagnosis of asthma, with appropriate therapy, can greatly improve patients' quality of life [3,4]. The majority of asthma patients are diagnosed on symptom presentation alone, and pulmonary function is rarely investigated. For some patients, a diagnosis of asthma will be straightforward based on symptoms and history. However, a significant proportion of patients will have their diagnosis complicated by co-morbid illness or have non-specific symptoms that may vary considerably over time. Responsiveness to short-acting β -agonists should be demonstrated, and lung function testing is recommended where possible. Spirometry can be performed reliably and reproducibly in primary care offices [11–13], although most primary care practices do not have this facility. In the absence of spirometry, it may be useful to have objective repeated evidence of reversible airflow obstruction using a peak flow meter. Single peak flow readings are of very little use diagnostically, but serial measurements to assess peak flow variability and changes in the flow after treatment can be useful in diagnosing asthma. Exercise or methacholine challenge can be performed in those difficult cases when spirometry and peak flow assessment are insufficient. Although this test is seldom available in the primary care office, it is usually available in specialty centres.

The appropriateness of the diagnosis of asthma appears to be associated with age. In very

Table 3Differential diagnosis of asthma and COPD.

COPD

- Onset in midlife
- Symptoms slowly progressive
- Long smoking history
- Dyspnea during exercise
- Largely irreversible airflow limitation with bronchodilators
- Onset in midlife

Asthma

- Onset early in life (often childhood)
- Symptoms vary from day to day
- Symptoms at night/early morning
- Allergy, rhinitis, and/or eczema also present
- Family history of asthma
- Largely reversible airflow limitation with bronchodilators

Adapted with permission from [14].

young children, overdiagnosis and unnecessary prolonged treatment may occur due to confusion with other wheezing illnesses, such as viral respiratory infection, or in children with persistent cough [14,15]. In older children and adolescents, underdiagnosis is more common, possibly due to the fear of attaching a label of asthma to a child or failure of the child to recognize symptoms as abnormal [16,17]. In adults and the elderly, differentiating between chronic obstructive pulmonary disease (COPD) and asthma may be difficult (see Table 3) [18]. For example, smokers with long-standing asthma may retain a diagnosis of asthma when they actually have COPD. In people of all ages, alternative diagnoses such as cardiac disease or cystic fibrosis in the young must be considered, especially in people not responding to asthma-related treatment.

Asthma severity classification

Despite considerable educational efforts, the asthma severity classification recommended in the GINA and NHLBI guidelines is problematic for many physicians [3,4,6-8]. The severity classification has not been validated as a clinical tool, and this may have undermined physicians' belief and confidence in the utility of this aspect of the guidelines. [19] Moreover, there are a number of practical difficulties in applying the severity classification [7,19-21].

The severity classification must be applied to patients in the absence of therapy. This is not possible in many cases even at initial diagnosis. In addition, the severity classification is not designed to allow the effect of pharmacotherapy to be assessed over time – a key clinical objective. There is also increasing evidence that severity is dynamic and variable, making the asthma severity classification for a patient at a single point in time misleading and unhelpful in adjusting therapy [22-24].

Severity classification also has limited reliability. A survey of 14 board-certified pediatric allergists and pulmonologists, based on eight case studies, found poor agreement between the physicians on the asthma severity classification (κ statistic = 0.29 [<0.4 is poor agreement]) [19]. This resulted in considerable variation in the medications suggested for each case (κ statistic = 0.03–0.39). If asthma severity classification cannot be applied reliably by a small group of asthma experts then variations are likely to be far wider in clinical practice, with resulting inappropriate pharmacotherapy.

In primary care, an easy-to-use tool is required that maximizes the ability to choose therapy based on the benefit-to-risk ratio for asthma treatment. To be used, the tool must have relevance to both physician and patient. From a practical perspective, addressing the level of control over asthma signs and symptoms is a reasonable approach with high validity for primary care physicians. [25] Symptom-based guidelines produced in Canada have improved primary care physicians' ability to manage asthma, although limited data are available [26–28]. In addition, the International Primary Care Respiratory Group is working on primary care-focused, symptom-based guidelines [20].

Treating asthma as an inflammatory disease

Airway inflammation occurs at a very early stage in asthma [29], and there is no clear cut-off in severity below which the inflammatory process is not relevant. For example, significant evidence of ongoing airway inflammation was found in a study of 18 patients with asthma who had no symptoms or medication use for the previous year [30]. Chronic inflammation in asthma disrupts normal cellular repair processes, and may lead to airway remodeling with attendant reductions in lung function [31].

Inflammation is an early and continuing process in asthma, and anti-inflammatory treatment with an anti-inflammatory medication should also be early and continuing. In the GINA, NHLBI (USA), Canadian, and most other guidelines, ICS are the preferred anti-inflammatory medication [3,4]. However, explaining the asthma inflammatory process to patients can be problematic. Some patients may better understand analogies to other common inflammatory processes, for example, the reaction to mosquito bites, or small lacerations. These examples must be relevant to the patient. It is important that physicians ensure that patients understand the underlying inflammatory cause of their disease and its chronicity. We cannot hope that patients will adhere to daily, long-term medication unless they have an appreciation of the importance and need for continuous therapy, even when their symptoms are controlled.

Asthma management

The GINA and NHLBI guidelines recommend that asthma therapy is initiated to achieve prompt control and is then stepped down once control is achieved [3,4]. The aim is to use the minimum effective dose to maintain clinical stability. Stepup therapy for immediate control of symptoms and exacerbations has been shown to be effective [32], and appears to occur frequently [33,34]. However, few patients attend a follow-up visit to allow a trial of step-down therapy. Physicians may believe that if something is working they are reluctant to change it, and patients may feel that a follow-up visit is neither necessary for helpful if their symptoms are now controlled. However, patients frequently step-down or stop therapy on their own without physician consultation. For example, in a recent survey, although 75% of patients reported using ICS, only 38% used the ICS on a daily basis as prescribed and 40% used the ICS only when they had symptoms [35].

Patients generally overestimate the control of their asthma. In the Asthma Insights and Reality surveys, 32–49% of patients experiencing severe symptoms and 39–70% of patients with moderate symptoms believed that their asthma was completely or well controlled [5]. Patients' perception of 'normal' may shift in the presence of chronic symptoms, and their expectations of treatment will be lowered. A trial of ICS with or without bronchodilators for at least two weeks, even in patients reporting that their asthma is controlled, may 'reset' their perception of normal and raise their expectations for ICS therapy.

The majority of patients experience mild intermittent or persistent asthma and should achieve symptom control with ICS mono-therapy, ICS being the safest and most effective monotherapy for persistent asthma [3,4]. The use of ICS plus long-acting β -agonist fixed combinations may be appropriate for patients who would otherwise require high-dose ICS for severe or uncontrolled asthma, such as those with daily symptoms [35]. The trend to use combination inhalers as first line therapy in all patients with persistent asthma risks subsequent overtreatment if patients are not stepped down to ICS mono-therapy once symptom control is attained. In general, patients should be started on ICS mono-therapy and the dose titrated to gain control, with add-on therapy considered only if symptoms persist [37]. A more rapid onset of ICS effect could make this easier for both patients and physicians to accept. An alternative approach to enhance rapid improvement is the use of a shortacting bronchodilator once a day while the ICS reaches a clinically effective level, usually 10 to 14 days.

Safety of asthma medications

No asthma medication is without potential side effects, which in some cases can be serious (Table 4) [4,36]. Titration of pharmacotherapy to the minimum level required to control symptoms is a key principal of asthma management.

Local adverse effects, such as dysphonia, pharyngitis candidiasis and cough, may be responsible for a lack of patient adherence to longterm ICS. Patients with certain conditions may be at increased risk of adverse events. For example, oesophageal candidiasis is more prevalent in ICS users who also have diabetes [38]. For children, both parents and physicians often express undue fear of ICS treatment, particularly with regard to its effects on growth, resulting in undertreatment and insufficient medical control of inflammation and symptoms [36,39,40]. Unfortunately, as shown by Bellamy and Harris recently [41], physicians may not solicit information adequately enough on patients' experiences or fears of adverse events [41,42].

With currently available ICS, increasing the dose may increase efficacy, but also increases the risk of adverse events. Evidence of the efficacy-to-safety benefit for ICS is usually only available for lowdose therapy, making extrapolation to high doses difficult [43,44]. Over-medication with ICS may result from the use of more potent ICS therapies and newer delivery devices, by increasing the relative effective dose received into the lungs and systematic circulation [45]. For example, growth failure in children and adrenal suppression in children and adults have been observed with moderate to high doses of fluticasone propionate

Therapy	Potential adverse events
Inhaled corticosteroids	• Cough, dysphonia, oral thrush (candidiasis).
	• In high doses systemic effects may occur: adrenal suppression, osteoporosis,
	growth suppression, and skin thinning and easy bruising.
Systemic corticosteroids	• Short-term use: reversible, abnormalities in glucose metabolism, increased appetite, fluid retention, weight gain, mood alteration, hypertension, peptic ulcer and rarely aseptic necrosis of femur.
	 Long-term use: adrenal axis suppression, growth suppression, dermal thinning hypertension, diabetes, Cushing's syndrome, cataracts, muscle weakness, and in rare instances impaired immune function.
	• Consideration should be given to coexisting conditions that could be worsened
	by systemic corticosteroids, such as herpes virus infections, varicella,
	tuberculosis, hypertension, peptic ulcers and Strongyloides.
Cromolyn sodium and nedocromil	• 15 to 20 percent of patients complain of an unpleasant taste from nedocromil
Inhaled long-acting	• Tachycardia, skeletal muscle tremor, hypokalemia, prolongation of QTc
β_2 -agonists	interval in overdose.
	• A diminished bronchoprotective effect may occur within 1 week of chronic
	therapy. Clinical significance has not been established.
Oral long-acting	\bullet Inhaled long-acting $\beta_2\text{-}agonists$ are preferred because they are longer acting
β_2 -agonists	and have fewer side effects than oral sustained-release agents.
Methylxanthines	• Dose-related acute toxicities include tachycardia, nausea and vomiting,
	tachyarrhythmias (SVT), central nervous system stimulation, headache,
	seizures, hematemesis, hyperglycemia, and hypokalemia.
	• Adverse effects at usual therapeutic doses include insomnia, gastric upset, aggravation of ulcer or reflux, increase in hyperactivity in some children, difficulty in urination in elderly males with prostatism.
Leukotriene modifiers	• No specific adverse effects to date. As with any new drug, there is possibility
	of rare hypersensitivity or idiosyncratic reactions that cannot usually be detected in initial premarketing trials
	• Elevation of liver enzymes has been reported. Limited case reports of
	 detected in initial premarketing trials Elevation of liver enzymes has been reported. Limited case reports of neversible tepatities and hyperbilirubinemia; high concentrations may develop in patients with liver impairment.
Inhaled $\beta_2\text{-agonists}$	• Tachycardia, skeletal muscle tremor, hypokalemia, increased lactic acid,
	headache, hyperglycemia.
	• Inhaled route, in general, causes few systemic adverse effects. Patients with preexisting cardiovascular disease, especially the elderly, may have adverse cardiovascular reactions with inhaled therapy.
Anticholinergics	 Drying of mouth and respiratory secretions, increased wheezing in some individuals, blurred vision if sprayed in eyes.

[36,46,47]. For patients with poorly controlled asthma, overuse of medication may result from increased dose or increased frequency of use in an attempt to control symptoms [48].

Several types of advances in ICS therapy [49] have been accomplished. The initial ICS were in a formulation easily absorbed from the mouth and GI tracts, where 30% to 40% of each dose was deposited in the mouth and gastro-intestinal (GI) tract [50-52]. Newer formulations have decreased ICS bio-availability outside the lungs, with reduced transport into the circulation and more rapid metabolism by the liver [49-52]. Additional advances have occurred with the development of newer delivery devices [49] that deliver smaller ICS particles. Holding chambers also decrease ICS deposition in the mouth and GI tract [51]. With the importance of ICS at the centre of asthma management, pharmacological strategies to improve safety continue to evolve. For example, an ICS that is delivered to the lungs in an inactive form, and then metabolized to the active form in the lungs, will soon be available. This drug has undetectable serum levels in healthy volunteers [53]. Other new ICS formulations are being considered that increase lung tissue binding to lower the drug delivered to the systematic circulation. [54]. Alternative strategies to lower the total daily dose of ICS include the addition of longacting bronchodilators or leukotriene modifiers in people with moderate to severe asthma, but not in those patients with mild persistent asthma [55–58]. Such new agents and new strategies would potentially reduce both the incidence and fear of adverse events due to ICS – an important consideration in achieving patient adherence.

Patient-focused care

Patients' beliefs regarding diagnosis, prognosis, and the efficacy, necessity and safety of therapy, will determine compliance. Thus, achieving the correct balance of risks and benefits in asthma management requires a patient-focused approach, with high-quality physician-patient communication, continuity of care, and concordance on the goals of asthma therapy leading to an agreed management plan. A multi-disciplinary approach may be a successful strategy in some settings [59]. In addition, the development of communication and teaching skills for physicians and nurses involved in asthma management fulfils a need not often met through formal medical education. Newer educational modalities, such as case-based or video scenario studies, with immediate discussion and feedback, may help increase the ability of the multi-disciplinary team to include the concept of concordance and mutual goal setting with the patient [60].

Conclusions

Current asthma guidelines are an excellent starting point to direct asthma management, but have practical limitations in primary care, requiring continued efforts to translate evidence-based guidelines into practice. Much of the difficulty in using guidelines is based on the limitations of the severity scoring system in addressing several factors: the variability in asthma symptoms over time; monitoring the impact of pharmacotherapy; and appearing meaningful and relevant to patients and primary care physicians. A focus on asthma control combined with educational efforts to direct patient-focused care should be priorities for primary care asthma guideline translation and management activities. The strengths of existing guidelines such as the hierarchy of recommended pharmacotherapy beginning with ICS, and the preeminence of patient and family asthma education, must be maintained.

Acknowledgements

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