

Age, Sex, and Reproductive Hormone Effects on Brain Serotonin-1A and Serotonin-2A Receptor Binding in a Healthy Population

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There is a need for rigorous positron emission tomography (PET) and endocrine methods to address inconsistencies in the literature regarding age, sex, and reproductive hormone effects on central serotonin (5HT) 1A and 2A receptor binding potential (BP). Healthy subjects ($n = 71$), aged 20–80 years, underwent 5HT1A and 2A receptor imaging using consecutive 90-min PET acquisitions with [¹¹C]WAY100635 and [¹⁸F]altanserin. Logan graphical analysis was used to derive BP using atrophy-corrected distribution volume (V_T) in prefrontal, mesiotemporal, occipital cortices, and raphe nucleus (5HT1A only). We used multivariate linear regression modeling to examine BP relationships with age, age², sex, and hormone concentrations, with *post hoc* regional significance set at $p < 0.008$. There were small postsynaptic 5HT1A receptor BP increases with age and estradiol concentration in women ($p = 0.004–0.005$) and a tendency for small 5HT1A receptor BP declines with age and free androgen index in men ($p = 0.05–0.06$). Raphe 5HT1A receptor BP decreased 4.5% per decade of age ($p = 0.05$), primarily in men. There was a trend for 15% receptor reductions in prefrontal cortical regions in women relative to men (*post hoc* $p = 0.03–0.10$). The significant decline in 5HT2A receptor BP relative to age (8% per decade; $p < 0.001$) was not related to sex or hormone concentrations. In conclusion, endocrine standardization minimized confounding introduced by endogenous hormonal fluctuations and reproductive stage and permitted us to detect small effects of sex, age, and endogenous sex steroid exposures upon 5HT1A binding. Reduced prefrontal cortical 5HT1A receptor BP in women vs men, but increased 5HT1A receptor BP with aging in women, may partially explain the increased susceptibility to affective disorders in women during their reproductive years that is mitigated in later life. 5HT1A receptor decreases with age in men might contribute to the known increased risk for suicide in men over age 75 years. Low hormone concentrations in adults <50 years of age may be associated with more extreme 5HT1A receptor BP values, but remains to be studied further. The 5HT2A receptor declines with age were not related to sex or hormone concentrations in this sample. Additional study in clinical populations is needed to further examine the affective role of sex–hormone–serotonin receptor relationships.

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INTRODUCTION

Epidemiological studies highlight differential sex and sex-by-age interactional patterns in the incidence of depression and suicide. The life onset risk for major depression is

1.6-fold increased in women relative to men aged 10–50 years (Kessler *et al*, 1993), but equalizes between women and men after age 55 years (Bebbington *et al*, 1998). Suicide attempts are fourfold more lethal in men than women, with the highest suicide rate occurring in men over age 75 years (Szanto *et al*, 2002). To what extent these patterns relate to brain effects of age-related decline in sex hormones (Feldman *et al*, 2002; Soules *et al*, 2001) or sex differences remain unknown.

The serotonin (5HT) 1A and 2A receptors systems in prefrontal, mesiotemporal, and occipital cortices and raphe

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nucleus (5HT1A only) have been explored as potential mediators of sex, age, and hormone effects on mood, given abnormalities noted in affective disorders (Drevets *et al*, 2007; Meyer, 2008) and modulation by sex hormones. 5HT acting at 5HT1A somatodendritic autoreceptors on raphe nucleus serotonin neurons negatively regulates downstream 5HT release and 5HT activity at postsynaptic 5HT1A, and 5HT2A receptors have inhibitory and excitatory actions on cortical targets, respectively (Barnes and Sharp, 1999; Sharp *et al*, 2007), making these receptors critical modulators of global 5HT tone.

Age and sex relationships to 5HT1A receptor binding in healthy adults have been inconsistent, potentially because of limitations in postmortem and positron emission tomography (PET) methods (Arango *et al*, 1995; Cheetham *et al*, 1990; Matsubara *et al*, 1991; Palego *et al*, 1997; Costes *et al*, 2005; Jovanovic *et al*, 2008; Meltzer *et al*, 2001; Parsey *et al*, 2002; Rabiner *et al*, 2002; Stein *et al*, 2008; Tauscher *et al*, 2001). Potential sex differences in nonspecific binding (Parsey *et al*, 2002) and menstrual cycle-related receptor fluctuations (Jovanovic *et al*, 2006) in [¹¹C]WAY100635 PET studies might have presented confounds. Furthermore, potential inverse relationships between hormones (estradiol; androgens, as mediated by aromatization of testosterone to estradiol) and 5HT1A receptor expression (Osterlund *et al*, 2000; Osterlund and Hurd, 1998; Pecins-Thompson and Bethea, 1999; Ricci *et al*, 2006; Simon *et al*, 1998; Zhang *et al*, 1999) deserve study in humans to explicate age-sex-5HT relationships.

Although the weight of evidence reveals an absence of sex differences in 5HT2A BP_P (Adams *et al*, 2004; Biver *et al*, 1996; Frokjaer *et al*, 2009; Meyer *et al*, 1999; Rosier *et al*, 1996), 5HT2A receptor binding was positively associated with increases in estradiol in men and women (Frokjaer *et al*, 2010; Kugaya *et al*, 2003; Moses *et al*, 2000), similar to experimental animals (Cyr *et al*, 1998; Sumner and Fink, 1995, 1998). Whether the well-described inverse association between age and 5HT2A receptor binding (Meltzer *et al*, 1998) differs by sex, as suggested by nonlinear 5HT2A receptor decreases that were greater in midlife (ages 20–45 years) relative to older subjects (ages 46–70 years) in a predominantly female sample (Sheline *et al*, 2002), remains to be studied.

In the current study, we addressed prior limitations and unanswered questions through scanning of a large cohort ($n = 71$) of healthy subjects aged 20–80 years with arterial-based quantification of PET data with assessment of sex, age, and hormone effects on nonspecific tracer factors. We 'standardized' women endocrinologically by scanning ovulatory, premenopausal women in their follicular menstrual cycle phase and by confirming hypogonadal status in postmenopausal women. Regions of interest included prefrontal, mesiotemporal, and occipital cortices as well as raphe nucleus (5HT1A only). We hypothesized that the abrupt menopausal decline in estradiol would be associated with increases of 5HT1A receptor binding potential (BP) with aging that would be less pronounced in men, given more gradual androgen decreases with aging. We also tested the hypothesis that the age-related decline in 5HT2A was associated with decreased gonadal steroids in late life (Moses *et al*, 2000), and sought to replicate the curvilinear inverse relationship between age and 5HT2A receptor

binding in women (Sheline *et al*, 2002) and the linear inverse relationship between age and 5HT2A receptor binding in men (Meltzer *et al*, 1998). We hypothesized that 5HT receptor binding in all brain regions examined would have consistent relationships with age, sex, and steroids as suggested by consistent 5HT receptor genomic expression across brain regions (David *et al*, 2005).

SUBJECTS AND METHODS

Subjects

5HT receptor data in relation to amygdala activity to negative emotional faces within a subset of this cohort have been previously published (Fisher *et al*, 2006, 2009). Subjects provided written informed consent as approved by the University of Pittsburgh Biomedical Institutional Review Board. Healthy subjects screened by the Structured Clinical Interview for DSM-IV (First *et al*, 1998) and the Hamilton Scale for Depression (Hamilton, 1960) were recruited in a sex-balanced fashion from age 18 through 80 years. To optimize scan acquisition in women during times of low circulating steroids, we excluded perimenopausal women, whose hormone concentrations are less predictable. Premenopausal women were younger than age 50 years and were scanned after confirmation of an ovulatory cycle (21-day progesterone ≥ 25 nmol/l) and between days 2–9 of a subsequent menstrual cycle. Postmenopausal women were ≥ 50 years of age with estradiol concentrations < 40 pg/ml, FSH > 30 IU/l, and > 1 year of amenorrhea. Premenopausal women were free from oral contraceptives for 3 months or depo-medroxyprogesterone acetate for 1 year, were more than 6 months post-breastfeeding, and more than 2 years postpartum. Postmenopausal women were free from hormone treatment in the preceding 2 years and had < 5 years of lifetime hormone therapy. Although prior hysterectomy or oophorectomy were excluded initially, we ultimately included 3 women with remote hysterectomy/oophorectomy because it was so common in women over 60 years.

Subjects had no personal history of a major Axis I disorder and no family history of a mood or psychotic disorder. Subjects were excluded if they had medical or neurological illnesses likely to affect cerebral physiology or anatomy, gross abnormalities of brain structure evident by magnetic resonance images (MRI), suicidal intent, substance abuse within 1 year, lifetime history of substance dependence (other than nicotine), eating disorders, or exposure to medications likely to alter cerebral physiology. After consent and screening of 176 subjects, 115 were eligible, of whom 79 were scanned and 70 and 62 produced usable WAY100635 and altanserlin data, respectively (Supplementary Figure A).

Endogenous hormones were characterized through menstrual cycle charting and scan day measurement (0945–1215 hours) of thyroid-stimulating hormone (TSH), free thyroxine (fT4), dehydroepiandrosterone sulfate (DHEAS), and sex hormone-binding globulin (SHBG) in all subjects and estradiol and progesterone in women and total testosterone (TT) in men. Free androgen index (FAI) was calculated as (total testosterone (nmol/l) \div sex hormone-binding globulin (nmol/l)) $\times 100$. Estradiol, progesterone,

TT, ft4 (Coat-A-Count, Siemens, Los Angeles, CA), and DHEAS (Diagnostic Systems Laboratories, Webster, TX) were measured by radioimmunoassay. TSH and SHBG were measured by time-resolved fluoroimmunoassay (DELFLIA, Perkin Elmer, Boston, MA). Intra- and inter-assay coefficients of variation (CVs) for each assay were <10%. All specimens were analyzed in duplicate and in the same assay run to reduce variability. Log-base 10 transformation was used to induce a normal distribution for use in models of receptor binding.

Image Acquisition

To provide an anatomical framework for PET data analysis, MRIs were obtained using a 1.5 T Signa Scanner (GE Healthcare, Milwaukee, WI) and a three-dimensional (3D) spoiled gradient recalled sequence. PET scans were acquired on an ECAT HR+ PET scanner (Siemens, Erlangen, Germany) in 3D mode (Meltzer et al, 2004). A 10-min transmission scan was obtained for attenuation correction using rotating $^{68}\text{Ge}/^{68}\text{Ga}$ rods. Subjects underwent consecutive 90-min PET acquisitions of both [^{11}C]WAY100635 and [^{18}F]altanserin, separated by 10 min, which allowed for 100 min (five half-lives) between radioligand injections. Radiosyntheses of [*carbonyl*- ^{11}C]WAY100635 (McCarron et al, 1996) and [^{18}F]altanserin (Lemaire et al, 1991; Soloff et al, 2010) were performed as previously described. The [^{11}C]WAY100635 dynamic emission scan (34 frames of increasing length over 90 min) occurred after IV bolus administration of 8.0 to 16.6 mCi (mean \pm SD = 13.9 ± 2.0) of high specific activity [^{11}C]WAY100635 (2.1 ± 1.1 mCi/nmol at the time of injection). The [^{18}F]altanserin dynamic emission scan (22 frames of increasing length over 90 min) occurred after IV bolus administration of 6.3 to 7.8 mCi (mean \pm SD = 7.2 ± 0.3) of high specific activity [^{18}F]altanserin (11.3 ± 17.2 mCi/nmol at time of injection). Arterial blood sampled during scanning was corrected for radiolabeled metabolites to compute the plasma input function for [^{11}C]WAY100635 (Bailer et al, 2005) and [^{18}F]altanserin (Henry et al, 2004) scans. Plasma samples of [^{11}C]WAY100635 and [^{18}F]altanserin were obtained at 2, 10, 30, 60, and 90 min after injection to correct the input function for radiolabeled metabolites. Plasma protein binding of [^{11}C]WAY100635 and [^{18}F]altanserin was measured by ultracentrifugation using the Centrifree membranes to determine the free tracer fraction (f_p).

Image Analysis

Brain regions of interest (ROIs) (average of right and left hemispheres) selected *a priori* based upon regional 5HT1A and 5HT2A receptor abnormalities in depression and suicide and associations with sex, age, and hormones were: amygdala, hippocampus, lateral orbitofrontal cortex, pregenual cortex, subgenual cortex, anterior cingulate gyrus, occipital cortex, and raphe nucleus (encompassing median and dorsal raphe—5HT1A receptor studies only). Because the raphe nuclei cannot be resolved on MR, ROI placement was guided along the *z* axis by PET scan and was drawn on seven MR planes superior to the interpeduncular cistern (Meltzer et al, 2001). A reference region for assessing nonspecifically bound and free radioligand,

[^{11}C]WAY100635 V_{ND} , was defined in cerebellar gray matter using guidelines that excluded the vermis (Parsey et al, 2005) and minimized the spill-in effects from neighboring cortex (Bailer et al, 2005; Drevets et al, 1999; Meltzer et al, 2001, 2004; Price et al, 2002b). The cerebellar white matter was not used because it did not exhibit *in vivo* kinetics consistent with nondisplaceable uptake in 5HT1A receptor-rich areas. The cerebellum was assumed to have minimal levels of specific [^{18}F]altanserin binding and to provide a reasonable estimate of [^{18}F]altanserin V_{ND} , despite overestimation of the nonspecific component as a result of the blood-brain barrier-permeable radiometabolites (Lammertsma and Hume, 1996).

PET images were aligned with MR images using automated image registration (Woods et al, 1993). ROIs were manually traced on the MR image using a modified version of the IDL-based (Interactive Data Language, Boulder, CO) computer program, ROITool, of CTI PET Systems (Knoxville, TN) according to published guidelines (Drevets et al, 1999; Meltzer et al, 2001). Regional tissue time-activity concentrations were obtained from the dynamic PET image for each ROI. Logan graphical analysis with generalized linear least squares smoothing (Bailer et al, 2005; Logan et al, 2001; Price et al, 2002a) was applied to the arterial input function and regional tissue time-activity concentrations to derive [^{11}C]WAY100635 and [^{18}F]altanserin distribution volume (V_T). To control for the dilution effect of expanded CSF spaces on brain radioactivity concentrations, we corrected V_T for atrophy in all subjects using MR-based correction factors that varied from 0 to 1 (no dilution) (Meltzer et al, 1990, 1999). The [^{11}C]WAY100635 and [^{18}F]altanserin binding potential measures (BP_P and BP_{ND}) were derived according to traditional relationships: $\text{BP}_P = V_T - V_{\text{ND}}$ and $\text{BP}_{\text{ND}} = V_T / V_{\text{ND}} - 1$ (Innis et al, 2007), where V_T is regional distribution volume of tracer and V_{ND} is cerebellar reference tissue volume of distribution. We derived both BP_P and BP_{ND} as both measures have been used in prior 5HT1A and 5HT2A receptor studies. BP_P relies upon f_p in plasma whereas BP_{ND} relies upon free and nonspecific binding in the cerebellar reference tissue (V_{ND}). Because our study results are largely consistent for the two BP outcome measures, tabulated and graphical data are presented herein for BP_P and in Supplementary Tables for BP_{ND} .

Statistical Analysis

We used multivariate general linear regression modeling in which we tested the main effects of age, age², sex, and an age-by-sex interaction on atrophy correction factors, f_p , V_{ND} , BP_{ND} , and BP_P , for all regions of interest, separately for 5HT1A and 2A receptor binding. 5HT1A receptor BP was evaluated in two separate regression models given different physiology of postsynaptic from presynaptic (raphe) regions, whereas 5HT2A receptor BP was evaluated in a single regression model. In all models, age was centered to limit nonessential multicollinearity that is induced with creation of the interaction term and higher-order effects that are a function of age. We present tabulated regression coefficients (β) (Tables 2 and 3) as centered age 'per decade' (β divided by 10) to aid interpretation because regression coefficient values were small. We followed the

same procedure in sex-stratified groups, in which log₁₀ (estradiol) and log₁₀ (free androgen index) were added as covariates to the models. Our threshold for significance was $p < 0.05$ for each multivariate six-region regression as well as regressions for cerebellar V_T and raphe BP, which were control and secondary ROIs, respectively. We set $\alpha = 0.008$ (0.05/6) to adjust for multiple *post hoc* exploratory univariate tests by region. Linear mixed modeling was used in the analysis of age and sex effects on the metabolism of parent radioligand over the course of scanning with denominator degrees of freedom approximated based on the Satterthwaite method.

We evaluated the effects of potential confounding variables by examining the relationship of sex, age, and BP with subject characteristics (Table 1) using linear regression and contingency table analysis with χ^2 tests of independence. Variables that were at least marginally associated with the dependent measure ($p < 0.10$; body mass index (BMI), hormone concentrations (TSH, free T₄, and DHEAS), and injected radioligand mass) were then added together to the regression models. All of these

covariates were ultimately dropped from the final model because of absence of significant association with receptor BP.

RESULTS

Demographics

Of the 71 subjects with usable [¹¹C]WAY100635 or [¹⁸F]altanserin scan data, there were 37 men and 34 women participants with age that ranged from 20.1 to 80.6 years (Table 1). The sample was largely Caucasian (83%) and well educated with 62% having received a college or postgraduate degree. Depressive and anxiety scale scores were not different on the basis of sex or age.

Reproductive Hormone Data

Three postmenopausal women (ages 67–80 years) had past hysterectomy and/or oophorectomy between ages 40–42 years. Estradiol concentration was higher in the

Table 1 Sample Characteristics (Mean \pm SD)

	Men		Women	
	Mean \pm SD or proportion	%	Mean \pm SD or proportion	%
<i>n</i>	37		34	
Age	47.88 \pm 18.00		48.57 \pm 16.98	
Education \geq college degree	22/37	59.46%	22/34	64.71%
Caucasian	32/37	86.49%	27/34	79.41%
Hamilton depression rating scale score (17-item)	1.74 \pm 1.87		2.27 \pm 2.20	
STAI raw score trait anxiety	27.35 \pm 5.41		29.03 \pm 6.60	
Body mass index	25.63 \pm 3.65		25.59 \pm 4.76	
TSH (μ U/ml)	1.53 \pm 1.14		1.88 \pm 2.05	
Free thyroxine (ng/dl)	1.02 \pm 0.27		1.37 \pm 1.57	
Estradiol ^a (pg/ml)	\pm		20.97 \pm 29.67	
Progesterone	—		0.5 \pm 0.2	
Free androgen index (ng/ml) ^a	47.64 \pm 20.75		—	
DHEAS ^{**} (μ g/dl)	188.68 \pm 258.36		96.38 \pm 72.32	
<i>Radioligand characteristics</i>				
WAY100635 cerebellar V_T (ml/cm ³)	0.99 \pm 0.24		0.98 \pm 0.29	
WAY100635 injected dose (mCi)	14.83 \pm 1.90		13.68 \pm 2.01	
WAY100635 specific activity (mCi/nmol)	2.14 \pm 1.05		1.95 \pm 1.02	
WAY100635 injected mass (μ g)	3.62 \pm 2.17		3.69 \pm 1.79	
WAY100635 f_p	0.11 \pm 0.04		0.11 \pm 0.07	
Altanserin cerebellar V_T^{**} (ml/cm ³)	1.36 \pm 0.39		1.53 \pm 0.35	
Altanserin injected dose (mCi)	7.12 \pm 0.37		7.19 \pm 0.29	
Altanserin specific activity (mCi/nmol)	11.06 \pm 11.73		12.23 \pm 22.43	
Altanserin injected mass ^{***} (μ g)	0.70 \pm 0.70		0.93 \pm 0.92	
Altanserin f_p	0.01 \pm 0.01		0.01 \pm 0.01	

^aPearson's correlations relative to age: Estradiol -0.50 , $p < 0.01$. Free androgen index -0.69 , $p < 0.001$. DHEAS (male) -0.30 , $0.5 < p < 0.10$. DHEAS (female) -0.44 , $p < 0.05$.

For age and sex effect, $*p < 0.05$.

For age \times sex interaction, age, and sex effect, $**p < 0.05$.

For age \times sex interaction and age effect, $***0.5 < p < 0.10$.

postmenopausal intact women (7.40 vs 4.00 ng/ml). Mean scan day estradiol concentration among all women participants was low (20.4 ± 29.5 pg/ml), as was progesterone in premenopausal women (0.5 ± 0.2 ng/ml), indications of accurate scan timing during the early follicular phase or menopause (Table 1). FAI in men was 4.7 ± 2.1 . As expected, estradiol and FAI concentrations were inversely correlated with age, but were not thought to cause multicollinearity in our regressions because correlations were <0.8 . Pearson bivariate correlations and traditional multicollinearity statistics were examined in univariate models without observed multicollinearity.

Potentially Confounding PET Variables: Atrophy Correction, Radioligand CER V_T , Free Fraction, and Metabolism

Atrophy-correction factors across all ROIs decreased with aging as expected (increasing atrophy) (mean $\beta < -0.05$ per decade, $p < 0.001$). Atrophy-correction factors were increased in women relative to men (mean $\beta = 0.014$ per decade, $p = 0.004$). There were no significant associations for age or sex, or age-by-sex interactions on [^{11}C]WAY100635 and [^{18}F]Altanserin injected mass or on Altanserin metabolism over the scan duration. There was a significant sex-by-age interaction ($p = 0.013$) on the free fraction (f_p) of [^{11}C]WAY100635 in plasma, with an age-associated increase in men and analogous decrease in women, that did not impact relationships of age, sex, and hormone concentration with WAY100635 BP_P (Supplementary Table B). Altanserin f_p , low as described previously (Haugbol et al, 2007), was not related to age or sex variables.

In the full sample of men and women, 5HT1A cerebellar V_T trended toward a decline with age ($\beta = -0.03$ per decade of life, $p = 0.07$), that plateaued at later ages, as described by a significant age² association with V_T ($\beta < 0.01$ per decade, $p = 0.01$). This later-age plateauing was also noted for women ($\beta = 0.01$ per decade, $p = 0.002$; Table 2).

5HT2A receptor cerebellar V_T increased with age in the full sample ($\beta = 0.08$ per decade, $p = 0.002$), as driven by this relationship in women ($\beta = 0.16$ per decade, $p = 0.001$). In women, estradiol concentration was also associated with increased cerebellar V_T ($\beta = 0.30$; $p = 0.03$; Table 3).

5HT1A Receptor PET Data

There was no significant age-by-sex interaction for 5HT1A receptor BP (see Table 2). 5HT1A receptor BP was reduced in women relative to men in postsynaptic prefrontal (LOF, SGC, PRE) and occipital (OCC) regions in the range of 16–19% (BP_{ND}) and 9–18% (BP_P) (*post hoc* $p = 0.03$ – 0.10 ; Figure 1), but did not survive the *post hoc* regional correction for multiple testing ($p < 0.008$). 5HT1A receptor BP was nonsignificantly lower in women relative to men in amygdala and raphe regions as well. Raphe 5HT1A receptor BP was inversely related to age or age² (4.5% decrease per decade; $p = 0.05$).

In the sex-stratified model for postsynaptic 5HT1A receptor BP in men, there was a small inverse relationship between BP and age ($p = 0.05$) and FAI ($p = 0.06$), accounting for only 1–9% of the variance in BP. These results remained at the borderline of significance in a sensitivity analysis that excluded an older male outlier with low BP values. Large magnitude inverse relationships between hippocampal BP and age ($\beta = -0.74$; $p = 0.09$) and log₁₀

Table 2 Model Results for Age, Sex, and Steroid Hormone Effects on 5HT1A Receptor BP_P

	All subjects (n = 70)			Men (n = 36)			Women (n = 34)		
	Centered age per decade	Female sex		Centered age per decade	Free androgen index ^a		Centered age per decade	Estradiol ^a	
Six-region regression	F(6, 62) = 2.28*	F(6, 62) = 1.10		F(6, 28) = 2.47*	F(6, 28) = 2.46*		F(6, 26) = 4.04*	F(6, 26) = 4.37*	
	β	β	R^2	β	β	R^2	β	β	R^2
Amygdala	0.01	-0.21	0.003	0.07	0.50	0.002	-0.09	-0.29	0.01
Hippocampus	-0.25	0.26	0.03	-0.74**	-5.56	0.09	-0.19	-0.21	0.01
Lateral Orbitofrontal	0.03	-0.35**	0.04	-0.13	-1.68	0.05	0.23*	0.63*	0.19*
Occipital	-0.05	-0.31**	0.07**	-0.09	-0.79	0.03	0.07	0.65*	0.21*
Pregenua Cingulate	0.09	-0.54**	0.07**	-0.09	-2.21	0.06	0.26**	0.70**	0.12
Subgenual Cingulate	0.04	-0.50**	0.04	-0.09	-1.21	0.01	0.22	0.62	0.07
ANOVA	F(2, 67) = 2.31		F(2, 33) = 1.56		F(3, 30) = 1.92				
Raphe	-0.14*	-0.16	0.06	-0.26**	-1.38	0.09	-0.11	-0.07	0.16
ANOVA	F(3, 66) = 3.30*		F(2, 33) = 1.13		F(3, 30) = 5.13*				
Cerebellar V_T^b	-0.03**	0.02	0.13*	-0.04	-0.44	0.06	-0.04	3×10^{-3}	0.34*

^aLog-base 10 transformed.

^bNot reported in table is the significant, small relationship between age² and Cerebellar V_T in the full sample and women subsample.

* $p < 0.05$.

** $0.05 < p < 0.10$.

Here, β is the unstandardized regression coefficient.

Table 3 Model Results for Age, Sex, and Steroid Hormone Effects on 5HT2A Receptor BP_P

	All subjects (n = 62)			Men (n = 33)			Women (n = 29)				
	Centered age per decade	Centered age ² per decade	Female Sex	Centered age per decade	Free androgen index ^a	Centered age per decade	Centered age ² per decade	Estradiol ^a			
Six-region regression	F(6, 53) = 7.99*	F(6, 53) = 2.91**	F(6, 53) = 1.06	F(6, 25) = 3.11**	F(6, 25) = 1.22	F(6, 20) = 4.46**	F(6, 20) = 2.79**	F(6, 20) = 2.20***			
	β	β	β	R ²	β	β	R ²	β	β	β	R ²
Amygdala	-0.06*	<0.001	0.11**	0.29*	-0.08**	-0.41	0.22**	-0.09**	<0.001	-0.07	0.46**
Hippocampus	-0.07*	<0.001	0.03	0.24**	-0.05	0.14	0.12	-0.13*	<0.001	-0.15**	0.60*
Lateral Orbitofrontal	-0.16*	<0.001 ^a	0.13	0.41*	-0.19*	-0.49	0.35**	-0.12**	<0.001**	0.15	0.53*
Occipital	-0.15*	<-0.001	0.15	0.40*	-0.21*	-0.85	0.40**	-0.13**	<0.001	0.06	0.47**
Pregenual Cingulate	-0.15*	<0.001	0.20***	0.34*	-0.20**	-0.93	0.26**	-0.15**	<0.001	0.07	0.47**
Subgenual Cingulate	-0.17*	<0.001	0.17	0.30*	-0.15***	-0.02	0.18**	-0.14**	<0.001***	0.17	0.50*
ANOVA	F(2, 59) = 6.97**			F(2, 30) = 1.71	F(2, 26) = 7.3**						
	β	—	β	R ²	β	β	—	β	β	β	R ²
Cerebellar V _T	0.08**	—	0.15***	0.19**	0.07	-0.02	0.10	0.16**	—	0.30**	0.36**

^aLog-base 10 transformed.

* $p < 0.001$.

** $p < 0.05$. *** $0.05 < p < 0.10$.

Here, β is the unstandardized regression coefficient.

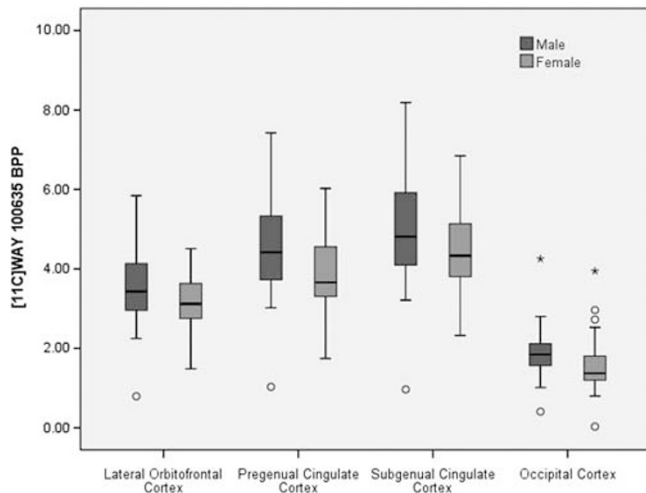


Figure 1 Reduced cortical 5HT1A receptor binding in women relative to men. Postsynaptic 5HT1A receptor BP_P was reduced in women relative to men in lateral orbitofrontal (9.3%; $p = 0.06$), subgenual (10.5%, $p = 0.06$), pregenual (12.4%, $p = 0.03$), and occipital cortex (18.0%; $p = 0.03$). The BP_{ND} and BP_P results were entirely consistent (Supplementary Table A). Of note is one consistently extreme value in the male sample. Sensitivity analysis excluding this subject did not impact these results. This pattern of reduced 5HT1A receptor BP_P in women could contribute to the greater risk for affective disorders in women relative to men!. *Extreme outliers, °outlier.

(FAI; $\beta = -5.56$; $p = 0.19$) were not significant. The inverse relationship between 5HT1A receptor BP and FAI appeared to be driven by high receptor BP in several men < 50 years old with low FAI values (Supplementary Figure B1). Presynaptic raphe 5HT1A receptor BP tended to be inversely related to age in men ($\beta = -0.26$; $p = 0.096$), but did not survive the sensitivity analysis.

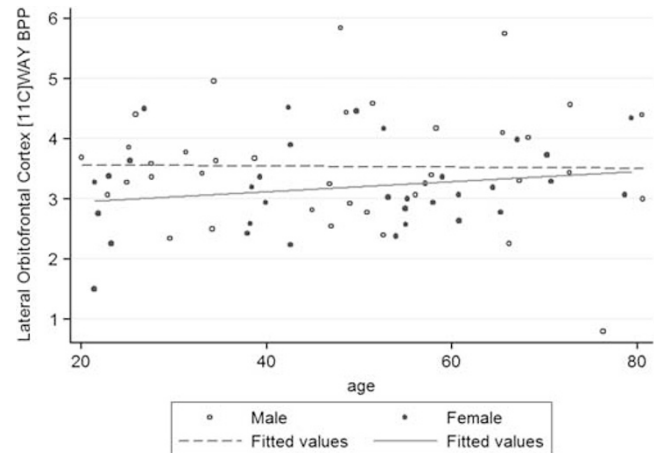


Figure 2 Age-5HT1A receptor BP_P relationships in men and women. There are small differences in sex-age relationships to 5HT1A receptor BP in LOF. For women, increased postsynaptic 5HT1A receptor BP was associated with increasing age ($p = 0.005$). In men, there was a tendency for increasing age to be associated with stable or decreasing BP.

For women, increased postsynaptic 5HT1A receptor BP was associated with increasing age ($p = 0.005$; Figure 2) and estradiol concentration ($p = 0.004$; Supplementary Figure B2; note that slope is small). These associations were small to medium, accounting for 12–21% of the variance in BP in these regions. The *post hoc* regional analyses showed greatest associations in lateral orbitofrontal ($p = 0.02$), occipital ($p = 0.02$ – 0.4), and pregenual cortices (0.06–0.08). Figure 2 displays how small the sex-related differences are for age-5HT1A receptor associations. The positive relationship between 5HT1A receptor BP and estradiol appeared to be driven by low receptor binding in several premenopausal women with low estradiol values (Supplementary Figure

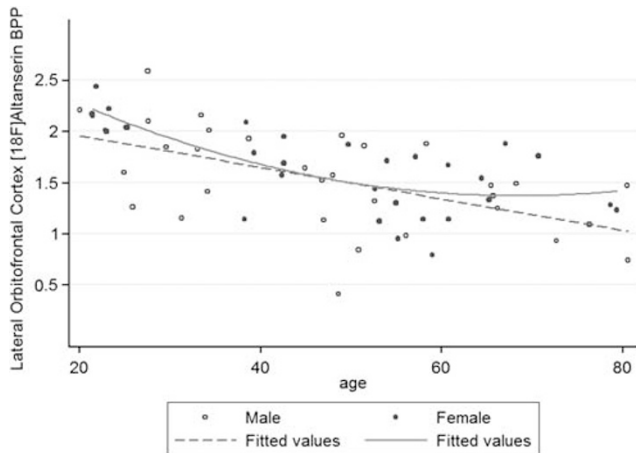


Figure 3 Age-related decreases in cortical 5HT2A receptor BP_p in men and women. The age-related decline (~8% per decade in the full sample) in lateral orbitofrontal cortical 5HT2A receptor BP_p is representative of all regions of interest. The significance of the distinct model fits for men and women (linear vs curvilinear) is diminished by the lower number of data points at the extremes of age for men (only 1 man <23 years relative to 3 women) and women (only 2 women over age 70 years relative to 4 men) and absence of data for several age categories in the women (27–38 and 70–78 years).

B2). Neither reproductive hormones nor age were significantly related to raphe BP.

5HT2A Receptor PET Data

There was no significant age-by-sex or age²-by-sex association with 5HT2A receptor BP (see Table 3). 5HT2A receptor BP decreased significantly with age ($p < 0.001$) as expected, which persisted in the sex-stratified model for men and women. There was a very small, positive, curvilinear relationship of age to 5HT2A BP in the full sample and in women (mean $\beta < 0.001$ per decade), but not in men (Figure 3). The significance of the divergence of model fits for men and women is diminished by the lower number of data points at the extremes of age for men (only 1 man <23 years relative to 3 women) and women (only 2 women over age 70 years relative to 4 men) and absence of data for several age categories in the women (27–38 and 70–78). There was a trend for women to have higher 5HT2A receptor BP_p relative to men in amygdala ($p = 0.02$) and pregenual cortex ($p = 0.6$), which was not confirmed in the BP_{ND} model (Supplementary Table C). Neither estradiol nor FAI was significantly associated with 5HT2A receptor BP.

DISCUSSION

We found small-to-moderate size associations between 5HT1A/5HT2A receptor BP, sex, age, and reproductive hormones but no age-by-sex interaction relationships. There was a mean 15% reduction in 5HT1A receptor BP in women relative to men in prefrontal and occipital cortex (*post hoc* $p = 0.03$ – 0.10). Age and reproductive hormones were associated with *increases* in 5HT1A receptor BP in women ($p = 0.004$ – 0.005) and borderline significant

decreases in 5HT1A receptor BP in men ($p = 0.05$ – 0.06). Hormone-5HT1A receptor relationships were driven by extreme 5HT1A receptor data in subjects <50 years of age with low hormone concentrations. The significant decline in 5HT2A receptor BP relative to age (8% per decade) was similar for men and women and was not related to reproductive hormones. Similarity of regression results for 5HT receptor BP_{ND} and BP_p suggests negligible confounding influences on relationships between age and V_{ND}. These reported findings are discussed below for each receptor system separately.

Serotonin-1A Receptor Binding

Presynaptic raphe nucleus 5HT1A receptor BP decreased with age (4.5% decrease per decade) in the full sample (6% variance in BP; $p = 0.05$), which appeared to be driven by trend-level findings in men. The relationship of age with postsynaptic 5HT1A receptor BP tended to be sex dependent, wherein increasing age was associated with increasing BP in women, but stable or decreasing BP in men (Figure 2). These relationships were greatest for women in neocortical regions and for men in hippocampus and raphe. The sex-hormone-5HT1A receptor BP relationships were also sex dependent, wherein increasing FAI was associated with reduced 5HT1A receptor BP in men (most strongly in hippocampus), but increasing estradiol was associated with increased BP in women (primarily neocortex). These hormone-5HT1A receptor relationships were driven by extreme 5HT1A receptor BP values in subjects <50 years of age with low hormone concentrations. The combined age and hormone effects were small in men, accounting for 1–9% of the variance in BP, and small to moderate in women, accounting for 20% of variance in BP. Our finding of 15% neocortical 5HT1A receptor BP decreases in women relative to men (*post hoc* $p = 0.03$ – 0.10), which exceeds that of test-retest variability in cortical regions (Parsey et al, 2000), but did not reach significance in the overall regression or regional *post hoc* tests, suggests that this finding warrants additional study. Notably, we observed consistent 5HT1A receptor reductions in women relative to men in all brain regions (except in hippocampus where BP was equivalent), although the smaller size of amygdala and raphe regions increased 5HT1A receptor BP measurement variability, thus decreasing the significance of differences.

An incremental decline in presynaptic raphe 5HT1A receptor BP with aging, particularly for men, and the trend toward an aging-related decline in postsynaptic 5HT1A receptor BP in men, most prominently in hippocampus, could be contributory to neural mechanisms for late-life depression and suicide given strong associations of 5HT1A receptor deficits in these regions with the pathogenesis of MDD and suicide (Arango et al, 1995, 2001; Blier and DeMontigny, 1987; Bowen et al, 1989; Drevets et al, 1999; Mann, 1999; Meltzer et al, 2004; Parsey et al, 2006; Sargent et al, 2000; Stockmeier et al, 1998). Furthermore, a specific age-related decline in hippocampus in men and women could also contribute to aging-related risk for cognitive disturbance (Sarnyai et al, 2000). Androgens were also associated with reductions in 5HT1A receptor BP, similar to preclinical reports (Ricci et al, 2006; Simon et al, 1998;

Zhang *et al*, 1999), a relationship most robust in men <50 years old. That age and androgen concentration were both associated with 5HT1A receptor reductions, however, argues against a mediating role for male steroid decline in aging-related 5HT1A receptor decreases, given that androgen concentrations decrease with aging. Conversely, it is conceivable that the inverse relationship between androgen concentrations and 5HT1A receptors might mitigate against larger 5HT1A receptor BP decreases in men in late life. In our previous study where we reported an age-associated decrease in postsynaptic 5HT1A receptor BP in men, there were small age-related increases in [¹¹C]WAY100635 V_{ND} (Meltzer *et al*, 2001). It is notable that in the larger sample in the current study, we observed small age-related decreases in [¹¹C]WAY100635 V_{ND} ($p = 0.054$), which may explain why a stronger age-related decline was not detected in this study.

For women, the apparent sex-based decrease in neocortical 5HT1A receptor BP, relative to men, appears to be mitigated in later life, as women had age-associated increases in BP. This pattern parallels the epidemiological statistics of greater risk for affective disorders in reproductive-aged women relative to men, which equalizes when women reach menopause (Bebbington *et al*, 1998; Kessler *et al*, 1993). A positive relationship between estradiol and 5HT1A receptor BP was unexpected based on animal literature data of 5HT1A receptor density and expression reductions after estradiol administration (Osterlund *et al*, 2000; Osterlund and Hurd, 1998). Premenopausal women with both low estradiol concentrations and low 5HT1A receptor BP appeared to be driving this association. As with men, in women, age and sex steroids appeared to have opposite effects on 5HT1A receptor BP, which may mitigate against larger age-related changes.

Whether the sex, age, and hormone effects accounting for 9–20% of the variance in 5HT1A receptor BP exert behavioral effects is not known from this study design. Certainly, the complete absence of 5HT1A receptors was associated with high levels of behavioral inhibition and depressive behavior (5HT1A knockout model; Ramboz *et al*, 1998) and reductions were associated with MDD (Drevets *et al*, 2000) and anxiety disorders (Lanzenberger *et al*, 2007). As postsynaptic 5HT1A receptors also serve functions such as gating action potentials of hippocampal CA1 pyramidal cells and stimulating glial release of trophic factors that promote 5HT neuronal outgrowth (Azmitia, 1999), it is conceivable that small age–sex–hormone associations with 5HT1A receptor binding could increase affective disorder risk in vulnerable individuals. Furthermore, extremely high androgen or low estradiol concentrations in young men and women, respectively, appear to have important effects on 5HT1A receptor BP.

The validity of the 5HT1A receptor BP findings is strengthened by our control for potential age–sex effects on plasma f_p and nonspecific binding (V_{ND}). Although the age-by-sex interaction effect on WAY100635 f_p is noteworthy (age-associated increase in men and decrease in women), and replicates a previous trend (Parsey *et al*, 2002), we confirmed that this did not impact BP_P model results when f_p was added as a covariate (Supplementary Table B). Furthermore, we confirmed that BP_{ND} model results (Supplementary Tables A and C), which do not

rely upon f_p , were consistent with BP_P model results. Similarly, the trend finding of age-associated decreases in [¹¹C]WAY100635 cerebellar V_T in the full sample did not influence the model results because of close consistency between BP_{ND} and the BP_P model results, the latter of which does not rely on free and nonspecific radiotracer binding in brain. We found no sex differences in [¹¹C]WAY100635 V_{ND} .

Serotonin-2A Receptor Binding

We found ~8% decreases in 5HT2A receptor BP per decade of age across the full sample of men and women, similar to that reported previously (Adams *et al*, 2004). Although we were able to replicate the finding of Sheline *et al* (2002) of a nonlinear inverse relationship between BP and age in women, lack of data for age extremes (particularly for younger men and older women) limits the ability of these data to support differential age-related patterns of 5HT2A receptor decreases in women *vs* men (Figure 3).

Endogenous estradiol was not associated with 5HT2A receptor BP in women, which replicates one study in women (Frokjaer *et al*, 2008), but contrasts with a study in healthy men that described a positive association between estradiol and neocortical 5HT2A receptor BP (Frokjaer *et al*, 2010). It is noteworthy that the regression coefficient (0.06–0.17) for estradiol in our models of neocortical 5HT2A approximates that found in men (Frokjaer *et al*, 2010), suggesting we may have been underpowered to detect the significance of an estradiol–5HT2A relationship in our female subgroup. FAI was not associated with 5HT2A receptor BP; however, we did not measure estradiol in men and therefore cannot assess whether aromatization of testosterone to estradiol was related to receptor BP. The trend finding of increased 5HT2A receptor BP_P in women is negated by the finding of no sex difference for 5HT2A receptor BP_{ND} in this sample and the absence of sex differences in a larger cohort ($n = 136$) (Erritzoe *et al*, 2009), and other studies (Biver *et al*, 1996; Frokjaer *et al*, 2009; Meyer *et al*, 1999; Rosier *et al*, 1996).

Increased 5HT2A receptor binding is associated with neuroticism, depression, suicide, and eating disorders. Hypotheses abound regarding whether these findings relate to genetic expression of the receptor or regulation relative to central 5HT tone. In the case of aging, the loss of 5HT2A receptors is expected to be a result of aging-related loss of neuropil, as these receptors predominantly are on pyramidal cells. Because of the role of 5-HT2A receptors in stimulating downstream neurons (Barnes and Sharp, 1999; Sharp *et al*, 2007), their diminution over aging are hypothesized to contribute to aging-related deficits in cognition, sleep, and mood (Meltzer *et al*, 1998).

Similar to what we noted for [¹¹C]WAY100635, the validity of [¹⁸F]altanserin findings is strengthened by our control for potential age–sex effects on plasma free radiotracer fraction (f_p) and nonspecific binding (V_{ND}). For [¹⁸F]altanserin, increasing age was associated with increasing cerebellar V_T in the full sample as previously reported (Adams *et al*, 2004; Eastwood *et al*, 2001; Meltzer *et al*, 1998) and in women alone. In women, estradiol concentrations were also associated with increasing cerebellar V_T as

previously described in men (Frokjaer *et al*, 2010). Age and estradiol relationships with V_{ND} may be contributory, but are not thought to influence the BP outcomes, as the same age and estradiol-associated decreases observed for altanserin BP_{ND} were also present for altanserin BP_p . The tendency for increased cerebellar V_T in women relative to men concurs with one (Adams *et al*, 2004) but not another study (Erritzoe *et al*, 2009), and does not appear to impact BP in our study. Some have considered using an alternative reference region, although the pons is fraught with its own problems (see Adams *et al*, 2004).

In contrast to a prior study (Adams *et al*, 2004), we found no age–sex relationships to metabolism of [^{18}F]altanserin over the scan duration, which suggests that there were no age–sex discrepancies in blood–brain barrier-permeable radiolabeled metabolites (Smith *et al*, 1998). Additionally, there were no sex or age effects on altanserin f_p , which concurs with absence of sex differences in f_p reported previously (Adams *et al*, 2004). Nonetheless, it is important to note that the plasma-derived Logan BP is expected to underestimate the dual-input compartmental modeling BP value (this model accounts for blood–brain barrier passage of radiometabolites) by about 30%, whereas correlation between the BP values is very high ($r \sim 1.0$) (Price *et al*, 2002a, b). This underestimation reflects overestimation of nonspecific binding in the cerebellar reference region.

Although BMI has been related to 5HT2A receptor BP in other studies (Erritzoe *et al*, 2009), the effect size in this data set was too small ($\beta = 1 \times 10^{-5}$) to be considered clinically meaningful and therefore was excluded from the model.

CONCLUSIONS

The strengths of this study included: (1) robust and well-validated methods for quantification of atrophy-corrected 5HT1A and 5HT2A receptor BP with careful control for nonspecific effects of age and sex on radioligand free fraction and cerebellar V_T , (2) characterization and standardization of reproductive status and associated endogenous hormonal exposures, (3) thorough screening for and exclusion of individuals with personal psychiatric history, and (4) relatively large subject sample by PET standards with a wide age span of men and women, although a higher number of altanserin scans in subjects over age 70 years would have been desirable.

In conclusion, aside from the well-known age effects to decrease 5HT2A receptor BP, at most 9–20% of 5HT1A and 2A receptor BP variance was explained by sex, age, and reproductive hormone effects and there were no age-by-sex interactions with receptor binding. Endocrine standardization minimized confounding introduced by endogenous hormonal fluctuations and reproductive stage and permitted us to detect small effects of sex, age, and endogenous sex steroid exposures upon 5HT1A binding. Although these effect sizes are small, they could potentially lower the threshold for illness in individuals with genetic and/or environmental vulnerabilities for affective disorders. In addition, low hormone concentrations in adults <50 years of age may be associated with more extreme 5HT1A receptor BP values, but remains to be studied further.

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DISCLOSURE

Dr Moses-Kolko received a small honorarium as a guest speaker for La Leche League regional conference, Summer 2009. Over the past 3 years, N Scott Mason has received compensation from Janssen Pharmaceuticals, Elan Pharmaceuticals, Banner Alzheimer's Institute, and the Gollman Group (Dallas, TX). Dr Sarah Berga has served on the Board of Directors and as a consultant for numerous organizations (itemized below). Member of University of Virginia Medical Alumni Association Board of Directors, 2007 to present (*Gratis*); consultant for Agile Therapeutics Medical Advisory Board—March 2011; AHC Media, LLC—consultant, Annual business meeting—April 2008, April 2009, June 2010, Noven Pharmaceutical Medical Advisory Board—Feb 2010, Promedica Communications—Bayer Pharmaceutical Medical Advisory Board, Meeting—June 2009, August 2009, Watson Pharmaceutical Women's Health Strategic Advisory Board—April 2010; Legal consulting for Kirkland and Ellis, LLLC; Leitig, Voit & Mayer, LLC, and Reed Smith, LLC; Editorial board for ACOG, Editorial Committee, *Guidelines for Women's Health Care*, 2009–2011 (*Gratis*), *American Journal of Obstetrics and Gynecology*, 2003 to present (*Gratis*), Advisory Board for Subspecialty Neuroendocrinology and Reproductive Neurobiology, The Endocrine Society Member, Endocrine Self-Assessment Program Committee (June 2007–June 2011), Clinical Practice Guideline Task Force on Hypothalamic Amenorrhea, January 2011 to present (*Gratis*), Editorial Board for *Endocrinology*, January 2010 to present (*Gratis*), *Menopause*, Editorial Board, 1999 to present (*Gratis*). NIH Study Section reviewer; Society for Women's Health Research, ISIS CVD Network Member, 2009 to present; UpToDate Peer Review Board, 2005 to present. Julie C Price, Susan M Sereika, Patrick M Fisher, Rhaven Coleman, Carl Becker, Tammy Loucks, Carolyn C Meltzer, and Nilesh Shah declare no conflict of interest.

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