

# Recent developments in therapeutic cancer vaccines

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## SUMMARY

Therapeutic cancer vaccines are being developed with the intention of treating existing tumors or preventing tumor recurrence. While the results of clinical trials, predominantly in the metastatic setting have been sobering, the central hypothesis of active immunotherapy i.e. that the human immune system can be activated to recognize and destroy tumor cells, remains a viable one. We believe that a fundamental shift in how clinical trials are performed, and what concepts they test is required to make meaningful strides towards future clinical use of cancer vaccines. First, we must reappraise whether the metastatic setting is the appropriate arena to test these agents. Second, we must arrive at a consensus on the most important biologic endpoints and rapidly test vaccines for their ability to achieve these endpoints. Third, we need to expend more effort on understanding how to manipulate the immune system beyond the initial stimulation provided by a vaccine. Fourth, in order to permit comparison of results across different studies, it would be helpful to narrow down the large number of vaccine platforms. We will discuss the current state of development of cancer vaccines and the relevance for future clinical use of these agents to treat and prevent cancers.

**KEYWORDS** antibody, dendritic cell, immunotherapy, T cell, viral vector

## REVIEW CRITERIA

The data for this review were obtained using the MEDLINE database, which was searched for publications between 1 January 2001 and 15 November 2004. The search terms used were "cancer vaccine", "immunotherapy", "dendritic cell", "GVAX", "CANVAXIN", "PANVAC" and "HSPCC". In addition, the websites of manufacturers of cancer vaccines in late-stage clinical development and the NCI clinical trials website (<http://clinicaltrials.gov/>) were searched for the most recent information regarding the status of clinical trials with these vaccines.

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## INTRODUCTION: THE GAP BETWEEN THEORY AND REALITY IN THE CLINICAL RESULTS FOR CANCER VACCINES

Therapeutic cancer vaccines, or so called active specific immunotherapies, are intended to activate the immune system to treat existing tumors or prevent tumor recurrence. While we (and others<sup>1</sup>) believe that the central hypothesis of active immunotherapy, i.e. that the human immune system can be activated to recognize and destroy tumor cells, remains viable, the field of active specific immunotherapy is clearly at a crossroads, with pessimism for current vaccines expressed by some leaders in the field,<sup>2</sup> and more tempered views expressed by others.<sup>3,4</sup> It is clear that there is a gap between the limited clinical activity of cancer vaccines (demonstrated thus far in clinical trials as defined by standard response criteria), and the promising preclinical findings that suggest much greater activity is achievable. Rosenberg<sup>2</sup> surveyed 440 patients, mainly diagnosed with metastatic melanoma, who were treated with vaccines used by the National Cancer Institute Surgery Branch, and reported the overall objective response rate for all vaccine treatments was 2.6% (2.9% for peptide vaccines and 1.9% for viral-based vaccines). The majority of responders had disease limited to the skin or lymph nodes. Compiling the results from 35 representative vaccine studies published in the literature, they reported an objective response rate of 3.8%; 4.0% for peptide vaccines, 0% for pox viruses, 4.2% for modified tumor cells and 7.1% for dendritic cells (DC). Recent randomized studies have not demonstrated improved responses or overall survival benefits for patients with metastatic malignancies who were treated with specific vaccines compared with chemotherapy, non-specific vaccines, or best supportive care.<sup>5-7</sup> Despite these results, periodic reports of more promising clinical data, particularly in selected situations such as low-grade lymphomas, continue to fuel the contention that these vaccines will have clinical practice applications in the future. In this review, we will

describe why we remain optimistic that cancer vaccines will ultimately be clinically applicable. In particular, we will focus on how an evolving understanding of the necessary components of an immune response to cancer, and how testing of major hypotheses in clinical trials will continue to move the field forward. We will not present lists of published or ongoing clinical trials, as excellent reviews have recently been published;<sup>8–11</sup> rather we will focus on the areas of development that we believe will translate into clinically relevant vaccines.

### ARE WE TESTING CANCER VACCINES IN THE APPROPRIATE PATIENTS AND CLINICAL SCENARIOS?

Most cancer vaccine studies are carried out in those with advanced disease, where the likelihood of response is low; and it has been suggested that more promising results would be seen in those with less advanced disease, such as in the adjuvant setting. Unfortunately, most studies of adjuvant therapy—extensively reviewed elsewhere<sup>11</sup>—are of non-randomized trials, and therefore it is difficult to determine their true efficacy. Nonetheless, one is more encouraged about the possibility of clinical efficacy of vaccines considering that some studies have shown benefit compared with historical controls, and at least two other studies<sup>12,13</sup> have shown a disease-free survival benefit for a cancer vaccine. In the study by Jocham *et al.*<sup>12</sup> patients who had undergone radical nephrectomies received an autologous renal-tumor-cell vaccine or no adjuvant treatment (control). Five-year progression-free survival was 77% in the vaccine group and 68% in the control. It is intriguing to consider that removal of the primary tumor permits greater activity of a vaccine against micrometastatic disease, in the same way that nephrectomy improves outcome with cytokine therapy for metastatic renal-cell carcinoma. We believe that the adjuvant setting will prove to be the most productive one for testing most cancer vaccines, except for those that require intratumoral injections in order to activate the immune response. This does not invalidate the metastatic setting, and initial testing of new vaccines may need to be performed in this group of patients for regulatory and safety reasons. Also, because true ‘adjuvant’ studies—such as those with resected locally-advanced cancers—may require substantial numbers of patients (i.e. many

hundreds to thousands), we believe it is appropriate to consider the use of vaccines in patients with controlled metastatic disease as ‘adjuvant therapy’, regardless of how this was achieved.

It is also important to consider that there may be biologically plausible subgroups of patients who benefit from cancer vaccines. In some of the same studies mentioned earlier, which had overall negative results, subgroup analyses did detect groups with clinical benefit. For example, in Small’s study<sup>7</sup> that assessed the vaccine APC8015 (Provenge), an autologous DC product pulsed with a prostatic acid phosphatase-GM-CSF construct, prostate cancer patients with a Gleason Score of 7 or less had a longer median time to progression. In Mayordomo’s report<sup>5</sup> of the Theratope vaccine (tumor-associated antigen Sialyl Tn conjugated to the carrier protein keyhole limpet hemocyanin) for patients with metastatic breast cancer, there was a trend for a better time to progression for patients treated concomitantly with hormonal therapy, particularly in patients receiving aromatase inhibitors. In Sondak’s study of the allogeneic melanoma vaccine Melacine in patients with resected melanoma,<sup>14</sup> there was no overall relapse or survival benefit, but patients who expressed HLA-A2 and/or HLA-C3 had improved relapse-free survival and overall survival<sup>15,16</sup> compared with controls with the same HLA types. There are biologically plausible explanations why these subgroups might have a better outcome. For example, the less aggressive prostate cancers might permit more time for the antitumor immune response to develop. It is also possible that less aggressive tumors express tumor antigens against which immune responses may be activated, whereas more aggressive, less differentiated tumors do not, as has been observed for melanomas.<sup>17</sup> Estrogens may increase the frequency of regulatory T cells, which counteract immune responses. Perhaps, hormonal therapy inhibits the development of regulatory T cells and allows immune responses to proceed unimpeded. Certain HLA types may present more immunogenic peptide epitopes than others.

Finally, certain tumors, particularly hematologic malignancies, may have an inherently greater ability to respond to the immune system. Timmerman<sup>18</sup> reported a response rate of 32% among patients with follicular lymphomas who received DC loaded with Id (IDIOTYPE) protein. Subsequently, Wen-Kai Weng<sup>19</sup> reported that,

#### GLOSSARY

##### GM-CSF

Granulocyte-macrophage colony-stimulating factor

##### Id (IDIOTYPE)

A specific protein antigen made by B lymphocyte cells, which distinguishes a clone of immunoglobulin-producing cells from other clones

among patients with follicular lymphoma who received Id vaccines, patients with the Fc RIIIa 158 valine/valine (V/V) genotype also had a longer progression-free survival than those with valine/phenylalanine (V/F) or phenylalanine/phenylalanine (F/F) genotypes. These results are not surprising given the fact that Id vaccines induce antibody responses, and response to the administered antibody rituximab also differs, depending on the Fc receptor polymorphism.

The challenge in the future is to use the information gleaned from these results and use laboratory testing to determine how vaccine strategies can be modified to take into account these possible influences on response. Also, it is prudent to perform the next clinical trial in the patient population with the apparent clinical benefit. For example, the Theratope vaccine will be tested in patients on hormonal therapy, Provenge is being tested in men with metastatic prostate cancer with a Gleason Score of 7 or less, and a follow-up study for melanoma patients with HLA-A2 and/or HLA-C3 using Melacine has been written.

#### **HAVE WE IDENTIFIED THE RIGHT TUMOR ANTIGENS TO TARGET?**

There is no lack of identified tumor antigens and epitopes.<sup>20</sup> There has been extensive debate about whether patient-specific or universal tumor antigens are the best targets, and whether univalent or multivalent vaccines produce the greatest chance of clinical efficacy. A survey of clinical trial data does not settle the debate because there are examples of each type (e.g. univalent: Provenge, Theratope; multivalent: Melacine) that may have clinical activity. While some malignancies may lend themselves to vaccines that use patient-specific antigen targeting such, as the tumor-specific idiotype of non-Hodgkin's lymphoma (e.g. MyVax<sup>®</sup> idiotype vaccines), most tumors do not express such unique, foreign antigens. It is also not clear whether any peptides are preferentially expressed within the HLA class I molecules of tumors.<sup>21</sup> Therefore, virtually any intracellular protein could be a potential target. For those vaccines in which a response to a particular antigen correlates with clinical outcome (e.g. the anti-TA90 IgM response to the allogeneic melanoma vaccine Canvaxin, which is an independent prognostic factor for overall survival and disease-free survival in immunized melanoma patients<sup>22</sup>), it may make sense to

focus on the particular antigen. Otherwise, in our opinion there is no compelling reason to focus on any particular antigen or group of tumor antigens more than any other. In fact, if the theory of epitope or antigenic spreading is correct, an adequate immune response against one tumor-expressed antigen would lead to a series of T cells specific for other, possibly more relevant, target antigens.<sup>23</sup> In addition, we must broaden our definition of what is likely to be a potential target antigen within a tumor. Vigneron *et al.* identified CD8 T lymphocytes that could recognize a nonameric peptide on melanoma cells that was derived from two noncontiguous segments of gp100, created by a proteosomal function.<sup>24</sup> Use of standard computer algorithms for predicting epitopes within proteins would not have predicted the presence of such peptides.

The foregoing discussion also begs the question of whether we must target tumor antigens at all. Non-malignant tissues such as the tumor stroma and vasculature are critical for the survival of cancer cells. Also, the growth and survival factors transmitted by these tissues may also be targets for immune attack resulting in tumor death. For example, some gastrointestinal tumors express cholecystokinin-2 receptors that can bind amidated gastrins leading to transcriptional activation of epidermal growth factor receptor (EGFR) ligands, matrix metalloproteinases and anti-apoptotic factors, which increase tumorigenic potential. The vaccine, G17DT (Insegia<sup>™</sup>), activates neutralizing antibody responses against amidated and glycine-extended gastrin-17. In a recent randomized, double-blind, placebo-controlled trial of patients with advanced pancreatic cancer unsuitable or unwilling to take chemotherapy, median overall survival increased from 82 days to 151 days for patients receiving G17DT compared with placebo.<sup>25</sup> Additionally, immune responses activated in response to receptors in the EGFR-regulated signaling pathways may have anticancer activity beyond cytolytic destruction. For example, HLA-A2-restricted epitopes of the EGFR have been identified, suggesting that it could be a target for immune responses.<sup>26</sup> Tumor vasculature also represents a potential target for immune responses because it expresses some antigens not found on quiescent endothelium. Strategies have been developed to activate immune responses against these antigens.<sup>27</sup>

## DO WE NEED ANY MORE PLATFORMS FOR DELIVERING TUMOR ANTIGENS TO THE IMMUNE SYSTEM?

Just as we may be reaching the point at which there are diminishing returns for discovering more tumor antigens, so too we may be reaching a similar point for the development of new vaccine platforms. Modified and unmodified tumor cells and their lysates, DC, proteins and peptides, carbohydrates, anti-idiotypic antibodies, viral, bacterial, and yeast vectors, and DC targeting agents are all in clinical development. Few have been compared to each other, and when they have been compared, little difference in clinical activity has been apparent. Slingluff *et al.*<sup>28</sup> compared T-cell responses to melanoma peptides with GM-CSF in adjuvant or pulsed onto DC. T-cell responses to melanoma peptides were observed in 42% of peripheral blood lymphocytes and 80% of sentinel immunized nodes for patients receiving the peptides plus GM-CSF, but in patients vaccinated with DCs, they were observed in only 11% and 13%, respectively. Objective clinical responses were observed in two patients in the GM-CSF arm and one patient in the DC arm. We are performing a clinical trial comparing DC modified using a viral vector with the viral vector strategy alone to determine whether *ex vivo* generated DCs are necessary components of our immunization platform. While we cannot ignore the fact that differences in vaccine composition or administration may affect outcome, most vaccines in advanced development induce detectable tumor antigen-specific immune responses in a substantial fraction of patients. In a randomized clinical trial comparing different sequences of vaccinia virus and fowlpox virus expressing human prostate-specific antigen (PSA) as prime/booster vaccines, there was a trend showing a better clinical progression-free survival for those who received a priming dose of recombinant vaccinia virus expressing PSA.<sup>29</sup> As we will discuss later, we believe that significant progress for cancer vaccines will come as we better understand how to manipulate the host regulatory mechanisms that mute the magnitude and durability of T-cell responses following immunization. Therefore, it is important to rapidly narrow down the vaccine platforms so that consistency across investigations may be achieved. Animal models and small exploratory studies could still be used to test new strategies that may have substantial promise. Then rapid assessment of the best dose and

schedule are required. In one study, HER-2/neu intracellular domain protein vaccine activated HER-2/neu-specific T-cell and antibody immunity in breast and ovarian cancer patients.<sup>30</sup> While the dose of vaccine did not affect the magnitude of T-cell or antibody immune response, higher doses were associated with more rapid activation of HER-2/neu-specific immunity.

## HOW CAN WE MANIPULATE THE HOSTS INTERACTION WITH THE VACCINE?

It is well established that regulatory T cells, identified by their co-expression of CD4 and CD25 and the transcriptional regulator *foxp3*, are capable of modulating the immune response activated against specific antigens. Animal model studies have suggested that elimination of these T cells results in enhanced immune responses. Recently, it was demonstrated that potent tumor antigen-specific immune responses could be activated by a DC-based vaccine, when denileukin diftotox was administered prior to the vaccine to deplete the CD4<sup>+</sup> and CD25<sup>+</sup> T cells.<sup>31</sup> Another regulatory effect is through cytotoxic T-lymphocyte-associated protein-4 (CTLA-4), which transmits signals that inhibit proliferation of T cells when engaged by CD80 on antigen-presenting cells. Recent observations have suggested that blockade of CTLA-4 enhances antitumor T-cell responses, particularly during booster immunizations.<sup>32</sup> Clinical trials combining anti-CTLA-4 antibody (MDX-010) and cancer vaccines have been initiated including a phase I clinical trial of the GVAX<sup>®</sup> prostate cancer vaccine, administered in combination with MDX-010, in patients with advanced prostate cancer. In addition to regulatory T cells, a number of alterations in the cancer patient or tumor milieu appear to alter immune responsiveness. For example, expression of cyclooxygenase-2 (COX-2) leads to increased synthesis of prostaglandin E2, an immune suppressor, and is associated with decreased T-cell stimulation.<sup>33</sup> *In vivo* studies have demonstrated that COX-2 inhibition gave an additive effect to a cancer vaccine.<sup>34</sup> Phase I studies at the National Cancer Institute are combining pox vector vaccines with COX-2 inhibition.

## HOW WILL IMMUNOTHERAPY BE INTEGRATED INTO MULTI-MODALITY CANCER TREATMENT STRATEGIES?

Because chemotherapy remains a mainstay of cancer therapy, the ability to combine it with vaccines is of great interest. Doxorubicin and paclitaxel that were administered prior to

**GLOSSARY****ELISPOT ASSAY**

Enzyme-linked immunospot assay is a highly sensitive tool for analyzing immunological secretions of peripheral blood cell populations

HER-2 vaccines in mice, resulted in augmented anti-tumor activity.<sup>35</sup> A number of groups are now performing phase I and II trials combining vaccines with systemic chemotherapy. Furthermore, combining more than one form of immunotherapy such as antibodies and vaccines might allow more complete tumor eradication. For example, in a *neu*-transgenic mouse model, a vaccine given in combination with *neu*-specific antibodies prevented tumor development in 70% of mice and eradicated established tumors in 30% of mice. The efficacy of the antibodies, alone or combined with the vaccine, was dependent on both CD4<sup>+</sup> and CD8<sup>+</sup> T cells.<sup>36</sup>

**ARE WE MEASURING THE CORRECT ENDPOINTS?**

The success of cancer vaccines is thought to lie in their ability to activate antigen-specific T cells; therefore, it has been argued that immunologic endpoints, particularly the frequency of T cells specific for the antigen of interest, would be critical to the further development of the vaccine strategy. Indeed, most published cancer vaccine studies make some attempt to measure an immunologic endpoint, whether it is an *in vivo* endpoint, such as delayed type hypersensitivity reaction to a tumor antigen or an *in vitro* endpoint, such as the ELISPOT ASSAY, which measures antigen-specific T cells by their cytokine secretion in response to antigen exposure. Frequently studies will report that immunologic response correlates with clinical outcome. For example, a recent study with the heat-shock protein preparation Oncophage<sup>TM</sup> (HSPPC-96), for patients who had undergone resection of hepatic metastases of colon cancer, reported that those with immune responses against colon-cancer cell lines experienced a better disease-free survival.<sup>37</sup> Although such results are encouraging, in the absence of a controlled, randomized study, they do not prove that the peripheral blood immune response is the direct cause of the clinical outcome. Furthermore, there is not complete certainty that it is the antigen-specific T-cell response that is most critical. Some strategies, despite their antigen-specificity, may in fact activate natural killer cells. The best source of lymphocytes from which to measure the immune response is debated. Slingluff *et al.*<sup>38</sup> recently observed that the T-cell responses against melanoma peptides were more frequently detected in the sentinel immunized lymph nodes, and with higher magnitude, than responses in the

peripheral blood. Perhaps greater efforts should be expended on collecting tumor tissue from which T cells could be isolated. In our opinion, peripheral blood lymphocytes remain an important source of cells for immunologic analysis, and this analysis is important for future development of cancer vaccines. In the absence of clinical responses, there is no other way to determine if the vaccine had any biologic activity.

**CONCLUSIONS**

We have discussed the key issues that will need to be addressed in order to activate potent antigen-specific T cell and antibody responses. Once these potent effectors are reliably activated, the challenge of ensuring trafficking of these effectors to the site of the tumor and then recognition and destruction of the tumor, will remain. A major concern has been that tumors might be unresponsive despite activation of effective T-cell responses because of downregulation of tumor antigen or major histocompatibility complex (MHC) molecules, or by secreting or expressing inhibitory molecules. Interferon alpha can be used to upregulate MHC class I and other molecules. Tumor gene expression can be altered epigenetically.<sup>39</sup> Finally, if we are successful in all these areas, will we ultimately see autoimmune disease? Animal models demonstrate that a potent immune response can occur in the absence of autoimmunity, although this lack of autoimmunity in preclinical studies does not guarantee that this effect will translate to humans.<sup>40</sup>

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**Competing interests**

The authors declared they have no competing interests.