www.nature.com/mp

# **OPEN**

# ORIGINAL ARTICLE APOE and BDNF polymorphisms moderate amyloid $\beta$ -related cognitive decline in preclinical Alzheimer's disease

YY Lim<sup>1,2,3</sup>, VL Villemagne<sup>1,4,5</sup>, SM Laws<sup>6,7,8</sup>, RH Pietrzak<sup>9</sup>, PJ Snyder<sup>2,3</sup>, D Ames<sup>10,11</sup>, KA Ellis<sup>1,11</sup>, K Harrington<sup>1</sup>, A Rembach<sup>1</sup>, RN Martins<sup>6</sup>, CC Rowe<sup>4,5</sup>, CL Masters<sup>1</sup> and P Maruff<sup>1,12</sup>

Accumulation of  $\beta$ -amyloid (A $\beta$ ) in the brain is associated with memory decline in healthy individuals as a prelude to Alzheimer's disease (AD). Genetic factors may moderate this decline. We examined the role of apolipoprotein E ( $\epsilon$ 4 carrier[ $\epsilon$ 4<sup>+</sup>],  $\epsilon$ 4 non-carrier [ $\epsilon$ 4<sup>-</sup>]) and brain-derived neurotrophic factor (*BDNF*<sup>Val/Val</sup>, *BDNF*<sup>Met</sup>) in the extent to which they moderate A $\beta$ -related memory decline. Healthy adults (n = 333,  $M_{age} = 70$  years) enrolled in the Australian Imaging, Biomarkers and Lifestyle study underwent A $\beta$  neuroimaging. Neuropsychological assessments were conducted at baseline, 18-, 36- and 54-month follow-ups. A $\beta$  positron emission tomography neuroimaging was used to classify participants as A $\beta^-$  or A $\beta^+$ . Relative to A $\beta^-\epsilon 4^-$ , A $\beta^+\epsilon 4^+$  individuals showed significantly faster rates of cognitive decline over 54 months across all domains (d = 0.40-1.22), while A $\beta^+\epsilon 4^-$  individuals showed significantly faster decline only on verbal episodic memory (EM). There were no differences in rates of cognitive change between A $\beta^-\epsilon 4^-$  and A $\beta^-\epsilon 4^+$  groups. Among A $\beta^+$  individuals,  $\epsilon 4^+/BDNF^{Met}$  participants showed a significantly faster rate of decline on verbal and visual EM, and language over 54 months compared with  $\epsilon 4^-/BDNF^{Val/Val}$  participants (d = 0.90-1.02). At least two genetic loci affect the rate of A $\beta$ -related cognitive decline. A $\beta^+\epsilon 4^+/BDNF^{Met}$  individuals can expect to show clinically significant memory impairment after 3 years, whereas A $\beta^+\epsilon 4^+/BDNF^{Val/Val}$  individuals can expect a similar degree of impairment after 10 years. Little decline over 54 months was observed in the A $\beta^-$  and A $\beta^+ \epsilon 4^-$  groups, irrespective of *BDNF* status. These data raise important prognostic issues in managing preclinical AD, and should be considered in designing secondary preventative clinical trials.

Molecular Psychiatry (2015) 20, 1322-1328; doi:10.1038/mp.2014.123; published online 7 October 2014

## INTRODUCTION

In healthy individuals, high  $\beta$ -amyloid (A $\beta$ ) levels suggest that preclinical Alzheimer's disease (AD) has begun.<sup>1,2</sup> However, variability in the extent of cognitive and clinical impairment in  $A\beta^+$  healthy individuals suggests other factors influence Aβ-related cognitive decline.<sup>3,4</sup> The major genetic risk factor for sporadic AD is the apolipoprotein E (APOE) ɛ4 allele:5,6 apoE may be involved in AD pathogenesis directly, through increasing Aβ accumulation, reducing clearance of AB or modifying AB-synaptic toxicity,<sup>5–7</sup> or indirectly, through reducing synaptic plasticity, increasing neuroinflammation or affecting concurrence of cerebrovascular events.<sup>3,8</sup> In accord with this, a recent study of 490 healthy individuals aggregated from the Australian Imaging, Biomarkers and Lifestyle (AIBL) Study, the Alzheimer's Disease Neuroimaging Initiative (ADNI) and the Harvard Aging Brain Study showed that carriage of the E4 allele increased substantially the rate of memory decline in healthy individuals with high A $\beta$  levels (A $\beta^+\epsilon 4^+$ ) over a median follow-up period of 1.5 years.<sup>9</sup> This analysis also showed that individuals who were  $\epsilon 4$  carriers but with low A $\beta$  (A $\beta$ <sup>-</sup> $\epsilon$ 4<sup>+</sup>) showed no memory decline compared with ɛ4 non-carriers with low A $\beta$  (A $\beta$ <sup>-</sup> $\epsilon$ 4<sup>-</sup>), suggesting that, by itself, the APOE  $\epsilon$ 4 allele is not associated with memory decline. However, as the APOE ɛ4 allele is associated with increased cognitive decline in healthy individuals,<sup>10</sup> and earlier diagnosis of AD,<sup>11</sup> the effect of *APOE*  $\varepsilon$ 4 on Aβrelated cognitive decline warrants further investigation over time intervals greater than 18 months.

Another strong genetic candidate for moderating Aβ-related memory decline is the brain-derived neurotrophic factor (*BDNF*) Val66Met polymorphism. BDNF is important in the biological basis of learning and memory in animals and humans.<sup>4,12–14</sup> Prospective studies show that in healthy and mild cognitive impairment groups from both the AIBL and ADNI cohorts, *BDNF*<sup>Met</sup> carriage is associated with faster Aβ-related memory decline and hippocampal atrophy over 3 years but is unrelated to Aβ accumulation,<sup>15–17</sup> suggesting that *BDNF*Val66Met moderates the effects of Aβ on synaptic integrity in preclinical AD.<sup>17</sup> To our knowledge, no study has examined the interaction between *BDNF*, *APOE* and Aβ-related memory decline.

The overarching aim of this study was to explore potential interactions between A $\beta$ , *APOE* and *BDNF* on cognitive decline in 333 healthy individuals who had undergone A $\beta$  neuroimaging, genetic testing and 54-month clinical follow-up as part of the AIBL study. First, we examined whether episodic memory (EM) and other aspects of cognition would remain stable over 54 months in

E-mail: c.masters@unimelb.edu.au

Received 19 May 2014; revised 29 July 2014; accepted 21 August 2014; published online 7 October 2014

<sup>&</sup>lt;sup>1</sup>Florey Institute of Neuroscience and Mental Health, University of Melbourne, Parkville, VIC, Australia; <sup>2</sup>Department of Neurology, Warren Alpert School of Medicine, Brown University, Providence, RI, USA; <sup>3</sup>Department of Neurology, Rhode Island Hospital, Providence, RI, USA; <sup>4</sup>Department of Nuclear Medicine and Centre for PET, Austin Health, Heidelberg, VIC, Australia; <sup>5</sup>Department of Medicine, Austin Health, University of Melbourne, Heidelberg, VIC, Australia; <sup>6</sup>Centre of Excellence for Alzheimer's Disease Research and Care, Edith Cowan University, Joondalup, WA, Australia; <sup>7</sup>Sir James McCusker Alzheimer's Disease Research Unit, Hollywood Private Hospital, Perth, WA, Australia; <sup>8</sup>Co-operative Research Centre for Mental Health; <sup>9</sup>Department of Psychiatry, Yale University School of Medicine, New Haven, CT, USA; <sup>10</sup>Academic Unit for Psychiatry of Old Age, Department of Psychiatry, St. Vincent's Health, University of Melbourne, Kew, VIC, Australia; <sup>11</sup>National Ageing Research Institute, Parkville, VIC, Australia and <sup>12</sup>CogState Ltd, Melbourne, VIC, Australia. Correspondence: Professor CL. Masters, Florey Institute of Neuroscience and Mental Health, University of Melbourne, Kenneth Myer Building, Genetics Lane, Royal Parade, Melbourne, VIC 3000, Australia.

healthy  $A\beta^{-}\epsilon 4^{+}$  participants, where any cognitive decline would provide an estimate of  $A\beta$ -independent effects of  $\epsilon 4$ . We then examined whether the  $A\beta^{+}\epsilon 4^{+}$  group, compared with  $A\beta^{-}\epsilon 4^{-}$ healthy individuals, would show faster rates of decline in EM. Finally, we explored whether *BDNF*<sup>Met</sup> moderated any relationship between  $A\beta$ ,  $\epsilon 4$  and cognition.

#### MATERIALS AND METHODS

#### Participants

Participants were recruited from the AIBL healthy adult group, the recruitment of which has been described previously.<sup>18,19</sup> Briefly, exclusion criteria included the following: schizophrenia, depression (15-item Geriatric Depression Score  $\geq$  6), Parkinson's disease, symptomatic stroke, uncontrolled diabetes and alcohol use exceeding two standard drinks per day for women or four per day for men. Participants underwent medical, psychiatric and neuropsychological assessments at baseline, 18-, 36- and 54-month follow-up.<sup>19</sup> At each assessment, a clinical review panel considered all available medical, psychiatric and neuropsychological information to classify clinical status.<sup>19</sup> Clinical classification was blinded to neuroimaging results. Group demographic and clinical characteristics are provided in Table 1, with the number of participants whose diagnostic classification changed or who withdrew from the study shown in Figure 1. The study was approved by and complied with the regulations of three institutional research and ethics committees.<sup>19</sup> All participants provided written informed consent.

#### Measures

Neuroimaging. A $\beta$  imaging with positron emission tomography (PET) was conducted using either  $^{11}$ C-Pittsburgh Compound B (PiB),  $^{18}$ F-florbetapir or  $^{18}$ F-flutemetamol. PET methodology has been described in detail previously.  $^{18,20,21}$  A 30-min acquisition was started 40 min post injection of PiB and a 20-min acquisition was performed 50 min post injection of florbetapir and 90 min post injection of flutemetamol. For PiB-PET, standardized uptake value (SUV) data were summed and normalized to the cerebellar cortex SUV, resulting in a region-to-cerebellar ratio termed SUV ratio (SUVr). The whole cerebellum was the reference region for florbetapir,  $^{21}$  while for flutemetamol the reference region was the pons. Consistent with these studies, SUVr was classified dichotomously as either negative (A $\beta^{-}$ ) or positive (A $\beta^{+}$ ). PiB studies were classified A $\beta^{+}$  when SUVr  $\geq$  1.5,  $^{18}$  florbetapir, when SUVr  $\geq$  1.11r<sup>ef. 21</sup> and for flutemetamol when SUVr  $\geq$  0.62,  $^{20}$  A $\beta^{+}$  levels were further classified as being 'high' A $\beta^{+}$  (SUVr PiB  $\geq$  1.9; flutemetamol = 0.62–0.82; florbetapir = 1.11–1.29).  $^{22,23}$ 

*Genotyping.* A blood sample was taken from each participant for genotyping. The *BDNF*Val66Met polymorphism (rs6265) was included in a custom Illumina GoldenGate assay, which included 1536 single-nucleotide polyorphisms, and was performed by the Beijing Genomics



Institute. Of the 333 healthy individuals who had undergone PET neuroimaging for A $\beta$ , *BDNF*Val66Met data was available for 314 healthy individuals, of which 191 were *BDNF*<sup>Val/Val</sup> homozygotes and 123 were *BDNF*<sup>Met</sup> carriers (111 *BDNF*<sup>Met/Val</sup> heterozygotes and 12 *BDNF*<sup>Met/Met</sup> homozygotes).

Cognitive assessments. Composite cognitive scores were computed by standardizing outcome measures for each neuropsychological test against the baseline mean and s.d. for the  $A\beta^-$  group. Standardized scores were averaged to form composite scores for verbal EM (Logical Memory delayed recall, California Verbal Learning Test, Second Edition [CVLT-II] long delay, CVLT-II d'); visual EM (Rey Complex Figure Test [RCFT] 3-min delayed recall, RCFT 30-min delayed recall, CogState One-Card Learning); executive function (CogState One-Back, Letter Fluency, Category Fluency Switching [Fruit/Furniture]); language (Category Fluency [Animals/Boys' Names], Boston Naming Test); and attention (Digit Symbol, CogState Detection, CogState Identification). The development and validation of each cognitive composite score has been described previously.<sup>23,24</sup>

#### Data analysis

For each composite cognitive score, three planned comparisons were constructed using repeated-measures linear mixed-effects model with maximum likelihood estimation and an unstructured covariance matrix. Linear mixed modeling was employed because of its ability to model both fixed and random effects, which accounts for multiple sources of variability in longitudinal studies. In addition, both empirical and theoretical models of AD show that once the threshold for  $A\beta$  positivity is reached, there is a linear trend in cognitive decline, neurodegeneration and amyloid accumulation until a clinical diagnosis of AD is reached.<sup>1,2,25</sup> In these analyses, A $\beta$  status (A $\beta^-$ , A $\beta^+$ ), APOE status ( $\epsilon 4^+$ ,  $\epsilon 4^-$ ), time, APOE × A $\beta$ interaction, APOE × time interaction, A $\beta$  × time interaction and APOE × A $\beta$  × time interaction were entered as fixed factors; participant as a random factor; age, premorbid intelligence and anxiety levels as covariates; and cognitive composite score as the dependent variable. Within the model, the magnitude of difference from the  $A\beta \epsilon 4^{-}$  group was expressed using Cohen's d.<sup>26</sup> Where the planned comparisons indicated differences between group trajectories, group means (95% confidence intervals (95% Cls)) for each assessment were estimated from the model and differences in these between  $A\beta^+\epsilon 4^-$  and  $A\beta^+\epsilon 4^+$  groups at each assessment were determined by the extent of overlap between the 95% CIs associated with those means.

To examine the effect of *BDNF*Val66Met, separate linear mixed-effects models were conducted for each composite score in A $\beta^+$  individuals. In these analyses, *APOE* status, *BDNF* status (*BDNF*<sup>Val/Val</sup>, *BDNF*<sup>Met</sup>), time, *APOE*×*BDNF* interaction, *APOE*× time interaction, *BDNF* × time interaction and *APOE*×*BDNF*× time interaction were entered as fixed factors; participant as a random factor; age, premorbid intelligence and anxiety levels as covariates and composite cognitive test score as the dependent variable. Within this model, rate of change over 18-month intervals in each group ( $\epsilon4^-$  *BDNF*<sup>Met</sup>;  $\epsilon4^+$ *BDNF*<sup>Val/Val</sup>;  $\epsilon4^+$  *BDNF*<sup>Met</sup>) was compared with that

Table 1. Demographic and clinical characteristics of the full sample and of study groups								
	Full sample (n = 333)	$A\beta^{-} \epsilon 4^{-}$ (n = 188)	$A\beta^- \epsilon 4^+ (n=61)$	$A\beta^+ \epsilon 4^-$ (n = 36)	$A\beta^+ \epsilon 4^+$ (n = 48)	P-value		
	N (%) or mean (s.d.)	N (%) or mean (s.d.)	N (%) or mean (s.d.)	N (%) or mean (s.d.)	N (%) or mean (s.d.)			
N (%) Female	173 (52.0%)	95 (50.5%)	33 (54.1%)	19 (52.8%)	26 (54.2%)	0.947		
Age (years)	69.95 (6.80)	69.22 (6.28)	66.98 (5.20)	76.06 (7.27)	72.04 (7.03)	0.000		
Premorbid IQ	108.59 (7.07)	108.51 (6.84)	106.98 (7.75)	111.47 (6.55)	108.75 (6.93)	0.000		
HADS depression subscale	2.58 (2.24)	2.58 (2.26)	2.73 (2.11)	1.83 (1.42)	2.92 (2.70)	0.151		
HADS anxiety subscale	4.20 (2.78)	4.18 (2.72)	4.12 (2.82)	3.26 (1.99)	5.10 (3.21)	0.026		
CDR sum of boxes	0.04 (0.16)	0.04 (0.18)	0.04 (0.14)	0.06 (0.16)	0.02 (0.10)	0.777		
MMSE	28.87 (1.19)	28.94 (1.18)	28.84 (1.23)	28.69 (1.26)	28.75 (1.14)	0.578		
N (%) high $A\beta^+$	44 (13.2%)	n.a.	n.a.	18 (50.0%)	26 (54.2%)	0.705		
N (%) progressed at 54 months	23/296 (7.8%)	11/178 (6.2%)	2/57 (3.5%)	3/26 (11.5%)	7/35 (20.0%)	0.020		

Abbreviations: CDR, Clinical Dementia Rating scale; HADS, Hospital Anxiety and Depression Scale; MMSE, Mini Mental State Examination; PET, positron emission tomography; SUVr, standardized uptake value ratio. Bolded values are significant at the P < 0.05 or the P < 0.001 level; of the 333 healthy older adults who underwent PET neuroimaging, 183 were scanned using <sup>11</sup>C Pittsburgh Compound B, 76 using <sup>18</sup>F florbetapir and 74 using <sup>18</sup>F flutemetamol; high A $\beta^+$  was classified when SUVr PiB > 1.9, flutemetamol > 0.82 and florbetapir > 1.29.





**Figure 1.** Clinical classification and disease progression of  $\beta$ -amyloid negative (A $\beta^-$ ) and  $\beta$ -amyloid positive (A $\beta^+$ ) participants over 54 months.

cognitive change

for the  $\varepsilon 4^- BDNF^{Val/Val}$  group. For each comparison, the magnitude of difference from the  $\varepsilon 4^- BDNF^{Val/Val}$  group was expressed using Cohen's *d*.<sup>26</sup> Group means (95% Cls) at each assessment were estimated from the linear mixed-effects model, and differences in performance between  $\varepsilon 4^+$  *BDNF*^{Val/Val} and  $\varepsilon 4^+ BDNF^{Met}$  groups at each assessment was determined by the extent of overlap of 95% Cls associated with those means. Bonferonni correction was applied to all pairwise comparisons.

To estimate the clinical meaning for the effect of each genetic risk factor on decline in cognition, a group mean of 1.5 s.d. below the A $\beta$ - $\epsilon$ 4<sup>-</sup> group was defined as clinically important cognitive impairment.<sup>27</sup> The time to reach this criterion was estimated for each group based on linear mixed-effects model-derived linear functions.

## RESULTS

#### Demographic and clinical characteristics

At baseline, statistically significant differences between groups were observed for age, premorbid intelligence and anxiety symptoms (Table 1). No other demographic or clinical characteristics differed between groups. There was no difference in the proportion of individuals in the  $\epsilon 4^+$  or  $\epsilon 4^-$  groups who were classified as high A $\beta^+$  (Table 1).

## Effect of A $\beta$ levels and $\epsilon$ 4 on cognitive change

Group mean slopes for each AB-E4 group for each composite cognitive score are summarized in Table 2. Relative to  $A\beta^{-}\epsilon 4^{-}$ , the  $A\beta^{+}\epsilon 4^{+}$  group showed a significantly faster decline on all cognitive composites, with these differences moderate to large in magnitude (Table 2). Extrapolation of the rate of decline in verbal EM in the  $A\beta^{+}\epsilon 4^{+}$  group indicated it would meet criterion for clinically significant impairment (< 1.5 s.d. from controls) in ~9 years (Supplementary Table 1). Compared with  $A\beta^{-}\epsilon 4^{-}$ , the  $A\beta^{+}\epsilon 4^{-}$ group showed a faster rate of decline only for verbal EM composite (Table 2). Inspection of performance on each assessment indicated no overlap between 95% Cls for group mean verbal and visual EM composites between  $A\beta^+\epsilon 4+$  and  $A\beta^+\epsilon 4^$ groups at 18-, 36- and 54-month assessments (Supplementary Table 1). Extrapolation of the rate of verbal EM decline in the  $A\beta^{+}\epsilon 4^{-}$  group indicated it would meet criterion for clinically significant impairment in 27 years (Supplementary Table 1). Group mean slopes did not differ significantly for any cognitive composite between the  $A\beta^{-}\epsilon4^{-}$  and  $A\beta^{-}\epsilon4^{+}$  groups.

three subgroups ( $\epsilon 4^- BDNF^{Met}$ ,  $\epsilon 4^+ BDNF^{Val/Val}$  and  $\epsilon 4^+ BDNF^{Met}$ ) did not differ for any other composite (data not shown). In A $\beta^+$ participants, relative to the  $\epsilon 4^- BDNF^{Val/Val}$  group, the  $\epsilon 4^+$ 

Effect of BDNFVal66Met on the relationship between AB, E4 and

In A $\beta^-$  participants, mean slopes between  $\epsilon 4^-$  BDNF<sup>Val/Val</sup> and the

BDNF<sup>Met</sup> group showed a faster decline on the verbal and visual EM and language composites, and differences between slopes were moderate to large in magnitude (Table 3). Inspection of group means for individual assessments indicated no overlap between 95% CIs for the mean verbal and visual EM and language composite between  $\epsilon 4^+ BDNF^{Met}$  and  $\epsilon 4^+ BDNF^{Val/Val}$  groups at the 36- and 54-month assessments (Supplementary Table 1). The rate of verbal EM decline in the  $\epsilon 4^+$  BDNF<sup>Met</sup> group indicated it met criterion for clinically significant impairment within 3 years from enrolment (Figure 2b). In contrast, extrapolation of the rate of verbal EM decline suggested that  $\epsilon 4^+$  BDNF<sup>Val/Val</sup> group would meet criterion for clinically significant impairment within 10 years. Groups did not differ in the rate of decline on the executive function and attention composites (Table 3). Finally, relative to the  $\epsilon 4^{-}$  BDNF<sup>Val/Val</sup> group, the  $\epsilon 4^{-}$  BDNF<sup>Met</sup> group did not show a significantly faster decline on any cognitive composite.

# DISCUSSION

EM and all other aspects of cognition remained stable over 54 months in  $A\beta^-$  individuals, irrespective of  $\epsilon$ 4 status, which replicates and extends previous observations from AIBL<sup>23,28</sup> and other cohorts.<sup>29-31</sup> The absence of any ɛ4-related cognitive decline in the current  $A\beta^-$  individuals is also consistent with findings of a recent study<sup>9</sup> and supports the hypothesis that there are no AB-independent effects of APOE on cognitive decline in healthy individuals, even when studied over more than 4 years. Compared with the  $A\beta^{-}\epsilon4^{-}$  group,  $A\beta^{+}$  individuals showed faster decline in EM, and this decline was increased by  $\epsilon 4^+$  (Figure 2a). Recently, the exacerbation of A $\beta$ -related memory decline by  $\epsilon 4$ carriage in healthy individuals was shown over 1.5 years.<sup>9</sup> The current findings support and extend this report by demonstrating that APOE ɛ4 carriage does exacerbate Aβ-related cognitive decline in healthy individuals, and persists over more than 4 years. Neurologically, APOE can affect both intrinsic (for example,

	-	-	_
1	2	2	5
- 1	)	~	)

	Mean slope (s.d.)				Cohen's d (95% Cls) (vs $A\beta^- \epsilon 4^-$ )			
	$A\beta^- \epsilon 4^-$ (n = 188)	$A\beta^{-} \epsilon 4^{+}$ (n=61)	$\begin{array}{l} A\beta^+ \ \epsilon 4^- \\ (n=36) \end{array}$	$A\beta^+ \epsilon 4^+ (n = 48)$	$A\beta^- \epsilon 4^+$	$Aeta^+$ $arepsilon 4^-$	$Aeta^+$ $arepsilon 4^+$	
/erbal EM	0.021 (0.239)	0.034 (0.206)	- 0.075 (0.197)	-0.263 (0.206)	- 0.06 (-0.34, 0.23)	0.41 (0.05, 0.77)	1.22 (0.88, 1.5	
/isual EM	0.026 (0.276)	0.030 (0.238)	-0.001 (0.229)	-0.198 (0.237)	-0.01 (-0.30, 0.27)	0.10 (-0.26, 0.46)	0.83 (0.51, 11	
Executive	-0.011 (0.220)	-0.003 (0.190)	-0.051 (0.180)	-0.103 (0.188)	-0.04 (-0.33, 0.25)	0.19 (-0.17, 0.54)	0.43 (0.11, 0.7	
unction								
anguage	- 0.033 (0.252)	- 0.035 (0.217)	- 0.086 (0.206)	- 0.176 (0.216)	0.01 (-0.28, 0.30)	0.22 (-0.14, 0.57)	0.58 (0.26, 0.9	
Attention	-0.101 (0.201)	-0.125 (0.174)	-0.100 (0.164)	-0.180 (0.177)	0.12 (-0.17, 0.41)	-0.01 (-0.36, 0.35)	0.40 (0.08, 0.7	

**Table 3.** Mean slopes (s.d.) per 18-month interval for each cognitive composite score and magnitudes of difference (Cohen's *d*) in slopes in  $A\beta^+$  healthy individuals

	Mean slope (s.d.)				Cohen's d (95% Cls) (vs ε4 <sup>-</sup> BDNF <sup>Val/Val</sup> )			
	$\epsilon 4^{-} BDNF^{Val/Val}$ (n = 19)	ε4 <sup>-</sup> BDNF <sup>Met</sup> (n = 11)	$\epsilon 4^+ BDNF^{Val/Val}$ (n = 27)	$\epsilon 4^+ BDNF^{Met}$ (n = 14)	ε4 <sup>-</sup> BDNF <sup>Met</sup>	ε4 <sup>+</sup> BDNF <sup>Val/Val</sup>	ε4 <sup>+</sup> BDNF <sup>Met</sup>	
Verbal EM Visual EM Executive Function	- 0.058 (0.341) 0.039 (0.325) - 0.017 (0.262)	- 0.046 (0.326) - 0.091 (0.315) - 0.087 (0.249)	- 0.223 (0.451) - 0.146 (0.428) - 0.018 (0.345)	-0.400 (0.423) -0.328 (0.407) -0.181 (0.323)	-0.04 (-0.76, 0.69) 0.40 (-0.32, 1.12) 0.27 (-0.46, 0.99)	0.40 (-0.16, 0.96) 0.48 (-0.09, 1.03) 0.00 (-0.55, 0.56)	<b>0.91 (0.19, 1.59)</b> <b>1.02 (0.29, 1.71)</b> 0.57 (-0.12, 1.24)	
Language Attention	– 0.063 (0.282) – 0.028 (0.218)	- 0.141 (0.269) - 0.143 (0.200)	– 0.130 (0.372) – 0.207 (0.279)	-0.341 (0.349) -0.134 (0.263)	0.28 (-0.45, 1.00) 0.54 (-0.21, 1.26)	0.20 (-0.36, 0.75) 0.70 (0.12, 1.26)	0.90 (0.18, 1.58) 0.45 (-0.24, 1.12)	
Abbreviations: CI, confidence interval; EM, episodic memory. Bolded values are significant at the $P < 0.05$ or $P < 0.001$ level; values are adjusted for age, premorbid intelligence and anxiety.								

synaptic plasticity and neuroinflammation) and extrinsic (for example, cerebrovascular disease) factors.<sup>3,8,32</sup> As all participants in the AIBL study have well-controlled risk factors for cardiovascular disease,<sup>19</sup> the risk of concomitant cerebrovascular events over the period of observation was reduced. Further, as there is increasing experimental evidence that *APOE* isoforms have a direct effect on A $\beta$  deposition, clearance and A $\beta$ -mediated synaptotoxicity,<sup>5-7</sup> a likely explanation for the cognitive decline seen in the A $\beta^+\epsilon 4^+$  group is that *APOE* moderates the direct effects of A $\beta$  accumulation. These results differ from previous reports, where we and others found no effect of  $\epsilon 4$  carriage on A $\beta$ -related cognitive decline.<sup>23,28,30,33</sup> The most likely reason for this lack of effect was that the smaller sample sizes used in these studies resulted in reduced power to detect any effects of  $\epsilon 4$  on A $\beta$ -related cognitive decline.<sup>23,28,30,33</sup>

We reported previously that BDNFVal66Met moderated Aβrelated changes in cognition and neurodegeneration in both healthy older and mild cognitive impairment groups in AIBL and ADNI, although it did not moderate the rate of AB deposition.<sup>15–17</sup> Consistent with evidence indicating that BDNF is necessary for synaptic plasticity in the hippocampus,<sup>4</sup> we proposed that BDNFVal66Met affects the clinical manifestation of early AD by influencing the ability of the brain to tolerate A $\beta$  toxicity.<sup>16,17</sup> In the current study, we explored whether BDNFVal66Met moderated any relationship between Aβ, ε4 and cognition. The  $A\beta^+\epsilon 4^+BDNF^{Met}$  group showed faster decline in EM and language compared with both the  $\epsilon 4^- BDNF^{Val/Val}$  and  $\epsilon 4^- BDNF^{Met}$  groups (Figure 2b). The  $\varepsilon 4^+$  *BDNF*<sup>Val/Val</sup> group showed a moderate rate of 17 cognitive decline. Consistent with our previous observations,<sup>15–17</sup> BDNFVal66Met, such as APOE, did not moderate any cognitive variable in  $A\beta^{-}$  individuals.

These results raise some important prognostic issues in managing preclinical AD and the implications for communicating these group data to individuals. The data demonstrates clearly that the rate of cognitive decline in preclinical AD is moderated by the combination of at least two genetic loci:  $\epsilon 4^+$  and BDNF<sup>Met</sup>. A $\beta^+ \epsilon 4^+$ individuals showed significantly greater EM decline than  $A\beta^+\epsilon 4^$ individuals and this difference became evident 18 months after enrolment. BDNF<sup>Met</sup> carriage increased the rate of memory decline related to AB and  $\epsilon$ 4, with differences in memory between AB<sup>+</sup> $\epsilon$ 4<sup>+</sup>BDNF<sup>Val/Val</sup> and AB<sup>+</sup> $\epsilon$ 4<sup>+</sup>BDNF<sup>Met</sup> groups becoming evident 36 months after enrolment (Supplementary Table 1). Another way of expressing these observations is to consider the length of time between establishing an individual's Aβ, ε4 and BDNF status and a criterion for clinically significant cognitive impairment (performance < 1.5 s.d. below controls,<sup>27</sup> dashed horizontal line in Figure 2). In the  $A\beta^{-}$  group, irrespective of  $\epsilon 4$  status, there was no evidence of decline over 4.5 years (Figure 2a). In contrast, extrapolation of the EM decline observed in the  $A\beta^+\epsilon 4^+$  group showed that clinically significant memory impairment would be met ~9 years after enrolment, while the  $A\beta^+\epsilon 4^-$  group would take ~27 years. Taking into consideration the additional effect of BDNF in  $A\beta^+$  individuals, the effect of BDNF is seen clearly in the  $\epsilon 4^+$  subgroup where *BDNF*<sup>Val/Val</sup> homozygotes would meet criteria for clinically significant impairment after ~10 years (similar rate based on  $\epsilon 4^+$  status alone) but the accelerated rate of memory decline in the BDNF<sup>Met</sup> group meant that they met this criteria after only 3 years (Figure 2b). Although there is some evidence in the model that even at baseline the  $A\beta^+ \epsilon 4^+ BDNF^{Met}$  group performs worse than the other three groups, this difference was not statistically significant. One limitation of natural history cohorts is that the baseline performance of each individual is



**Figure 2.** (a) Trajectories of change over 54 months on the Verbal Episodic Memory composite for  $A\beta^{-}\epsilon4^{-}$ ,  $A\beta^{-}\epsilon4^{+}$ ,  $A\beta^{+}\epsilon4^{-}$  and  $A\beta^{+}\epsilon4^{+}$  groups, with age and premorbid IQ as covariates (error bars represent 95% confidence intervals). Dotted line indicates 1.5 s.d. decline for clinically significant memory impairment. (b) Trajectories of change over 54 months in  $A\beta^{+}$  healthy individuals on the Verbal Episodic Memory composite for  $\epsilon4^{-}/BDNF^{VaI/VaI}$ ,  $\epsilon4^{+}/BDNF^{VaI/VaI}$  and  $\epsilon4^{+}/BDNF^{Met}$  groups, with age and premorbid IQ as covariates (error bars represent 95% confidence intervals). Dotted line indicates 1.5 s.d. decline for clinically significant memory impairment.

defined by their first visit, rather than symptom onset. As such, the data presented here can be interpreted as suggesting that the combination of  $A\beta^+$ ,  $\epsilon 4^+$  and *BDNF*<sup>Met</sup> does accelerate cognitive decline significantly such that even at the first assessment this

decline is already evident. A diagnosis of mild cognitive impairment is typically made when objective evidence of clinically significant memory impairment is accompanied by individuals' acknowledgement of that impairment, usually corroborated by an informant.<sup>27</sup> General cognitive function and functional activities are also typically preserved.<sup>27</sup> Over the course of this study, relatively few healthy individuals were classified as meeting clinical criteria for mild cognitive impairment/AD (Figure 1). This indicates that although some individuals met criteria for clinically significant impairment, these individuals, or their caregivers, had not acknowledged any problems with cognition. We have reported previously that subjective memory impairment in the AIBL healthy cohort does not predict objectively defined cognitive impairment or A $\beta$  levels.<sup>34</sup> Presumably, individuals with clinically significant memory decline observed here will begin to report subjective memory complaints in the future.

An important caveat is that as three radioligands were used to measure AB, SUVr data could not be integrated to form a single continuous measure of AB burden. However, we found no relationship between the proportions of individuals who were high and low  $A\beta^+$  in the  $A\beta^+\epsilon 4^+$  and  $A\beta^+\epsilon 4^-$  groups, suggesting that the faster decline observed in  $A\beta^+\epsilon 4^+$  was not due to more advanced disease at enrolment. Second, although the large number of healthy individuals who have undergone AB PET neuroimaging in the AIBL cohort has allowed for this report to investigate the effects of APOE and BDNF on the clinical manifestation of high AB, the resultant sample sizes remain relatively small. Further, the AIBL study is also not a representative population sample. Healthy participants in the AIBL study were highly educated, were of Caucasian backgrounds and had few existing or untreated medical, neurological or psychiatric illnesses. Participants selected for neuroimaging were also enriched for APOE E4 carriers. As such, these results need to be replicated in other more representative and ethnically diverse prospective cohorts of healthy individuals. Finally, APOE and BDNF are unlikely to be the only factors that moderate the clinical manifestation of AB; rather, other co-morbidities (for example, cerebrovascular disease) and lifestyle and genetic factors will need to be considered in future work.

## **CONFLICT OF INTEREST**

CLM is an advisor to Prana Biotechnology Ltd and a consultant to Eli Lilly. RHP and PJS are scientific consultants to Cogstate Ltd. PM is a full-time employee of Cogstate Ltd. DA has served on scientific advisory boards for Novartis, Eli Lilly, Janssen and Pfizer Inc. RNM is a consultant to Alzhyme. CCR has served on scientific advisory boards for Bayer Pharma, Elan Corporation, GE Healthcare and AstraZeneca, has received speaker honoraria from Bayer Pharma and GE Healthcare and has received research support from Bayer Pharma, GE Healthcare, Piramal Lifesciences and Avid Radiopharmaceuticals. VLV served as a consultant for Bayer Pharma and has received research support from a NEDO grant from Japan. All other authors declare no conflict of interest.

## ACKNOWLEDGMENTS

Alzheimer's Australia (Victoria and Western Australia) assisted with promotion of the study and the screening of telephone calls from volunteers. The AIBL team wishes to thank the clinicians who referred patients with AD to the study: Associate Professor Brian Chambers, Professor Edmond Chiu, Dr Roger Clarnette, Associate Professor David Darby, Dr Mary Davison, Dr John Drago, Dr Peter Drysdale, Dr Jacqueline Gilbert, Dr Kwang Lim, Professor Nicola Lautenschlager, Dr Dina LoGiudice, Dr Peter McCardle, Dr Steve McFarlane, Dr Alastair Mander, Dr John Merory, Professor Daniel O'Connor, Dr Ron Scholes, Dr Mathew Samuel, Dr Darshan Trivedi and Associate Professor Michael Woodward. We thank all those who participated in the study for their commitment and dedication to helping advance research into the early detection and causation of AD. Funding for the study was provided in part by the study partners (Commonwealth Scientific Industrial and research Organization (CSIRO), Edith Cowan University (ECU), Mental Health Research institute (MHRI), National Ageing Research Institute (NARI), Austin Health, CogState Ltd]. The study also received support from the National Health and Medical Research Council (NHMRC) and the Dementia Collaborative Research Centres program (DCRC2), as well as funding from the Science and Industry Endowment Fund (SIEF) and the Cooperative Research Centre for Mental Health (CRCMH).

# AUTHOR CONTRIBUTIONS

YYL, PM and CLM developed the concept and hypothesis for this study. DA, RNM, CLM, PM, KAE, VLV, AR and CCR are senior investigators of the AlBL study and are responsible for the design of the AlBL study and selection of study endpoints. VLV and CCR conducted and oversaw neuroimaging for all participants. YYL, KH and KAE conducted neuropsychological assessments. SML undertook all genetic analyses for all participants. YYL, PM and VLV conducted all statistical analyses. YYL, PM, VLV and CLM interpreted the data. YYL and PM reviewed all literature and prepared the manuscript. CLM, DA, SML, KAE, KH, AR, RNM, VLV, PJS, RHP and CCR drafted and revised the manuscript.

#### REFERENCES

- 1 Villemagne VL, Burnham S, Bourgeat P, Brown B, Ellis KA, Salvado O *et al.* Amyloid  $\beta$  deposition, neurodegeneration and cognitive decline in sporadic Alzheimer's disease: a prospective cohort study. *Lancet Neurol* 2013; **12**: 357–367.
- 2 Jedynak BM, Lang A, Liu B, Katz E, Zhang Y, Wyman BT et al. A computational neurodegenerative disease progression score: method and results with the Alzheimer's Disease Neuroimaging Initiative cohort. NeuroImage 2012; 63: 1478–1486.
- 3 Liu CC, Kanekiyo T, Xu H, Bu G. Apolipoprotein E and Alzheimer disease: risk, mechanisms and therapy. *Nat Rev Neurol* 2013; **9**: 106–118.
- 4 Fahnestock M. Brain-derived neurotrophic factor: the link between amyloid-β and memory loss. *Future Neurol* 2011; **6**: 627–639.
- 5 Lee CYD, Tse W, Smith JD, Landreth GE. Apolipoprotein E promotes  $\beta$ -amyloid trafficking and degradation by modulating microglial cholesterol levels. *J Biol Chem* 2012; **287**: 2032–2044.
- 6 Verghese PB, Castellano JM, Garai K, Wang Y, Jiang H, Shah A et al. ApoE influences amyloid-β (Aβ) clearance despite minimal apoE/Aβ association in physiological conditions. Proc Natl Acad Sci USA 2013; **110**: 1807–1816.
- 7 Hudry E, Dashkoff J, Roe AD, Takeda S, Koffie RM, Hashimoto T et al. Gene transfer of human Apoe isoform results in differential modulation of amyloid deposition and neurotoxicity in mouse brain. Sci Transl Med 2013; 5: 212ra161.
- 8 Zlokovic BV. Cerebrovascular effects of apolipoprotein E: implications for Alzheimer disease. *JAMA Neurol* 2013; **70**: 440–444.
- 9 Mormino EC, Betensky RA, Hedden T, Schultz AP, Ward A, Huijbers W et al. Amyloid and APOE4 interact to influence short-term decline in preclinical Alzheimer's disease. *Neurology* 2014; 82: 1760–1767.
- 10 Caselli RJ, Dueck AC, Osborne D, Sabbagh MN, Connor DJ, Ahern GL et al. Longitudinal modeling of age-related memory decline and the APOE ε4 effect. N Engl J Med 2009; 361: 255–263.
- 11 Cosentino S, Scarmeas N, Helzner E, Glymour MM, Brandt J, Albert M et al. APOE ε4 allele predicts faster cognitive decline in mild Alzheimer's disease. Neurology 2008; 70: 1842–1849.
- 12 Park H, Poo MM. Neurotrophin regulation of neural circuit development and function. *Nat Rev Neurosci* 2013; **14**: 7–23.
- 13 Anastasia A, Deinhardt K, Chao MV, Will NE, Irmady K, Lee FS et al. Val66Met polymorphism of BDNF alters prodomain structure to induce neuronal growth cone retraction. Nat Commun 2013; 4: 2490.
- 14 Ramser EM, Gan KJ, Decker H, Fan EY, Suzuki MM, Ferreira ST *et al.* Amyloid-β oligomers induce tau-independent disruption of BDNF axonal transport via calcineurin activation in cultured hippocampal neurons. *Mol Biol Cell* 2013; 24: 2494–2505.
- 15 Feng S, Sevigny J, Verma A, Bennett D, Lim YY, Maruff P. Genetic and image biomarkers associated with decline in cognitive measures and brain glucose metabolism in populations of early Alzheimer's disease. *Alzheimer Dement* 2013; 9: 178.
- 16 Lim YY, Villemagne VL, Laws SM, Ames D, Pietrzak RH, Ellis KA et al. BDNF Val66Met moderates Aβ-related memory decline and hippocampal atrophy in prodromal Alzheimer's disease: A preliminary study. PLoS ONE 2014; 9: e86498.
- 17 Lim YY, Villemagne VL, Laws SM, Ames D, Pietrzak RH, Ellis KA *et al.* BDNF Val66Met, Aβ amyloid and cognitive decline in preclinical Alzheimer's disease. *Neurobiol Aging* 2013; 34: 2457–2464.
- 18 Rowe CC, Ellis KA, Rimajova M, Bourgeat P, Pike KE, Jones G et al. Amyloid imaging results from the Australian Imaging, Biomarkers and Lifestyle (AIBL) study of aging. *Neurobiol Aging* 2010; **31**: 1275–1283.
- 19 Ellis KA, Bush AI, Darby D, De Fazio D, Foster J, Hudson P et al. The Australian Imaging, Biomarkers and Lifestyle (AIBL) study of aging: Methodology and baseline characteristics of 1112 individuals recruited for a longitudinal study of Alzheimer's disease. Int Psychogeriatr 2009; 21: 672–687.

- 1328
- 20 Vandenberghe R, Van Laere K, Ivanoiu A, Salmon E, Bastin C, Triau E et al. 18F-flutemetamol amyloid imaging in Alzheimer disease and mild cognitive impairment: a phase 2 trial. Ann Neurol 2010; 68: 319–329.
- 21 Clark CM, Schneider JA, Bedell BJ, Beach TG, Bilker WB, Mintun MA et al. Use of florbetapir-PET for imaging beta-amyloid pathology. J Am Med Soc 2011; 305: 275–283.
- 22 Rowe CC, Bourgeat P, Ellis KA, Brown B, Lim YY, Mulligan R *et al.* Predicting Alzheimer disease with β-amyloid imaging: results from the Australian imaging, biomarkers, and lifestyle study of ageing. *Ann Neurol* 2014; **74**: 905–913.
- 23 Lim YY, Maruff P, Pietrzak RH, Ames D, Ellis KA, Harrington K et al. Effect of amyloid on memory and non-memory decline from preclinical to clinical Alzheimer's disease. Brain 2014; 137: 221–231.
- 24 Harrington K, Lim YY, Ellis KA, Copolov C, Ames D, Darby D *et al*. The effect of Aβ amyloid and APOE ε4 on composite cognitive measures in healthy older adults and MCI. *Int Psychogeriatr* 2013; **25**: 1667–1677.
- 25 Jack CR, Wiste HJ, Lesnick TG, Weigand SD, Knopman DS, Vemuri P et al. Brain βamyloid load approaches a plateau. Neurology 2013; 80: 1–7.
- 26 Cohen J. A power primer. Psychol Bull 1992; 112: 155-159.
- 27 Petersen RC. Mild cognitive impairment as a diagnostic entity. J Int Med 2004; 256: 183–194.
- 28 Lim YY, Ellis KA, Pietrzak RH, Ames D, Darby D, Harrington K *et al.* Stronger effect of amyloid load than APOE genotype on cognitive decline in healthy older adults. *Neurology* 2012; **79**: 1645–1652.
- 29 Vos SJ, Xiong C, Visser PJ, Jasielec MS, Hassenstab J, Grant EA *et al.* Preclinical Alzheimer's disease and its outcome: a longitudinal cohort study. *Lancet Neurol* 2013; **12**: 957–965.

- 30 Doraiswamy PM, Sperling RA, Coleman RE, Johnson KA, Reiman EM, Davis MD et al. Amyloid-β assessed by florbetapir F 18 PET and 18-month cognitive decline. *Neurology* 2012; **79**: 1636–1644.
- 31 Stonnington CM, Chen K, Lee W, Locke DE, Dueck AC, Liu X *et al.* Fibrillar amyloid correlates of preclinical cognitive decline. *Alzheimer Dement* 2014; **10**: e1–e8.
- 32 Irie F, Fitzpatrick AL, Lopez OL, Kuller LH, Peila R, Newman AB *et al.* Enhanced risk for Alzheimer disease in persons with type 2 diabetes and APOE epsilon4: The Cardiovascular Health Study Cognition Study. *Arch Neurol* 2008; **65**: 89–93.
- 33 Roe CM, Fagan AM, Grant EA, Hassenstab J, Moulder KL, Maue Dreyfus D et al. Amyloid imaging and CSF biomarkers in predicting cognitive impairment up to 7.5 years later. *Neurology* 2013; 80: 1784–1791.
- 34 Buckley R, Saling MM, Ames D, Rowe CC, Lautenschlager NT, Macaulay SL et al. Factors affecting subjective memory complaints in the AIBL aging study: biomarkers, memory, affect, and age. Int Psychogeriatr 2013; 25: 1307–1315.

This work is licensed under a Creative Commons Attribution-NonCommercial-NoDerivs 3.0 Unported License. The images or other third party material in this article are included in the article's Creative Commons license, unless indicated otherwise in the credit line; if the material is not included under the Creative Commons license, users will need to obtain permission from the license holder to reproduce the material. To view a copy of this license, visit http://creativecommons.org/licenses/by-nc-nd/3.0/

Supplementary Information accompanies the paper on the Molecular Psychiatry website (http://www.nature.com/mp)