

# Development and validation of a novel clinical fluorescence *in situ* hybridization assay to detect JAK2 and PD-L1 amplification: a fluorescence *in situ* hybridization assay for JAK2 and PD-L1 amplification

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The amplification of chromosome 9p24.1 encoding *PD-L1*, *PD-L2*, and *JAK2* has been reported in multiple types of cancer and is associated with poor outcome, upregulation of PD-L1, and activation of the JAK/STAT pathway. We have developed a novel fluorescence *in situ* hybridization assay which combines 3 probes mapping to 9p24.1 with a commercial chromosome 9 centromere (CEN9) probe for detection of the *JAK2*/9p24.1 amplification. *JAK2* fluorescence *in situ* hybridization was compared with array-based comparative genomic hybridization in 34 samples of triple negative breast cancer tumor. By array-based comparative genomic hybridization, 15 had 9p24.1 copy-number gain ( $\log_2\text{ratio} > 0.3$ ) and 19 were classified as non-gain ( $\log_2\text{ratio} \leq 0.3$ ). Copy-number gain was defined as *JAK2*/CEN9 ratio  $\geq 1.1$  or average *JAK2* signals  $\geq 3.0$ . Twelve of 15 samples with copy-number gain by array-based comparative genomic hybridization were also detected by fluorescence *in situ* hybridization. Eighteen of 19 samples classified as copy-number non-gain by array-based comparative genomic hybridization were concordant by array-based comparative genomic hybridization. The sensitivity and specificity of the fluorescence *in situ* hybridization assay was 80% and 95%, respectively ( $P = 0.02$ ). The sample with the highest level of amplification by array-based comparative genomic hybridization ( $\log_2\text{ratio} = 3.6$ ) also scored highest by fluorescence *in situ* hybridization (ratio = 8.2). There was a correlation between the expression of *JAK2* and amplification status (Mean 633 vs 393,  $P = 0.02$ ), and there was a trend of association with PD-L1 RNA expression (Mean 46 vs 22,  $P = 0.11$ ). No significant association was observed between PD-L1 immunohistochemistry expression and copy-number gain status. In summary, the novel array-based comparative genomic hybridization assay for detection of chromosome 9p24.1 strongly correlates with the detection of copy-number gain by array-based comparative genomic hybridization. In triple negative breast cancer, this biomarker may identify a relevant subset of patients for targeted molecular therapies.

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Chromosome 9p24.1 amplification has been detected in lymphoma, non-small cell lung cancer, colorectal cancer, and in the subset of triple-negative breast cancers that lack overexpression of receptors for estrogen, progesterone, and the human epidermal growth factor 2 (HER2).<sup>1–3</sup> The shortest overlapping

region of amplification includes the genes encoding JAK2, PD-L1, and PD-L2, and is associated with aggressive behavior and poor outcome.<sup>2,4,5</sup> JAK2 contributes to pathways involved in promoting cell proliferation and metastasis,<sup>6</sup> and PD-L1 and PD-L2 contribute to immune evasion.<sup>7</sup>

Amplification of chromosome 9p24.1 upregulates JAK2 expression and activates the JAK2/STAT3 pathway,<sup>1,2</sup> and increases PD-L1 mRNA expression in triple negative breast cancer.<sup>2</sup> Approximately 20% of triple negative breast cancer have overexpression of PD-L1 protein in tumor cells which may lead to immune escape.<sup>8</sup> A study in classical Hodgkin's lymphoma (cHL) found that the 9p24.1 alterations are a defining feature<sup>5</sup> and correlate with responsiveness to checkpoint inhibitors.<sup>9</sup> These data suggest that 9p24.1 amplification could be a clinically relevant predictive biomarker for response to JAK2 inhibition and/or PD-1/PD-L1 checkpoint blockade. A subset of triple negative breast cancer patients benefit from targeted blockade of PD-1/PD-L1,<sup>10</sup> although predictive biomarkers of response have not been identified.<sup>11,12</sup> In lymphoma and myelofibrosis, JAK2 inhibitors have evidence of clinical efficacy,<sup>1,13</sup> however in breast cancer, JAK2 inhibition remains in early-phase clinical trials.<sup>14</sup>

For both JAK2 inhibitor and PD-1/PD-L1 blockade clinical trials, receptor overexpression has been discordant with response to therapy.<sup>10,15</sup> In a study of 296 patients with multiple tumor types including melanoma, lung cancer, and colorectal cancer treated with nivolumab, only 36% of PD-L1 immunohistochemistry positive tumors responded to nivolumab, demonstrating the need for improved patient selection.<sup>9</sup> In addition, PD-L1 is rapidly inducible by interferon- $\gamma$  (IFN- $\gamma$ ), which is expressed by both tumor cells and tumor-infiltrating lymphocytes and is involved in maintaining a tumor suppressive immune microenvironment.<sup>16</sup> The regulation of PD-L1 expression is complex and not fully understood. Chromosome 9p24.1 amplification was found to upregulate PD-L1 expression in cHL<sup>1</sup> but not in breast cancer *in vitro* (unpublished observations). Activation of mTOR-AKT and PTEN loss have been reported to trigger the expression of PD-L1 in lung cancer<sup>17</sup> and breast cancer.<sup>18</sup> The expression of PD-L1 is not only dependent on DNA amplification but also modulated by the tumor immune microenvironment.<sup>19</sup> Quantitation RNA profiling has been used to identify immune-related gene signatures in breast cancer that correlate with pathologic complete response and survival in neoadjuvant chemotherapy treated triple negative breast cancer patients.<sup>20</sup> These studies demonstrate that biomarkers of the tumor immune microenvironment may identify additional targets for triple negative breast cancer therapy.

Array-based comparative genomic hybridization is emerging as a standard benchmark assay for detection and quantitation copy-number variation, but the application of array-based comparative genomic hybridization for widespread clinical use is limited

by yield, throughput and sample quality.<sup>21–23</sup> Targeted fluorescent *in situ* hybridization has been standardized for clinical detection of copy-number aberrations,<sup>24,25</sup> such as for HER-2/neu.<sup>23</sup> To distinguish true HER-2/neu amplification from chromosome 17 polysomy, the HER-2 to chromosome 17 ratio is used to define amplification status, with a 97% concordance between array-based comparative genomic hybridization and fluorescence *in situ* hybridization reported.<sup>23</sup> fluorescence *in situ* hybridization targeting 9p24.1, including either PD-L1 or JAK2, has been evaluated in tissue from cHL patients, however for breast cancer it has only been applied in animal models and cell lines.<sup>4,5</sup> *PD-L1* is tightly linked with *JAK2* (< 400 kb), so that development of a fluorescence *in situ* hybridization probe for *JAK2* will likely be a surrogate for *PD-L1* copy-number evaluation.

In this study, we developed and evaluated a clinically applicable fluorescence *in situ* hybridization assay to detect JAK2/9p24.1 copy-number alterations. We directly compare 9p24.1 copy-number and CEN9 copy-number with array-based comparative genomic hybridization quantitation from flow cytometry-sorted tumor nuclei derived from tumor tissue. We determined the association of 9p24.1 copy-number alteration with JAK2 and PD-L1 mRNA expression.

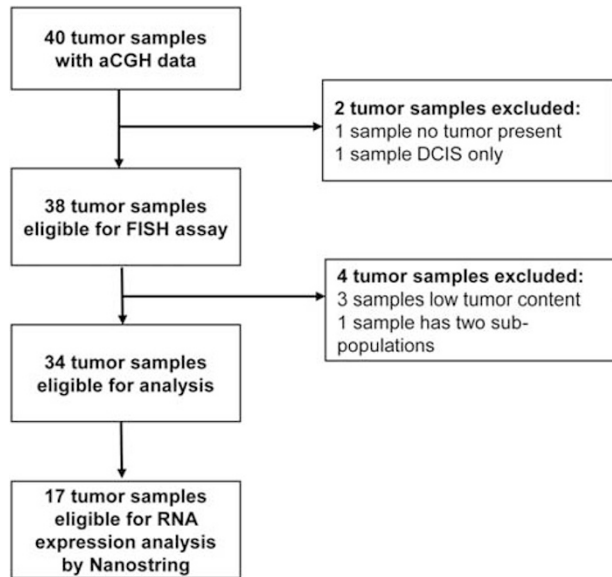
## Materials and methods

### Tissue Samples

A total of 40 formalin-fixed paraffin-embedded primary triple negative breast cancer tissue surgical pathology samples were obtained from Mayo Clinic Arizona. Estrogen receptor and progesterone receptor expression were determined using central pathologic review. HER-2/neu expression was determined by immunohistochemistry and graded by immunohistochemistry+/- fluorescence *in situ* hybridization under CLIA/CAP guidelines. Of these, 38 samples had available array-based comparative genomic hybridization data from prior research study<sup>2</sup> and were included for JAK2/9p24.1/fluorescence *in situ* hybridization analysis. We also evaluated 17 of these samples for RNA expression using the Nanostring nCounter PanCancer Immune Profiling Panel (NanoString Technologies, Seattle, WA). Medical records were reviewed for clinical information and pathology reports. All patients provided written informed consent for the use of tissue samples and medical records and the study was approved by the Mayo Clinic Institutional Review Board. The workflow of sample selection at each step is illustrated in Figure 1.

### Microarray-Based Comparative Genomic Hybridization Analysis

Tumor nuclei were stained with DAPI, and the aneuploidy fraction was selected by flow cytometry.



**Figure 1** Work flow chart of tumor samples included in the study. A total of 40 formalin-fixed paraffin-embedded primary triple negative breast cancer tissues were analyzed by array-based comparative genomic hybridization (aCGH). Of these, 38 tissue samples were eligible for evaluation by fluorescence *in situ* hybridization (FISH) assay and 17 samples were further ran on the Nanostring nCounter platform. DCIS: Ductal carcinoma *in situ*.

DNA from flow cytometry-sorted nuclei was extracted using an amended protocol from QIAamp DNA Micro Kit from Qiagen (Valencia, CA), which has been described previously.<sup>2</sup> DNA was prepared for CGH arrays (Agilent Technologies, Santa Clara, CA) and array-based comparative genomic hybridization data were analyzed using standardized protocols.<sup>2</sup> Briefly, all microarray slides were scanned by an Agilent 2565C DNA scanner and the images were analyzed with Agilent Feature Extraction version 10.7 using default settings. The array-based comparative genomic hybridization data was assessed with a series of QC metrics then analyzed using an aberration detection algorithm (ADM2)<sup>26</sup> to define and rank 9p24.1 copy-number alterations; copy-number gain was defined as array-based comparative genomic hybridization  $\log_2$  ratio  $>0.3$ .

### Fluorescence *In Situ* Hybridization Analysis

We developed a fluorescence *in situ* hybridization probe mapping to 9p24.1 with home-brew *JAK2* DNA (clones RP11-980L14, RP11-927H16, and CTD-2506A8)<sup>27</sup> labeled with SpectrumOrange dUTP per manufacturer's instructions (Abbott Molecular/fluorescence *in situ* hybridization Vysis Products, Abbott Park, IL). A commercially available chromosome 9 centromere (CEN9) probe with Spectrum-Green (Abbott Molecular) was combined with the *JAK2*/9p24.1 probes as one probe set. The enumeration probe set was applied to individual slides, hybridized, and washed according to the Mayo

Cytogenetics Core Lab partially automatic protocol.<sup>28,29</sup> fluorescence *in situ* hybridization was performed in 38 formalin-fixed paraffin-embedded tumor samples, 4 samples were excluded from analysis due to minimal tumor embedded in samples ( $n=3$ ) or two populations present in the sample ( $n=1$ ). For each sample, a total of 100 individual nuclei were manually scored with a fluorescence microscope, 50 nuclei by each experienced pathologist. The ratio of *JAK2* average signals to CEN9 average signals was used to determine copy-number alteration of *JAK2*/9p24.1. Copy-number gain was defined as ratio  $\geq 1.1$  or average *JAK2* signals  $\geq 3.0$ .<sup>4,5,30</sup>

### Immunohistochemistry

Immunohistochemistry for PD-L1 was performed by Merck Research Laboratories (Merck & Co, Inc, Kenilworth, NJ) as in the previous study.<sup>31</sup> Briefly, whole tissue sections cut from formalin-fixed paraffin-embedded tissue blocks were deparaffinized and subjected to heat induced epitope retrieval in Envision FLEX Target Retrieval Solution (Dako, Carpinteria, CA). Endogenous peroxidase in tissues was blocked by incubation of slides in 3% hydrogen peroxide solution prior to incubation with the anti-PD-L1 antibody (clone 22C3, Merck Research Laboratories, Palo Alto, CA) for 60 min. Antigen-antibody binding was visualized with DAB chromogen (Dako, Carpinteria, CA.) and counterstained with hematoxylin. We used an established scoring system to report the PD-L1 expression level in each sample.<sup>31</sup> Scoring of PD-L1 was conducted by a pathologist blinded to patient characteristics and clinical outcomes. A semi-quantitative 0–5 scoring system was applied; Negative: 0, Rare: 1 = individual positive cells or only very small focus within or directly adjacent to tumor tissue, Low: 2 = infrequent small clusters of positive cells within or directly adjacent to tumor tissue, Moderate: 3 = single large cluster, multiple smaller clusters, or moderately dense diffuse infiltration, within or directly adjacent to tumor tissue, High: 4 = single very large dense cluster, multiple large clusters, or dense diffuse infiltration, Very high: 5 Coalescing clusters, dense infiltration throughout the tumor tissue. Evaluations were relativized to the size of the tumor sample. A positive PD-L1 immunohistochemistry was defined as a PD-L1 score  $\geq 3$ .

### RNA Expression Analysis

Total RNA was extracted from formalin-fixed paraffin-embedded sections using RNeasy formalin-fixed paraffin-embedded RNA Isolation Kit (Qiagen, Hilden, Germany). RNA quantification was done with a Qubit 2.0 fluorometer (Life Technologies, Carlsbad, CA) and Qubit RNA HS assay kit (Molecular Probes, Eugene, OR). Quality of RNA was assessed by

spectrophotometric measurement. JAK2, PD-L1, PD-L2, and PD-1 expression was measured using the Nanostring nCounter PanCancer Immune Profiling Panel (nanoString Technologies) following the manufacturer's instructions. Data were analyzed by Nanostring nSolver Analysis Software v2.0.

### Statistical Analysis

All statistical comparisons and correlations were performed using an unpaired *t*-test and variation among and between groups was calculated using ANOVA (GraphPad Prism 6). The comparison of array-based comparative genomic hybridization assay and fluorescence *in situ* hybridization assay *P*-value was calculated using Pearson's Chi-square test, and the correlation between the two assays was calculated by Pearson correlation coefficients. *P*-values  $\leq 0.05$  was considered significant.

## Results

### Patients Characteristics

Thirty-four triple negative breast cancer samples were eligible for both array-based comparative genomic hybridization and fluorescence *in situ* hybridization analysis and the characteristics of the patients are summarized in Table 1. Fifteen samples were classified with copy-number gain at 9p24.1 based on array-based comparative genomic hybridization, and 19 samples were classified as non-gain at 9p24.1. Among these subjects, the majority of patients ( $n=28/34$ , 82%) were  $\geq 50$  years old, and no significant difference was observed in age distribution between the copy-number gain and non-gain subgroups. The majority of patients had relatively small tumors; 28 subjects (82%) had a primary tumor size of T1-T2. Lymph node status was negative in 40% patients of the gain subgroup and 63% in the non-gain subgroup with no significant difference observed. Most of the patients included in our study were diagnosed with stage I/II breast cancer, with only 5 subjects (15%) with stage III disease. As expected for triple negative breast cancer, the majority of the tumors ( $n=28$ , 82%) were grade III. In total, 11 tumors (32%) were derived after neoadjuvant chemotherapy, and were equally distributed in the gain subgroup ( $n=6$ , 40%) and in the non-gain subgroup ( $n=5$ , 26%). Therefore, these data are likely representative of primary triple negative breast cancer.

### Correlation of Array-Based Comparative Genomic Hybridization and Fluorescence *in situ* Hybridization Classification Of 9p24.1 Copy Number

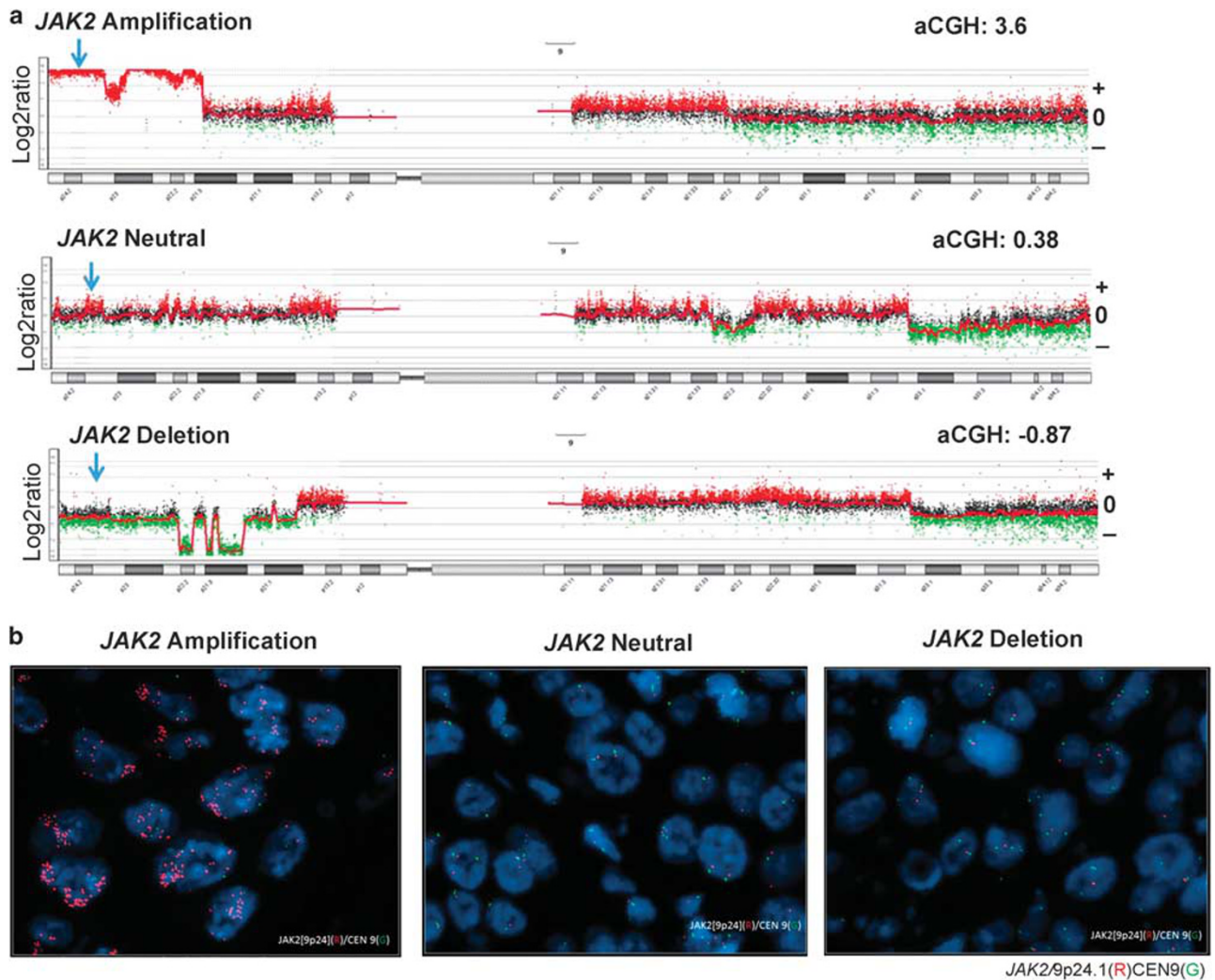
We have previously reported the copy-number alterations of chromosome 9p24.1 in 34 formalin-fixed paraffin-embedded tumor samples as measured

**Table 1** Patient and tumor characteristics

Characteristics	Gain ( <i>JAK2</i> copy-number status) <sup>a</sup> (%)	Non-gain ( <i>JAK2</i> copy-number status) <sup>a</sup> (%)	P-value
Age (years)			0.157
< 50	3 (20.0)	3 (16)	
$\geq 50$	12 (80.0)	16 (84)	
Tumor size (cm)			0.133
T1-T2	11 (74)	17 (90)	
T3	2 (13)	0 (0)	
T4	2 (13)	1 (5)	
Unknown	0	1 (5)	
Lymph node status			0.121
N0	6 (40.0)	12 (63)	
N1	6 (40.0)	3 (16)	
N2	2 (13)	1 (5)	
N3	0 (0)	0 (0)	
NX	1 (7)	3 (16)	
Tumor Stage			0.157
Stage I	4 (27)	9 (47)	
Stage II	6 (40.0)	6 (32)	
Stage III	4 (27)	1 (5)	
Stage IV	0 (0)	0 (0)	
Unknown	1 (6)	3 (16)	
Grade			0.587
G1	0 (0)	0 (0)	
G2	2 (13)	1 (5)	
G3	13 (87)	15 (79)	
Unknown	0	3 (16)	
Neoadjuvant Chemotherapy			0.354
No	9 (60.0)	14 (73)	
Yes	6 (40.0)	5 (26)	

<sup>a</sup>JAK2 status defined by array-based comparative genomic hybridization (aCGH).

by array-based comparative genomic hybridization.<sup>2</sup> Fifteen samples (44%) had 9p24.1 gain and/or amplification and 19 (56%) had non-gain at 9p24.1 (Figure 2a). We then evaluated the novel *JAK2* fluorescence *in situ* hybridization probe, with and without comparison to CEN9, to determine the 9p24.1 copy-number status of these samples. Using the *JAK2*/CEN9 fluorescence *in situ* hybridization ratio, the results were 88% concordant with array-based comparative genomic hybridization. Of the 15 samples scored as copy-number gain/amplification by array-based comparative genomic hybridization, 12 samples were concordant by fluorescence *in situ* hybridization (Figure 2b) and 3 had neutral copy-number (fluorescence *in situ* hybridization ratio were 1.04, 1.02, and 0.97, separately). In the 19 samples defined as non-gain at 9p24.1 by array-based comparative genomic hybridization, 18 samples were concordant by fluorescence *in situ* hybridization (Figure 2b). One sample had an average *JAK2* signal 1.72 with CEN9 signal of 1.09, scored as gain at 9p24.1 by fluorescence *in situ* hybridization. No significant difference was found in the distri-



**Figure 2** Genomic analysis of the *JAK2*/9p24.1 locus in triple negative breast cancer. (a) Chromosome 9 copy-number analysis of 3 selected triple negative breast cancer tumors. Locus-specific views of 9p24.1 copy-number variation, red shaded areas denote ADM2 defined copy-number gain/amplification intervals, and green represents deletion. Blue arrows denote the *JAK2* locus. Copy-number is scored according to  $\log_2$  ratio. Top to bottom represent *JAK2* amplification, neutral, and deletion (b). Representative of *JAK2* status detected by fluorescence *in situ* hybridization (FISH), *JAK2* (red), and chromosome 9 centromere (green). Left: 21+ *JAK2* signals and 1–3 CEN9 signals (fluorescence *in situ* hybridization ratio 8.20, amplification), middle: 2 *JAK2* signals and 2 CEN9 signals (fluorescence *in situ* hybridization ratio 0.99, neutral), and right: 1–2 *JAK2* signals and 2–4 CEN9 signals (fluorescence *in situ* hybridization ratio 0.56, deletion).

tribution of 9p24.1 status in these samples detected by array-based comparative genomic hybridization and fluorescence *in situ* hybridization ( $P=0.16$ ) (Table 2). The sensitivity of the fluorescence *in situ* hybridization test was 80% at 95% specificity when benchmarked to array-based comparative genomic hybridization status ( $P=0.02$ ).

In the copy-number gain subgroup ( $n=15$ ), *JAK2* gain/amplification was detected by fluorescence *in situ* hybridization ranging from 2.39 to 21.0 (mean 4.65). To adjust for aneuploidy, the ratio of *JAK2* to CEN9 ranged from 0.78 to 8.2 (mean 1.87). The sample with highest level of amplification (array-based comparative genomic hybridization  $\log_2$ ratio=3.6) as measured by array-based comparative genomic

hybridization also scored highest by fluorescence *in situ* hybridization (fluorescence *in situ* hybridization ratio=8.2). In the non-amplification subgroup ( $n=19$ ), average *JAK2* signals were detected by fluorescence *in situ* hybridization with the range from 0.94 to 2.84 (mean 1.67), with the ratio of *JAK2*:CEN9 ranging from 0.42 to 1.58 (mean 0.75).

In 12 samples with copy-number gain by fluorescence *in situ* hybridization, 3 samples had polysomy 9 (CEN9  $\geq 3.0$ ),<sup>32,33</sup> and 1 of 18 samples had polysomy 9. Polysomy 9 has been reported in pancreatic ductal adenocarcinoma and ovarian cancer but the effect on the 9p24.1 transcription and translation is not clear.<sup>33</sup> We separately evaluated the average *JAK2* signals by fluorescence *in situ*

**Table 2** Distribution of *JAK2*/9p24.1 gain/amplification

<i>JAK2</i> Status	aCGH	FISH	P-value
Gain	15 (44)	13 (38) <sup>a</sup>	0.16
Non-gain	19 (56)	21 (62) <sup>b</sup>	

Total 34 tumor samples were included in analysis. P-value was calculated using Pearson's Chi-square test.

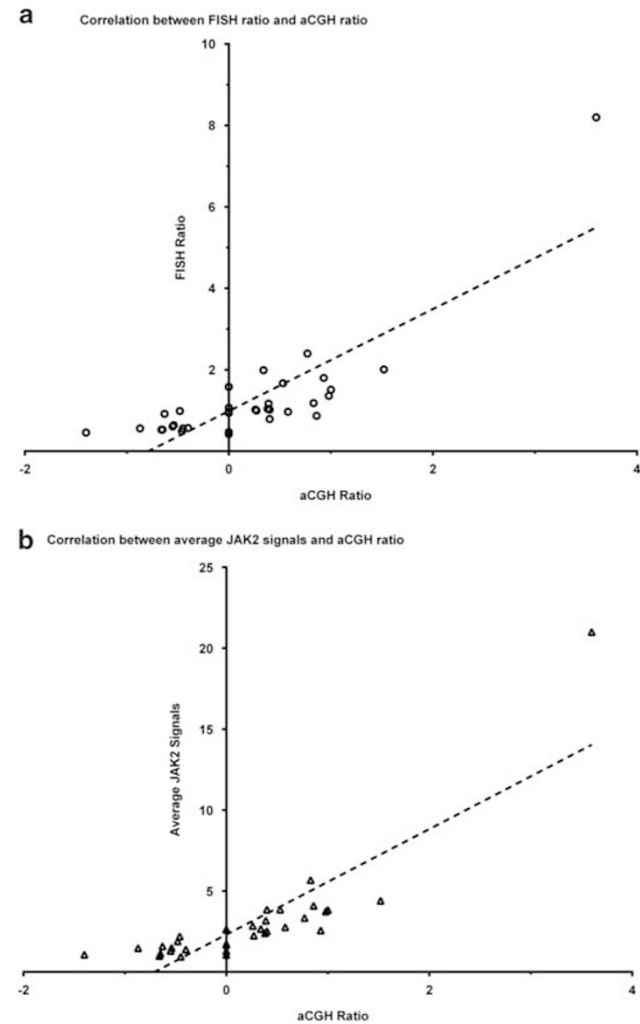
<sup>a</sup>3 samples pre-defined as gain by array-based comparative genomic hybridization (aCGH) were measured as non-gain by fluorescence *in situ* hybridization (FISH).

<sup>b</sup>1 sample pre-defined as non-gain by array-based comparative genomic hybridization was measured as gain by fluorescence *in situ* hybridization.

hybridization and the fluorescence *in situ* hybridization ratio (average *JAK2* signal/CEN9). We independently calculated the correlation of copy-number status as determined by array-based comparative genomic hybridization with the average *JAK2* copy-number by fluorescence *in situ* hybridization, and the *JAK2*/CEN9 ratio by fluorescence *in situ* hybridization using the Spearman correlation. We observed a strong correlation of copy-number status by array-based comparative genomic hybridization with both *JAK2* and the *JAK2*/CEN9 ratio ( $r=0.85$ ,  $0.83$ ,  $P < 0.0001$ ; Figure 3).

### JAK2 mRNA Expression Correlates with 9p24.1 Copy-number Status

We have previously reported a strong correlation between *JAK2* mRNA levels and 9p24.1 amplification using qRT-PCR on a limited set of triple negative breast cancer samples.<sup>2</sup> Here, we evaluated the RNA expression of *JAK2*, *PD-L1*, and *PD-L2* in 17 samples, using Nanostring immune profiling.<sup>20</sup> Almost all tumors were treatment-naïve; six samples were collected after neoadjuvant chemotherapy in the copy-number gain ( $n=4$ ) and non-gain ( $n=2$ ) subgroups. We observed a correlation between copy-number gain of 9p24.1 and significant elevation of *JAK2* mRNA levels (Figure 4a). The level of *JAK2* mRNA was  $633 \pm 229$  (mean  $\pm$  s.d.) in the array-based comparative genomic hybridization-defined gain subgroup, higher than  $382 \pm 160$  (mean  $\pm$  s.d.) in the non-gain subgroup ( $P=0.02$ ) (Table 3). This correlation between gain and non-gain subgroups was also observed using the fluorescence *in situ* hybridization-defined copy-number status ( $P=0.05$ ) (Figure 4b), where the mean level of *JAK2* mRNA was  $627 \pm 251$  (mean  $\pm$  s.d.) in the fluorescence *in situ* hybridization-defined gain subgroup and  $408 \pm 174$  (mean  $\pm$  s.d.) in the non-gain subgroup (Table 3). The range of the expression of *JAK2* was the same regardless of array-based comparative genomic hybridization or fluorescence *in situ* hybridization analysis (range 456–1095 in copy-number gain group, and 183–755 in non-gain group) (Table 3). Here, we confirmed that RNA

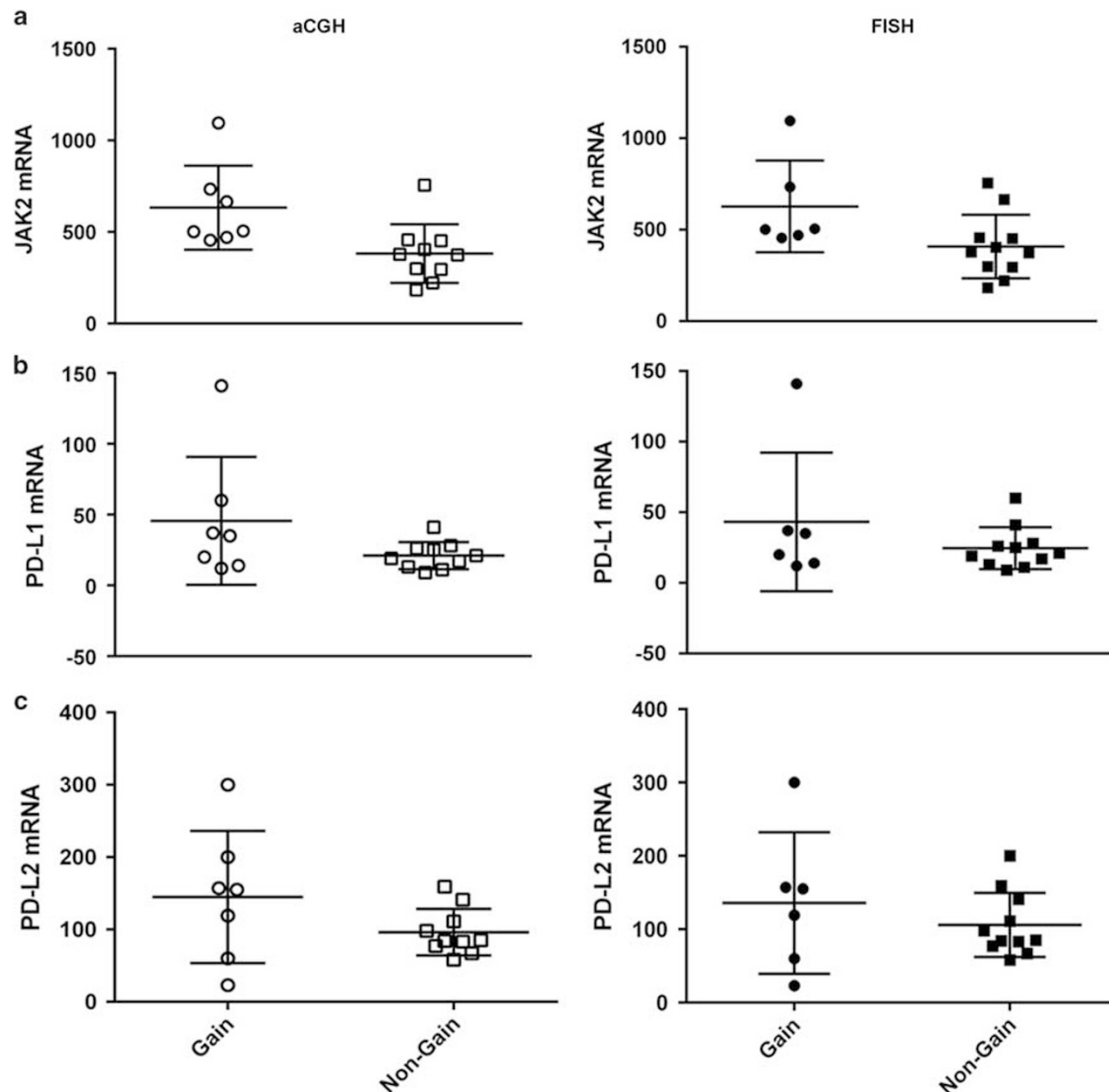


**Figure 3** Comparison of *JAK2*/9p24.1 copy-number status detected by array-based comparative genomic hybridization and fluorescence *in situ* hybridization cases are plotted based on the array-based comparative genomic hybridization (aCGH) and fluorescence *in situ* hybridization (FISH) ratios. (a) The x axis represents array-based comparative genomic hybridization ratio, and the y axis represents fluorescence *in situ* hybridization ratio, as the ratio of mean *JAK2* score/mean CEN9 score. (b) Cases are plotted based on the array-based comparative genomic hybridization and average *JAK2* signals detected by fluorescence *in situ* hybridization. The x axis represents array-based comparative genomic hybridization ratio, the y axis represents average *JAK2*/9p24.1 signals by fluorescence *in situ* hybridization. The correlation between array-based comparative genomic hybridization and fluorescence *in situ* hybridization was calculated by Pearson correlation coefficients.

expression of *JAK2* is elevated in samples with 9p24.1 copy-number gain.

### Limited Association of PD-L1 and PD-L2 Expression with 9p24.1 Copy-number Status

In our prior study, 9p24.1 amplification was more variably associated with PD-L1 RNA expression and was not associated with PD-L2 expression.<sup>2</sup> Here, we confirmed PD-L1 expression weakly associated with



**Figure 4** Association of *JAK2/9p24.1* copy-number status with RNA expression of JAK2, PD-L1 and PD-L2. A total of 17 fresh frozen tumor samples were available for measuring RNA expression by Nanostring immunoprofiling. The expression level of JAK2 (a), PD-L1 (b) and PD-L2 (c) were compared between the gain and non-gain groups (grouped by array-based comparative genomic hybridization (aCGH) (left) or fluorescence *in situ* hybridization (FISH) (right) ratio). *P*-value was calculated by unpaired Student *t*-test.

array-based comparative genomic hybridization 9p24.1 status, and with significant variation in expression levels (Figure 4b). In the array-based comparative genomic hybridization-defined gain subgroup, the level of PD-L1 expression was  $46 \pm 45$  (mean  $\pm$  s.d.), compared to  $21 \pm 9.5$  (mean  $\pm$  s.d.) in the non-gain group ( $P=0.11$ ; Table 3). A weaker trend was observed in the fluorescence *in situ* hybridization-defined gain and non-gain subgroups (mean  $\pm$  s.d.  $43 \pm 49$  vs  $25 \pm 15$ ,  $P=0.25$ ). Compared to the non-gain subgroup, the range of PD-L1 expression in cases with 9p24.1 copy-number gain was highly variable, ranging from 12 to 141 in compared with a more limited range of 9 to 41 within the non-gain subgroup. PD-L1 immunohistochemistry was performed in 33 samples, of which 48% ( $n=16$ ) were PD-L1 positive. No significant association was

observed between PD-L1 immunohistochemistry expression and the copy-number gain status defined by array-based comparative genomic hybridization assay ( $P=0.3$ ), or fluorescence *in situ* hybridization assay ( $P=0.5$ ). Our data support that there are multiple mechanisms beyond copy-number that drive PD-L1 expression and are in concordance with the variability of PD-L1 positivity reported across different cancer types and studies.<sup>34</sup>

PD-L2 is a second ligand of PD-1, and *PD-L2* is tightly linked to *JAK2* and *PD-L1* within the 9p24.1 chromosomal region. A weak trend of association between the expressing level of PD-L2 and 9p24.1 status was observed ( $P=0.14$ ) (Figure 4c). In the copy-number gain subgroup as defined by array-based comparative genomic hybridization, the expression of PD-L2 ranged from 23 to 300

**Table 3** Correlation of JAK2 and PD-L1 mRNA expression with 9p24.1 copy-number

JAK2 copy-number	JAK2 RNA, mean $\pm$ s.d.	Range	P-value	PD-L1 RNA, mean $\pm$ s.d.	Range	P-value
<i>aCGH ratio</i>			0.02			0.11
Gain	633 $\pm$ 229	456–1095		46 $\pm$ 45	12–141	
Non-gain	382 $\pm$ 160	183–755		21 $\pm$ 9.5	9.0–41	
<i>FISH ratio</i>			0.05			0.25
Gain	627 $\pm$ 251	456–1095		43 $\pm$ 49	12–141	
Non-gain	408 $\pm$ 174	183–755		25 $\pm$ 15	9.0–60	

P-value was calculated by unpaired *Student t* test.

Total 17 samples were available for RNA expression analysis.

**Table 4** Lack of association of PD-L2 RNA expression with JAK2/9p24.1 copy-number status

JAK2 Status	PD-L2 expression, mean $\pm$ s.d.	Min	Max	P-value
<i>aCGH ratio</i>				0.14
Gain	145 $\pm$ 91	23	300	
Non-gain	96 $\pm$ 32	58	159	
<i>FISH ratio</i>				0.39
Gain	136 $\pm$ 96	23	300	
Non-gain	106 $\pm$ 44	58	200	

P-value was calculated by unpaired *Student t* test.

Total 17 samples were available for RNA expression analysis.

(mean  $\pm$  s.d. 145  $\pm$  91), compared to 96  $\pm$  32 (mean  $\pm$  s.d.) in the non-gain subgroup. By fluorescence *in situ* hybridization analysis, PD-L2 expression was not associated with copy-number status (mean 136  $\pm$  96 s.d. vs mean 106  $\pm$  44,  $P=0.39$ ) (Table 4). We did not observe an association between the 9p24.1 status and PD-1 expression in our study (data not shown).

## Discussion

Triple negative breast cancer remains a clinical challenge despite advances in treatment, and there remains an urgent need for novel therapies.<sup>10,35,36</sup> Advances in genomics have led to the recognition of functional diversity within the triple negative breast cancer subset of tumors.<sup>37,38</sup> Specific genetic alterations, such as copy-number amplification, may serve as predictive biomarkers for targeted treatments.<sup>39</sup> High-resolution CGH arrays are a robust, highly quantitative technology to detect copy-number alterations, providing whole chromosome analysis for as small as 70-kb intervals,<sup>39</sup> but array-based comparative genomic hybridization technology is not yet feasible for high-throughput copy-number analysis of clinical samples. Due to the strong association of 9p24.1 copy-number status with both response to targeted JAK2 inhibition *in vitro* and *in vivo*,<sup>2,4</sup> and the observation in lymphoma that 9p24.1 copy-number may be associated with

response to PD-1/PD-L1 checkpoint blockade,<sup>40</sup> we sought to develop a robust, clinically-compatible fluorescence *in situ* hybridization assay for assessing 9p24.1 copy-number status in clinical samples, benchmarked to array CGH status. We focused this study on triple negative breast cancer, where we have observed up to 25% of untreated tumors have evidence of 9p24.1 copy-number gain, which is associated with poor clinical outcome.<sup>2</sup> We developed a novel JAK2/9p24.1 fluorescence *in situ* hybridization probe to quantitate copy-number alterations across primary triple negative breast cancer tumors and compared the results to array-based comparative genomic hybridization using purified aneuploid tumor nuclei.<sup>41</sup> We focused our fluorescence *in situ* hybridization assay development on the JAK2 locus, as we have not yet observed segregation of this locus with the tightly linked PD-L1 locus ( $n > 300$  tumors).

As expected, the majority ( $n=30$ ) of the 34 selected tumors showed concordant copy-number status between array-based comparative genomic hybridization and fluorescence *in situ* hybridization. We eliminated four samples from analysis either due to low tumor content ( $n=3$ ) or due to a mixed population of tumor cells with distinct array-based comparative genomic hybridization profiles ( $n=1$ , Figure 1). Of the 34 tumors, there were three samples that had borderline-low level copy-number gain by array-based comparative genomic hybridization (ratios 0.3, 0.48 and 0.58, cutoff=0.3) that were measured as copy-number neutral by fluorescence *in situ* hybridization. In comparison, only one sample that was pre-defined as neutral by array-based comparative genomic hybridization had low level gain by fluorescence *in situ* hybridization (ratio=1.58), due to a deletion across CEN9 (JAK2=1.72, CEN9=1.09), which led to a higher JAK2/9p24.1 fluorescence *in situ* hybridization ratio. These data are consistent with the observation that fluorescence *in situ* hybridization analysis may have a lower overall sensitivity of detection of copy-number than array-based comparative genomic hybridization, but the latter provides both whole chromosome and genome-wide analysis.<sup>23</sup> However, high cost and the technical requirements limit the clinical application of array-based comparative



genomic hybridization.<sup>23,41</sup> Of the 34 samples included in this analysis, JAK2/9p24.1 fluorescence *in situ* hybridization analysis identified 88.2% of the JAK2/9p24.1 copy-number status as measured by array-based comparative genomic hybridization on purified tumor nuclei.

One major issue of clinical fluorescence *in situ* hybridization analysis is the presence of aneuploidy in tumor samples. The importance of using centromeric ratios to assess the copy number of specific genes has been previously recognized,<sup>42</sup> which adjusts for the natural increase of copy number during replication and corrects for chromosomal aneuploidy.<sup>43</sup> For the HER-2/neu locus, the frequency of polysomy of chromosome 17 has been reported from 13 to 46% in breast cancer, and was not associated with HER-2/neu overexpression on immunohistochemistry or mRNA level.<sup>32,44,45</sup> In our study, we found 4 out of 34 (12%) samples had polysomy of chromosome 9 in triple negative breast cancer, but the association between this polysomy and the significance to the regulation of JAK2/PD-L1 expression in triple negative breast cancer remains unclear. We detected only one sample with 9p24.1 copy-number gain that included CEN9, resulting in a false negative fluorescence *in situ* hybridization ratio. In a recent study using a fluorescence *in situ* hybridization probe mapping to 9p24.1 included *PD-L1* to detect copy-number alteration in classical Hodgkin lymphoma, 56% of tumors had 9p24.1 copy gain and 36% had 9p24.1 copy amplification.<sup>5</sup> A significant correlation between the 9p24.1 amplification and JAK2 overexpression both at the mRNA and protein levels has been observed in both breast cancer and lymphoma.<sup>2,32,46</sup> As such, we designed our fluorescence *in situ* hybridization probe mapping to 9p24.1 to include *JAK2*, and given the short distance (322 kb), this probe will likely serve as a surrogate for the *PD-L1* locus as well.

We have analyzed copy-number alterations at the 9p24.1 locus across over 300 tumors from melanoma, pancreatic cancer, glioblastoma, colorectal cancer, and breast cancer.<sup>2</sup> The shortest region of overlap (SRO) maps to a 777 kb region that encodes PD-L1, PD-L2, JAK2 and four insulin-like proteins (INSL6, INSL4, RLN2, and RLN1) which have been implicated in carcinogenesis.<sup>2,47,48</sup> Inhibitors of JAK2 and PD-L1 are in clinical use, but predictive biomarkers for tumor response are needed. In particular, the strong correlation between 9p24.1 copy-number gain/amplification and JAK2 expression makes chromosome 9p24.1 copy-number status a plausible predictive biomarker candidate for JAK2/STAT3 pathway inhibition.<sup>1,2,4</sup> The relaxin proteins (RLN1 and RLN2) are secreted and interact with the GCPR relaxin receptors RXFPs (LGR7/8). The relaxin receptor antagonist, AT-001 inhibits human prostate cancer xenografts and is synergistic with docetaxel.<sup>49,50</sup> Targeted relaxin receptor inhibitors are in preclinical development for prostate, breast, and ovarian cancer. Highly homologous to the

relaxins, insulin-like peptides (INSL proteins 1–7) are members of the insulin family and are secreted proteins that are expressed mainly in testis, placenta, and uterus. INSL4 (EPIL) functions in the placenta to enhance tissue invasiveness and cell migration, and has been shown to be overexpressed in a subset of breast cancers, and is associated in cell invasion *in vitro*.<sup>51</sup> The JAK2/9p24.1 probe set may be useful for analysis copy number of these other tightly-linked genes.

We observed that RNA expression of JAK2 is correlated with JAK2/9p24.1 copy-number status. Of the 17 samples in our study that were assessed for RNA expression, 4 samples were collected after neoadjuvant chemotherapy. Both 9p24.1 amplification is enriched in breast cancer after neoadjuvant therapy<sup>4</sup> and paclitaxel has been shown to upregulate PD-L1 expression in breast cancer.<sup>52</sup> We did not observe a significant difference in copy-number distribution with or without prior neoadjuvant chemotherapy, but the sample size is limited. However, we did observe that the highest JAK2 and PD-L1 mRNA levels were detected in the tissue samples obtained after neoadjuvant chemotherapy.

Multiple studies have addressed the limitations of the PD-L1 immunohistochemistry assay as a predictive biomarker for response to checkpoint blockade.<sup>34,53</sup> The expression of PD-L1 is dynamically regulated by multiple mechanisms and is detected on both tumor and immune cells.<sup>33</sup> Different antibodies to PD-L1, and different thresholds have been applied to define PD-L1 expression by immunohistochemistry.<sup>52</sup> In our study, we did not observe a significant association between the PD-L1 immunohistochemistry status and copy-number gain, likely reflecting a complex regulatory network of PD-L1 expression.

Our study benchmarks a novel fluorescence *in situ* hybridization probe localizing to the JAK2 locus on chromosome 9p24.1 to array-based comparative genomic hybridization status using a highly-purified tumor population, controlling for aneuploidy at chromosome 9 using a centromeric probe. This may serve as a clinically relevant biomarker for targeting JAK2 and PD-L1 inhibitors, as well as the tightly-linked insulin-like peptide genes that are present on this locus. Further studies are warranted to assess optimal cutoff levels of JAK2 fluorescence *in situ* hybridization, the clinical association of therapeutic response with copy-number status at 9p24.1, as well as to further elucidate the tumor biology underlying the more variable expression of PD-L1.

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## Disclosure/conflict of interest

KSA has served as a consultant and has received stock options from Provista Dx, and is a founder of FlexBioTech. LA and JHY are full time employees at Merck Research Laboratories. The remaining authors declare no conflict of interest.

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