

A diagnostic algorithm for atypical spitzoid tumors: guidelines for immunohistochemical and molecular assessment

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Atypical spitzoid tumors are a morphologically diverse group of rare melanocytic lesions most frequently seen in children and young adults. As atypical spitzoid tumors bear striking resemblance to Spitz nevus and spitzoid melanomas clinically and histopathologically, it is crucial to determine its malignant potential and predict its clinical behavior. To date, many researchers have attempted to differentiate atypical spitzoid tumors from unequivocal melanomas based on morphological, immunohistochemical, and molecular diagnostic differences. A diagnostic algorithm is proposed here to assess the malignant potential of atypical spitzoid tumors by using a combination of immunohistochemical and cytogenetic/molecular tests. Together with classical morphological evaluation, this algorithm includes a set of immunohistochemistry assays (p16^{Ink4a}, a dual-color Ki67/MART-1, and HMB45), fluorescence *in situ* hybridization (FISH) with five probes (6p25, 8q24, 11q13, CEN9, and 9p21), and an array-based comparative genomic hybridization. This review discusses details of the algorithm, the rationale of each test used in the algorithm, and utility of this algorithm in routine dermatopathology practice. This algorithmic approach will provide a comprehensive diagnostic tool that complements conventional histological criteria and will significantly contribute to improve the diagnosis and prediction of the clinical behavior of atypical spitzoid tumors.

Modern Pathology (2016) 29, 656–670; doi:10.1038/modpathol.2016.70; published online 22 April 2016

The term atypical spitzoid tumor applies to a morphologically diverse group of rare melanocytic lesions. Majority of atypical spitzoid tumors occur in children and young adults, and less frequently in middle-aged or older adults. Atypical spitzoid tumor is characterized by a Spitz nevus morphology, but also displays histological features associated with melanoma. In fact, sometimes it can be nearly impossible to rule out spitzoid melanomas among these lesions and is one of the most challenging areas in Dermatopathology. Its exact biological potential is also controversial. However, the clinical implications of diagnosing malignancies are important, as failure to recognize melanomas can lead to inadequate excisions and recurrence of a neoplasm with the potential to metastasize. On the other hand, labeling a benign lesion as malignant can lead to morbidity from unwarranted wide re-excisions,

sentinel lymph node biopsies, unnecessary adjuvant therapies, and emotional and financial strain to the family.

In 1948, it had become apparent that malignant melanomas in children have different clinical behavior than those in adults.¹ This disparity in clinical behavior was obviously a matter of fundamental importance and Dr Sophie Spitz, a brilliant pathologist, raised the following questions: Do histologically malignant melanomas in children differ from those seen in adults? Can the clinical behavior of these lesions be predicted from their histologic findings? What factors, if any, influence the clinical behavior of these lesions? Should the melanomas of children be treated any differently from those of adults? She coined the term ‘benign juvenile melanoma’, which was later renamed as ‘Spitz nevus’ based on its benign clinical behavior.

Over the years, a subset of cases histologically similar to Spitz nevus cases demonstrated worrisome histological features associated with melanomas, displaying unexpected lymph nodal or distant metastasis during a long-term follow-up. These lesions, now called atypical spitzoid tumors, remain a controversial entity representing a histologically and clinically heterogeneous group of melanocytic

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Received 8 November 2015; revised 28 February 2016; accepted 6 March 2016; published online 22 April 2016

Table 1 Histological and immunohistochemical features signifying melanoma in atypical spitzoid tumors

<i>Architectural features</i>	
Asymmetry ^a	
Lack of circumscription	
Lack of maturation in depth of the dermis	
Ulcer ^a	
High and frequent consumption of epidermis	
<i>Cytological features</i>	
Deep dermal mitosis ^a	
Frequent mitosis ^a and atypical mitosis in the dermis	
High-grade cytological atypia ^a	
<i>Host response</i>	
Brisk tumor infiltrating lymphocytic infiltrates	
<i>Immunohistochemical features</i>	
Deep dermal cell proliferation by a dual-color Ki67/MART-1	
Complete loss of HMB45 expression	
Complete loss of p16 expression	

^aHistological features most correlated with disease progression.³

lesions with uncertain malignancy potential. There is no standardized histological criterion to establish the diagnosis of atypical spitzoid tumor, which results in occasional disagreement even among experts.^{2,3}

To address these difficulties, appropriate molecular and immunohistochemical tests have been developed using a panel of selected immunomarkers and genes that contribute the most to melanoma pathogenesis.^{4–7} In particular, recent application of fluorescence *in situ* hybridization (FISH) and array-based comparative genomic hybridization assays has uncovered an increasing spectrum of potential chromosomal aberrations implicated in atypical spitzoid tumors. Using a combination of immunohistochemical and cytogenetic/molecular tests, the diagnostic algorithm proposed here aims to assess the malignant potential of atypical spitzoid tumors.

Proposed diagnostic algorithm

In addition to classical morphological evaluation, this algorithm applies a set of immunohistochemistry assays (a dual-color of Ki67/MART-1, p16^{Ink4a}, and HMB45), FISH with five probes (covering the chromosomal loci 6p25, 8q24, 11q13, centromere 9, and 9p21; NeoSITE melanoma, Neogenomics Laboratories), and a more comprehensive array-based comparative genomic hybridization assay (University of California, San Francisco) for an entire chromosomal evaluation.

Following the identification of histological features associated with melanoma including ulcers, asymmetry, high-grade cellular atypia, and frequent dermal and deep dermal mitosis from cases with Spitz nevus-like morphology (Table 1), a set of immunohistochemistry assays will be performed. If immunohistochemistry assays confirm deep dermal

cell proliferation by a dual-color Ki67/MART-1, a complete loss of p16, and/or HMB45 (Table 1), an algorithmic FISH assay will be performed. Atypical spitzoid tumors positive by FISH for a ‘melanoma pattern’ of chromosomal aberrations, isolated homozygous loss of 9p21, isolated gain of 6p25, or gain of 11q13 will be diagnosed as ‘spitzoid melanoma with a specific FISH signature’ and further analysis by array-based comparative genomic hybridization will not be required (Figures 1a and c). For atypical spitzoid tumors with negative or borderline positive FISH results, the array-based comparative genomic hybridization assay will be performed. If the array-based comparative genomic hybridization demonstrates a melanoma pattern of chromosomal aberrations, the cases will be diagnosed as spitzoid melanomas (Figure 1b). If the array-based comparative genomic hybridization results are negative or show a non-melanoma pattern of chromosomal aberrations, the case will be diagnosed as atypical spitzoid tumors (Figure 1d). As both FISH and array-based comparative genomic hybridization assays do not detect known melanoma molecular signatures for this particular type of atypical spitzoid tumors, these cases can be associated with ‘a low clinical risk,’ as in cases of negative FISH. A conservative re-excision and long-term follow-up for cases with non-melanoma pattern of array-based comparative genomic hybridization chromosomal aberrations is recommended.

Histological evaluation

Although Spitz nevus is a benign melanocytic neoplasm, its histological features are ‘atypical’ and can be difficult to distinguish from melanomas. HRAS activation found in a subset of Spitz nevi, either by amplification of chromosome 11p or by HRAS activation mutation,⁶ could give rise to incompletely transformed melanocytes that share several histological features with melanoma cells but have limited proliferative activity. Atypical spitzoid tumors display both Spitz nevus and spitzoid melanoma features. Therefore, it can be very difficult to rule out spitzoid melanoma in these lesions. In general, Spitz nevus morphology includes features such as dome-shaped, plaque-like, wedge-shaped morphology; little or no asymmetry; epidermal hyperplasia; junctional cleavage; presence of Kamino bodies; evidence of zonation/maturation deep in the dermis; and a population of enlarged epithelioid and/or spindled melanocytes with abundant opaque or glass cytoplasm. In contrast, morphological features signifying melanomas include asymmetry, lack of circumscription, lack of maturation deep in the dermis, ulcers, high and frequent consumption of epidermis, deep dermal mitosis, atypical and frequent mitosis in the dermal component, high-grade cytological atypia, and a brisk lymphocytic infiltrate (Table 1). There was low

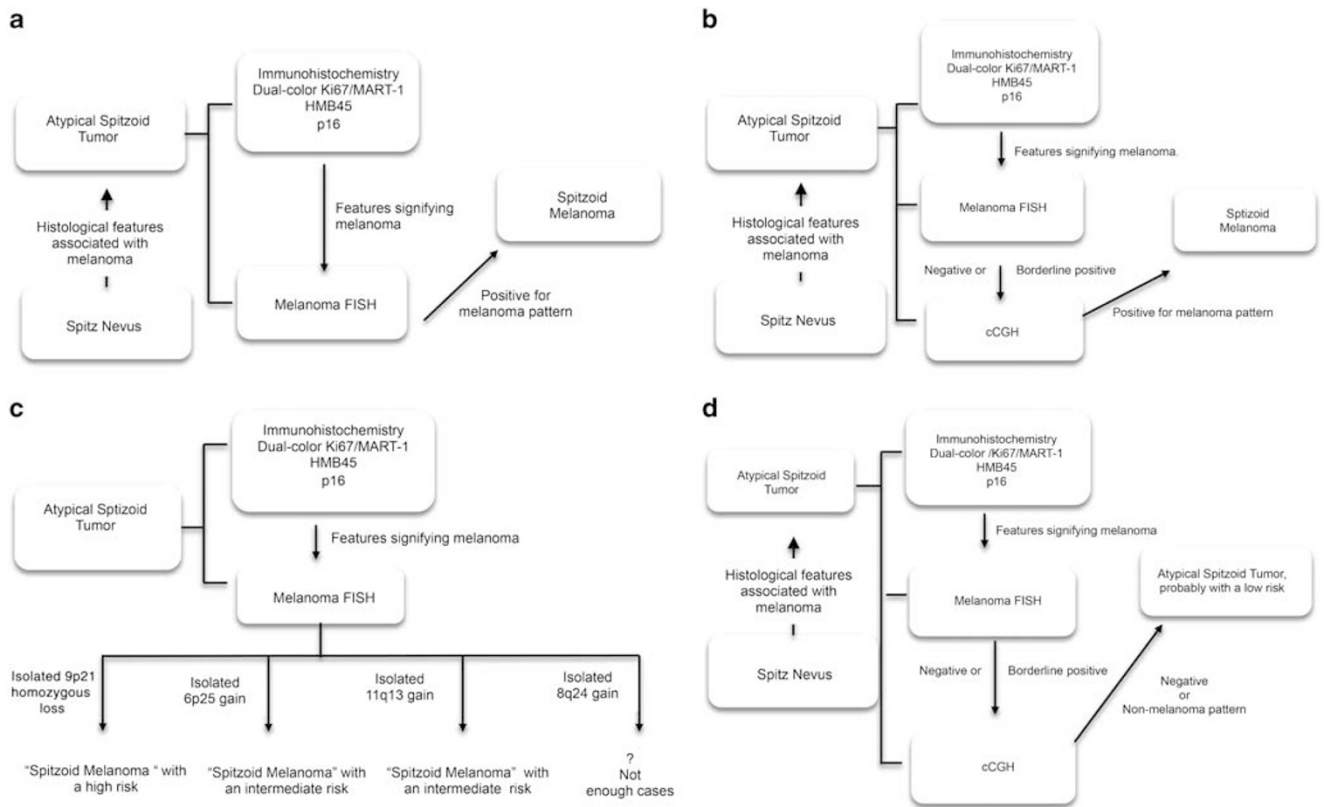


Figure 1 Four scenarios from the proposed diagnostic algorithm for the assessment of the malignant potential of atypical spitzoid tumors. In addition to classical morphological evaluation, this algorithm applies a set of immunohistochemical assays (a dual-color of Ki67/MART-1 (Leica Biosystems), p16^{Ink4a} (Roche Life Sciences), and HMB45 (Leica Biosystems)), a fluorescence *in situ* hybridization (FISH) with five probes (6p25, 8q24, 11q13, centromere 9, and 9p21; NeoSITE melanoma, Neogenomics Laboratories), and an array-based comparative genomic hybridization (aCGH) assay (University of California, San Francisco).

inter-observer agreement among 13 experts grading the diagnostic morphological features for categorizing the lesions as malignant or nonmalignant spitzoid tumors in a large series of 75 cases.³ In this work, histological features most correlated with disease progression included frequent mitosis, deep mitosis, asymmetry, high-grade cytological atypia, and ulcer (Table 1).³

Immunohistochemical tests

Assessment of the expression of proteins involved in regulation of cell cycle and apoptosis regulators by immunohistochemistry (BAX, Ki67, Rb, p16, cyclin A, cyclin B1, p27, and p53) together with melanocytic markers (MART-1 and HMB45) has been a useful ancillary diagnostic tool to identify features of malignancy of melanocytic lesions.^{8–10} Although the interpretation of immunohistochemistry results remain subjective among pathologists and there is some sample selection bias, p16, Ki67, and HMB45 expression has shown relatively consistent differences between Spitz nevus and spitzoid melanoma (Table 2).^{9–14} Puri *et al*¹⁴ demonstrated that the application of this immunohistochemistry panel

significantly contributed to the diagnosis of spitzoid tumors and proposed that it could be a useful tool for classifying atypical spitzoid tumors.

Cyclin-Dependent Kinase Inhibitor 2A (CDKN2A, p16^{Ink4a})

Using array-based comparative genomic hybridization, Curtin *et al*¹⁵ found that the most frequently lost genomic locus in melanomas was chromosome 9p. This gene locus was lost in 50% of conventional melanomas, and patients with familial melanoma syndrome are associated with a homozygous loss of the *CDKN2A* gene.^{12,15}

The p16 protein, encoded by the gene *CDKN2A* on chromosome 9p, is critical to tumor suppression and has an important role in melanomas.^{16,17} p16^{Ink4a} is a cyclin-dependent kinase inhibitor that blocks formation of the catalytically active CDK4/6–cyclin D complex, thereby preventing the phosphorylation of the *retinoblastoma* (*Rb*) gene and passage through the cell cycle's G1/S checkpoint (Figure 2a).^{17–20} As a tumor suppressor, p16 is found in low levels in normal proliferating cells but events such as DNA damage, oncogenic stress, and aging trigger its

Table 2 Comparison of the immunohistochemical expression of p16, Ki67, and HMB45 between Spitz nevi and spitzoid melanomas

Reference	Diagnosis (no. of cases)	p16	Ki67	HMB45
Stefanaki <i>et al</i> ¹⁰	SN (10)	51–73%, 10/10 (100%)	0.3–5%, 10/10 (100%)	NA
	BN (20)	>60%, 20/20 (100%)	< 2%, 19/20 (95%) ^a	NA
	NonSM (16)	0 to < 5%, 8/16 (50%) 5 to < 30%, 6/16 (38%) 30 to < 40%, 2/16 (12%)	2–40%, 16/16 (100%)	NA
Pradela <i>et al</i> ¹¹	SM (10)	NA	4–65/mm ² , 32/32 (100%) (Average 25.6/mm ²)	2/9 (22%), intense/diffuse stain 7/9 (78%), mild/moderate stain ^b
Al Dhaybi <i>et al</i> ¹²	SN (18)	18/18 (100%)	< 2%, 18/18 (100%)	NA
	SM (6)	0/6 (0%)	18–75%, 6/6 (100%)	NA
Garrido-Ruiz <i>et al</i> ^{13c}	SN (28)	26/26 (100%)	A few cells+, 5/27 (20%)	12/27 (44%) ^c
	NonSM (62)	55/62 (89%)	≥ 20%, 23/62 (37%)	59/62 (95%)
George <i>et al</i> ^{9d}	SN (27)	Nuclear dermal, 68% Cytoplasmic dermal, 80%	NA	NA
	AST (19)	Nuclear dermal, 52% Cytoplasmic dermal, 65%	NA	NA
	SM (42)	Nuclear dermal, 40% Cytoplasmic dermal, 57%	NA	NA
Puri <i>et al</i> ¹⁴	SN (17)	NA	0 or staining in superficial lesion	Maturation pattern
	AST favor SN (17)	NA	0 or staining in superficial lesion	Maturation pattern
	AST favor SM (3)	NA	Significant stain at base of lesion	Uneven or diffuse stain
	SM (9)	NA	Significant stain at base of lesion	Uneven or diffuse stain

Abbreviations: BN, benign nevi; NA, not available; NonSM, nonspitzoid melanoma; SM, spitzoid melanoma; SN, Spitz nevus.

All references tested retrieval cases with consensus diagnosis.

^aOne case shows 5% Ki67 positivity.

^bTwo SM cases show maturation pattern of HMB45.

^cImmunohistochemistry was applied to tissue microarray.

^dThere were two patterns of p16 loss: loss of nuclear stain and loss of both nuclear and cytoplasmic stains.

expression.^{17,20} The tumor suppressors p14^{ARF} and p16^{INK4A} are coded from alternatively spliced transcripts in different reading frames at the INK4A/ARF locus on chromosome 9p21 (Figure 2a). The INK4A/ARF locus on chromosome 9p21 is frequently altered in human cancer, and inherited p16^{INK4a}/p14^{ARF} mutations are associated with melanoma susceptibility in 20–40% of familial melanomas.²¹

p16 protein expression can be detected by immunohistochemistry in formalin-fixed paraffin-embedded sections. Interestingly, the expression pattern of p16 protein detected by immunohistochemistry reflects its underlying molecular events within tumor cells (Figure 2b). Three patterns of p16 immunohistochemical protein expression were observed among spitzoid melanocytic tumors as follows: (1) homogeneous expression, (2) complete loss of expression, and (3) intratumoral heterogeneous loss of expression (Figure 2c).²² Homogeneous expression of p16 represents the underlying heterozygous loss of chromosome 9, 9p, or an intact chromosome 9 (Figure 2b).¹⁵ A complete loss of p16 expression represents either homozygous loss of chromosome 9 or 9p, a p16 gene point mutation, or epigenetic events such as methylation of the p16 gene promoter (Figure 2b).¹⁵ Intratumoral heterogeneous loss can be seen in tumors composed of mixed clones with either complete loss or homogeneous expression (Figure 2b). Several studies have shown similar observations; both dysplastic nevi and Spitz

nevi display heterozygous loss of 9p21, whereas homozygous loss of 9p21 is common in spitzoid melanomas.^{23,24} Further, 67% of atypical spitzoid tumors with heterozygous loss of 9p21 showed p16 expression while none of the observed atypical spitzoid tumors with homozygous loss of 9p21 expressed p16 protein.²⁵

This p16 immunohistochemical expression pattern can be applied to discriminate invasive melanomas from coexisting intradermal melanocytic nevi in melanoma excisions. In benign melanocytic nevi expressing the BRAF V600E mutation, overexpression of p16 has been observed and probably contributes to senescence and a state of arrested growth.²⁰ Figure 2d illustrates one example of an intradermal nevus clearly demonstrating dense homogeneous expression of p16, whereas a homogeneous loss of p16 is observed in the invasive melanoma.

Dual-Color Ki67/MART-1 Immunohistochemistry

Melanoma progression is a multistep process initiated by uncontrolled proliferation of melanocytes. Melanocyte growth is associated with the actions of several proliferative proteins such as proliferating cell nuclear antigen, Ki67, minichromosome maintenance 2, and phosphorylated histone H3 (PHH3) and so on.

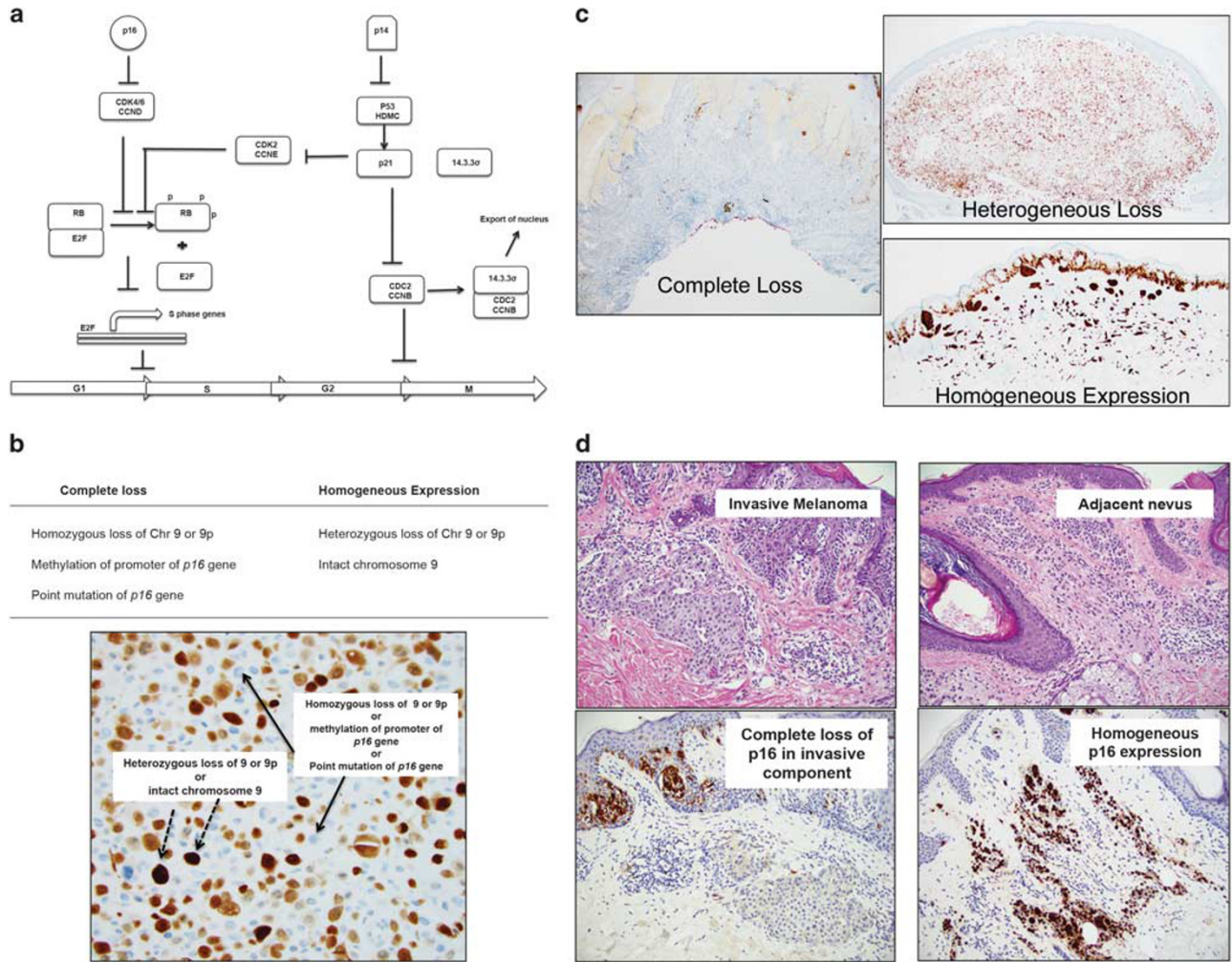


Figure 2 Immunohistochemical assessment of p16^{Ink4a} expression. (a) Illustration of the regulatory roles of p16^{Ink4a} and p14^{ARF} in the G1/S and G2/M checkpoints of the cell cycle. (b) A summary of the various molecular mechanisms responsible for three characteristic immunohistochemical patterns of p16 protein expression. (c) Examples of three immunohistochemical patterns of p16 expression. A complete loss or an intratumoral heterogeneous loss was present in spitzoid melanomas. A homogeneous expression was found in a compound dysplastic nevus. (d) An example of immunohistochemical p16 expression patterns applied to distinguish between an invasive melanoma and an associated intradermal nevus.

Ki67, which is encoded by the *MKI67* gene, is detected during all phases of the cell cycle (G₁, S, G₂, and mitosis), except for the G₀-phase (Figure 3a). It serves as a well established and widely used marker for cell proliferation and was proposed as a useful immunohistochemical marker for the distinction of Spitz nevus from spitzoid melanoma.^{8,26} In this algorithm, a dual-color Ki67 immunohistochemistry with a melanocytic marker specifically detects cell proliferation activity in melanocytes/melanoma cells by eliminating actively proliferating non-melanocytes such as reactive lymphocytes or endothelial cells or normal keratinocytes (Figure 3b). In this dual-color immunohistochemistry, melanocytes/melanoma cells with cell proliferation activity are highlighted by two colors (brown, nuclear Ki67 and red, cytoplasmic MART-1) and other actively proliferating non-melanocytes appear brown (nuclear Ki67 positivity; Figure 3b).

Ki67 positivity observed in the deep dermal melanocytes indicates increased cellular proliferation activity throughout the lesion, which correlates with a lack of maturation that is frequently observed in melanomas.^{8,22}

This dual-color immunohistochemistry can be also applied for the differential diagnosis of capsular nevi from metastatic melanomas in the lymph node (Figure 3c). Usually the capsular nevi are highlighted by single MART-1 positivity reflecting lack of cellular proliferation activity and loss of HMB45 expression indicating maturation of melanocytes. Conversely, a dual positivity and HMB45 expression are detected at relatively high frequency in metastatic melanomas.

Histone H3 is a core histone protein that forms the major protein constituents of chromatin. Phosphorylation of the serine 10 residue of histone H3 is negligible during interphase but reaches a

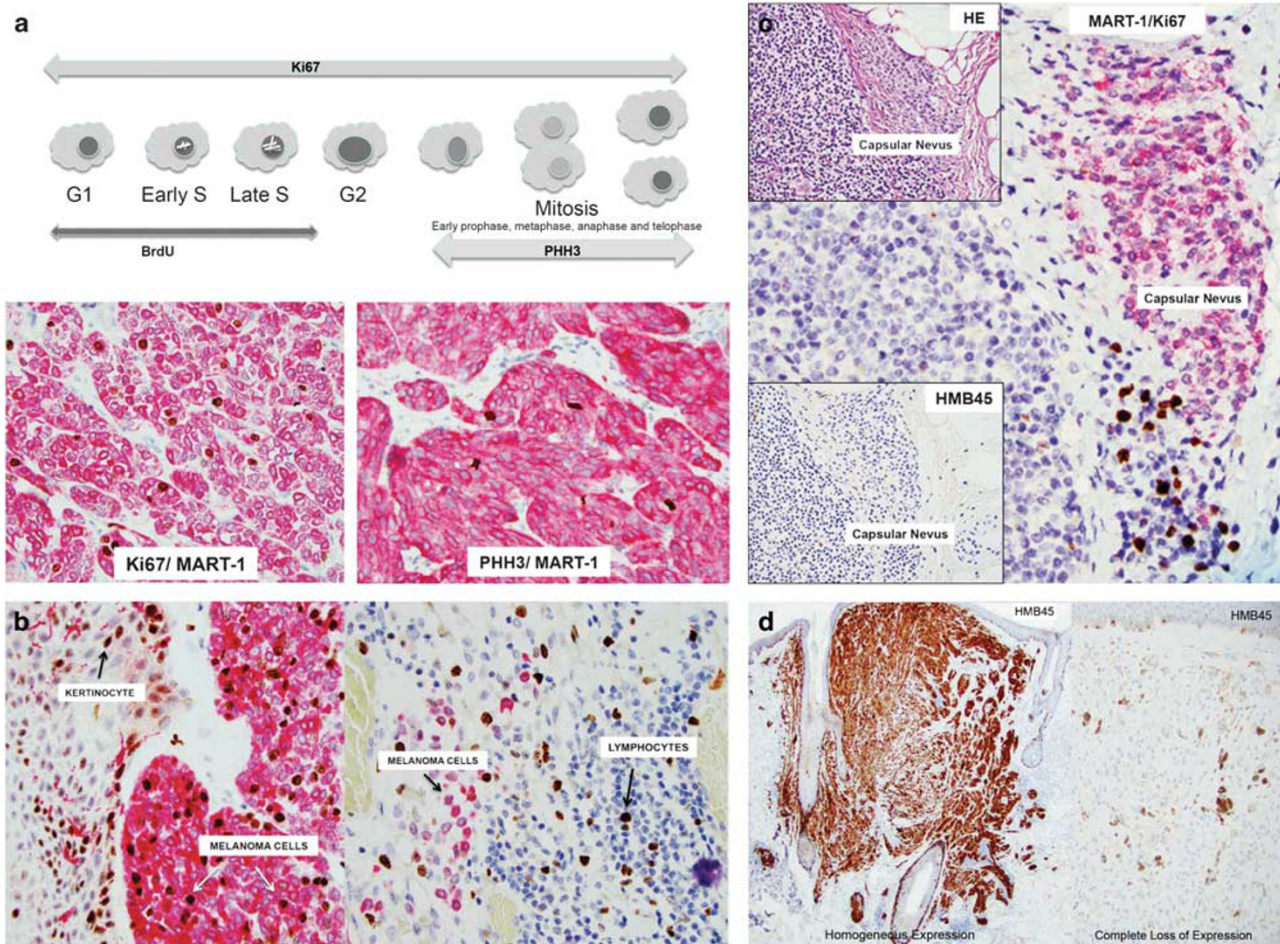


Figure 3 Immunohistochemical assessment of a dual-color Ki67/MART-1 and HMB45 expression. (a) Schematic representation of the expression of cell proliferation markers, Ki67, BrdU, and PHH3, during the cell cycle (top). 5-Bromo-2'-deoxyuridine (BrdU) is incorporated into the DNA only during the S-phase of the mitotic process and identifies cells that have replicated DNA. A dual-color Ki67/MART-1 and a dual-color PHH3/MART-1 immunohistochemistry in a melanoma (bottom). (b) Melanocyte-specific cell proliferation activity detected by dual-color Ki67/MART-1 immunohistochemistry. (c) A dual-color Ki67/MART-1 and HMB45 immunohistochemistry applied for the differential diagnosis of capsular melanocytic nevus from metastatic melanoma in the lymph node. (d) Two distinct immunohistochemical HMB45 expressions in metastatic melanomas; a homogeneous expression or a complete loss of expression.

maximum for chromatin condensation during mitosis (Figure 3a). PHH3 is an antibody that specifically detects the phosphorylated H3 (at serine 10 or serine 28) core histone protein. PHH3 is typically not detectable once mitosis is completed (Figure 3a) and it serves as a reliable marker for identifying mitotic activity, as it is not expressed in apoptotic bodies—common morphologic mimics of mitotic figures in routine histological sections.²⁷ The application of a dual-color PHH3/MART-1 immunohistochemistry increases the sensitivity and accuracy of detecting melanocyte-specific mitotic figures (Figure 3a); it reduces inter-observer variability and the time required to identify mitoses in invasive melanomas.²⁸ For the diagnostic algorithm proposed here, a dual-color PHH3/MART-1 immunohistochemistry can be used for atypical spitzoid tumors with dermal components when routine histological sections fail to demonstrate dermal mitosis.

Human Melanoma Black-45

Human Melanoma Black45 (HMB45) antibody was derived from an extract of a pigmented melanoma involving the lymph node.²⁹ Two distinct HMB45 immunohistochemical patterns can be observed in melanomas, including a homogeneous expression in metastatic melanomas and a complete loss of expression in both metastatic and invasive melanomas (Figure 3d). In benign nevi, HMB45 expression yields a stratified pattern (maturation pattern) with fewer positive cells or a negative reaction in the depth of the dermis.³⁰

In summary, in conjunction with atypical histological features of atypical spitzoid tumors, immunohistochemical detection of deep dermal cell proliferation using a dual-color Ki67/MART-1 stain and a complete loss of p16 and/or HMB45 expressions are the signifying features of melanoma (Table 1). However, it is important to understand

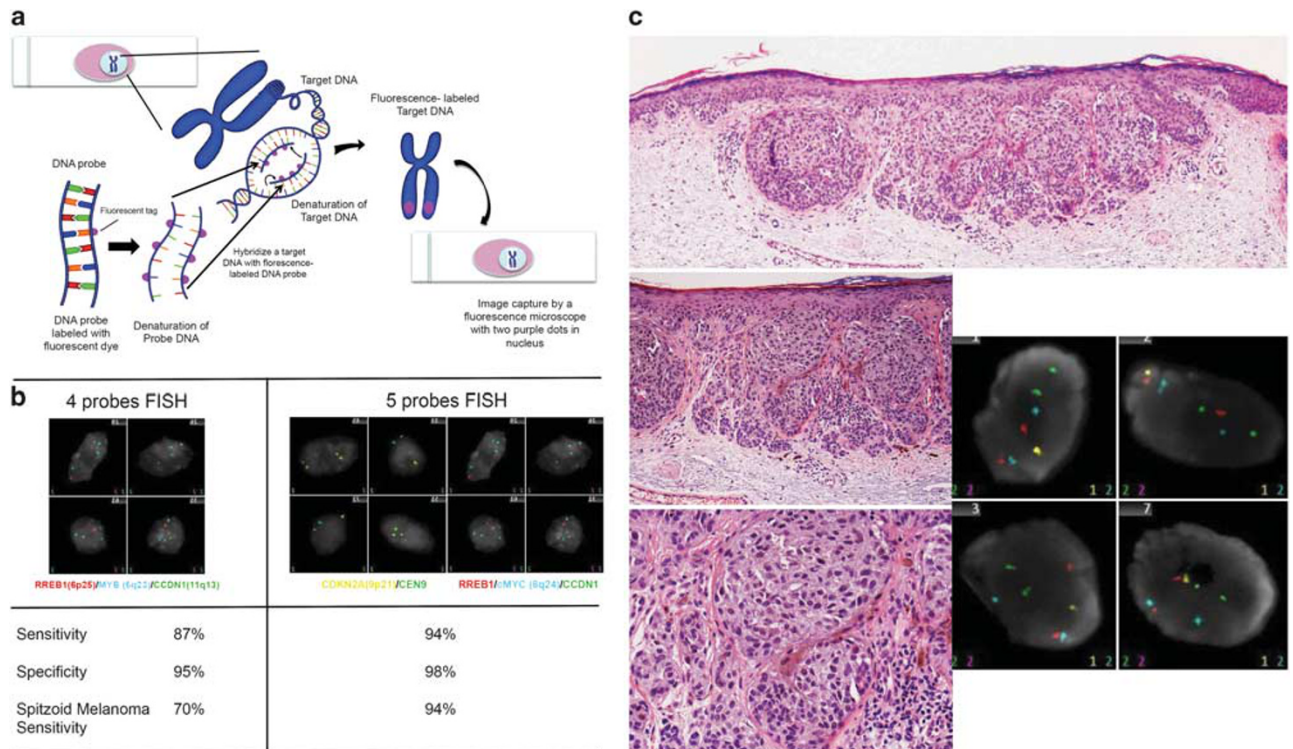


Figure 4 Cyto-genetic assessment using fluorescence in situ hybridization (FISH). (a) Schematic representation of FISH technique. (b) Comparison between the initial 4-probe and the current 5-probe FISH assays. The cutoff for positive 5-probe FISH results: > 29% cutoff for all 5 probes. The cutoff for negative FISH results: 6p25 < 16%, 8q24 < 10%, p16/CEN9 < 10%, and 11q13 < 19%. (c) An example of ambiguous nonspitzoid compound melanocytic tumor with an isolated loss of 6q23 in a 58-year-old man's back. The 4-probe FISH assay revealed an isolated loss of 6q23 and the case was diagnosed as a 'melanoma' in 2010.

that the complete loss of p16 or HMB45 expression alone is a crude indicator for the diagnosis of melanoma. Thus, it is essential to perform further cytogenetic/molecular tests for p16 and correlate these immunohistochemical results with the morphological findings.

Diagnostic cytogenetic and molecular tests

Recent advances in the molecular pathogenesis of melanocytic proliferation have revealed many genetic differences between benign nevi and melanomas. Most benign nevi are driven by point mutations in selected oncogenes, but they do show gross chromosomal abnormalities in exceptional cases.⁵ In contrast, tumor progression from a nevus to a melanoma is associated with chromosomal instability resulting in gains, amplifications, and/or loss of specific chromosomal material that can be detected by genetic techniques. These molecular advances can be exploited to develop powerful objective diagnostic molecular tests as promising tools to complement the histological assessment of ambiguous melanocytic tumors. Among these methods, FISH and array-based comparative genomic hybridization assays have become increasingly

powerful supplements to provide useful diagnostic and prognostic information in borderline melanocytic lesions, including atypical spitzoid tumors. Additionally, these techniques are being used to identify an increasing spectrum of potential chromosomal aberrations in atypical spitzoid tumors.

Melanoma FISH

FISH is used in the diagnosis of melanoma to detect a selected number of chromosomal copy number aberrations and is emerging as a powerful tool to assess the malignant potential of atypical spitzoid tumors.

Principle of FISH technique. FISH assay begins with hybridization of FISH probes (short DNA fragments) to a 5 μ m-thick formalin-fixed paraffin-embedded section of a tumor of interest (Figure 4a). Under a fluorescence microscope, each fluorescently labeled DNA probe that hybridizes to a tumor cell nucleus appears as a distinctly colored fluorescent dot (Figure 4a). Each dot identifies a single copy of the chromosomal locus and each diploid nucleus will show two dots of the same color. If a DNA region of interest is gained or deleted, more than two dots or less than two dots will be observed, respectively. The number of specific fluorescent colored dots per

Table 3A Chronological comparison of the sensitivity and specificity of 4- and 5-probe FISH assays for the diagnosis of melanoma

Reference	No of cases ^a	4 FISH probes		5 FISH probes	
		Sensitivity (%)	Specificity (%)	Sensitivity (%)	Specificity (%)
Gerami <i>et al</i> ³¹	497	87	95		
Gerami <i>et al</i> ³⁸	233	83	94		
Vergier <i>et al</i> ³⁶	43	85	90		
Kerl <i>et al</i> ³⁷	163				
Abbott		82	94		
Gerami		83	92		
Gammon <i>et al</i> ³⁴	43 ^b	70		85	
Gerami <i>et al</i> ³²	424			94	98

4-Probe FISH assay includes *RREB1*, *CEP6*, *MYB*, and *CCND1*. For the cutoff for positive FISH results, at least one of the following four criteria must be fulfilled. Abbott (Abbott, Wiesbaden, Germany) criteria: (1) ≥ 2.5 average of *CCND1* (cyclin D1) signal per nucleus; (2) ≥ 2.5 average of *MYB* signal per nucleus; (3) $\geq 31\%$, loss of *MYB* relative to *CEP6*; or (4) $\geq 63\%$, \leq or ≥ 2 signal per nucleus for *RREB1*. Gerami criteria: (1) $> 38\%$, > 2 *CCND1* signal per nucleus; (2) $> 40\%$, the number of *MYB* signal minus the number of the *CEP6* signal; (3) $> 55\%$, the number of *RREB1* signal plus the number of the *CEP6* signal; or (4) $> 29\%$, > 2 *RREB1* signals per nucleus. 5-Probe FISH assay includes *RREB1*, *cMYC*, *CDKN2A*, *CEN9*, and *CCND1*. The cutoff for positive FISH results was $> 29\%$ cutoff for all probes. The lower cutoff for negative FISH results: *RREB1* (6p25) = 16%, *cMYC* (8q24) = 10%, *CDKN2A* (p16) (9p21)/*CEN9* = 10%, or *CCND1* (11q13) = 19%.

^aCases include mixed various benign nevi and melanomas.

^bAll 43 cases were spitzoid melanomas.

nucleus can then be counted either manually or with software designed for automated analysis. Due to the intrinsic variability of FISH signals, this technique requires the examination of sufficient number of tumor cells and strict quality control measures.

Initial 4-probe FISH assay for diagnosis of melanoma. The initial set of FISH probes for melanoma was derived from a combinatorial analysis of the array-based comparative genomic hybridization data set of Bastian *et al*⁴⁻⁶ showing the best discriminatory difference between melanomas and nevi. Fourteen FISH probes were assembled from 13 regions on eight different chromosomes (1, 6, 7, 9, 10, 11, 17, and 20) from the array-based comparative genomic hybridization database and one additional probe targeting *KIT* on chromosome 4 as a potential therapeutic target. FISH probes targeting oncogenes were selected from the region of commonly gained chromosomal loci and tumor suppressor gene targets were selected from the regions of commonly deleted chromosomal loci.

In 2009, validation of 97 melanomas and 95 nevi yielded a combination of 4 initial FISH probes (ras responsive element binding protein 1 (*RREB1*, 6p25), v-myb myeloblastosis viral oncogene homolog (*MYB*, 6q23), centromere 6 (*CEN6*), and cyclin D1 (*CCND1*, 11q13)). This combination was identified as having the best-combined discriminatory ability for distinguishing melanomas from benign nevi (Figure 4b).³¹ Analyzing a second cohort of 58 melanomas and 51 nevi and a third cohort of 83 melanomas and 86 nevi, this probe set demonstrated discriminatory power with a sensitivity of 86.7% and specificity of 95.4% (Figure 4b).³² The application of this 4-probe FISH assay, performed as part of

routine clinical tests, demonstrates a significant reduction in the number of equivocal diagnoses for various ambiguous melanocytic neoplasms, particularly if FISH results are positive.³³

However, the sensitivity of this probe set was relatively low, $\sim 70\%$, for detecting spitzoid melanomas (Figure 4b). As the most commonly lost genomic region in melanomas and spitzoid melanomas was chromosome 9p from the array-based comparative genomic hybridization database,¹⁵ it was unclear as to why chromosome 9p probe had not been selected in this set of 4 probes. It turns out that heterozygous losses of 9p21 were enumerated in both melanomas and nevi in the early stage of probe selection data, and as a result, the 9p21 probe failed to demonstrate a statistically significant difference between melanoma and nevi.³⁴

Current 5-probe FISH assay for diagnosis of melanoma. In 2012, after testing three training sets using 322 tumors, including 152 melanomas and 170 nevi, the initial FISH panel has been redefined to the currently used 5-probe set of 6p25, 9p21, *CEN9*, and 11q13, and myelocytomatosis oncogene (*MYC*, 8q24) (Figure 4b).³⁴ This probe set was validated on 51 melanomas and 51 nevi, and displayed improved discriminatory power with a sensitivity of 94% and specificity of 98% and significantly improved sensitivity of spitzoid melanoma detection to 94% (Table 3A and Figure 4b).³²

Table 3A summarizes the chronological improvement in sensitivity and specificity of the 4- and 5-probe FISH assay for the diagnosis of melanomas and demonstrates relatively consistent results between American and European groups even when different cutoff criteria were applied.^{31,32,34-38}

FISH-based risk assessment for atypical spitzoid tumors. For atypical spitzoid tumors, the FISH assay is not only diagnostic but can also provide clinically useful risk assessment data. Massi *et al*³⁹ previously documented the utility of 4-probe FISH for the prognostic evaluation of atypical spitzoid tumors. In their study, among the six FISH-positive cases, two patients showed disease progression, one with visceral metastasis and death, and another with in-transit metastasis. In contrast, none of the 19 cases with a negative FISH had disease progression or metastasis.³⁹

The FISH-based risk assessment for atypical spitzoid tumors applied to this algorithm was derived from a retrospective data set of Gerami *et al*⁴⁰ showing a striking difference in clinical risk according to the subtype of the isolated chromosomal aberrations in atypical spitzoid tumors. However, this data set is very limited and additional studies with a larger number of cases and longer-term follow-up are warranted to further substantiate its significance.

Followings are the characteristics of those distinct subtypes of spitzoid melanomas and atypical spitzoid tumors with specific isolated chromosomal aberrations and its associated clinical risks as observed in this work.

1. Atypical spitzoid tumors with an isolated loss of 6q23 were frequently associated with a positive sentinel lymph node (6 of 11 cases, 55%) but demonstrated infrequent nonsentinel node metastasis, palpable adenopathy, and in-transit or distant metastasis.⁴¹ Histologically, the tumors were characterized by minimal pagetoid spread, expansile nodular growth, and focal ulceration. Therefore, it was suggested that a diagnosis of melanoma should not be given for this subset of atypical spitzoid tumor and at least provisionally referring to them as 'atypical spitzoid tumors with isolated loss of 6q23' that probably has a clinically low risk similar to negative FISH atypical spitzoid tumors. As a result, the 6q23 probe was replaced with 8q24 in the current 5-probe FISH assay.

The above atypical spitzoid tumor data also led us to reconsider the diagnostic significance of an isolated loss of 6q23 for nonspitzoid borderline melanocytic tumors in adults. In 2010, we observed a case with an atypical compound melanocytic tumor with architectural disorder in the back of 58 years old man (Figure 4c). An unusually confluent and large junctional proliferation of melanocytes, subtle asymmetry, dermal mitosis, and poor circumscription were observed, which were histologically worrisome features. Conversely, a low-grade cytological atypia, lack of pagetoid spreading, mature in depth of the dermis, and non-brisk host response were the benign features (Figure 4c). The 4-probe melanoma FISH available at that time revealed an isolated loss of 6q23 and the lesion was diagnosed as a 'melanoma' (Figure 4c). The patient was treated with a melanoma protocol. As it has now become

clear that the isolated loss of 6q23 is one of the lesser aggressive molecular signatures in atypical spitzoid tumors, this patient might have been over-diagnosed and treated too aggressively. This presented case may also provide evidence that an isolated loss of 6q23 is evolving as a molecular signature, even for the detection of nonspitzoid borderline melanocytic tumors of adults.

2. Atypical spitzoid tumors carrying an isolated homozygous loss of 9p21 metastasized beyond the sentinel lymph node (6/11 cases, 55%) and caused death due to disease (3/11 cases, 27%).⁴⁰ A homozygous loss of 9p21 was detected in majority of tumor cells in these cases (33–100%, average 80%). In contrast, a homozygous loss of 9p21 was found only in 3 of 64 control cases (5%) and progressed with a benign clinical course for 5 years. Hence, a diagnosis of 'spitzoid melanoma with isolated homozygous loss of 9p21' was proposed and characterized to be less fatal than spitzoid melanomas with a classic melanoma pattern of chromosomal aberrations but a high clinical risk and has a higher incidence in children than in adults (Figure 1c).^{25,40} These tumors also displayed histological characteristics of severe cytological atypia, dominantly epithelioid cytology, frequent dermal mitosis, a complete loss of p16 protein expression on immunohistochemistry, and the presence of BRAF mutation in a small subset of tumors.²⁵

3. Atypical spitzoid tumors carrying isolated heterozygous loss of 9p21 were characterized by more benign features, including absence of distant metastasis, less cytological atypia, less epithelioid cytology, less dermal mitosis, the presence of p16 protein expression by immunohistochemistry in 67% of patients, and BRAF mutation in none of these tumors and showed a good prognosis similar to that of atypical spitzoid tumors with an isolated loss of 6q23 or negative FISH.^{25,34,40}

4. Atypical spitzoid tumors with an isolated gain of 6p25 or gain of 11q13 were provisionally designated as diagnosis of 'spitzoid melanoma with an isolated gain of 6p25 or gain of 11q13' and demonstrated a higher risk for aggressive behavior compared with atypical spitzoid tumors that are FISH negative, but lesser than those that display homozygous loss of 9p21 (Figure 1c).⁴⁰

5. Atypical spitzoid tumors with an isolated gain of 8q24 are too rare to evaluate its risk although an infrequent copy number aberration in spitzoid neoplasms has been reported.⁴⁰

In summary, this reported FISH-based risk assessment data revealed that (1) a higher risk in spitzoid melanomas with an isolated homozygous loss of 9p21 but less fatal than spitzoid melanomas with classic melanoma pattern of chromosomal aberrations, (2) an intermediate risk in spitzoid melanomas with an isolated gain of 6p25 or gain of 11q13, and (3) a low risk in atypical spitzoid tumors with an isolated heterozygous loss of 9p21 or an isolated loss of 6q23 or negative FISH. In this algorithm, these

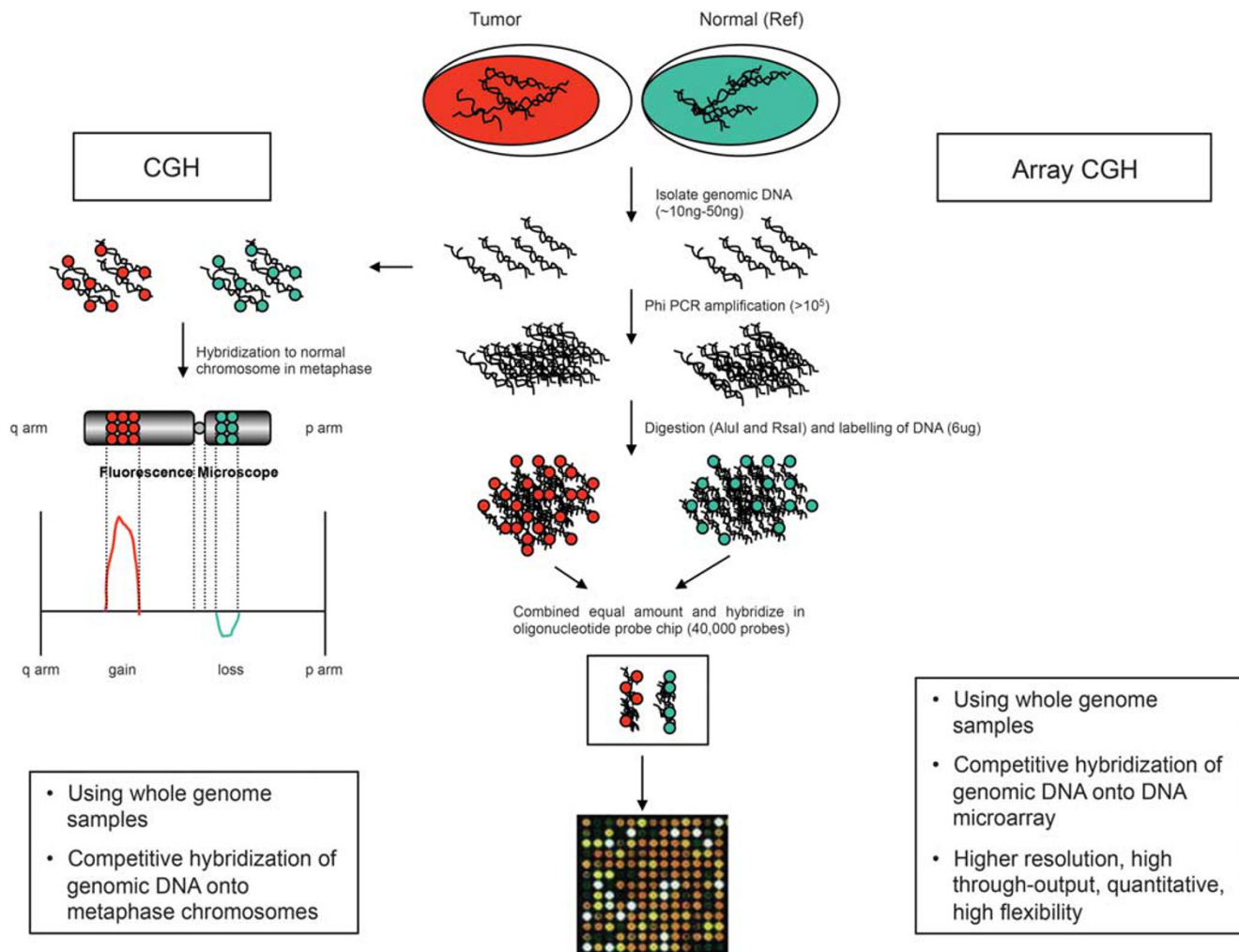


Figure 5 Schematic representation of the comparison between conventional comparative genomic hybridization (CGH; left) and array-based CGH (aCGH; right).

high risk-related FISH signatures are reported and for those cases, array-based comparative genomic hybridization is not applied further (Figure 1c).

Array-Based Comparative Genomic Hybridization

In 1992, a novel technique, comparative genomic hybridization (CGH), was introduced to detect chromosomal copy number aberrations throughout the genome of tumor cells in a single experiment (Figure 5).⁴² A chip-based comparative genomic hybridization approach with high resolution and accuracy, known as array-based CGH (aCGH; Agilent Technologies), was subsequently developed in 2004 (Figure 5).⁴² This assay includes 40 000 probes that span the human genome. In comparison with a conventional comparative genomic hybridization,⁴³ aCGH has several advantages, such as: this method can identify regions of genomic imbalance more accurately, it has a much higher resolution, allowing the identification of tumor-related genes, and can be

applied to formalin-fixed paraffin-embedded tissues. For these reasons, array-based comparative genomic hybridization has contributed to the discovery of genetic changes characteristic of spitzoid melanocytic neoplasms.⁴⁴

Over the next decade, Bastian *et al* showed that melanomas have a variety of chromosomal copy number aberrations including copy number gains of 1q, 6p, 7, 8q, 17q, and 20q and losses of chromosomes 6q, 8p, 9p, and 10q.^{4,5,43} Among the 54 nevi evaluated, only 7 lesions (13%), all of them Spitz nevi, had the same isolated gain in the entire short arm of chromosome 11, near the location of the *HRAS* gene, or an isolated loss of a portion or whole of chromosome 3 involving the region (3p21) where the *BAP1* gene is located (Table 3B).^{4,5} This lack of significant overlap in the patterns of chromosomal aberrations in melanomas and nevi has provided a rationale for using aCGH as an ancillary diagnostic tool for detecting malignancies for ambiguous melanocytic tumors including atypical spitzoid tumors (Table 3B).^{4,5,43,45-47}

Table 3B Comparison of the frequency of chromosomal aberrations detected by CGH or aCGH in Spitz nevi, benign nevi, and melanomas

Reference	Diagnosis (no. of cases)	% of chr aberrations
Bastian <i>et al</i> ⁴³	NonSM (32)	94% (30/32)
Bastian <i>et al</i> ⁴⁴	SN (17)	0% (13/17)
		18% (3/17), gain of 11p
		6% (1/17), gain of 7q21
Bastian <i>et al</i> ⁵	BN (47)	0% (0/47)
	SN (7)	100% (7/7), all gain 11p (as single aberration)
	NonSM (132)	96% (127/132), multiple aberrations ^a
Ali <i>et al</i> ⁴⁵	SN (8)	13% (1/8), gain of both 7q and 11p
	Spitz tumor (1)	100% (1/1), gain of 19p
	SM (1)	100% (1/1), gain of 6p and 17q; loss of 1p and 15p
Raskin <i>et al</i> ⁴⁷	SN (8)	13% (1/8), gain of 11p
	AST (16)	0% (9/16)
		44% (7/16), multiple aberrations ^b
	SM (2)	100% (2/2), loss of 9 and 11; gain of 8, 9, and 11
Wang <i>et al</i> ⁴⁶	BN (5)	0% (0/5)
	NonSM (25)	92% (23/25), multiple aberrations ^a

Abbreviations: AST, atypical spitzoid tumor; BN, benign nevus; NonSM, nonspitzoid melanoma; SN, Spitz nevus; SM, spitzoid melanoma. Studies 43, 4, and 5 used CGH; 45, 46 and 47 used aCGH.

^aThe most frequent gains were of chr 6p, 1q, 7p, 7q, 8q, 17q, and 20q. The most frequent losses were of chr 9p, 9q, 10q, 10p, 6q, and 11q.

^bGains of chr 1p, 3, 8, 9, and 20p; losses of chr 1, 3, 4p, 5q, 7q, 8, 9, 14q, and 18q.

For the array-based comparative genomic hybridization technique, 5–10 shaves of 25 mm thick paraffin sections per sample are required for one assay and the tumor cells are microdissected from the specimen to collect a relatively pure tumor population, if necessary. The target DNA then needs to be isolated and purified from the tumor sample, labeled with a fluorochrome, and hybridized (Figure 5). The copy number change must be observed in at least 30–50% of the collected cells and if an aberration is identified, the results are typically very specific. aCGH rarely yields false negativity, usually when the samples examined contain < 20–30% of tumor cells.⁵

For the diagnostic algorithm proposed here, one essential question was that which cytogenetic/molecular test, either FISH or array-based comparative genomic hybridization, should be performed first for individual cases of atypical spitzoid tumors. A FISH assay that detects selected chromosomal aberrations, is preferred as the first molecular test in this algorithm, as it is simple, rapid, relatively inexpensive, applied to standard 5 μ m-thick paraffin-embedded sections, identifies even small clones of chromosomally aberrant cells within a larger tumor, and allows histopathologic correlation.

However, FISH is not as comprehensive as aCGH. A maximum of four chromosomal targets can be evaluated by a single FISH whereas aCGH can be used to analyze whole chromosomal copy number aberrations in a single experiment. This often generates discrepancy between FISH and aCGH results (Figures 6 and 7).³⁵ The main drawbacks of aCGH are that it is an expensive, laborious, and time-consuming procedure.

In summary, both FISH and aCGH assays applied to the current diagnostic algorithm for atypical spitzoid tumors are highly sensitive and specific for

melanomas. Ninety percent of overall concordance was found using both FISH and aCGH assays, consistent with a recent report indicating that both assays should be used as complementary methods to improve the accuracy of genetic evaluation of melanocytic tumors.⁴⁶ However, it is critical to understand potential pitfalls of these assays and consider cytogenetic/molecular results as a diagnostic adjunct to routine histological and immunophenotypic findings, and not as a stand-alone diagnostic test.

Several kinase fusions of ROS, NTRK1, ALK, BRAF, and RET were recently discovered as additional molecular alterations found in the entire biological spectrum of spitzoid tumors, including 55% of Spitz nevi, 56% of atypical spitzoid tumors, and 39% of spitzoid melanomas.⁴⁸ A subset of somatic atypical spitzoid tumors (28%) showed loss of BAP1 expression and concomitant BRAF mutations.⁴⁹ These molecular alterations are not diagnostic for a melanoma and FISH assays are not applied for the detection of kinase gene fusions in the current diagnostic algorithm for atypical spitzoid tumors.

Recently, two additional molecular tests using reverse transcription PCR (RT-PCR) were developed. The 'Myriad myPath melanoma' (Myriad Genetics) is a diagnostic test for borderline melanocytic lesions using quantitative reverse transcription polymerase chain reaction. It is based on the expression profile of 23 genes including preferentially expressed antigen in melanoma (PRAME), a group of S100 genes (A7, A8, A9, and A12) and P13, a group of eight genes involving tumor immune response signaling, and nine housekeeping genes.⁵⁰ The 'DecisionDx-Melanoma' test (Castle Bioscience) is designed to predict 5-year metastasis risk by measuring expression levels of 31 genes. This test stratifies patients as Class 1

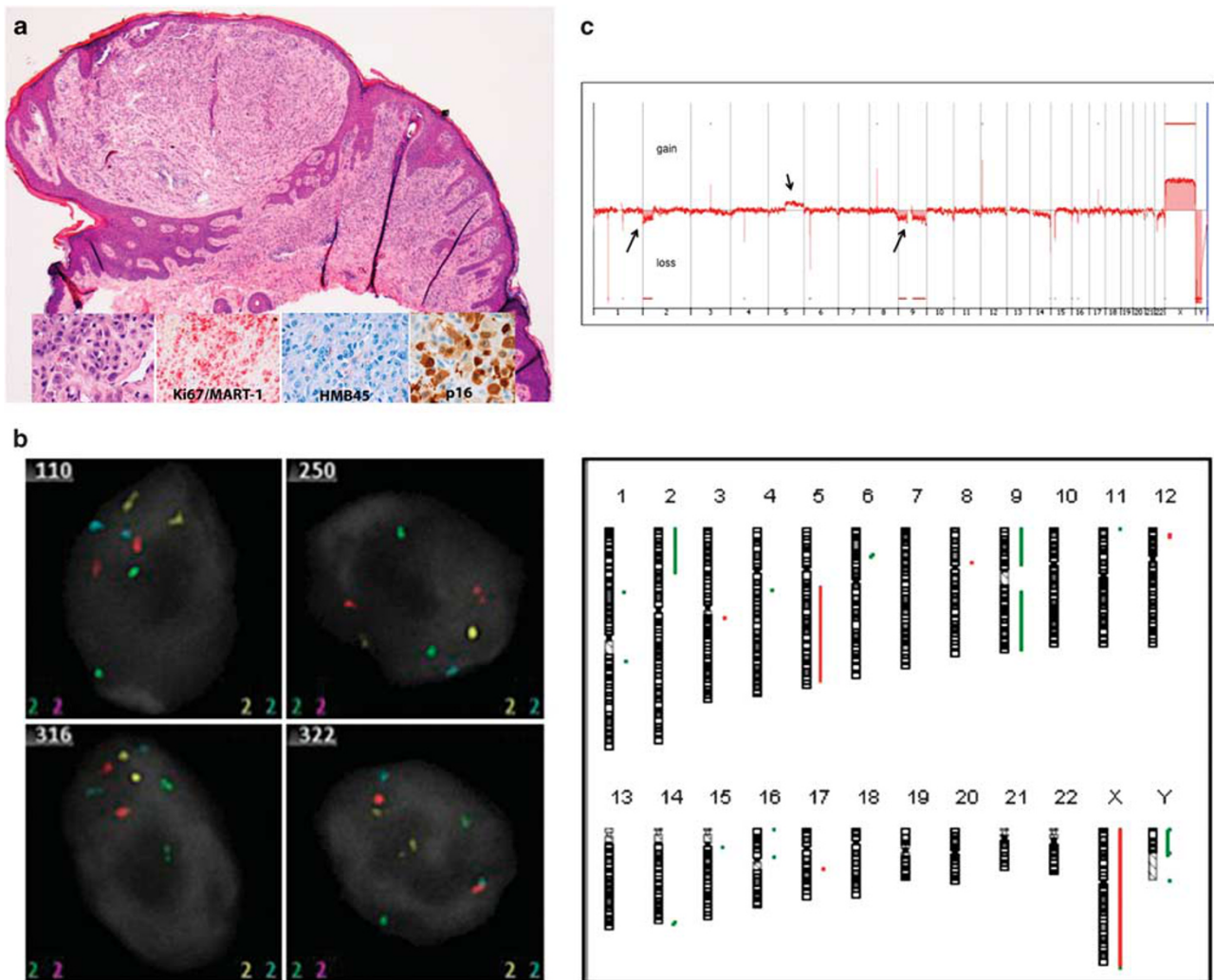


Figure 6 A case of 'spitzoid melanoma' diagnosed by this algorithmic approach.²² A 21-year-old woman's cheek lesion showed morphologically atypical spitzoid tumor (a) with deep dermal cell proliferation by a dual-color Ki67/MART-1, a complete loss of HMB45, and an intratumoral heterogeneous loss of p16 expression (Inset). A 4-probe melanoma fluorescence *in situ* hybridization showed a normal pattern (b). The array-based comparative genomic hybridization assay revealed a loss of chromosome 9 and 2p and a gain of 5q (c). This combinatorial aberration has been frequently found in melanomas and a diagnosis of 'spitzoid melanoma' was rendered.

(low risk of metastasis), or Class 2 (high risk of metastasis), which in combination with sentinel lymph node biopsy, improves the prediction of metastasis risk even in melanomas at an early stage.⁵¹ These two molecular tests, together with FISH and array-based comparative genomic hybridization, could be potential additional molecular tests in this algorithm for some of eligible atypical spitzoid tumors in the near future.

Case presentation

Two cases are presented here that demonstrate the diagnostic power of this algorithmic evaluation. For the first case, before seeing the molecular cytogenetic results, 6 of 9 expert dermatopathologists favored a spitzoid melanoma diagnosis, one favored a Spitz

nexus, and two wanted to see the molecular results for their final diagnosis. For the second case, two experts favored a spitzoid melanoma, based purely on histological grounds.

The first case is a 21 years old female who presented with a pyogenic granuloma-like lesion on her cheek showing a morphologically compound spitzoid tumor with asymmetry, lack of maturation, high-grade cellular atypia, and frequent dermal and deep dermal mitosis (Figure 6a and inset).²² Immunohistochemical assays also detected deep dermal cell proliferation (by using a dual-color Ki67/MART-1 assay), a complete loss of HMB45 expression, and an intratumoral heterogeneous loss of p16 expression (Figure 6a, insets). The algorithmic approach utilizing 4-probe melanoma FISH (NeoSITE Melanoma in 2012) was negative for chromosomal aberrations (Figure 6b). Hence, the array-based comparative

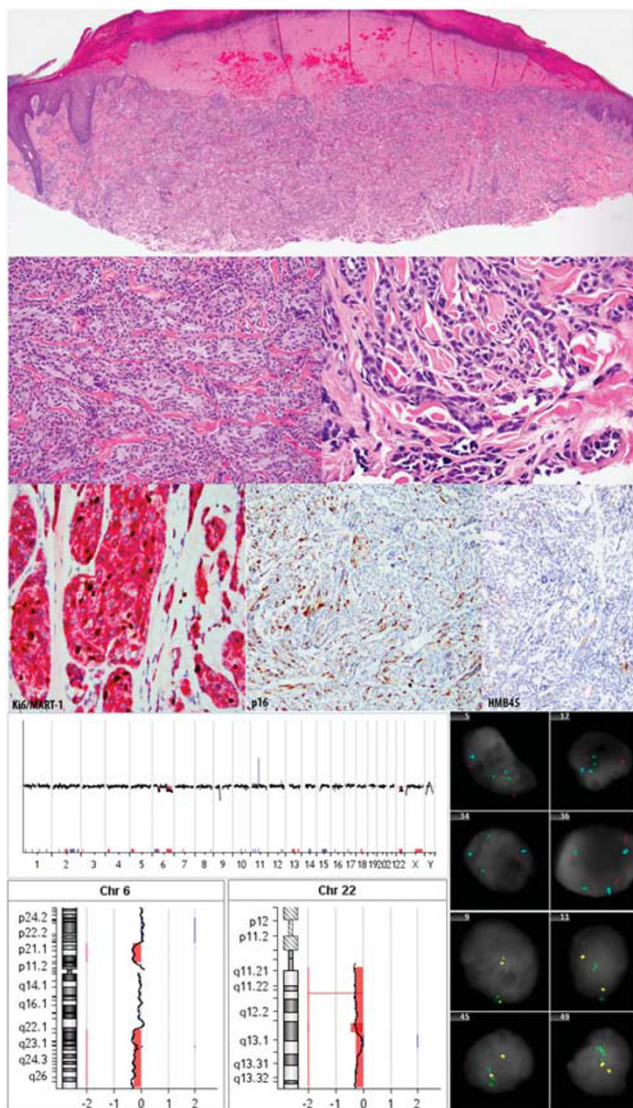


Figure 7 A case of 'atypical spitzoid tumor' in a 14-year-old boy's shoulder, which showed an ulcer, asymmetry, lack of maturation, high-grade cellular atypia, and frequent dermal and deep dermal mitosis. The immunohistochemistry also revealed deep dermal cell proliferation by a dual-color Ki67/MART-1, a complete loss of HMB45, and a near complete loss of p16 expression. A 5-probe melanoma fluorescence *in situ* hybridization (right bottom) was negative for chromosomal aberrations, but array-based comparative genomic hybridization revealed losses of pericentromeric chromosome 6p, chromosome 6q, and 22q (left bottom). As this combination of chromosomal losses was not known to be typical of melanoma, the tumor was diagnosed as an 'atypical spitzoid tumor.'

genomic hybridization assay was followed and it was observed that the tumor carried a loss of chromosomes 9 and 2p and a gain of 5q (Figure 6c). This combinatory aberration has been frequently found in melanomas and the case was diagnosed as 'spitzoid melanoma'. Following a melanoma protocol, the patient underwent a wide excision and sentinel lymph node dissection, all of which were negative

for melanoma. There was no evidence of melanoma after 4 years follow-up.

The second case is a 14 years old boy who presented with a rapidly growing a red nodule (>1 cm) on the shoulder, which was an ulcerated compound spitzoid tumor with apparent asymmetry, lack of maturation, high-grade cellular atypia, and frequent dermal and deep dermal mitosis (Figure 7). Algorithmic immunohistochemical studies showed deep dermal cell proliferation (by a dual-color Ki67/MART-1 assay), a complete loss of HMB45, and a near complete loss of p16 expression (Figure 7). The 5-probe melanoma FISH was performed and was negative for the tested chromosomal aberrations. Array-based comparative genomic hybridization, however, revealed that the tumor carried a pericentromeric loss of chromosome 6p, chromosome 6q, and 22q (Figure 7). As this combination of chromosomal losses is not typical of melanomas, the tumor was diagnosed as an 'atypical spitzoid tumor with losses of pericentromeric chromosome 6p, chromosome 6q, and 22q'. For this particular case, a possible association with 'a low clinical risk' was also suggested, probably similar to negative FISH atypical spitzoid tumors, as both FISH and array-based comparative genomic hybridization assays did not display known melanoma molecular signatures. A conservative re-excision of the prior biopsy site and a long-term follow-up were recommended due to the novel non-melanoma pattern of chromosomal losses.

Conclusions

The diagnostic algorithm for atypical spitzoid tumors proposed here was designed for routine clinical dermatopathology practice and contributes to a better delineation of atypical spitzoid tumor from spitzoid melanoma and to the prediction of the clinical behavior of atypical spitzoid tumors. Recent advances in cytogenetic/molecular information on atypical spitzoid tumors will help classify a specific subtype of atypical spitzoid tumors with distinct clinical and morphological features and prognosis. It would be important to collect well-annotated atypical spitzoid tumor cases with cytogenetic signatures to create a nationwide or worldwide atypical spitzoid tumor registry, with a further aim to elucidate the molecular classification of atypical spitzoid tumors.

In my opinion, this diagnostic algorithm proposed is still evolving and can be improved from the incorporation of novel molecular genetic advances in atypical spitzoid tumors. The potential applications of this diagnostic algorithm are being also tested in our practice for the evaluation of a variety of ambiguous/borderline nonspitzoid melanocytic tumors in adults. I cannot overemphasize the fact that correlation between histological, immunohistochemical, and cytogenetic/molecular results, and

available clinical information is an integral part of this diagnostic algorithm for atypical spitzoid tumors.

Acknowledgments

The reports of the two cases presented here and illustrated in Figures 6 and 7 have been published in *Am J Dermatopathol* 2013, 35:277–280 and have been presented as a poster in American Society of Dermatopathology 52nd annual meeting, respectively. Some of this review content has been presented as a poster in United States and Canadian Academy of Pathology 2016 annual meeting. I would like to thank Dr. Lauren Clark, the director of Myriad Genetics and Dr. Robert Gasparini, the former chief scientific officer of Neogenomics Laboratories for their kind and detailed information on ‘Myriad myPath Melanoma’ and ‘melanoma FISH’ assays, respectively.

Disclosure/conflict of interest

The author declares no conflict of interest.

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