Metaplastic breast carcinomas are enriched in markers of tumor-initiating cells and epithelial to mesenchymal transition

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Metaplastic breast carcinomas constitute a distinct aggressive form of invasive breast cancer with histological evidence of epithelial to mesenchymal transition toward spindle, chondroid, or osseous cell types. During tumorigenesis, epithelial to mesenchymal transition promotes invasion and metastasis and has been linked to the presence of stem cells. We hypothesized that metaplastic carcinomas may express epithelial to mesenchymal transition markers and may be enriched in tumor-initiating cells specifically in the non-glandular metaplastic elements. In 27 primary metaplastic carcinomas of the breast we tested the expression of epithelial to mesenchymal transition inducers ZEB1 and E-cadherin and the presence of tumor-initiating cells by using aldehyde dehydrogenase-1 (ALDH-1) and CD44⁺/CD24^{-/low} immunohistochemistry. Of the 27 metaplastic carcinomas, 20 (74%) had squamous and/or spindle areas and 7 (26%) had heterologous elements (6 chondroid and 1 osseous). ALDH-1-positive and CD44⁺/CD24^{-//ow}-expressing cells were detected in the non-glandular metaplastic components (Fisher's exact, P = 0.0017). E-cadherin expression was reduced or absent (aberrant) in all metaplastic components whereas it was normal in the glandular areas. On the contrary, overexpression of ZEB1 was detected in 41% (11 of 27) of the non-glandular, metaplastic components, and in none of the glandular areas. The presence of tumor-initiating cells, aberrant E-cadherin, and ZEB1 upregulation was associated in over 90% of the spindle areas and heterologous elements (χ^2 test, P<0.05). We provide first *in situ* evidence that epithelial to mesenchymal transition inducers and tumor-initiating cells are present specifically in the nonglandular components of metaplastic carcinomas.

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Metaplastic mammary carcinomas are a histologically heterogeneous and unique group of tumors defined by the presence of glandular and nonglandular component.¹ The non-glandular component results most of the times from mesenchymal differentiation and includes cells with spindle, osseous, or cartilaginous features. Metaplastic carcinomas are almost invariably negative for hormone receptors and do not exhibit HER-2/neu overexpression.^{1,2} Metaplastic carcinomas have a high rate of systemic metastasis and, with the exception of the rare pure low-grade spindle cell carcinoma, they have a discouraging prognosis.^{1,3} Understanding the pathobiology of metaplastic carcinomas is critical to develop new and effective treatments.

Metaplasia is the reversible change in which one adult cell type is replaced by another adult cell type, and it is currently thought to arise from genetic reprogramming of stem cells.⁴ A mechanism by which cells may undergo metaplasia during tumorigenesis is through an epithelial to mesenchymal transition. Through this process, tumor cells acquire molecular and phenotypic changes resulting in spindle morphology and dysfunctional cell–cell adhesion, leading to invasion and metastasis.^{5–7} Recently, epithelial to mesenchymal transition was

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shown to induce the acquisition of breast cancer stem cell properties.^{8,9} One of the most frequent molecular alterations in the epithelial to mesenchymal transition process is the loss of membranous E-cadherin, which facilitates cell detachment.^{5,6} The E-cadherin transcriptional regulator ZEB1 is highly expressed in breast cancer cell lines with an invasive and metastatic phenotype compared with non-invasive cell lines.¹⁰ Although the ability of ZEB1 to repress E-cadherin has been shown at the molecular level,^{11–13} it has not been well documented *in vivo* and has not been examined in metaplastic carcinoma.

Recent studies of metaplastic breast carcinomas revealed a prominent epithelial to mesenchymal transition signature as well as enrichment for inducers of tumor stem cell characteristics.14-17 However, whether cells undergoing an epithelial to mesenchymal transition have characteristics of tumor-initiating cells in human breast tissue samples is not known. In an effort to translate these mechanistic and gene profiling data into clinically relevant observations in human metaplastic carcinomas, we tested the hypothesis that metaplastic carcinomas may be enriched in epithelial to mesenchymal transition markers and in tumor-initiating cell specifically in the non-glandular elements. For this, we analyzed 27 primary metaplastic carcinomas and carried out a comprehensive protein expression analysis of the epithelial to mesenchymal transition regulators ZEB1 and E-cadherin and of tumor-initiating cells in light of tumor histopathology.

Materials and methods

Tissue Samples

A total of 27 metaplastic carcinomas were analyzed. All slides were obtained with Institutional Review Board approval from the surgical pathology files at the University of Michigan. Hematoxylin and eosinstained slides of formalin-fixed, paraffin-embedded tumors were reviewed and confirmed independently and blindly by two pathologists (YZ and CGK). Metaplastic carcinomas were classified and graded according to the 2003 WHO (World Health Organization) classification of metaplastic carcinomas.¹ The metaplastic carcinomas were graded on the basis of the metaplastic component as grade 1 (low), grade 2 (intermediate) or grade 3 (high) following described criteria.¹ In all cases, the diagnosis of metaplastic carcinoma was confirmed by positive cytokeratin staining including a cytokeratin cocktail (AE1/AE3, Cam5.2) and/or a highweight cytokeratin stain (34bE12). molecular Clinical information and pathological features including patient age, tumor stage, hormonal receptor status and HER-2/neu overexpression, lymph node and distant metastasis were recorded from the surgical pathology reports.

The expression of E-cadherin and ZEB1, and the expression of CD44 and CD24 was analyzed simultaneously by using double immunostaining. Aldehyde dehydrogenase-1 (ALDH-1) was determined by single immunohistochemistry. Briefly, paraffinembedded tissue blocks were sectioned at $5\,\mu\text{m}$ and placed on charged slides. Slides were deparaffinized in xylene and rehydrated through graded alcohols. Heat induced epitope retrieval was performed in the Decloaking Chamber (Biocare Medical) with target retrieval, pH 6.0 (DakoCytomation). Slides were incubated in 3% hydrogen peroxide for 5 min to quench endogenous peroxidases. Double stain slides were incubated in Rodent Block M (Biocare Medical) for 30 min at room temperature. All slides were then incubated for 1.5 h at room temperature with either mouse monoclonal anti-ALDH-1 (BD Biosciences, Cat# 611194) diluted 1:6000, or an antibody cocktail containing rabbit polyclonal anti-ZEB1 (Santa Cruz Biotechnology, Cat# SC-25388) diluted 1:800 and mouse monoclonal anti-E-Cadherin (BD Biosciences, Cat# 610182) diluted 1:5000), or an antibody cocktail containing rabbit monoclonal anti-CD44 (Abcam, Cat# AB51037) diluted 1:500 and mouse monoclonal anti-CD24 (Biocare Medical, Cat# CM323) diluted 1:120). Slides were incubated for 30 min at room temperature with either anti-mouse Envision+ horseradish peroxidase-labeled Polymer (DakoCytomation), Mach 2 Double Stain1 or Mach 2 Double Stain 2 (Biocare Medical), respectively. Horseradish peroxidase staining was visualized with the DAB⁺ Kit (DakoCytomation) and AP staining was visualized with Vulcan Fast Red (Biocare Medical). Negative control slides omitting primary antibodies were run. Slides were counterstained in hematoxylin, blued in running tap water, dehydrated through graded alcohols, clered in xylene and then mounted with Permount.

Expression of ZEB1 nuclear protein was categorized as positive (nuclear staining in $\geq 5\%$ of tumor cells) or negative (no nuclear staining or nuclear staining in <5% of tumor cells).¹⁸ E-cadherin staining was considered as normal when expressed at the cytoplasmic membranes with crisp complete pattern, or aberrant when membranous staining was reduced or absent, following previously validated studies.¹⁹ Tumors presenting at least one ALDH-1-positive, or CD44⁺/CD24^{-/low} cancer cell were considered positive following published studies and taking into account their biological significance.^{20–22}

The association between the expression of ALDH-1, CD44⁺/CD24^{-/low} ZEB1, and E-cadherin proteins, their relationship to clinical and pathological characteristics, and their possible association with epithelial and/or mesenchymal differentiation in metaplastic carcinomas was analyzed by using

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X2-test and Fisher's exact test. A P-value of <0.05 was considered statistically significant.

Results

Clinical and Histopathological Features of the Metaplastic Carcinomas

The clinical and histological features of the metaplastic carcinomas are summarized in Table 1. All patients were female, with a median age of 60 years (range 33–87). Axillary lymph node metastases were identified in eight cases (30%). All of the tumors with available information were negative for ER, PR and for HER-2/neu expression. Of the cases with available staging information, four tumors were Stage I, 11 Stage II, 2 Stage III and 3 Stage IV. Distant metastases were observed in four cases, including in the lung parenchyma, pleura, brain, and vertebrae.

Of the 27 metaplastic breast carcinomas 20 (74%) had squamous and/or spindle sarcomatous areas and 7 (26%) had heterologous elements (6 chondroid and 1 osseous). An adjacent glandular component was seen in 11 (41%) tumors. Of the 7 spindle metaplastic carcinoma, none had histological grade 1, 4 (57%) had grade 2 and 3 (43%) had grade 3. Three of the metaplastic carcinomas with

Table 1 Summary of clinical and pathologic information on the27 patients with metaplastic carcinoma of the breast included inour study

Characteristic	
No. of patients Median age (range)	27 60 (36–87)
Pathologic stage, n (%) I/II III/IV Unknown	15 (56) 5 (19) 7 (26)
Median tumor size (range), cm	3.5 (0.5–10.5)
<i>Lymph nodes,</i> n (%) Negative Positive Unknown	14 (52) 8 (30) 5 (19)
<i>Site of distant metastasis,</i> n Lung and pleural Vertebrae Brain	2 1 1
Predominant metaplastic component, n (%) Squamous Spindle Chondroid Osseous	13 (48) 7 (26) 6 (22) 1 (4)
Histologic grade, n (%) 1 2 3	0 7 (26) 20 (74)

squamous differentiation also showed spindle cell metaplasia, the other had only squamous elements. The squamous components ranged from moderate to poorly differentiated carcinoma. Those tumors with chondroid and osseous differentiation had features of chondrosarcoma and osteosarcoma, respectively. All glandular areas revealed moderate to poorly differentiated invasive ductal carcinoma.

Metaplastic Carcinomas Express Tumor-Initiating Cell Markers in the Metaplastic Components

Out of 27 metaplastic carcinoma cases, 17 (63%) contained ALDH-1-positive cells. In most of these cases, the cytoplasmic staining was detected in groups of plump spindle-shaped cells as well as in the squamous nests (Figures 1b, f and j). Further detailed analysis of each individual component revealed ALDH-1-positive cells in 16 out of 27 (59%) metaplastic components, including in 71%, 39%, 83%, and 100% of the spindle, squamous, chondroid and osseous cells, respectively. In contrast, ALDH-1-positive cells were rare in the glandular component (present in 1 of 11 cases, 9%; Fischer's exact test, P = 0.0017, Figure 1b arrow). There were no significant differences among the subtypes of metaplastic carcinoma for the expression of ALDH-1.

We found that cells expressing $CD44^+/CD24^{-/low}$ were present in 12 out of 27 (44%) metaplastic carcinomas. $CD44^+/CD24^{-/low}$ cells were absent in the glandular elements and detected in 6 of 13 (46%) tumors with squamous areas, in 5 of 7 (71%) tumors with spindle areas and in 1 of 6 (17%) of tumors with chondroid elements (Figure 1). $CD44^+/$ $CD24^{-/low}$ cells could not be identified in the osseous component. The results of ALDH-1 and CD44/CD24 staining with respect to predominant metaplastic component and glandular areas are summarized in Table 2.

Metaplastic Carcinomas Exhibit Frequent Loss of E-cadherin and Increased Expression of ZEB1, an Inducer of Epithelial to Mesenchymal Transition

Simultaneous expression of ZEB1 and E-cadherin proteins in metaplastic carcinoma tissue samples was analyzed by dual-staining immunohistochemistry. This technique allowed us to determine the expression pattern and levels of each protein in the same cells. As expected, when present, E-cadherin protein was only expressed in the cytoplasmic membrane of epithelial cells whereas ZEB1 was expressed in the nucleus. We found a significant inverse association between these markers; the staining for E-cadherin protein was reduced or lost and ZEB1 protein expression was enhanced in the same tumor cells (Figure 1).

Of 27 metaplastic carcinomas, E-cadherin was aberrant (reduced or lost) in all mesenchymal



Figure 1 Immunohistochemical staining of ALDH-1, CD44/CD24, ZEB1 and E-cadherin in metaplastic carcinomas of the breast. (a) Metaplastic carcinoma with glandular and spindle cell differentiation; (b) same tumor showing expression of ALDH-1 in the spindle component. Arrow shows the absence of ALDH-1 expression in a malignant gland; (c) same tumor showing that the malignant spindle cells are positive for CD44 (red) and negative for CD24 (brown); (d) double immunostaining for ZEB1 and E-cadherin shows that the glands express normal E-cadherin but E-cadherin is downregulated in the spindle cells, which concomitantly upregulate ZEB1; (e-h) representative pictures of a metaplastic carcinoma with squamous differentiation; Note the high ALDH-1 reactivity in the squamous nests (f); (i-I) metaplastic carcinoma with chondroid differentiation showing ALDH-1 and CD44 expression in the chondroid areas, as well as upregulation of ZEB1 and decrease in E-cadherin expression. All pictures are × 400 magnification. ALDH-1, aldehyde dehydrogenase-1.

glandular component						
Component (n)	ALDH-1 (%)	CD44+/ CD24 -/low (%)	ZEB1 (%)	E-cadherin		
				Normal	Aberrant	
Metaplastic component (27)	16 (59)	12 (44)	11 (41)	13 (48)	14 (52)	
Squamous (13)	5 (39)	6 (46)	0	13 (100)	0	
Spindle (7)	5 (71)	5 (71)	6 (86)	0	7 (100)	
Cĥondroid (6)	5 (83)	1 (17)	5 (83)	0	6 (100)	
Osseous (1)	1 (100)	0	1 (100)	0	1 (100)	
Glandular component (11)	1 (9)	0	0	11 (100)	0	

Table 2ALDH-1, CD44+/ CD24-/low, ZEB1 and E-cadherin expression in relationship to the predominant metaplastic component and
glandular component

Abbreviation: ALDH-1, aldehyde dehydrogenase-1.

metaplastic components. Specifically, E-cadherin was aberrant in cells differentiated toward spindle (7 of 7 cases), chondroid (6 of 6 cases) and osseous (1 of 1 case) components. On the other hand, E-cadherin expression level was normal in all the glands as well as in all (13 of 13 cases) squamous areas.

ZEB1 staining was detected in 41% (11 out of 27) of metaplastic carcinomas. All the ZEB1-positive tumors exhibited mesenchymal differentiation toward spindle, chondroid or osseous. The glandular and squamous areas were negative for ZEB1 expression in all cases (Fischer's exact test P=0.002, Table 2 and Figure 1). We noted that in the transition from epithelial to mesenchymal elements there was a decrease of E-cadherin and an increase in ZEB1 expression (Figures 1d, h and l). Details on the differential distribution of ZEB1 and E-cadherin staining with respect to predominant metaplastic component of each metaplastic carcinoma are summarized in Table 2. Aberrant E-cadherin, positive ZEB1 and the presence of ALDH-1 and

CD44⁺/CD24^{-/low} cells were significantly associated in the metaplastic areas (χ^2 , P < 0.05).

Discussion

Because breast carcinoma arises from glandular epithelium, it exhibits the features of adenocarcinoma.⁴ However, in some cases, part or all of the neoplastic cells show non-glandular growths by a process termed 'metaplasia,' which signifies the change of one cell type into another. Metaplasia is thought to proceed through reprogramming of pluripotent stem cells.⁴ This characterizes the special type of breast carcinoma termed metaplastic carcinoma. Although it remains to be determined whether metaplastic carcinomas arise from malignant transformation of particular cancer stem cells or through a process of dedifferentiation,²³ cytogenetic and molecular studies suggest that the glandular and non-glandular components of these tumors originate from a common cell population.^{24,25} We hypothesized that the phenotypic changes of metaplastic carcinomas in the breast are likely the result of alterations of genes involved in cell fate and differentiation.

Although metaplastic carcinomas of the breast are uncommon, they exhibit an aggressive behavior and poor clinical outcome.²⁶ Metaplastic carcinomas are largely negative for hormone receptors and for HER-2/neu overexpression.^{2,26} Recent studies have linked the process of epithelial to mesenchymal transition to the induction of stem cell features in breast cancer cells,^{8,9} and in particular in the metaplastic subtype.^{14,16} However, how these molecular findings relate to the heterogeneous histological features of metasplastic carcinomas has not been investigated, and thus remains incompletely understood. We undertook this study to investigate the presence of breast cancer cells expressing markers of stem cells, their relationship to the expression of epithelial to mesenchymal transition proteins and to specific histological components of metaplastic carcinomas.

We found that the non-glandular elements of metaplastic carcinomas express biological markers of an epithelial to mesenchymal transition. One of these markers, ZEB1, is transcriptional repressor of the *E*-cadherin gene in breast and other cells.^{10,27,28} Our lab has demonstrated that in breast epithelial cells, upregulation of ZEB1 leads to E-cadherin downregulation through direct binding to the E-cadherin proximal promoter.¹² In this study, using double immunohistochemistry we detected the gradual loss of E-cadherin and acquisition of ZEB1 overexpressing mesenchymal-like cellular phenotype. These data are intriguing in light of our previous studies showing that metaplastic carcinomas harbor mutations in the Wnt-induced secreted protein-3 gene (WISP3/CCN6),²⁹ which is a novel regulator of ZEB1 and E-cadherin expression in benign breast cells and in breast cancer cells.¹⁰

Taken together, these data pave the way to investigating the specific roles of ZEB1 and WISP3/CCN6 in the induction of mesenchymal-like non-glandular elements in metaplastic carcinoma, with potential therapeutic implications.

Although multiple putative breast cancer stem cell markers have been proposed, ALDH-1 and CD44 $^+/\text{CD24}^{-/\text{low}}$ are the most studied and validated breast cancer stem cell marker proteins to date.^{21,30,31} ALDH-1 is a detoxifying enzyme responsible for the oxidation of intracellular aldehydes, which has been shown to identify and to promote breast cancer stem cell properties in vivo and in vitro.^{30,31} It is important to note that ALDH-1 immunostaining was found to be associated with estrogenand progesterone-negative tumors.³¹ We found that metaplastic carcinomas are enriched for ALDH-1positive and CD44⁺/CD24^{-/low}-expressing tumor cells. Neither of these stem cell markers was expressed by the cancer cells forming glands, but rather by cancer cells in the metaplastic, nonglandular elements. Specifically, metaplastic carcinomas with squamous and/or spindle components contained ALDH-1 and CD44⁺/CD24^{-/low} breast cancer cells. Even though we found a significant association between the stem cell marker expression in the non-glandular areas, ALDH-1 and CD44+/ CD24^{-/low}-expressing cells were not found in the same tumor areas. These results suggest that these two markers may identify cells with different stem cell properties or cells at different stages of differentiation, and warrant further investigation.

Cytotoxic chemotherapy is the current recommended treatment for patients with metaplastic breast carcinomas.^{32,33} However, these tumors do not respond favorably to treatment, with common recurrences and poor prognosis.^{34–36} Our data demonstrate that metaplastic carcinomas of the breast contain cancer cells expressing stem cell markers ALDH-1 and the combination of CD44⁺/ CD24^{-/low}. These data are relevant as the results from neoadjuvant clinical studies have led to propose the hypothesis that cancer stem cells are resistant to chemotherapy compared with the bulk tumor cell populations.^{37,38} Thus, patients with metaplastic carcinomas may constitute candidates to test novel therapies against breast cancer stem cells, already in clinical trials.³⁹

In summary, our study shows that metaplastic carcinomas of the breast have histological evidence and express biological markers of an epithelial to mesenchymal transition characterized by progressive overexpression of ZEB1 and downregulation of E-cadherin. This change in morphology and protein expression pattern is associated with an acquisition of breast cancer stem cell marker proteins ALDH-1 and CD44⁺/CD24^{-/low}, which may have distinct functional roles in metaplastic carcinomas. As the process of epithelial to mesenchymal transition and the presence of breast cancer stem cells have been reported to enhance breast cancer metastasis, our

data support the hypothesis that blockade of the epithelial to mesenchymal transition and/or tumor stem cells may improve the outcome of patients with metaplastic breast carcinomas.

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Disclosure/conflict of interest

The authors declare no conflict of interest.

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